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Abnormal dopamine receptor signaling allows selective therapeutic targeting of neoplastic progenitors in AML patients

Graphical Abstract



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In Brief

Aslostovar et al. establish reliable assays that predict clinical responsiveness to a dopamine receptor 2 (DRD2) antagonist using patient samples from a Phase I clinical study of acute myeloid leukemia. Using this platform, they identify biomarkers for patient stratification and develop an alternative drug formulation with an improved efficacy:risk ratio.

Highlights

- Leukemic progenitors are a critical cellular target of DRD2 antagonist TDZ
- DRD2 protein expression is a reliable biomarker of TDZ response
- DRD2 antagonism selectively triggers leukemic maturation programs via cyclic AMP
- An enantiomer of TDZ displays a superior efficacy:risk ratio relative to racemic TDZ

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Abnormal dopamine receptor signaling allows selective therapeutic targeting of neoplastic progenitors in AML patients

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SUMMARY

The aberrant expression of dopamine receptors (DRDs) in acute myeloid leukemia (AML) cells has encouraged the repurposing of DRD antagonists such as thioridazine (TDZ) as anti-leukemic agents. Here, we access patient cells from a Phase I dose escalation trial to resolve the cellular and molecular bases of response to TDZ, and we extend these findings to an additional independent cohort of AML patient samples tested preclinically. We reveal that in DRD2⁺ AML patients, DRD signaling in leukemic progenitors provides leukemiaexclusive networks of sensitivity that spare healthy hematopoiesis. AML progenitor cell suppression can be increased by the isolation of the positive enantiomer from the racemic TDZ mixture (TDZ⁺), and this is accompanied by reduced cardiac liability. Our study indicates that the development of DRD-directed therapies provides a targeting strategy for a subset of AML patients and potentially other cancers that acquire DRD expression upon transformation from healthy tissue.

INTRODUCTION

Acute myeloid leukemia (AML) is an aggressive hematological malignancy with poor prospects for survival. For the past 40 years, the standard of care has consisted of intensive chemotherapy, which is associated with substantial treatment-related morbidity.¹ Hematopoietic stem cell transplantation is offered to physically fit patients when suitable donors are available, but the majority of patients are not candidates for this preferred line of therapy due to the high toxicity burden involved.² The advancement of novel targeted therapies represents an important objective for the AML field, to improve selectivity toward leukemic blasts while sparing normal hematopoiesis.³ The clinical development of new therapies is a challenging process in AML and requires iterative cycles of investigation that alternate between the laboratory and the clinic.⁴ For example, careful evaluation of findings from early clinical trials has led to improved selectivity and potency profiles among second-generation fms-like tyrosine kinase 3 (FLT3) inhibitors⁵ and has also revealed important mechanisms of acquired resistance to the guanosine analog ribavirin.⁶ With similar goals in mind, here, we report on insights gained from the initial clinical investigation of a dopamine receptor-targeted therapy in AML patients, informed by follow-up laboratory analysis and experimentation.

Dopamine receptors (DRDs) are a class of G protein-coupled receptors (GPCR) and were originally identified in neural tissue as mediators of learning, memory, and regulation of sympathetic tone.^{7,8} The 5 members of the DRD family are subdivided into D1-like (DRD1 and DRD5) or D2-like (DRD2–DRD4) receptors, each with disparate signaling and unique pharmacological properties^{9,10} that have classically been targeted to treat psychiatric disorders.¹¹ More recently, the biological roles and therapeutic promise of DRDs were revisited when a well-established antagonist of DRD2, thioridazine (TDZ),¹² was identified in anti-cancer compound screens for both neural^{13–15} and non-neural cancer universal oncogenic features of human cancer in an unbiased artificial intelligence-based analysis of nearly 170 compounds and 33 tumor types.¹⁸

Based on this promising preclinical evidence, the DRD2 antagonist TDZ was clinically evaluated in a recent Phase I study of AML patients with relapsed or refractory AML (NCT02096289). During a brief window of single-agent treatment with TDZ, leukemic blast cell counts were actively reduced in 8 of 11 patients, while 3 patients showed no evidence of disease alleviation.¹⁹ Furthermore, patients classified as responders had significantly higher levels of cell surface DRD2 expression within leukemic blast



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Figure 1. Leukemic progenitor assays replicate patterns of patient response to DRD2 antagonist TDZ

(A) Leukemic blast counts were monitored before and after treatment with TDZ as a monotherapy in 11 relapsed or refractory AML patients (NCT02096289). Percentage change in blasts in the peripheral blood on day 5 versus day 1 is reported after treatment with TDZ. Percentage change in BM blast content is reported for trial patient 2T and 9T in the absence of circulating blast values. Partial response and progressive disease patterns¹⁹ are indicated as "response" and "no response" and are illustrated as gray versus black silhouettes, respectively.

(B) Candidate trial patient samples from either response group were interrogated for progenitor content at baseline (day 1) and after clinical exposure to TDZ (day 5) using limiting dilution analysis (LDA).²³ Leukemic progenitor frequency was estimated by LDA analysis and normalized to day 1. Baseline progenitor frequency of 1 in 75,000 cells was considered the progenitor frequency for trial patient 3T at day 1 since an absolute frequency was not achieved with the analysis of 75,000 cells for this patient. Dashed lines represent 95% confidence interval. Raw colony counts are shown in Figure S1D.

(C) Trial patient samples obtained at baseline were exposed to TDZ ("+TDZ") versus DMSO control ("-TDZ") for 24 h, followed by analysis of progenitor cell function in CFU assays. Data are normalized to DMSO control. Before normalization, the average DMSO control values were 79 and 2 colonies for trial patients 1T and 8T (non-responders) and 61, 28, 56, 2, 11, 28, and 14 colonies for trial patients 2T, 4T, 6T, 7T, 9T, 10T, and 11T, respectively (responders). Patients 3T and 5T were not included in this analysis due to a lack of detectable progenitor function.

(D) Correlation between percentage change in leukemic blast levels versus percentage change in progenitor capacity (demonstrated in C). Patients 3T and 5T were not included in this analysis due to a lack of detectable progenitor function.

(E) Schematic illustrating *in vivo* AML xenografts were treated with TDZ (22.5 mg/kg "+") or 30% captisol (vehicle control "-") *in vivo*, followed by analysis of leukemic chimerism levels (F), gene expression analysis (G), and progenitor CFU assays (H).

(F) Leukemic chimerism levels (hCD45⁺CD33⁺) after *in vivo* treatment with TDZ relative to vehicle control ("-"). Symbols represent individual recipient mice. *p = 0.05 (2-way factorial ANOVA). There was no significant interaction effect between patient sample and treatment group.

(G) Gene set enrichment analysis (GSEA) plot of a gene set representing cellular pathways associated with AML (Kyoto Encyclopedia of Genes and Genomes [KEGG]; Table S4), applied to transcription profiles from TDZ-treated versus vehicle control-treated AML xenografts derived from AMLs 1, 3, and 4.

populations.¹⁹ While this study successfully identified a safe dose of TDZ for future use in AML patients, ¹⁹ dose escalation to achieve maximal efficacy remained a challenge due to adverse neurological and cardiac side effects associated with TDZ.^{20,21} Encouraged by the preliminary signs of efficacy and the possibility to improve on these outcomes, we have returned to the laboratory bench to evaluate the mechanism of action and cellular basis of AML patient response versus non-responsive patients. Using AML patient samples from this trial together with the contextual clinical data, we characterize the cellular and molecular bases of TDZ therapy response, which can be exploited toward more refined, targeted, and safer DRD-based therapies. Our findings reveal the biological role of DRDs in the context of malignant hematopoiesis and provide foundational insights into DRD-directed therapies for use in AML as well as a broader range of non-neural cancers that co-opt the DRD pathway during healthy to cancerous transformation.

RESULTS

Leukemic progenitors are an important cellular target of DRD2 antagonist TDZ

A total of 13 patients were enrolled in a Phase I clinical trial to evaluate the safety of the DRD2 antagonist TDZ as a potential antileukemic therapy (NCT02096289).¹⁹ The study was designed to include a 5-day lead-in period,²² in which TDZ could be evaluated as a single agent in advance of the introduction of standard chemotherapy. Despite the brevity of this monotherapy window, a 19%-55% reduction of blast levels was observed in 8 of the 11 AML patients who completed the initial lead-in phase¹⁹ (Figure 1A). Viable leukemic cells were collected from trial patients at the study's baseline and also after the 5-day TDZ treatment, providing an opportunity to interrogate therapy-related changes in disease composition on a patient-by-patient basis. Because TDZ was originally identified for its predicted ability to block leukemic self-renewal mechanisms,16 we applied semisolid in vitro assays to quantify functional leukemic progenitors before and after clinical exposure to TDZ. Colonies were recognized to be leukemic in origin based on the presence of patient-specific aberrations (Figure S1A) and/or abnormal colony composition consisting of uniform myeloid colonies (Figures S1B and S1C). Quantitative limiting dilution analysis (LDA)²³ revealed an acute depletion of progenitor pools (1.9- to 23-fold), exclusively among patients who experienced some degree of clinical response (6T, 10T, and 11T; Figures 1B and S1D). In contrast, progenitor frequencies were unchanged in all 3 non-responding patients from the trial (1T, 3T, and 8T; Figures 1B and S1D).

We next designed an approach involving a liquid culture system, followed by the same AML progenitor assay readout to determine whether the observed progenitor responses in treated patients would have been predicted by exposing the same patients' naive cells to TDZ *in vitro* compared to treating the patients



with TDZ themselves. This was performed using baseline therapy-naive AML samples obtained from each patient at the start of the trial. Following liquid culture in the presence of TDZ or DMSO (vehicle control), leukemic cells were then evaluated for progenitor content using the semisolid colony-forming assays. After only 24 h of culture, TDZ was able to reduce leukemic progenitor frequencies in 7 of 9 patient samples tested, matching the patient-specific patterns of response seen after clinical TDZ administration (Figures 1C and 1D). These findings indicate that the outcomes of *in vitro* TDZ treatment can predict leukemic progenitor responses seen in the clinic (Figures 1B–1D).

In parallel with the execution of the clinical trial, we structured a complementary xenograft study to mimic the design of the trial. This provided the benefit of evaluating in vivo treatment regimens in direct comparison to an internal vehicle control and also allowed the delivery of TDZ as a single agent for a full 3-week period. We established leukemic xenografts in NOD SCID mice via the intravenous transplantation of cells obtained from 4 distinct AML patients that were highly infiltrated with leukemic blasts (Figure S2A; Table S1). This required the use of patient samples collected independently from the trial because trial patient samples were limited either in cell number or engraftment capacity. All 4 of these samples exclusively generated pure myeloid xenografts (>99% hCD45⁺CD33⁺²⁴; Figure S2B) with blast morphology (Figure S2C). In total, 46 individual recipient mice were treated with a clinically relevant dose of TDZ or vehicle control,²⁵ followed by cell purification of the human leukemic grafts (Figure S2D) for subsequent phenotypic and transcriptional analysis (Figures 1E-1G). In vivo TDZ treatment led to a 20%–95% reduction in leukemic disease levels compared to vehicle-treated controls (Figure 1F). This anti-leukemic effect was accompanied by a loss of gene expression signatures broadly associated with malignant transformation (Table S2), including a repression of hallmark cellular pathways specific to AML (Figure 1G; Table S3). To correspond with our analysis of progenitor content in TDZ-treated AML patients, we seeded human AML cells recovered from xenografts into the same in vitro progenitor assay. Leukemic progenitor cells within TDZ-treated xenografts were reduced in all cases, with the exception of AML#4, in which no progenitor activity was detected from xenografts, independent of TDZ administration (Figure 1H; Table S4). Overall, these results indicated that while a level of bulk leukemia cytoreduction was achieved in xenograft systems, TDZ exerts a strong anti-leukemic effect through the suppression of the progenitor compartment as seen in human patients. This positions the AML progenitor assay as a meaningful benchmark to judge the performance of new candidate molecules that target the DRD pathway.

DRD-directed targeting spares healthy hematopoiesis Traditional chemotherapy treatment is non-selective and causes

substantial damage to the healthy hematopoietic system,

Data are summarized as means \pm SEMs.

⁽H) Human AML grafts were recovered from mouse BM and evaluated in progenitor CFU assays. Symbols represent individual CFU wells, plated using cells recovered from a minimum of 2 individual mice per condition. Colony-forming capacity for AML 4 was not detectable with up to 150,000 human cells assayed. ***p \leq 0.0001 (2-way factorial ANOVA). There was no significant interaction effect between patient sample and treatment group.

See also Figures S1 and S2 and Tables S1–S4.



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Figure 2. TDZ-induced suppression of progenitor activity is exclusive to AML

(A) Number of required red blood cell or platelet transfusions for trial AML patients receiving TDZ together with intermediate dose cytarabine compared to ageand disease-matched control AML patients receiving standard re-induction chemotherapy with HiDAC, FLAG-IDA, or idarubicin plus cytarabine ("control patients"). Data points compare 9 on-study patients treated with 21 days of TDZ and cytarabine (co-administered on days 6–10), versus 11 control AML patients treated with standard re-induction therapy. Transfusions were enumerated for a duration of 36 days for trial patients and 28 days for control patients. Trial patients 3T, 8T, 12T, and 13T were excluded from this analysis as they missed a significant portion of the 21-day TDZ treatment.

(B–E) Non-transplanted mice were treated with TDZ or 30% captisol (vehicle control, "-") for 21 days *in vivo*, followed by flow cytometric analysis of endogenous healthy stem and progenitor cells (C), white blood cell counts (WBCs) (D), and hematocrit levels (E).

(C) FACS plots showing the gating strategy for the murine stem and progenitor fraction Lin⁻ Sca-1⁺ Kit⁺ (LSK) within mouse BM. LSK frequencies were quantified after *in vivo* treatment with TDZ versus vehicle control ("-"). Symbols represent individual mice.

(D) Murine WBC counts throughout 21 days of exposure to TDZ or vehicle control in vivo. Symbols represent mean of 2 readings per individual mouse.

(E) Murine hematocrit levels following a 21-day administration of TDZ or vehicle control *in vivo*. Symbols represent average of 3 readings per individual mouse. (F) Healthy human xenografts established from 2 distinct Lin⁻ CB samples were treated with TDZ (+) or vehicle control (-) *in vivo*, followed by analysis of human chimerism (hCD45⁺) (F, right) and progenitor activity (G). Symbols represent individual recipient mice (circles, healthy donor 1; squares, healthy donor 2). p = 0.30, unpaired t test.

(G) Progenitor capacity in healthy donor xenografts isolated from recipient mouse BM; 10,000 human cells were interrogated in semisolid media; p = 0.08, unpaired t test.

(H) Ratio of myeloid:lymphoid cells within healthy xenografts after exposure to TDZ (+) or vehicle control (-) *in vivo*; n = 9–10 individual mice engrafted with human cells from n = 2 healthy donors. Representative FACS plots demonstrate myeloid (CD33) and lymphoid (CD19) populations. Data are summarized as means \pm SEMs.

limiting the duration and frequency of treatment that can be applied. A key goal of targeted cancer therapy is to achieve greater therapeutic specificity toward diseased cells, with fewer consequences to healthy tissue function.¹ Ideally, this would enable outpatient forms of treatment that can be tolerated as long-term oral medications.²⁶

In the clinical trial setting, we previously reported that platelet and neutrophil counts remain stable over 5 consecutive days of DRD2 antagonism with TDZ,¹⁹ indicating a lack of acute toxicity to normal hematopoiesis. Beyond this 5-day period of TDZ monotherapy, the same trial patients continued to receive oral TDZ daily, concurrent with standard cytarabine chemotherapy which was introduced on day 6 of the regimen. Over the full treatment course, consisting of 21-day TDZ administration q6h, the requirement for transfusion support was comparable to that of patients who received standard chemotherapy alone (Figure 2A). In fact, the number of required platelet transfusions was slightly reduced in TDZ trial patients, and this difference approached statistical significance (Figure 2A). This provides initial evidence that prolonged TDZ treatment can be safely and practically coupled with conventional chemotherapy regimens and does not cause additional harm to the hematopoietic system.

To more directly evaluate the long-term safety of TDZ as a single agent, we performed controlled *in vivo* experimentation in healthy non-leukemic mice (Figure 2B). Relative to vehicle control treatment, 21-day TDZ administration had no negative effects on murine hematopoietic stem/progenitor populations (Figure 2C), leukocyte counts (Figure 2D), or red blood cells (Figure 2E). Furthermore, the TDZ treatment regimen had no adverse effects against healthy human hematopoiesis in cord blood-derived xenografts, as measured by overall human chimerism (Figure 2F), healthy progenitor capacity (Figure 2G), or mature lineage composition (Figure 2H). These data

indicate the specificity of TDZ for leukemic, not normal hematopoiesis.

DRD cell surface phenotype predicts AML progenitor response to DRD-modulating agents

While limited by sample size, we previously established that clinical responsiveness to TDZ was associated with higher baseline DRD2 expression levels in leukemic blasts.¹⁹ This observation motivated a systematic interrogation of responsiveness to TDZ based on patient-specific DRD2 expression, to evaluate a precision medicine strategy for future DRD-directed therapies. DRD2 expression levels were measured in an extended set of patient samples, including newly diagnosed cases of AML (Table S1). Since leukemic progenitors represented a critical disease subset affected by TDZ treatment (Figures 1B and 1H), we prioritized our phenotypic characterization of DRD2 to the CD34⁺ subfraction of cells that enriches for progenitor activity (Figure S3A). Healthy CD34⁺ cells were used to establish a threshold for aberrant DRD2 levels in AML patient samples. This threshold-based criterion allowed the segregation of AML patients into 2 categories; DRD2⁺ patients versus DRD2^{lo} patients with levels similar to healthy controls (Figure 3A). Within our patient cohort, excessive DRD2 expression was related to greater disease severity as predicted by European LeukemiaNet (ELN) stratification.²⁷ Patients in the low-risk prognostic group expressed healthy ranges of DRD2 expression, whereas elevated DRD2 levels were only seen among patients with intermediate- or high-risk disease (Figure 3B). This provides a preliminary basis to suggest that DRD2⁺ phenotypes are clinically significant and may be associated with underlying disease genotypes.

Functional anti-leukemic effects were tested using 8 DRD2⁺ AML patient samples versus 5 DRD2^{lo/-} controls (comprising 2 DRD2^{lo/-} AML patients and 3 healthy donor samples; Figure 3C). Semisolid colony-forming unit (CFU) assays revealed that *in vitro* TDZ exposure suppressed both the number (Figure 3C; Table S5) and proliferative capacity of leukemic progenitors (Figures 3D and S3B) exclusively in DRD2⁺ patients. In contrast, progenitor frequencies of DRD2^{lo/-} AML patients remained minimally affected by TDZ, similar to the lack of response seen in healthy progenitor cells (Figures 3C and 3D). These findings suggest that pre-screening patients for DRD2 expression would be a valuable strategy to prospectively identify the individuals most likely to benefit from treatment with TDZ or similar targeted molecules.

To more directly address the relationship between DRD2 expression and self-renewal, we used fluorescence-activated cell sorting (FACS) to purify human AML cells into DRD2⁺ versus DRD2⁻ fractions, followed by functional evaluation by xenotransplantation. DRD2⁺ cells efficiently produced human leukemic grafts in immunodeficient mice (Figures 3E and S3B), demonstrating clear self-renewal activity among DRD2⁺ AML cells. DRD2⁻ cells also possessed leukemia initiation capacity (Figures 3E and S3C); however, the absence of DRD2 signal by flow cytometry does not rule out the presence of intracellular DRD2 expression. It has been well established that DRD2 protein is not always localized at the cell surface and can transit to intracellular endosomal compartments, a common feature of G protein-coupled receptors.^{28–31} Consis-

tent with this phenomenon, we detected considerable DRD2 protein expression in bulk cellular lysates from cells that were purified based on DRD2 negativity at the cell surface (Figure 3F). Active signal transduction was also evident in the expression of the downstream signaling mediator, phosphorylated cyclic AMP (cAMP) response element binding protein (pCREB; Figure 3F). This suggests that DRD2⁻ sorted cells do not represent a stable DRD2⁻ population, precluding meaningful quantitative comparisons relative to DRD2⁺ sorted subsets. This is similar to other G-coupled proteins used for hematopoietic cell surface identification (e.g., CXCR4) that failed to resolve clear mechanisms of self-renewal activity in hematopoietic stem cells (HSCs).³²⁻³⁴ Importantly, patients that were considered DRD2^{lo/-} by cell surface protein remained DRD2^{lo} when assayed for total DRD2 protein content by western blot, as the 2 measurements were highly correlated (p = 0.009; Figure S3D). This suggests that internalized reservoirs of DRD2 protein are more likely to exist in patients with elevated expression of DRD2 at the cell surface level.

Next, we more thoroughly explored the functional biology of DRD2⁺ AML using alternate inhibitors of DRD2 signaling, to test the robustness of this candidate therapeutic target. Similar to TDZ, DRD2 antagonists fluphenazine dihydrochloride³⁵ and domperidone³⁶ independently suppressed leukemic progenitor activity, providing convergent evidence that DRD2 is a biologically meaningful target in AML (Figure S3E). Conversely, DRD2 stimulation, by its natural ligand, dopamine, augmented leukemic progenitor capacity (Figures 3G and 3H). This finding suggests that dopamine availability is likely to affect disease behavior in patients, a possibility that deserves attention given our related observation that the plasma of AML patients (n = 11) manifests elevated dopamine levels compared to healthy control plasma samples (n = 19) (Figure 3I). Overall, our combined results suggest that DRD2 dependence is of functional consequence to leukemic progenitor activity and that dopaminergic signaling presents a tunable axis for malignant transformation in a subset of DRD2⁺ AML patients.

DRD signaling provides a selective axis to initiate maturation programs in AML progenitors

Downstream DRD signaling has been traditionally characterized to operate through adenylyl cyclase (AC)-mediated control of cAMP levels, where DRDs play opposing roles to regulate this network.^{10,37} Within CD34⁺ subsets of human AML, DRD1 and DRD2 are commonly co-expressed (Table S1). Although DRD1 expression levels often slightly exceed those of DRD2, DRD2 has a much higher binding affinity for dopamine ligand.³⁸ Upon ligand binding, DRD1 stimulation leads to AC activation and subsequent cAMP stimulation while DRD2 negatively regulates AC, thereby blocking cAMP induction.^{10,37} Given that cAMP represents a central point of DRD pathway convergence, we explored its use as a molecular marker of targeted therapy response. Following in vitro culture with or without TDZ, we measured cAMP levels in the primary AML cells of patients from our Phase I clinical trial. In line with cell-intrinsic response patterns to TDZ, cAMP elevation was strictly observed in clinically responsive patients' samples (Figure 4A). Next, we used alternative tool compounds to further probe the mechanisms and effects of





Figure 3. DRD2 expression profiles reliably predict functional response to DRD antagonism

(A) DRD2 expression patterns within leukemic CD34⁺ cells. Dotted line represents FMO control (left). Comparison of DRD2 protein levels in CD34⁺ cells of AML patient versus healthy donor samples (right). Healthy donor samples consist of cord blood (n = 3), and adult non-mobilized peripheral blood (n = 5). Blue versus red shading indicates the threshold of normal versus aberrant DRD2 levels. **** $p \leq 0.0001$ (Mann-Whitney *U* test).

(B) DRD2 protein expression within CD34⁺ subset of low versus intermediate-/high-risk AML patients based on ELN criteria.²⁷ Dots represent individual AML patients. **p = 0.006 (Mann-Whitney *U* test). (C) Mononuclear cells (MNCs) isolated from healthy donors and AML patients were treated with TDZ or DMSO (vehicle control, "–") for 24 h and evaluated in progenitor CFU assays. Distinct shapes or colors indicate individual samples. n = 3–10 CFU wells per condition, ****p \leq 0.0001 (unpaired t test). Source data can be found in Table S5.

(D) Proliferative capacity of leukemic versus healthy progenitor units was compared after *in vitro* exposure to TDZ for 24 h. Cell number output per colony was evaluated by custom scripts as a measure of proliferation.

(E) Representative FACS plots demonstrate gating strategy to purify DRD2⁺ vs DRD2⁻ human AML cells (left) and human leukemic chimerism in mice transplanted with 1 million DRD2⁺ or DRD2⁻ human AML cells.

(F) Western blot of DRD2, activated CREB (p-CREB at Ser-133), and histone H3 (loading control) in DRD2⁺ versus DRD2⁻ sorted fractions illustrated in (E).

(G) Representative whole-well CFU images after treatment with dopamine (DA) at physiological levels (10 nM) versus DMSO control (-DA).

(H) Progenitor cell activity was quantified in n = 6 distinct AML patients after treatment with physiological levels of DA (10–100 nM) relative to DMSO control. n = 2-3 CFU wells per AML sample. *p = 0.03 (unpaired t test).

(I) Circulating DA levels in healthy individuals (n = 8 healthy adult peripheral blood (PB) and 11 cord blood (CB) samples, as hollow circles and squares, respectively) versus n = 11 AML patients (black circles). *p = 0.04 (unpaired t test).

Data are summarized as means \pm SEMs relative to vehicle control.

See also Figure S3 and Table S5.

DRD-mediated cAMP signaling on leukemic progenitor function. As would be expected based on classical DRD networks, an agonist to DRD1 (SKF 38393)³⁹ increased cAMP levels and also repressed leukemic progenitors (Figure 4B), similar to the effects of DRD2 antagonism. We additionally identified a specific DRD1 antibody that had agonist activity as seen by potent cAMP induction (Figure 4C) and subsequent activation of CREB (Figure 4D). Not only did α DRD1 treatment recapitulate the molecular hallmarks of TDZ treatment but it also suppressed leukemic progen

itors in a similar fashion (Figure 4E). In contrast, dopamine ligand, which conversely had an activating effect on leukemic progenitors (Figures 3E and 3F), failed to induce cAMP (Figure S4A).

Beyond serving as a net indicator of DRD pathway activity, the involvement of cAMP also provides mechanistic insight to understand the anti-leukemic effects of TDZ, due to its established role in myeloid cell maturation.^{40–42} Concomitant with the activation of cAMP, *in vitro* TDZ exposure led to AML cell maturation made apparent by morphology (Figures 4F and S4B),

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Figure 4. cAMP elevation is associated with leukemic progenitor suppression

(A) Trial patients (NCT02096289) were exposed to TDZ *in vitro*, followed by analysis of cAMP level changes. Trial patients with abundant cell numbers available were prioritized for this analysis, including patients 1T and 3T from non-responders, and patients 7T, 10T, and 11T for responders. n = 3-6 technical replicates per condition. * $p \le 0.05$ (unpaired t test).

(B) cAMP levels in response to DRD1 agonist (SKF 38393) relative to DMSO control. $n \ge 4$ replicates across OCI-AML3 and NB4 cell lines. **p = 0.008 (Mann-Whitney *U* test). Progenitor response was evaluated after treatment with DRD1 agonist (SKF 38393) relative to DMSO control. n = 2-3 CFU replicates per AML sample (n = 5 AML samples total).

(C) cAMP levels in response to anti-DRD1 antibody alone or in combination with DRD1 antagonist (SCH 23390) in AML cell lines OCI-AML3 and NB4. n = 2–4 replicates per condition.

(D) Western blot of activated CREB (p-CREB at Ser-133) after exposure to anti-DRD1 antibody in OCI-AML3 cell line (top). Western blot of activated CREB (p-CREB at Ser-133) exposure to TDZ in OCI-AML3 and NB4 cell lines (bottom).

(E) MNCs isolated from healthy donors and AML patients were treated with anti-DRD1 antibody or immunoglobulin G (IgG) control ("-") for 30 min, and evaluated in progenitor CFU assays. Distinct shapes or colors indicate individual samples. n =3–7 CFU wells per condition, **** $p \le 0.0001$ (unpaired t test).

(F) Cytospin preparations of AML cells from patient 2 after exposure to TDZ or vehicle control (DMSO). Yellow arrowheads indicate evidence of hematopoietic maturation (increased cell size, reduced nuclear:cytoplasmic ratio, increased cytoplasmic vacuolization).

(G) FACS plot showing expression of granulocytic cell marker (CD15) after *in vitro* exposure to TDZ or DMSO control ("-TDZ") in representative DRD2^{lo} and DRD2⁺ AML samples. CD15 frequencies were quantified for AMLs 1, 6, and 7 (n = 2 technical replicates per AML sample in each condition). **p = 0.002 (Mann-Whitney *U* test).

(H) AML patient cells were treated with TDZ or DMSO for 24 h and evaluated in progenitor CFU assays, followed by analysis of re-plating capacity. **p = 0.004 (unpaired t test).

(I) cAMP levels in response to TDZ relative to DMSO control. DRD2⁺ AML includes AML 1, 6, OCI-AML3, and NB4. DRD2⁻ AML and healthy controls include AML 12 and 3 CB samples, respectively. $n \ge 3$ replicates per condition. *** p = 0.007 (unpaired t test).

(J) cAMP levels in response to forskolin (FSK) relative to DMSO control. n = 6 replicates per condition, across 1 AML cell line and n = 2 healthy donor cells. *** $p \le 0.0001$ (unpaired t test).

Data are summarized as means \pm SEMs. See also Figure S4.

immunophenotype⁴³ (Figure 4G), and a loss of self-renewal measured by progenitor re-plating capacity (Figure 4H). This induction of cellular maturation was accompanied by a mild reduction in DRD2 cell surface protein expression (Figure S4C), which we have also seen after *in vivo* TDZ treatment of AML xeno-grafts.²⁵ These combined data collectively indicate that DRDs can be targeted to increase in cAMP levels in AML progenitors to induce maturation at the expense of progenitor capacity.

We next further explored the configuration of these networks in normal hematopoietic cells. Although healthy hematopoietic progenitors are competent to transduce cAMP signals, they lack the upstream DRD2 machinery required to mount a cAMP response to TDZ (Figure 3A). In line with being functionally inert to TDZ (Figures 3C and 3D), progenitor-enriched cells from healthy donors failed to show an elevation of cAMP upon *in vitro* TDZ treatment (Figure 4I). However, cAMP levels could be efficiently induced in healthy hematopoietic cells using the small molecule forskolin (FSK) that directly interacts with AC³⁹ and bypasses the need for DRD receptor engagement (Figure 4J). We suggest that while the cAMP circuitry is functionally





A (NW) operations of the second seco



cAMP level normalized to TDZ







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intact in both healthy and leukemic hematopoiesis, the preferential presence of DRDs in AML provides an exclusive pharmacological gateway to the cAMP pathway in leukemic progenitors. We propose that this mechanism of action available through DRDs can be exploited selectively to target malignant hematopoiesis while sparing healthy hematopoiesis.

An enantiomer of TDZ displays a superior efficacy:risk ratio relative to TDZ

TDZ has been historically associated with cardiac and neurological toxicities, 20,21 and both types of adverse event were observed in AML patients at higher doses of TDZ.¹⁹ As a result, a dose of 50 mg/kg q6h was determined to be the maximum tolerated dose for AML, limiting safe dose escalation to achieve optimal anti-leukemic potential. TDZ is a chiral molecule, meaning that it exists in 2 forms with mirror image stereochemistry (i.e., [+] and [-] enantiomers). Traditionally, TDZ has been clinically administered as an equimolar racemic mixture of (+) and (-) enantiomers, each with distinct risk profiles and pharmacological properties.44 Considering that the positive enantiomer of TDZ is associated with a greater affinity for DRD2,44 while the negative enantiomer is more strongly implicated in neurotoxic effects,⁴⁵ we sought an opportunity to refine the commercial TDZ compound and isolate the positive enantiomers (TDZ⁺) toward a maximized potency:risk ratio. Using chiral separation, we segregated TDZ into high-purity positive and negative enantiomers (Figure 5A). Consistent with the relative DRD2 affinities reported for racemic TDZ and its 2 enantiomers,⁴⁴ TDZ⁺, with a nearly 3-fold binding preference for DRD2, displayed a superior induction of cAMP levels relative to TDZ⁻ (Figure 5B). Intuitively, racemic TDZ showed intermediate potency relative to the 2 enantiomers (Figure 5B). Comparative analysis of racemic TDZ and both enantiomers in functional dose-response assays revealed that TDZ⁺ achieved superior suppression of leukemic progenitor activity (Figures 5C and 5D). These effects remained restricted to a subset of AML patients expressing DRD2 (Figure S5A).

TDZ⁺ has been associated with a reduced neurotoxic profile.⁴⁵ However, a concrete evaluation of this molecule in clinically relevant models of cardiac toxicity remains to be reported. Using a standardized guinea pig model for cardiovascular function, we optimized the dose of TDZ *in vivo* to achieve clinically relevant



plasma levels of TDZ that lead to prolonged QT interval (QTc) events in humans. In contrast to its parent compound, TDZ⁺ did not lead to prolonged QTc events in any of the guinea pigs assayed (n = 10), when administered at the same dose (Figure 5E). In fact, QTc intervals remained within safe ranges when TDZ⁺ dosage was escalated by a factor of 3-fold (Figure 5E). Although QTc intervals were decreased in some guinea pigs following treatment with 1 mg/kg TDZ⁺, this effect was not statistically significant (p = 0.21), and these changes remained within the ranges of other drugs that have been safely administered to humans.⁴⁶

Given the demonstrated clinical relevance of leukemic progenitor assays (Figure 1) and the accepted predictive value of our cardiotoxicity model,⁴⁶ we have established a strong body of evidence that TDZ⁺ offers superior clinical potential to racemic TDZ. By providing the dual benefit of increasing therapeutic potency while reducing toxic side effect profiles, TDZ⁺ represents a promising lead compound for the future development of DRDtargeted therapies in AML and potentially a variety of cancers that also aberrantly express DRD2. By accessing public gene expression datasets including The Cancer Genome Atlas (TCGA) and Genotype-Tissue Expression (GTEx), we have detected the preferential expression of DRD2 among malignant tissues of kidney, thyroid, colon, and lung relative to matched healthy tissue samples (Figure 5F). This mirrors similar findings previously reported for tumors of breast,^{16,48} brain,¹³ and liver tissue origin. More important, elevated DRD2 expression was associated with a survival disadvantage for patients with kidney, endometrial, urothelial, and thyroid tumors (Figure S5B). This suggests that in addition to the clinical application of AML, the development of targeted DRD therapies may benefit other patient populations.

DISCUSSION

Early clinical trials in AML are challenged by the frequent requirement to restrict enrollment to relapsed or refractory cases of disease that have poor probabilities of response.⁴⁹ Therefore, it is important to carefully review preliminary signs of efficacy and identify opportunities for improvement when possible. The successful evolution of second-generation FLT3 inhibitors sets a strong standard for this approach⁵ and should be used as a



(A) Chiral separation of TDZ using supercritical fluid chromatography. Chromatograms show the first and second peaks, indicating the (–) enantiomer "TDZ⁻" and (+) enantiomer "TDZ⁺," respectively. Purified enantiomers were evaluated for effects on cAMP levels (B), and in progenitor CFU assays (C and D).

(D) Comparison of TDZ and TDZ⁺ IC₅₀ for individual AML patients in CFU assays (represented in C). **p = 0.004 (paired t test).

(E) A 30-min monitoring of QTc level changes after intravenous injection of TDZ and TDZ⁺ in a guinea pig assay (n = 5 animals per cohort). QTc increases over 5% were considered indicators of safety risks.⁴⁶ No group averages were statistically different from baseline values (repeated-measures ANOVAs).

(F) *DRD2* transcript (Gene: 1813) was analyzed from TGCA (tumor and normal tissue) and GTEx (normal tissue) RNA-sequencing projects.⁴⁷ Data points represent normalized gene expression levels (fragments per kilobase of transcript per million mapped reads [FPKM]) for *DRD2* from individual cancer patients or healthy donors.⁴⁷ **** $p \le 0.001$ and **** $p \le 0.0001$ (Mann-Whitney *U* test), **p = 0.01 (Kolmogorov-Smirnov test).

Data are summarized as means \pm SEMs.

See also Figure S5.

⁽B) cAMP levels were evaluated after *in vitro* treatment with TDZ and its two enantiomers in AML cell lines (NB4 and OCI-AML3) and primary patient cells (AMLs 2, 9, and 27). Symbols represent individual CFU wells. * $p \le 0.05$ and ** $p \le 0.01$ (unpaired t test).

⁽C) AML patient cells were exposed to TDZ and its 2 enantiomers for 24 h in a dose-response assay *in vitro*, and subsequently evaluated in progenitor CFU assays. Bar graphs summarize half-maximal inhibitory concentration (IC₅₀) in progenitor CFU assays performed with AML patient cells. ** $p \le 0.01$ and *** $p \le 0.001$ (paired t test).



model for novel targeted therapies. Two fundamental goals of targeted therapy development are to identify specific patient subsets most likely to derive benefit and to maximize selectivity profiles for fewer adverse effects.³ We have addressed both of these objectives in our current evaluation of DRD2 targeting to treat AML disease. Based on the application of cellular and molecular assays shown to correlate with clinical response, we build on findings from the first trial of a DRD-directed therapy in AML (NCT02096289) to present an alternative drug formulation that is more suitable for further clinical investigation. In conjunction, we have established objective evidence to recommend that DRD2⁺ patients should be prioritized for subsequent trials in the future. Across experimental systems as well as in a clinical setting, TDZ exposure reproducibly led to the rapid depletion of leukemic progenitor function exclusively in AML patients who expressed abnormal levels of DRD2.19

AML represents a prototypical disease for hierarchically organized cancers in which a tumor is initiated and propagated by primitive disease subfractions.⁵⁰ Nevertheless, realistic therapeutic strategies that disable these functionally primitive properties remain limited. We report that leukemic progenitor cell function can be efficiently blocked through the DRD pathway, acting through AC to increase cAMP levels, ultimately inducing a cellular maturation response. Given the short survival timelines of AML patients, it is highly desirable to develop new strategies to target this progenitor fraction, which possesses a capacity to promptly affect rates of downstream cell generation.^{51,52} Our characterization of the preclinical activity of TDZ⁺ represents a valuable step toward this goal, as it demonstrates an optimized efficacy:risk ratio as a DRD2 pathway inhibitor. As an improved lead drug, TDZ⁺ offers more potent suppression of leukemic progenitor activity that is complemented by reduced neurotoxicity⁴⁵ and less cardiac liability, both of which presented safety challenges with the parent TDZ compound.¹⁹

Finally, our work highlights the need to further explore the source and physiological role of dopamine in leukemia disease biology. In addition to the nerve fiber innervation in the bone marrow (BM)⁵³ that provides a niche-based source of dopamine in the leukemic cell habitat, functional catecholamine synthesis machinery has been described within myeloid cells.⁵⁴ The latter finding suggests that leukemia cell-intrinsic dopamine production could reasonably contribute to elevated levels of dopamine that have been observed in AML patients by both ourselves and others.⁵⁵ We further propose that the role of dopamine should be investigated under conditions of regenerating disease post-chemotherapy, as residual leukemic cells have been found to further upregulate DRD2 expression after chemotherapy treatment.²⁵ This suggests that the DRD pathway may become vital to regenerating leukemic cells. Beyond AML, multiple human cancers have been reported to aberrantly express DRD upon transformation, 13, 16, 48, 56 a phenomenon that has been repeatedly associated with poor disease prognosis in a number of cancer types.^{13,16} Despite the recurrent presence of DRDs in transformed cells and their implication in disease outcomes, their biology in the context of tissue transformation and neoplastic cell behavior remains poorly characterized. Our study reveals a role for DRDs within transformed hematopoiesis

in which they provide a selective access route to suppress leukemic progenitors. This establishes a framework for the generation of DRD antagonists for potential therapeutic use in a broad range of cancers that acquire aberrant DRD expression beyond AML.

Limitations of study

AML disease genetics are widely heterogenous across patients.⁵⁷ Therefore, it is a considerable challenge to capture a comprehensive cross-section of the patient population that represents all of the various disease subtypes. In the present study, we have observed that high-risk forms of the disease are more likely to manifest excessive DRD2 expression. However, it will ultimately require additional highly powered studies to determine whether particular cytogenetic or somatic mutations specifically correlate with elevated DRD2 levels.

The subcellular localization of DRD2 protein also complicates straightforward interpretation of its role in AML disease biology. While our findings suggest that TDZ responsiveness depends on cell surface expression of DRD2, it is important to acknowledge that this phenotype does not necessarily represent a stable population of cells. This is because DRD2 is known to dynamically cycle between the cell surface and intracellular endosomal compartments.²⁸⁻³¹ We predict that as cells transition in and out of surface-expressing states over time, sustained therapeutic targeting is likely to affect a larger population of cells than we would predict by examining DRD2 surface levels in a single static analysis. Receptor internalization/cycling also limits the ability to accurately evaluate LSC content in DRD2⁺ versus DRD2⁻ subsets by prospective cell purification, due to the fact that DRD2⁻ cells defined by surface expression may still contain DRD2 protein in intracellular locations. This is an implication that would also extend to other receptors in the GPCR superfamily such as well-known hematopoietic regulator CXCR4. 33,34,58,59 In addition to this complexity, we cannot rule out the possibility that there may be relevant interactions between DRD2⁺ and DRD2⁻ cells that could contribute to the clinical activity observed upon TDZ treatment. This will require further detailed study to better understand the role of DRD2 in AML that will likely inform the significance of DRD2 acquisition in other human cancers identified here.

STAR*METHODS

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SUPPLEMENTAL INFORMATION

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AUTHOR CONTRIBUTIONS

L.A., A.L.B., Y.D.B., J.D.L., J.L.G.R., M.N., D.P.P., J.C.R., and R.R.M. performed the experiment design and data collection, analysis, and interpretation. B.L., A.X., and R.F. provided the study material and insights. M.B. led the study conception, design, manuscript writing, and provided final approval.

DECLARATION OF INTERESTS

The authors declare no competing interests.

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STAR***METHODS**

KEY RESOURCES TABLE

| REAGENT or RESOURCE | SOURCE | IDENTIFIER |
|---|--|-------------------------------|
| Antibodies | | |
| V450 mouse anti-human CD45 | BD Horizon | Cat#642275; RRID:AB_1645755 |
| BV605 mouse anti-human CD45 | BH Horizon | Cat#564048; RRID:AB_2744403 |
| APC mouse anti-human CD33 | BD PharMingen | Cat#551378; RRID:AB_398502 |
| BV421 mouse anti-human CD33 | BD Biosciences | Cat#565949; RRID:AB_2739413 |
| APC mouse anti-human CD34 | BD PharMingen | Cat#555824: RRID:AB_398614 |
| PE mouse anti-human CD34 | BD PharMingen | Cat#555822; RRID:AB_396151 |
| FITC mouse anti-human CD15 | BD Biosciences | Cat#555401; RRID:AB_395801 |
| PE mouse anti-human CD15 | Beckman Coulter | Cat#IM1954U; RRID:AB_10638572 |
| Rabbit anti-human DRD2 | Millipore | Cat#324393; RRID:AB_211787 |
| Mouse anti-human DRD2 | Santa Cruz | Cat#sc-5303; RRID:AB_668816 |
| Rabbit anti-human DRD1 | Millipore | Cat#324390; RRID:AB_564546 |
| Donkey anti-mouse Alexa 647 | Thermo Fisher | Cat#A31571; RRID:AB_162542 |
| Donkey anti-mouse Alexa 555 | Thermo Fisher | Cat#A31570; RRID:AB_2536180 |
| Donkey anti-rabbit Alexa 647 | Thermo Fisher | Cat#A31573; RRID:AB_2536183 |
| Rabbit anti-phospho-CREB (Ser133) | Millipore | Cat#06-519; RRID:AB_310153 |
| Mouse anti-CREB | Cell Signaling Technology | Cat#9104; RRID:AB_490881 |
| Rabbit anti-human DRD2 | Millipore | Cat# AB5084P; RRID:AB_2094980 |
| Mouse anti-Actin | Millipore | Cat#MAB1501; RRID:AB_2223041 |
| Mouse anti-GAPDH | Abcam | Cat#ab8245; RRID:AB_2107448 |
| Rabbit anti-human Histone H3 | Cell Signaling Technology | Cat#9715; RRID:AB_331563 |
| Biological samples | | |
| Primary AML patient samples | Juravinksi hospital and Cancer Centre London Health Sciences Centre | N/A |
| AML patient-derived xenografts | Juravinksi hospital and Cancer Centre London Health Sciences Centre | N/A |
| Healthy human donor hematopoietic samples | Labour and delivery clinic at McMaster Children's hospital Juravinksi hospital and Cancer Centre | N/A |
| Healthy human blood donor-derived xenografts | Labour and delivery clinic at McMaster Children's hospital Juravinksi hospital and Cancer Centre | N/A |
| Chemicals, peptides, and recombinant proteins | | |
| 7AAD | Beckman Coulter | Cat#A07704 |
| Trypan Blue | GIBCO | Cat#15250061 |
| Stem Cell Factor (SCF) | R&D Systems | Cat#255-SC/CF |
| Fms-related tyrosine kinase 3 ligand | R&D Systems | Cat#308-Fk/CF |
| Recombinant human Thrombopietin protein | R&D Systems | Cat#288-TP-005/CF |
| RPMI 1640 Medium | GIBCO | Cat#11875119 |
| Fetal Bovine Serum | HyClone | Cat# SH3039603 |
| Stemspan | Stem Cell Technologies | Cat#09650 |
| Captisol | Ligand Pharmaceuticals | Cat#RC-0C7-020 |
| Thioridazine hydrochloride | Sigma | Cat#T9025; CAS#130-61-0 |
| (±)-SKF-38393 hydrochloride | Sigma | Cat#D047; CAS#62717-42-4 |
| R(+)-SCH-23390 hydrochloride | Sigma | Cat#D054; CAS#125941-87-9 |

(Continued on next page)



| Continued | | |
|---|-------------------------------------|---|
| REAGENT or RESOURCE | SOURCE | IDENTIFIER |
| Domperidone | Sigma | Cat#D122; CAS#57808-66-9 |
| Fluphenazine dihydrochloride | Sigma | Cat#4765; CAS#146-56-5 |
| Forskolin | Abcam | Cat#ab120058; CAS#66575-29-9 |
| Fc receptor binding inhibitor | eBiosciences | Cat#14-9161-71 |
| Donkey serum | Jackson ImmunoResearch Laboratories | Cat#017-000-121 |
| Platinum SuperFi DNA Polymerase | Thermo Fisher | Cat#12351010 |
| Critical commercial assays | | |
| Total RNA purification kit | Norgen Biotek | Cat#37500 |
| QIAamp DNA Micro kit | QIAGEN | Cat#56304 |
| Methocult | Stemcell technologies | Cat#h4434 |
| ddPCR Supermix for Probes | BioRad Laboratories | Cat#1863010 |
| cAMP direct immunoassay kit | Millipore/Calbiochem | Cat# 116811 |
| Shandon Kwik-Diff Stains | Thermo Fisher | Cat#9990700 |
| Deposited data | | |
| Raw Microarray data | This paper | GSE82057 |
| Experimental models: cell lines | | |
| Human: NB-4 | DSMZ | Cat#ACC 207 |
| Human: OCI-AML3 | DSMZ | Cat#ACC 582 |
| Experimental models: organisms/strains | | |
| NOD.CB17-Prkdc ^{scid} /J | The Jackson laboratory | RRID:IMSR_JAX:001303 |
| NOD.Cg- <i>Prkdc</i> ^{scid} ll2rg ^{tm1wjl} /SzJ | The Jackson laboratory | RRID:IMSR_ARC:NSG JAX:05557 |
| Oligonucleotides | | |
| FLT3 exons 13-15 F: 5'- | This paper | N/A |
| attgtcgttttaaccctgctaat -3' | | |
| FLT3 exons 13-15 R: 5- | This paper | N/A |
| ttttgctaattccataagctgtt -3' | | |
| AUTS2 on 7q11.22 | TaqMan (Thermo Fisher) | Cat#4400291; Assay ID Hs04327806_cn |
| RPPH1 on 21q21.3 | TaqMan (Thermo Fisher) | Cat#4400291; Assay ID Hs05538458_cn |
| Software and algorithms | | |
| FACSDiva | BD | https://www.bdbiosciences.com/us/ |
| | | Instruments/researcn/software/ |
| Flow Io10 | | https://www.flowio.com |
| Prism v5.0a | Graphpad | https://www.graphpad.com/ |
| Harmony High-Content Imaging and | PerkinElmer | https://www.perkinelmer.com/ |
| Analysis Software | | operetta-cls/harmony-software/ |
| Columbus Image Data Storage and | PerkinElmer | https://www.perkinelmer.com/ |
| Analysis System | | product/imagedata-storage-and- |
| | Destals have | analysis-system-columbus |
| Genomics Suite 6.6 software | Partek Inc. | nttps://www.partek.com/pgs |
| GSEA VS2.1.U | Broad Institute | http://www.gsea-msigdb.org/gsea/login. jsp |
| QuantaSoft Analysis Pro v1.0.596 | BioRad Laboratories | https://www.bio-rad.com/ |

RESOURCE AVAILABILITY

Lead contact

Further information and requests for resources and reagents should be directed to and will be fulfilled by the Lead Contact, Mickie Bhatia (mbhatia@mcmaster.ca).





Materials availability

This study did not generate new unique reagents.

Data and code availability

Microarray data generated during this study can be accessed at GEO: GSE82057. Source data for Figure 1H are available in Table S4. Source data for Figure 3C are available in Table S5.

EXPERIMENTAL MODEL AND SUBJECT DETAILS

Cell lines

Leukemia cell lines OCI-AML3 and NB4 were purchased from DSMZ. OCI-AML3 was derived from a male patient with DNMT3A and NPM1 mutations and the NB4 cell line was derived from a female patient with a PML-RARA gene fusion as well as KRAS and TP53 mutations. Both cell lines were maintained in RPMI 1640 (VWR) supplemented with 10% FBS (HyClone).

Primary human hematopoietic samples and AML cell lines

All patients and healthy donors provided written informed consent, in accordance with Research Ethics Board-approved protocols at McMaster University and the London Health Sciences Centre. This study is fully compliant with all relevant ethical regulations regarding human participants. Primary leukemia samples were obtained from peripheral blood apheresis or BM aspirates of AML patients. Healthy hematopoietic cells were isolated from BM and mobilized peripheral blood (MPB) of adult donors, or from umbilical cord blood. The Labour and Delivery Clinic at the McMaster Children's Hospital provided healthy cord blood samples. Adult sources of hematopoietic cells were provided by the Juravinski Hospital and Cancer Centre, and London Health Sciences Centre (University of Western Ontario). Detailed clinical descriptions of AML patient samples are outlined in Table S1.

Once acquired from the clinic, primary hematopoietic samples were processed to isolate mononuclear cells (MNCs) as previously described.¹⁶ Lineage depletion (Lin-) of CB and MPB samples was performed by magnetic cell separation using EasySep immunomagnetic cell separation (StemCell Technologies, Inc.).

Murine recipients and xenograft assays

NOD/Prkdc^{scid} or NSG mice were used as xenograft recipients. Mice were bred in a barrier facility and all experimental protocols were approved by the Animal Care Council of McMaster University. This study is fully compliant with all relevant ethical regulations regarding animal research. Both male and female mice were used throughout the study, however individual experiments exclusively involved either male or female recipients, to control for the influence of sex as a variable. For transplantation assays, 6-10 week-old mice were sublethally irradiated (350 rads) approximately 24 hours prior to intravenous injection of primary human samples⁶⁰ (~5 million AML mononuclear cells or 150,000 lineage depleted cord blood cells per mouse). For *in vivo* TDZ treatment, a daily dose of TDZ at 22.5 mg/ kg/ day that gives rise to clinically relevant plasma TDZ levels²⁵ was administered intraperitoneally for 21 days. 30% captisol (Ligand Pharmaceuticals) was administered in vehicle control-treated recipients. Weight was measured weekly to ensure that an appropriate dose per weight ratio was sustained throughout the treatment period. Mice were allocated to treatment groups based on chimerism levels assessed by BM aspiration prior to the treatment start, to ensure equalized engraftment levels across groups. If no initial assessment of chimerism was performed, mice were randomly allocated to experimental groups.

METHOD DETAILS

Fluorescence-activated cell sorting and flow cytometric analysis

Immunophenotyping of cell surface markers was carried out using CD45 (642275, clone 2D1, BD PharMingen; 564048, clone H130, BD Horizon), CD34 (555822, clone 581, BD PharMingen; 555824, 581, BD Biosciences), CD33 (551378, clone WM53, BD PharMingen; 565949, clone WM53, BD Horizon), CD15 (555401, clone HI98, BD Biosciences; IM1954U, clone 80H5, Beckman Coulter), Rabbit anti-human DRD1 antibody (324390, EMD Millipore) and Mouse anti-human DRD2 antibody (clone B-10, Santa Cruz). For secondary antibodies, anti-rabbit/mouse secondary antibodies (A31573, A31570, A31571; Thermo Fisher) were used. To minimize unspecific binding, samples were blocked with human FC block (eBioscience) and 5%–10% donkey serum (Jackson ImmunoResearch Laboratories). When appropriate, fluorescence minus one (FMO) controls and secondary antibody controls were used for optimized gating of target cell populations. For prospective purification experiments with DRD2, human AML cells were labeled with Rabbit anti-human DRD2 antibody (324393, EMD Millipore) and DRD2⁺ versus DRD2⁻ populations were purified for xenotransplantation and western blot analysis.

Across all experiments, 7-aminoactinomycin D (A07704, Beckman coulter) was used for live/dead cell discrimination. FACS sorting was performed using a FACSAria II sorter, and flow cytometry analysis was performed with a LSRII Cytometer (BD). FACSDiva (BD) software was used for data acquisition and FlowJo software (Tree Star) was used for analysis.



In vitro cell culture

Primary AML and healthy donor samples were cultured in StemSpan medium (StemCell Technologies, Inc.), supplemented with 100 ng/mL stem cell factor, 100 ng/mL Fms-related tyrosine kinase 3 ligand, and 20 ng/mL thrombopoietin (all sourced from R&D systems). Serum-free conditions were used during drug treatment or cAMP assays. Unless stated otherwise, a concentration of 10 μ M for compounds, and 1:100 dilution was used for DRD1-Ab, and the data were compared to 0.1% DMSO or IgG control for *in vitro* treatment assays. Shandon Kwik-Diff stain (#9990700; Thermo Fisher) was used to visualize cultured cells by light microscopy.

Cyclic AMP measurement assay

For cAMP and CREB experiments, primary samples or AML cell lines were exposed to small molecules including (±)-SKF-38393 hydrochloride, R(+)-SCH-23390 hydrochloride, Thioridazine (all sourced from Sigma), Forskolin (Abcam), or antibody for 30 minutes in serum-free conditions, followed by cell lysis in HCL 1N. The supernatant containing cAMP was collected and applied to cAMP direct immunoassay kit (Millipore/Calbiochem) as per the manufacturer's instructions.

Methylcellulose colony forming unit assay and CFU imaging

The progenitor capacity of leukemic progenitors was evaluated by colony-forming unit (CFU) assays. Up to 50,000 AML MNCs, and 500-1000 CB/MPB Lin- cells were plated per well in semisolid methylcellulose media (StemCell Technologies, Inc.) following established protocols.⁶¹ Progenitor capacity of human xenografts after exposure to TDZ *in vivo* was assayed after recovery of human cells from recipient mouse bone marrow, followed by seeding in methylcellulose media.^{62,63} These analyses were performed using AML samples that gave rise to aberrant monocytic or granulocytic-enriched colonies that were confirmed to harbor patient-specific genetic aberrations when possible (Figures S1A and S1B). For whole-well CFU analysis, images were acquired at 2x using Operetta High Content Screening (Perkin Elmer) by means of epi-fluorescence illumination and standard filter sets. Image acquisition was performed using Harmony software (Perkin Elmer) and whole-well images were stitched in Columbus software (Perkin Elmer). A minimum of 40 cells was required for designation as a colony (Figure S1C).

Western blot analysis

Proteins were extracted in SDS Laemmli buffer, separated by SDS-PAGE, and transferred onto nitrocellulose membranes as previously described.⁶⁴ Membranes were blocked in PBS containing 5% skim milk and 0.1% TWEEN 20 (BioRad). For primary antibodies, rabbit anti-phospho-CREB (Ser133) (06-519, Millipore), mouse anti-CREB (9104, clone 86B10, Cell Signaling Technology), rabbit anti-DRD2 (AB5084P, Millipore) mouse anti-GAPDH (ab8245, clone 6C5, Abcam), mouse anti-Actin (MAB1501, clone C4, Millipore), and rabbit anti-Histone H3 (Cat#9715, Cell Signaling Technology) were used. Blot images were acquired using Chemidoc XRS system (Bio-Rad).

Measurement of dopamine levels in human plasma

Plasma was collected from the aqueous phase obtained during Ficoll separation of cells from human blood treated with anticoagulant and stored at -80° C. The oxidation status of the plasma was stabilized with 20 mL per 1mL of a solution containing ethylene glycolbis (2-amino ethylether)-N,N,N',N'-tetraacetic acid (0.2M) and glutathione (0.2M) at pH = 7.5. The internal standard (3,4-Dihydroxybenzylamine) was added for further processing using solid phase extraction cartridges as per the manufacturer's recommendations (ChromSystems, Grafelfing, Germany). The samples were eluted into 120 mL and injected in triplicate within 24 hr in a High-Performance Liquid Chromatographic System (HPLC, Waters 2695) coupled to an Electro-Chemical Detector (Waters 2465). The HPLC system used an analytical reverse phase column (Atlantis dC18; 5 mm; 4.6x150mm; Waters) and an organic mobile phase (Chrom-Systems). The concentration of dopamine was calculated based on the area under the curve of the chromatograms with respect to the standards.

Chiral separation of TDZ enantiomers

Chiral separation protocols were developed by the Ontario Institute of Cancer Research (OICR). Briefly, TDZ racemic mixture was separated to positive and negative enantiomers using supercritical fluid chromatography with a chiral AD-H column. Free base enantiomers were recovered as viscous oils, converted to salt forms and subsequently purified as hydrochloride salt suitable for use in preclinical testing.

Analysis of AML-specific aberrations

Individual colonies were collected from methylcellulose after the progenitor CFU assay and genomic DNA was extracted using QIAamp DNA Micro Kit (QIAGEN) according to the manufacturer's instructions. To detect FLT3-ITD, 1:20 of the extracted genomic DNA was used for a PCR reaction using Platinum SuperFi DNA Polymerase (Thermo Fisher Scientific) and 0.5 mol I^{-1} each of primers targeting exons 13-15 of FLT3 gene (5'- attgtcgttttaaccctgctaat -3' and 5- ttttgctaattccataagctgtt -3'). PCR was performed with denaturing at 98°C for 30 s, 40 cycles of denaturing, annealing, extension (98°C for 7 s,53°C for 10 s,72°C for 18 s), followed by final extension at 72°C for 5 minutes. The PCR product was visualized using ChemiDoc imaging system (BIO-RAD) after electrophoresis in a 1.5% agarose gel.



Deletion 7 was measured by droplet digital PCR (ddPCR) with 1:4 of the genomic DNA purified from individual colonies and subjected to ddPCR using BIO-RAD QX200 System. The result was analyzed using QuantaSoft software (BIO-RAD) The CNVs were calculated as the fraction of positive droplets containing a target divided by positive droplets containing a RPPH1 control locus. The TaqMan probes and primers used for the ddPCR (and their targeting gene and cytoband) are: Hs04327806_cn (targeting AUTS2 on 7q11.22) and Hs05538458_cn (as a control, targeting RPPH1 on 21q21.3).

Small mammal evaluation of QTc

QTc analysis was performed by Eurofins Scientific. Male Dunkin-Hartley guinea pigs weighing 350-450 g were anesthetized. Tracheotomy was performed and the animals were mechanically ventilated on animal placed on a heating pad, with circulating water at a temperature of 37-39C. The jugular vein and the carotid artery were cannulated for drug administration and blood pressure monitoring, respectively. ECG pin electrodes were positioned for the standard limb lead (Lead II). The carotid arterial catheter was connected to a pressure transducer and ECG cable signals were relayed to a Gould physiograph with outputs to a data acquisition system (Ponemah). The blood pressure and ECG were sampled at rates of 250 and 1000 hrtz, respectively. The heart rate values were obtained from the ECG. The animals were allowed to stabilize for a 30-minute period after instrumental prior to data collection. Vehicle, TDZ and enantiomers and Satol were injected via pre-cannulated jugular vein. QTc (Bazzett's), blood pressure and heart rate were recorded at minute 0, 1, 3, 5, 10, 20 and 30 after drug administration. A \geq 5% prolongation of the QTc interval was considered a significant response.⁴⁶ Repeated-measures ANOVA followed by Dunnett's test was applied for statistical comparison.

RNA purification, PCR and Affymetrix

In xenograft assays, RNA was isolated from purified human leukemic xenografts (hCD45+CD33+) using a total RNA purification kit (Norgen biotek, ON, Canada) according to the manufacturer's instructions. RNA integrity was evaluated by 2100 Bioanalyzer (Agilent Technologies) and hybridized to Affymetrix Gene Chip Human Gene 2.0 ST arrays (London Regional Genomics Centre, ON, Canada). Output data was normalized using the Robust Multichip Averaging (RMA) algorithm with Partek Genomics Suite 6.6 software (Partek Inc.). Gene set enrichment analysis (GSEA) was carried out on normalized expression values of gene symbols using GSEA software v2.1.0 (Broad Institute). Curated gene set (C2) and Gene Ontology (GO, C5) gene set collections from Molecular Signatures Database (MSigDB) were used for GSEA analyses.

QUANTIFICATION AND STATISTICAL ANALYSIS

DRD gene expression in public datasets

Paired-end reads of the whole transcriptome RNA-sequencing data from TCGA and GTEx projects were retrieved after re-alignment of raw reads, quality control and batch effect correction.⁴⁷ Comparisons for healthy versus cancer transcript levels were available for a subset of tissues.⁴⁷ Thyroid samples include TCGA-tumor versus TCGA-normal plus GTEX. Kidney samples include TCGA-tumor kidney cortex (chromophobe renal cell carcinoma/kich⁴⁷) versus TCGA-normal and GTEX. Colon samples include TCGA-tumor colon (adenocarcinoma/coad⁴⁷) versus TCGA-normal and GTEX colon. Lung samples include TCGA-tumor for lung (squamous cell carcinoma/lusc⁴⁷) versus TCGA-normal and GTEX.

Survival annotation based on TCGA gene expression data were available from the pathology atlas of Human Protein Atlas database.⁶⁵ Briefly, FPKM values were used to classify patients into "low" and "high" expression groups based on expression cut-off.⁶⁵ The prognosis of the two groups of patients was examined by Kaplan-Meier survival analysis, and survival outcomes were compared by log-rank tests.

Statistics

Data are described as mean \pm standard error of the mean (SEM). Significant differences between groups were determined via unpaired two-tailed Student's t test, two-way analysis of variance (ANOVA) or linear regressions. If data failed to meet parametric requirements, \log_{10} or square root transformation was applied prior to statistical evaluation, or non-parametric Mann–WhitneyU test was used. Prism (version 5.0a; GraphPad) software was used for all statistical analyses, and the criterion for statistical significance was $p \le 0.05$. In Figure 1F, a data point corresponding to one mouse within the TDZ treated group was considered a significant outlier by Grubb's test (p < 0.01 two-sided, Z = 2.038) and this data point was removed.