

Limited impact of Delta variant's mutations in the effectiveness of neutralization conferred by natural infection or COVID-19 vaccines in a Latino population

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1 **Abstract**

2 The SARS-CoV-2 pandemic has impacted public health systems all over the world. The
3 Delta variant seems to possess enhanced transmissibility, but no clear evidence suggests
4 it has increased virulence. Our data shows that pre-exposed individuals had similar
5 neutralizing activity against the authentic COVID-19 strain and the Delta and Epsilon
6 variants. After one vaccine dose, the neutralization capacity expands to all tested variants.
7 Healthy vaccinated individuals showed a limited breadth of neutralization. One vaccine
8 dose induced similar neutralizing antibodies against the Delta compared to the authentic
9 strain. However, even after two doses, this capacity only expanded to the Epsilon variant.

10 **Background**

11 Coronavirus disease 2019 (COVID-19), caused by the novel severe acute respiratory
12 syndrome coronavirus 2 (SARS-CoV-2), is responsible for the most recent global
13 pandemic declared by the World Health Organization (WHO) on March 11, 2020 [1]. As
14 of October 10, 2021, a total of 6,364,021,792 vaccine doses have been administered
15 worldwide [2]. Despite the tremendous milestone achieved by vaccine approval and
16 administration, SARS-CoV-2, being an RNA virus, has genetically evolved over time
17 leading to the emergence of several variants from different geographic regions [3, 4]. The
18 variant strains have developed characteristics that grant them advantages to maintain
19 viral circulation, such as higher transmissibility and infectivity [5]. Most of these genetic
20 differences are observed in the spike protein (S) region, specifically in the receptor-
21 binding domain (RBD) and the N-terminal domain (NTD). The RBD, and to some extent
22 the NTD as suggested by some evidence, is immunodominant, serving as the main
23 neutralization target by natural and vaccine-elicited antibodies [3, 6]. The Delta variant
24 was first reported in the Indian state of Maharashtra in December 2020 and harbors ten
25 mutations (T19R, G142D, 156del, 157del, R158G, L452R, T478K, D614G, P681R, D950N)
26 in the S protein [3]. Of notice, the Delta variant lacks E484Q, a significant mutation
27 associated with antibody neutralization resistance [7]. After successfully spreading
28 globally, the prevalence of the Delta variant in the USA increased from 1.3% to 94.4% by
29 July 31, 2021 while the Alpha variant decreased from 70% to 2.4% [4]. But perhaps of
30 most serious concern, the Delta variant has been associated with breakthrough infections
31 in vaccinated individuals [4]. The recent surge of cases despite extensive vaccination
32 campaigns supports the concern about low vaccine effectiveness against variants. Studies
33 are at odds regarding this topic, with some claiming that breakthrough infections are
34 more likely to occur due to viral escape from antibodies [8], while others have

35 demonstrated mRNA vaccines remain effective [9]. But still, limited studies are
36 discerning the efficacy of the natural immune response to SARS-CoV-2 vs. the mRNA
37 vaccine-elicited response. Our most recent work confirms that following a natural
38 infection, neutralizing antibody (nAbs) titers generated during infection accompanied by
39 vaccination are significantly better in function than those generated by vaccination alone
40 [10]. To this end, in this study we compared the neutralization capacity of infected
41 vaccinated individuals and healthy vaccinated ones before and after vaccination against
42 several Variants of Concern (VoC) using a surrogate viral neutralization assay [11]. Our
43 results from a Latino population indicate that, compared with vaccination, natural
44 infection induces a broader humoral response offering a wider range of protection against
45 a rapidly evolving virus. These findings have pivotal implications in the understanding of
46 the immune response to COVID-19 induced by vaccination amid emerging variants in the
47 setting of a vaccinated population, and contribute to future vaccine designs and booster
48 schedules.

49

50 **Methods**

51 **Study Samples**

52 We selected individuals infected with SARS-CoV-2 any time between March 2020 and
53 February 2021. From 59 subjects followed for months, a subgroup of 10 vaccinated
54 subjects previously exposed to SARS-CoV-2 and a subgroup of 21 healthy-vaccinated
55 volunteers, that were never exposed to SARS-CoV-2, were followed for six to eight
56 months. Vaccinated subjects received either the Pfizer-BioNTech or Moderna vaccine
57 formulations. In the exposed group, all individuals tested positive for SARS-CoV-2
58 infection by quantitative PCR with reverse transcription (qRT-PCR) or serology tests
59 (IgM and/or IgG). Serum samples from both groups were collected before vaccination

60 (baseline), and after the first and second vaccine doses (Supplementary Tables 1 and 2).
61 Samples used in this study were obtained from adult volunteers (>21 years old)
62 participating in the IRB approved clinical protocol “Molecular Basis and Epidemiology of
63 Viral infections circulating in Puerto Rico”, Pro0004333. Protocol was submitted to, and
64 ethical approval was given by, Advarra IRB on April 21, 2020. Participating volunteers
65 were recruited before the introduction of most of the SARS-CoV-2 variants were reported
66 as circulating in Puerto Rico. More specifically, the Delta variant was first detected on
67 June 15, 2021 [12].

68 **cPass SARS-CoV-2 Neutralization Antibody Detection Assay**

69 To determine the neutralizing activity of antibodies against SARS-CoV-2, we used a
70 surrogate viral neutralization test (C-Pass GenScript sVNT, Piscataway NJ, USA)
71 according to the manufacturer’s instructions [9-11]. The cutoff for this assay is set to 30%
72 of neutralization. This assay measures the antibodies blocking the RBD-ACE2 interaction
73 and from here, inhibiting viral entry into host cells. For consistency and clarity, the
74 blocking activity is referred to throughout the text as percentage of neutralization.

75 **Statistical Methods**

76 Statistical analyses were performed using GraphPad Prism 7.0 software (GraphPad
77 Software, San Diego, CA, USA). The statistical significance between or within groups was
78 determined using two-way analysis of variance (ANOVA), one-way ANOVA (Tukey’s,
79 Sidak’s, or Dunnett’s multiple comparisons test as post-hoc test), unpaired t-test, or
80 Wilcoxon–Mann–Whitney, to compare the means. The *p* values are expressed in
81 relational terms with the alpha values. The significance threshold for all analyses was set
82 at 0.05.

83

84 **Results**

85 **Natural infection induces an effective neutralization against the Delta**
86 **variant**

87 To examine the neutralization ability of sera from naturally infected individuals against
88 the Wild Type (WT) SARS-CoV-2, we evaluated baseline samples from 10 volunteers. Out
89 of the 10 subjects, eight had neutralizing activity greater than 70%, indicating the
90 presence of antibodies capable of blocking the RBD-ACE2 binding (Figure 1A and
91 Supplementary Table 3). The other two had neutralization degrees less than 70% but
92 greater than 30%. To compare the neutralizing response elicited by WT SARS-CoV-2 to
93 other virus strains, we exposed sera from those 10 individuals to six variants (Alpha, Beta,
94 Gamma, Epsilon, Kappa and Delta). As expected, the highest neutralizing capacity
95 observed was against the WT strain (Figure 1A). In comparison to the WT strain, there
96 was a significantly decreased neutralizing activity against the Beta, Gamma and Kappa
97 variants ($p = 0.0041$, $p = 0.0003$ and $p = 0.0294$, respectively). Surprisingly, no statistical
98 differences were observed between the WT strain and the Alpha, Epsilon and Delta
99 variants (Figure 1A). These results suggest that natural infection alone is capable of
100 inducing a broad humoral response to various SARS-CoV-2 strains, including the Delta
101 variant.

102 **Vaccination boosts neutralizing capacity against variants in previously**
103 **infected individuals**

104 To assess the humoral immune response to naturally acquired SARS-CoV-2 vs. the
105 mRNA-based COVID-19 vaccine elicited response, we compared the neutralizing capacity
106 of exposed and unexposed subjects after one vaccine dose. Nineteen (19) out of the 21
107 unexposed individuals (90.5%) produced nAbs (neutralization % >30) (Figure 1B and
108 Supplementary Table 4). Similarly, all previously infected individuals reached
109 neutralizing activity greater than 85% after just one vaccine dose (Figure 1C). This

110 suggests that, in pre-exposed individuals, a single vaccine dose may be sufficient to grant
111 protective immune status against WT SARS-CoV-2. When evaluating the neutralization
112 from unexposed vaccinated individuals against the six VOC, we found significant
113 differences against all except the Delta variant, in comparison with the WT SARS-CoV-2
114 ($p = 0.0075$ for Alpha, $p < 0.001$ for Beta and Gamma, $p = 0.0055$ for Epsilon and $p =$
115 0.0012 for Kappa) (Figure 1B). This suggests that the Delta variant, in our population,
116 does not escape neutralization by antibodies induced by mRNA vaccination.
117 Contrastingly, the neutralization activity in all previously exposed vaccinated individuals
118 increased against all variants with no statistical significant differences (Figure 1C).

119 **Full vaccination induces limited neutralizing activity against all tested**
120 **variants in unexposed individuals**

121 Next, we evaluated the neutralizing capacity of antibodies after two vaccine doses in both
122 previously exposed and unexposed individuals. All subjects ($n = 31$), regardless of
123 immune status before vaccination, reached neutralization levels greater than 95% against
124 WT SARS-CoV-2 after receiving a second vaccine dose (Figures 1D and E). This confirms
125 that, in most COVID-19 naïve individuals, two vaccine doses are required to attain full
126 protection. However, when exploring the neutralization against the variants, unexposed
127 individuals gained similar neutralizing activity to the WT SARS-CoV-2 only against the
128 Epsilon and Delta variants ($p = 0.0032$ for Alpha, $p < 0.001$ for Beta and Gamma, and p
129 $= 0.0035$ for Kappa) (Figure 1D). Therefore, vaccination in unexposed individuals
130 generates a neutralizing response against the Epsilon and Delta variants that is similar to
131 the response against WT SARS-CoV-2 but only after the second dose. Highly relevant,
132 even after the second dose, the neutralization against the other four variants was
133 significantly of lower magnitude compared to the WT.

134

135 **Figure 1. Neutralization capacity of sera from infected and non-infected**
136 **individuals against SARS-CoV-2 Variants before and after vaccination.** The
137 neutralization activity of sera from infected individuals (n=10) and non-infected ones (n=21)
138 before and after vaccination was evaluated against the six variants of concern. Dotted line
139 indicates the limit of detection of the sVNT assay, where the percentage of signal inhibition
140 is determined ($\geq 30\%$ indicates a positive result). A Normality test (Shapiro Wilk) was
141 performed for all data sets in order to assess the distribution of the data. The significance
142 threshold for all analyses was set at $p < 0.05$. **A.** Neutralization activity of sera from infected
143 individuals (n=10) before vaccination. A One-Way ANOVA test with Dunnett's multiple
144 comparisons test was performed between each of the variants. **B.** Neutralization activity of
145 sera from healthy individuals (n=21) after receiving the 1st vaccine dose. A One-Way ANOVA
146 test with Dunn's Kruskal-Wallis multiple comparisons test was performed between each of
147 the variants. **C.** Neutralization activity of sera from infected individuals (n=10) after receiving
148 the first vaccine dose. A One-Way ANOVA test with Dunnett's multiple comparisons test was
149 performed between each of the variants. **D.** Neutralization activity of sera from healthy
150 individuals (n=21) after receiving the 2nd vaccine dose. A One-Way ANOVA test with Dunn's
151 Kruskal-Wallis multiple comparisons test was performed between each of the variants. **E.**
152 Neutralization activity of sera from infected individuals (n=10) after receiving the 2nd
153 vaccine dose. A One-Way ANOVA test with Dunnett's multiple comparisons test was
154 performed between each of the variants. **F.** Neutralization activity of sera from vaccinated
155 individuals, pre-exposed (n=10, depicted in circles) and healthy (n=21, depicted in squares),
156 after receiving the 2nd dose was evaluated. A One-Way ANOVA test with Dunn's Kruskal-
157 Wallis multiple comparisons test was performed between each of the variants.

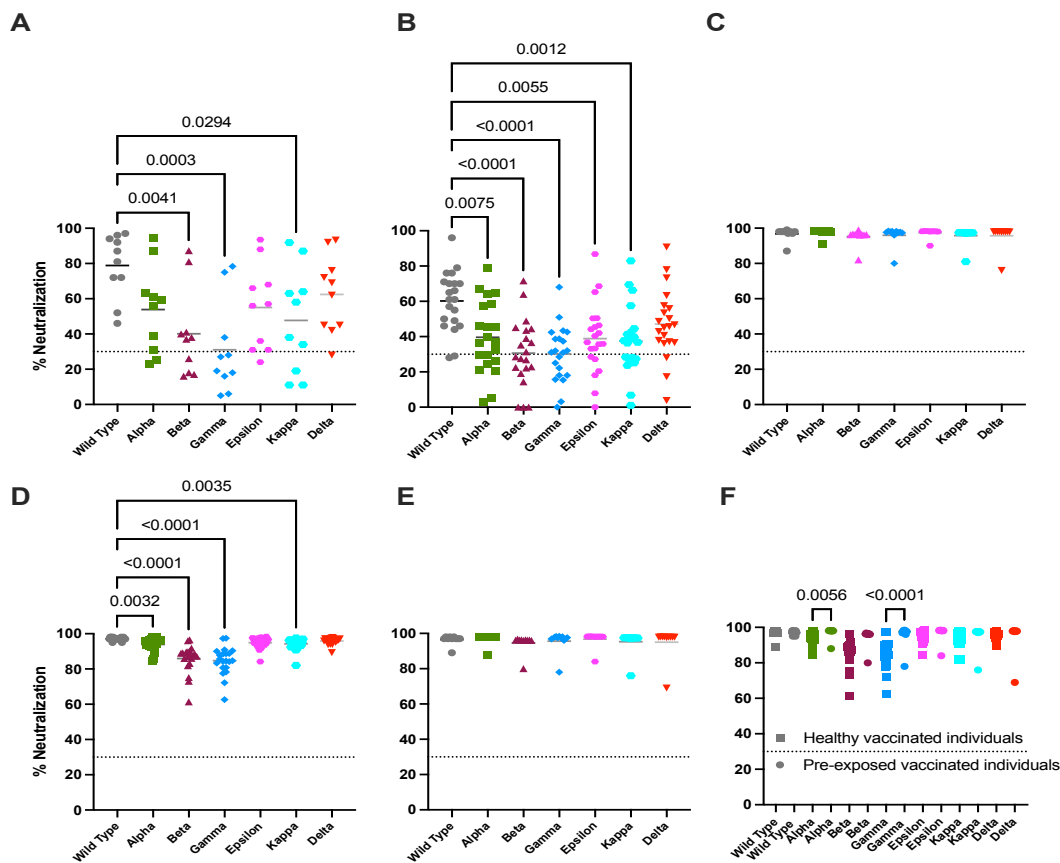
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159 On the other hand, we observed that the previously infected individuals maintained
160 neutralizing capacity against all variants similar to the response against WT SARS-CoV-2
161 strain, denoting a key difference in the dynamics of vaccine-elicited antibodies between
162 exposed vs. unexposed individuals (Figure 1E). This difference can be better appreciated
163 in Figure 1F, where both vaccinated groups are compared after receiving the second dose.
164 Of note, neutralization against the Alpha and Gamma variants did not behave similarly
165 between groups, being of higher magnitude in pre-exposed individuals ($p = 0.0056$ for
166 Alpha and $p < 0.0001$ for Gamma) (Figure 1F).

167

168 **Discussion**

169 There is still very limited information available on the immunity conferred by the natural
170 infection with the authentic SARS-CoV-2 strain or the mRNA COVID-19 vaccines against
171 the viral variants. Using samples collected during the COVID-19 pandemic, most of them
172 before the documented introduction of the variants in the jurisdiction of Puerto Rico [10,
173 12] we wished to compare the kinetics of the nAbs response in the context of individuals
174 with naturally acquired infection (pre-exposed) and unexposed ones following
175 vaccination via a widely used sVNT [10, 13-15]. Strikingly, we found that natural infection
176 before vaccination confers a broader neutralizing response against different SARS-CoV-2
177 strains, including the Delta variant, compared to the first dose of the COVID-19 mRNA
178 vaccines. These results are consistent with other reports [16-18] and highlight the need
179 for more epidemiological data about the contribution of previously exposed individuals
180 with natural-acquired immunity to herd immunity. Overall, those subjects are scarcely
181 counted in any statistical model. Highly relevant, our results also suggest that two vaccine
182 doses may induce limited protection against some of the circulating variants in naïve
183 individuals.



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Figure 1. Neutralization capacity of sera from infected and non-infected individuals against SARS-CoV-2

Variants before and after vaccination. The neutralization activity of sera from infected individuals (n=10) and non-infected ones (n=21) before and after vaccination was evaluated against the six variants of concern. Dotted line indicates the limit of detection of the sVNT assay, where the percentage of signal inhibition is determined ($\geq 30\%$ indicates a positive result). A Normality test (Shapiro Wilk) was performed for all data sets in order to assess the distribution of the data. The significance threshold for all analyses was set at $p < 0.05$. **A.** Neutralization activity of sera from infected individuals (n=10) before vaccination. A One-Way ANOVA test with Dunnett's multiple comparisons test was performed between each of the variants. **B.** Neutralization activity of sera from healthy individuals (n=21) after receiving the 1st vaccine dose. A One-Way ANOVA test with Dunn's Kruskal-Wallis multiple comparisons test was performed between each of the variants. **C.** Neutralization activity of sera from infected individuals (n=10) after receiving the first vaccine dose. A One-Way ANOVA test with Dunnett's multiple comparisons test was performed between each of the variants. **D.** Neutralization activity of sera from healthy individuals (n=21) after receiving the 2nd vaccine dose. A One-Way ANOVA test with Dunn's Kruskal-Wallis multiple comparisons test was performed between each of the variants. **E.** Neutralization activity of sera from infected individuals (n=10) after receiving the 2nd vaccine dose. A One-Way ANOVA test with Dunnett's multiple comparisons test was performed between each of the variants. **F.** Neutralization activity of sera from vaccinated individuals, pre-exposed (n=10) and healthy (n=21), after receiving the 2nd dose was evaluated. A One-Way ANOVA test with Dunn's Kruskal-Wallis multiple comparisons test was performed between each of the variants.

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209 Consistent with other works [17, 19, 20] our data confirm that subjects previously exposed
210 to SARS-CoV-2 reach levels of protection just after one vaccine dose against all tested
211 variants. Furthermore, we found a limited contribution, if any, of a second vaccine dose
212 in pre-exposed individuals. Those findings strongly suggest that humoral immunity
213 induced by natural infection results in higher quality antibodies [17, 18, 20] and
214 contributes to the expansion of memory B cells producing more cross-reactive antibodies
215 following vaccination [18]. On the other hand, we found that in naïve subjects, a single
216 dose of the COVID-19 mRNA vaccines induces the same magnitude of nAbs against the
217 Delta variant as to the WT strain. That response is improved after the second dose.
218 However, even after a second dose, the magnitude of neutralization against other variants
219 was significantly lower than that of the WT strain.

220 A recent remarkable observational study in Puerto Rico collected hospitalization, death,
221 and vaccination rates data for more than 100,000 laboratory-confirmed SARS-CoV-2
222 infections in a period of 10 months. The study found that the effectiveness of the COVID-
223 19 vaccines preventing hospitalizations or death did not change after the Delta variant
224 became dominant [12]. While that study did not segregate, at an individual level, by the
225 vaccination status of the SARS-CoV-2-positive at the time of hospitalization or death, our
226 results are perfectly aligned and provide the immunological rationale for the findings of
227 that study.

228 Recent works suggest that the Delta variant may infect vaccinated individuals, defined as
229 breakthrough infections [21]. In vitro neutralization results using monoclonal antibodies
230 argue that vaccination induces a low level of nAbs against the Delta variant [8, 18, 22].
231 However, as demonstrated by Liu and colleagues, breakthrough infections by the Delta
232 variant may be due to enhanced viral replication and infectivity, and not to antibody
233 evasion or viral immune escape [4]. This statement is reinforced by the fact that the Delta

234 variant lacks the E484Q mutation that seems to grant antibody resistance to other
235 variants [6]. Thus, it looks like that the Delta variant has developed the perfect evolution
236 balance between transmissibility and virulence to become the dominant strain in
237 circulation. However, there is limited or no data from breakthrough infections by the
238 Delta variant in vaccinated people comparing their prior immune status to SARS-CoV-2.
239 Our findings, together with prior reports on the effectiveness of the cellular immune
240 response against the variants [18, 23-25], warrant a revision of COVID-19 vaccine policies
241 implementation in subjects with prior natural immunity to SARS-CoV-2.

242 We are aware of the limitations of our study, including the small sample size and lack of
243 cellular immunity characterization. The waning of natural or vaccine-elicited immunity
244 remains a possibility outside the follow-up period carried out in this work. However, our
245 results, despite being obtained from a population of different genetic backgrounds, agree
246 with the current ongoing scenario (October 2021) in the United Kingdom (UK). A
247 rampant increase in Delta variant circulation, up 35% over the two previous weeks, has
248 been observed after all restrictions were lifted in summer 2021 [26]. However, taking into
249 account the high number of cases naturally exposed to the virus and a high vaccination
250 rate in the UK [27], as it would be anticipated by our results, the daily deaths are a tenth
251 of what they were in the prior wave [26, 28]. Considering our findings, a more challenging
252 scenario would be a predominance of other variants like Alpha, Beta, Gamma or Kappa,
253 showing limited neutralization after full vaccination with the mRNA COVID-19 vaccines.
254 To our knowledge, this is the first study conducted in a Hispanic/Latino population
255 impacted by COVID-19, and our findings are a significant contribution to the still lacking
256 population-based studies concerning virus-population dynamics in the setting of
257 vaccination and shed light on the design of the second generation COVID-19 vaccines.
258

259 **Conflict of Interest**

260 The authors declare that the research was conducted in the absence of any commercial or
261 financial relationships that could be construed as a potential conflict of interest.

262

263 **Authors Contribution**

264 CAS and AME conceptualized the work and supervised the studies and secured the funds.
265 CSC and PP supervised the work and supported the figures design. EJO and LC
266 execute the experiments. EJO, CSC, DA and CPC coordinate and supervise the cohort's
267 management and follow up. EJO, CSC and PP organized the data for future analysis. TA
268 provided administrative and regulatory support. All authors contribute to the results
269 discussion and analysis. CAS and CSC wrote the initial draft, with the other authors
270 providing insights and concepts.

271

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