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# **BMJ Open** Persistent SOMAtic symptoms ACROSS diseases — from risk factors to modification: scientific framework and overarching protocol of the interdisciplinary SOMACROSS research unit (RU 5211)

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#### **ABSTRACT**

**Introduction** Persistent somatic symptoms (PSS) are highly prevalent in all areas of medicine; they are disabling for patients and costly for society. The subjective symptom burden often correlates poorly with the underlying disease severity, and patients' needs for effective treatment are far from being met. Initial evidence indicates that, in addition to disease-specific pathophysiological processes, psychological factors such as expectations, somatosensory amplification and prior illness experiences contribute to symptom persistence in functional as well as in somatic diseases. However, prospective studies investigating the transition from acute to chronic somatic symptoms, integrating pathophysiological, psychological and social factors, are scarce. A better understanding of the multifactorial mechanisms of symptom persistence is crucial for developing targeted mechanism-based interventions for effective prevention and treatment of PSS. Thus, the overall aim of the interdisciplinary SOMACROSS research unit is to identify generic and disease-specific risk factors and aetiological mechanisms of symptom persistence across a range of diseases.

Methods and analysis Seven projects will investigate risk factors and mechanisms of symptom persistence in a total of 3916 patients across 10 medical conditions. All study designs are prospective and share common assessment points, core instruments and outcome variables to allow comparison and validation of results across projects and conditions. Research will focus on the identification of generic and disease-specific mechanisms associated with unfavourable symptom course. The development of a multivariate prediction model will facilitate the understanding of the course of PSS across diseases.

Ethics and dissemination All individual SOMACROSS studies were approved by the ethics committees of the Medical Chambers Hamburg and Münster, Germany. Findings will be disseminated through peer-reviewed

# Strengths and limitations of this study

- ► Although persistent somatic symptoms (PSS) are highly prevalent among various diseases, distressing and disabling for patients and costly for society, mechanisms of symptom persistence are rarely investigated and poorly understood.
- The SOMACROSS research unit goes beyond previous research by determining the complex and dynamic biopsychosocial interplay contributing to persistent symptom states in a number of different syndromes and diseases.
- In order to detect patterns of symptom persistence across diseases, the SOMACROSS research unit aims to identify potential risk factors and mechanisms of PSS across various somatic diseases, functional syndromes and somatoform disorders using a common working model, joint core measures, prospective designs and coordinated evaluation methods.
- ► The SOMACROSS research unit uses a multidisciplinary approach to overcome today's highly fragmented research on PSS and provide pathways to developing efficient disease-overarching intervention strategies.
- Despite investigating multiple potential risk factors and mechanisms of the persistence of somatic symptoms, other variables might be relevant; and conclusions can only be drawn for the conditions under investigation.

publications, scientific conferences and the involvement of relevant stakeholders, patients and the lay public. This interdisciplinary research unit will fundamentally contribute to earlier recognition of patients at risk, and to the development of prevention and tailored treatment concepts for PSS.





# INTRODUCTION State of the art

#### Definition: persistent somatic symptoms (PSS)

The term 'persistent somatic symptoms (PSS)' is used as an umbrella term to describe subjectively distressing somatic complaints, irrespective of their aetiology, that are present on most days for at least several months. PSS are operationalised by repeated measures of patients' subjective somatic symptom severity.

#### PSS across medical fields

PSS are highly prevalent in all fields of medicine, from primary to specialised care and mental healthcare, 12 vet remain greatly neglected in research.<sup>3</sup> Complaints may include pain, gastroenterological, cardiovascular, genitourinary, neurological or other symptoms (figure 1). Regardless of their aetiology, PSS cause substantial suffering, impaired quality of life and work participation. 4 5 Many somatic symptoms are neither exclusive correlates of somatic disease (eg, vascular or inflammatory disease) nor exclusive symptoms of a mental disorder (e.g., depressive or anxiety disorders). <sup>267</sup> Thus, a dualistic view classifying symptoms as either somatic or psychological is neither evidence-based nor patient-centred. With reference to the description of bodily distress disorder (BDD) in the International Classification of Diseases,

11th edition (ICD-11), the term 'persistent' here defines somatic symptoms which are present on most days for at least several months.

## Impact on patients — challenges in healthcare

Eighty per cent of the general population experience one or more symptoms within 1 month. 10-12 Somatic symptoms account for the majority of all primary and secondary care consultations. 13 14 Whereas in most cases, symptoms fluctuate naturally and eventually disappear, about one-fourth of individuals with acute symptoms develop PSS and remain affected 1 year after their first consultation.<sup>8</sup> Often, these symptoms are accompanied by comorbid depression and anxiety<sup>2</sup> and an increased risk for suicidal ideation and attempts. 15 16 PSS are costly for society, <sup>17</sup> 18 and healthcare for PSS is challenging. <sup>19</sup> The clinical reality is characterised by fragmented treatment in specialised care (eg, gastrointestinal symptoms in gastroenterology, chest pain in cardiology), even though patients often report multiple or overlapping symptoms.<sup>20</sup>

# From 'medically unexplained' to a broader understanding of distressina PSS

Most research on PSS has been conducted on so called 'medically unexplained symptoms', a term mainly used in primary care, while specialised medical fields more

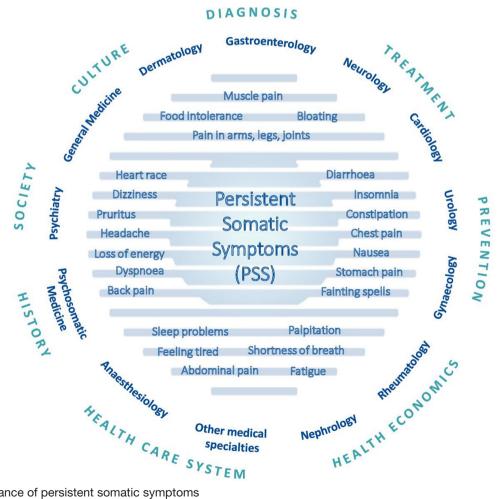


Figure 1 Relevance of persistent somatic symptoms



commonly employ the term 'functional syndromes'.21 The 'medical inexplicability' of the symptoms was also the defining diagnostic criterion of the earlier diagnosis of somatoform and related disorders in the Diagnostic and Statistical Manual for Mental Disorders, 4th edition (DSM-IV)<sup>22</sup> and the International Classification of Diseases, 10th edition (ICD-10).<sup>23</sup> The concept of medical inexplicability of somatic symptoms is considered problematic because (1) the label 'medically unexplained' for disabling symptoms creates distress in patients, <sup>24</sup> (2) the reliability of assessing whether or not there is a pathophysiological explanation for a certain symptom is notoriously poor, (3) the concept reinforces a mind-body dualism<sup>8</sup> and (4) many patients disapproved of the term.<sup>25</sup> Therefore, a new conceptualisation was introduced namely somatic symptom disorder (SSD) in DSM-5<sup>26</sup> and BDD in ICD-10,<sup>9</sup> incorporating features of persistent and clinically significant somatic complaints which are accompanied by excessive and disproportionate healthrelated concerns, feelings and behaviours. SSD and BDD may or may not be accompanied by a somatic disease.<sup>27</sup> Of note, patients with 'medically explained' and 'unexplained' symptoms are equally impaired.<sup>4</sup>

# Transferability of psychosocial aetiological mechanisms from functional and somatoform disorders to somatic diseases

Most research on aetiological mechanisms of PSS has been conducted in somatoform and functional syndromes. The question arises whether these findings can be transferred to SSD and BDD, and beyond that, to PSS in somatic diseases. There is initial evidence that — in addition to the underlying pathophysiology — psychosocial factors play a relevant role in the development and persistence of symptoms in somatic diseases. For example, previous studies by our group indicated that patients' beliefs about their disease strongly influence recovery after coronary artery bypass surgery,<sup>2</sup> that pre-treatment expectations significantly predict patientreported long-term side-effects and quality of life in women receiving endocrine breast cancer treatment, <sup>29</sup> and that the extent of illness anxiety before gastrointestinal infection predicts the development of post-infectious irritable bowel syndrome after 7 months. 30 The understanding of psychosocial factors, in turn, can help improve treatment for patients with PSS. First evidence in support of this is available from the PSY-HEART trial, a three-arm randomised clinical trial in which a preoperative optimisation of patient expectations prior to coronary artery bypass graft surgery led to a reduction of postoperative disability compared with usual surgery care alone.<sup>31</sup>

Even though it remains unclear how PSS evolve and are maintained over time, their presence in various somatic diseases is associated with a faster disease progression, more severe complications and increased mortality. Further evidence supporting the important role of psychosocial factors in the persistence of symptoms in somatic diseases is provided by the observation that symptom burden frequently persists although the underlying pathophysiology has been optimally treated. In addition to disease-specific treatment, psychological treatment and centrally acting

pharmacotherapy appear to be the most promising options, not only for functional and somatoform disorders but also for PSS in well-defined somatic diseases. <sup>19</sup> This suggests that generic, trans-diagnostic treatment principles <sup>36</sup> may be valuable in addition to the disease-specific treatment of the underlying pathophysiology. Across somatic diseases, a diverse array of psychological and social factors needs to be considered on equal footing with biological factors in their roles as potential risk factors, protective factors and maintaining factors of PSS. Importantly, psychological and social factors are not solely secondary reactions to persistent symptoms; rather, they are deeply woven into the biopsychosocial processes that lead to PSS.

To conclude, sufficient evidence warrants the assumption that aetiological mechanisms derived from research on somatoform and functional disorders also contribute to the persistence of symptoms in somatic diseases. However, the applicability of generic and specific risk factors and mechanisms of PSS across medical diseases has yet to be investigated.

# Definitions: risk factors and aetiological mechanisms

'Risk factors' refer to variables associated with an increased risk of symptom persistence, although the relationship is not necessarily causal. 'Aetiological mechanisms' denote underlying mechanisms which are presumed to be causally involved in the persistence of symptoms.

## Current aetiological knowledge on PSS

The aetiology of PSS across somatic diseases is not well understood. The unique way in which each individual perceives a somatic symptom and its severity, the expectation on how the symptom will evolve, and whether the treatment will be effective depends on the constellation of biological, psychological and social factors. The comprehensive vulnerability-stress model by Henningsen et al. 19 defines predisposing, triggering and maintaining/aggravating factors that determine the transition from shortterm to persistent disabling symptoms. After extensively reviewing the literature for all targeted conditions included in the SOMACROSS research unit (RU), we developed a 'PSS working model' as a starting point for the investigation of disease-overarching generic and disease-specific risk factors and aetiological mechanisms (see figure 2). The risk factors and aetiological mechanisms described below are considered most relevant to PSS.

- a. <u>Predisposing factors</u>: Predisposing factors for PSS include sociodemographic risk factors such as female gender,<sup>37</sup> poor education and socioeconomic status,<sup>3 38</sup> sociocultural factors,<sup>39</sup> psychological aspects such as early adverse life experiences,<sup>40–43</sup> personality factors like neuroticism and negative affectivity,<sup>44</sup> biomedical factors such as prior medical diseases,<sup>44</sup> certain (epi)genetic profiles<sup>45</sup> and immunological correlates of these factors.<sup>3 46 47</sup>
- <u>Triggering factors</u>: Triggering factors for short-term somatic symptoms include acute infections, injuries, medical or surgical procedures or current life stressors. 19 30

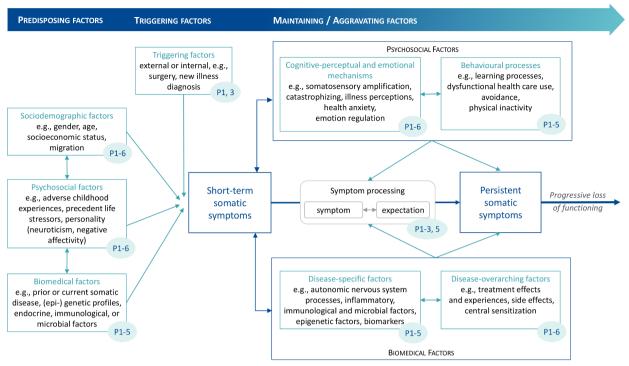


Figure 2 Working model of the SOMACROSS research unit: risk factors and mechanisms for somatic symptom persistence as investigated by the individual projects (blue numbers indicate projects investigating the respective factors)

- c. Maintaining/aggravating factors: Most aetiological models on bodily complaints in somatoform and functional disorders <sup>48–50</sup> include the following core cognitive-perceptual and emotional mechanisms: selective attention towards interoceptive cues, amplified perception of bodily sensations, catastrophising cognitive interpretations, somatosensory amplification <sup>51</sup> and dysfunctional illness behaviours. <sup>19 46 52</sup> Affective factors such as alexithymia comprise deficits in the regulation of emotions.<sup>53</sup> On the level of dysfunctional behavioural processes, somatic symptoms are aggravated by learning processes, avoidance behaviour such as physical inactivity and subsequent deconditioning. 54-56 Further aggravating factors arise from unsatisfying encounters with the healthcare system, negative illness perceptions and treatment experiences which result in the unnecessary and potentially harmful overuse of healthcare. 19 Social factors like work status, health literacy, access to medical care, stigmatisation, migration and culture can be both predisposing and maintaining/aggravating factors of PSS.<sup>57</sup> Disease-specific biomedical factors (eg. inflammation in inflammatory bowel disease) naturally influence the course of somatic symptom severity.<sup>58</sup> Additionally, disease-overarching psychobiological models postulate dysregulations of the endocrine, immune and autonomic nervous systems as well as central sensitisation to be potential links between psychosocial distress and PSS. 48 59 Other biopsychosocial interactions contributing to symptom persistence include treatment-related factors such as burdensome side effects of a treatment for an underlying disease. These side effects are difficult to disentangle from
- general bodily distress and likely to be influenced by nocebo effects through patients' negative expectations and other psychological factors. <sup>60</sup> Central sensitisation, defined as hyperexcitability of the central nervous system, has been suggested to contribute to the development and maintenance of chronic pain, while its role in other PSS is under debate. 61 62 Central sensitisation is thought to be driven by neuroinflammation in the central and peripheral nervous system, as indicated by higher serum levels of interleukin 6 (IL-6) and tumour necrosis factor (TNF).<sup>61</sup> Recently, epigenetic modifications such as DNA methylation have been identified as potential contributors to altered resilience to environmental stress, pain and somatic symptom burden.<sup>38</sup> 63 Stool microbiota alterations are also hypothesised to be associated with the persistence of somatic symptoms. There is evidence of gut microbiota dysbiosis in patients with chronic fatigue and nonvisceral pain. 64 65
- d. Interactions of biopsychosocial factors: Recently, patients' expectations of symptoms have come into focus as having a central role in symptom processing and the relation between biological, psychosocial and treatment-related factors for persistent symptom development. Expectations are defined as future-directed cognitions regarding the anticipated course of symptoms. As such, they constitute a common denominator of many psychological risk factors for PSS such as catastrophising, illness perceptions and health anxiety. Thus, they can be regarded as a core feature of current aetiological models for PSS (eg, somatosensory amplification). Negative symptom expectations interact with actual somatic input and can fuel dysfunctional



signal processing and the development of persistent symptoms. Relevantly, the power of expectations to predict symptom course, treatment benefit and negative treatment side effects has been demonstrated for a wide range of medical and psychological conditions, for example, pain, rheumatoid arthritis, cancer, 'medically unexplained symptoms' and level of functioning after total hip and knee replacements. <sup>29 67–71</sup> Moreover, a growing body of research provides evidence that modifying expectations improves clinical outcomes. <sup>31 72 73</sup>

Expectations are also prominently conceptualised in emerging predictive processing models which suggest that symptom perception emerges through an integrative process of sensory input, prior experience (leading to implicit expectations, or 'priors') and contextual cues (such as affective state).<sup>74</sup> These models show that the relationship between subjective symptoms and pathophysiological dysfunction is highly variable, both between and within individuals, and that pathophysiological dysfunction may even be completely absent in the presence of strong priors and ambiguous somatic input. Depending on relative strength and precision, the actual symptom experience may be more determined by somatic input or by priors.

Altogether, the above-mentioned risk factors and mechanisms of somatic symptom persistence are less well studied in somatic diseases than in functional and somatoform disorders. We assume that — in addition to disease-specific pathophysiological mechanisms — the processes underlying somatic symptom persistence in somatic diseases and in functional/somatoform disorders involve similar risk factors and mechanisms, opening new routes to modify symptom persistence in somatic diseases.

#### **Novelty and innovation**

SOMACROSS takes on a fundamentally new perspective, by including two new ways of thinking in medicine: first, the abandonment of the concept of medical inexplicability in the diagnostic concepts of functional and somatoform disorders; and second, the shift away from the idea that subjective suffering can essentially be explained by the extent of the underlying physiological pathology. Assuming that biological markers alone do not sufficiently explain aetiology and development of PSS, we will investigate the interaction of biological, psychological and social factors regarding their contribution to subjective symptom severity and symptom persistence in 10 different medical conditions. In this way, SOMACROSS will critically challenge the still prevalent dualistic mindbody disease model in medicine. The use of a transsymptomatic and trans-diagnostic approach will enable the identification of patterns, risk factors and aetiological mechanisms of symptom persistence across diseases and syndromes.

# Objectives of the overall project

The superordinate aim of this interdisciplinary RU is to identify risk factors and mechanisms for the persistence

of somatic symptoms across diseases, and thereby create a basis for evidence-based interventions for patients suffering from PSS.

The research objectives of SOMACROSS are:

- a. To identify generic (ie, disease-overarching) biological, psychological and social mechanisms contributing to the persistence of somatic symptoms across a range of medical diseases and syndromes.
- b. To identify disease-specific mechanisms contributing to the persistence of somatic symptoms.
- c. To formulate new, empirically testable hypotheses about the interaction of generic and disease-specific factors and to integrate the derived risk factors and mechanisms into comprehensive prediction models for PSS.
- d. To derive generic and disease-specific clinically useful risk factors for symptom persistence.
- e. To identify modifiable risk factors and mechanisms in the transition from acute to chronic symptoms.
- f. To test whether the therapeutic optimisation of modifiable risk factors (eg, dysfunctional symptom expectations) improves clinical outcomes.

The <u>structural objectives</u> of SOMACROSS are:

- a. To raise awareness for a highly relevant research field across medical disciplines.
- b. To disseminate knowledge regarding the development and treatment of PSS.
- c. To build a strong interdisciplinary research structure focused on PSS.
- d. To establish qualifications of the next generation of scientific experts in this field.

## Working hypotheses of the overall project

<u>Hypothesis 1</u>: In all syndromes and diseases examined in SOMACROSS, biological, psychological and social factors contribute to the persistence of somatic symptoms individually or/and in interplay.

<u>Hypothesis 2</u>: Persistence of somatic symptoms is predicted by common risk factors across syndromes and diseases.

<u>Hypothesis 3</u>: Generic and syndrome-specific and/or disease-specific risk scores accurately predict the risk of persistence of somatic symptoms.

<u>Hypothesis 4</u>: Expectations play a relevant role in the development of PSS. Thus, the modification of dysfunctional expectations constitutes a promising starting point for interventions to improve symptom severity in PSS.

# **METHODS AND ANALYSIS**

#### Design

## Investigated symptoms and composition of SOMACROSS

To ensure clinical relevance, symptoms with high prevalence in medical settings were chosen, that is, fatigue, gastrointestinal symptoms, pruritus and multiple co-existing symptoms.<sup>75</sup> To detect patterns, similarities and discrepancies in symptom persistence across a range of medical conditions, syndromes typically classified as



Table 1 Individual projects and project leaders of the SOMACROSS research unit **Project** No. **Project title Project content** Project leader(s) Institution(s) Fatigue in primary biliary P1 examines the disease-specific biological Dr. Anne Toussaint, Department of cholangitis: factors and generic psychosocial factors which Psychosomatic Medicine PhD associated with severity contribute to fatigue in patients with and Psychotherapy, UKE and persistence as future primary biliary cholangitis and primary Martin Zeitz Centre for Professor Dr. therapeutic targets sclerosing cholangitis and aims to Rare Diseases and I. Christoph Schramm. determine its course over time. Department of Medicine, UKE Persistence of P2 investigates whether somatic symptoms Professor Dr. Bernd Department of in patients with irritable bowel syndrome Psychosomatic Medicine gastrointestinal symptoms Löwe, MD in irritable bowel and ulcerative colitis are influenced by and Psychotherapy, UKE syndrome and ulcerative illness anxiety and symptom expectations Professor Dr. Ansgar I. Department of colitis: from risk factors to and could therefore be improved by W. Lohse, MD Medicine, UKE modification expectation management. Predictors of somatic P3 aims to identify multivariate predictors Professor Dr. Meike Department of symptom persistence of PSS in patients with pre-dialysis chronic Shedden-Mora, PhD Psychosomatic Medicine in patients with chronic and Psychotherapy, kidney disease (CKD) by testing biomedical, kidney disease psychological, and treatment-related UKE; Department of predictors using a mixed methods cohort Psychology, Medical School Hamburg study. Professor Dr. Tobias III. Department of B. Huber, MD Medicine, UKE Biological and P4 examines the interplay of psychosocial Professor Dr. Stefan Department of psychosocial factors and biological factors affecting the W. Schneider, MD Dermatology and affecting the persistence maintenance of pruritus in patients with Venerology, UKE of pruritus symptoms atopic dermatitis, patients with pruritus on Professor Dr. Sonja Department of non-lesional skin and healthy controls. Ständer, MD Dermatology, University of Münster Professor Dr. Gudrun Department of Schneider, MD Psychosomatic Medicine and Psychotherapy, University of Münster Modifiable factors P5 examines whether expectations Professor Dr. Yvonne Department of Clinical for somatic symptom about symptom severity and coping with Nestoriuc, PhD Psychology, Helmut-Schmidt University, persistence in patients symptoms determine symptom persistence with somatic symptom in patients with somatic symptom disorder Hamburg Disorder in interaction with somatic comorbidity and Dr. Anne Toussaint, Department of psychosocial factors. PhD Psychosomatic Medicine and Psychotherapy, UKE Social inequalities in P6 examines whether socioeconomic and Professor Dr. Olaf Institute of Medical aggravating factors migration status are associated with risk von dem Knesebeck, Sociology, UKE of persistent somatic factors for the persistence of irritable bowel PhD symptoms syndrome and fatigue. Generic and disease-Professor Dr. Antonia Department of The Z-project will oversee the other specific mechanisms Medical Biometry and projects with respect to adherence to the Zapf, PhD Epidemiology, UKE of somatic symptom common methodology. The Z-project will persistence across pool data from the individual projects to diseases identify networks of interacting symptoms and mechanisms of symptom persistence across projects and diseases.

somatic (eg, primary biliary cholangitis, ulcerative colitis) and syndromes considered as 'functional' or 'somatoform' (eg, irritable bowel syndrome, SSD) were included. The seven projects of SOMACROSS including content and project leaders are listed in table 1.

Each project will investigate specific predisposing, triggering, maintaining or aggravating factors for PSS based

on the current state of knowledge in the respective disease or syndrome. Based on our extensive literature review, we compiled a 'PSS working model' (figure 2), which serves as a starting point for rigorous testing of distinct factors with regard to their relevance for symptom persistence across all projects. These factors are assessed by the joint core set of measures (see below) that will be used across all projects.

<sup>\*</sup>Co-applicants: P2: PD Dr. Viola Andresen, MD; Professor Dr. Yvonne Nestoriuc, PhD; P6 and Z-Project: Professor Dr. Bernd Löwe, MD; UKE, Universitätsklinikum Hamburg-Eppendorf (University Medical Centre Hamburg-Eppendorf, Hamburg, Germany).

Figure 3 Projects 1–6 (P1–6): from current state of knowledge to aims of scientific insight

Other predictor variables, which are considered specific for defined diseases or syndromes only, will be tested in the respective individual projects. Of note, the classification of variables as predisposing, triggering, maintaining and aggravating factors is preliminary and not always distinct.

## Study designs and methodological approaches

The initial state of knowledge varies between the individual projects and health conditions. For some diseases, there is cross-sectional evidence on associations between symptom persistence and specific biopsychosocial variables. For others, longitudinal studies have identified relevant predictors for symptom maintenance. These different starting points in terms of current knowledge lead to different research aims (figure 3). In an envisaged second phase, all projects will take a step towards modification of the relevant factors based on their individual project results.

## Shared inclusion and exclusion criteria

All projects share common basic inclusion criteria, that is, age ≥18 years, sufficient oral and written German language proficiency and written informed consent. Common exclusion criteria include: serious illness requiring immediate intervention; florid psychosis or substance abuse disorder and acute suicidality. In addition to these common criteria, the individual projects defined project-specific inclusion and exclusion criteria.

### Shared assessment points

In order to compare results across projects, all projects (P) with prospective study designs (P1–5) will use identical assessment points, that is, baseline, 6-month and 12-month follow-up. These enable the statistical evaluation of generic predictors across diseases and the pooling of data.

## Patient and public involvement

Involvement of patients or members of the public varies among the projects of the research unit and is therefore described in detail in the study protocols of the individual projects.

#### Measures

#### Shared outcome measures

Severity of somatic symptoms is the primary outcome for all projects (table 2). Given that (a) somatic symptom severity must be specifically assessed for each symptom, and that (b) generic instruments are needed to conduct comparisons and joint evaluations across projects, somatic symptoms are measured in two ways:

- a. Symptom-specific assessment, using specific measures of somatic symptom severity,
- b. Generic assessment of overall symptom severity, using the internationally well-established Patient Health Questionnaire-15 (PHQ-15)<sup>76-77</sup> and the Numeric Rating Scale for symptom intensity as recommended by the EURONET-SOMA group.<sup>78</sup>

Additional shared secondary outcomes include symptom interference, disability and quality of life.

# Joint psychosocial core instruments

The list of joint core instruments of SOMACROSS (table 2) reflects the factors displayed in the PSS working model (figure 2). All joint core instruments were chosen after considering construct relevance, reliability, validity, feasibility, acceptability, availability in German and statistical constraints. In order to assess the comorbidity with DSM-5 SSD in all the diseases investigated, the relevant section of a German research version of the Structured Diagnostic Interview for Mental Disorders (SCID-5) will be conducted. <sup>79 80</sup>

# Joint biomedical factors

In addition to the joint core set of instruments, the various projects of SOMACROSS investigate further common variables with regard to their relevance for PSS. Disease overarching factors such as duration and subjective severity of disease, (prior) biomedical disease and comorbidities, and side effects and subjective treatment experiences will be assessed as potential generic predictors of symptom persistence across all projects. Serum levels of C-reactive protein (CRP), interleukin-6 (IL-6) and tumour necrosis factor (TNF) will be measured at

Continued

Table 2 Risk factors, mechanis	Risk factors, mechanisms and outcomes investigated by the SOMACROSS research unit	SOMACROSS research unit				
Risk factors and mechanisms	Risk factors and mechanisms (assessed via self-report/laboratory test)	test)				
Predisposing, triggering and				Months	ths	
maintaining/aggravating factors	Single constructs	Instrument	Items	0	9	12
Sociodemographic factors	Gender, age, nationality, heights, weights, marital status, migration status, current housing situation, insurance, education, occupational status,	Single items	19	×		
	Health care utilization SARS-CoV-2 infection and Long- COVID-19	Single items	e /	××	××	$\times \times$
Psychosocial factors	Adverse childhood experiences	Adverse Childhood Experiences Qestionnaire (ACE-D)	10	×		
	Personality: neuroticism	Big Five Inventory-10 (BFI-10)	10	×		
	Negative affectivity	Positive and Negative Affectivity Schedule (PANAS)	20	×		
	Life stressors	Perceived Stress Scale (PSS-10)	10	×		
	Perceived stigmatization	Single items	2	×		
Cognitive-perceptual and	Somatosensory amplification	Somatosensory Amplification Scale (SSAS)	10	×	×	×
emotional mechanisms	Catastrophising	Coping Strategies Questionnaire-Catastrophizing Subscale (CSQ-CAT)	9	×	×	×
	Treatment expectations	Treatment Expectation Questionnaire (TEX-Q)	15	×	×	×
	Expectation of symptom severity	Numeric Rating Scale	-	×	×	×
	Expectation of symptom burden	Numeric Rating Scale	-	×	×	×
	Expectation of coping with symptoms	s Numeric Rating Scale	-	×	×	×
	Psychological burden related to somatic symptoms or associated health concerns	Somatic Symptom Disorder-B Criteria Scale (SSD-12)	12	×	×	×
	Illness-related worries	Whiteley-Index Short Version (WI-7)	7	×	×	×
	Symptom perception	Illness perception questionnaire (B-IPQ)	œ	×	×	×
	Anxiety severity	Generalized Anxiety Disorder-7 (GAD-7)	7	×	×	×
	Depression severity	Patient Health Questionnaire-9 (PHQ-9)	6	×	×	×
	Alexithymia	Toronto Alexithymia Scale (TAS-20)	20	×		
	Emotion regulation	Emotion Regulation Questionnaire (ERQ)	10	×		
Behavioural factor	Physical inactivity	International Physical Activity Questionnaire (IPAQ-SF)	7	×	×	$\times$

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Table 2 Continued						
Risk factors and mechanisms	Risk factors and mechanisms (assessed via self-report/laboratory test)	test)				
Predisposing, triggering and				Months	ths	
factors	Single constructs	Instrument	Items	0	9	12
Biomedical and treatment-	(Prior) organic disease/comorbidity	Self-Administered Comorbidity Questionnaire (SCQ)	16	×	×	×
related factors	Medication adherence	Medication Adherence Report Scale (MARS-D)	2	×	×	×
	Treatment side effects	Numeric Rating Scale	-	×	×	×
	Treatment experiences	Numeric Rating Scales	2	×	×	×
	Systemic inflammation, markers of central sensitisation (P1-P5)	C reactive protein (CRP), Interleukin-6 (IL-6), Tumour necrosis factor (TNF)	N/A	×		
	Duration of disease	Single interview questions	2	×		×
	Medication	Single interview question	-	×		×
Outcome variables (assessed	Outcome variables (assessed via self-report/diagnostic interview)					
Primary outcome: somatic	Somatic symptom burden	Patient Health Questionnaire-15 (PHQ-15)	15	×	×	×
symptoms	Symptom intensity	EURONET-SOMA Numeric Rating Scale	-	×	×	×
Secondary outcomes	Symptom interference	EURONET-SOMA Numeric Rating Scale	-	×	×	×
	Symptom-related disability	Pain Disability Index-adapted (PDI)	7	×	×	×
	Health-related quality of life	Short Form Health Survey (SF-12)	12	×	×	×
	Diagnosis of somatic symptom disorder (DSM-5)	Structured Clinical Interview for the DSM-5 (SCID)	18	×		×
Total (self-report items)			276			

baseline as systemic biomarkers of central sensitisation<sup>81</sup> to shed light on the controversial role of central sensitisation in the persistence of somatic symptoms both prospectively and in a cross-sectional view across P1-P5. The contribution of epigenetic mechanisms (altered DNA methylation in an epigenome-wide association study) in the course from acute to persistent symptoms in kidney disease will be analysed in P3. Additionally, epigenetic mechanisms will be analysed and cross-validated in pilot samples across P1-P5 (n=20 patients per diagnosis, n=10 with low vs high baseline symptom burden according to the PHQ-15), led by P3. We will also investigate the role of microbiome alterations for fatigue persistence among patients with primary biliary cholangitis and patients with primary sclerosing cholangitis (P1). In P2 and P3, we will collect stool samples from participants at baseline (P2 also post-intervention). Depending on the results regarding the course of PSS (P2 and P3) and the response to the intervention (P2), we will then analyse the microbiome (metagenomic sequencing). We believe that the abovementioned biomedical factors are potentially relevant across several symptoms and diseases. Further diseasespecific biomedical predictors such as disease stage and disease-specific markers of symptom persistence will be assessed within the individual projects, using appropriate methodology.

## Statistical evaluation

#### Joint statistical evaluation strategy

The use of shared measures and assessment points across P1-P6 enables collective statistical analyses (n=1328 participants; not included are the n=2432 participants from the cross-sectional analysis in P6 and the n=156 participants of the intervention groups in P2). The power calculations were performed individually for each project and are included in the projects' study protocols. The joint cross-project evaluation will allow us to develop an overarching conceptual model for the persistence of somatic symptoms. We will test paths and associations between the key factors of the working model by using an exploratory approach and initial hypotheses testing. Given scarcity of data on PSS for most of our included diseases and syndromes, we included a large number of variables in the first funding phase. This will enable us to generate new hypotheses for rigorous testing in the future. P1 and P3 will use multimethod approaches by embedding qualitative and experimental studies. Both approaches represent a valuable possibility for an in-depth exploration of mechanisms of symptom perception, development and maintenance. The statistical evaluation across projects will be carried out by biostatistics experts using a structural equation model approach. The statistical analyses will also lead to a reduction in predictors of symptom persistence by removing irrelevant pathways, which will allow more distinct analyses in subsequent studies. Depending on the existing evidence for each condition, some of the projects follow a hypothesis-generating design while others perform confirmatory tests based on

prior research (see also figure 3). In exploratory analyses, we do not adjust for multiple testing in order to avoid the loss of power. However, we formulated testable, prespecified initial hypotheses for each project as starting points, which contribute to the overarching hypotheses of the Z-project. Statistical methods to adjust for multiple testing will be applied for the confirmatory analyses.

# ETHICS AND DISSEMINATION Ethical approval

All studies including patients (P1–P6) were approved by the respective Ethics Committees of the Medical Associations Hamburg and Westphalia-Lippe/Westphalian Wilhelms University, Münster, Germany. The individual studies will be conducted in accordance with the WMA Declaration of Helsinki, guidelines for Good Clinical Practice, national and local laws. Eligible patients will be informed about the study verbally and in written form before providing written informed consent.

## **Data sharing**

De-identified individual patient data will be made publicly available. The times and the conditions of the availability of data will be in accordance with the Recommendations for Sharing Clinical Trial Data' of the Institute of Medicine (IOM). The main findings of each project will be published in peer-reviewed journals and made publicly available. In addition, we will communicate scientific results in lay language via press releases, social media, and patient forums.

#### **Impact and relevance**

Regarding the impact on the research field of PSS, SOMAC-ROSS will provide the urgently needed infrastructure to facilitate collaboration and knowledge exchange between medical disciplines. The research field of PSS will benefit from the measurement of larger sets of predisposing, triggering and maintaining biopsychosocial variables, and from additional theoretical work on their interrelation. By providing information to the public, for example, at a 'patient day' and the SOMACROSS webpage, we hope to improve the understanding of PSS, avoid unnecessary and potentially harming medical procedures and provide reliable information for patients' personalised decisionmaking. Greater awareness and understanding of PSS in society might also lead to reduced stigma associated with PSS. SOMACROSS aims to open science to young researchers with innovative ideas, provide researchers with flexible career opportunities and improve the way in which research is conducted. The most important measures of SOMACROSS are summarised in figure 4.

# CONCLUSION

Our patient-centred focus on subjectively distressing somatic symptoms has the potential to enable increased visibility of somatic symptom burden across different



#### **Science**

#### **INSIGHTS**

Risk factors and mechanisms

#### **CROSSVALIDATION**

Disease-specific and generic mechanisms

#### **DEVELOPMENT**

Mechanism-based interventions

## Clinical care

#### **PREVENTION**

Early recognition through risk scores

#### **TREATMENT**

More targeted treatment by addressing mechanisms of action

#### INTERDISCIPLINARITY

Better collaboration in patient care

# **Competence building**

## **STRUCTURE**

Collaboration through RU framework

## **MUTUAL LEARNING**

Communication between projects and targeted training

#### **EARLY CAREER**

Fostering research careers within RU

Figure 4 Steps forward through the SOMACROSS research unit. RU, research unit.

medical specialties. SOMACROSS will enhance the relevance of each individual project by integrating knowledge about individual risk factors and mechanisms of PSS into joint analyses and publications. While we also anticipate challenges regarding comparability, transferability, and complexity of such a translational approach, we expect to gain insights on PSS that could not be reached without this collaboration. Our results will inform the development of mechanism-based tailored interventions, and in the long term, SOMACROSS will enable the translation of cutting-edge scientific knowledge into clinical practice by providing clinicians with evidence-based prevention and treatment options.

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