

ORIGINAL RESEARCH

# Serum Cortisol, Nesfatin-I, and IL-I $\beta$ : Potential Diagnostic Biomarkers in Elderly Patients with Treatment-Resistant Depression

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**Aim:** Treatment-resistant depression (TRD) affects approximately 30% of patients with major depressive disorder (MDD), especially elderly patients. As individuals with TRD are at an increased risk of committing suicide and pose a higher risk of relapse, early diagnostic biomarkers of TRD and a better understanding of the resistance mechanism are highly needed. This study aimed to determine whether serum cortisol, nesfatin-1, and pro-inflammatory cytokines can be used as biomarkers for the diagnosis of elderly patients with TRD.

**Methods:** Thirty elderly patients with TRD were selected as the TRD group. Thirty elderly patients with MDD who were effectively treated with conventional antidepressants were selected as the non-TRD group. The baseline levels of serum cortisol, nesfatin-1, and pro-inflammatory cytokines were measured and compared, and their diagnostic values were evaluated using the receiver operating characteristic (ROC) curve method for discriminating patients with TRD from those without TRD.

**Results:** Serum cortisol, C-reactive protein (CRP), tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ), and interleukin-6 (IL-6) levels were significantly higher in the non-TRD and TRD groups than in the control group. Moreover, serum cortisol, CRP, TNF- $\alpha$ , and IL-6 levels in the TRD group were significantly lower than those in the non-TRD group. Furthermore, serum nesfatin-1 levels in the non-TRD group were significantly lower than those in the control and TRD groups, while the serum IL-1 $\beta$  levels in the non-TRD group were significantly higher than those in the control and TRD groups. Additionally, an ROC analysis revealed an area under the curve (AUC) of 0.929 for the combination of nesfatin-1 and IL-1 $\beta$  and an AUC of 0.956 for the combination of cortisol, nesfatin-1, and IL-1 $\beta$  in discriminating elderly patients with TRD from those without non-TRD.

**Conclusion:** Serum cortisol, nesfatin-1, and IL-1 $\beta$  may be potential diagnostic biomarkers for discriminating elderly patients with TRD from those without TRD.

**Keywords:** treatment-resistant depression, nesfatin-1, cortisol, pro-inflammatory cytokine, diagnosis, elderly

#### Introduction

Depression is one of the most common mental disorders and the leading cause of functional disabilities.<sup>1</sup> The latest nationwide epidemiological survey in China showed that the lifetime prevalence of depression is 6.9%.<sup>2</sup> Antidepressants are the first-line treatment for depression; however, up to 20–30% of patients do not achieve remission after standardized antidepressant treatment, leading to the development of treatment-resistant depression (TRD).<sup>3,4</sup> TRD is defined as the failure to achieve an adequate response after treatment with at least two antidepressants administered at an adequate dose and

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duration. 4,5 It has been reported that TRD contributes substantially to the risk of recurrence of depression and high suicide rates, as well as increasing the healthcare costs. <sup>6,7</sup> Taken together, the fact that depression in the elderly may be more difficult to treat than in other populations, 8,9 finding sensitive and specific early diagnostic biomarkers, and understanding the mechanisms involved in the development and progression of TRD in elderly patients are a major issue in need of urgent resolution. Alterations in biological indicators, such as monocyte chemoattractant protein-1, 10 lysophosphatidic acid, 11 interferon-gamma, 12 insulin-like growth factor-1, 13 amino acids, 14 and non-enzymatic antioxidants, 14 are thought to be effective tools for understanding the pathophysiology and management of major depressive disorder (MDD), suggesting that biological indicators may be used as biomarkers for the diagnosis of patients with TRD.

Several lines of evidence demonstrate that depression is associated with the disruption of the hypothalamic-pituitaryadrenal (HPA) axis, as indicated by an increase in cortisol secretion. 15,16 Preclinical studies have confirmed that hyperactivity of the HPA axis is observed in animal models of depression, such as maternal separation, <sup>17</sup> chronic restraint stress, 18 and chronic unpredictable mild stress. 19 Clinical studies have suggested that persistently elevated cortisol levels are associated with poorer treatment outcomes in patients with depression. <sup>20,21</sup> Therefore, it is rational to assume that cortisol may act as a potential diagnostic biomarker for discriminating elderly patients with TRD from those without TRD.

As a metabolic disorder, depression has been associated with anorexigenic or orexigenic peptides. <sup>22,23</sup> Nesfatin-1 is a recently discovered anorexigenic peptide that is found to be increased in the peripheral blood of patients with MDD.<sup>24</sup> Moreover, a positive relationship has been observed between blood nesfatin-1 levels and the severity of depression. 25,26 However, a recent study showed that the serum nesfatin-1 levels were significantly lower in MDD patients with suicidal ideation than in healthy volunteers, and there was a negative correlation between the scores of suicidal ideation and nesfatin-1 levels.<sup>27</sup> These contradictory results suggest that there may be differences in the blood nesfatin-1 levels between patients with different stages and subtypes of depression. Thus, in the present study, the potential diagnostic value of serum nesfatin-1 in elderly patients with TRD was investigated.

There is emerging evidence of a link between inflammation and depression in elderly patients. Older individuals with higher interleukin-6 (IL-6) levels exhibit a higher risk of depression.<sup>28</sup> Moreover, older adults with chronic elevation in C-reactive protein (CRP) over a two-decade period spanning middle to late life were observed to experience greater symptoms of depression than general older adults.<sup>29</sup> Depressed patients with different levels of inflammatory cytokines may respond differently to treatment. Numerous studies have demonstrated that patients with depression and elevated levels of inflammation are less likely to respond to conventional antidepressant therapies. 30-32 More recently, dysregulated inflammatory activity has been suggested to be a distinguishing feature between patients with and without TRD.<sup>33</sup> Given that the inflammatory factors most closely related to depression are CRP, tumor necrosis factor-alpha (TNF-α), IL-6, and IL-18, 34-36 whether these inflammatory factors could serve as objective and reliable biological indicators for the diagnosis of elderly patients with TRD was evaluated in the present study.

Considering the dysfunction of the HPA axis, together with the crucial role of nesfatin-1 and pro-inflammatory cytokines in the pathophysiology and treatment resistance of depression, the aim of the present study was to investigate whether serum cortisol, nesfatin-1, and pro-inflammatory cytokines could be used as diagnostic biomarkers to discriminate elderly patients with TRD from those without TRD. The baseline levels of serum cortisol, nesfatin-1, and pro-inflammatory cytokines in healthy controls, patients with TRD, and patients without TRD were measured and compared. The diagnostic values of serum cortisol, nesfatin-1, and pro-inflammatory cytokines in discriminating elderly patients with TRD from those without TRD were evaluated using the receiver operating characteristic (ROC) curve method.

### **Materials and Methods**

### Study Design and Participants

This study was conducted at the Department of Geriatric Psychology, Hefei Fourth People's Hospital, Anhui Mental Health Center between December 2019 and October 2021. Elderly patients with depression were screened via psychiatric interviews by an experienced researcher in accordance with the guidelines of the structured clinical interview according to the Diagnostic and Statistical Manual for Psychiatric Disorders-Fourth Version (DSM-IV). The common criteria for

inclusion were as follows: (1) age  $\geq$  60 years; (2) meeting the diagnostic criteria of depression listed in the DSM-IV; and (3) Hamilton Depression Rating Scale-24 (HAMD-24) scores > 21. The patients included in the TRD group needed to meet the criteria for TRD: treatments over 3 months with two or more antidepressants with different chemical structures in sufficient quantity are ineffective. Patients included in the non-TRD group met the following criteria: after antidepressant treatment, a satisfactory therapeutic response (defined as a reduction in the HAMD-24 score by at least 50%, with a total score of 12 or less) was achieved. The exclusion criteria were as follows: (1) other major psychiatric disorders or neurodegenerative illness history; (2) substance abuse (drug, caffeine, nicotine, alcohol, or others); (3) serious heart, brain, liver, kidney, immune disorders, obesity, poor nutrition (Body Mass Index (BMI) < 20 kg/m²), acute and chronic infection; and (4) received electroconvulsive treatment. A total of 60 elderly patients with depression, including 30 patients with TRD and 30 patients without TRD, were included. Thirty healthy volunteers were included as the control group. This procedure was approved by the ethics committee of the Anhui Mental Health Center and was conducted according to the principles of the Declaration of Helsinki. Informed consent was obtained from all the participants.

### Measurements

Blood samples from the three groups were collected from the participants' vein between 7:00 A.M. and 8:00 A.M., and immediately centrifuged at  $1200 \times g$  for 10 min at 4°C. The supernatant was extracted as a serum sample. The extracted serum was stored at -80°C until detection. The blood samples were collected from the patients in the TRD and non-TRD groups at the time of admission to the hospital before the initiation of any medical treatment. Commercially available enzyme-linked immunosorbent assay (ELISA) kits were used to measure the serum concentrations of cortisol, nesfatin-1, CRP, TNF- $\alpha$ , IL-6, and IL-1 $\beta$  (Jianglai Bio, Shanghai, China) according to the manufacturer's instructions.

### Statistical Analysis

The data were analyzed using SPSS (version 17.0; IBM Corp., Armonk, NY, USA). The data are expressed as mean  $\pm$  standard error of the mean (SEM), and the statistical significance was set at P < 0.05. A one-sample Kolmogorov–Smirnov test showed a normal distribution of continuous variables. The differences in age and BMI among the three groups were assessed using a one-way analysis of variance (ANOVA), followed by the least significant difference (LSD) post hoc test. Sex differences among the three groups were evaluated using the chi-square test. A Student's t-test for independent samples was used to compare the HAMD-24 scores between the TRD and non-TRD groups. An analysis of covariance (ANCOVA) followed by the LSD test was performed to compare differences in the cortisol, nesfatin-1, CRP, TNF- $\alpha$ , IL-6, and IL-1 $\beta$  levels among the three groups, controlling for age by using this variable as a covariate. A receiver operating characteristic (ROC) curve analysis was used to evaluate the area under the curve (AUC) of cortisol, nesfatin-1, CRP, TNF- $\alpha$ , IL-6, and IL-1 $\beta$ to discriminate elderly patients with TRD from those without TRD.

### **Results**

## Demographic and Clinical Characteristics of the Participants

The baseline demographic and clinical characteristics of participants in each of the study groups (control, non-TRD, and TRD groups) are shown in Table 1.

There were no significant differences in the BMI (F = 0.247, P = 0.782) or sex ( $\chi^2 = 1.796$ , P = 0.407) among the three groups. Age was significantly higher in the non-TRD and TRD groups than in the control group (F = 0.8.417, P < 0.001; Table 1).

In terms of the HAMD-24 score, the mean HAMD-24 score in the non-TRD group was slightly lower than that in the TRD group (t = -2.498, P = 0.015; Table 1).

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Table I Comparison of Age, BMI, Gender, and HAMD-24 Scores Among the Three Groups

Variables	Control	Non-TRD Group	TRD Group	Flχ²	P
Age	60.00±0.69	66.33±0.55**	66.40±1.39**	8.417	< 0.001
BMI (kg/m <sup>2</sup> )	23.49±0.32	22.81±0.93	23.03±0.70	0.247	0.782
Gender (female/male)	16/14	21/9	19/11	1.796	0.407
HAMD-24 score	-	30.77±0.63	32.87±0.55 <sup>#</sup>	-2.498	0.015

**Notes**: \*\*P < 0.01, compared to control group; \*\*P < 0.05, compared to non-TRD group.

Abbreviations: BMI, body mass index; HAMD-24, Hamilton Depression Rating Scale-24; TRD, treatment-resistant depression.

### Serum Levels of Cortisol, Nesfatin-I, and Pro-Inflammatory Cytokines of the Participants

To eliminate the effect of age, an analysis of covariance (ANCOVA) was performed to compare the differences in the serum levels of cortisol, nesfatin-1, and pro-inflammatory cytokines among the three groups, controlling for age by using this variable as a covariate.

As shown in Figure 1A, the serum cortisol levels in the non-TRD and TRD groups were significantly higher than those in the control group. Moreover, the serum cortisol levels in the TRD group were significantly lower than those in the non-TRD group.

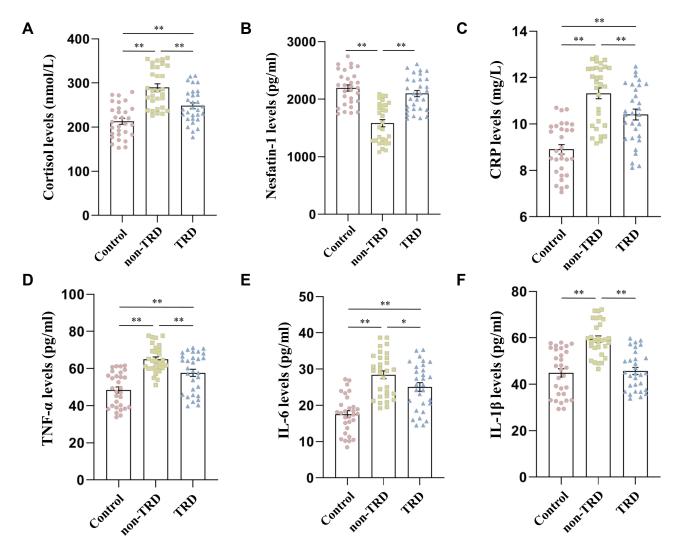


Figure 1 Comparison of serum levels of cortisol, nesfatin-1, CRP, TNF- $\alpha$ , IL-6, and IL-1 $\beta$  among the three groups. (**A**) Comparison of cortisol levels among the three groups; (**B**) comparison of nesfatin-1 levels among the three groups; (**C**) comparison of CRP levels among the three groups; (**D**) Comparison of TNF- $\alpha$  levels among the three groups; (**E**) comparison of IL-6 levels among the three groups; (**F**) comparison of IL-1 $\beta$  levels among the three groups. The data are presented as the mean  $\pm$  SEM. \*P < 0.05, \*\*P < 0.01.

Figure 1B shows that the serum nesfatin-1 levels in the non-TRD group were lower than those in the control group. The serum nesfatin-1 levels were significantly higher in the TRD group than in the non-TRD group.

In terms of pro-inflammatory cytokines, the serum CRP (Figure 1C), TNF- $\alpha$  (Figure 1D), and IL-6 (Figure 1E) levels were significantly increased in the non-TRD and TRD groups compared to the control group. Moreover, the serum CRP, TNF- $\alpha$ , and IL-6 levels in the TRD group were significantly lower than those in the non-TRD group. The serum IL-1 $\beta$  levels in the non-TRD group were significantly higher than those in the control and TRD groups (Figure 1F).

# Diagnostic Values of Serum Cortisol, Nesfatin-I, and Pro-Inflammatory Cytokines in Discriminating Elderly Patients with TRD from Those without TRD

As shown in Figure 2A and Table 2, an ROC analysis indicated the potential diagnostic value of serum cortisol concentrations in discriminating elderly patients with TRD from those without TRD, with an AUC of 0.754 (P = 0.001; 95% CI: 0.635-0.874).

As shown in Figure 2B and Table 2, the ROC analysis indicated the potential diagnostic value of serum nesfatin-1 concentration in discriminating elderly patients with TRD from those without TRD, with an AUC for nesfatin-1 was  $0.846 \ (P < 0.001; 95\% \ CI: 0.751-0.940)$ .

In terms of pro-inflammatory cytokines, the AUCs of CRP, TNF- $\alpha$ , IL-6, and IL-1 $\beta$  levels were 0.697 (P = 0.009; 95% CI: 0.563–0.830; Figure 2C and Table 2), 0.671 (P = 0.023; 95% CI: 0.533–0.809; Figure 2D and Table 2), 0.642 (P = 0.058; 95% CI: 0.503–0.782; Figure 2E and Table 2), and 0.884 (P < 0.001; 95% CI: 0.804–0.964; Figure 2F and Table 2), respectively.

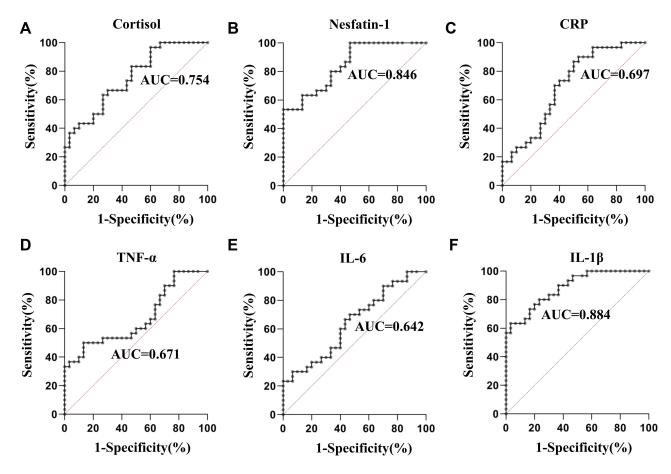


Figure 2 ROC curve of serum cortisol, nesfatin-1, CRP, TNF- $\alpha$ , IL-6, and IL-1 $\beta$  in the identification of patients with TRD from those without TRD. (**A**) ROC curve of cortisol; (**B**) ROC curve of nesfatin-1; (**C**) ROC curve of CRP; (**D**) ROC curve of TNF- $\alpha$ ; (**E**) ROC curve of IL-6; (**F**) ROC curve of IL-1 $\beta$ .

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**Variables AUC** Cut-Off Sensitivity (%) Specificity (%) **PPV (%) NPV (%)** 0.754 70 Cortisol 263.16 66.7 69.0 67.7 Nesfatin-I 0.846 1629.95 100 53.3 68.2 100 CRP 0.697 11.79 86.7 50 65 75 TNF-α 78.9 0.671 58.52 50 86.7 63.4 IL-6 0.642 28.64 66.7 56.7 60.6 63.0 IL-Iβ 0.884 52.03 76.7 79.3 77.4

Table 2 ROC Analysis of Various Indicators in Identification of TRD Patients from Non-TRD Patients

**Abbreviations**: ROC, receiver operating characteristic; TRD, treatment-resistant depression; AUC, area under the curve; PPV, positive predictive value; NPV, negative predictive value; CRP, C-reactive protein; TNF- $\alpha$ , tumor necrosis factor- $\alpha$ ; IL-6, interleukin-6; IL-1 $\beta$ , interleukin-1 $\beta$ .

Regarding the ROC analysis, an AUC greater than 0.7 was considered to have enough discriminatory power.<sup>37</sup> Thus, the above results suggest that serum cortisol, nesfatin-1, and IL-1 $\beta$  may be potential novel biomarkers for discriminating elderly patients with TRD from those without TRD.

# Diagnostic Values of the Combination of Serum Cortisol, Nesfatin-1, and IL-1 $\beta$ in Discriminating Elderly Patients with TRD from Those without TRD

Combining the detection of different biomarkers has been reported to be a useful strategy in several studies because it can increase the sensitivity and specificity of each biomarker. When the test results for cortisol, nesfatin-1, and IL-1 $\beta$  were considered jointly, the ROC analysis revealed an AUC of 0.898 (P < 0.001; 95% CI: 0.823–0.973) for the combination of cortisol and nesfatin-1 (Figure 3A), an AUC of 0.917 (P < 0.001; 95% CI: 0.848–0.985) for the combination of cortisol and IL-1 $\beta$  (Figure 3B), an AUC of 0.929 (P < 0.001; 95% CI: 0.870–0.988) for the combination of nesfatin-1 and IL-1 $\beta$  (Figure 3C), and an AUC of 0.956 (P < 0.001; 95% CI: 0.912–0.999) for the combination of cortisol, nesfatin-1, and IL-1 $\beta$  (Figure 3D).

### **Discussion**

In the present study, we demonstrated that the serum cortisol, CRP, TNF- $\alpha$ , and IL-6 levels in the non-TRD and TRD groups were significantly higher than those in the control group. Moreover, the serum cortisol, TNF- $\alpha$ , and IL-6 levels in the TRD group were significantly lower than those in the non-TRD group. Furthermore, the serum nesfatin-1 levels in the non-TRD group were significantly lower than those in the control and TRD groups, while the serum IL-1 $\beta$  levels in the non-TRD group were significantly higher than those in the control and TRD groups. Additionally, an ROC analysis revealed an AUC of 0.956 for the combination of cortisol, nesfatin-1, and IL-1 $\beta$  in discriminating elderly patients with TRD from elderly patients without TRD. These results suggest that cortisol, nesfatin-1, and IL-1 $\beta$  may be potential novel diagnostic biomarkers for discriminating elderly patients with TRD from those without TRD, and a combination of these indicators could improve the accuracy of diagnosis.

Accumulating evidence suggests that biological indicators in peripheral blood samples, including brain-derived neurotrophic factor,<sup>38</sup> glial cell line-derived neurotrophic factor,<sup>39</sup> IL-7,<sup>40</sup> IL-6 and CRP levels,<sup>41</sup> malondialdehyde,<sup>42</sup> cortisol,<sup>42</sup> macro-minerals, and trace elements<sup>43</sup> have been analyzed to identify the biomarkers of depression. The present study further evaluated the possibility of using biological indicators in peripheral blood samples as diagnostic biomarkers to discriminate elderly patients with TRD from those without TRD.

It has been well demonstrated that patients with depressive disorders exhibit HPA axis perturbations with elevated cortisol levels. 44 Consistently, in the present study, the serum cortisol levels of patients with depression in the non-TRD and TRD groups were higher than those of the control group, providing additional data linking cortisol to depression. Several studies have reported conflicting results regarding the relationship between cortisol level and antidepressant efficacy. Increased cortisol levels have been reported to be associated with a poor response to treatment of depressive episodes, 45,46 while other studies have observed higher cortisol concentrations as a predictor of improved therapy response in depression. 47,48 The results of the present study showed that the serum cortisol levels of patients with TRD were significantly lower than those of patients without TRD. Moreover, the results of the ROC curve analysis

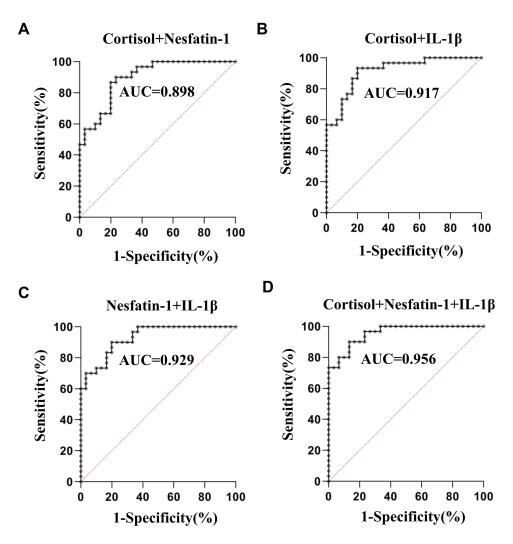


Figure 3 ROC curve for the combination of cortisol, nesfatin-1, and IL-1 $\beta$ in the identification of patients with TRD from those without TRD. (**A**) ROC curve for the combination of cortisol and nesfatin-1; (**B**) ROC curve for the combination of cortisol and IL-1 $\beta$ ; (**C**) ROC curve for the combination of nesfatin-1 and IL-1 $\beta$ .

showed that the AUC for serum cortisol was 0.754 to differentiate patients with TRD from those without TRD. Taken together, these results suggest that patients with TRD with an intermediate cortisol level (higher than healthy subjects, but lower than those without TRD) may be associated with treatment resistance. Notably, higher serum cortisol levels in patients without TRD reflected a good antidepressant effect in the present study. The reasons for these conflicting relationships between cortisol and antidepressant efficacy remain unclear but might be due to several reasons: (1) age differences. The participants of the present study were elderly patients. Recent studies have indicated that age may moderate the relationship between cortisol levels and antidepressant treatment response. (2) Different measured samples. Since salivary cortisol provides a unique window into the recent history of an individual's HPA function, some studies have evaluated the relationship between the HPA axis and antidepressant treatment outcomes by examining the cortisol level in saliva. The present study examined the serum cortisol levels. (3) Different disease severities and subtypes of the disease. Increased cortisol levels have been reported in first-episode patients, but not in patients with recurrent MDD. The phenomenon by which higher serum cortisol levels in patients without TRD reflect favorable antidepressant effects might be explained by our unique patient sample, with predominantly elderly patients with TRD in the present study. However, the specific mechanisms underlying these findings remain unclear and require further investigation.

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Nesfatin-1, a newly identified potent anorexigenic 82-amino acid peptide, plays an important role in the central nervous system and peripheral tissues.<sup>52</sup> Accumulating evidence has suggested a close relationship between nesfatin-1 and depression.<sup>53</sup> It has been indicated that the plasma nesfatin-1 levels in adult patients with depression were higher than those in the control group.<sup>54</sup> In contrast, the nesfatin-1 levels were significantly lower in adolescents with first-episode drug-naïve unipolar depression than healthy controls.<sup>55</sup> To the best of our knowledge, this study is the first to evaluate the nesfatin-1 levels in elderly patients with and without TRD. The results showed that the serum nesfatin-1 levels in the non-TRD group were significantly lower than those in the control group, which is consistent with a study on adolescents.<sup>55</sup> Moreover, we found that the serum cortisol levels of the TRD group were significantly lower than those of the non-TRD group, indicating a potential diagnostic value of serum nesfatin-1 concentrations in discriminating patients with TRD from those without TRD. The reasons for these conflicting results regarding the differences in nesfatin-1 levels in depression remain unclear but might be due to age differences.

Antidepressant treatment resistance is associated with increased pro-inflammatory cytokine levels in patients with depression. Specifically, it has been reported that relationships exist between high levels of CRP, TNF-α, and IL-6 and resistance to standard antidepressant therapy. Similarly, the present study found that the serum CRP, TNF-α, and IL-6 levels were significantly elevated in the TRD group than in the control group. However, the serum CRP, TNF-α, IL-6, and IL-1β levels were significantly higher in elderly patients without TRD than in those with TRD, suggesting that the subdivision of depressive patients according to the high levels of pro-inflammatory cytokines may predict outcomes with antidepressants. In other words, elderly depressed patients with mildly elevated levels of pro-inflammatory cytokines relative to healthy controls may confer an increased risk of poor outcomes during antidepressant treatment, while elderly depressed patients with markedly elevated levels of pro-inflammatory cytokines relative to healthy controls may have a good therapeutic effect. Currently, we are unable to explain these contradictory and notable findings; therefore, a further study with a larger sample size is needed to confirm these findings, and further in vitro and in vivo studies are needed to elucidate the possible mechanisms underlying these findings.

Our study has two strengths. This is the first study to investigate whether serum cortisol, nesfatin-1, and pro-inflammatory cytokines can be used as diagnostic biomarkers in discriminating elderly patients with TRD from those without TRD. In addition, we have confirmed that a combination of these indicators could improve the accuracy of diagnosis.

This study has certain limitations. First, the sample size was relatively small and all subjects were recruited from a single hospital. Second, owing to the cross-sectional study design, the causal association between these indicators and TRD could not be determined. Third, another limitation of our study is the long period over which the samples were collected. Fourth, the cortisol levels were assessed only at one time point. It has been shown that cortisol levels peak near the time of awakening, after which they decrease until bedtime, with the exception of a small spike at lunchtime. Thus, the differences in the cortisol levels among the groups should be evaluated at several time points.

In conclusion, this study is the first to reveal that serum cortisol, nesfatin-1, and IL- $1\beta$  might be potential novel diagnostic biomarkers for discriminating elderly patients with TRD from those without TRD, and a combination of these indicators could improve the accuracy of diagnosis. Multicentric studies with larger sample sizes are required to validate these findings in the future.

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### **Disclosure**

The authors report no conflicts of interest in this work.

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