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Predicting neural deficits in sensorineural hearing loss from word recognition scores

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The current gold standard of clinical hearing assessment includes a pure-tone audiogram combined with a word recognition task. This retrospective study tests the hypothesis that deficits in word recognition that cannot be explained by loss in audibility or cognition may reflect underlying cochlear nerve degeneration (CND). We collected the audiological data of nearly 96,000 ears from patients with normal hearing, conductive hearing loss (CHL) and a variety of sensorineural etiologies including (1) age-related hearing loss (ARHL); (2) neuropathy related to vestibular schwannoma or neurofibromatosis of type 2; (3) Ménière's disease; (4) sudden sensorineural hearing loss (SSNHL), (5) exposure to ototoxic drugs (carboplatin and/or cisplatin, vancomycin or gentamicin) or (6) noise damage including those with a 4-kHz "noise notch" or reporting occupational or recreational noise exposure. Word recognition was scored using CID W-22 monosyllabic word lists. The Articulation Index was used to predict the speech intelligibility curve using a transfer function for CID W-22. The level at which maximal intelligibility was predicted was used as presentation level (70 dB HL minimum). Word scores decreased dramatically with age and thresholds in all groups with SNHL etiologies, but relatively little in the conductive hearing loss group. Discrepancies between measured and predicted word scores were largest in patients with neuropathy, Ménière's disease and SSNHL, intermediate in the noise-damage and ototoxic drug groups, and smallest in the ARHL group. In the CHL group, the measured and predicted word scores were very similar. Since word-score predictions assume that audiometric losses can be compensated by increasing stimulus level, their accuracy in predicting word score for CHL patients is unsurprising. The lack of a strong age effect on word scores in CHL shows that cognitive decline is not a major factor in this test. Amongst the possible contributions to word score discrepancies, CND is a prime candidate: it should worsen intelligibility without affecting thresholds and has been documented in human temporal bones with SNHL. Comparing the audiological trends observed here with the existing histopathological literature supports the notion that word score discrepancies may be a useful CND metric.

Recent studies on aging and noise exposure from animal models and human temporal bones show that outer hair cell loss accompanies, or is preceded by, cochlear nerve degeneration (CND), a loss of synaptic connections between the inner hair cells and a subset of auditory-nerve fibers¹⁻³. This type of neural loss does not elevate behavioral or electrophysiological thresholds until it becomes extreme⁴⁻⁶, partly because the most vulnerable cochlear neurons to both noise and aging do not contribute to threshold detection in quiet^{7,8}. However, the silencing of cochlear neurons degrades auditory processing and may translate into a variety of perceptual abnormalities including speech discrimination difficulties, particularly in noisy environments^{3,9-12}. CND may also be key to the genesis of other perceptual anomalies associated with sensorineural hearing loss (SNHL) including hyperacusis and tinnitus, via an induction of central gain adjustment secondary to loss of afferent input to the auditory central nervous system¹³⁻¹⁸.

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Groups	Subgroups	Visit	n (ears)	Age (years)		Sex (%)	
				Range	Mean \pm SD	Male	Female
Controls	Normal hearing	First	36,855	18–95	40 \pm 12	36	64
	ARHL		44,967	18–103	62 \pm 14	45	55
	Conductive hearing loss		7396	18–88	40 \pm 14	45	55
Neuropathy	Vestibular schwannoma	First	664	18–87	53 \pm 13	45	55
	Neurofibromatosis type 2		282	18–76	40 \pm 16	45	55
Noise Exposure	4-kHz notch	First	1448	18–96	53 \pm 12	77	23
	Self-report		2091	19–91	59 \pm 13	91	9
Idiopathic	Sudden SNHL	Last	156	22–89	60 \pm 14	56	44
Ménière's Disease	No gentamicin		628	18–95	60 \pm 13	51	49
	Gentamicin (TTI)		128	30–88	59 \pm 13	45	55
Ototoxic	Chemotherapeutics		1135	18–91	58 \pm 17	47	53
	Vancomycin		32	25–90	60 \pm 19	69	31
	Gentamicin (IV)		42	23–86	60 \pm 18	62	38

Table 1. Demographics of study populations.

Thus, audiology best practices for hearing evaluation in adults, i.e. a standard audiogram and a word-recognition task in quiet¹⁹, may not fully assess the hearing impairment associated with CND. While a number of clinical centers have enhanced evaluation protocols (e.g., speech-in noise testing, evaluation of extended high frequencies), particularly in patients reporting difficulty in noisy environments despite normal or near-normal audiometric thresholds, retrospective studies of CND must rely on traditional audiometric data.

One tool of particular interest is the speech intelligibility curve (SIC), which describes the cumulative distribution of useful speech information as word presentation level is increased²⁰. This sigmoidal performance-intensity function is computed from the patient's audiogram combined with a transfer function appropriate to the speech material. In absence of multiple word presentation levels, the SIC can be a useful predictor of the maximal word recognition score (WRS). However, the assumptions behind the SIC treat a hearing loss as if it were simply a frequency-specific attenuation of the incoming speech sounds. This is largely true for a conductive hearing loss (CHL), i.e. one due to dysfunction in the sound transmission apparatus of the external and middle ears. However, for sensorineural hearing loss (SNHL), i.e. impairments arising from pathologies in the transduction of sound-induced vibration by the inner ear's sensory cells into action potentials in cochlear nerve fibers, the pathophysiology is much more complex and cannot, in general, be corrected by simply increasing stimulus level.

In SNHL, the elevation of pure-tone thresholds is typically due to loss of, or damage to, the outer hair cells, which normally function as biological motors, amplifying the sound-evoked vibrations of the sensory epithelium²¹. In addition to threshold elevation, outer hair cell loss broadens the frequency tuning of cochlear neurons and changes their response phase, which can desynchronize the ensemble neural signal^{22,23}. These changes in the way sounds are coded in the cochlear nerve cannot be corrected by amplification, and any loss of cochlear neurons will further degrade the information being transmitted to the central nervous system.

These basic concepts suggest that the discrepancies between predicted and measured word scores should be smaller in those with CHL than those with SNHL of similar magnitude. Furthermore, among those with SNHL of similar magnitude, and thus a similar degree of sensory cell damage, word-score discrepancies should be greatest in those with the most CND. To test these ideas, we analyzed data from nearly 96,000 ears from patients seen at the Massachusetts Eye and Ear, with normal hearing, CHL or SNHL of several etiologies, including age-related hearing loss (ARHL), noise damage, ototoxic drugs, sudden SNHL, Ménière's disease and vestibular schwannomas. We observed that the WRS discrepancies were largest in those SNHL etiologies associated with the greatest degree of CND, consistent with the idea that loss of cochlear neurons is a major contributor to the loss of speech intelligibility in SNHL.

Materials and methods

Study populations. We collected audiological data from a large sample of adult patients who underwent a comprehensive hearing evaluation at the Massachusetts Eye & Ear between 1993 and 2017 (see Table 1). We considered several groups of patients including those with (1) normal hearing thresholds (≤ 20 dB HL across test frequencies, $n = 36,855$ ears) and (2) ARHL only; i.e., with an idiopathic bilateral and symmetrical SNHL ($n = 44,967$ ears). All these patients had differences ≤ 10 dB between air-conduction (AC) and bone-conduction (BC) thresholds (air–bone gap) at any test frequency and/or did not have an interaural asymmetry ≥ 10 dB in AC thresholds at 2 consecutive frequencies or 15 dB at one test frequency. As a kind of control for cognitive decline, we added a third group of patients with conductive hearing loss only ($n = 7396$ ears); i.e., patients with an air–bone gap ≥ 15 dB at any test frequency and normal bone conduction thresholds. To assess the effect of known etiologies with sensorineural deficits we added groups of patients with (1) neuropathy including a diagnosis of vestibular schwannoma ($n = 664$ ears) or neurofibromatosis of type 2 ($n = 282$ ears); (2) Ménière's disease ($n = 628$ ears without and $n = 128$ ears with trans-tympanic injection of gentamicin); (4) a history of sudden (S) SNHL ($n = 156$ ears); (3) exposure to ototoxic drugs including carboplatin or cisplatin ($n = 1135$ ears), vancomycin ($n = 32$ ears) or gentamicin ($n = 42$ ears); and (5) self-report of recreational or occupational noise damage

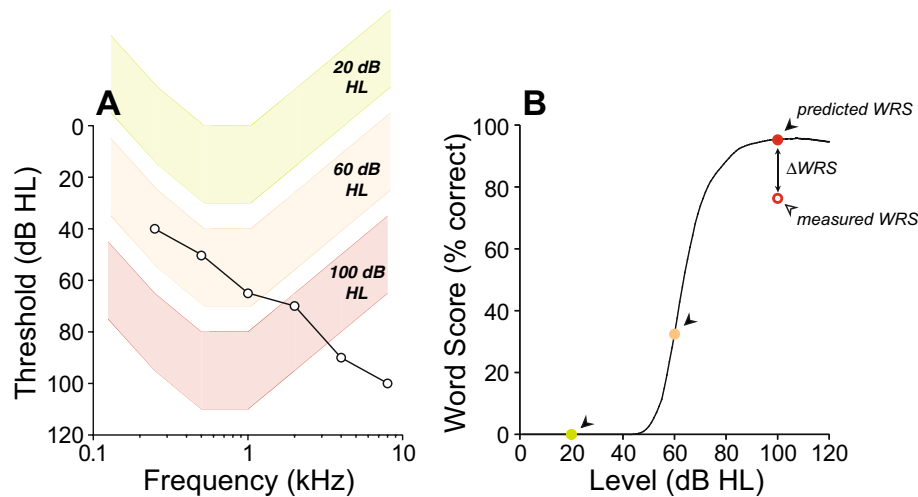


Figure 1. Illustration of the relationship between audiometric thresholds and the performance-intensity function for speech recognition (i.e., speech intelligibility curve). The speech intelligibility curve in (B) is drawn from the amount of speech material that is audible to a patient with a specific hearing loss (A) combined with a transfer function appropriate to the speech material. In (A), W-22 phonetically balanced words presented at either 20 dB HL (green), 60 dB HL (orange) or 100 dB HL (red). To index the loss of intelligibility in word recognition that cannot be accounted by a loss of audibility, we also calculated the difference between the measured word recognition score and the one predicted by the SIC in each patient (B).

($n = 2091$ ears) or presenting with an audiometric 4-kHz notch ($n = 1448$ ears) with a difference ≥ 20 dB on either test frequency adjacent to 4 kHz. To prevent multiple inclusion of patients, only the first or last visit was considered (Table 1). Only native speakers of English were included.

This study was reviewed and approved by the Institutional Review Board (IRB) of Mass Eye & Ear and all methods were performed in accordance with the relevant guidelines and regulations of our institution. A waiver of informed consent was obtained by the same IRB.

Hearing evaluation. Audiometric thresholds were obtained using a number of different audiometers including Grason-Stadler (GS-10, GS-16), Interacoustics AC-30, Virtual 320 and Interacoustics Equinox, running under the same Harvard Audiometer Operating System (AOS)²⁴. Only pure-tone air-conduction (AC) thresholds measured at standard audiometric frequencies from 0.25 kHz to 8 kHz (in octave steps) using TDH39 headphones or ER-3A insert earphones were considered. Bone-conduction (BC) thresholds were acquired from 250 Hz to 4000 Hz with a Radioear B-71 vibrator over the mastoid. Word recognition was assessed using a recorded CID (Central Institute for the Deaf) W-22 test, consisting of 50 monosyllabic word lists presented with a contralateral masker (speech-shaped noise). The Articulation Index (AI) was used to predict the speech intelligibility curve (SIC, Fig. 1B), a speech intelligibility performance as a function of presentation level based on the audiogram^{25,26} (Fig. 1A), using a transfer function for CID W-22²⁷. This procedure was automatically generated by the Harvard AOS software as previously described²⁸. The level at which maximal intelligibility was predicted (PB_{max}) was chosen as the presentation level. If this value, however, fell below 70 dB HL, presentation level remained at 70 dB HL. To assess word recognition deficits that cannot be accounted by a loss of audibility, we measured either (1) the WRS obtained at predicted PB_{max} and (2) the difference between the measured vs. predicted WRS (ΔWRS) as defined by the SIC in each patient (Fig. 1B).

Statistics. Using Matlab R2018a (The Mathworks, Natick, MA), Pearson correlation coefficients were used to assess relationships between pure-tone thresholds and age. Ordinary least square regression was used to estimate the effect of age on pure-tone thresholds and word recognition scores. Visual inspection, medians and non-parametric Wilcoxon rank-sum tests were used to compare the WRS of groups according to pure-tone thresholds.

To assess the relative contribution of each test frequency to WRS, we designed the following model: $WRS \text{ or } \Delta WRS \sim AC_{250\text{Hz}} + AC_{500\text{Hz}} + AC_{1\text{kHz}} + AC_{2\text{kHz}} + AC_{4\text{kHz}} + AC_{8\text{kHz}}$, whereby AC refers to Air-Conduction threshold. We fitted the regression using the linear model “lm” function in R software environment with the default parameters and the above model. We then computed the respective commonality coefficients and determined the unique and common effects for each predictor variable using R²⁹ with the statistical package described by Nimon and col³⁰.

To assess the impact of hearing sensitivity at each test frequency on word recognition, we used SAS (SAS Institute, version 9.4) to conduct a principle component analysis (PCA) of the thresholds at each test frequency. These analyses provided a set of 6 linearly independent components as well as the proportion of the total variance in the data that is explained by each component. We use these components as predictors in linear regression models with WRS as outcomes.

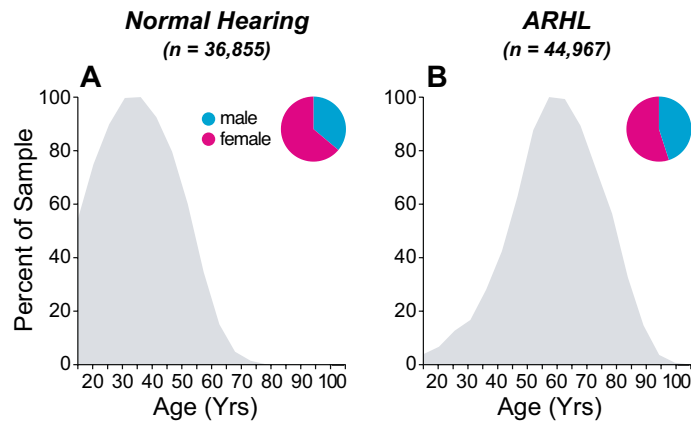


Figure 2. Age distribution of study population split between a group of normal hearing patients (A) and one with sensorineural hearing loss (B). Pie charts indicate the proportion of male (cyan) vs. female (magenta) for each group.

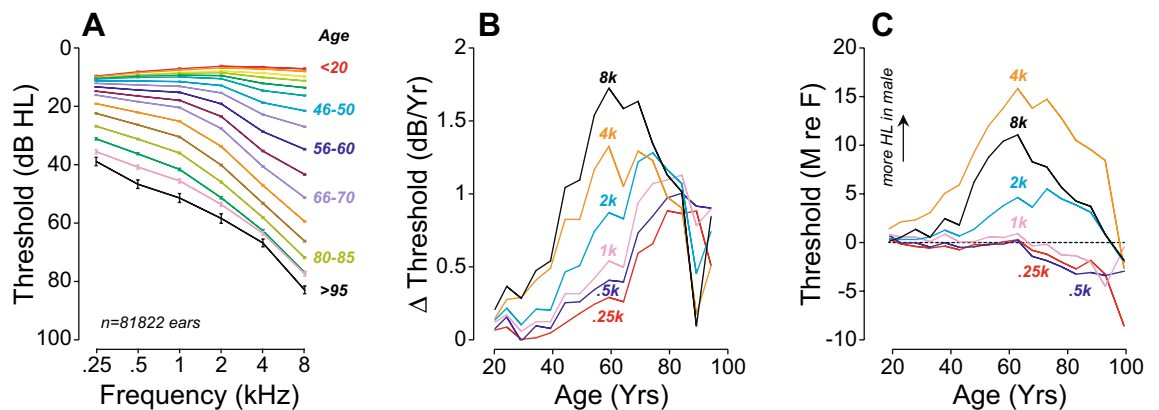


Figure 3. Age effects on hearing thresholds are nonlinear and sex dependent. (A) Hearing thresholds at standard audiometric frequencies in study population. (B) Progression of hearing loss as a function of age and test frequency. (C) Effect of sex on audiometric thresholds as a function of age. Error bars are standard error of mean.

Using Excel (Microsoft, version 16.16.27), linear regression analyses were used to investigate the relationship between etiologies and age or hearing loss on outcomes (WRS or Δ WRS). The parameter estimates and associated p-values are detailed in Supplementary Table 1.

The threshold for statistical significance was $p = 0.05$.

Results

The natural history of ARHL. In total, 81,822 ears (59% female, 41% male) from patients aged 18 to 103 years old, presenting with either normal ($n = 36,855$) or elevated thresholds ($n = 44,967$) met our inclusion criteria for the normal hearing or ARHL groups, respectively (see Fig. 2, Table 1). Patients with normal hearing were more often female (64%) than those with ARHL (55%). As shown in Fig. 3A, thresholds worsened progressively with age ($r = 0.56$; $p < 0.001$ for PTAs), remaining within normal limits until around age 50 and progressing to a down-sloping, moderate to severe loss in our oldest patients. The correlation between age and threshold was stronger at high frequencies ($r = 0.74$; $p < 0.001$ for 8 kHz) than low frequencies ($r = 0.41$; $p < 0.001$ for 0.25 kHz).

Across all ages, the rate of threshold shift ranged from 0.25 dB/year at 0.25-kHz to 1.12 dB/year at 8-kHz; however, the rate of threshold deterioration also changed with age. As seen in Fig. 3B, threshold shifts increased most rapidly at the highest frequency (8 kHz) until about age 75, after which time any additional threshold shifts were relatively flat across frequency. Correspondingly, threshold shifts at low frequencies (≤ 1 kHz) rose slowly until about age 65, then began to rise rapidly, and more or less in unison, for the next 20 years.

Sex differences in the progression of ARHL are also interesting. Plotting the difference between males and female thresholds as a function of age (Fig. 3C) shows that aging males tend to lose their hearing sensitivity first, in a pattern that peaks at 4 kHz, strongly suggesting an etiology of acoustic overexposure^{31–33}. The rate of progression of this 4 kHz notch peaks at age 60, at which time females begin to lose sensitivity faster than males, but only at the three lowest test frequencies.

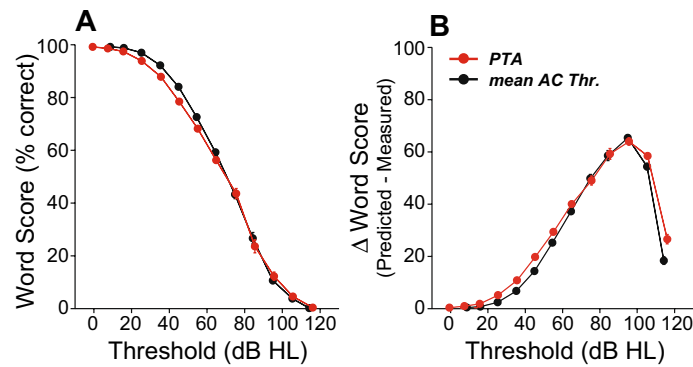


Figure 4. Intelligibility worsens with degree of sensorineural hearing loss. Intelligibility deficits assessed by measuring word recognition scores at PB_{max} (A) or by calculating the difference between measured word score and score predicted by the speech intelligibility curve (B) show a progression of intelligibility deficits with degree of hearing loss as measured using the pure-tone average (PTA) or mean Air-Conduction thresholds across test frequencies (mean AC Thr.). Error bars are standard error of mean.

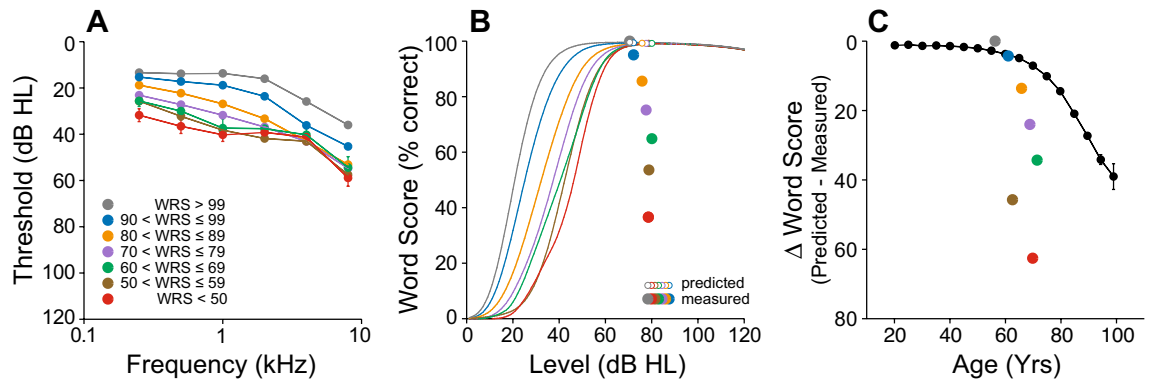


Figure 5. Massive intelligibility deficits can be associated with moderate hearing loss. (A) Mean air-conduction thresholds at standard audiometric frequencies from all patients with sensorineural hearing loss whose word recognition performance is predicted $\geq 99\%$ by the speech intelligibility curve as a function of measured word recognition score. (B) Speech intelligibility curves for each subgroup of patients described in (A) with their associated predicted and measured word recognition score. (C) Intelligibility deficits assessed by calculating the difference between measured word score and score predicted by the speech intelligibility curve in each subgroup of patients described in A as a function of age. Error bars are standard error of mean.

Impact of hearing loss configuration on intelligibility deficits. We examined the differences between predicted and measured scores on the standard clinical test of word recognition in quiet. When the measured WRS is lower than the predicted WRS, it means the deficit is not simply one of audibility, and one contributing factor can be loss of auditory nerve fibers, i.e. CND. WRS predictions are derived from the Speech Intelligibility Curve (SIC), which treats a threshold shift like an acoustic filter applied to the words, and quantifies the fraction of the speech spectrum remaining in the filtered output as a function of presentation level^{20,25,34}. Because word presentation level (as dictated by the SIC) is well above threshold, a very large degree of hearing loss is needed to reduce the predicted WRS. Accordingly, $>99\%$ of our cases have a predicted word score $>95\%$ correct. In practice at the Massachusetts Eye and Ear, word tests are presented at a level at which the SIC has asymptoted (PB_{max}), or at 70 dB HL, whichever is lower.

The mean WRSs in our normal hearing and ARHL groups declined monotonically (Fig. 4A), in a roughly sigmoidal fashion, with increasing threshold shift, whether expressed as PTA (averaging 0.5–2 kHz) or as mean AC thresholds (averaged across all test frequencies). The WRS discrepancy (predicted vs. measured) rolls over as threshold shift increases (Fig. 4B), because past a certain level of hearing loss, the predicted WRS falls rapidly.

For one set of analyses, we considered only patients from the normal hearing and ARHL groups with predicted WRSs $\geq 99\%$, then averaged data according to the measured WRS (Fig. 5). The mean audiogram for those with near-perfect WRSs (grey symbols in Fig. 5A) shows that sensitivity at the highest frequencies is not critical for intelligibility of words in quiet. As the measured WRS declines, thresholds deteriorate, most markedly and steadily at low frequencies, expected to be most important for speech (Fig. 5A). This variation in WRS discrepancy was not related to presentation level (Fig. 5B) or age (Fig. 5C), suggesting that age-related cognitive decline was not a confound in these results. No effect of sex on either relation was found (data not shown).

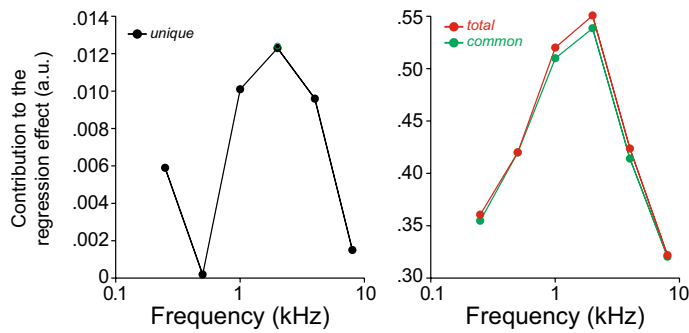


Figure 6. Contribution of cochlear regions to WRS/ Δ WRS. Commonality coefficients were computed to determine the unique and common effects across all predictors to the explained variance (R-squared) in the regression model.

To further clarify the relative contribution of different cochlear regions to the WRS and Δ WRS, we took two approaches. First, we used a linear regression model to compute commonality coefficients to determine the unique and common contribution of each test frequency to the explained variance (R-squared) in the regression model; i.e., to WRS and Δ WRS (see “Materials and methods”). As shown in Fig. 6, a loss in sensitivity at 1–2 kHz produced the largest impact on WRS and Δ WRS. Second, we conducted a principle component analysis, as summarized in Table 2. The first component, which weights all the frequencies equally (see Table 2, column PC1 of Eigenvectors of the covariance matrix) and thus corresponds to the degree of hearing loss, explains $\sim 78\%$ of the variance (see Table 2, Eigenvalues of the covariance matrix). Explained variance rises to $\sim 92\%$ if we add the second component, which tracks the slope of the audiogram. Finally, $\sim 95\%$ of the variance is explained if we add the third component which tracks the weight of the center vs. adjacent test frequencies (Table 2).

Impact of age and cognition on intelligibility deficits. Among our subjects with ARHL, intelligibility worsened monotonically with age (Fig. 7A): slowly at first (0.08%/year for those 20–50 years), then more rapidly (0.98%/year for ages over 65). We estimated the contribution of cognitive decline to these trends by comparing our data to published metrics of verbal comprehension, perceptual reasoning, working memory and verbal memory³⁵. Converting both to z-score measures as a function of age (Fig. 7B) suggests that the WRS decline is more rapid than general cognitive decline.

Further evidence that cognitive decline is not a major confound is seen in the group with CHL, which shows minimal effects of age on WRS (Fig. 8A). Unfortunately, the age range for the CHL group is limited, because most patients past 80 years have at least some SNHL. As confirmation of the utility of the SIC, only a small decline in WRSs was observed in the CHL group with increasing audiometric loss (Fig. 8C), presumably because effects of CHL are indeed similar to those of an acoustic filter. To further support of our interpretation of WRS discrepancies, we compared age-matched patients from the CHL (Supplementary Fig. 1A) and ARHL groups (i.e. 50 years old, the point of overlap of the two age distributions—Supplementary Fig. 1B): as shown in Supplementary Fig. 1C, the WRS discrepancies are minimal and do not rise as the average hearing loss increases.

Intelligibility deficits in SNHL of other etiologies. If the WRS discrepancy is associated with CNS, it should be large in SNHL etiologies where the underlying pathology destroys cochlear nerve fibers. To test this notion, we identified a neuropathy group with either vestibular schwannoma (VS, $n = 664$ ears) and/or neurofibromatosis of type 2 (NF2, $n = 282$ ears), both of which are known to cause damage to the cochlear nerve³⁶. The VS sample was slightly younger than the ARHL group (Fig. 9A), and the NF2 patients showed a bimodal age distribution at time of test (Fig. 9B), consistent with epidemiologic data^{37,38}. As expected, both groups had significantly larger WRS discrepancies compared to the ARHL group ($p < 0.001$ for both) or to the opposite healthy ear (Fig. 9C, $p < 0.001$). The differences in Δ WRS for both etiologies were especially striking when plotted as a function of age or hearing loss (Fig. 9D–F, Supplementary Table 1).

We also included a group with Ménière’s disease, because massive CNS was reported in a case of unilateral Ménière’s using electron microscopy³⁹. In our study, we separated patients who did not receive gentamicin to control vertigo ($n = 628$ ears) from those who did ($n = 128$ ears). The Ménière’s groups both had similar age distributions to the ARHL group (Fig. 10A,B), but their WRSs were dramatically poorer (Fig. 10C, $p < 0.001$ for both groups). When compared as a function of age or hearing loss, both groups had worse word scores than ARHL patients (Fig. 10D–F, Supplementary Table 1). In addition, Ménière’s patients who received gentamicin scored worse than those who did not (Fig. 10C,D, $p < 0.001$). However, these differences (gentamicin vs. no gentamicin) disappeared when the degree of hearing loss was considered (Fig. 10E,F, $p > 0.05$), suggesting that differences were related to loss in audibility from gentamicin-induced outer hair cell loss.

In a recent study, we showed that patients who recovered from idiopathic SSNHL had poorer WRSs than predicted by the residual loss of audibility⁴⁰, and CNS is one histopathological feature of SSNHL⁴¹, as well as of viral infection and ischemia, the main two candidate etiologies of SSNHL^{42–46}. Here, we identified a SSNHL group ($n = 156$ ears) with similar age distribution to the ARHL group (Fig. 11A). SSNHL patients had significantly worse WRS in the affected ear compared to either their own other ear or the ARHL group (Fig. 11B, $p < 0.001$).

Covariance matrix						
	250 Hz	500 Hz	1000 Hz	2000 Hz	4000 Hz	8000 Hz
250 Hz	1	0.87	0.77	0.66	0.56	0.54
500 Hz	0.87	1	0.89	0.77	0.64	0.61
1000 Hz	0.77	0.89	1	0.87	0.71	0.67
2000 Hz	0.66	0.77	0.87	1	0.85	0.77
4000 Hz	0.56	0.64	0.71	0.85	1	0.88
8000 Hz	0.54	0.61	0.67	0.77	0.88	1
Eigenvalues of the covariance matrix						
	Eigenvalue	Difference	Proportion	Cumulative		
PC 1	4.694	3.951	0.782	0.782		
PC 2	0.743	0.483	0.124	0.916		
PC 3	0.260	0.123	0.043	0.950		
PC 4	0.137	0.042	0.023	0.973		
PC 5	0.095	0.025	0.016	0.988		
PC 6	0.070		0.012	1		
Eigenvectors of the covariance matrix						
	PC 1	PC 2	PC 3	PC 4	PC 5	PC 6
250 Hz	0.382	- 0.524	0.554	0.381	0.294	0.203
500 Hz	0.416	- 0.417	0.005	- 0.327	- 0.488	- 0.555
1000 Hz	0.428	- 0.198	- 0.500	- 0.335	0.020	0.644
2000 Hz	0.429	0.160	- 0.495	0.388	0.456	- 0.433
4000 Hz	0.403	0.477	0.111	0.440	- 0.599	0.212
8000 Hz	0.389	0.508	0.432	- 0.541	0.329	- 0.058
Parameter estimates						
Variable	Degree of freedom	Parameter estimate	Standard error	t value	Pr > t	
Intercept	1	3.977	0.023	171.46	<0.001	
PC 1	1	3.209	0.011	299.80	<0.001	
PC 2	1	0.090	0.027	3.34	<0.001	
PC 3	1	- 2.136	0.045	- 47.00	<0.001	
PC 4	1	1.493	0.063	23.85	<0.001	
PC 5	1	1.390	0.075	18.47	<0.001	
PC 6	1	1.317	0.088	15.00	<0.001	

Table 2. Principle component analysis on the 6 threshold values of each audiogram followed by the REG procedure with WRS as outcome variable and the 6 principal components as predictors, selected by stepwise regression.

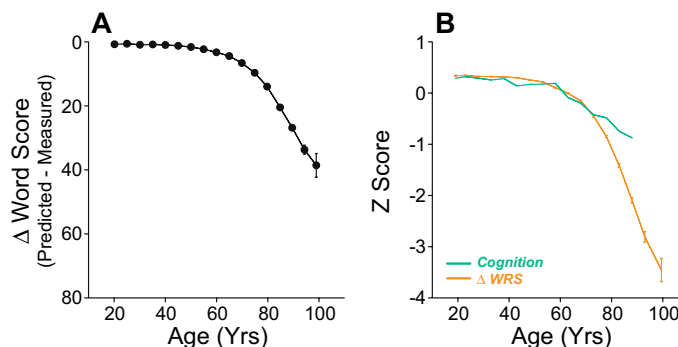


Figure 7. Intelligibility worsens with age independently of cognition. (A) Intelligibility deficits assessed as differences between measured and predicted word scores as a function of age. (B) Comparison of intelligibility deficits measured in (A) with working memory assessed using the Wechsler Adult Intelligence Scale (WAIS) as a function of age. To compare these two tests, data from each have been converted to Z-scores. Error bars are standard error of mean.

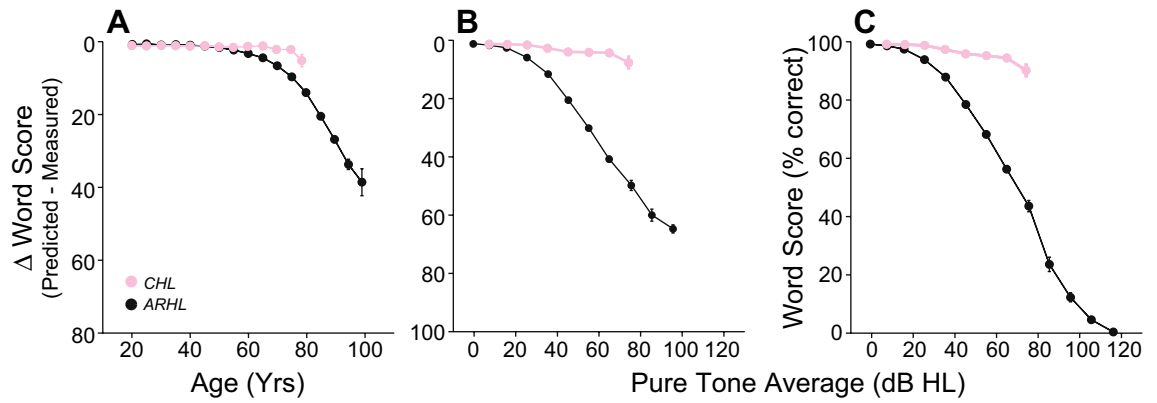


Figure 8. Intelligibility deficits are minimal in patients with conductive hearing loss. (A) Intelligibility deficits assessed as differences between measured and predicted words scores as a function of age in patients presenting either a sensorineural hearing loss (SNHL) or a conductive hearing loss (CHL). Legend in (A) applies de (B) and (C). (B, C) Decline in word recognition score performance as a function of audiometric loss in patients with SNHL (see Fig. 4) are compared to patients presenting with a CHL. Intelligibility deficits were assessed either by calculating the difference between measured word score and score predicted by the speech intelligibility curve (B) or by measuring word recognition scores at PB_{max} (C). Error bars are standard error of mean.

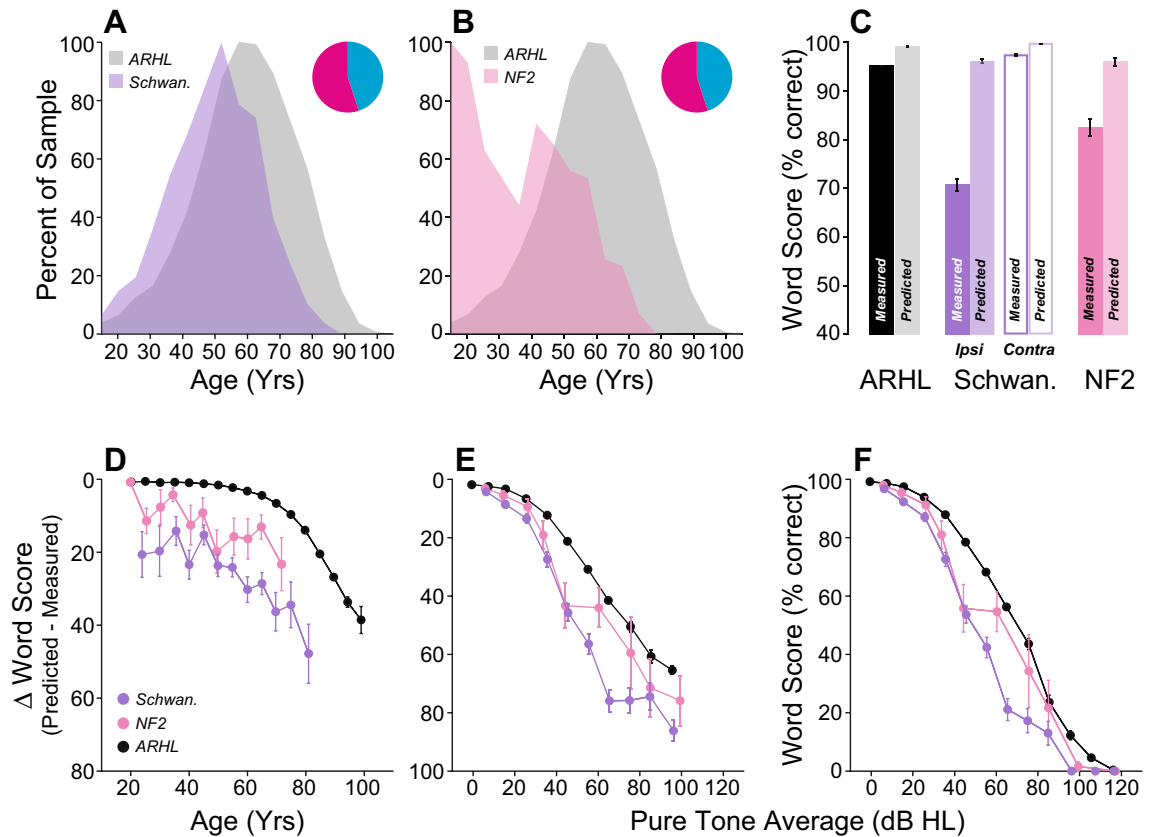


Figure 9. Intelligibility deficits in patients with diagnosed neuropathies are significantly worse than age-matched controls. (A, B) Age distribution of patients with Vestibular Schwannoma (Schwan.) (A) or Neurofibromatosis of type 2 (NF2) (B). Pie charts indicate the proportion of male (cyan) vs. female (magenta) for each group. (C) Comparison of mean WRS as measured in neuropathic patients or predicted by the speech intelligibility curve. Scores are compared across ears (ipsi vs. contra) and with patients presenting ARHL. (D–F) Speech Intelligibility deficits as a function of age (D) or pure-tone average (E, F). Legend in (D) applies to (E–F).

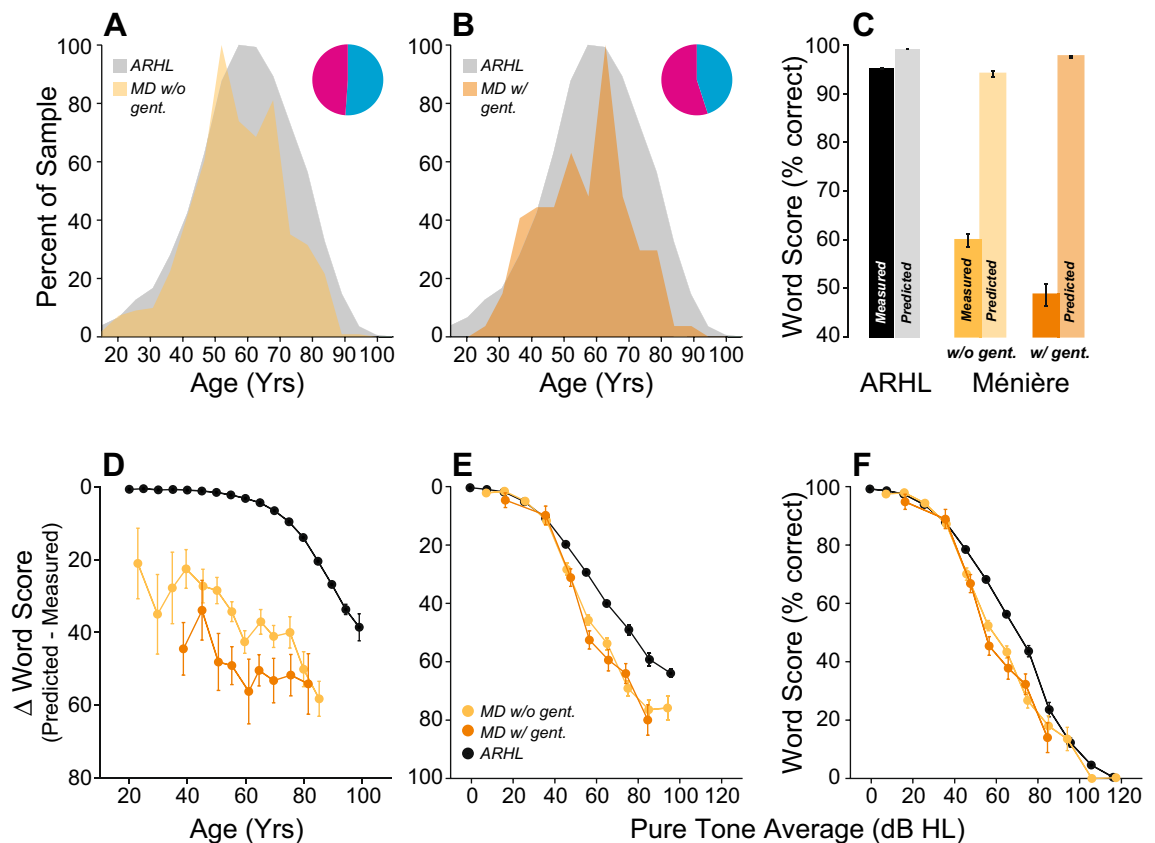


Figure 10. Intelligibility deficits in patients diagnosed with Ménière's disease are dramatically worse than age-matched controls. (A, B) Age distribution of patients with Ménière's disease who received (MD w/ gent., (B) or not (MD w/o gent., (A) a trans-tympanic injection of gentamicin as part of their treatment. Pie charts indicate the proportion of male (cyan) vs. female (magenta) for each group. (B) Comparison of mean WRS as measured in patients with Ménière's disease or predicted by the speech intelligibility curve. Scores were compared with patients presenting ARHL. (D–F) Speech Intelligibility deficits as a function of age (D) or pure-tone average (E, F). Legend in (E) applies to (D, F).

for either comparison). Poorer performance remained when considered as a function of age or hearing loss (Fig. 11C–E, Supplementary Table 1).

To these three SNHL etiologies, we added patients who received systemic ototoxic drugs, either (1) platinum-based cancer therapeutics (cisplatin and carboplatin, $n = 1135$ ears) or an ototoxic antibiotic, either (2) vancomycin ($n = 32$ ears), a glycopeptide, or (3) gentamicin ($n = 42$ ears), an aminoglycoside. All these drugs are known to cause hearing loss, as measured in a standard audiogram⁴⁷. In general, the WRS discrepancies in these groups were similar to those in the normal-aging group. In the gentamicin group, word scores were statistically indistinguishable from patients with age-matched controls (Fig. 12D, $p > 0.05$), whether considered as a function of age or hearing loss (Fig. 12E–G, Supplementary Table 1). Likewise, Δ WRSs were similar overall in patients treated with vancomycin (Fig. 12D, $p > 0.05$) including as a function of age (Fig. 12E, Supplementary Table 1); however, significantly poorer word scores were noted when considered as a function of hearing loss (Fig. 12F, G, Supplementary Table 1). In the chemotherapeutics group, both WRS and Δ WRS were poorer overall (Fig. 12D, $p < 0.001$) and when considered as a function of age (Fig. 12E). Scores were better than the ARHL group when considered as a function of hearing loss (Fig. 12E–G, Supplementary Table 1), perhaps because the drugs destroy outer hair cells, thereby increasing thresholds, without worsening the CND that comes with normal aging.

Finally, we identified patients with putative noise-induced hearing loss, either presenting with an audiometric notch ≥ 20 -dB at 4 kHz ($n = 1448$ ears) or with an explicit history of occupational or recreational noise exposure ($n = 2091$ ears). In these younger and male-dominated groups (Fig. 13A, B), Δ WRS was overall significantly poorer only in patients presenting with an audiometric notch ($p < 0.001$). However, small but significantly poorer WRSs were noted as a function of age or hearing loss when measured with the PTA (Fig. 13D–F, Supplementary Table 1). However, when all test frequencies were considered, deficits in word recognition in patients with self-report of noise exposure was similar to the ARHL group (Supplementary Table 1).

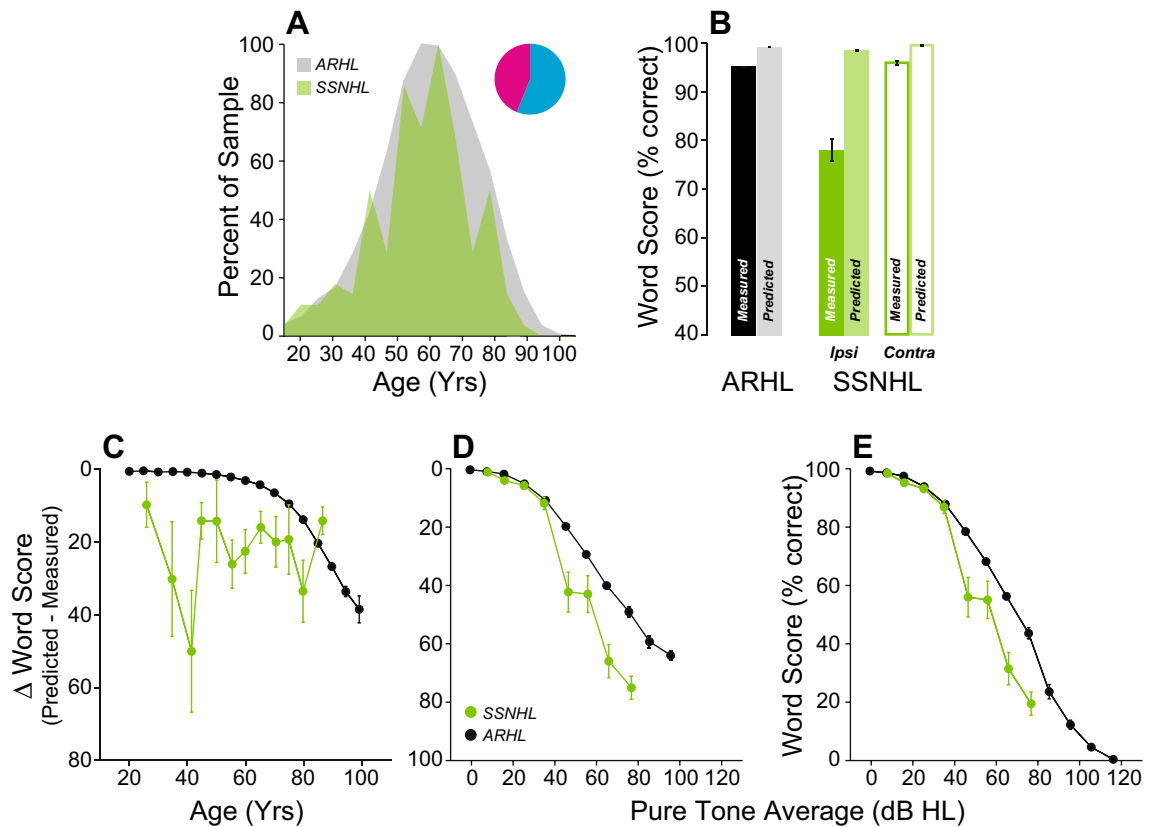


Figure 11. Intelligibility deficits in patients with Sudden Sensorineural Hearing Loss (SSNHL) are significantly worse than age-matched controls. (A) Age distribution of patients with SSNHL. Pie chart indicates the proportion of male (cyan) vs. female (magenta). (B) Comparison of mean WRS as measured in patients with SSNHL or predicted by the speech intelligibility curve. Scores are compared across ears (ipsi vs. contra) and with patients presenting ARHL. (C–E) Speech Intelligibility deficits as a function of age (C) or pure-tone average (D, E). Legend in (D) applies to (C, E).

Discussion

Effect of age and sex on hearing thresholds

Here, we gathered data on hearing sensitivity from a large number of adult patients with ages covering the lifespan. Our cohort might not reflect hearing status of the general population, because it includes only people who sought the care of an otologist or audiologist. Nevertheless, our value for hearing-loss prevalence (55%) is similar to that in the Beaver Dam study (80%) of a more random sample⁴⁸ if we restrict our age range to match theirs (i.e. 48 to 92 years) and use similar definitions of hearing loss. The overall hearing loss prevalence in the Framingham study was even higher (83%)^{49–51}. Clearly, hearing loss is rampant and may be underestimated here, since these studies included more females than males (58% vs. 42% in the present study), and females tend to have better hearing at most ages than males (Fig. 1).

It is worth noting that 45% of patients in our cohort had “normal” hearing. While some of this arises because some of the audiometric referrals were unrelated to an ear or hearing complaint (e.g., a physical exam or a hearing evaluation for a dizziness complaint), this rate emphasizes that many patients report a hearing issue that is not captured by a standard hearing test.

Hearing sensitivity decreased with age and increasing test frequency (Fig. 3), following the classic down-sloping pattern of ARHL (for review, see⁵²). At the highest frequencies, threshold decline was significant even from the youngest ages examined (18 yrs), and mean thresholds fell outside the “normal” range (20 dB HL) by age 45, consistent with previous studies^{53,54}.

The sloping audiometric pattern of ARHL is consistent with the basal-turn loss of outer hair cell loss in aging humans³. However, the most comprehensive histological analyses show prominent apical and basal loss of outer hair cells, with a relative sparing of mid cochlear regions^{3,55,56}. Apical OHC degeneration is not well reflected in the audiogram, because (1) the lowest frequency tested (250 Hz) is far (~14%) from the apical extreme of the human cochlea⁵⁷, (2) the contribution of OHC electromotility to cochlear amplification decreases with increasing frequency²¹ and (3) low-frequency auditory-nerve fibers are so broadly tuned that apical losses are hidden by neighboring cochlear regions with minimal increase in sound pressure level⁵⁸. Despite the minimal effect on the audiogram, the loss of information due to the silencing of apical neurons must decrease the fidelity of stimulus representation especially in difficult listening environments.

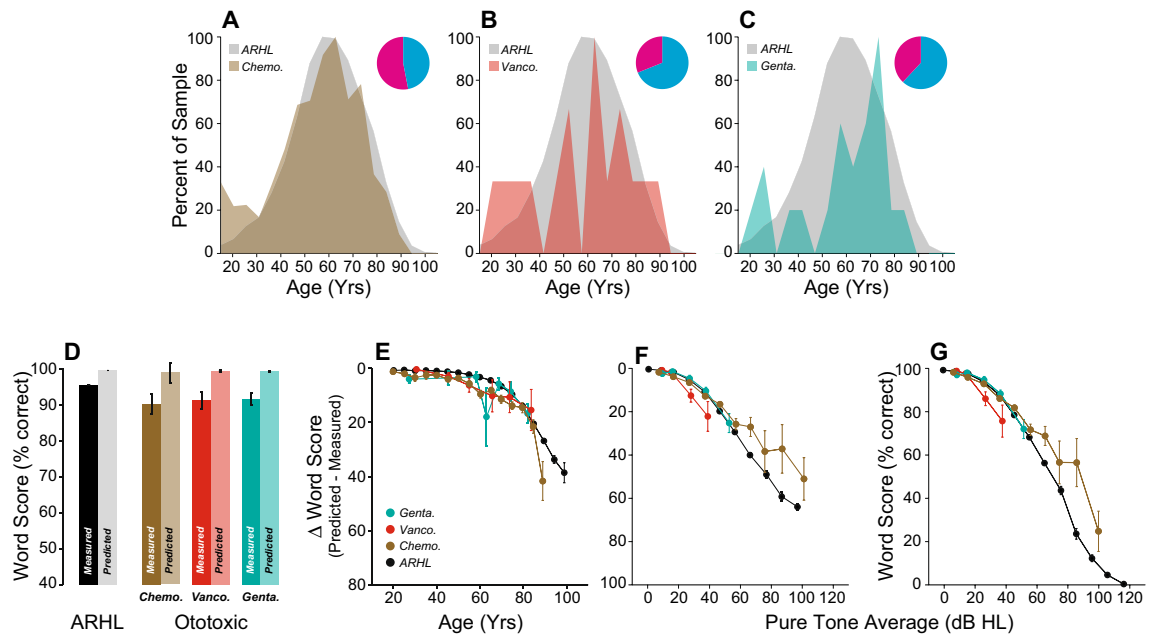


Figure 12. Intelligibility deficits in patients with exposure to ototoxic drugs. (A–C) Age distribution of patients with exposure to chemotherapeutics (Chemo., A), Vancomycin (Vanco., B) or I.V. Gentamicin (Genta., C). Pie charts indicate the proportion of male (cyan) vs. female (magenta) for each group. (D) Comparison of mean WRS as measured in patients exposed to ototoxic drugs or predicted by the speech intelligibility curve. Scores were compared with patients presenting ARHL. (E–G) Speech Intelligibility deficits as a function of age (E) or pure-tone average (F, G). Legend in (E) applies to (F, G).

As shown in Fig. 3C, there were significant sex differences in hearing loss progression across the lifespan. Before age 65, loss was more prominent in males, particularly at 4 kHz, a configuration seen in patients with a history of noise exposure^{32,59}. Increased hearing loss in males has been linked to occupation, noise exposure history and income level^{49,50,60,61}. However, even after adjusting for these risk factors, sex differences remained significant, and smoking, atherosclerosis and other factors have been suggested as possible contributors⁴⁸. Past age 65, hearing loss accelerated at the lower frequencies (≤ 1 kHz), with larger losses in females (Fig. 3C). This low-frequency hearing loss in older women has been associated with vascular disease⁶² and may be related to the degeneration of the stria vascularis, which is worse in apical cochlear region in aging humans, where it would be expected to cause a low-frequency hearing loss³.

Impact of hearing thresholds and cognition on intelligibility. The speech intelligibility index, i.e. the proportion of the total speech information audible to the listener⁶³, can be used to predict the WRS, based on the audiogram and the spectrum of the speech tokens used. However, despite the corrections applied to the speech intelligibility curve⁶⁴ to account for upward spread of masking and the negative impact of high presentation levels⁶⁵, the loss of outer hair cells that typically underlies threshold elevation also degrades frequency tuning and critical cochlear non-linearities^{22,66} that can reduce intelligibility. Correspondingly, in a purely conductive hearing loss, where damage to outer hair cells is minimal, the measured and predicted WRSs are quite similar (Fig. 8). Nevertheless, the large range in word scores seen with similar patterns and degrees of mild to moderate SNHL (Fig. 5) argues against an exclusive role for outer hair cell damage in the loss of intelligibility and leaves CND as a prime candidate. The mapping of the hearing loss frequencies on WRS (Fig. 6) and the principle component analysis (Table 2) identify the 1 kHz region as the frequency band most important to the WRS, which is consistent with the peak innervation density in humans², as well as the peak of the spectrum for word tests.

Word recognition is linked to cognition⁶⁷, thus a decline in performance may be related to age-related cognitive decline^{35,68}. Our results argue against cognitive factors as a major contributor to the continuous decline in WRS with age given that: (1) deficits in WRS with age are minimal in patients with conductive hearing loss when compared to age-matched patients with sensorineural hearing loss (Fig. 8); (2) age-related cognitive declines are not as steep as the decline in WRS with age (Fig. 7); and (3) WRS is highly variable (<40% to 100%) in patients of similar age (Fig. 5C).

Association of intelligibility deficits with CND. We know from animal studies that destruction of cochlear nerve fibers has little impact on audiometric thresholds, until it becomes extreme, i.e. >80% loss^{4–6}. Our working hypothesis is that this CND contributes more prominently to degradation of WRSs, and that differences in the degree of CND are key contributors to the differences in WRSs we observe in different etiologies. Although histopathology of human CND is not extensive, it is informative to consider the present results in light of the available data.

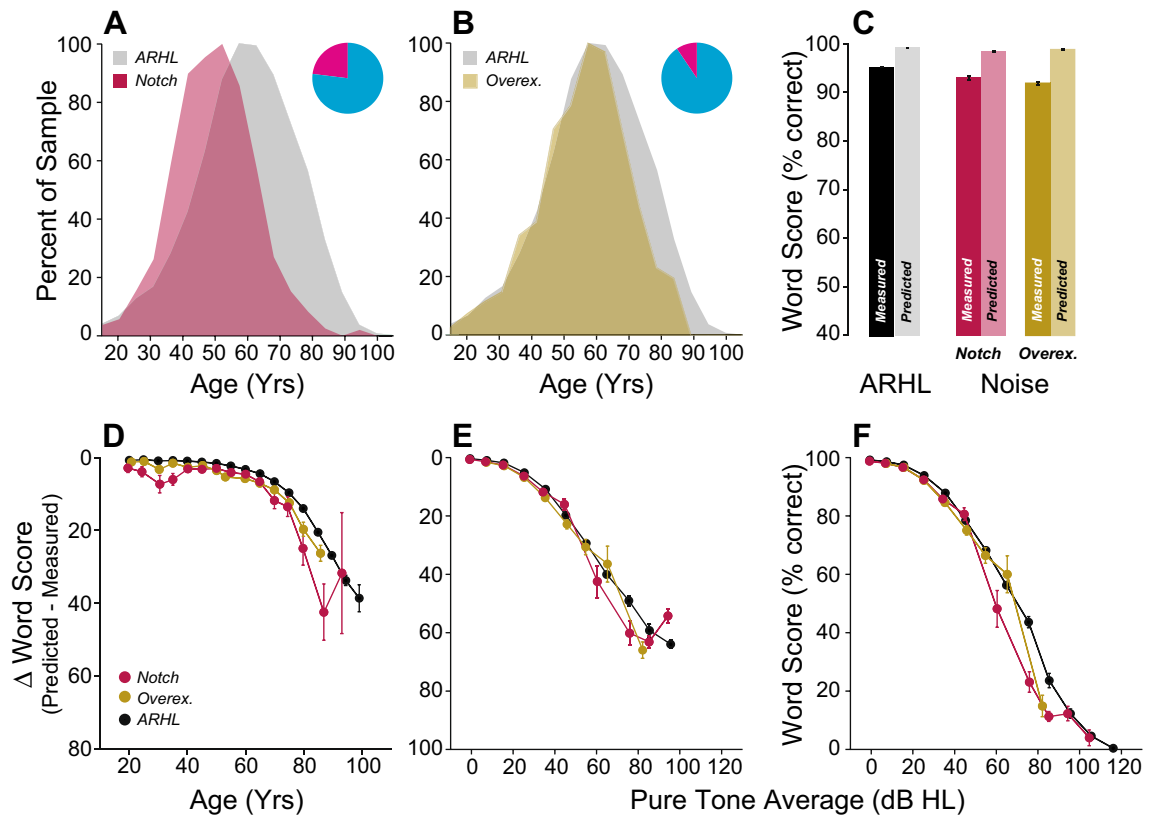


Figure 13. Intelligibility deficits in patients with inferred acoustic overexposure. (A, B) Age distribution of patients presenting with a 4-kHz audiometric notch (Notch, A) or reporting a history of occupational and/or recreational noise overexposure (Overex., B). Pie charts indicate the proportion of male (cyan) vs. female (magenta) for each group. (C) Comparison of mean WRS as measured in patients with inferred acoustic overexposure or predicted by the speech intelligibility curve. Scores were compared with patients presenting ARHL. (D–F) Speech Intelligibility deficits as a function of age (D) or pure-tone average (E, F). Legend in (D) applies to (E, F).

Recent work on normal-aging humans shows that cochlear neural degeneration precedes the loss of the inner hair cells they innervate^{2,69}. The linear best-fit between age and CND ($r^2=0.8$) in a sample of 26 cases suggested a mean neural loss of 46% by age 60 years (averaged across all the audiometric frequencies), and an extrapolated loss of 69% by age 90². Referring to the mean WRSs for normal-aging 60 y.o. found here (Fig. 5C), suggests that 46% loss of cochlear nerve fibers has minimal impact (<5%) on word recognition in quiet, whereas 69% neural loss diminishes word scores by 63%. These two points begin to populate a summary of the estimated relation between word score and CND (black curve in Fig. 14). These inferred relations between CND and WRS suggest an upper bound on the CND contribution, because the WRS discrepancies also include contributions from (1) the degradations in auditory nerve response introduced by loss of frequency tuning, (2) other effects of hair cell damage that are not compensated by raising presentation levels and (3) response alterations in the central auditory pathways elicited by these changes in the periphery^{6,12,70,71}. Indeed, the differences in Δ WRSs (Fig. 8A) between the normal-aging cases (with outer hair cell damage) and the CHL cases (without) may provide a measure of the magnitude of that effect.

Patients with explicit neuropathies, i.e. vestibular schwannoma (VS) and/or neurofibromatosis of type 2 (NF2) showed poorer WRSs than the normal-aging group (Fig. 9). VSs are benign tumors in the vestibular nerve that typically arise unilaterally. They can cause hearing impairment, presumably by affecting the blood supply from pressure-induced vascular changes or release of cytokines^{36,72,73}. Many VS patients have tinnitus and elevated or absent middle-ear muscle reflexes, both of which have been associated with CND^{74–77}. Recent histopathological analysis of temporal bones from five unilateral VS cases (mean age 79 years) revealed a 34% mean loss of the peripheral axons of cochlear nerve fibers in the affected vs. contralateral ears⁷⁸. Assuming the latter are normal for their age, as the present WRS data suggest (Fig. 9C), we estimate 13% survival of cochlear neurons in an 80 y.o. VS patient (i.e. 34% of 39%), which was associated with an average word score of 24%⁷⁸. These estimates fit well on the relation between WRS and CND extrapolated from the normal aging group (Fig. 14).

Of all the etiologies we studied, patients with Ménière's disease showed the worst WRSs (Fig. 10). This debilitating disorder causes episodic vertigo, fluctuating hearing loss and roaring tinnitus^{79,80}, with relatively little loss of either inner or outer hair cells⁴¹. In an ultrastructural study of a case of unilateral Ménière's in an 83 year. old, there was a 75% loss of cochlear nerve synapses re the opposite ear, i.e. ~3 per inner hair cell on the affected side vs. ~12 contralaterally³⁹. Given that the normal ear of this 83 year. old had likely lost ~65% of cochlear neurons⁸¹, the affected ear had only about 8% of its original innervation remaining. According to the audiometric record,

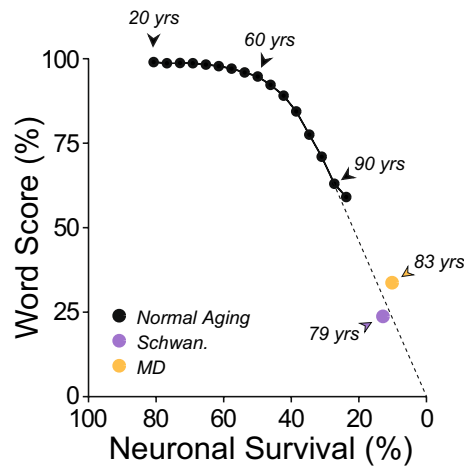


Figure 14. Prediction of word scores as a function of cochlear neural survival. Neuronal survival as a function of age was estimated from a best fit straight line to the data in Fig. 6A from a histopathological study of normal aging humans³. Word scores as a function of age were obtained from the present study (Fig. 6A). See text for further details.

the WRS in the affected ear of this patient was 34%, compared to a predicted score of 99.3%, based on the speech intelligibility curve and the audiogram. These values also fit well on the summary curve in Fig. 14.

Patients with SSNHL also showed discrepancies between measured and predicted WRSs that were larger than in normal-aging controls (Fig. 11C), but the differences were not as dramatic as those in Ménière's or VS patients. The etiology of SSNHL is poorly understood, and likely includes a number of underlying causes, including viral infection and cochlear vascular compromise^{82,83}. A survey of cochlear histopathology in SSNHL cases with unrecovered thresholds concluded that roughly half (7/15) showed marked CND⁴¹; however, the neural loss has not been well quantified enough to make any meaningful comparison to the other etiologies.

Of all the etiologies studied, the smallest differences between measured and predicted word scores were seen in cases of ototoxic drugs (Fig. 12) and putative noise-induced damage (Fig. 13). We found no relevant quantitative data in the literature on CND after hearing loss from ototoxic drugs; however, a recent comparison of human temporal bones with vs. without a history of noise damage³ found a small increase in CND (~15%) across all audiometric frequencies in the latter, but only among patients aged 50–74 years and not among individuals from 75 to 100 years. This age effect is opposite to that seen here, where differences from normal-aging were maximal in the older group. However, both studies agree that the added effects of noise on CND in the aging ear are small. Furthermore, both studies acknowledge the difficulties in identifying normal-aging humans who have not suffered ear abuse, and in relying on self-report as a reliable metric of cumulative noise exposure. That problem is evidenced here by the presence of a 4 kHz notch in the mean audiograms of the normal-aging group (Fig. 3), for which a history of acoustic overexposure was not noted. Nevertheless, the histopathological study showed that including the degree of CND in a multivariable linear regression of histopathological metrics, including hair cell loss and strial damage, helped predict the measured WRS.

Summary and conclusion

We examined audiometric thresholds, word recognition in quiet and the differences between predicted and measured word scores in nearly 96,000 ears with either normal hearing, ARHL (i.e., idiopathic) or SNHL attributable to diagnosable disease or cochlear insult of several etiologies. Intelligibility worsened monotonically with age and degree of hearing loss. Results from patients with conductive hearing loss suggested that cognitive decline was not a major confound. In SNHL, larger intelligibility deficits were observed in etiologies known, or suspected, to cause greater cochlear nerve loss. After accounting for age and degree of hearing loss, word performance deficits were greatest (in descending order) in Ménière's disease, in those with acoustic tumors and after sudden sensorineural hearing loss. Intelligibility deficits were considerably smaller in those with a history of exposure to noise or ototoxic drugs (either aminoglycosides or platinum-containing chemotherapeutics). This ranking is consistent with the fragmentary data on CND in human temporal bones. A compilation of those data suggests that auditory nerve loss must exceed 60% before word scores in quiet fall below 90%. The relation between CND and performance on more difficult listening tasks could be much steeper.

These findings agree with a number of studies linking speech perception or signal-in-noise detection with neural deficits measures by ABRs/electrocochleography^{84–87}, middle-ear muscle reflex^{76,77}, envelope following responses⁸⁸, in vivo imaging of auditory nerve diameter⁸⁹ and computational models¹³. While the evidence implicates CND in the intelligibility challenges of hearing impairment, CND also likely serves as a peripheral trigger for excess central gain and other maladaptive plasticity in central auditory pathways that further degrade perceptual accuracy for complex sounds beyond that predicted by viewing the audiogram as a simple acoustic filter^{12,70,90}.

Data availability

All relevant data are within the paper and its Supporting Information files. Raw data that support the findings of this study are available from the corresponding author, upon reasonable request.

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Author contributions

S.F.M. conceptualized and designed the study. K.J.G., V.V., B.C.M., M.E.F., V.d.G. and S.F.M. collected and analyzed the data. A.P. and D.B.P. contributed to analytic tools. S.F.M. and M.C.L. wrote the paper. V.d.G., B.C.M. and D.B.P. edited the paper.

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Competing interests

The authors declare no competing interests.

Additional information

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