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Cost-Effectiveness of Therapeutic Use of Safety-Engineered Syringes in Healthcare Facilities in India

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Abstract

Background Globally, 16 billion injections are administered each year of which 95% are for curative care. India contributes 25–30% of the global injection load. Over 63% of these injections are reportedly unsafe or deemed unnecessary.

Objectives To assess the incremental cost per quality-adjusted life-year (QALY) gained with the introduction of safety-engineered syringes (SES) as compared to disposable syringes for therapeutic care in India.

Methods A decision tree was used to compute the volume of needle-stick injuries (NSIs) and reuse episodes among healthcare professionals and the patient population. Subsequently, three separate Markov models were used to compute lifetime costs and QALYs for individuals infected with hepatitis B virus (HBV), hepatitis C virus (HCV) and human immunodeficiency virus (HIV). Three SES were evaluated—reuse prevention syringe (RUP), sharp injury prevention (SIP) syringe, and syringes with features of both RUP and SIP. A lifetime study horizon starting from a base year of 2017 was considered appropriate to cover all costs and consequences comprehensively. A systematic review was undertaken to assess the SES effects in terms of reduction in NSIs and reuse episodes. These were then modelled in terms of reduction in transmission of blood-borne infections, life-years and QALYs gained. Future costs and consequences were discounted at the rate of 3%. Incremental cost per QALY gained was computed to assess the cost-effectiveness. A probabilistic sensitivity analysis was undertaken to account for parameter uncertainties.

Results The introduction of RUP, SIP and RUP + SIP syringes in India is estimated to incur an incremental cost of Indian National Rupee (INR) 61,028 (US\$939), INR 7,768,215 (US\$119,511) and INR 196,135 (US\$3017) per QALY gained, respectively. A total of 96,296 HBV, 44,082 HCV and 5632 HIV deaths are estimated to be averted due to RUP in 20 years. RUP has an 84% probability to be cost-effective at a threshold of per capita gross domestic product (GDP). The RUP syringe can become cost saving at a unit price of INR 1.9. Similarly, SIP and RUP + SIP syringes can be cost-effective at a unit price of less than INR 1.2 and INR 5.9, respectively.

Conclusion RUP syringes are estimated to be cost-effective in the Indian context. SIP and RUP + SIP syringes are not cost-effective at the current unit prices. Efforts should be made to bring down the price of SES to improve its cost-effectiveness.

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Key Points for Decision Makers

The RUP syringe is cost-effective for therapeutic use in India, attributable primarily to prevention of reuse of syringes.

The RUP syringe is likely to be cost-effective in regions with a reuse rate of more than 3.3%; hence this could become a reason for geographic targeting.

The price of SIP alone or RUP+SIP syringes needs to be reduced by 89% and 46%, respectively, from their base price to make these cost-effective either through price negotiation at the time of bulk purchase or price regulation.

1 Background

Globally, 16 billion injections are administered each year, of which 95% are for curative care [1]. India contributes 25-30% of the global injection load. Over 63% of these injections are reportedly unsafe or deemed unnecessary [2, 3]. Unsafe injection practices include the reusing of syringes and needles, overuse of injections in situations where oral medications can work, and recapping of needles [4, 5]. Addressing unsafe injection practices is an important public health agenda for several reasons. Firstly, they lead to the large-scale transmission of blood-borne infections (BBIs) among patients [6]. Approximately 33% of new hepatitis B viral (HBV) infections and 42% of hepatitis C viral (HCV) infections (2 million new infections) are attributable to unsafe medical injections in developing countries [2]. Similarly, unsafe injection practices account for 9% of new human immunodeficiency virus (HIV) cases in South Asia [2]. Secondly, there is a risk of transmission of BBIs to healthcare professionals (HCPs) with needle-stick injuries (NSI) [6]. Thirdly, poor sharp waste-management practices put the waste handlers (and community) at risk [7].

In India, the reuse rate for syringes is reported to be 5% [8–10] and rate of NSIs is reported as 0.051 per 1000 injections administered [11]. Both the reuse of a syringe from an infected to a healthy patient and NSI to a healthcare professional after use of a needle on an infected patient pose the risk of BBI transmission. Out of the total BBIs resulting from unsafe injection practices in the developing countries, reuse of syringes contributes to the majority of BBIs and to lesser extent NSIs do [12, 13]. However, most of the studies undertaken so far from the developed countries did not consider BBIs as a result of injection reuse, and cited this as a limitation [14].

Viral hepatitis still remains a major public health problem in India. India has 'intermediate to high endemicity' for hepatitis B surface antigen and accounts for an estimated 40 million chronic HBV-infected people, which constitutes 11% of the global burden [15]. The prevalence of chronic HBV infection and chronic HCV infection in India is around 3-4% and <1%, respectively [16, 17]. However, there is a large variation in the burden, with a much higher prevalence of HCV infection reported in Punjab [18]. In terms of HIV, India has the third highest prevalence in the world among adults (aged 15–49 years) [19]. The cost of managing HBV, HCV and HIV poses a significant economic burden for the health system. In India, much of this economic burden is borne by households, as they contribute to 71% of the total healthcare expenditures through out-of-pocket expenditures (OOPEs) [20, 21]. The average health system cost and OOPE for treating liver disorders in the intensive-care tertiary setting in India is US\$2728 (Indian National Rupee

(INR) 163,664) and US\$2372 (INR 142,297) respectively [22]. Since healthcare is predominantly financed through out-of-pocket spending in India, the high treatment cost for BBIs places a disproportionately high burden on the poor. This leads to an unmet need for treatment and inequalities in the utilization of healthcare services [23, 24].

The World Health Organization (WHO) recommends a transition to safety-engineered injection devices by 2020. Safety-engineered syringes (SES) are devices designed with advance safety features to prevent re-use and accidental NSIs among health workers [14]. While the Government of India (GoI) introduced auto-disable (AD) syringes for immunization in 2008 [25], their use is not mandated in the therapeutic sector, which constitutes the bulk of injection use.

Recently, Punjab state considered introduction of SES in the therapeutic sector. Evidence of its cost-effectiveness is thus being sought as an essential criterion for deciding on the introduction of SES. Moreover, the National Pharmaceutical Pricing Authority (NPPA) has requested India's Health Technology Assessment Board [26] to provide economic evidence on different forms of SES. In order to answer these policy questions, we undertook this study to assess the incremental cost per quality-adjusted life-year (QALY) gained with the introduction of SES as compared to the current practice of using disposable syringes for therapeutic care.

2 Methodology

2.1 Model Overview

We assessed the cost-effectiveness of SES for therapeutic use in India against a counterfactual scenario of exiting use of disposable syringes. A lifetime study horizon from a societal perspective was used. Though we included direct expenditures incurred by a population infected with BBI we did not include productivity losses in this analysis. The short-term outcomes of unsafe injection practices that were considered in the present analysis were NSI among healthcare professionals and reuse rate among patients [6]. These were then modelled in terms of life-years and QALYs gained. Future costs and consequences were discounted at the rate of 3%.

A two-part dynamic transition model was developed. The first part of the model employs a decision tree used to compute the volume of NSIs and reuse episodes among the healthcare professionals and patient population, respectively (Fig. 1 and Supplementary Appendix, Section B). The annual number of BBIs in each of the SES options and control scenario were estimated for a period of 20 years from 2017 to 2036 (Supplementary Appendix, Section B). Part 2 of the model comprised three separate Markov models to compute lifetime costs and QALYs for patients who were infected with HBV, HCV and HIV, respectively, in either of the study scenarios (Figs. 2, 3 and 4). The three Markov models used for the present study have already been validated and are available in the published literature [27, 28]. An annual cycle was assumed for both the decision and the Markov models.

2.2 Intervention Description

Three types of SES depending upon the type of safety feature were considered for the intervention scenario [6]. Option 1 consists of the introduction of a re-use prevention syringe (RUP) in which the plunger of the syringe either breaks down or gets locked by a metal clip immediately after its use, to avoid of reuse of syringe. RUP is quite similar to an AD syringe in terms of its safety features except that it comes with variable dosing marks, which are absent in the AD type and therefore more suitable for use in the therapeutic sector. Option 2 consists of the introduction of a sharp injury prevention (SIP) syringe that has a safety feature consisting of a plastic shield covering the needle automatically following its use. The SIP syringe is intended for preventing NSI among healthcare professionals and waste handlers. Option 3 consists of a type of SES that is a combination of a RUP and a SIP syringe, thereby preventing both NSI and reuse. There are several variations within the combination,

i.e., RUP + SIP depending upon whether the advance feature provided is manual driven or automatic in nature. We particularly focused on the one with the automatic safety feature, as there is still a risk associated with the type that is manual driven. Considering the safety features of SES, we assumed that reuse will be completely prevented in the case of RUP and RUP + SIP. However, for the SIP syringe, we assumed no change in reuse rate. Each of the options 1-3included integrated training on safe injection practices that included training on use of SES, safe practices and waste management, along with behaviour change communication (BCC) for patients. In our analysis, we considered the costs associated with these activities; however, we did not consider any incremental benefits associated with either training or BCC activities.

2.3 Comparator

In the counterfactual arm, the most appropriate choice was the prevailing current practice of using disposable syringes. In the unregulated private sector, there could be a possibility of using glass syringes, although to a lesser extent [3, 29]. However, for our analysis, we assume use of disposable syringes for therapeutic care, and avoid the complexity of mixed practices.



Fig. 1 Decision tree for cost-effectiveness of safety-engineered syringes

Fig. 2 Markov state transition model for hepatitis B virus



Fig. 3 Markov state transition model for hepatitis C virus

2.4 Costing

In the intervention arm, we included the costs for procurement of the respective SES providing pre-exposure prophylaxis for HBV and HIV; treating HBV, HCV and HIV; providing training on safe injection practices (which consists of both training on the use of SES and safe waste-management practices); BCC campaign; and, lastly, the cost of sharp waste management.

We used unit prices provided by WHO for the respective SES [6]. These prices, which were provided in US dollars, were converted to the local currency, i.e., INR using conversion rates for the year 2017 (1US\$=INR65) [30]. Second, for estimating the treatment costs, we used the patterns of treatment utilized at different levels of healthcare delivery for hepatitis patients by analysing the unit level data of the 71st round of the National Sample Survey [31]. To elicit the patterns of care-seeking for HIV, we used the reports



Fig. 4 Markov state transition model for human immunodeficiency virus

of the National AIDS Control Organization [19]. Based on these patterns of utilization, the diseased population was divided into subgroups, displaying combinations of three key factors. These three factors were sector utilized (public or private qualified or private non-qualified), level of care utilized (primary, secondary or tertiary) and lastly setting utilized (outpatient—OPD or inpatient—IPD). Information on the duration of stay in case of a hospitalization event and annual number of OPD visits for each disease condition was sourced both from the existing literature and from the clinical judgement of experts [22, 32].

For care sought in public health facilities, we considered both the health system cost and OOPE for treatment. The health system cost of treatment was accessed from the national health system cost database as well as from other costing studies [22, 31, 33–37]. In the case of treatment from the private sector, the OOPE was considered to capture the full cost. Data on OOPE for different disease conditions were extracted from multiple sources that included national surveys, primary data analysis and available published evidence [22, 32]. In the case of HCV, the cost of antivirals and diagnostics was obtained from the rates finalized under Punjab state's Free HCV Treatment Scheme [38]. The cost of antiretroviral therapy treatment for HIV infections caused as a result of reuse of syringes and NSIs among healthcare professionals was considered. Patient group was also included in the model. The cost of antiretroviral therapy treatment at different levels of healthcare delivery was obtained from a recent local study [39].

Lastly, data on the cost of training, BCC and waste management were obtained based on discussion with health system program managers [40]. Since the waste-management services are outsourced to a private provider, hence the contract rates of outsourcing service providers were considered appropriate to be used in the present study [40]. All the cost estimates obtained from studies carried out before 2017 were adjusted for inflation using appropriate gross domestic product (GDP) cost deflator [41].

Costing for the counterfactual scenario was similar to the intervention, except for the price of disposable syringes. For the sake of comparability, the unit price of disposable syringes provided in the WHO report was used for the base analysis [6]. The price of procurement in Indian states was used in the sensitivity analysis. Secondly, in the counterfactual scenario, we did not consider the additional cost of training or IEC.

2.5 Modelling Health Benefits

Initially, the annual volume of injections was estimated based on the per person annual frequency of injections by sector (i.e., public, private qualified and private unqualified healthcare provider), levels of care (i.e., primary, secondary and tertiary) and nature of care (i.e., outpatient and inpatient department) [3]. As our analysis is limited to therapeutic care only, we excluded the share of preventive care from volume of injections. As a next level of stratification, the volume of injections was separated based on four routes of administration, i.e. intravenous, intramuscular, intradermal and subcutaneous. This was done in view of the fact that the risk of transmission of BBIs is dependent on the route of administration. An extensive review of the literature was undertaken to obtain data on the extent and patterns of the healthcare workforce [42] (Supplementary Appendix, Section D, Table 1), morbidity rate, treatment-seeking behaviours and patterns of care utilization [32], frequency of injections [3], route of administration [43], treatment by different healthcare professionals [44], risk of NSI using a disposable syringe [44, 45], syringe reuse rates [45, 46], prevalence of HBV, HCV and HIV [47-50], risk-transmission coefficient as a result of NSI or reuse [51], and all-cause mortality rates [52]. We have assumed a wide uncertainty range for transmission coefficients as there is a scarcity of reliable data in the Indian setting on the risk of HIV transmission per sex act. Sexually transmitted infections (STIs) as a cofactor increase the risk of HIV transmission three times [53, 54]. More details about parameter values and their sources are given in Table 1 (Supplementary Appendix, Section D, Table 1).

2.6 Effectiveness of Safety-Engineered Syringes (SES) on Needle-Stick Injuries (NSI) and Reuse

We undertook a systematic review of existing evidence for assessing the effectiveness of different SES on reduction of NSI among healthcare workers and reuse of syringes in patients compared to disposable syringes.

We included both randomized controlled trials (RCTs) and non-randomized studies (NRS) (e.g., before and after trials, time-series analysis, cohorts, case controls and quantitative surveys) that assessed the effect of any one of the SES, such as auto-disable or auto-destruct syringes (ISO 7886-3), reuse prevention syringes (ISO 7886-4) and sharp injury prevention syringes (ISO 23908), when compared to disposable syringes not having safety features on the reduction of NSI among healthcare workers.

Conference abstracts, letters to the editor, qualitative studies, reviews, case series and case reports were excluded. We also excluded studies evaluating blood collection devices (such as lancets, arterial blood syringes), winged steel needles, suture needles, catheters, cannulas, port needles, and implantable needles. Studies in which both the intervention and the comparator had safety features were also excluded. Furthermore, studies that used recall methods to estimate NSIs were also excluded. Only those studies that employed objective measurements of NSI were included [55, 56]. A detailed search strategy and search terms for each search engine are described in the Supplementary Material (Supplementary Appendix, Section C).

2.7 Blood-Borne Infection (BBI) Transmission

Five key factors determined the transmission of BBIs from an infected source to an uninfected recipient [57]. First, prevalence of a specific blood-borne pathogen in the source population. Second, probability of transfer, which is the probability of the presence of a viral blood-borne pathogen in the syringe and depth of penetration of the syringe in an NSI instance. Third, probability of practice, defined as the rates of NSI and reuse. Fourth, probability of infection transmission, i.e., transmission coefficients. Fifth is probability of susceptibility, which is the susceptibility marker of an exposed person in case of an adverse event based on his/her vaccination status (applicable only in case of HBV).

We also estimated the number of secondary BBIs in our analysis. Secondary BBIs were the infections transmitted from a primary case as a result of an NSI or reuse to their regular sexual partner through heterosexual intercourse. Secondary BBIs were calculated for HIV and HBV using the standard Weinstein equation [28, 58] (Supplementary Appendix, Section A, Fig. 1). The Weinstein equation estimates the annual probability of BBI transmission through a sexual route determined by the prevalence of BBI in partner group, type of sex act, condom use and its efficacy, and number of sex partners per person. New infections contributed by NSI and syringe reuse were calculated for 20 cycles (i.e., 20 years) in the model, which were subsequently modelled to determine lifelong consequences in terms of life-years and QALYs.

2.8 Markov State Transitions

A Markov transition state model was used to calculate lifeyears and QALY. The natural history of progression was used to model the health state transition in every cycle. An extensive literature review was undertaken to determine the probability of transition from one state to another for three BBIs [59–68] (Supplementary Appendix, Section A, Matrix 1, 2 and 3). In situations where the rates were available for a longer time period, we assumed uniform progression during intervening cycles. Year-wise all-cause mortality rates obtained from Sample Registration System (SRS) life tables were used [52].

2.9 Quality-of-Life Assessment

We used the available international literature on quality-of life (QOL) scores for different health states within three diseases [69–71]. Choice of syringes does not directly impact the QOL scores. The use of different SES syringes has a different effectiveness in terms of prevention of NSIs and reuse episodes. This further leads to an individual reduction in the number of BBIs compared to the use of disposable syringes. A QOL score for each disease state of three BBIs (HBV, HCV and HIV) was then applied to estimate the gain in QALYs with use of each type of SES scenario. Difference in QALYs in options of syringes being compared was determined by the number of BBIs in each option as a result

Table 1	List of key parameters u	used in the	cost-effectiveness model
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Parameters	Base value	Lower limit	Upper limit	Source (reference number)	Probability distribution
Epidemiological parameters					
Morbidity Rate ^a (India)	0.1000	0.0890	0.1180	[98]	Uniform
Proportion of injections by route in OPD					
Intravenous (IV)	0.1285	0.1285	0.1285	[43]	Uniform
Intramuscular (IM)	0.4714	0.4714	0.4714		Uniform
Intradermal (ID)	0.2857	0.2857	0.2857		Uniform
Subcutaneous (SC)	0.1144	0.1144	0.1144		Uniform
Proportion of injections by route in IPD					
Intravenous (IV)	0.7667	0.7667	0.7667		Uniform
Intramuscular (IM)	0.2167	0.2167	0.2167		Uniform
Intradermal (ID)	0.0000	0.0000	0.0000		Uniform
Subcutaneous (SC)	0.0167	0.0167	0.0167		Uniform
Reuse rate					
Disposable syringe	0.0500	0.0023	0.1400	[8, 46]	Uniform
Needle stick injury (NSI) rate (per 1000 injections admin- istered)					
Disposable syringes	0.051	0.0408	0.061	[11]	Uniform
RUP	0.051	0.0408	0.061	[11]	Uniform
SIP	0.0061	0.0048	0.00739	[35]	Uniform
RUP + SIP	0.0061	0.0048	0.00739	[35]	Uniform
Prevalence among patients seeking treatment					
HBV	0.0390	0.0087	0.0413	[47, 48, 99]	Uniform
HCV	0.0068	0.0028	0.0077	[48, 100]	Uniform
HIV	0.0068	0.0035	0.0083	[48–50]	Uniform
Risk of transmission: HBV					
Intravenous (IV)	0.1800	0.0600	0.3000	[101]	Beta
Intramuscular (IM)	0.0180	0.0060	0.0300		Beta
Intradermal (ID)	0.00001	0.000001	0.0001		Beta
Subcutaneous (SC)	0.0018	0.0006	0.003		Beta
Risk of transmission: HCV					
Intravenous (IV)	0.018	0.001	0.07	[102]	Beta
Intramuscular (IM)	0.0018	0.0001	0.007		Beta
Intradermal (ID)	0.00001	0.000001	0.0001		Beta
Subcutaneous (SC)	0.00018	0.00001	0.0007		Beta
Risk of transmission: HIV					
Intravenous (IV)	0.0023	0.0001	0.0046	[103]	Beta
Intramuscular (IM)	0.00023	0.00001	0.00046		Beta
Intradermal (ID)	0.0000001	0.00000001	0.000001		Beta
Subcutaneous (SC)	0.000023	0.000001	0.00007		Beta
Cost parameters (INR)					
Per unit cost of disposable syringe	1.0300	0.6600	2.5600	[6]	Gamma
Per unit cost of RUP syringe	4.2000	3.2200	5.1600	[6]	Gamma
Per unit cost of SIP syringe	11.0000	8.3800	15.4700	[6]	Gamma
Per unit cost of RUP + SIP syringe	11.0000	5.8000	16.2000	[6]	Gamma
Average cost of treatment in public sector (OPD) Second- ary level (INR)					
HBV	1734	1213.8	2254.2	[22, 33, 38]	Gamma
HCV	1734	1213.8	2254.2		Gamma
HIV	25,659	17962	33356	[39]	Gamma

Table 1 (continued)

Parameters	Base value	Lower limit	Upper limit	Source (reference number)	Probability distribution
Average cost of treatment in public sector (OPD) Tertiary level (INR)					
HBV	2024	1416.8	2631.2	[22, 33, 38]	Gamma
HCV	2024	1416.8	2631.2		Gamma
HIV	45,810	32,068	59,551	[39]	Gamma
Average cost of treatment in public sector (IPD) Secondary level (INR)					
HBV	7597	5317.9	9876.1	[22, 33, 38]	Gamma
HCV	7597	5317.9	9876.1		Gamma
HIV	995	696.5	1293.5	[39]	Gamma
Average cost of treatment in public sector (IPD) Tertiary level (INR)					
HBV	18,693	13,085.1	24,300.9	[22, 33, 38]	Gamma
HCV	18,693	13,085.1	24,300.9		Gamma
HIV	5592	3914.4	7269.6	[39]	Gamma
Average cost of treatment in private sector (OPD) Secondary level (INR)					
HBV	8625	6037.5	11,212.5	[22, 33, 38]	Gamma
HCV	8625	6037.5	11,212.5		Gamma
HIV	0	0	0		Gamma
Average cost of treatment in private sector (OPD) Tertiary level (INR)					
HBV	1400	980	1820	[22, 33, 38]	Gamma
HCV	1400	980	1820		Gamma
HIV	1358	950.6	1765.4	[39]	Gamma
Average cost of treatment in private sector (IPD) (INR)					
HBV	26,774	18,741.8	34,806.2	[22, 33, 38]	Gamma
HCV	26,774	18,741.8	34,806.2		Gamma
HIV	8000	5600	10,400	[39]	Gamma
QOL weights: HBV					
Unapparent infection	1.0000	_	_	[69]	
Apparent infection	0.9500	0.9300	0.9600		Beta
Non-fulminant hepatitis	0.9500	0.9300	0.9600		Beta
Fulminant hepatitis	0.3500	0.3200	0.3700		Beta
Acquired immunity	0.9500	0.9300	0.9600		Beta
Asymptotic carrier	0.7500	0.7300	0.7700		Beta
Chronic hepatitis	0.6800	0.6600	0.7100		Beta
Compensated cirrhosis	0.6900	0.6600	0.7100		Beta
Decompensated cirrhosis	0.3500	0.3200	0.3700		Beta
Hepatocellular carcinoma	0.3800	0.3600	0.4100		Beta
QOL weights: HCV					
Normal	1.0000			[71]	
Asymptotic carrier	0.9300	0.9000	0.9600		Beta
Chronic hepatitis	0.7000	0.6300	0.7600		Beta
Compensated cirrhosis	0.5500	0.4800	0.6500		Beta
Decompensated cirrhosis	0.4900	0.4800	0.6100		Beta
Hepatocellular carcinoma	0.5800	0.4800	0.6100		Beta
QOL weights: HIV					

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Table 1 (continued)

Parameters	Base value	Lower limit	Upper limit	Source (reference number)	Probability distribution
CD4 cell count > 500 per mm ³	0.9460	0.9240	0.9640	[70]	Beta
CD4 cell count between 500 and 350 per mm ³	0.9330	0.9140	0.9510		Beta
CD4 Cell count between 350 and 200 per mm ³	0.9310	0.9140	0.9510		Beta
CD4 Cell count between 200 and 50 per mm ³	0.8530	0.8350	0.8650		Beta
CD4 Cell count < 50 per mm ³	0.7810	0.7350	0.8150		Beta
Transmission coefficients HIV: No ART					
Male to female: without STD Vaginal	0.0019	0.0010	0.0037	[104]	Beta
Anal	0.0169	0.0032	0.0891	[105]	Beta
Oral	0.0004	0.0001	0.0017	[106]	Beta
Male to female: with STD Vaginal	0.0057	0.0015	0.0185	[104]	Beta
Anal	0.0507	0.0048	0.4455		Beta
Oral	0.0012	0.0002	0.0085		Beta
Female to male: without STD Vaginal	0.0010	0.0006	0.0017	[107]	Beta
Anal	0.0016	0.0005	0.0290	[105]	Beta
Oral	0.0004	0.0001	0.0017	[106]	Beta
Female to male: with STD Vaginal	0.0030	0.0009	0.0085	[104]	Beta
Anal	0.0048	0.0008	0.1450		Beta
Oral	0.0012	0.0002	0.0085		Beta
HBV: without treatment					
Male to female: without STD Vaginal	0.0236	0.0182	0.0288	[108] and author calculations	Beta
Anal	0.0393	0.0304	0.0496		Beta
Oral	0.0078	0.0067	0.0112		Beta
Male to female: with STD Vaginal	0.0708	0.0354	0.1181		Beta
Anal	0.1181	0.0591	0.1969		Beta
Oral	0.0236	0.0118	0.0394		Beta
Female to male: without STD Vaginal	0.0236	0.0182	0.0288		Beta
Anal	0.0393	0.0394	0.0394		Beta
Oral	0.0078	0.0067	0.0112		Beta
Female to male: with STD Vaginal	0.0708	0.0354	0.1181		Beta
Anal	0.1181	0.0591	0.1969		Beta
Oral	0.0236	0.0118	0.0394		Beta
Coverage parameters					
Coverage of HBV vaccination among healthcare workers (HCW)	0.5024	0.2576	0.7200	[109, 110]	Uniform
Coverage of HBV vaccination among general population	0.0500	0.0200	0.1000	[111]	Uniform
Effectiveness parameters					
Reduction in NSI with RUP	0.4000	0.2700	0.5900	Systematic review was	Normal
Reduction in NSI with SIP	0.1200	0.0400	0.4100	done separately for these parameters	Normal
Efficacy of vaccine					
HBV Vaccine	0.8000	0.7000	0.9500	[112]	Uniform
Post exposure prophylaxis—HIV	0.8000	0.7000	0.9000	[19]	Uniform

Table 1 (continued)					
Parameters	Base value	Lower limit	Upper limit	Source (reference number)	Probability distribution
Post exposure prophylaxis—HBV	0.8000	0.7000	0.9000	[19]	Uniform

HBV hepatitis B virus, *HCV* hepatitis C virus, *HIV* human immunodeficiency virus, *STD* sexually transmitted disease, *NSI* needle-stick injury, *SIP* sharp injury prevention, *ART* antiretroviral treatment, *QOL* quality of life, *OPD* outpatient department, *IPD* inpatient department, *INR* Indian National Rupee, *RUP* reuse prevention syringe

^aProportion of ailing persons (per 1000) during last 15 days

of variation in effectiveness in preventing NSIs and reuse episodes.

2.10 Data Analysis

We report the results in terms of incremental cost (INR) per life-year and QALY gained with use of RUP, SIP and RUP + SIP compared to disposable syringes for both India and Punjab state.

We use a one-time per capita GDP (i.e., INR 120,000) as the threshold to determine the cost-effectiveness of SES options. The threshold of three times the per capita GDP provided by the WHO Commission on Macroeconomics and Health was predominantly used for decisions pertaining to cost-effectiveness of intervention/technology. As per the recent development, WHO has disavowed its guidance on thresholds and recommended avoiding its use as criteria for cost-effectiveness in national funding decisions [72]. Empirical estimations of a cost-effectiveness threshold carried out by a recent study suggest it is below a one-time GDP for most low- and middle-income countries [73]. A recent systematic review carried out for assessing the quality of economic evaluations in India reveals that the majority of studies used a one-time GDP per capita as the cost-effectiveness threshold [74]. Moreover, in the Indian context, recent cost-effectiveness studies used per capita GDP as the threshold [75-78]. Lastly, the methodological guidelines of India's health technology assessment (HTA) agency recommend the use of a one-time per capita GDP as the criterion for costeffectiveness [79]. Hence, we used the same approach in the present study.

We undertook a univariate and probabilistic sensitivity analysis (PSA) to account for the effect of parameter uncertainties. Univariate sensitivity analysis was performed to assess the impact of uncertainty in key parameters on cost-effectiveness of SES syringes taking the case of a RUP syringe. We varied parameters like the NSI rate; reuse rate; morbidity rate; annual volume of injections; prevalence of HBV, HCV and HIV; risk of transmission of HBV, HCV and HIV; and cost of SES for this sensitivity analysis. These parameters were varied as per the uncertainty ranges reported in the parameter tables in the Supplementary Material (Supplementary Appendix, Section D, Tables 1 and 2). Findings from this sensitivity analysis are reported as percentage change in the value of the incremental cost-effectiveness ratio (ICER).

A threshold analysis was undertaken to determine the price at which SES is cost-effective and cost-saving/dominant. In the PSA, a gamma distribution was used for cost parameters, beta distribution for transmission and transition probabilities, normal distribution for SES effectiveness parameters, and uniform distribution for the remaining input parameters [80–83]. The model was simulated 999 times and the percentile method was used to generate the 95% confidence interval (CI) for base estimate.

3 Results

3.1 Effectiveness of SES on NSI

A detailed PRISMA flow diagram for study selection is provided in the Supplementary Appendix. Full-text screening was done for 94 studies. Finally, a total of 12 articles were included in systematic review (Supplementary Appendix, Section C, Table 1). Included studies reported the number of NSIs per device used, number of NSIs per healthcare worker (HCW) involved and number of NSIs per hours the HCW worked. Studies of varying study designs and of different denominators were pooled separately.

In case of SIP syringes, we found the majority of the studies reported the results for reduction in NSIs in terms of HCW (i.e., NSI per HCW) [55, 56, 84–86]. These studies were not considered appropriate, as such an outcome indicator would then be context-dependent and heavily influenced by the volume of injections per HCW. A setting with a high or low volume of injections would automatically have a higher or lower baseline risk for NSI, respectively.

One study with a large sample size and of high quality that reported the impact of SES on NSI rate per 100 injections was considered appropriate for use in the decision model (relative risk 0.12; 95% CI 0.04–0.41) [35].

For RUP syringes, only one study was identified. This was an uncontrolled before-and-after study that reported a statistically significant reduction in NSIs per HCW after the introduction of SES (relative risk 0.40; 95% CI 0.27–0.59)

[56]. In the absence of any other study, we used the estimate reported in this study.

3.2 Costs

At the national level, the annual cost of disposable syringes for therapeutic care is INR 3.34 billion (US\$51.5 million). The introduction of RUP incurs an incremental cost of INR 10.3 billion (US\$158 million) and INR 32.4 billion (US\$498 million) per year both for SIP and for RUP + SIP. Implementing SES will save INR 4.7 billion (US\$72.4 million), INR 0.286 billion (US\$4.4 million) and INR 4.9 billion (US\$75.3 million) annually with the use of RUP, SIP and RUP + SIP, respectively, based on treatment cost averted. Considering a lifetime horizon, the implementation of SES will result in an incremental cost of INR 1080 billion (US\$16.62 billion), INR 5108 billion (US\$78.59 billion) and INR 4565 billion (US\$70.23 billion), with use of RUP, SIP and RUP + SIP, respectively (Table 2).

At the Punjab state level, introduction of SES will incur an incremental cost of INR 226 million (US\$3.4 million) for RUP, and INR 710 million (US\$10.9 million) for both SIP and RUP + SIP per year, respectively. The annual treatment cost savings with the introduction of RUP, SIP and RUP + SIP was found to be INR 62.5 million (US\$0.96 million), INR 2.76 million (US\$0.04 million) and INR 62.5 million (US\$0.96 million), respectively. Other detailed findings on lifetime cost are available in the Supplementary Material (Supplementary Appendix, Section E, Table 1).

3.3 Health Outcomes

Our model estimated that if the current injection practices are continued for the next 20 years, there will be 99,557, 47,618 and 5650 new cases of HBV, HCV and HIV, respectively, which are attributable to NSI and reuse (Table 3). Introduction of SIP and RUP + SIP will result in prevention of NSIs by 87%. Implementing RUP, SIP and RUP+SIP will prevent new BBIs due to unsafe injections by 96%, 3.9% and 99%, respectively. Discounting the future outcomes at 3%, the reduction in BBIs results in an increase of 1.58, 0.062 and 1.64 million life-years; and 1.67, 0.066 and 1.74 million QALYs with RUP, SIP and RUP + SIP, respectively (Table 3). From the Punjab state perspective, a reduction in BBI incidence with use of RUP, SIP and RUP + SIP will result in a gain of 19.8, 0.9 and 20.7 thousand life-years and 20.9, 0.96 and 21.9 thousand QALYs, respectively (Supplementary Appendix, Section E, Table 2).

3.4 Cost-Effectiveness

Introduction of RUP, SIP and RUP + SIP in India will incur an incremental cost of INR 61,028 (US\$939), INR

7,768,215 (US\$119,511) and INR 196,135 (US\$3017) per QALY gained, respectively (Table 3). There is an 84% probability for RUP to be cost-effective at a willing-topay threshold of GDP of India, i.e., INR 120,000 (Fig. 5 and Supplementary Appendix, Section E, Fig. 1). While SIP has a zero probability of being cost-effective, there is only 23% probability for RUP + SIP to be cost-effective at a willingness-to-pay threshold of one-time GDP per capita (Supplementary Appendix, Section E, Figs. 2, 3, 4 and 5). We tested the stabilisation of results with 9999 simulations for RUP option. Findings from this analysis showed that RUP remains cost-effective with an incremental cost of INR 61,835 (US\$951) per QALY gained, which has an 83% probability to be cost-effective at a per capita GDP threshold.

Similarly, with an incremental cost of INR 28,668 (US\$441) per QALY gained, there is a 96.5% probability for RUP to be cost-effective in Punjab (Supplementary Appendix, Section E, Table 2 and Figs. 6 and 7). The incremental cost per QALY gained with use of SIP and RUP + SIP in Punjab was found to be INR 5,978,654 (US\$91,979) and INR 142,594 (US\$2194), respectively (Supplementary Appendix, Section E, Table 2 and Figs. 8 and 9). At a willingness-to-pay threshold of one-time GDP per capita of state, SIP is not cost-effective and there is a 40% probability of RUP + SIP to be cost-effective (Supplementary Appendix, Section E, Figs. 10 and 11).

3.5 Univariate Sensitivity Analysis

ICER per QALY gained was sensitive to change in reuse rate [3032% for lower limit (LL), -97% for upper limit (UL)]; prevalence of HBV (158% for LL, -11% for UL); risk of transmission of HBV (116% for LL, -51% for UL) and HCV (45% for LL, -68% for UL); and cost of RUP (-49% for LL, 38% for UL) (Fig. 6). The RUP strategy for therapeutic care become cost-ineffective with reduction in reuse rate, HBV prevalence and risk of transmission of HBV as per the lower limits reported in Supplementary Material (Supplementary Appendix, Section D, Table 1). ICER per QALY gained was least sensitive to change in NSI rate (0.6% for LL, -0.6% for UL); morbidity rate (6.6% for LL, -6.7%for UL); volume of injections (5.2% for LL, -5.3% for UL);prevalence of HCV (22% for LL, -10% for UL) and HIV (3% for LL, -6% for UL); and risk of transmission of HIV (0.7% for LL, -9.7% for UL) (Fig. 6).

3.6 Threshold Analysis

We found that the RUP syringe will be cost saving at a unit price of INR 1.9, which is 45% of the base-case unit price of INR 4.2 (Fig. 7). The SIP and RUP + SIP syringes are costeffective only at a unit price less than INR 1.2 and INR 5.9,

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Type of cost	Costs (in mi	llions)				Incremental	costs (in millio	us)		
	INR	USD	INT. \$	LL (INR)	UL (INR)	INR	USD	INT. \$	LL (INR)	UL (INR)
Disposable (counterfactual)										
Syringes ^a	70,270	1081	3253	40,682	190,029	I	I	I	I	I
Biowaste Management	84	1.30	3.90	I	I	I	I	I	I	I
Treatment ^b	98,527	1516	4561	30,694	176,989	I	I	I	I	I
Total										
Undiscounted	168,882	2598	7817	91,264	317,531	I	I	I	I	
Discounted	111,271	1712	5150	56,837	214,763	I	I	I	I	
RUP										
Syringes	286,538	4408	13,263	193,703	349,155	216,268	3327	10,011	91,691	265,104
Training	2	0.03	0.09	I	I	I	I	I	I	I
Information Education and Communication (IEC)	9	0.09	0.26	I	I	I	I	I	I	I
Biowaste Management	205	3.15	9.48	I	I	120	2	9	I	I
Treatment	4325	66.53	200.17	1491	9190	- 94,203	- 1449	-4360	- 29,426	- 169,914
Total										
Undiscounted	291,075	4478	13,473	199,170	354,067	122,193	1880	5656	-32,988	201,564
Discounted	219,276	3373	10,150	138,256	271,205	108,005	1662	4999	-2568	153,493
SIP										
Syringes	750,457	11,545	34,737	460,290	1146,126	680,187	10,464	31,484	371,549	1,032,550
Training	2	0.03	0.09	I	I	I	I	I	I	I
Information Education and Communication (IEC)	9	0.09	0.26	I	I	I	I	I	I	I
Biowaste Management	93	1.43	4.29	I	I	×	0	0	Ι	I
Treatment	92,804	1428	4296	39,026	231,525	-5723	- 88	-265	- 1993	- 17,123
Total										
Undiscounted	843,362	12,975	39,037	552,192	1,278,420	674,480	10,377	31,220	362,871	1,024,639
Discounted	622,080	9570	28,795	374,133	930,330	510,810	7859	23,644	263,392	754,558
RUP + SIP										
Syringes	750,457	11,545	34,737	349,454	1,211,036	680,187	10,464	31,484	258,418	1,093,217
Training	2	0.03	0.09	I	I	I	I	I	I	I
Information Education and Communication (IEC)	9	0.09	0.26	I	I	I	I	I	I	I
Biowaste Management	93	1.43	4.29	I	I	8	0	0	I	I
Treatment	519	7.99	24.03	174	1236	- 98,008	- 1508	-4108	-28,192	-178,208
Total										
Undiscounted	751,076	11,555	34,766	349,892	1,211,948	582,194	8957	26,948	153,643	1,001,002
Discounted	567,777	8735	26,281	244,102	903,246	456,506	7023	21,131	127,635	745,537

INR Indian National Rupee, USD United States dollar, INT. & International Dollar, LL lower limit, UL upper limit

^aSyringe cost shows cost of disposable/SES syringes for the period from 2017-2036

^bTreatment cost shows lifetime cost of treating individuals infected with hepatitis B, hepatitis C and HIV as a result of unsafe injection practices

Health outcomes	Disposable			RUP			SIP			RUP+SIP		
	Base ^b	ГГ	nr	Base	ΓΓ	nr	Base	LL	nr	Base	LL	nr
Life-years ^a (in mil	lions)											
Undiscounted	100,286.46	100,259.41	100,310.93	100,290.47	100,264.84	100,315.36	100,286.62	100,259.35	100, 310.67	100,290.63	100,263.74	100,314.64
Discounted	47,500.55	32,664.81	58,320.16	47,502.13	32,666.03	58,322.68	47,500.61	32,772.51	58,152.26	47,502.19	32,664.65	58,195.14
QALYs ^b (in millio	(su											
Undiscounted	100,286.31	100,259.16	100,310.82	100,290.46	100,264.83	100,315.35	100,286.47	100,259.23	100,310.56	100,290.63	100,263.74	100,314.64
Discounted	47,500.45	32,664.70	58,320.03	47,502.13	32,666.02	58,322.67	47,500.52	32,772.39	58,152.04	47,502.19	32,664.65	58,195.14
HBV cases ^c	99,557	32,053	232,350	3260	669	5189	96,688	30,063	227,095	391	85	655
HCV cases ^c	47,618	14,920	223,784	3536	793	12,377	44,507	15,295	215,099	425	94	1492
HIV cases ^c	5650	1005	15,290	18	3	45	5634	1107	15,330	2	0	9
Incremental cost-e	ffectiveness rat	tio (ICER), soci	ietal perspectiv	<i>e</i>								
Cost per life-year §	gained (INR)											
Undiscounted		I	I	24,929	- 2706	89,821	3954,413	1332,517	13,273,290	93,079	20,105	319,995
Discounted		Ι	I	64,973	4202	220,958	8234,885	2733,742	3,0242,937	209,398	51,955	718,189
Cost per QALY gained (INR)												
Undiscounted		Ι	Ι	24,086	- 2616	86,855	3818,170	1286,433	12,806,856	90,022	19,436	309, 193
Discounted		Ι	Ι	61,028	3972	207,815	7768,215	2569,822	28,777,642	196,135	48,676	673,056
<i>INR</i> Indian Nation ^a Life-years and Q^{I} ^b Base value report	al Rupee, <i>LL</i> lc MLYs were estin ed for health ou	ower limit, <i>UL</i> mated for a life utcomes is outp	upper limit stime horizon out of determin	istic model, wh	ereas base valu	le reported for	ICER is media	n value of simu	lated results fr	om probabilist	ic model	

Table 3 Health outcomes in different model arms and cost-effectiveness of safety-engineered syringes for India

^cBlood-borne infections, i.e., hepatitis B, hepatitis C and HIV were estimated for the time period from 2017–2036



Fig. 5 Probability of cost-effectiveness of reuse prevention syringes in India at varying willingness-to-pay thresholds

respectively (Supplementary Appendix, Section E, Figs. 12 and 13). In the context of Punjab, RUP will become cost saving at a procurement cost per unit of INR 1.4 (Supplementary Appendix, Section E, Fig. 14). Similarly, SIP and

RUP + SIP will become cost-effective if procured at a cost of less than INR 1.15 and 3.7 per unit or below, respectively (Supplementary Appendix, Section E, Figs. 15 and 16).



Note: Uncertainty ranges for selected parameters used in sensitivity analysis are given in table 1 of main manuscript

Fig. 6 Tornado diagram for sensitivity of incremental cost-effectiveness ratio (INR) for reuse-prevention syringes with variation in key parameters

4 Discussion

It is evident that implementing RUP, SIP and RUP+SIP will prevent new BBIs due to unsafe injections. The reduction in BBIs results in an increase of 1.58, 0.062 and 1.64 million life-years and 1.67, 0.066 and 1.74 million QALYs with RUP, SIP and RUP+SIP, respectively. We found that implementing SES will save INR 4.7 billion (US\$72.4 million), INR 0.286 billion (US\$4.4 million) and INR 4.9 billion (US\$75.3 million) annually with the use of RUP, SIP and RUP+SIP, respectively, on account of treatment cost averted. Our findings showed that only the RUP syringe was cost-effective in the Indian context. The unit cost of SES (RUP) was the major determinant of overall costs, varying which we found that RUP intervention will become cost saving strategy, if procured at a unit cost INR 1.9 or lower.

SES has been adopted by many countries for therapeutic care. The USA imposed a Federal Needlestick Safety and Prevention Act in 2000 [87]. As per the European Union Council Directive of 2010, EU countries were required to incorporate this act into municipal law, and adopt preventive measures against NSIs for healthcare workers [88]. In Canada, the Occupational Health and Safety Act was introduced in 2007 in Ontario [89]. In 2011, the Japanese Ministry of Health, Labour and Welfare encouraged the adoption of appropriate infection control measures targeting healthcare workers, including investigations into the implementation of safety equipment designed to prevent NSIs. However, healthcare institutions in Japan had full autonomy with regard to the implementation of safety-engineered devices (SEDs) [90]. A few more countries like South Africa, Brazil and Taiwan attempted using SES but primarily in the immunization

sector [91, 92]. In 2008, India also implemented the use of AD syringes in the immunization sector [25].

4.1 Comparison of Findings

Very few studies have been carried out that assess the costeffectiveness of SES. Moreover, differences in methodologies lead to difficulty in comparability [27]. For example, in a recent Japanese study, SEDs were compared with the use of winged steel needles, catheter stylets, insulin pens, etc. In the base case, this study reported an additional reduction in NSIs by 0.013% with use of SED winged needles compared to non-SED winged needles. This study did not recommend the use of SEDs in the hospital setting, with an estimated ICER of US\$2633 per NSI avoided. Another study conducted in Belgium had similar limitations to the Japanese study [93]. In 5 years, the Belgian study reported a reduction in the incidence of BBIs of 75%, compared to 96% with the use of RUP syringes over 20 years in our analysis [93]. The higher reduction of BBIs in our analysis could be due to the higher prevalence of NSIs and reuse in India.

A study carried out in 2003 for six WHO regions assessed the cost-effectiveness of policies with regard to safe injection use [13], and concluded that single-use equipment is a costeffective strategy for preventing unsafe injection use, i.e., reuse prevention. Similar to the findings of this study, we found that the major determinant of cost in the intervention scenario is price of the device [13].

4.2 Strengths

The existing evidence on cost-effectiveness of SES from developed countries has several limitations. First, most



[®]The incremental cost-effectiveness ratio (ICER) per quality adjusted life year (QALY)

studies estimated the incremental costs of introducing SES per NSI averted but did not quantify benefits in terms of LY or QALY [13, 27, 93, 94]. Moreover, with different baseline NSI rates between India and other developed countries, such estimates on cost-effectiveness are not generalizable. Second, these studies did not consider reuse prevention to model benefits of SES introduction [27, 93, 94]. In contrast, India has a high incidence of syringe reuse, and hence this needs to be incorporated [45, 46]. Third, the majority of studies were undertaken using a hospital perspective [27, 93, 94]; however, an Indian analysis needs to take a societal perspective, given the patterns of health financing, which is largely driven by OOP expenditure. We corrected all these limitations in our analysis. Lastly, we also modelled the secondary HBV and HIV infections transmitted through the heterosexual route among the partner population of those who get infected as a result of unsafe injection use.

4.3 Limitations

First, though we considered a societal perspective for this evaluation, we did not account for the productivity losses for treatment of BBIs or premature mortality due to unsafe injection use among the HCP and patient population. Second, our model does not account for dynamic effects in terms of natural reduction in the prevalence of BBIs among the population in future years. Third, the pattern of unsafe (or safe) practices is dependent on the number of years of experience of a HCP and, so, NSI rates may vary with varying years of experience [95–97]. However, we considered an average risk of NSIs. Fourth, though we modelled secondary infections for BBIs through heterosexual intercourse among the spouses of the population infected due to unsafe injection, we did not consider other modes of transmission such as needle sharing or homosexual intercourse. Moreover, due to insufficient data, we did not model secondary infections due to HCV. Fifth, due to the unavailability of QOL data in the Indian context, we used the international data on QOL scores for the health states in three diseases considered. Furthermore, we did not have enough resources to carry out primary data collection for QOL assessment in our study. Lastly, there is a need for better estimates on reuse rates because costeffectiveness of RUP is primarily attributable to prevention of reuse of syringes. Currently the data are available for only a few states with high variation. More research is recommended to address these limitations in future.

5 Conclusion and Recommendations

Our findings suggest RUP use for therapeutic care is costeffective in the Indian context. However, SIP and RUP + SIP are not cost-effective at current prices. In view of the above conclusions, RUP should be considered for therapeutic care in India. The price of these SES should be reduced either through price negotiation using bulk purchasing or through price regulation by central agencies such as NPPA.

Author Contributions Study Concept: SP, RKD, CL, HDG, HB. Study Design: SP, PB. Project Administration: SP, RKD, AKA. Decision Model: SP, PB, RKD, AKA. Review of Literature: SP, PB, VS. Data Inputs: RKD, MPK, RB, AKA. Mathematical model: PB, SP. Validation of Estimates: SP, RKD, CL, MPK, AKA. First draft: PB, SP. Review and Editing: SP, PB, CL, RKD, MPK, AKA, RB, HDG, HB, VS.

Availability of Data and Material All the data are provided in the main manuscript and Supplementary Material.

Compliance with Ethical Standards

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Conflict of interest Pankaj Bahuguna, Shankar Prinja, R.K. Dhiman, Madhumita Prem Kumar, Vineeta Sharma, A.K. Aggarwal and Rajesh Bhaskar declare they have no conflicts of interest.

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