

Physiologic and pharmacologic considerations in morbid obesity and bariatric anesthesia

ABSTRACT

Obesity is a growing worldwide health hazard that is characterized by excess malnutrition. Excess food intake leads to dysregulated energy homeostasis and increased adiposity, activating pro-inflammatory physiologic pathways that can contribute to the chronic inflammatory state associated with many chronic illnesses. Obesity is a preventable illness, but its multifaceted etiology, including genetic, behavioral, and environmental variables, is critical to understanding its epidemiology and pathophysiology. Obesity is a critical predisposing factor for illnesses including type II diabetes, cardiovascular disease, and cancer, with higher morbidity and death. Obesity rates are rising, and so will the need for perioperative anesthesia for subjects with obesity. Obesity epidemiology, biochemistry, and pathophysiology are significant concepts in perioperative anesthesia management for subjects with obesity. To provide optimal intraoperative care for subjects with obesity, preoperative cardiovascular assessment for coronary artery disease and drug monitoring is required. Individuals suffering from obesity have significantly higher oxygen consumption rates and a higher risk of desaturation and surgical complications. Individuals suffering from obesity require specialized perioperative treatment related to higher prevalence of perioperative complications.


Key words: Anesthesiology, bariatrics, bariatric anesthesia, morbid obesity, obesity

Introduction

Obesity is a complex chronic health condition in which a surplus of adipose tissue mass leads to excess body weight for a given height.^[1,2] Although obesity is viewed as a preventable disease, a multifactorial etiology incorporates environmental, behavioral, socioeconomic, genetic, and physiologic mechanisms.^[3] Obesity leads to a state of chronic energy imbalance in which increased adiposity can alter metabolic activity. This dysfunctional metabolic activity

yields a chronic inflammatory condition marked by an imbalance between pro-inflammatory and anti-inflammatory adipokines.^[3] This state of chronic inflammation is believed to predispose obese individuals to various chronic diseases and metabolic disorders.

Obesity is typically measured utilizing body mass index (BMI) as a parameter, defined by the ratio of your height to your

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weight and expressed in units of kg/m^2 . It is universally accepted as an indication of the relative amount of body fat relative to an individual's frame, correlates with various disease pathophysiology, and assists in stratified treatment guidelines and public health policies.^[4,5] The World Health Organization (WHO) defines obesity as a $\text{BMI} \geq 30 \text{ kg/m}^2$, and further classifies obesity into Class I ($30\text{--}34.9 \text{ kg/m}^2$), Class II ($35\text{--}39.9 \text{ kg/m}^2$), and Class III ($\geq 40 \text{ kg/m}^2$) obesity.^[6] $\text{BMI} \geq 40 \text{ kg/m}^2$ has been historically referred to as morbid, severe, or extreme obesity. Still, given the negative connotation towards patients, it is clinically referred to as Class III obesity. The Center for Disease Control (CDC) states that the prevalence of obesity in the United States has increased significantly since 2000, with greater than 40% of the national adult population categorized as obese per BMI parameters.^[7] Obesity is also an increasing global concern, as the World Health Organization (WHO) estimates nearly 13% of the world's adult population (age 18 years or older) was categorized as obese in 2016 and has nearly tripled since 1975.^[8]

With the continued rise in prevalence among adults and various associated disease states, obesity can become challenging to manage from a pharmacologic and perioperative standpoint. Perioperative obesity management incorporates screening for associated health concerns such as coronary artery disease (CAD), altering current medication regimens, managing difficult airways with regards to intubation, increased risk of desaturation, and significant risk of postoperative complications.^[9–11]

The present investigation provides a broad overview of the epidemiology, biochemistry, pathophysiology, pharmacological interventions, and perioperative management of obesity.

Epidemiology

The prevalence of obesity has continued to rise in both the United States and globally since the 1980s despite the view that it is a preventable disease.^[7,8] The WHO estimated that 1.9 billion adults over the age of 18 are considered overweight (BMI greater than 25 and less than 30), with approximately 650 million adults categorized as obese.^[8] Obesity is not just affecting adults; as the WHO reported that in 2019, over 39 million children under the age of 5 could be categorized as either overweight or obese, as well as approximately 340 million children and adolescents between the ages of 5 and 19, worldwide.^[8]

In the United States specifically, obesity has continued to rise significantly. Obesity prevalence among adults over

the age of 20 in the United States has increased from 30.5% in 1999–2000 to 42.4% in the most recent national data collected in 2017–2018.^[12] The age-adjusted prevalence of severe obesity among adults in the United States was 9.2% per the 2017–2018, a significant increase from a prevalence of 4.7% in 1999–2000.^[7] The WHO projects that the increasing prevalence of obesity will continue trending upward.^[13,14] It is estimated that by 2030, nearly 1 in 2 adults will be obese, and 86.3% of adults will be overweight.^[14,15] It is also estimated that by 2030, 1 in 4 US adults will be categorized as severely obese per BMI, with severe obesity becoming the most common BMI category among women, low-income adults, and non-Hispanic black adults.^[14]

The rising prevalence of obesity is concerning due to high correlations between obesity and chronic diseases, increasing morbidity and mortality. A raised BMI has been shown to significantly increase the risk for cardiovascular disease (CAD being a leading cause of death), hypertension, diabetes, musculoskeletal disorders like osteoarthritis, and cancers such as breast, liver, and kidney.^[3,8] In children, obesity has been linked to disabilities and premature death.^[8,16] Due to the increasing prevalence of obesity and the associated diseases, it is estimated that by 2030 18% of total healthcare costs in the US will be attributable to obesity, with an estimated 956.9 billion dollars spent on obesity and obesity-related diseases.^[15]

Related to the complex etiology of obesity and varying factors that play a role, continued efforts are being made to understand the clinical significance of disease progression, morbidity, and mortality, and factors that can be done to help combat the increasing prevalence of obesity. Improved screening mechanisms among children and lifestyle modifications such as diet and exercise regimens have been shown to improve health outcomes among subjects suffering from obesity. Additionally, research continues to advance other mechanisms to combat this growing global health problem.^[3,16]

Biochemistry

Obesity is a biochemically complex condition that can be summarized as a dysfunction of energy homeostasis. There is excessive energy intake in comparison to energy expenditure, resulting in the accumulation of surplus adipose tissue.^[2] As an energy reserve, adipose tissue reacts to alterations in energy status; during energy intake surplus, 60%–80% of the excess calories are stored as lipids, and during nutrient-deficient conditions, adipose tissue undergoes lipolysis to supply other tissues with energy.^[2,17] During chronic excess energy intake conditions like obesity, there is an increased expansion

of adipose tissue by either hypertrophy or hyperplasia.^[17] The energy imbalance in obesity is due to a wide variety of factors related to chemical signaling, genetics, environment, and behavior.

Within the central nervous system (CNS), the critical regulator of energy homeostasis is the arcuate nucleus (ARC) of the hypothalamus. It signals the body's energy state through orexigenic and anorexigenic neurons via the melanocortin system.^[18] Additionally, the ARC receives and interprets peripheral metabolic hormonal signals via ghrelin and leptin, which are considered biomarkers of obesity.^[19] Leptin is a cytokine produced and secreted into the bloodstream by adipose tissue whose concentration in the serum is directly proportional to the amount of energy reserves that exist in the form of adipose tissue. It functions as a neurohumoral communicator between modulators of energy homeostasis.^[20] After crossing the blood-brain barrier, leptin binds to the leptin receptor (LepR), conveying satiety and inducing anorexigenic effects via the proopiomelanocortin (POMC)-associated neurons in the ARC, resulting in reduced food intake and increased energy expenditure.^[21] In obesity, serum leptin levels are chronically elevated, resulting in selective leptin resistance.^[22] This creates a paradoxical increase in extra calories and prevention of sustained weight loss.^[23–25]

In contrast, ghrelin is an endogenous hormone secreted by the fundus of the stomach. Levels of ghrelin increase in settings of low nutrient availability and decrease during sets of sufficient or surplus nutrient availability.^[26] It exerts orexigenic effects via neuropeptide Y (NPY) and agouti-related peptide (AgRP) associated neurons in the ARC, resulting in the sensation of hunger and increased food intake.^[20] Research has suggested the high success rate of bariatric surgeries, such as Roux-en-Y gastric bypass, is due to the procedure disrupting normal secretion of ghrelin.^[27]

Understanding the genetic basis of obesity is necessary to understanding the disease. Studies have revealed over 600 genes and chromosomal regions linked to obesity. The wide variation in phenotypic obesity suggests that many genes with minor effects accumulate to result in the varying phenotype of obesity.^[28] Some of the proposed genetic contributors to obesity include CpG methylation, variations in receptors such as neuropeptide Y and melanocortin 4, as well as hyper-methylation of the promoter of serotonin transporter SLC64A.^[29] People with different genetic alterations respond differently to treatment for obesity, suggesting there may be potential to treat obesity in a more targeted way in the future.^[21]

Although genetics play a significant role in the etiology of obesity, other factors concurrently contribute to a person's predisposition to obesity, including behavior and environment.^[2] In particular, there has been increased interest in epigenetic influences that occur due to fetal programming and intrauterine environments.^[29] Preliminary evidence shows that increases in energy intake in pre-and post-natal life may have long-term programming effects on the hypothalamic satiety centers.^[20,28] One study, in particular, showed that siblings of the same mother born after maternal bariatric surgery had a significantly lower prevalence of pediatric obesity than their siblings born before maternal bariatric surgery.^[30,31] Additionally, in studies examining addiction-like behaviors about the mesolimbic dopaminergic pathway, over-eating can be seen in animal models after unrestricted access to specific diets.^[32] Literature also suggests that visual cues for food increase brain response in individuals suffering from.^[33,34] These findings reiterate the multifactorial etiology associated with obesity.

Pathophysiology

The pathophysiology of obesity primarily originates with physical inactivity and excessive caloric intake.^[35] The excessive fat deposition—or adiposity, influences metabolic activity and results in the release of specific inflammatory markers by adipose tissue.^[36] These inflammatory markers have influenced chronic diseases such as dyslipidemia, type II diabetes (T2D), and hypertension. Hormonal influences and immune abnormalities constitute new avenues in obesity-related pathophysiology as well.^[37]

Research into the morbidity of obesity in past decades revealed that the distribution of fat plays the most significant role in obesity pathophysiology instead of body weight or total fat composition.^[38] This notion is supported by the fact that multiple-organ-specific pathologies seen in morbid obesity are particularly notable with increased tendencies for intra-abdominal fat accumulation.^[39] Intra-abdominal fat deposition or “central obesity” correlates strongly with excess visceral fat, considered the most metabolically active type of fat.^[40] Excess intra-abdominal fat is accompanied by hormonal, inflammatory, and endothelial alterations often associated with obesity-related risks, such as insulin resistance, hypertriglyceridemia, and proatherogenic features.^[41] This is further reinforced considering abdominal obesity is the most predominant manifestation of metabolic syndrome. Although metabolic syndrome also involves blood lipid disorders, inflammation, insulin resistance, and risks for CVD and T2D, the dysfunctionality of adipose tissue, particularly with intra-abdominal obesity, is paramount in the clinical diagnosis.^[42]

Obesity has recently been referred to as a state of chronic low-grade inflammation, central to the pathogenesis of diseases such as CAD, atherosclerosis, and insulin resistance.^[43,44] This derives from adipose tissue acting as a secretory organ that influences metabolism through modulation of energy expenditures, appetite, insulin sensitivity, immunity, and inflammation.^[36] Adipocytes in white adipose tissue secrete “adipokines” that influence inflammatory conditions.^[44] In obesity—namely in excessive visceral fat accumulation, the adipokines released are predominantly pro-inflammatory, creating an imbalance between pro-inflammatory (TNF- α , IL-6, ATII, resistin, visfatin) and anti-inflammatory (TGF-B, adiponectin) adipokines.^[45] The inflammatory conditions established in dysfunctional adipose tissue depend upon and are exacerbated by immune dysfunction related to obesity-related abnormalities held.^[2,46]

Adipose tissue macrophages are considered the chief source of cytokine production within adipose tissue. This is supported by studies revealing that macrophages in adipose tissue increase cytokine production with increased visceral fat deposits.^[47] The two types of macrophages described in obesity are classified as M1 or M2 phenotypes, pro-inflammatory or anti-inflammatory, respectively. In individuals suffering from obesity, macrophages disproportionally shift from the anti-inflammatory M2 phenotype to the pro-inflammatory M1 phenotype; moreover, recent studies have noted that the decreased levels of the M2 phenotype correlate with obesity and inflammation.^[48,49] Adipose tissue macrophages are inherently interconnected with lipid metabolism and insulin homeostasis as well.^[50] For instance, saturated fatty acids and cholesterol have been shown to stimulate pro-inflammatory signaling cascades in both cultured macrophages and *in vivo* studies.^[37] Additionally, the inflammatory state induced by

macrophages and their chemokine secretion induces NF-KB, which inhibits the GLUT4 transporter and causes insulin resistance.^[51]

Increasing adiposity results in altered macrophage aggregation that induces a pro-inflammatory state promoting hyperglycemia, hyperinsulinemia, and thus insulin resistance, which poise the development of T2D. Clinically, this pathogenetic mechanism will manifest with endothelial damage, progression of atherosclerosis, development of atherosclerotic plaques, and eventually hypertension and thrombosis.^[38] These clinical findings describe cardiovascular complications and precursors to CVD. Along with the synergy found between T2D, CVD, and obesity, other comorbidities include obstructive sleep apnea, GERD, gallstones, and osteoarthritis and increased risks for certain cancers (colorectal, breast, esophageal, etc.). Obese pathophysiology and its clinical manifestations are summarized in Figure 1.

Pharmacologic and perioperative considerations

Preoperative considerations

Subjects suffering from morbid obesity are at higher risk for perioperative surgical and anesthetic complications and require a thorough preoperative evaluation to identify potential risks and prepare for their management during surgery. Attention during the preoperative evaluation should be focused on evaluating patients for evidence of obstructive sleep apnea, systemic and pulmonary hypertension, signs of right or left heart failure, and symptoms of ischemic heart disease. Patients with symptoms suspicious of CAD should be referred to a cardiologist and undergo an exercise stress test or dobutamine stress echocardiogram to evaluate cardiac function further. Once a patient with CAD has been thoroughly

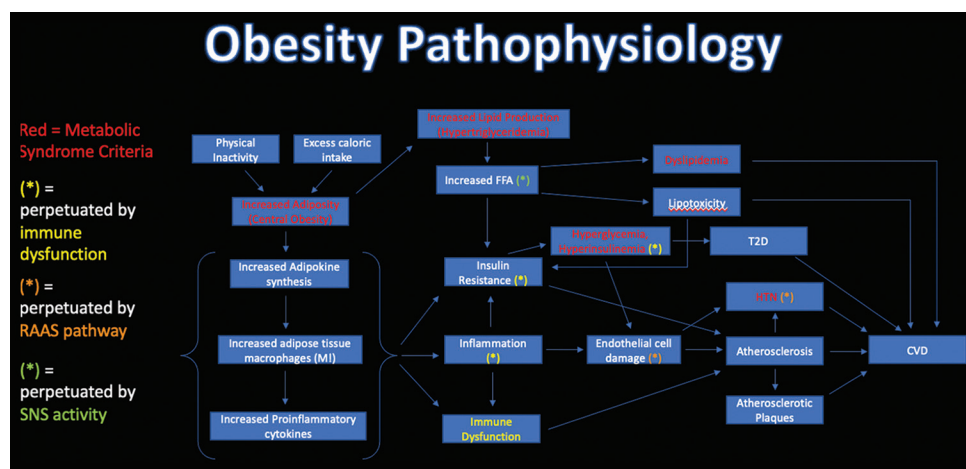


Figure 1: Obesity and pathophysiology schematic. Adapted from: Redinger RN. The pathophysiology of obesity and its clinical manifestations. *Gastroenterol Hepatol* (N Y). 2007 Nov; 3(11):856-63. PMID: 21960798; PMCID: PMC3104148(52). Heymsfield SB, Wadden TA. Mechanisms, Pathophysiology, and Management of Obesity. *N Engl J Med*. 2017 Jan 19;376 (3):254-266. doi: 10.1056/NEJMra1514009. PMID: 28099824(53)

assessed and appropriately managed, it is safe to proceed with surgery.^[9] Patients with risk factors for obstructive sleep apnea should undergo polysomnography and be treated with continuous positive airway pressure (CPAP) or bilevel positive airway pressure (BiPAP) preoperatively.^[52]

Subjects suffering from obesity are far more likely to have a difficult airway, and a thorough airway exam should be performed to identify risk factors.^[10] Subjects with obesity are also more likely to have a Mallampati score of 3 or 4 and a neck circumference of >43 cm, both of which are associated with an increased risk of difficult intubation. The excess adipose tissue surrounding the neck of subjects with obesity may hide a decreased thyromental distance; care should be taken to evaluate this by making sure the thyromental distance is greater than three finger breadths, which is roughly 50–60 mm.^[10,53,54]

The patient's usual medication regimen should be continued, except insulin and oral hypoglycemic agents.^[55] Subjects suffering from obesity are at higher risk for aspiration, given their increased intra-abdominal pressure and increased incidence of gastroesophageal reflux disease. Aspiration prophylaxis can be given to high-risk patients using a combination of metoclopramide and either a proton pump inhibitor or an H2 blocker.^[56] Subjects with obesity are also at higher risk for venous thromboembolism; thus, deep vein thrombosis prophylaxis with subcutaneous heparin 5000 IU or enoxaparin along with intraoperative use of sequential compression devices can be considered.^[55]

Intraoperative considerations

There are many necessary intraoperative considerations in subjects suffering from obesity, including patient positioning, airway management, and ventilation, and increased adiposity affects anesthetic drugs' pharmacology.

Subjects suffering from obesity are more prone to developing intraoperative nerve injuries and ischemic pressure injuries; thus, care must be taken to ensure proper patient positioning with appropriate padding of pressure points and avoidance of limb hyperextension, which can cause brachial plexus or sciatic nerve palsies.^[11,57]

To optimize the view for direct laryngoscopy and facilitate intubation, subjects with obesity should be placed in a "ramped" position in which a series of folded blankets or towels are placed under the shoulders, head, and neck so that the patient is in a 30 degree back up position with the external auditory meatus is at the same level as the sternal notch.^[58] To ensure an optimal oxygen reserve, it is also

crucial to pre-oxygenate subjects with obesity for several minutes with a goal end-tidal O₂ of >80%. When subjects with obesity are in the supine position, their intra-abdominal contents press against their diaphragm, decreasing lung expansion and reducing functional residual capacity (FRC). Additionally, reduced FRC in subjects with obesity translates to limited O₂ reserves, placing them at high risk for rapid oxygen desaturation during the apneic period during direct laryngoscopy and intubation.^[59] This risk can be minimized by pre-oxygenating patients in the reverse Trendelenburg position (RTP), allowing for improvement in FRC.

Atelectasis is common in morbidly subjects with obesity following induction of anesthesia and intubation. To improve atelectasis and improve oxygenation and ventilation, proper patient positioning and alveolar recruitment maneuvers and judicious use of positive end-expiratory pressure (PEEP) are recommended. If possible, patients should be positioned in the RTP for the duration of the surgery. Alveolar recruitment maneuvers in which a sustained inspiratory pressure of at least 40 cm H₂O for 10 s can be used to re-expand areas of the atelectatic lung. To maintain the patency of these newly recruited alveoli, recruitment maneuvers need to be followed by adequate levels (i.e., 12 cm H₂O) of PEEP.^[60-62]

Obesity causes physiologic changes that can alter the pharmacokinetics and pharmacodynamics of many anesthetic medications. Most induction agents, benzodiazepines, and opioids are highly lipophilic, and subjects with obesity have a significantly increased volume of distribution compared to non-subjects with obesity. A common approach to medication dosing in subjects with obesity is to dose water-soluble drugs based on ideal body weight and lipid-soluble drugs based on total body weight. Because 20% to 40% of the increase in total body weight of obese individuals can be attributed to an increase in their lean body mass, adding 30% to the ideal body weight is an appropriate dose adjustment for subjects suffering from obesity.^[55] Regarding people with paralysis, the intubating dose of succinylcholine should be based on total body weight as subjects with obesity have an increased fluid compartment and pseudocholinesterase levels, which require higher doses to ensure adequate paralysis. The non-depolarizing neuromuscular blockers are all dosed according to ideal body weight as they are hydrophilic drugs.^[63]

Postoperative considerations

Following surgery, the most important postoperative considerations in subjects with obesity are adequate recovery from anesthesia, pain control, and deep venous thrombosis (DVT) prophylaxis. Following surgery and before

extubating, patients should be placed in the RTP to increase FRC, which will maximize oxygenation. Delayed recovery from anesthesia can be seen in subjects with obesity due to the build-up of lipophilic drugs in adipose tissue. Thus, care should ensure that these patients have adequate respirations, complete muscle strength, and fully recovered airway reflexes before tracheal extubation. Once the trachea is extubated, patients should be placed in a semi-recumbent position with continuous pulse oximetry and supplemental oxygen therapy to maintain appropriate O₂ saturations.^[55,64] Patients with a history of obstructive sleep apnea (OSA) are at an increased risk for respiratory failure in the postoperative period. It is currently recommended that these patients receive CPAP or BiPAP therapy in the immediate postoperative period as long as there are no contraindications to their use.^[52]

Postoperative pain control in patients with obesity is complicated because these patients experience an increased respiratory depressant response to opioids. This respiratory depressant effect is even more pronounced in patients with OSA. Therefore, a multimodal approach to pain control is preferred in these patients.^[65,66]

The risk of postoperative DVT is higher in subjects suffering from obesity.^[67] Sequential compression device and anticoagulation therapy with subcutaneous heparin or enoxaparin can be continued postoperatively, but one of the most critical interventions for DVT prophylaxis is early ambulation when possible.^[68]

Conclusion

Obesity is a global health concern of increasing prevalence that can be defined as a state of malnutrition by excess. This excess of nutrients leads to an imbalance in energy homeostasis and increased adiposity, activating pro-inflammatory physiologic mechanisms that can lead to a chronic inflammatory state associated with the progression of many chronic diseases. Despite the view that obesity is a preventable disease, the multifactorial etiology incorporating components such as genetic, behavioral, and environmental factors play an essential role in understanding the epidemiology and pathophysiology of the disease. The continued concern regarding the increasing prevalence of obesity worldwide is linked to the understanding that obesity is a significant predisposing factor to conditions such as type II diabetes, cardiovascular disease, and cancer-related to increased morbidity and mortality.

As the prevalence of obesity continues to rise, perioperative anesthetic care for subjects suffering from obesity will also

increase. Understanding the epidemiology, biochemistry, and pathophysiology of obesity and its related chronic diseases plays a vital role in the perioperative anesthetic care of subjects suffering from obesity. Preoperative screening of subjects suffering from obesity for cardiovascular diseases such as CAD and monitoring current medication regimens are imperative for understanding baseline physiology among subjects suffering from obesity for intraoperative care. Tracheal intubation and airway management can become complicated in subjects suffering from obesity, with increased risk of desaturation and postoperative complications. Continued understanding of the unique pathophysiology of obesity must be made to provide the best perioperative care for subjects suffering from obesity due to the increased incidence of perioperative complications within this patient population.

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Conflicts of interest

There are no conflicts of interest.

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