

## Identification of a novel collagen type IV alpha-4 (*COL4A4*) mutation in a Chinese family with autosomal dominant Alport syndrome using exome sequencing

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**Background & objectives:** Alport syndrome (AS) is an inherited disorder characterized by glomerulonephritis and end-stage renal disease (ESRD). The aim of this study was to identify the gene responsible for the glomerulopathy in a Chinese family with autosomal dominant AS using exome sequencing.

**Methods:** A 4-generation, 30-member Chinese Han family was enrolled in this study. Exome sequencing was conducted in the proband of the family, and then direct sequencing was performed in family members of the pedigree and 100 normal controls.

**Results:** A novel frameshift mutation, c.3213delA (p.Gly1072Glufs\*69), in the collagen type IV alpha-4 gene (*COL4A4*) was found to be the genetic cause. Neither sensorineural hearing loss nor ocular abnormalities were present in the patients of this family. Other clinical features, such as age of onset, age of ESRD occurring and disease severity, varied among the patients of this family.

**Interpretation & conclusions:** A novel frameshift mutation, c.3213delA (p.Gly1072Glufs\*69) in the *COL4A4* gene, was identified in the Chinese pedigree with autosomal dominant AS. Our findings may provide new insights into the cause and diagnosis of AS and also have implications for genetic counselling.

**Key words** Alport syndrome - collagen type IV alpha-4 gene - diagnosis - frameshift mutation - genetic counselling

Alport syndrome (AS), first described by Cecil A. Alport in 1927, is an inherited kidney disorder heralded with continuous microhaematuria, which rapidly progresses to proteinuria and chronic or end-stage renal disease (ESRD) by adolescence. It is often associated with high-tone sensorineural hearing loss

and/or ocular abnormalities (dot-and-fleck retinopathy, anterior lenticonus and posterior polymorphous corneal dystrophy)<sup>1-4</sup>. The AS is considered to affect, <1/2000 individuals<sup>5</sup>, and it occurs in a variety of settings, exhibiting a widely variable clinical expression<sup>6</sup>. It is caused by defects in type IV collagen,

a major structural component of basement membranes in the kidney, ear, eye, *etc*<sup>7</sup>. Although the majority of pedigrees are X-linked due to the collagen type IV alpha-5 gene (*COL4A5*) mutations at Xq22, autosomal recessive and dominant forms of this disorder are also recognized and have been shown to be caused by mutations in the *COL4A3* and the *COL4A4* (MIM 120131), head-to-head genes on chromosome 2q36<sup>2,8</sup>. X-linked, autosomal recessive and autosomal dominant patterns of inheritance account for about 80, 15 and 5 per cent of patients with AS, respectively<sup>9</sup>. In general, the disease is more severe in males with X-linked AS and is equally severe in male and female homozygotes or compound heterozygotes in the autosomal AS<sup>4,5</sup>. Various mutations were reported to lead to a broad spectrum of disease phenotypes, ranging from mild renal insufficiency to ESRD<sup>4,10</sup>.

Mutation screening of these three large genes by Sanger sequencing is laborious, time consuming and expensive due to the broad size of these genes and lack of mutational hot spots<sup>11</sup>. The aim of this study was to identify the gene responsible for the glomerulopathy in a 4-generation Chinese Han pedigree by exome sequencing, using a fast, sensitive and cost-effective method<sup>12</sup>.

### Material & Methods

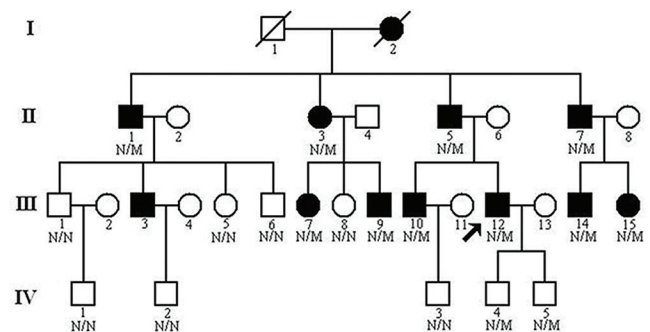
This study was conducted in the Third Xiangya Hospital, Central South University, Changsha, PR China. A 4-generation, 30-member Chinese Han family was enrolled in this study (Fig. 1). Ten members of this family including seven males and three females had symptomatic glomerulopathy. Consanguineous marriage was denied by the family members. Blood samples (10 ml) were collected from 19 members of this family, including ten patients. Blood samples were also collected from 100 ethnically matched unrelated normal controls (male/female: 50/50, age  $40.6 \pm 8.4$  yr) who were healthy volunteers, without diagnostic features of AS or family history of renal disease. The study was performed during the period of March 2012 and May 2013. The protocol of this study was approved by the Ethics Committee of the Third Xiangya Hospital, Central South University, and all participants signed informed consent.

**Clinical data:** Urinalysis and renal function evaluation were performed on all family members<sup>2</sup>. Members of this family were considered normal if urinalysis identified no more than trace amount of haematuria or proteinuria with normal renal ultrasound

examination<sup>13</sup>. Kidney biopsy was performed for the proband, a 32 yr old male with normal renal function but continuous microhaematuria (III:12, Fig. 1). Light microscopy identified global and segmental sclerosis and mesangial expansion. Electron microscopy revealed that the glomerular basement membranes (GBMs) were irregularly thickened and splitting. No immunoglobulin A deposits were identified on immunofluorescence or electron microscopy. All patients underwent auditory and ophthalmological examinations. None of the family members showed any evidence of auditory, ophthalmological abnormality or leiomyomatosis.

**Exome capture:** Genomic DNA was isolated from venous blood using standard phenol-chloroform extraction method<sup>14,15</sup>. Three micrograms of genomic DNA was used to construct the exome library. Genomic DNA of the proband (III:12, Fig. 1) was sheared by sonication and hybridized to the Nimblegen SeqCap EZ Library (Roche, USA) for enrichment, following the manufacturer's protocol. The library enriched for target regions was sequenced on the HiSeq 2000 platform (Illumina, USA) to get paired-end reads with read length of 90 bp<sup>1</sup>. A mean exome coverage of 59.56 $\times$  was obtained that provided sufficient depth to accurately call variants at 99.38 per cent of the targeted exome.

**Read mapping and variant analysis:** The human reference genome was obtained from the UCSC database (<http://www.genome.ucsc.edu/>), version hg19 (build 37.1). Sequence alignment was performed in the proband using the programme, SOAPaligner (<http://soap.genomics.org.cn/soapaligner.html>). Moreover, single nucleotide polymorphisms (SNPs) were called using SOAPsnp set with the default parameters after the duplicated reads (produced mainly in the polymerase chain reaction step) were deleted<sup>1,16</sup>. Short insertions or



**Fig. 1.** Pedigree of the family with autosomal dominant glomerulopathy. N, normal; M, collagen type IV alpha-4 c.3213delA mutation. Arrow indicates the proband.

deletions (indels) affecting coding sequence or splicing sites were identified. The thresholds for calling SNPs and short indels included the number of unique mapped reads supporting an SNP  $\geq 4$ , and the consensus quality score  $\geq 20$  (the quality score is a Phred score, generated by the program SOAPsnp1.03, quality score 20 represents 99 per cent accuracy of a base call), the estimated copy number not  $> 2$  and the distance between two SNPs larger than 5. All candidate mutations were filtered against the Single-nucleotide Polymorphism database (dbSNP137, [http://www.ncbi.nlm.nih.gov/projects/SNP/snp\\_summary.cgi](http://www.ncbi.nlm.nih.gov/projects/SNP/snp_summary.cgi)), 1000 genomes data (1000 genomes release\_20100804), HapMap project (2010-08\_phase II + III) and YanHuang1 (YH1) project<sup>11,12</sup>. SIFT prediction (<http://sift.jcvi.org>) was performed to predict whether an amino acid substitution affects the function of the protein<sup>17</sup>. Sanger sequencing was employed to validate the identified potential disease-causing variants with ABI3500 sequencer (Applied Biosystems, USA)<sup>18</sup>. Sequences of the primers were as follows: 5'-CAACTGGATCGTGTGTGCA-3' and 5'-GCAGTTTCTTTGATACTTTGC-3'.

### Results

Exome sequencing of the proband (III:12, Fig. 1) in the Chinese family with glomerulopathy was performed. A total of 5.16 billion bases of 90 bp paired-end read sequence were generated for the patient. Among the 5.16 billion bases, 5.02 billion (97.11 %) passed the quality assessment, 4.74 billion (94.45 %) aligned to the human reference sequence and 2.64 billion bases (52.59 %) mapped to the targeted bases with a mean coverage of 59.56-fold. Further, 96,772 genetic variants, including 13,800 non-synonymous variants, were identified in either the coding regions or the splice sites. A prioritization scheme was applied to identify the pathogenic mutation in the patient, similar to earlier studies<sup>19</sup>. Known variants identified in dbSNP137, 1000 genomes project, HapMap and YH1 were excluded. Applying the above strategy, the number of candidate genes was reduced by more than 89.76 per cent.

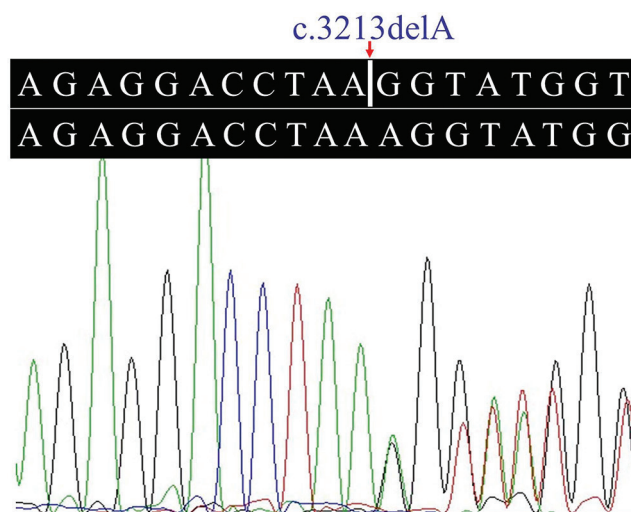
A novel frameshift variant, c.3213delA (p.Gly1072Glufs\*69), in the exon 34 of the *COL4A4* gene was identified in the proband. The frameshift mutation results in premature stop codon leading to a truncated protein. Such a variation was further confirmed by direct Sanger sequencing (Fig. 2). Mutations in other known kidney disease-causing genes were excluded. The p.Gly1072Glufs\*69 variant cosegregated with patients and male carriers

in the family, and none of the 100 ethnically matched unrelated controls carried the variant. It was also absent in an in-house database from BGI-Shenzhen with 2375 ethnically matched controls. Our data indicated that the variant, c.3213delA (p.Gly1072Glufs\*69), in the *COL4A4* gene was the disease-causing mutation in this family. Ten patients (II:1, II:3, II:5, II:7, III:7, III:9, III:10, III:12, III:14 and III:15) who carried this mutation had adult onset AS. Two (II:5 and II:7) of the 10 patients progressed to ESRD at 60 and 56 yr of age, respectively. There were two currently asymptomatic male members (IV:4 and IV:5) who carried the heterozygous p.Gly1072Glufs\*69 mutation and they were 11 and eight years old, respectively. The main clinical manifestation was microscopic haematuria, which was present in all patients. Proteinuria was present in four of 10 patients, and chronic kidney disease (CKD) occurred in two patients (Table).

### Discussion

AS is a clinically and genetically heterogeneous disorder characterized by persistent haematuria and development of ESRD at various ages<sup>7</sup>. It is a progressive hereditary nephropathy accounting for 1-2 per cent of all cases who need renal replacement therapy in Europe<sup>2,20</sup>. Alport patients have a thin, multilaminated basement membrane, which is thought to be more fragile and cause microscopic and gross haematuria. The GBM becomes disorganized and thickens with multiple interwoven layers with time<sup>6</sup>.

In our study a Chinese Han family was investigated that included 10 patients with the marked phenotypic



**Fig. 2.** Heterozygous c.3213delA mutation in the collagen type IV alpha-4 gene.

**Table.** Clinical data of *COL4A4* c.3213delA mutation carriers (n = 12)

Subject	Sex	Age (yr)	Onset age (yr)	Renal function	Microscopic haematuria	Proteinuria	Uraemia	Audiological examination	Ophthalmic examination
II:1	Male	75	45	CKD	Yes	Yes	No	Normal	Normal
II:3	Female	65	40	CKD	Yes	Yes	No	Normal	Normal
II:5	Male	61	45	ESRD since 60 yr old	Yes	Yes	Yes	Normal	Normal
II:7	Male	58	43	ESRD since 56 yr old	Yes	Yes	Yes	Normal	Normal
III:7	Female	41	35	Normal	Yes	No	No	Normal	Normal
III:9	Male	37	31	Normal	Yes	No	No	Normal	Normal
III:10	Male	34	32	Normal	Yes	No	No	Normal	Normal
III:12	Male	32	31	Normal	Yes	No	No	Normal	Normal
III:14	Male	32	24	Normal	Yes	No	No	Normal	Normal
III:15	Female	27	26	Normal	Yes	No	No	Normal	Normal
IV:4	Male	11	/	Normal	No	No	No	Normal	Normal
IV:5	Male	8	/	Normal	No	No	No	Normal	Normal

*COL4A4*, collagen type IV alpha-4 gene; CKD, chronic kidney disease; ESRD, end-stage renal disease; /, not applicable

heterogeneity of glomerulopathy. The pattern was most consistent with autosomal dominant inheritance due to male-to-male transmission. Male and female patients were equally, severely affected in this family. Exome sequencing revealed an A deletion at nucleotide 3213 (p.Gly1072Glufs\*69) in the *COL4A4* gene in the proband. Our data supported that the c.3213delA (p.Gly1072Glufs\*69) variant was pathogenic and confirmed the autosomal dominant inheritance pattern in this family. The mutation potentially shortened the  $\alpha4(\text{IV})$  chain by 551 amino acids.

The *COL4A4* gene is located at chromosome 2q36-q37. It is a large gene with 54 exons. The gene encodes the  $\alpha4$  chain (1690 amino acid residues) of type IV collagen, a major constituent of basement membranes. Although  $\alpha1$  and  $\alpha2$  chains are widely expressed in basement membranes,  $\alpha3$ ,  $\alpha4$  and  $\alpha5$  chains are specifically expressed in the glomerulus, inner ear and eye<sup>21</sup>. This family showed a milder phenotype compared to classic AS. The later onset of the development of ESRD, absence of deafness and eye signs have been described in families with autosomal dominant hereditary nephritis<sup>2,22</sup>.

Mutations in the *COL4A4* gene produce abnormal  $\alpha4(\text{IV})$  chain which fails to incorporate properly into the triple helix of type IV collagen and leads to a destabilization of the molecular superstructure. Heterozygous mutations may lead to a less severe phenotype than that caused by homozygous mutations because there is still normal  $\alpha4(\text{IV})$  chain being

produced<sup>2</sup>. In the family studied, two patients (II:5 and II:7, Fig. 1) progressed to ESRD and required dialysis since the age 60 and 56 yr, respectively.

Extrarenal manifestations were not observed in our family members, consistent with the report of carriers with heterozygous *COL4A4* mutation having no hearing loss or the ocular manifestations<sup>23</sup>. The clinical manifestations of patients were consistent with those with heterozygous *COL4A4* mutations, including late onset age of ESRD (an average onset age of ESRD of 58 yr), a lower incidence of hearing impairment and/or ocular changes<sup>2,3,20</sup>. In our study, all carriers were symptomatic except two obligate, non-penetrant boys (IV:4 and IV:5), which could be due to the young ages (11 and 8 yr). The symptomatic patients showed microhaematuria in the early decades of life and development of proteinuria, CKD and even ESRD after the age of 30 yr, but without deafness or any ocular abnormality. The phenotypic variability in this family may account for collaboration and compensation of the homologue genes, regulation of modifier genes and other acquired factors<sup>24</sup>.

Mutations in the *COL4A4* gene have been reported to cause a spectrum of GBM disorders, ranging from autosomal recessive AS to autosomal dominant AS, benign familial haematuria (BFH) and thin basement membrane nephropathy (TBMN)<sup>2,25</sup>. Two patients in our study showed the late development of familial proteinuria, CKD and ESRD, consistent with the observation of familial microscopic haematuria,



TBMN and late development of ESRD in a family with heterozygous *COL4A4* c.3854delG<sup>24</sup>. Typical AS and BFH are distinct, with the former characterized by progressive nephritis with haematuria and proteinuria, sensorineural deafness and ocular abnormalities, while the latter characterized by prominent diffuse thinning of the GBM, life-long glomerular haematuria and normal renal function<sup>26</sup>. TBMN (MIM 141200) is more common than AS and affects at least one per cent of the population. It is usually diagnosed clinically when there is persistent glomerular haematuria, minimal proteinuria and normal renal function. Prognosis is usually excellent<sup>25</sup>. Given that mutation in an important domain of a gene may cause a monogenic disorder, whereas variant in a non-critical region may enhance susceptibility or cause a less severe phenotype of the disorder, *COL4A4*-related BFH and TBMN may be categorized as less severe forms of AS, consistent with the observations of familial microscopic haematuria, gross haematuria, TBMN and late development of proteinuria, CKD and ESRD in heterozygous carriers with *COL4A4* mutation<sup>1,21,24,25</sup>. Further studies on the genetic and epigenetic factors modifying the expression and function of the *COL4A4* gene may help understand the molecular basis of AS better.

In conclusion, this study identified not only the genetic cause of glomerulopathy in the family studied but also two asymptomatic family members harbouring the same *COL4A4* c.3213delA mutation. This finding may provide new insights into the cause and diagnosis of AS and have implications for genetic counselling.

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**Conflicts of Interest:** None.

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