openheart Evaluation of latest viscoelastic coagulation assays in the transcatheter aortic valve implantation setting

Jonathon Fanning ⁽¹⁾,^{1,2,3,4} Shaun Roberts,⁵ Megan Merza,² Chris Anstey,⁶ Karl Poon,^{2,3} Alexander Incani,^{2,3} Sarvesh Natani,^{2,4} John Fraser^{2,3,4}

ABSTRACT

To cite: Fanning J, Roberts S, Merza M, *et al.* Evaluation of latest viscoelastic coagulation assays in the transcatheter aortic valve implantation setting. *Open Heart* 2021;**8**:e001565. doi:10.1136/ openhrt-2020-001565

Received 26 December 2020 Revised 22 February 2021 Accepted 6 April 2021

Check for updates

© Author(s) (or their employer(s)) 2021. Re-use permitted under CC BY-NC. No commercial re-use. See rights and permissions. Published by BMJ.

¹Wesley Medical Research Limited, Auchenflower, Queensland, Australia ²St Andrew's War Memorial Hospital, Spring Hill, Queensland, Australia ³Faculty of Medicine, The University of Queensland, Herston, Queensland, Australia ⁴The Prince Charles Hospital, Chermside, Queensland, Australia ⁵The Princess Alexandra Hospital, Woolloongabba, Queensland, Australia ⁶School of Medicine, Griffith University, Gold Coast, Queensland, Australia

Correspondence to

Dr Jonathon Fanning, Intensive Care Unit, St Andrew's War Memorial Hospital, 457 Wickham Terrace, Brisbane, Queensland, 4001, Australia; jonathon.fanning@uchealth. com.au Background Point of care viscoelastic measures with thromboelastography (TEG: Haemonetics Corporation. Switzerland) and thromboelastometry (ROTEM, Tem Innovations GmbH. Germany) now supersede laboratory assays in the perioperative assessment and management of coagulation. To the best of our knowledge, this sophisticated coagulation assessment has not been performed to characterise thrombotic changes in the transcatheter aortic valve implantation (TAVI) setting, nor have the two latest iteration cartridge-based systems been directly compared in the elective perioperative period. Methods Patients undergoing TAVI were prospectively recruited. Samples (n=44) were obtained at four timepoints (postinduction of anaesthesia, postheparin (100 IU/kg), postprotamine (1 mg/100 IU heparin) and 6 hours postoperatively). Each sample was concurrently assessed with standard laboratory tests (prothrombin time/international normalised ratio, activated partial thromboplastin time, thrombin clotting time, platelet count and direct fibrinogen, ROTEMSigma and TEG6s). Results Clot strength showed a statistically significant increase postheparin/TAVI deployment. When considering the subgroup of samples taken following the administration heparin, the heparinase channel of the TEG6s did not yield clotting strength results in 55% of samples and clotting time exceeded the upper limit of normal in 70% of samples. It was retrospectively recognised that the arachidonic acid channel of the TEG6s Platelet Mapping Cartridge had been decommissioned prohibiting assessment of aspirin effect. Conclusions This study demonstrated a small

intraprocedural prothrombotic change of uncertain clinical importance during the transcatheter aortic valve procedure. Further comparison with percutaneous coronary intervention and aortic valve replacement cohorts are needed to assess the merits of current antithrombotic guidelines, which are extrapolated from the PCI setting. The heparin effect was more consistently quantified by ROTEM.

INTRODUCTION

Widespread acceptance of the cell-based model of coagulation and the ease and rapidity of use have increasingly emphasised testing whole blood with point-of-care

Key questions

What is already known about this subject?

 Current intraoperative antithrombotic regimes for patients undergoing transcatheter aortic valve implantation (TAVI) are based on data and strategies extrapolated from percutaneous coronary intervention.

What does this study add?

This study assesses the prothrombotic changes that occur during the TAVI procedure using whole blood viscoelastic strategies and, to the best of our knowledge, provides the first comparison between the latest iteration viscoelastic haemostatic assays in an elective perioperative setting.

How might this impact on clinical practice?

A statistically significant intraprocedural prothrombotic signal was identified associated with TAVI. This requires comparison with percutaneous coronary intervention and aortic valve replacement to determine the suitability of current antithrombotic regimens. Findings also mandate further validation of the TEG6s in setting of both heparin and antiplatelet medications.

viscoelastic haemostatic assays.¹ The two most commonly used systems, thromboelastography or TEG (Haemonetics Corporation, Switzerland) and rotational thromboelastometry or ROTEM (Tem International GmbH, Munich, Germany) now supersede laboratory measures for the perioperative assessment of coagulation at many centres.² Both devices have experienced recent iterations (TEG6s and ROTEMSigma) composed of preprepared cartridges to which patient blood samples are added and automatically processed. Perioperatively, the information obtained allows for: sophisticated characterisation of coagulation (both coagulopathic and prothrombotic) and managing intraoperative bleeding and clotting issues.³

To our knowledge, the latest cartridgebased iterations of the two systems have not



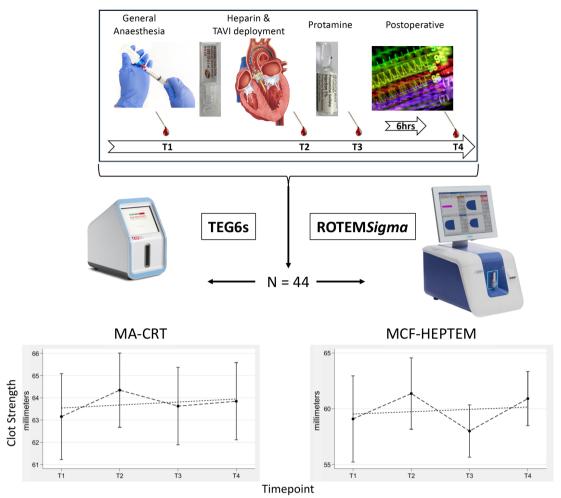


Figure 1 Clot strength measured using maximum amplitude of the citrated rapid TEG (MA-CRT) cartridge (left) and maximum clot firmness of the ROTEMSigma HEPTEM (MCF-HEPTEM) cartridge (right) both demonstrating a statistically significant prothrombotic effect following TAVI deployment, which is of uncertain clinical significance. TAVI, transcatheter aortic valve implantation.

been directly compared in any elective perioperative setting. Additionally, the underlying temporal coagulation changes that occur due to the TAVI procedure itself have not been characterised—a necessary first step to determining the optimal antithrombotic regime.

METHODS

Between March and May 2018, patients undergoing transcatheter aortic valve implantation (TAVI) at St. Andrew's War Memorial Hospital, Australia, were recruited prospectively. Study methods were performed accordingly. Informed written consent was obtained from all eligible patients prior to enrolment.

Patients were consecutively screened for inclusion. All comers were considered, and key exclusion criteria were included: emergency procedures; haemoglobin <100 g/L; platelet count <100×10⁹; known/suspected bleeding or clotting disorder (not including dual antiplatelet therapy or intraoperative heparin); ejection fraction <50%; severe liver, renal, respiratory or psychiatric disease; unable/

unwilling to consent; or enrolment in another study with a non-standard therapeutic intervention.

Clinical management and assessment

Suitability for TAVI was determined by a multidisciplinary 'heart team'. All procedures were performed transfemorally using the SAPIEN-3 (Edwards LifeSciences, Irvine, California, USA) prosthesis and under general anaesthesia. All patients received dual antiplatelet loading with 300 mg each of aspirin and clopidogrel within the preceding 24 hours and intravenous heparin (100 IU/ kg) prior to valve deployment, which was reversed with protamine (1 mg/100 IU heparin).

A detailed preprocedure questionnaire and in-hospital preprocedure and postprocedure assessments sought to identify risk factors for and clinically apparent complications associated with bleeding and clotting. Bleeding/ thrombosis relevant clinical events (including mortality, myocardial infarction, stroke, bleeding complications, vascular complications, conduction disturbances/ arrhythmias and requirement for cardiac reintervention)

Interventional cardiology

were adjudicated by medical specialists independent of the treating team using the Valve Academic Research Consortium-2 consensus criteria.⁷

Coagulation assessment

Blood samples were collected at four timepoints: T0: postanaesthesia induction/preheparin; T1: postheparin (100 IU/kg) and TAVI deployment; T2: postprotamine (1mg/100IU heparin); and T3: 6 hours postprocedure (see figure 1). At each time point, blood was analysed with the ROTEMSigma analyser using a 'complete+hep' cartridge (with four channels: INTEM, EXTEM, FIBTEM and HEPTEM) and the TEG6s analyser with a citrated cartridge (with four channels: kaolin (CK), RapidTEG (CRT), kaolin/heparinase (CKH) and functional fibrinogen (CFF). Baseline platelet function was assessed using the TEG6s Platelet Mapping Cartridge (with four channels: kaolin/heparinase, reptilase/factorXIIIa/abciximab (ActivatorF or ActF), adenosine-5'-diphsophate/ActivatorF (ADP) and Arachdonic acid/ActivatorF (AA). Key study parameters were: time for clot initiation (clotting time (CT; s) for ROTEM, and reaction time (R; min) for TEG) and clot strength (maximum clot firmness (MCF; mm) for ROTEM, and maximum amplitude (MA; mm) for TEG). Standard laboratory tests of coagulation included prothrombin time (PT)/international normalised ratio, activated partial thromboplastin time (aPTT), thrombin clotting time and direct (Clauss) fibrinogen (Sysmex CS-5100) and full blood count (Sysmex SP-10, Sysmex Corporation, Kobe, Japan). All equipment was used and maintained according to manufacturer recommendations.

Statistical analysis

Summary statistics are reported as: simple percentages (%); group means±SD compared via paired t-tests for normally distributed data; or medians±IQR compared using Wilcoxon paired sign-rank test. For each measure, changes from baseline were plotted over time as a categorical variable. Student's t-tests were performed to identify any statistically significant changes from baseline. Corresponding TEG, ROTEM and laboratory measures were correlated by Pearson's correlation. Highly correlated variables were then assessed for agreement using Bland-Altman plots. Univariate cross-sectional time-based random effects models were developed for each viscoelastic measure using the laboratory clotting indices, in turn, as the dependent variable. Both paired comparisons between timepoints and univariate time series regression were analysed for each variable. Changes over time were compared via paired comparisons between timepoints of each variable with baseline. Times were analysed as regular intervals despite being irregularly spaced. Analyses were performed using STATA V.13 (StataCorp, Texas, USA).

RESULTS AND DISCUSSION

Baseline characteristics

Forty-four samples were obtained from 11 patients undergoing TAVI. Their baseline characteristics are reported in

Versielele	Management
Variable	Measure
Patient baseline characteristics	
Age, year	83.5±8.8
Male sex	7 (64)
BMI, kg/m ²	29.7±5.2
STS mortality, %	4.4±1.8
STS morbidity, %	24.6±11.5
AVA, cm ²	0.9±0.1
Single antiplatelet	2 (18)
Single antiplatelet and anticoagulation	5 (45)
Dual antiplatelet	3 (27)
Dual antiplatelet and anticoagulation	1 (9)
Stroke or TIA	4 (36)
IHD (including stable angina and acute coronary syndromes)	4 (36)
Congestive cardiac failure	0 (0)
Ejection fraction	62±17.8
Pulmonary embolism or DVT	1 (9)
Hypertension	7 (64)
Hyperlipidaemia	4 (36)
Diabetes mellitus	2 (18)
Creatinine >150 µmol/L	1 (9)
Chronic liver disease	0 (0)
Procedural characteristics	
Device success	11 (100)
Average procedure time, min	26±4.5
Duration of rapid ventricular pacing, s	24±5.8

Values are expressed as median±IQR or as n (%).

AVA, aortic valve area; BMI, body mass index; DVT, deep vein thrombosis; IHD, ischaemic heart disease; STS, Society of Thoracic Surgeons; TIA, transient ischaemic attack.

table 1. Patients were a mean 84 ± 5.9 years old, predominantly male (66%) and all had severe aortic stenosis (aortic valve area of 0.9 ± 0.1 with mean aortic valve gradient of 36 ± 8.5). The average TAVI procedure time was 26.6 ± 3.9 min; no procedure required postimplantation manoeuvres. Serial clinical assessments revealed no clinically apparent bleeding or thrombotic events.

Binary comparisons between assays

Direct comparisons between complementary laboratory and viscoelastic clotting indices are summarised in table 2. Regarding clotting time, both PT and aPTT showed significant associations with the independent variables CT-activation of intrinsic pathway (INTEM) and R-CRT and an association was significant for CT-activation of extrinsic pathway (EXTEM) versus R-CRT. Regarding clot strength, significant associations with at least moderate correlations was evident for MCF-INTEM versus MA-CK, MCF-EXTEM versus MA-CRT and MCFactivation of extrinsic pathway and in vitro blocking of

Table 2 Binary comparisons between corresponding assays					
Variable	Regression slope (β)	95% CI	P value	R ²	
Clotting time					
Prothrombin time with					
CT-INTEM	+0.013	+0.008 to +0.018	<0.001	0.30	
CT-EXTEM	+0.037	-0.027 to +0.101	0.260		
R-CK	-0.043	-0.434 to +0.348	0.83		
R-CRT	+5.355	+4.197 to +6.513	<0.001	0.62	
Activated partial thromboplastin time with					
CT-INTEM	+0.169	+0.121 to +0.218	<0.001	0.53	
CT-EXTEM	+0.468	-0.202 to +1.138	0.17		
R-CK	-5.939	-13.180 to +1.302	0.11		
R-CRT	+52.6	+37.5 to +67.8	<0.001	0.52	
CT-INTEM with R-CK	-1.55		0.904		
CT-EXTEM with R-CRT	+9.87		0.041	0.092	
CT-FIBTEM with R-CFF	-0.23		0.834		
Clot strength					
MCF-INTEM with MA-CK	+0.822	+0.664 to +0.980	<0.001	0.78	
MCF-EXTEM with MA-CRT	+1.347	+0.728 to +0.966	<0.001	0.47	
MCF-FIBTEM with MA-CFF	+0.625	+0.392 to +0.859	<0.001	0.69	
\triangle MCF with \triangle MA	+0.452	-0.171 to +1.075	0.16		

CFF, citrated functional fibrinogen; CK, citrated kaolin; CKH, citrated kaolin with heparinase; CRT, citrated rapid thromboelastogram; CT, clotting time; EXTEM, activation of extrinsic pathway; FIBTEM, activation of extrinsic pathway and in vitro blocking of thrombocytes; HEPTEM, activation of intrinsic pathway and in vitro blocking of heparin; INTEM, activation of intrinsic pathway; MA, maximum amplitude; MCF, maximum clot firmness; R, reaction time.

thrombocytes (FIBTEM) versus MA-CFF but not for the platelet function surrogate measure Δ MCF (MCF-EXTEM – MCF-FIBTEM) versus Δ MA (MA-CRT – MA-CFF).

Platelet inhibition

Analysis of the ADP channel of the TEG6s Platelet Mapping Cartridge demonstrated that clopidogrel resulted in $32.2\%\pm8.5\%$ platelet inhibition with one non-responder (<10%) and three semiresponders (10%– 30%). Over the course of the study, it became apparent that the AA channel was non-functional; consequently, results regarding the aspirin component of platelet inhibition are unavailable. Other assays of aspirin inhibition of platelet function, such as optical aggregometry (considered the gold standard assessment), electrode impedance aggregometry and flow cytometry also have well-recognised limitations for measuring the antiplatelet effects of aspirin. Alternative point of care testing systems were not logistically feasible at the time of recruitment.^{8–10}

Characterising the changes that occur during TAVR

Temporal changes for each of the indices are summarised in table 3, and for clot strength, this is also demonstrated in the figure 1. As expected, CT was prolonged at T1 versus baseline and normalised by T2 for all measures. Fibrinogen was significantly lower than baseline at T1 but significantly higher at T3. MA-CRT showed a statistically-significant increase at T1, providing evidence of a prothrombotic change.

Quantifying and neutralising the heparin effect

When considering those samples taken at T1, TEG6s MA-CKH yielded no results in 55% of samples and R-CKH exceeded the upper limit of normal in 8/11 heparinised samples (median: 588s; IQR 366–738) versus ROTEM*Sigma*, where MCF-HEPTEM was determined in 100% of samples and both CT-HEPTEM (223s; 212–229) and MCF-HEPTEM (60 mm; 58–66) were within the normal range for all samples. Thus, in our cohort, the CKH appeared insufficient to reverse the heparin effect. This occurred despite maximum activated clotting times (ACTs) <450 s, equivalent to heparin levels of <21U/mL, far below the 61U/mL capacity purported for the CKH channel.

CONCLUSIONS

This study demonstrated a small intraprocedural prothrombotic change of uncertain clinical importance during the TAVI procedure, and further comparison with PCI and AVR cohorts are needed to assess the merits of current antithrombotic guidelines, which are extrapolated from the PCI setting and basedon expert consensus.^{11 12} Baseline platelet mapping revealed that the aspirin contribution currently cannot be assessed with the TEG6s Platelet Mapping Cartridge, and alternative platelet function testing should be employed until manufacturing issues are sorted. Similarly, the TEG6s

Veriek!-	TO	T4	то	TO
Variable	ТО	T1	T2	T3
Laboratory				
PT		00 (00 00)		40 (40, 40)
Median (IQR)	13 (12–14)	22 (20–26)	13 (13–15)	12 (12–13)
P value	-	0.003	0.415	0.191
APTT (IOD)	07 (00, 00)		00 (00, 04)	27 (22, 24)
Median (IQR)	27 (26–32)	180 (180–180)	28 (28–31)	27 (26–31)
P value	-	0.001	0.870	0.976
TCT				
Median (IQR)	16 (14–18)	180 (180–180)	17 (16–24)	15 (14–17)
P value	-	0.020	0.054	0.256
Fibrinogen	0.07 (0.01 - 0.01)	0.05 (0.10, 0.70)		
Median (IQR)	2.87 (2.61–3.01)	2.65 (2.19–2.78)	2.69 (2.65–2.91)	3.16 (2.91–3.37)
P value	-	0.016	0.858	0.007
ROTEM <i>Sigma</i>				
	40 (40, 40)		17 (10, 10)	17 (10, 00)
Median (IQR)	18 (12–19)	15 (12–19)	17 (13–18)	17 (12–20)
P value	-	0.299	0.494	0.928
CT HEPTEM				
Median (IQR)	178 (169–181)	223 (212–229)	181 (175–187)	177 (162–183)
P value	-	0.004	0.126	0.755
	00 (50, 00)	00 (50, 00)	F0 (F7 F0)	01 (50, 00)
Median (IQR)	60 (52–66)	60 (58–66)	58 (57–59)	61 (58–63)
P value	-	0.211	0.878	0.097
TEG6s				
MA CRT	00.0 (0.0)		00.0 (0.0)	
Mean (SD)	63.2 (3.3)	64.3 (2.6)	63.6 (2.9)	63.8 (2.9)
P value		0.005	0.272	0.164
R CRT	0.44 (0.40)	0.07 (1.00)	0.50 (0.04)	0.40.(0.40)
Mean (SD)	0.44 (0.13)	2.27 (1.00)	0.59 (0.24)	0.40 (0.13)
P value		<0.001	0.057	0.459
MA CKH		CO 0 (20 1 CO 0)	C0 7 (F7 0, C1 0)	
Median (IQR)	60.9 (58.2–63.5)	60.8 (33.1–62.0)	60.7 (57.3–61.2)	62.0 (59.2–66.1)
P value	-	0.500	0.998	0.018
R CKH	70(0000)	0.0.(0.1.10.0)		0 1 (7 0 0 0)
Median (IQR)	7.2 (6.9–8.0)	9.8 (6.1–12.3)	8.9 (7.1–9.5)	8.1 (7.2–8.8)
P value	-	0.286	0.083	0.062
MA CFF	00.0 (00.5, 00.0)	00.1 (10.0.04.0)		00.0 (00.0.00.0)
Median (IQR)	23.6 (20.5–26.6)	22.1 (19.2–24.9)	23.5 (21.1–26.5)	22.9 (20.3–29.6)
P value	-	0.655	0.306	0.247
TEG-ACT			07 (00 440)	00 (70 07)
Median (IQR) P value	97 (79–97) _	219 (182–303) 0.003	97 (88–116) 0.058	88 (79–97) 0.582

aPTT, activated partial thromboplastin time; CFF, citrated functional fibrinogen; CK, citrated kaolin; CKH, citrated kaolin with heparinase; CRT, RapidTEG; CT, clotting time; EXTEM, activation of extrinsic pathway; FIBTEM, activation of extrinsic pathway and in vitro blocking of thrombocytes; HEPTEM, activation of intrinsic pathway and in vitro blocking of heparin; INTEM, activation of intrinsic pathway; MA, maximum amplitude; MCF, maximum clot firmness; PT, prothrombin time; R, reaction time; T0, baseline post induction of anaesthesia; T1, postheparin and TAVI deployment; T2, post protamine; T3, 6 hours postprocedure; TCT, total clotting time.

Interventional cardiology

Open Heart

heparinase channel appeared unable to reverse the heparin effect despite modest ACTs, and this channel should not be relied on in any setting involving heparin until further investigated/validated.

Acknowledgements The investigators would like to thank: the Heart Valve Programme at St Andrew's War Memorial Hospital, Queensland; and, The Prince Charles Hospital Foundation for logistical assistance.

Contributors JPF conceived, designed, obtained funding, interpreted data and drafted original manuscript. SR, MM, KP, AI, SN and JF contributed to the design, acquired and interpreted data and critically reviewed manuscript. CA performed statistical analysis, interpreted data and critically reviewed manuscript.

Funding Project funding was obtained from the Wesley Medical Research Limited, Level 8 East Wing, 451 Coronation Drive, Auchenflower, QLD 4066, Australia (Ref: 2016–56). JPF was supported by a Fellowship from the Health Innovation Investment and Research Office (HIIRO) within the office of the Director-General, Department of Health, Queensland Government, Level 13, 33 Charlotte Street, Brisbane, QLD 4001, Australia.

Competing interests KP and AI have 'significant relationships' with Edwards LifeSciences and Abbott Vascular including consulting/proctoring fees and an unrestricted institutional grant.

Patient consent for publication Not required.

Ethics approval The study protocol received institutional ethics review board approval (EC00374, 2016.33.213).

Provenance and peer review Not commissioned; externally peer reviewed.

Data availability statement Data are available on reasonable request. Deidentified patient data are available on reasonable request from the corresponding author.

Open access This is an open access article distributed in accordance with the Creative Commons Attribution Non Commercial (CC BY-NC 4.0) license, which permits others to distribute, remix, adapt, build upon this work non-commercially, and license their derivative works on different terms, provided the original work is properly cited, appropriate credit is given, any changes made indicated, and the use is non-commercial. See: http://creativecommons.org/licenses/by-nc/4.0/.

ORCID iD

Jonathon Fanning http://orcid.org/0000-0002-1675-0522

REFERENCES

- 1 Hoffman M, Monroe DM. Coagulation 2006: a modern view of hemostasis. *Hematol Oncol Clin North Am* 2007;21:1–11.
- 2 Tanaka KA, Bader SO, Görlinger K. Novel approaches in management of perioperative coagulopathy. *Curr Opin Anaesthesiol* 2014;27:72–80.
- 3 Wikkelsø A, Wetterslev J, Møller AM, et al. Thromboelastography (TEG) or rotational thromboelastometry (ROTEM) to monitor haemostatic treatment in bleeding patients: a systematic review with meta-analysis and trial sequential analysis. *Anaesthesia* 2017;72:519–31.
- 4 Hincker A, Feit J, Sladen RN, et al. Rotational thromboelastometry predicts thromboembolic complications after major non-cardiac surgery. Crit Care 2014;18:549.
- 5 Mahla E, Lang T, Vicenzi MN, et al. Thromboelastography for monitoring prolonged hypercoagulability after major abdominal surgery. Anesth Analg 2001;92:572–7.
- 6 McCrath DJ, Cerboni E, Frumento RJ, et al. Thromboelastography maximum amplitude predicts postoperative thrombotic complications including myocardial infarction. *Anesth Analg* 2005;100:1576–83.
- 7 Kappetein AP, Head SJ, Généreux P, et al. Updated standardized endpoint definitions for transcatheter aortic valve implantation: the valve academic research consortium-2 consensus document. J Am Coll Cardiol 2012;60:1438–54.
- 8 Hankey GJ, Eikelboom JW. Aspirin resistance. Lancet 2006;367:606–17.
- Koltai K, Kesmarky G, Feher G, *et al.* Platelet aggregometry testing: molecular mechanisms, techniques and clinical implications. *Int J Mol Sci* 2017;18. doi:10.3390/ijms18081803. [Epub ahead of print: 18 Aug 2017].
- 10 Paniccia R, Priora R, Liotta AA, et al. Platelet function tests: a comparative review. Vasc Health Risk Manag 2015;11:133–48.
- 11 Baumgartner H, Falk V, Bax JJ, et al. 2017 ESC/EACTS guidelines for the management of valvular heart disease. Eur Heart J 2017;38:2739–91.
- 2 Nishimura RA, Otto CM, Bonow RO, et al. 2014 AHA/ACC guideline for the management of patients with valvular heart disease: a report of the American College of Cardiology/American Heart Association Task Force on Practice Guidelines. J Am Coll Cardiol 2014;63:e57–185.

6