










ORIGINAL RESEARCH

 OPEN ACCESS 

Identification of novel autoantibodies based on the protein chip encoded by cancer-driving genes in detection of esophageal squamous cell carcinoma

Guiying Sun ^{a,b}, Hua Ye ^{a,b}, Xiao Wang ^{b,c}, Lin Cheng^d, Pengfei Ren^e, Jianxiang Shi ^{b,c}, Liping Dai ^{b,c}, Peng Wang ^{a,b}, and Jianying Zhang ^{a,b,c}

^aCollege of Public Health, Zhengzhou University, Zhengzhou, China; ^bState Key Laboratory of Esophageal Cancer Prevention & Treatment, Henan Key Laboratory of Tumor Epidemiology, Zhengzhou University, Zhengzhou, China; ^cHenan Institute of Medical and Pharmaceutical Sciences, Zhengzhou University, Zhengzhou, China; ^dCollege of Life Science, Xinyang Normal University, Xinyang, China; ^eDepartment of Molecular Pathology & Henan Key Laboratory of Molecular Pathology, The Affiliated Cancer Hospital of Zhengzhou University, Zhengzhou, China

ABSTRACT

The purpose of this study was to identify novel autoantibodies against tumor-associated antigens (TAAs) and explore the optimal diagnosis model based on the protein chip for detecting esophageal squamous cell carcinoma (ESCC). The human protein chip based on cancer-driving genes was customized to discover candidate TAAs. Enzyme-linked immunosorbent assay was applied to verify and validate the expression levels of candidate TAAs in the training cohort (130 ESCC and 130 normal controls) and the validation cohort (125 ESCC and 125 normal controls). Logistic regression analysis was adopted to construct the diagnostic model based on the expression levels of autoantibodies with diagnostic value. Twelve candidate autoantibodies were identified based on the protein chip according to the corresponding statistical methods. In both the training cohort and validation cohort, the expression levels of 10 TAAs (GNA11, PTEN, P53, SRSF2, GNAS, ACVR1B, CASP8, DAXX, PDGFRA, and MEN1) in ESCC patients were higher than that in normal controls. The panel consisting of GNA11, ACVR1B and P53 demonstrated favorable diagnostic power. The sensitivity, specificity and accuracy of the model in the train cohort and the validation cohort were 71.5%, 93.8%, 79.6% and 77.6%, 81.6%, 70.8%, respectively. In either cohort, there was no correlation between positive rate of the autoantibody panel and clinicopathologic features for ESCC patients. Protein chip technology is an effective method to identify novel TAAs, and the panel of 3 TAAs (GNA11, ACVR1B, and P53) is promising for distinguishing ESCC patients from normal individuals.

ARTICLE HISTORY

Received 14 April 2020
Revised 3 August 2020
Accepted 20 August 2020

KEYWORDS



Protein chip; autoantibodies; cancer-driving genes; detection; esophageal squamous cell carcinoma

1 Introduction

Esophageal cancer (EC) ranked sixth among the leading causes of cancer deaths with an estimated 509 000 deaths, and seventh in terms of incidence with an estimated 572 000 new cases in 2018 worldwide.¹ In 2015, there were estimated 188 000 deaths and 246 000 new cases of EC according to the latest report of cancer epidemiology in China.² In China, the large majority of EC patients were esophageal squamous cell carcinoma (ESCC) patients.³ EC has a dreadful 5-year survival rate of 19%, because a multitude of patients are diagnosed at late stage.⁴ The 5-year survival rate of patients with stage I is as high as 80%, which indicates early detection is critical for reducing the mortality of EC patients.^{5,6} Endoscopy examination and mucosa biopsy are common diagnostic methods for EC patients. Nevertheless, sampling error, invasiveness and high cost limit their wide applications in screening practice.⁷ The commonly used auxiliary diagnostic markers in clinical practice, such as squamous cell carcinoma antigen (SCCA), carcinoembryonic antigen (CEA), and cytokeratin 19 fragment (CYFRA21-1), lack sufficient diagnostic ability for EC.⁸ Tumor-associated antigens (TAAs) are the abnormally expressed proteins that are able to trigger immune response

due to the occurrence and development of cancers, and the produced autoantibodies are called autoantibodies against tumor-associated antigens (TAAs).⁹ TAAs can be detected before the patient's clinical symptoms and present higher stability over time.¹⁰ In recent years, many studies have demonstrated that TAAs may be a promising tool of detecting cancers, such as hepatocellular carcinoma,^{11,12} ovarian cancer,¹³ lung cancer,¹⁴ colorectal cancer,¹⁵ breast cancer,¹⁶ and esophageal cancer.¹⁷

Recently, protein chip technology accelerates the research process of tumor biomarkers. Yang et al. used a human proteome microarray to discover potential serum biomarkers and identify a promising panel for detecting gastric cancer.¹⁸ However, Huprot™ Human proteomic chip is expensive and needs a lot of human and material resources. The occurrence of tumor is a complex process involving multiple genes and factors. Carcinogens are able to influence normal cells and cause gene mutations. It has been reported that the function or structure of the proteins encoded by the mutated genes will change, and these abnormal proteins may in turn induce immune response to produce corresponding autoantibodies.^{19,20} The mutated genes that promote the growth and proliferation of cancer cells are

CONTACT Peng Wang  wangpeng1658@hotmail.com  College of Public Health, Zhengzhou University, Zhengzhou, Henan 450001, China; Jianying Zhang  jianyingzhang@hotmail.com  College of Public Health, Henan Institute of Medical and Pharmaceutical Sciences, Zhengzhou University, Zhengzhou, Henan 450052, China

 Supplemental data for this article can be accessed on the [publisher's website](#)

© 2020 The Author(s). Published with license by Taylor & Francis Group, LLC.

This is an Open Access article distributed under the terms of the Creative Commons Attribution-NonCommercial License (<http://creativecommons.org/licenses/by-nc/4.0/>), which permits unrestricted non-commercial use, distribution, and reproduction in any medium, provided the original work is properly cited.

called cancer-driving genes, which can cause selective growth of tumor cells.²¹ Bert Vogelstein et al. reported 138 cancer-driving genes by analyzing the result of high-throughput gene sequencing of cancer genome.²² Therefore, we put forward a hypothesis: autoantibodies against tumor-associated antigens encoded by cancer-driving genes may be potential biomarkers for detecting ESCC. In this study, we customized the protein chip based on 154 human proteins mainly encoded by the cancer-driving genes to identify TAAbs for detection of ESCC. The design of the present study is illustrated in Figure 1.

2 Materials and methods

2.1 Collection of serum samples

This study consisted of 646 subjects, including 341 ESCC patients and 305 healthy individuals. From June 2013 to December 2017, serums from ESCC patients were collected from a third-level grade-A hospital (Zhengzhou, China). All patients were confirmed by histopathology and had no other malignancies and had not received any treatments. The normal controls, without digestive tract related diseases, and autoimmune diseases, were selected from the biological sample bank

of Henan Key Laboratory of Tumor Epidemiology. In verification and validation phase, 510 subjects were selected, including 255 ESCC patients and 255 normal controls. The case group and control group were matched 1:1 according to the gender and age (± 3 years). The serums were collected according to the standard protocol. Approval for this study was obtained from the Medical Ethics Committee of Zhengzhou University and informed consent was signed by all participants.

2.2 Customization of protein microarray

This study was commissioned to the BC BIO Biotechnology Corporation (Guangzhou, China) to customize the HuProt™ protein chip based on cancer-driving genes. The protein chip contained 154 human recombinant proteins (more than one different protein fragment for the same gene), including 143 proteins encoded by cancer driving genes and 11 proteins (IMP1, IMP2, IMP3, CyclinB1, c-Myc, CIP2A/p90, RalA, YWHAZ, RBM39, and two fragments of Survivin) with high diagnostic value in the previous research in our laboratory. The layout of 154 recombinant proteins on the protein microarray was

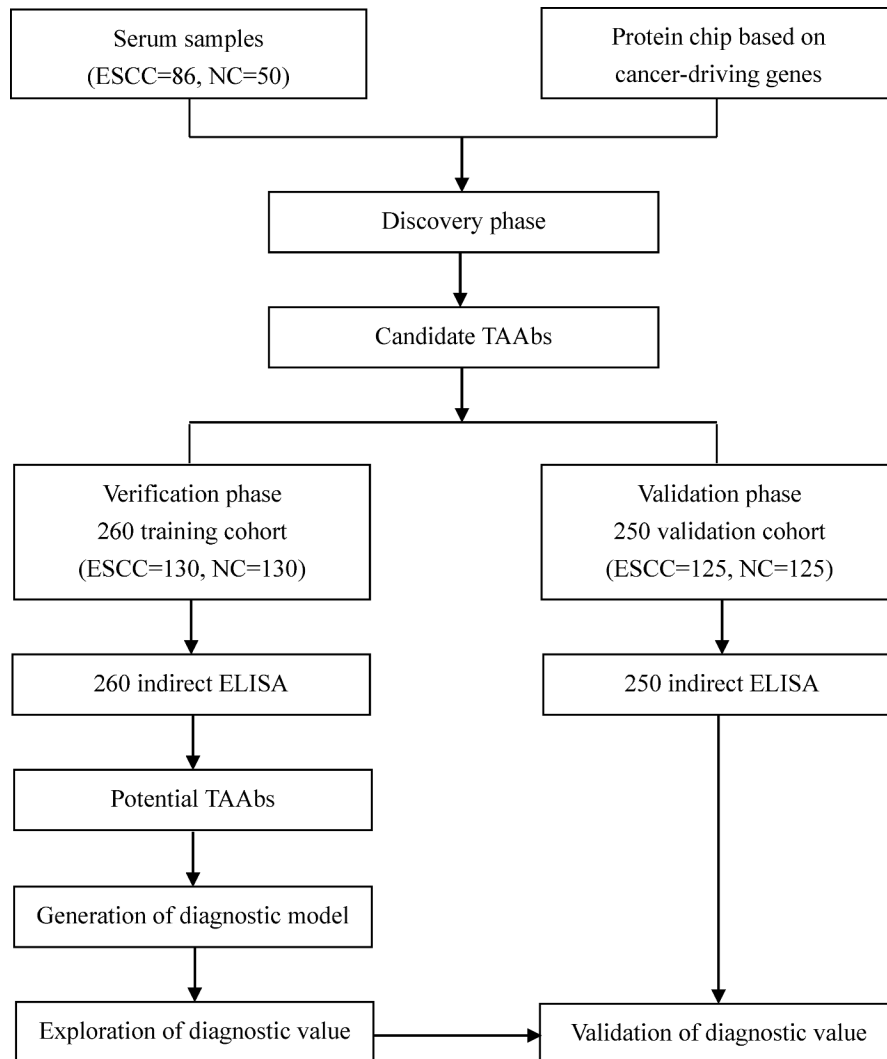


Figure 1. The design of this study. ESCC: esophageal squamous cell carcinoma; NC: normal control; ELISA: enzyme-linked immunosorbent assay.

presented in Table S1. The autoantibodies of corresponding proteins in serums from 86 ESCC patients and 50 normal controls were detected by protein chip technology to discover candidate autoantibodies for detecting ESCC. The experimental process was carried out in accordance with the standard protocol.

2.3 Enzyme-linked immunosorbent assay (ELISA)

ELISA was used to detect the expression levels of autoantibodies discovered by protein chip. The coated concentrations of the 12 recombinant proteins (SRSF2, ACVR1B, MEN1, CASP8, FBXW7, PDGFRA, GNAS, PTEN, DAXX, P53, GNA11, and EGFR) were 0.125, 0.125, 0.125, 0.125, 0.125, 0.25, 0.25, 0.25, 0.25, 0.5, 0.5, and 0.5 ng/ml, respectively. The last column of each 96-well plate was coated with human IgG protein to provide the standard curve. The corresponding step of ELISA have been described in our previous study.²³ The expression levels of autoantibodies were converted into the concentration according to the standard curve of each plate, and the concentrations were defined as unit (U).

2.4 Statistical analysis

Genepix Pro 6.0, IBM SPSS Statistics software (Version 21.0) and GraphPad Prism 6.0 were applied to analyze the data of this study. For the extracted data of IgG-responsive proteins, the signal-to-noise ratio (SNR) was defined as the ratio of the foreground to background median intensity in order to eliminate the deviation caused by the inconsistency of background intensity between different samples. The differences in clinical characteristics of participant in each group were analyzed by Fisher's test or t test. The nonparametric test was used to compare the difference between patient group and control group. The receiver operating characteristic (ROC) curve was used to evaluate the diagnostic value of autoantibodies. The sensitivity and specificity were determined by maximum Yoden Index (YI). Logistic regression analysis was used to explore the optimal panel for distinguishing ESCC from healthy individuals. All *P* values were determined based on two-tailed and *P* < .05 was defined to be significant.

3 Results

3.1 Characteristics of study population

The whole study consisted of three phases, including discovery phase, verification phase and validation phase. In the discovery phase, the serum samples from 86 ESCC patients and 50 normal individuals were detected by the protein chip technology. The basic characteristics of 136 participants were described in Table S2. In verification phase and validation phase, ELISA was applied to test the expression level of 12 candidate TAAs in serums from 255 ESCC patients and 255 normal controls. Clinical characteristics of ESCC patients and controls were shown in Table 1. There was no significant difference in age, histological grade, site of tumor, depth of tumor invasion, and distant metastasis status in the two

Table 1. Clinical characteristics of ESCC patients and controls in verification and validation phase.

Variables	Training cohort (n = 260)		Validation cohort (n = 250)		<i>P</i>
	ESCC	NC	ESCC	NC	
Age(year)					0.055
Range	41–87	41–88	41–80	40–79	
Median	65.5	66.0	63.0	63.0	
(Q1, Q3)	(58.5, 70.3)	(59.8, 71.0)	(59.9, 68.0)	(59.0, 68.0)	
Sex, n (%)					0.037*
Male	95(73.1)	95(73.1)	76(60.8)	76(60.8)	
Female	35(26.9)	35(26.9)	49(39.2)	49(39.2)	
Histological grade					0.065
High(grade 1)	9(6.9)		15(12.0)		
Middle(grade 2)	44(33.8)		46(36.8)		
Low(grade 3)	20(15.4)		50(40.0)		
Site of tumor					0.334
Upper esophagus	16(12.3)		22(17.6)		
Middle esophagus	70(53.8)		65(52.0)		
Lower esophagus	41(31.5)		31(24.8)		
TNM stage, n (%)					0.001*
Stage 0	7(5.4)		7(5.6)		
Stage I	22(16.9)		47(37.6)		
Stage II	23(17.7)		38(30.4)		
Stage III	35(26.9)		24(19.2)		
Stage IV	12(9.2)		5(4.0)		
Depth of tumor invasion					0.054
T0	5(3.8)		7(5.6)		
T1	29(22.3)		43(34.4)		
T2	19(14.6)		20(16.0)		
T3	37(28.5)		48(38.4)		
T4	12(9.2)		0(0.00)		
Lymph node metastasis					0.000*
Negative	47(36.2)		86(68.8)		
Positive	66(50.7)		32(25.6)		
Distant metastasis					0.052
No	90(69.2)		116(92.8)		
Yes	11(8.5)		5(4.0)		

ESCC: esophageal squamous cell carcinoma; NC: normal control; Q1: smaller quartile, Q3: larger quartile, *P* means comparison between ESCC patients in training cohorts and validation cohorts, *P** < 0.05

cohorts. All patients were staged according to the TNM staging standard of the Union for International Cancer Control (UICC).²⁴

3.2 Candidate TAAs based on protein microarray in discovery phase

Based on the SNRs of 136 serum samples in the protein chip, 12 candidate TAAs (SRSF2, ACVR1B, MEN1, CASP8, FBXW7, PDGFRA, GNAS, PTEN, DAXX, P53, GNA11, and EGFR) were screened by different statistical methods. The candidate TAAs obtained by nonparametric test and ROC curve analysis were consistent, including GNA11, PTEN, P53, SRSF2, and GNAS. The top ten candidate TAAs in area under ROC (AUC) were GNA11, PTEN, P53, SRSF2, GNAS, ACVR1B, CASP8, DAXX, PDGFRA, and FBXW7. In addition, five candidate TAAs (P53, GNAS, MEN1, EGFR, and CASP8) were determined when the difference of positive rate (cut off = mean +2 standard error) of SNR between case group and control

group was more than 10%. Table S3 illustrated the diagnostic value of 12 candidate TAAs. The AUCs ranged from 0.54 to 0.68. GNA11 demonstrated the greatest diagnostic performance for ESCC with an AUC of 0.68 (95%CI: 0.59–0.78), sensitivity of 48.8%, specificity of 85.7% and accuracy of 66.7% among 12 TAAs. P53 and MEN1 achieved the favorable specificity of 93.9%, and PDGFRA presented the outstanding sensitivity of 70.9%. Although the sensitivity of EGFR and CASP8 were less impressive (22.1% and 26.7%, respectively), the specificities were promising (91.8% and 87.8%, respectively). Table S4 exhibited the basic characteristics of screened candidate TAAs.

3.3 Autoantibodies in verification phase and validation phase

The expression levels of the 12 anti-TAAs autoantibodies (SRSF2, ACVR1B, MEN1, CASP8, FBXW7, PDGFRA, GNAS, PTEN, DAXX, P53, GNA11, and EGFR) were calculated according to the standard curve of each plate. Figure 2(a) and Figure 2(b) displayed the expression levels of 12 anti-TAAs autoantibodies in training cohort and validation cohort, respectively. In the training cohort, except for EGFR and FBXW7, the levels of ten anti-TAAs autoantibodies were significantly higher in ESCC group than that in control group, and the results were true in the validation cohort. Figure 3 and Figure 4 presented separately the ROC curve analysis of 12 autoantibodies in two cohorts. The diagnostic value of single autoantibody for ESCC detection was illuminated in Table 2. In the training cohort, the AUCs ranged from 0.50 to 0.84, and the ranges of the sensitivity and specificity were 24.6%–88.8% and 26.9%–96.9%, respectively. GNA11 manifested the highest diagnostic accuracy of 75.8% with an AUC of 0.84 (95%CI: 0.80–0.89), sensitivity of 59.2% and specificity of 96.9% among 12 anti-TAAs autoantibodies. In the validation cohort, the AUCs ranged from 0.55 to 0.82, and the ranges of the sensitivity and specificity were 36.8%–96.8% and 28.8%–92.8%, respectively.

3.4 Establishment and validation of diagnostic model of autoantibodies

Logistic regression analysis was adopted to generate diagnostic model based on the expression levels of ten significant TAAs

(GNA11, PTEN, P53, SRSF2, GNAS, ACVR1B, CASP8, DAXX, PDGFRA, and MEN1) in training cohort (130 ESCC and 130 NC). The diagnostic model constructed by the backward or forward logistic regression methods were completely consistent. The predicted possibility for diagnosis as ESCC was $PRE (P = ESCC, 3 \text{ TAAs}) = 1/(1 + \text{EXP}(-(-6.822 - 0.388 \times ACVR1B + 1.155 \times GNA11 + 0.185 \times P53)))$. The diagnostic model consisting of ACVR1B, GNA11 and P53 was able to distinguish ESCC patients from healthy individuals, with an AUC of 0.88 (95%CI: 0.84–0.92), the sensitivity of 71.5%, the specificity of 93.8% and the accuracy rate of 79.6% (Table 3). The formula of predictive probability attained from the training cohort was substituted into the validation cohort (125 ESCC and 125 NC). The diagnostic value of the prediction model in the validation cohort was similar to that in the training cohort, with an AUC of 0.85 (95%CI: 0.80–0.90), the sensitivity of 77.6%, the specificity of 81.6% and the concordance rate of 70.8% (Table 3). There was no significant difference in AUC between the two cohorts ($P = .885$), which indicated that the model was stable.

3.5 Evaluation of the diagnostic value of the model in different stages

The diagnostic performance of the model for ESCC patients with different stages was illuminated in Table 3 and Figure 5. In training cohort, the prediction model provided an enhanced AUC of 0.90 (95%CI: 0.85–0.95), with the sensitivity of 73.1%, the specificity of 93.9% and the accuracy rate of 81.3% for early detection of ESCC (stage 0–II). The diagnostic value of this panel for early cancer patients was higher than that for late cancer patients, but the difference in AUCs was not significant ($P = .066$). The ability of the anti-TAAs autoantibodies panel to distinguish early-stage cancer patients from healthy controls was confirmed in an independent validation cohort. The autoantibodies panel exhibited almost the same diagnostic value for early ESCC in the validation cohort, with an AUC of 0.87 (95% CI: 0.83–0.92), with the sensitivity of 81.5%, the specificity of 81.6% and the consistency rate of 76.5% (Table 3). Besides, there was no significant difference in the ability of the model to distinguish the ESCC patients of different depth of tumor invasion, the presence of lymph node metastasis and the presence of distant metastasis ($P > .05$) (Table 3).

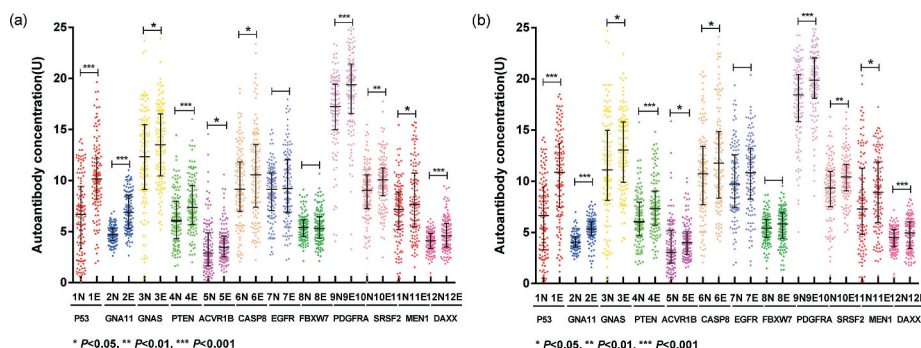


Figure 2. The expression levels of 12 anti-TAAs autoantibodies in ESCC patients and healthy controls. (a) Scatter plots of the expression levels of autoantibodies in training cohort, (b) scatter plots of the expression levels of autoantibodies in validation cohort. Lines represented median and quartile range; E, ESCC patients; N normal controls.

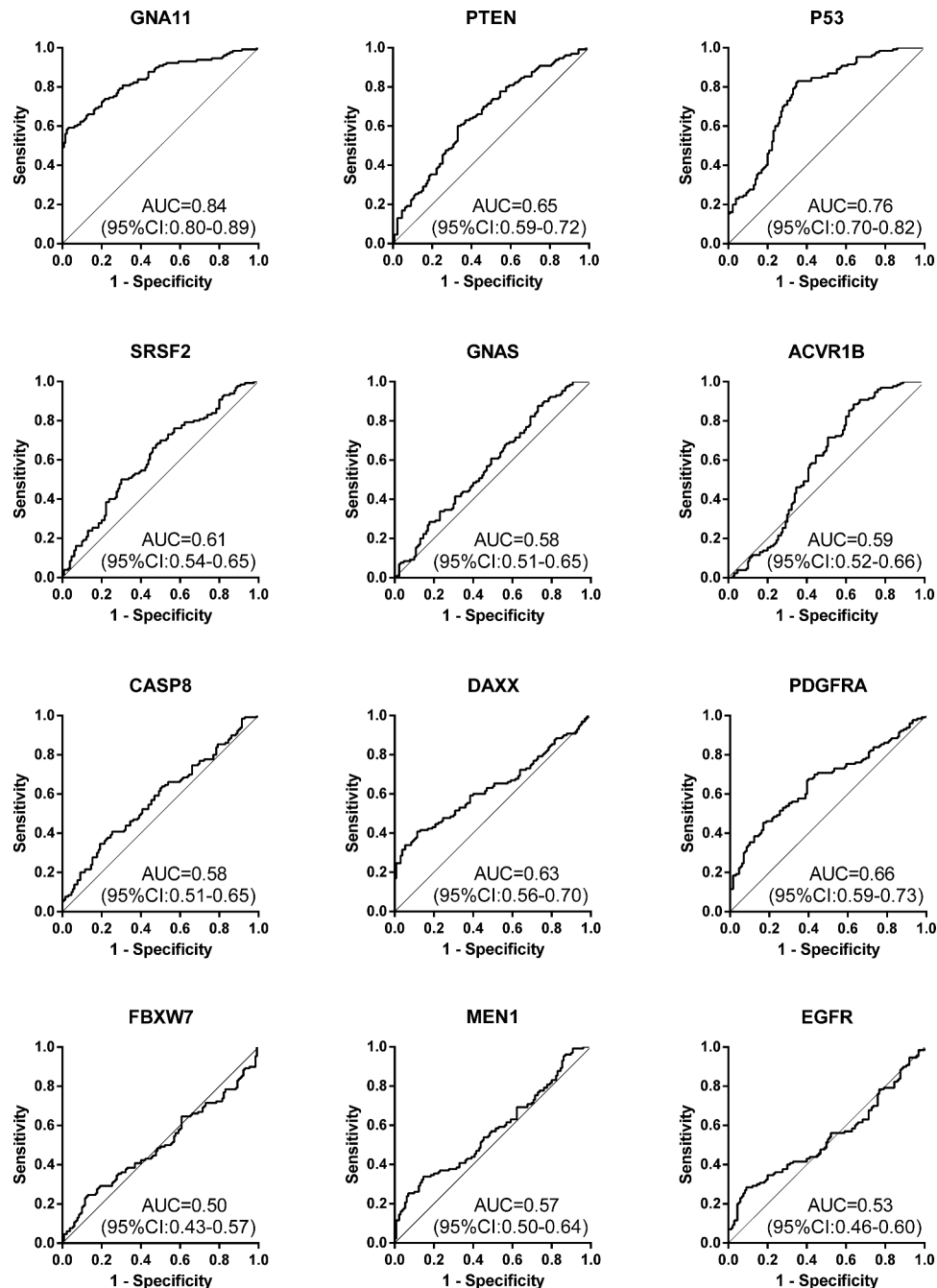


Figure 3. Diagnostic performance of 12 anti-TAAs in training cohort for ESCC detection.

3.6 Effect of clinicopathological features on the sensitivity and specificity

The impact of cancer patients of clinicopathologic feature on the specificity/sensitivity variation was denoted in Table S5 and Figure S1. ESCC patients were classified according to sex, age, histological grade, site of tumor, TNM stage, depth of tumor invasion, lymph node metastasis status, and distant metastasis status. Table S5 demonstrated there was no relationship between positive rate of the autoantibody panel and clinicopathologic features in both the training-cohort and validation cohort ($P > .05$). Figure S1 presented the sensitivity at a fixed specificity of 95% by patient demographics and tumor characteristics. There was seemingly a higher incidence of the

autoantibodies panel in patients with early stage than later stage, negative distant metastasis than positive distant metastasis.

4 Discussion

In this study, we discovered 12 candidate autoantibodies for diagnosis of ESCC by customized protein chip based on cancer-driving genes in 136 serum samples and employed ELISA to detect the expression levels of 12 TAABs in 510 individual samples. We constructed a diagnostic model consisting of P53, ACVR1B and GNA11 that achieved great diagnostic value, with an AUC of 0.88 (95%CI: 0.84–0.92), the sensitivity, the

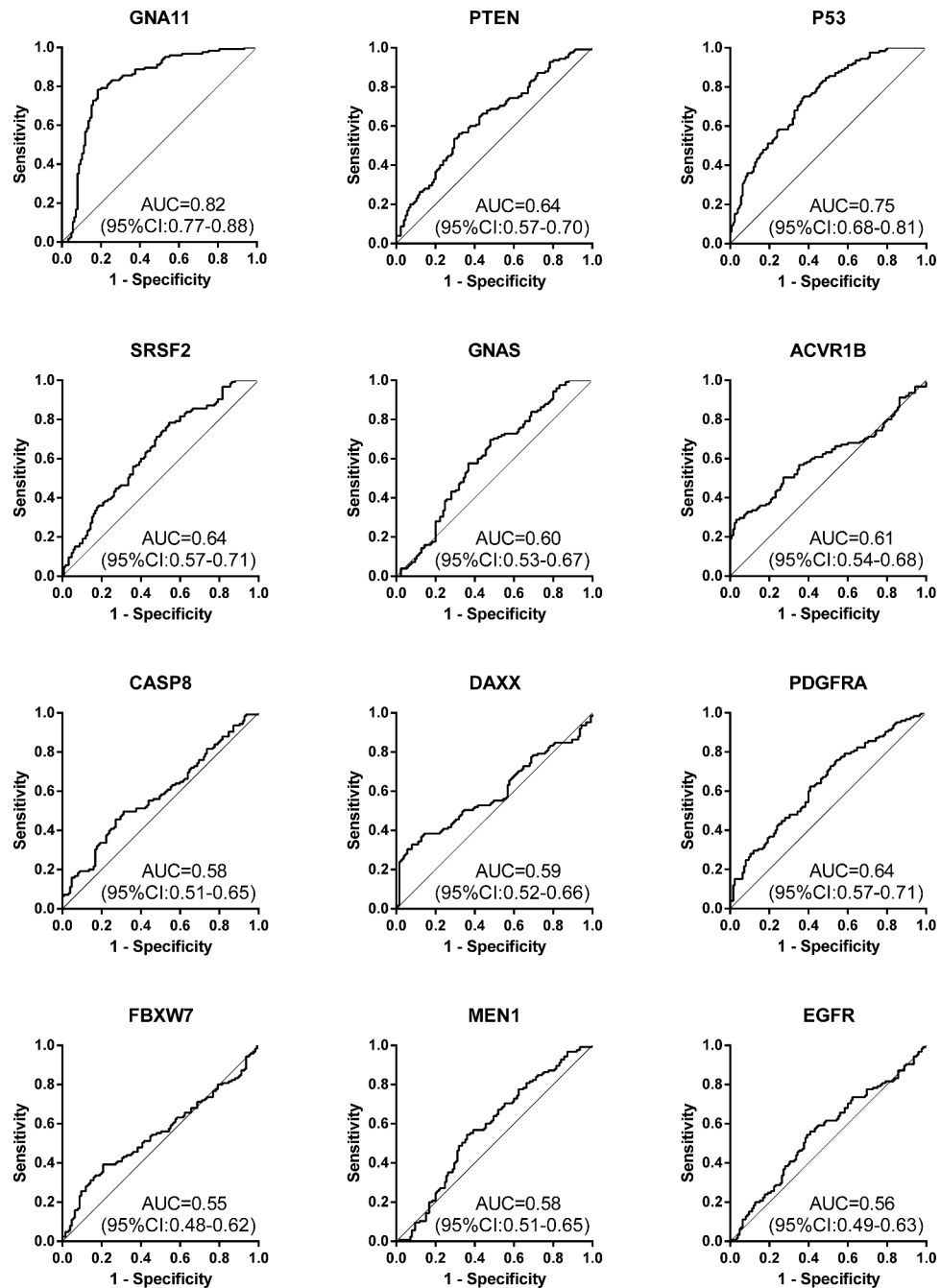


Figure 4. Diagnostic performance of 12 anti-TAAs in validation cohort for ESCC detection.

specificity and the accuracy rate of 71.5%, 93.8%, and 79.6%, respectively in the training cohort. The AUC in the training cohort was similar to that in the validation cohort ($P = .885$), which indicated that the model had rosy stability. The results demonstrated that the ability of the model to differentiate ESCC was higher than that of reported autoantibodies combinations,^{25,26} and it had a promising ability to differentiate ESCC cancer from normal individuals.

There are a lot of methods for screening candidate TAA for early diagnosis of cancer, and the most commonly used techniques are serological analysis of expression cDNA libraries (SEREX) and serological proteome analysis (SERPA). However, the false positive rate of SEREX technique is too high and unable to screen post-translational modified

proteins.²⁷ Due to the limitation of the loading amount of sample and detection sensitivity, SERPA can only identify relatively high abundance proteins, and its ability to isolate polar acids and alkaloids is limited.²⁸ With the development of human genome sequencing, high-throughput protein chip technology is also used for screening TAAb for detecting cancer. Nevertheless, its wide application in screening TAA is limited by its high economic cost. We applied the protein chip consisting of 154 recombinant human proteins encoded by cancer-driving genes to detect autoantibodies in ESCC and normal serums to discover candidate biomarkers. It not only retained the advantages of protein chip technology and greatly reduced the cost of research, but also improved the probability that specific autoantibodies were screened out.

Table 2. Diagnostic value of 12 anti-TAAs autoantibodies in training and validation cohort for ESCC detection.

TAAs	Training cohort					Validation cohort				
	Se (%)	Sp (%)	AUC	95%CI	Accuracy (%)	Se (%)	Sp (%)	AUC	95%CI	Accuracy (%)
GNA11	59.2	96.9	0.84	0.80–0.89	75.8	81.6	78.4	0.82	0.77–0.88	78.8
PTEN	60.0	66.9	0.65	0.59–0.72	61.1	68.0	56.0	0.64	0.57–0.70	61.2
P53	83.1	64.6	0.76	0.70–0.82	71.5	63.2	74.4	0.75	0.68–0.81	67.6
SRSF2	67.7	52.3	0.61	0.54–0.68	58.5	78.4	45.6	0.64	0.57–0.71	60.0
GNAS	87.7	26.9	0.58	0.51–0.65	54.6	69.6	52.0	0.60	0.53–0.67	58.8
ACVR1B	88.5	36.2	0.59	0.52–0.66	54.6	96.8	28.8	0.61	0.54–0.68	56.8
CASP8	40.8	74.6	0.58	0.51–0.65	55.0	45.6	72.8	0.58	0.51–0.65	55.6
DAXX	40.8	88.5	0.63	0.56–0.70	59.2	36.8	92.8	0.59	0.52–0.66	57.6
PDGFRA	45.4	83.1	0.66	0.59–0.73	62.7	76.0	45.6	0.64	0.57–0.71	60.0
FBXW7	24.6	86.9	0.50	0.43–0.57	49.2	39.2	79.2	0.55	0.48–0.62	53.6
MEN1	33.8	85.4	0.67	0.50–0.64	53.9	54.0	64.0	0.58	0.51–0.65	58.8
EGFR	28.5	90.8	0.53	0.46–0.60	50.8	56.0	59.2	0.56	0.49–0.63	57.2

Se: sensitivity, Sp: specificity, AUC: area under the receiver operating characteristic curve; CI: confidence interval.

Table 3. Diagnostic value of the anti-TAAs autoantibodies panel for ESCC patients with different stages.

Group	n	Se (%)	Sp (%)	Accuracy (%)	AUC	95%CI	p^a	p^b	PPV (%)	NPV (%)	+LR	-LR
Training cohort												
All stage	130	71.5	93.8	79.6	0.88	0.84–0.92			82.9	76.9	11.6	0.3
TNMO-II	52	73.1	93.9	81.3	0.90	0.85–0.95	0.540	0.066	65.5	88.7	11.9	0.3
TNMIII-IV	81	63.8	89.2	73.4	0.81	0.73–0.89	0.137		60.0	86.6	5.9	0.4
T0-T2	53	77.4	80.8	79.2	0.87	0.81–0.91	0.786	0.835	63.6	85.9	4.0	0.3
T3-T4	49	73.5	90.8	83.0	0.86	0.80–0.91	0.641		87.5	89.4	8.0	0.3
Lymph node(-)	47	74.5	93.8	81.9	0.92	0.87–0.96	0.191	0.080	63.5	92.4	12.1	0.3
Lymph node(+)	66	63.6	97.7	79.7	0.85	0.78–0.91	0.447		58.0	85.5	27.6	0.4
Distant metastasis(-)	90	67.8	93.9	79.1	0.86	0.81–0.91	0.558		76.2	63.6	11.1	0.3
Distant metastasis(+)	11	90.9	73.8	82.3	0.87	0.81–0.92	0.859	0.865	25.9	96.5	3.5	0.3
Validation cohort												
All stage	125	77.6	81.6	70.8	0.85	0.80–0.90			87.1	64.4	4.2	0.3
TNMO-II	92	81.5	81.6	76.5	0.87	0.83–0.92	0.546	0.071	84.7	73.4	4.4	0.2
TNMIII-IV	29	65.5	82.4	57.6	0.77	0.67–0.86	0.150		47.4	85.3	3.7	0.4
T0-T2	70	84.3	81.6	78.5	0.88	0.82–0.92	0.403	0.184	80.4	77.9	4.6	0.2
T3-T4	48	77.1	75.2	79.8	0.82	0.76–0.88	0.498		71.0	81.7	3.1	0.3
Lymph node(-)	86	87.2	76.0	76.8	0.87	0.82–0.92	0.571	0.237	83.6	74.4	3.6	0.2
Lymph node(+)	32	68.7	83.2	82.2	0.81	0.72–0.89	0.440		59.1	85.9	4.1	0.4
Distant metastasis(-)	116	77.6	81.6	86.7	0.85	0.80–0.90	0.863		91.2	62.7	4.2	0.3
Distant metastasis(+)	5	80.0	82.4	67.6	0.75	0.67–0.83	0.460	0.460	10.0	96.7	4.6	0.2

p^a means comparison between TNM stage or T stage or lymph node or Distant metastasis and all stage with the method of De Long et al. (1988)

p^b means comparison between TNMO-II and TNMIII-IV stage or T0-T2 and T3-T4 or lymph node (-) and lymph node (+) and Distant metastasis (-) and Distant metastasis (+). Se: sensitivity, Sp: specificity, AUC: area under the receiver operating characteristic curve; CI: confidence interval; PPV: positive predictive value; NPV: negative predictive value; +LR: positive likelihood ratio; -LR: negative likelihood ratio.

Based on the customized protein chip of cancer-driving genes and ELISA, ten autoantibodies (GNA11, SRSF2, GNAS, PDGFRA, PTEN, P53, ACVR1B, CASP8, DAXX, and MEN1) related to the diagnosis of ESCC were identified in this study. The genes encoding the first three proteins are carcinogenic genes, and the last six genes are tumor suppressor genes. GNA11 belongs to the family of guanine nucleotide-binding proteins (G proteins), which function as modulators or transducers in various transmembrane signaling systems.²⁹ SRSF2 is a member of the serine/arginine (SR)-rich family of pre-mRNA splicing factors and involved in regulating cell proliferation and maintaining genomic stability.³⁰ GNAS is a complex imprinted expression gene and an important component of many signal transduction pathways, such as APC, PI3K, TGF- β , and RAS pathway.³¹ PDGFRA is closely related to the invasion and malignant transformation of tumors. Immunochemical analysis showed that PDGFRA was positive in a rare case of primary small cell carcinoma of the esophagus.³² PTEN is involved in a variety of cell signal transduction pathways, including regulation of cell growth, migration, apoptosis, and maintenance of chromosomal integrity.^{33,34} The mutation of TP53 can acquire carcinogenic characteristics and promote the

invasion, metastasis, proliferation, and survival of cancer cells. Wu et al. reported that the positive rate of anti-P53 autoantibody in serum of cancer patients was significantly higher than that of healthy controls (14.59% vs 1.02%), which suggested that anti-P53 autoantibody can be used to detect malignant tumors.³⁵ ACVR1B, an activin sensor, belongs to the transforming growth factor beta (TGF- β) superfamily and is involved in regulating muscle growth.³⁶ CASP8 is involved in exogenous apoptotic signaling pathway, and its genetic variation can affect cancer susceptibility.³⁷ DAXX can bind to the death domain of Fas death receptor and enhance Fas-mediated apoptosis.³⁸ MEN1 is known as multiple endocrine neoplasia type 1 and regulates several pathways and processes by altering chromatin structure through the modification of histones.³⁹ Except for P53, there was no report on the expression level of nine autoantibodies in serum from ESCC patients.

A host of studies have shown that autoantibodies in serum of cancer patients can be used for detecting cancers. This study included two independent cohorts (training cohort and validation cohort) to verify discovered autoantibodies and evaluated the diagnostic value of single autoantibody for ESCC patients in

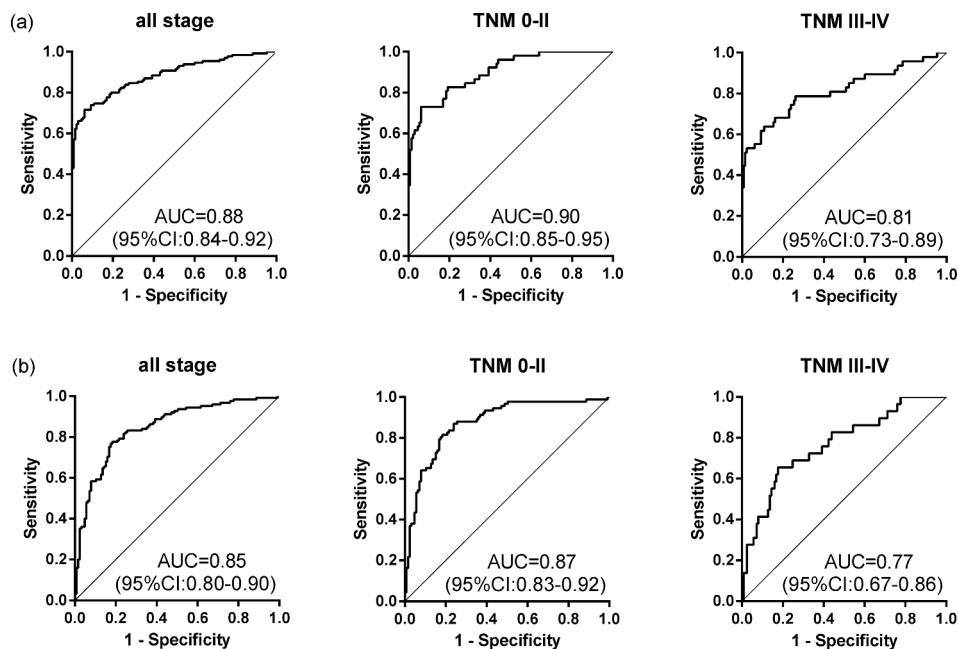


Figure 5. The value of the model for detecting ESCC with different stages in two cohorts. (a) The prediction model for ESCC detection in training cohort. (b) The prediction model for ESCC detection in validation cohort.

training cohort. The results indicated that the ranges of AUC, sensitivity and specificity of 10 meaningful autoantibodies (GNA11, SRSF2, GNAS, PDGFRA, PTEN, P53, ACVR1B, CASP8, DAXX, and MEN1) were 0.58–0.84, 33.8%–88.5%, and 26.9%–96.9%, respectively. Accordingly, the diagnostic performance of single autoantibody was not sufficient for cancers, which is consistent with prior reports.^{25,40} Studies have reported that combined autoantibodies can improve the sensitivity of detecting cancers.⁴¹ A lot of researchers had poured attention into exploring the optimal panel of autoantibodies for the diagnosis of ESCC patients. Zhou et al. reported great diagnostic accuracy of 6 autoantibodies combination (c-Myc, P53, P62, P16, cyclin B1, and IMP1) in detection of ESCC, with an AUC of 0.78, the sensitivity of 64% and the specificity of 94%.⁴² However, this study did not establish a prediction model and lacked further validation. Xu et al. showed that a panel consisting of Hsp70, P53, Prx-VI, and NY-ESO-1 attained the sensitivity of 55%, the specificity of 98 and the sensitivity of 48%, the specificity of 96% for ESCC detection in the test and validation cohorts.²⁵ In two studies, the selected autoantibodies were not the biomarkers based on esophageal cancer, and lack of specificity for cancer. In addition, the sensitivity and specificity are unable to meet the clinical requirement. In the current study, we used ESCC patients and normal controls to screen out 12 candidate autoantibodies. In the verification phase and validation phase, logistic regression analysis was employed to generate prediction model including P53, ACVR1B and GNA11 (AUC = 0.88, sensitivity = 71.5%, specificity = 93.8%), and the diagnostic value of this panel was confirmed in validation cohort. Logistic regression analysis is a traditional statistical method for screening related variables and has been widely adopted to classify cancers.⁴³

Our study was superior compared with studies on identifying serum autoantibodies for diagnosis of ESCC. Firstly, this study was the first to customize the protein microarray based on the cancer-driving genes to discover autoantibodies

associated with ESCC and had yielded promising results. Secondly, logistic regression analysis was applied to establish the diagnostic model in the training cohort, and the diagnostic value of the panel was validated in an independent cohort. However, this study also has some limitations. Although the customized protein chip encoded by cancer-driving genes saved cost, there was also the possibility of missing the proteins that were not customized on the chip.

Using protein microarray to identify cancer-related autoantibodies is a feasible method for detection of cancer. The diagnostic model consisting of P53, ACVR1B and GNA11 may be useful for differentiation between ESCC patients and healthy persons.

Declaration of interest statement

The authors report no conflict of interest.

Funding

This work was supported by the Key Project of Tackling Key Problems in Science and Technology of Henan Province [192102310042]; the Program of Natural Science Foundation of Henan Province [182300410009]; Zhengzhou Major Project for Collaborative Innovation (Zhengzhou university) (18XTZX12007), the National Science and Technology Major Project of China (2018ZX10302205), and the Major Project of Science and Technology in Henan Province (161100311400).

ORCID

Guiying Sun  <http://orcid.org/0000-0002-6717-7228>
 Hua Ye  <http://orcid.org/0000-0003-3657-2417>
 Jianxiang Shi  <http://orcid.org/0000-0002-4346-3895>
 Liping Dai  <http://orcid.org/0000-0002-5531-1776>
 Peng Wang  <http://orcid.org/0000-0003-4666-9706>
 Jianying Zhang  <http://orcid.org/0000-0003-2938-9526>

References

- Ferlay J, Colombet M, Soerjomataram I, Mathers C, Parkin DM, Piñeros M, Znaor A, Bray F. Estimating the global cancer incidence and mortality in 2018: GLOBOCAN sources and methods[J]. *Int J Cancer*. 2019;144(8):1941–1953. doi:10.1002/ijc.31937.
- Zheng RS, Sun KX, Zhang SW. Report of cancer epidemiology in China, 2015[J]. *Chin J Oncol*. 2019;41:19–28.
- Cao XQ, Sun XB. Incidence and trend of esophageal cancer[J]. *Chin J Clin Oncol*. 2016;43(21):932–936. doi:10.3969/j.1000-8179.2016.21.984.
- Siegel RL, Miller KD, Jemal A. Cancer statistics, 2018[J]. *CA Cancer J Clin*. 2018;68(1):7–30. doi:10.3322/caac.21442.
- Zhang L, Li W, Lyu X, Song Y, Mao Y, Wang S, Huang J. Adjuvant chemotherapy with paclitaxel and cisplatin in lymph node-positive thoracic esophageal squamous cell carcinoma[J]. *Chin J Cancer Res*. 2017;29(2):149–155. doi:10.21147/j.1000-9604.2017.02.08.
- Tachimori Y, Ozawa S, Numasaki H, Ishihara R, Matsubara H, Muro K, Oyama T, Toh Y, Udagawa H, Uno T, et al. Comprehensive registry of esophageal cancer in Japan, 2012[J]. *Esophagus*. 2019;16(3):221–245. doi:10.1007/s10388-019-00674-z.
- Zhang C, Wang C, Chen X, Yang C, Li K, Wang J, Dai J, Hu Z, Zhou X, Chen L, et al. Expression profile of microRNAs in serum: a fingerprint for esophageal squamous cell carcinoma[J]. *Clin Chem*. 2010;56(12):1871–1879. doi:10.1373/clinchem.2010.147553.
- Hirajima S, Komatsu S, Ichikawa D, Takeshita H, Konishi H, Shiozaki A, Morimura R, Tsujiura M, Nagata H, Kawaguchi T, et al. Clinical impact of circulating miR-18a in plasma of patients with oesophageal squamous cell carcinoma[J]. *Br J Cancer*. 2013;108(9):1822–1829. doi:10.1038/bjc.2013.148.
- Tan EM, Zhang J. Autoantibodies to tumor-associated antigens: reporters from the immune system[J]. *Immunol Rev*. 2008;222(1):328–340. doi:10.1111/j.1600-065X.2008.00611.x.
- Liu W, Peng B, Lu Y, Xu W, Qian W, Zhang J-Y. Autoantibodies to tumor-associated antigens as biomarkers in cancer immunodiagnosis[J]. *Autoimmun Rev*. 2011;10:331–335. doi:10.1016/j.autrev.2010.12.002.
- Zheng SX, Xiang BD, Long JM, Qu C, Mo ZJ, Li K, Zhuang Y, Lv ZL, Zhou SF. Diagnostic value of serum SMP30 and Anti-SMP30 antibody in hepatocellular carcinoma[J]. *Lab Med*. 2018;1–8. doi:10.1093/labmed/lmy004.
- Zhang JY, Tan EM. Autoantibodies to tumor-associated antigens as diagnostic biomarkers in hepatocellular carcinoma and other solid tumors[J]. *Expert Rev Mol Diagn*. 2010;10:321–328. doi:10.1586/erm.10.12.
- Katchman BA, Chowell D, Wallstrom G, Vitonis AF, LaBaer J, Cramer DW, Anderson KS. Autoantibody biomarkers for the detection of serous ovarian cancer[J]. *Gynecol Oncol*. 2017;146(1):129–136. doi:10.1016/j.ygyno.2017.04.005.
- Dai L, Li J, Tsay JJ, Yie T-A, Munger JS, Pass H, Rom WN, Tan EM, Zhang J-Y. Identification of autoantibodies to ECH1 and HNRNPA2B1 as potential biomarkers in the early detection of lung cancer[J]. *Oncoimmunol*. 2017;6:e1310359. doi:10.1080/2162402x.2017.1310359.
- Pedersen JW, Gentry-Maharaj A, Nostdal A, Fourkala E-O, Dawnay A, Burnell M, Zaikin A, Burchell J, Papadimitriou JT, Clausen H, et al. Cancer-associated autoantibodies to MUC1 and MUC4—a blinded case-control study of colorectal cancer in UK collaborative trial of ovarian cancer screening[J]. *Int J Cancer*. 2014;134:2180–2188. doi:10.1002/ijc.28538.
- Loke SY, Lee ASG. The future of blood-based biomarkers for the early detection of breast cancer[J]. *Eur J Cancer*. 2018;92:54–68. doi:10.1016/j.ejca.2017.12.025.
- Looi K, Megliorino R, Shi FD, Peng XX, Chen Y, Zhang JY. Humoral immune response to p16, a cyclin-dependent kinase inhibitor in human malignancies[J]. *Oncol Rep*. 2006;16:1105–1110.
- Yang L, Wang J, Li J, Zhang H, Guo S, Yan M, Zhu Z, Lan B, Ding Y, Xu M, et al. Identification of serum biomarkers for gastric cancer diagnosis using a human proteome microarray[J]. *Mol Cell Proteomics*. 2016;15(2):614–623. doi:10.1074/mcp.M115.051250.
- Tan EM. Autoantibodies as reporters identifying aberrant cellular mechanisms in tumorigenesis[J]. *J Clin Invest*. 2001;108(10):1411–1415. doi:10.1172/JCI14451.
- Schwitalle Y, Kloor M, Eiermann S, Linnebacher M, Kienle P, Knaebel HP, Tariverdian M, Benner A, von Knebel Doeberitz M. Immune response against frameshift-induced neopeptides in HNPCC patients and healthy HNPCC mutation carriers[J]. *Gastroenterology*. 2008;134(4):988–997. doi:10.1053/j.gastro.2008.01.015.
- Greenman C, Stephens P, Smith R, Dalgliesh GL, Hunter C, Bignell G, Davies H, Teague J, Butler A, Stevens C, et al. Patterns of somatic mutation in human cancer genomes[J]. *Nature*. 2007;446(7132):153–158. doi:10.1038/nature05610.
- Vogelstein B, Papadopoulos N, Velculescu VE, Zhou S, Diaz LA, Kinzler KW. Cancer genome landscapes[J]. *Science*. 2013;339(6127):1546–1558. doi:10.1126/science.1235122.
- Wang S, Qin J, Ye H, Wang K, Shi J, Ma Y, Duan Y, Song C, Wang X, Dai L, et al. Using a panel of multiple tumor-associated antigens to enhance autoantibody detection for immunodiagnosis of gastric cancer[J]. *Oncoimmunol*. 2018;7:e1452582. doi:10.1080/2162402x.2018.1452582.
- Sobin LH, Gospodarowicz MK, Christian W. TNM classification of malignant tumors. 7th. Oxford, USA: Wiley-Blackwell; 2009.
- Xu YW, Peng YH, Chen B, Wu Z-Y, Wu J-Y, Shen J-H, Zheng C-P, Wang S-H, Guo H-P, Li E-M, et al. Autoantibodies as potential biomarkers for the early detection of esophageal squamous cell carcinoma[J]. *Am J Gastroenterol*. 2014;109:36–45. doi:10.1038/ajg.2013.384.
- Zhang HF, Qin JJ, Ren PF, Shi J-X, Xia J-F, Ye H, Wang P, Song C-H, Wang K-J, Zhang J-Y, et al. A panel of autoantibodies against multiple tumor-associated antigens in the immunodiagnosis of esophageal squamous cell cancer[J]. *Cancer Immunol Immunother*. 2016;65:1233–1242. doi:10.1007/s00262-016-1886-6.
- Le XXN, Chunyang S, Guoli Y. Application of SEREX method in the identification of tumor-associated antigens[J]. *Science and Technology of West China*, 2009, 8: 56–57
- Gao Hongjun Zhao Xiaohang. SERPA strategy and its application in tumour-associated antigens[J]. *Medical Recapitulate*, 2010, 16: 2853–2854
- Kimple AJ, Bosch DE, Giguere PM, Siderovski DP. Regulators of G-protein signaling and their Galpha substrates: promises and challenges in their use as drug discovery targets[J]. *Pharmacol Rev*. 2011;63:728–749. doi:10.1124/pr.110.003038.
- Xiao R, Sun Y, Ding JH, Lin S, Rose DW, Rosenfeld MG, Fu X-D, Li X. Splicing regulator SC35 is essential for genomic stability and cell proliferation during mammalian organogenesis[J]. *Mol Cell Biol*. 2007;27:5393–5402. doi:10.1128/mcb.00288-07.
- Parish AJ, Nguyen V, Goodman AM, Murugesan K, Frampton GM, Kurzrock R. GNAS, GNAQ, and GNA11 alterations in patients with diverse cancers[J]. 2018, 124: 4080–4089. doi:10.1002/cncr.31724
- Terada T. Primary esophageal small cell carcinoma with brain metastasis and with CD56, KIT, and PDGFRA expressions[J]. *Pathol Oncol Res*. 2012;18(4):1091–1093. doi:10.1007/s12253-011-9374-y.
- Gong L, Govan JM, Evans EB, Dai H, Wang E, Lee S-W, Lin H-K, Lazar AJ, Mills GB, Lin S-Y, et al. Nuclear PTEN tumor-suppressor functions through maintaining heterochromatin structure[J]. *Cell Cycle*. 2015;14(14):2323–2332. doi:10.1080/15384101.2015.1044174.
- Shen WH, Balajee AS, Wang J, Wu H, Eng C, Pandolfi PP, Yin Y. Essential role for nuclear PTEN in maintaining chromosomal integrity[J]. *Cell*. 2007;128(1):157–170. doi:10.1016/j.cell.2006.11.042.
- Wu M, Mao C, Chen Q, Cu X-W, Zhang W-S. Serum p53 protein and anti-p53 antibodies are associated with increased cancer risk: a case-control study of 569 patients and 879 healthy controls[J]. *Mol Biol Rep*. 2010;37:339–343. doi:10.1007/s11033-009-9744-7.
- Kollias HD, McDermott JC. Transforming growth factor-beta and myostatin signaling in skeletal muscle[J]. *J Appl Physiol*. 2008;104(1985):579–587. doi:10.1152/jappphysiol.01091.2007.
- Chen D, Ma T, Liu XW, Liu Z. CASP-8-652 6N ins/del polymorphism and cancer risk: A literature-based systematic HuGE review

- and meta-analysis[J]. *Exp Ther Med.* 2012;4:762–770. doi:10.3892/etm.2012.661.
38. Horvilleur E, Sbarrato T, Hill K, Spriggs RV, Screen M, Goodrem PJ, Sawicka K, Chaplin LC, Touriol C, Packham G, et al. A role for eukaryotic initiation factor 4B overexpression in the pathogenesis of diffuse large B-cell lymphoma[J]. *Leukemia.* 2014;28(5):1092–1102. doi:10.1038/leu.2013.295.
 39. Chandrasekharappa SC, Guru SC, Manickam P, Olufemi SE, Collins FS, Emmert-Buck MR, Debelenko LV, Zhuang Z, Lubensky IA, Liotta LA, et al. Positional cloning of the gene for multiple endocrine neoplasia-type 1[J]. *Science.* 1997;276:404–407. doi:10.1126/science.276.5311.404.
 40. Dai L, Lei N, Liu M, Zhang J-Y. Autoantibodies to tumor-associated antigens as biomarkers in human hepatocellular carcinoma (HCC)[J]. *Exp Hematol Oncol.* 2013;2. doi:10.1186/2162-3619-2-15.
 41. Dai L, Tsay JC, Li J, Yie T-A, Munger JS, Pass H, Rom WN, Zhang Y, Tan EM, Zhang J-Y, et al. Autoantibodies against tumor-associated antigens in the early detection of lung cancer[J]. *Lung Cancer.* 2016;99:172–179. doi:10.1016/j.lungcan.2016.07.018.
 42. Zhou SL, Yue WB, Fan ZM, Du F, Liu BC, Li B, Han XN, Ku JW, Zhao XK, Zhang P, et al. Autoantibody detection to tumor-associated antigens of P53, IMP1, P16, cyclin B1, P62, C-myc, Survivin, and Koc for the screening of high-risk subjects and early detection of esophageal squamous cell carcinoma[J]. *Dis Esophagus.* 2014;27:790–797. doi:10.1111/dote.12145.
 43. Timmerman D, Testa AC, Bourne T, Ferrazzi E, Ameye L, Konstantinovic ML, Calster BV, Collins WP, Vergote I, Huffel SV, et al. Logistic regression model to distinguish between the benign and malignant adnexal mass before surgery: a multicenter study by the International ovarian tumor analysis group[J]. *J Clin Oncol.* 2005;23:8794–8801. doi:10.1200/jco.2005.01.7632.