

Enhancing retention and efficacy of cardiosphere-derived cells administered after myocardial infarction using a hyaluronan-gelatin hydrogel

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Cardiosphere-derived cells (CDCs) are under clinical development and are currently being tested in a clinical trial enrolling patients who have undergone a myocardial infarction. CDCs are presently administered via infusion into the infarct-related artery and have been shown in early clinical trials to be effective agents of myocardial regeneration. This review describes the administration of CDCs in a hyaluronan-gelatin hydrogel via myocardial injection and the subsequent improvements in therapeutic benefit seen in animal models. Development of a next generation therapy involving the combination of CDCs and hydrogel is discussed.

Introduction

Cardiosphere-derived cells (CDCs)¹ have been under clinical development since 2009. The ongoing ALLSTAR trial (NCT01458405) is examining the safety and efficacy of allogeneic CDCs administered by intracoronary infusion in patients who have suffered a myocardial infarction (MI). Findings from the CADUCEUS trial,² in which autologous CDCs were administered to post-MI patients, have already foreshadowed the potential clinical utility of CDCs in this patient population. Both cell therapies are believed to act via the same mechanisms, to stimulate endogenous regeneration and attenuate fibrosis, and do so without eliciting an immune response,^{3,4} in the case of allogeneic CDCs. The effects manifest preclinically as a decrease in cardiomyocyte apoptosis, recruitment of cardiac stem cells, stimulation of cardiomyocyte proliferation, increase in blood vessel density and decrease in collagen deposition;^{3,5} clinically as a reduction in infarct size, accumulation of viable myocardium and attenuation of left ventricular remodeling.² Should ALLSTAR replicate the findings of CADUCEUS as expected based on preclinical studies,⁴ patients treated with allogeneic

CDCs will experience a nearly 50% reduction in infarct size over the course of a year, commensurate with the addition of new myocardial mass.²

Despite the sizeable observed and expected benefits of CDC therapy in clinical studies, preclinical studies have shown that no more than 5% of cells survive longer than 24 h after intracoronary delivery in either saline or a cryopreservation solution containing DMSO (dimethyl sulfoxide).^{4,6} Presumably, poor cell retention and engraftment can be attributed to multiple factors, such as: the use of a minimally-invasive delivery approach, intracoronary infusion, which is not as effective as intramyocardial injection, the harsh ischemic microenvironment making transplanted cells susceptible to apoptosis, and the lack of space and anchorage sites available for transplanted cells making them susceptible to interstitial clearance by the lymphatic system. Furthermore, these retention and engraftment issues are common to most cell therapies, not specific to CDCs, although solutions may need to be tailored to cell type. While many possible solutions do exist, in the case of CDCs, intramyocardial injection in a hyaluronan-gelatin hydrogel has been shown to meaningfully improve retention, engraftment and efficacy in preclinical studies.⁷ A next generation therapy for MI patients may involve the combination of CDCs and hydrogel.

The current status of cell therapy for MI is summarized herein along with the preclinical data supporting the use of a CDC-hydrogel combination therapy. Plans to move that combination product toward the clinic are described as well.

Cell Therapy for Myocardial Infarction

This year 1.3 million Americans will have a new or recurrent MI.⁸ Only 15% of MI sufferers will die as an immediate result,⁸ a mortality rate that has declined in recent years thanks to advances in the acute management of MI.⁹ However, 36% of MI survivors will develop heart failure (HF),¹⁰ and will consequently be at increased risk for death.¹¹ Following an MI, ejection fraction (EF), end-systolic and end-diastolic volumes (ESV and EDV), and to a greater extent infarct size have been shown to predict subsequent HF development, adverse left ventricular (LV) remodeling, MACE (major adverse cardiac events), and

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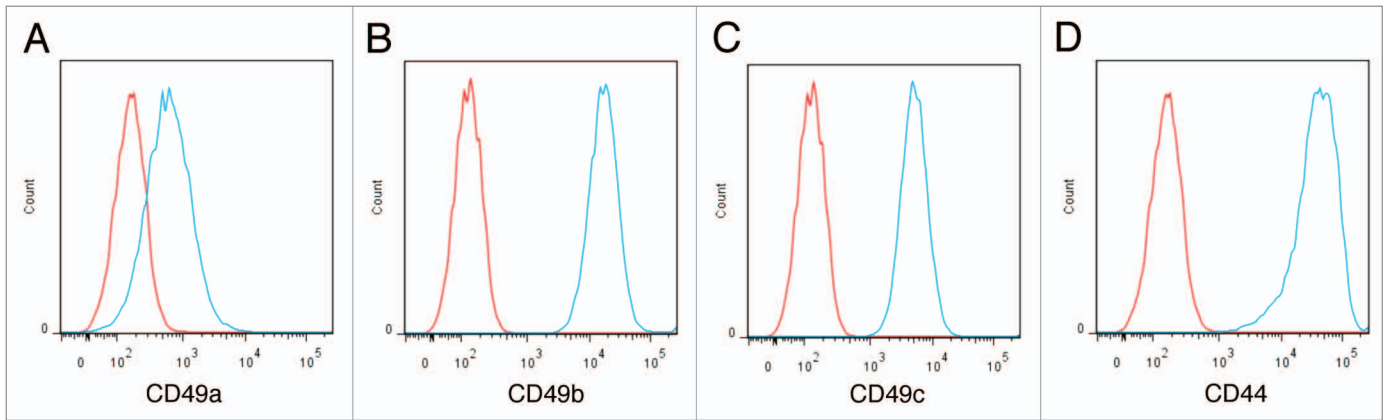


Figure 1. CDC surface markers compatible with hydrogel. Representative flow cytometry histograms showing expression of CD49a (A), CD49b (B), CD49c (C) and CD44 (D) in CDCs (in blue). Isotype controls are shown in red.

all-cause mortality for patients.¹²⁻¹⁶ Infarct size alone is a rigorous, independent predictor of MACE-free survival that can be used to classify patients as at-risk (e.g., infarct size $\geq 18.5\%$) or not at-risk.¹⁴ Even with maximum medical care, however, once an infarct is established its size does not change.¹⁷ While long-term adverse neurohormonal responses can be countered with β blockers and ACE-inhibitors and the likelihood of recurrent ischemic events can be decreased with aggressive secondary prevention,¹⁸ no therapy currently available can reduce the size of an established infarct.

Cell therapy aims to alter this fixed trajectory for MI survivors: to intervene in the process of adverse LV remodeling, to reduce infarct size and to actually regenerate viable myocardial tissue in its place. The field to date has focused primarily on when to administer cells and what cells to administer, while relying on minimally-invasive delivery approaches (i.e., intracoronary infusion) that could also be readily and widely adopted by clinicians. More novel delivery approaches (i.e., transcatheter injection) have begun to establish a decent clinical safety profile,¹⁹ but seem to offer marginal added efficacy benefits. The result of all attempts to date has been partial restoration of cardiac structure and function. On the whole (in a meta-analysis considering 50 studies enrolling 2625 patients) autologous bone marrow cells, by far the cell type most extensively studied clinically, have led to a 4.0% increase in EF, an 8.9 mL reduction in ESV, a 5.2 mL reduction in EDV, and a 4.0% reduction in infarct size compared with control.²⁰ These primary efficacy data can be termed marginally positive at best. Although one of the first and most positive studies²¹ is now reporting unanticipated benefits on long-term clinical endpoints (e.g., death, recurrent MI, HF development, revascularization),²² room for improvement undeniably still exists.

Clinical Use of Cardiosphere-Derived Cells

Cardiosphere-derived cells have yet undergone limited clinical use, but may have come the closest to achieving the goals of cell therapy, including viable tissue regeneration. The CADUCEUS (Cardiosphere-Derived AUtologous Stem CELls to Reverse

Ventricular DySfunction) trial demonstrated the safety and efficacy of autologous CDC administration via intracoronary infusion in patients with LV dysfunction post-MI.² In the randomized, controlled, dose-escalating Phase I trial, autologous CDCs manufactured from endomyocardial biopsy specimens were infused into the infarct-related artery in 17 patients. Eight patients were followed as standard-of-care controls. In > 12 months of follow-up, safety endpoints were equivalent. Contrast-enhanced magnetic resonance imaging (MRI) revealed reductions of infarct size (scar mass normalized to total LV mass) in CDC-treated patients ($-7.7 \pm 4.8\%$), but not in controls ($+0.3 \pm 5.4\%$) over a period of 6 mo. The treatment effect in CDC patients nearly doubled at 12 mo ($-12.3 \pm 5.0\%$), amounting to a 46% relative reduction of infarct size (from a baseline of 24%), but remained unchanged in controls ($-2.2 \pm 7.1\%$). In comparison to the overall effect reported for bone marrow cells on infarct size,²⁰ CDCs elicited much larger reductions. Theoretically, tissue regeneration should be manifested not only by scar shrinkage but also by an increase in viable tissue (measured independently by MRI). Accordingly, while changes in scar mass mirrored changes in infarct size, viable tissue mass increased in CDC-treated patients ($+13.0 \pm 11.4$ g at 6 mo), but not in controls ($+0.9 \pm 6.2$ g at 6 mo), and the correlation between scar shrinkage and increased viability was highly significant ($r = -0.59$, $p = 0.0007$). This novel finding indicates that CDCs may in fact be truly regenerative.

Following the discovery that autologous and allogeneic CDCs act via the same mechanisms of action, and furthermore, that allogeneic CDCs could be safely administered in the setting of MI without eliciting an immune response,^{3,4} the ALLSTAR trial was initiated. ALLSTAR (ALLogeneic Heart STem Cells to Achieve Myocardial Regeneration) is a Phase I/II randomized, double-blinded, placebo-controlled safety and efficacy study. The ongoing study is evaluating intracoronary infusion of allogeneic CDCs or placebo in 248 patients with LV dysfunction post-MI. Allogeneic CDCs are manufactured from a single donor for use in many recipients, and several donors will be utilized during the course of ALLSTAR, so as to demonstrate product comparability. The study will carefully monitor patients

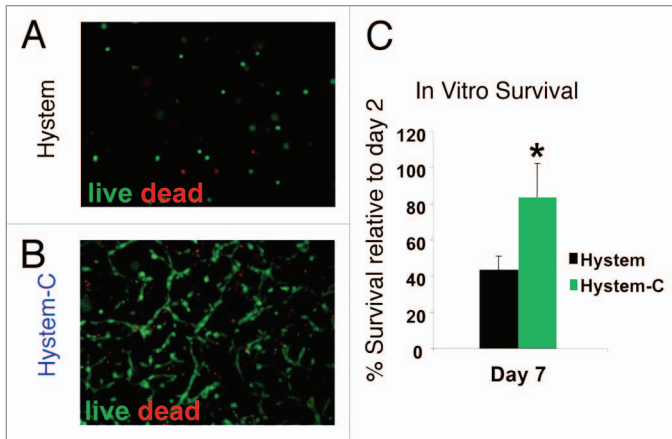


Figure 2. CDC survival in the hydrogel. (A and B) Representative fluorescence micrographs showing live (Calcein-AM: green) and dead (EthD: red) staining of CDCs cultured in *Hystem*TM and *Hystem-C*TM for 7 d. (C) CCK-8 assay quantifying cell survival rates in *Hystem*TM (black bars) or *Hystem-C*TM (green bars) (n = 3). *indicates p < 0.05 when compared with *Hystem*TM.

for the development of inflammation or an immune reaction in response to allogeneic CDC administration, while simultaneously assessing changes in infarct size, cardiac function, quality-of-life and cardiac biomarkers. ALLSTAR will establish much about the effectiveness of CDC therapy for post-MI patients. In the meantime, efforts to improve upon the therapy will continue.

Approaches to Enhance Efficacy of Cell Therapy

CDCs, much like other cell types, are retained in the heart more effectively when intramyocardial injection as opposed to intracoronary infusion is employed for cell administration. In a clinically-relevant preclinical model, use of a minimally-invasive catheter-based transendocardial injection system resulted in ~15% engraftment 24 h after delivery,²³ as opposed to the ~5% achievable with intracoronary infusion.^{4,6} Prior preclinical studies have shown also that preventing mechanical loss and washout of CDCs from the contracting, perfused myocardium (by completely arresting the heart), can lead to a further 4-fold increase in 24 h engraftment.²⁴ Ultimately, efficacy scales with engraftment, and novel approaches aimed at reducing or preventing mechanical loss while enhancing cell survival and subsequent engraftment could contribute greatly to the efficacy of CDC therapy.

One such approach combines CDCs with an in situ polymerizable hydrogel (*Hystem*[®]-*C*TM, BioTime Inc.) that can be delivered intramyocardially, either by direct surgical injection or by a transendocardial catheter. Multiple hydrogels alone have demonstrated a capacity for improving cardiac function in preclinical models²⁵ and at least one²⁶ is undergoing clinical testing in a post-MI patient population (NCT01226563). Cell-hydrogel combinations of various sorts have also been characterized preclinically as therapies for myocardial repair.²⁷ A hyaluronan-gelatin hydrogel not dissimilar to that selected for use with CDCs has been shown to be particularly well-suited for withstanding the contractile forces of the heart.²⁸ *Hystem-C*TM

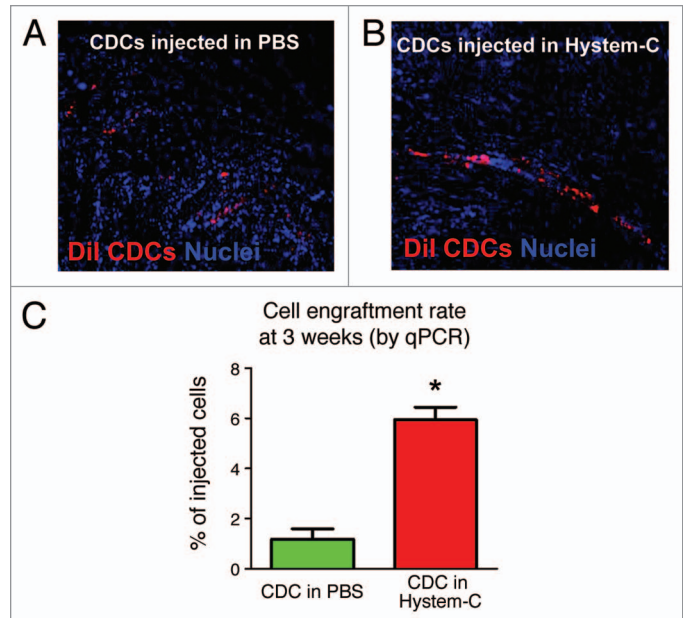


Figure 3. Enhanced cell engraftment by delivering CDCs in *Hystem-C*TM. (A and B) Representative confocal images showing engraftment of Dil-labeled human CDCs (red) 24 h after injection into post-MI mouse hearts. (C) Quantitative PCR analysis of cell engraftment rates in the mouse hearts 3 weeks post injection (n = 3). * indicates p < 0.05 when compared with CDC in PBS.

is a hyaluronan-based hydrogel crosslinked using thiol-reactive poly(ethylene glycol) diacrylate and covalently linked to thiolated collagen to aid cell attachment. The base product is chemically-defined and nonimmunogenic and the collagen is porcine derived. Hyaluronan is a glycosaminoglycan component of the extracellular matrix of all connective tissues, making it an attractive vehicle for cell delivery.²⁹ Hyaluronan-based hydrogels can be formulated with varying gelation times depending on the concentrations of the individual monomers, making them suitable for catheter delivery and in situ polymerization. Collagen is a major component of the heart's natural extracellular matrix. Furthermore, hyaluronan-gelatin hydrogels biodegrade in vivo over the course of four to eight weeks due to the action of hyaluronidases and collagenases produced naturally by cells.³⁰ It has been demonstrated that *Hystem-C*TM promotes tissue repair in various organ systems,³¹ but our study represents its first use in the heart.⁷

The CDC-hydrogel combination therapy was intended to: (1) reduce cell loss due to leakage by virtue of hydrogel viscosity and by acting as a substrate to which CDCs can anchor; (2) bolster cell survival by reducing the level of apoptosis following transplantation by offering an environment in which CDCs are temporarily protected from the in vivo elements; (3) allow for the gradual migration of CDCs out of the hydrogel, concurrent with its degradation, and into the myocardium where they can form new cardiomyocytes and endothelial cells; and (4) improve cardiac function beyond the level seen with cells delivered in a saline vehicle due to improved cell engraftment and prolonged paracrine effects.

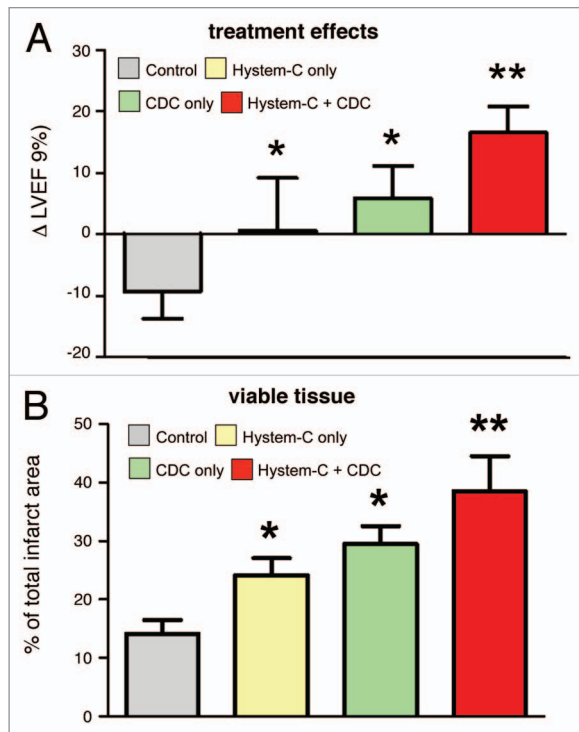


Figure 4. Cardiac function and heart morphometry. (A) Changes of left ventricular ejection fraction (LVEF) measured by echocardiography from baseline to 3 weeks in each group. (B) Quantitative analysis and LV morphometric parameters of Masson's trichrome images (n = 3–5 mice per group). * indicates p < 0.05 when compared with Control. ** indicates p < 0.05 when compared with any other group.

Hyaluronan-Gelatin Hydrogel Delivery of Cardiosphere-Derived Cells

CDCs, which express multiple collagen-binding integrins ($\alpha 1$, $\alpha 2$, $\alpha 3$; Fig. 1A–C) as well as the receptor for hyaluronic acid (CD44; Fig. 1D), were found to be highly compatible with *Hystem-C*TM when incorporated within the hydrogel and cultured for up to one week. Cells loaded with viable and dead cell fluorescent indicators, allowed for the visualization of CDC morphology and the quantitative assessment of viability over time. CDCs adopted a spread morphology, typical of that seen in culture, in *Hystem-C*TM (Fig. 2B), while CDCs in *Hystem*TM (the base product without collagen; Fig. 2A) remained rounded. Furthermore, more than 80% of CDCs embedded in *Hystem-C*TM remained viable for one week, while more than 50% of CDCs embedded in *Hystem*TM were dead within the week (Fig. 2C). Additionally, in vitro migratory capacity of the CDCs was greatest when *Hystem-C*TM was the material from which they migrated, with *Hystem*TM acting no differently than culture media alone in terms of a migration platform. These data indicated that CDCs could survive embedded in *Hystem-C*TM short-term, for the amount of time it may take for the hydrogels to begin to biodegrade in vivo, and that *Hystem-C*TM as a delivery vehicle may in fact stimulate CDC migration into the surrounding myocardium in vivo at such a time when environmental cues are favorable.

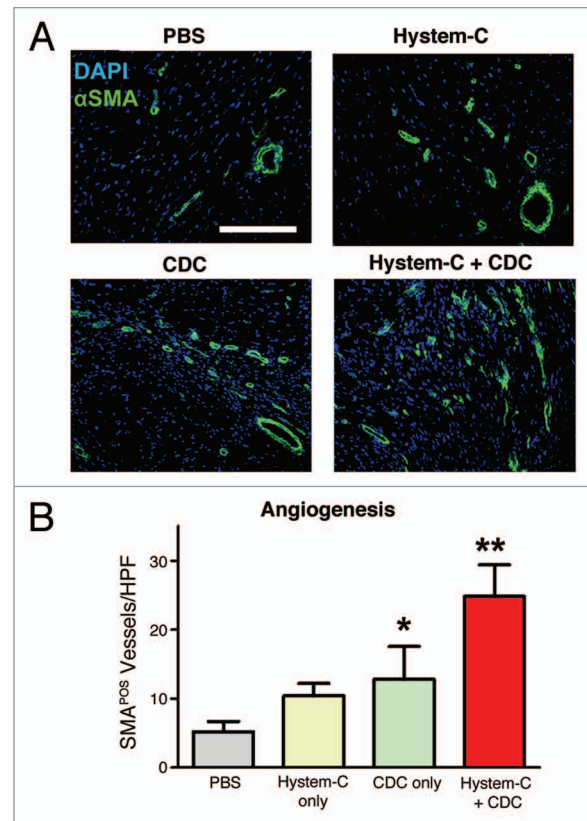


Figure 5. Promotion of angiogenesis by CDC/hydrogel transplantation. (A) Representative confocal images showing α smooth muscle actin-positive vasculature in the hearts receiving various treatment products. (B) Quantitation of α smooth muscle actin-positive vasculature in various groups (n = 5 mice per group). * indicates p < 0.05 when compared with Control. ** indicates p < 0.05 when compared with any other group. Bar = 200 μ m.

A mouse model of MI was next employed to investigate the combination product in vivo. CDCs were incorporated within *Hystem-C*TM, *Hystem*TM or PBS (phosphate-buffered saline) and delivered as an aqueous solution (such that gelation occurred in situ), using a needle and syringe, intramyocardially in mice. Cell retention 24 h after delivery was dramatically increased in the *Hystem-C*TM condition (Fig. 3B), by more than 7-fold compared with both the *Hystem*TM and PBS conditions (Fig. 3A), resulting in an average retention of ~35% of the total cells delivered. Long-term cell engraftment (3 weeks after delivery) was significantly increased for the *Hystem-C*TM group compared with the PBS group (Fig. 3C), though expectedly reduced compared with 24 h. The results in terms of cardiac function and structure revealed improvements in left ventricular ejection fraction (LVEF; Fig. 4A) and additions of viable myocardial mass (Fig. 4B) for the *Hystem-C*TM group that exceeded the effects seen in all other groups (p < 0.05 vs. all other groups). The two other treatment groups included for comparison, *Hystem-C*TM alone (no cells) and CDCs in PBS, showed a preservation of LVEF over the study period, as opposed to the clear improvement seen in the CDCs in *Hystem-C*TM group, while the PBS only control group deteriorated. Severe

adverse remodeling (chamber dilatation and infarct wall thinning) and a limited amount of viable mass were observed in the control group. The treatment groups showed significantly reduced degrees of adverse remodeling and significantly greater amounts of viable mass, with benefits increasing across the Hystem-C™ alone, CDCs in PBS and CDCs in Hystem-C™ groups. An analysis of CDC differentiation capacity revealed that delivery in Hystem-C™ did not impair their ability to form new cardiomyocytes or endothelial cells, that more differentiation occurred as a consequence of greater engraftment. An analysis of the angiogenic effect, one of several manifestations of the paracrine effects of CDC treatment, demonstrated that neovascularization was improved when Hystem-C™ was used for CDC delivery (Fig. 5). These data illustrated that Hystem-C™ as a delivery vehicle could in fact improve both short-term retention and long-term engraftment of CDCs in the setting of MI, and could also lead to improvements in treatment efficacy as assessed by cardiac function and cell activity in vivo. These data in total serve as compelling proof-of-concept for the CDC-hydrogel combination therapy.

Advancing a Cardiosphere-Derived Cell and Hydrogel Combination Therapy to the Clinic

Next steps for the CDC-hydrogel combination therapy will include compatibility testing with one of several catheter-based transendocardial injection systems and large animal studies to evaluate safety and efficacy in a clinically-relevant model. An appropriate patient population, perhaps one in which intracoronary infusion in a previously infarcted artery poses a safety risk, can then be targeted for a first clinical study. In the arena of cell therapy for MI, a new product that can overcome the widespread issues affecting cell engraftment should ultimately result in greater clinical benefits for patients. Cardiosphere-derived cells paired with Hystem-C™ have shown great promise thus far in preclinical testing. Such a product may also reduce the manufacturing time and cost needed to generate an adequate therapeutic dosage, making the therapy more accessible to patients. The general techniques developed and knowledge gained from this study may be applicable to other cell types as well,³² and delivery with Hystem-C™ may in fact benefit the field of cell therapy for MI as a whole.

Disclosure of Potential Conflicts of Interest

EM and LM own equity in Capricor, Inc. LM and RRS are employed by Capricor, Inc.

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