# Demographic and occupational determinants of anti-SARS-CoV-2 IgG seropositivity in hospital staff

Christopher A. Martin<sup>1,2,†</sup>, Prashanth Patel<sup>3,4,†</sup>, Charles Goss<sup>5</sup>, David R. Jenkins<sup>6</sup>, Arthur Price<sup>7</sup>, Linda Barton<sup>8</sup>, Pankaj Gupta<sup>3,4</sup>, Francesco Zaccardi<sup>9,10</sup>, Helen Jerina<sup>3</sup>, Sai Duraisingham<sup>7</sup>, Nigel J. Brunskill<sup>4,11</sup>, Kamlesh Khunti<sup>9,12,13,‡</sup>, Manish Pareek<sup>1,2,12,13,‡</sup>

- <sup>1</sup> Department of Respiratory Sciences, University of Leicester, Leicester LE1 7RH, UK
- <sup>2</sup> Department of Infection and HIV Medicine, University Hospitals of Leicester NHS Trust, Leicester LE1 5WW, UK
- <sup>3</sup> Department of Chemical Pathology and Metabolic Diseases, University Hospitals of Leicester NHS Trust, Leicester LE1 5WW, UK
- <sup>4</sup> Department of Cardiovascular Sciences, University of Leicester, Leicester LE1 7RH, UK
- <sup>5</sup> Department of Occupational Health, University Hospitals of Leicester NHS Trust, Leicester LE1 5WW, UK
- <sup>6</sup> Department of Clinical Microbiology, University Hospitals of Leicester NHS Trust, Leicester LE1 5WW, UK
- <sup>7</sup> Department of Immunology, University Hospitals of Leicester NHS Trust, Leicester LE1 5WW, UK
- <sup>8</sup> Department of Haematology, University Hospitals of Leicester NHS Trust, Leicester LE1 5WW, UK
- <sup>9</sup> Diabetes Research Centre, Leicester General Hospital, University of Leicester, Leicester LE5 4PW, UK
- <sup>10</sup>Leicester Real World Evidence Unit, Diabetes Research Centre, Leicester General Hospital, University of Leicester, Leicester LE5 4PW, UK
- <sup>11</sup>Department of Nephrology, Leicester General Hospital, Leicester LE5 4PW, UK
- <sup>12</sup>NIHR Leicester Biomedical Research Centre, Leicester LE3 9QP, UK
- 13NIHR Applied Research Collaboration-East Midlands, Leicester LE5 4PW, UK
- \*Address correspondence to Kamlesh Khunti, E-mail: kk22@le.ac.uk.
- <sup>†</sup>These authors are joint first authors and contributed equally to this work.

## ABSTRACT

**Background** Although evidence suggests that demographic characteristics including minority ethnicity increase the risk of infection with Severe Acute Respiratory Syndrome Coronavirus 2 (SARS-CoV-2), it is unclear whether these characteristics, together with occupational factors, influence anti-SARS-CoV-2 IgG seroprevalence in hospital staff.

**Methods** We conducted cross-sectional surveillance examining seroprevalence of anti-SARS-CoV-2 IgG amongst staff at University Hospitals of Leicester (UHL) NHS Trust. We quantified seroprevalence stratified by ethnicity, occupation and seniority of practitioner and used logistic regression to examine demographic and occupational factors associated with seropositivity.

**Results** A total of 1148/10662 (10.8%) hospital staff members were seropositive. Compared to White staff (seroprevalence 9.1%), seroprevalence was higher in South Asian (12.3%) and Black (21.2%) staff. The occupations and department with the highest seroprevalence were nurses/healthcare assistants (13.7%) and the Emergency Department (ED)/Acute Medicine (17.5%), respectively. Seroprevalence decreased with seniority in medical/nursing practitioners. Minority ethnicity was associated with seropositivity on an adjusted analysis (South Asian: aOR 1.26; 95%CI: 1.07–1.49 and Black: 2.42; 1.90–3.09). Anaesthetics/ICU staff members were less likely to be seropositive than ED/Acute medicine staff (0.41; 0.27–0.61).

**Conclusions** Ethnicity and occupational factors, including specialty and seniority, are associated with seropositivity for anti-SARS-Cov-2 IgG. These findings could be used to inform occupational risk assessments for front-line healthcare workers.

Keywords COVID-19, ethnicity, healthcare worker, SARS-CoV-2, seroprevalence

Christopher A. Martin, Academic Clinical Fellow in Infectious Diseases Prashanth Patel, Consultant in Chemical Pathology and Metabolic medicine Charles Goss, Consultant in Occupational Health David R. Jenkins, Consultant in Microbiology Arthur Price, Consultant in Immunology Linda Barton, Consultant in Haematology Pankaj Gupta, Consultant in Chemical Pathology and Metabolic Medicine
Francesco Zaccardi, Senior Clinical Research Fellow
Helen Jerina, Senior Clinical Scientist
Sai Duraisingham, Senior Clinical Scientist
Nigel J. Brunskill, Professor of Renal Medicine
Kamlesh Khunti Professor of Primary Care Diabetes and Vascular Medicine
Manish Pareek, Associate Clinical Professor in Infectious Diseases

<sup>&</sup>lt;sup>‡</sup>These authors are joint senior authors.

# Introduction

Severe Acute Respiratory Syndrome Coronavirus 2 (SARS-CoV-2), the causative agent of coronavirus disease 2019 (COVID-19), was first identified in China in January 2020.<sup>1</sup> Since then, it has rapidly spread across the world. As the pandemic progressed, it became clearer that certain demographic characteristics, including increasing age, male gender, deprivation, certain chronic conditions, obesity and minority ethnicity predispose to the acquisition of COVID-19.2-5 Occupational risk of acquiring SARS-CoV-2 infection amongst healthcare workers has been an ongoing concern, with the Office for National Statistics (ONS) estimating a 6fold increased prevalence amongst those working in health or social care settings as compared to the general population.<sup>6</sup> Furthermore, there have been reports of increased infection rates, morbidity and mortality in ethnic minority healthcare workers7 which have led to new guidance on risk assessment in ethnic minority staff for NHS employers.<sup>8</sup>

How these observations translate to anti-SARS-CoV-2 IgG seropositivity, a reflection of previous SARS-CoV-2 infection, in a multi-ethnic healthcare workforce is less clear. A study in Belgium found that prior symptomatology consistent with COVID-19 and household, but not clinical, contact with suspected/confirmed COVID-19 was associated with the presence of anti-SARS-CoV-2 antibodies in hospital staff.<sup>9</sup> Smaller studies (both <500 healthcare staff) in Germany demonstrated a low seroprevalence (1.6-2.9%) of anti-SARS-COV-2 antibodies.<sup>10,11</sup> In London (UK), seroprevalence amongst a small number of patient-facing healthcare workers was 45.0% and in Birmingham (UK) seroprevalence in hospital staff was shown to be 24.4%.<sup>12,13</sup> Although Shields et al.<sup>13</sup> found that ethnic minority staff were more likely than their White counterparts to be seropositive, their study was limited by its small sample size, only examining differences in seroprevalence between two ethnic groups (White and 'Black, Asian and Minority Ethnic-BAME') and inability to examine the impact of specific occupational roles or seniority of a practitioner.

It is, therefore, crucial that larger studies ascertain the seroprevalence of anti-SARS-CoV-2 antibodies in healthcare staff and, given the evidence of increased risk of infection and adverse outcome in minority ethnic groups,<sup>4,14</sup> there is an urgent need to understand whether seroprevalence of anti-SARS-CoV-2 IgG differs according to ethnicity and other demographic factors including job roles in hospital staff. These results would have major implications for policymakers involved in designing occupational health risk assessments and safe systems of work for healthcare workers in advance of a 'second-wave' of the pandemic.

Furthermore, emerging evidence suggests that the Abbott SARS-CoV-2 IgG assay (detecting IgG antibodies to the nucleocapsid protein) may decrease in sensitivity over time,<sup>15</sup> examination of the effect of time between a positive SARS-CoV-2 polymerase chain reaction (PCR) test and serology testing using this assay on the likelihood of seropositivity for anti-SARS-CoV-2 is important for informing laboratory practice and future seroprevalence studies.

We, therefore, conducted cross-sectional surveillance examining the seroprevalence of anti-SARS-CoV-2 IgG amongst hospital staff employed at University Hospitals of Leicester (UHL) NHS Trust one of the largest, and most ethnically diverse, hospital trusts in the UK.

## Methods

#### Design of surveillance programme

We undertook this surveillance programme at UHL NHS Trust. Around 36% of staff employed by the trust are from minority ethnic backgrounds.<sup>16</sup> UHL is the only acute hospital trust serving the population of Leicester, Leicestershire and Rutland and cares for the vast majority of hospital attenders with COVID-19 from these areas.

#### Staff serology testing

UHL introduced voluntary anti-SARS-CoV-2 serology testing for staff members in May 2020. All staff were invited to attend a clinic within the hospital on a voluntary basis to have blood collected.

#### Population

We included all staff members who provided a blood sample for anti-SARS-CoV-2 IgG testing between 29 May 2020 and 13 July 2020. Those who were symptomatic or had a positive PCR assay for SARS-CoV-2 within the previous three weeks were advised not to attend.

#### Anti-SARS-CoV-2 IgG assay

Serum samples were analysed using the Abbott SARS-CoV-2 IgG assay (detecting IgG antibodies to the nucleocapsid protein) on the Abbott Architect i2000SR, according to manufacturer's instructions (for details see supplementary information).

#### **Data collection**

We extracted data from the occupational health record concerning age, sex, self-reported ethnicity, occupational role, specialty, whether there was a record of a COVID-19-related absence from work and the reason given and previous result for any PCR test for SARS-CoV-2 (for details see Supplementary Tables 1–4). We used residential postcode to obtain the Index of Multiple Deprivation (IMD) quintile using an online tool provided by the UK government.<sup>17</sup> IMD is the official measure of relative deprivation for small areas of the UK. We used postcode to derive population density in the area of residence using an ONS dataset.<sup>18</sup> We combined these data with the result of the anti-SARS-CoV-2 IgG assay (either positive or negative).

### Data analysis

We summarized continuous demographic variables as median and interquartile range (IQR) and categorical variables as count and percentage. Demographic characteristics were compared using Wilcoxon rank-sum test for non-parametric continuous variables and chi-square statistic for categorical variables. We used logistic regression to (i) evaluate factors associated with seropositivity (ii) estimate the odds ratio of antibody positivity and a spline transformation of time between PCR and serology test. For temporal analyses, subjects who underwent serology testing prior to PCR were excluded. Observations with missing data were excluded from all analyses. For further details on data analysis see supplementary information.

All analyses were conducted using Stata (StataCorp. 2017. Stata Statistical Software: Release 15.1 College Station, TX: StataCorp LLC.). *P*-values < 0.05 were considered statistically significant.

# Ethics

This surveillance was approved and registered by the UHL NHS Trust clinical audit department (Reference number 10633). Ethical approval was not required for this surveillance.

# Results

#### **Description of cohort**

Recruitment is outlined in Supplementary Figure 1. Of the 16 000 staff employed by UHL,<sup>16</sup> 11 769 (73.6%) staff attended for serology testing, of whom 10 662 (66.6%) were included in the final analysis. Supplementary Table 5 summarizes excluded observations.

Table 1 shows a description of the cohort stratified by ethnicity. A large proportion of the cohort were female (79.8%) and the median age was 44 years (IQR 33–53). In total, 23.4% were South Asian and 5.2% were Black. As compared to White staff, higher proportions of South Asian and Black staff resided in areas corresponding to the most deprived IMD quintile (14.2% versus 12.1% and 38.5% versus 12.1%). Compared to White colleagues, a greater proportion of ethnic minority staff nurses and HCAs lived in areas corresponding to the most deprived IMD quintile (28.2% versus 22.3% for HCAs, 28.8% versus 8.9% for staff nurses—Supplementary Table 6).

## Anti-SARS-CoV-2 IgG seroprevalence

Table 2 shows anti-SARS-CoV-2 IgG seroprevalence stratified by ethnicity. Overall, 1148/10 662 (10.8%) of hospital staff were seropositive. Compared to White staff (seroprevalence 9.1%), seroprevalence was significantly higher in South Asian (12.3% [chi-square P < 0.001]) and Black (21.2% [chisquare P < 0.001]) staff.

# Occupational and ethnicity factors associated with anti-SARS-CoV-2 seropositivity

Table 2 shows seroprevalence by occupational role and specialty. Compared to doctors (seroprevalence 10.3%), nurses/HCAs had a significantly higher (13.7% [chi-square p = 0.002]), and pharmacists a significantly lower (2.6% [chi-square P = 0.007]), seroprevalence. Departments with the highest seroprevalence were ED/acute medicine and medicine (17.5% and 16.1%, respectively), and those with the lowest seroprevalence were paediatrics (5.8%), radiology (7.0%) and anaesthetics/Intensive care (ICU) (6.7%).

South Asian and Black nurses had significantly higher seropositivity rates than their White counterparts (17.7% versus 11.0 [chi-square P < 0.001] and 23.9% versus 11.0% [chi-square P < 0.001], respectively). Ethnic differences in seroprevalence amongst medical staff were not significant. In total, 514 (44.8%) of the seropositive cohort had not registered a COVID-19 related absence from work.

# Variation in anti-SARS-CoV-2 IgG seropositivity by ethnicity and job role

Supplementary Table 7 shows seroprevalence of anti-SARS-CoV-2 IgG by grade of doctor and nurse/HCA. Amongst doctors, seropositivity decreased with increasing seniority from 25.9% amongst foundation year 1 (FY1, junior) doctors to 7.7% amongst consultants. A similar pattern was seen amongst nursing staff, with a higher seroprevalence amongst staff nurses and HCAs (15.0%) compared to matrons (10.3%). No significant differences were found when comparing White and ethnic minority seroprevalence was significantly higher amongst ethnic minority HCAs (21.5% versus 13.0% [chi-square P < 0.001]) and staff nurses (18.8% versus 11.2% [chi-square P < 0.001]) as compared to their White counterparts.

## Table 1 Description of the cohort stratified by ethnicity

	Ethnicity				
	Total	White	South Asian	Black	Other
Total, n(%)	10 662 (100.0%)	6960 (65.3%)	2494 (23.4%)	553 (5.2%)	655 (6.1%)
Age (years), median (IQR)	44 (33–53)	46 (34–55)	41 (31–50)	42 (32–49)	42 (33–49)
Sex, <i>n</i> (%)					
Female	8503 (79.8%)	5796 (83.3%)	1817 (72.9%)	447 (80.8%)	443 (67.7%)
Male	2159 (20.3%)	1164 (16.7%)	677 (27.2%)	106 (19.2%)	212 (32.4%)
Occupation, n(%)					
Doctors	1243 (11.7%)	545 (7.8%)	479 (19.2%)	54 (9.8%)	165 (25.2%)
Nurses/Midwives/HCAs	4631 (43.4%)	3175 (45.6%)	793 (31.8%)	339 (61.3%)	324 (49.5%)
AHPs	550 (5.2%)	435 (6.3%)	75 (3.0%)	15 (2.7%)	25 (3.8%)
Pharmacy	116 (1.1%)	38 (0.6%)	66 (2.7%)	*	9 (1.4%)
Administrative/executive/managerial	2078 (19.5%)	1483 (21.3%)	478 (19.2%)	45 (8.1%)	72 (11.0%)
Radiographers	241 (2.3%)	165 (2.4%)	47 (1.9%)	23 (4.2%)	6 (0.9%)
Healthcare scientists	528 (5.0%)	346 (5.0%)	145 (5.8%)	17 (3.1%)	20 (3.1%)
Estates	1154 (10.8%)	675 (9.7%)	396 (15.9%)	57 (10.3%)	26 (4.0%)
Other	121 (1.1%)	98 (1.4%)	15 (0.6%)	*	8 (1.2%)
Specialty, n(%)					
ED & Acute medicine	831 (7.8%)	466 (6.7%)	205 (8.2%)	89 (16.1%)	71 (10.8%)
Medicine (other than acute)	1498 (14.1%)	935 (13.4%)	362 (14.6%)	86 (15.6%)	115 (17.6%)
Surgery	1718 (16.1%)	1010 (14.5%)	442 (17.7%)	120 (21.7%)	146 (22.3%)
Paediatrics	519 (4.9%)	393 (5.7%)	89 (3.6%)	15 (2.7%)	22 (3.4%)
Haematology & Oncology	327 (3.1%)	228 (3.3%)	69 (2.8%)	12 (2.2%)	18 (2.8%)
Radiology & Imaging	512 (4.8%)	344 (4.9%)	115 (4.6%)	28 (5.1%)	25 (3.8%)
Obstetrics & Gynaecology & Maternity	652 (6.1%)	530 (7.6%)	90 (3.6%)	17 (3.1%)	15 (2.3%)
Anaesthetics & ICU	524 (4.9%)	300 (4.3%)	139 (5.6%)	31 (5.6%)	54 (8.2%)
Laboratory based	677 (6.4%)	432 (6.2%)	190 (7.6%)	22 (4.0%)	33 (5.0%)
Pharmacy	251 (2.4%)	111 (1.6%)	118 (4.7%)	*	18 (2.8%)
Community & Outpatients	277 (2.6%)	240 (3.5%)	28 (1.1%)	*	6 (0.9%)
Estates & Facilities	884 (8.3%)	520 (7.5%)	290 (11.6%)	52 (9.4%)	22 (3.4%)
Administrative & Corporate	605 (5.7%)	435 (6.3%)	132 (5.3%)	16 (2.9%)	22 (3.4%)
Other clinical services	566 (5.3%)	453 (6.5%)	81 (3.3%)	11 (2.0%)	21 (3.2%)
Other	821 (7.7%)	563 (8.1%)	144 (5.8%)	47 (8.5%)	67 (10.2%)
IMD quintile, n(%)					
1 (most deprived)	1556 (14.6%)	841 (12.1%)	355 (14.2%)	213 (38.5%)	147 (22.4%)
2	2155 (20.2%)	1067 (15.3%)	797 (32.0%)	141 (25.5%)	150 (22.9%)
3	1879 (17.6%)	1161 (16.7%)	504 (20.2%)	83 (15.0%)	131 (20.0%)
4	2340 (22.0%)	1770 (25.4%)	401 (16.1%)	63 (11.4%)	106 (16.2%)
5 (least deprived)	2732 (25.6%)	2121 (30.5%)	437 (17.5%)	53 (9.6%)	121 (18.5%)
Population density (people per	3.2 (1.3–5.7)	2.5 (0.9–4.9)	4.9 (2.2–7.2)	5.4 (2.5–7.7)	4.3 (2.0–6.9)
1000 m <sup>2</sup> ), median (IQR)					
Reason for work absence					
No absence	7828 (73.4%)	5250 (75.4%)	1733 (69.5%)	413 (74.7%)	432 (66.0%)
Symptomatic	1872 (17.6%)	1107 (15.9%)	515 (20.7%)	97 (17.5%)	153 (23.4%)
Household/track and trace contact	835 (7.8%)	516 (7.4%)	217 (8.7%)	40 (7.2%)	62 (9.5%)
Shielding	110 (1.0%)	75 (1.1%)	26 (1.0%)	*	6 (0.9%)
Other	17 (0.2%)	12 (0.2%)	*	*	*
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\*values  $\leq$  5 redacted due to potential for identification of individual participants.

	Ethnicity									
	Total		White		South Asian		Black		Other	
	IgG positive	lgG negative	lgG positive	lgG negative						
Total, <i>n</i> (%)	1148 (10.8%)	9514 (89.2%)	632 (9.1%)	6328 (90.9%)	307 (12.3%)	2187 (87.7%)	117 (21.2%)	436 (78.8%)	92 (14.1%)	563 (86.0%)
Age (years), median (IQR) Sex_n(%)	42 (31–53)	44 (33–53)	46 (31–55)	46 (34–54)	39 (28–47)	41 (31–50)	41 (32–49)	42 (32–50)	43 (35–49)	42 (33–49)
Female	935 (11.0%)	7568 (89.0%)	531 (9.2%)	5265 (90.8%)	240 (13.2%)	1577 (86.8%)	97 (21.7%)	350 (78.3%)	67 (15.1%)	376 (84.9%)
Male	213 (9.9%)	1946 (90.1%)	101 (8.7%)	1063 (91.3%)	67 (9.9%)	610 (90.1%)	20 (18.9%)	86 (81.1%)	25 (11.8%)	187 (88.2%)
Occupation, <i>n</i> (%)										
Doctors	128 (10.3%)	1115 (89.7%)	48 (8.8%)	497 (91.2%)	58 (12.1%)	421 (87.9%)	9 (16.7%)	45 (83.3%)	13 (7.9%)	152 (92.1%)
Nurses/Midwives/HCAs	632 (13.7%)	3999 (86.4%)	349	2826 (89.0%)	140 (17.7%)	653 (82.4%)	81 (23.9%)	258 (76.1%)	62 (19.1%)	262 (80.9%)
			(11.0%)							
AHPs	57 (10.4%)	493 (89.6%)	39 (9.0%)	396 (91.0%)	13 (17.3%)	62 (82.7%)	*	12 (80.0%)	*	23 (92.0%)
Pharmacy	*	113 (97.4%)	*	37 (97.4%)	*	64 (97.0%)	*	*	*	9 (100.0%)
Administrative/executive/	141 (6.8%)	1937 (93.2%)	91 (6.1%)	1392 (93.9%)	40 (8.4%)	438 (91.6%)	*	43 (95.6%)	8 (11.1%)	64 (88.9%)
managerial										
Radiographers	24 (10.0%)	217 (90.0%)	11 (6.7%)	154 (93.3%)	*	42 (89.4%)	7 (30.4%)	16 (69.6%)	*	*
Healthcare scientists	43 (8.1%)	485 (91.9%)	26 (7.5%)	320 (92.5%)	9 (6.2%)	136 (93.8%)	*	12 (70.6%)	*	17 (85.0%)
Estates	112 (9.7%)	1042 (90.3%)	63 (9.3%)	612 (90.7%)	37 (9.3%)	359 (90.6%)	10 (17.5%)	47 (82.5%)	*	24 (92.3%)
Other	8 (6.6%)	113 (93.4%)	*	94 (95.9%)	*	12 (80.0%)	*	*	*	7 (87.5%)
Specialty, n(%)										
ED & Acute medicine	145 (17.5%)	686 (82.6%)	60 (12.9%)	406 (87.1%)	48 (23.4%)	157 (76.6%)	23 (25.8%)	66 (74.2%)	14 (19.7%)	57 (80.3%)
Medicine (other than acute)	241 (16.1%)	1257 (83.9%)	122	813 (87.0%)	70 (19.3%)	292 (80.7%)	32 (37.2%)	54 (62.8%)	17 (14.8%)	98 (85.2%)
			(13.1%)							
Surgery	207 (12.1%)	1511 (88.0%)	103	907 (89.8%)	60 (13.6%)	382 (86.4%)	20 (16.7%)	100 (83.3%)	24 (16.4%)	122 (83.6%)
			(10.2%)							
Paediatrics	30 (5.8%)	489 (94.2%)	22 (5.6%)	371 (94.4%)	6 (6.7%)	83 (93.3%)	*	14 (93.3%)	*	21 (95.5%)
Haematology & Oncology	30 (9.2%)	297 (90.8%)	22 (9.7%)	206 (90.4%)	*	64 (92.8%)	*	11 (91.7%)	*	16 (88.9%)
Radiology & Imaging	36 (7.0%)	476 (93.0%)	17 (4.9%)	327 (95.1%)	10 (8.7%)	105 (91.3%)	7 (25.0%)	21 (75.0%)	*	23 (92.0%)
Obstetrics & Gynaecology &	52 (8.0%)	600 (92.0%)	39 (7.4%)	491 (92.6%)	7 (7.8%)	83 (92.2%)	*	14 (82.4%)	*	12 (80.0%)
Maternity										
Anaesthetics & ICU	35 (6.7%)	489 (93.3%)	21 (7.0%)	279 (93.0%)	*	134 (96.4%)	6 (19.4%)	25 (80.7%)	*	51 (94.4%)
Laboratory based	43 (6.4%)	634 (93.7%)	21 (4.9%)	411 (95.1%)	17 (9.0%)	173 (91.1%)	*	18 (81.8%)	*	32 (97.0%)

Table 2 Anti-SARS-CoV-2 lgG seroprevalence stratified by ethnicity

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	Ethnicity									
	Total		White		South Asian		Black		Other	
	IgG positive	lgG negative	lgG positive	lgG negative	lgG positive	lgG negative	IgG positive	lgG negative	IgG positive	lgG negative
Pharmacy	11 (4.4%)	240 (95.6%)	6 (5.4%)	105 (94.6%)	*	113 (95.8%)	*	*	*	18 (100.0%)
Community & Outpatients	20 (7.2%)	257 (92.8%)	17 (7.1%)	223 (92.9%)	*	27 (96.4%)	*	*	*	*
Estates & Facilities	82 (9.3%)	802 (90.7%)	53 (10.2%)	467 (89.8%)	18 (6.2%)	272 (93.8%)	9 (17.3%)	43 (82.7%)	*	20 (90.9%)
Administrative & Corporate	38 (6.3%)	567 (93.7%)	23 (5.3%)	412 (94.7%)	12 (9.1%)	120 (90.9%)	*	16 (100.0%)	*	19 (86.4%)
Other clinical services	70 (12.4%)	496 (87.6%)	51 (11.3%)	402 (88.7%)	11 (13.6%)	70 (86.4%)	*	6 (54.6%)	*	18 (85.7%)
Other	108 (13.2%)	713 (86.9%)	55 (9.8%)	508 (90.2%)	32 (22.2%)	112 (77.8%)	6 (12.8%)	41 (87.2%)	15 (22.4%)	52 (77.6%)
IMD quintile, <i>n</i> (%)										
1 (most deprived)	205 (13.2%)	1351 (86.8%)	88 (10.5%)	753 (89.5%)	51 (14.4%)	304 (85.6%)	43 (20.2%)	170 (79.8%)	23 (15.7%)	124 (84.4%)
2	282 (13.1%)	1873 (86.9%)	116	951 (89.1%)	104 (13.1%)	693 (87.0%)	38 (27.0%)	103 (73.1%)	24 (16.0%)	126 (84.0%)
			(10.9%)							
m	198 (10.5%)	1681 (89.5%)	108 (9.3%)	1053 (90.7%)	60 (11.9%)	444 (88.1%)	16 (19.3%)	67 (80.7%)	14 (10.7%)	117 (89.3%)
4	226 (9.7%)	2114 (90.3%)	157 (8.9%)	1613 (91.1%)	42 (10.5%)	359 (89.5%)	14 (22.2%)	49 (77.8%)	13 (12.3%)	93 (87.7%)
5 (least deprived)	237 (8.7%)	2495 (91.3%)	163 (7.7%)	1958 (92.3%)	50 (11.4%)	387 (88.6%)	6 (11.3%)	47 (88.7%)	18 (14.9%)	103 (85.1%)
Population density (people	3.9 (1.6–6.3)	3.1 (1.3–5.7)	2.9	2.5 (0.9-4.9)	5.4 (2.8–8.0)	4.9 (2.1–7.1)	5.8 (3.9–8.4)	5.3 (2.3–7.6)	5.0	4.3 (2.0–6.9)
per 1000 m <sup>2</sup> ), median (IQR)			(0.9–5.1)						(2.2–6.8)	
Reason for work absence, n(%	()									
No absence	514 (6.6%)	7314 (93.4%)	298 (5.7%)	4952 (94.3%)	122 (7.0%)	1611 (93.0%)	65 (15.7%)	348 (84.3%)	29 (6.7%)	403 (93.3%)
Symptomatic	420 (22.4%)	1452 (77.6%)	207	900 (81.3%)	132 (25.6%)	383 (74.4%)	36 (37.1%)	61 (62.9%)	45 (29.4%)	108 (70.6%)
			(18.7%)							
Household/track and trace	202 (24.2%)	633 (75.8%)	115	401 (77.7%)	53 (24.8%)	164 (75.6%)	16 (40.0%)	24 (60.0%)	18 (29.0%)	44 (71.0%)
contact			(22.3%)							
Shielding	8 (7.3%)	102 (92.7%)	8 (10.7%)	67 (89.3%)	*	26 (100.0%)	*	*	*	6 (100.0%)
Other	*	13 (76.5%)	*	8 (66.7%)	*	*	*	*	*	*

\*values  $\leq$  5 redacted due to potential for identification of individual participants.

Variable n seropositive/n total OR (95% CI) P-value aOR (95% CI) P-value 1148/10 662 (10.8%) Age (years) 252/1852 (13.6%) <30 30-39 256/2430 (10.5%) 0.75 (0.62-0.90) 0.002 0.80 (0.66-0.98) 0.03 40-49 256/2625 (9.8%) 0.69 (0.57–0.83) < 0.001 0.76 (0.62-0.93) 0.007 50-59 296/2760 (10.7%) 0.76 (0.64-0.91) 0.003 1.02 (0.83-1.24) 0.85 88/995 (8.8%) 0.62 (0.48-0.80) < 0.001 0.98 (0.74-1.30) 0.91 >60 Sex 935/8503 (11.0%) Female Male 213/2159 (9.9%) 0.89 (0.76-1.04) 0.13 0.94 (0.79-1.13) 0.53 Ethnicity White 632/6960 (9.1%) 0.005 South Asian 307/2494 (12.3%) 1.41 (1.22-1.62) < 0.001 1.26 (1.07-1.49) Black < 0.001 117/553 (21.2%) 2.69 (2.16-3.35) < 0.001 2.42 (1.90-3.09) Other 92/655 (14.1%) 1.64 (1.29–2.07) < 0.001 1.35 (1.05-1.74) 0.02 Occupation Doctors 128/1243 (10.3%) Nurses/Midwives/HCAs 632/4631 (13.7%) 1.38 (1.13-1.68) 0.002 1.10 (0.87-1.39) 0.45 AHPs 0.15 57/550 (10.4%) 1.01 (0.72-1.40) 0 97 0.72 (0.46-1.13) Pharmacy 0.23 (0.07-0.74) 0.01 0.39 (0.09-1.59) 0.19 Administrative/executive/managerial < 0.001 0.01 141/2078 (6.8%) 0.63 (0.49-0.81) 0.68 (0.51-0.91) Radiographers 24/241 (10.0%) 0.96 (0.61-1.53) 0.87 1.62 (0.85-3.09) 0.14 0 45 Healthcare scientists 43/528 (8.1%) 0.77 (0.54–1.11) 0.16 0.84 (0.53-1.32) 0.63 0.79 Estates 112/1154 (9.7%) 0.94 (0.72-1.22) 0.95 (0.63-1.43) 0.75 Other 8/121 (6.6%) 0.62 (0.29-1.29) 0.2 0.88 (0.39-1.96) Specialty ED & Acute Medicine 145/831 (17.5%) Medicine (other than acute) 241/1498 (16.1%) 0.91 (0.72-1.14) 04 1.07 (0.84-1.36) 0.6 Surgery 207/1718 (12.1%) 0.65 (0.51-0.82) 0.79 (0.62-1.01) 0.06 < 0.001 Paediatrics 30/519 (5.8%) 0.29 (0.19-0.44) < 0.001 0.38 (0.25-0.57) < 0.001 Haematology & Oncology 30/327 (9.2%) 0.48 (0.32-0.72) 0.001 0.70 (0.45-1.08) 0.11 Radiology & Imaging 36/512 (7.0%) 0.36 (0.24-0.52) < 0.001 0.41 (0.24-0.70) 0.001 Obstetrics & Gynaecology/Maternity 52/652 (8.0%) 0.41 (0.29-0.57) < 0.001 0.57 (0.40-0.82) 0.002 < 0.001 Anaesthetics & ICU 35/524 (6.7%) 0.34 (0.23-0.50) < 0.001 0.41 (0.27-0.61) Laboratory based (inc Histo/Chem 43/677 (6.4%) 0.32 (0.22-0.46) < 0.001 0.53 (0.34-0.81) 0.003 path/Micro) Pharmacy 11/251 (4.4%) 0.22 (0.12-0.41) < 0.001 0.39 (0.18-0.86) 0.02 Community/Outpatients 20/277 (7.2%) 0.37 (0.23-0.60) < 0.001 0.62 (0.37-1.04) 0.07 Estates/Facilities 0.48 (0.36-0.65) < 0.001 0.84 82/884 (9.3%) 1.05 (0.67-1.62) 0.11 Administrative/Corporate 38/605 (6.3%) 0.32 (0.22-0.46) < 0.001 0.72 (0.47-1.08) Other clinical services 70/566 (12.4%) 0.67 (0.49-0.91) 0.01 1.16 (0.76-1.78) 0.49 Other 108/821 (13.2%) 0.72 (0.55-0.94) 0.02 0.99 (0.74-1.32) 0.95 IMD guintile 1 (most deprived) 205/1556 (13.2%) 2 282/2155 (13.1%) 0.99 (0.82–1.20) 0.94 1.09 (0.89-1.35) 0.4 3 0.74 198/1879 (10.5%) 0.78 (0.63-0.96) 0.02 0.96 (0.77-1.20) 4 226/2340 (9.7%) 0.70 (0.58–0.86) 0.001 0.95 (0.76-1.19) 0.65 5 (least deprived) 237/2732 (8.7%) 0.63 (0.51-0.76) < 0.001 0.94 (0.75-1.18) 0.6

Table 3 Unadjusted and adjusted analysis of factors associated with anti-SARS-CoV-2 antibodies

(Continued)

#### Table 3 Continued

Variable	<i>n</i> seropositive/ <i>n</i> total 1148/10 662 (10.8%)	OR (95% CI)	P-value	aOR (95% CI)	<i>P</i> -value
Population density of output area (people per 1000 m <sup>2</sup> ) Reason for absence from work	-	1.04 (1.02–1.05)	<0.001	1.01 (0.99–1.02)	0.39
No absence	514/7828 (6.6%)	-	_	-	_
Symptomatic	420/1872 (22.4%)	4.12 (3.58–4.74)	< 0.001	3.99 (3.43–4.64)	<0.001
Household contact	202/835 (24.2%)	4.54 (3.79–5.45)	< 0.001	4.38 (3.62–5.31)	<0.001
Shielding	8/110 (7.3%)	1.12 (0.54–2.30)	0.77	1.12 (0.54–2.32)	0.77
Other	*	4.38 (1.42–13.48)	0.01	4.17 (1.33–13.08)	0.01

\*Values  $\leq$  5 redacted due to potential for identification of individual participants.

#### Sickness

As compared to those with no absence, seroprevalence was significantly higher in those who took an absence from work due to having symptoms of COVID-19 or due to having a household contact with suspected/confirmed COVID-19 (6.6% versus 22.4% and 6.6% versus 24.2%, [chi-square P < 0.001 for both], respectively). Ethnic minority staff taking leave due to COVID-19 symptoms had significantly higher seroprevalence than White staff (25.6% versus 18.7%, [chi-square P = 0.001] for South Asian and 37.1% versus 18.7% [chi-square P < 0.001] for Black) (Table 2).

# Demographic and occupational factors associated with anti-SARS-CoV-2 IgG seropositivity

In a fully adjusted model, seropositivity for anti-SARS-CoV-2 IgG was more likely in those of South Asian (aOR 1.26; 95%CI: 1.07–1.49), Black (2.42; 1.90–3.09), and Other (1.35, 1.05–1.74) staff compared to White staff (Table 3). Those working in specialties including paediatrics and anaesthetics/ICU were significantly less likely to have detectable anti-SARS-CoV-2 IgG than those working in ED/Acute medicine. Leave of absence due to symptoms of COVID-19 or a household contact with suspected/confirmed infection were significantly associated with seropositivity (aOR 3.99; 3.43– 4.64 and 4.38; 3.62–5.31, respectively).

## Description of those who underwent SARS-CoV-2 PCR testing

In total, 819 (7.7% of the analysed cohort) also had a PCR test sent at a date prior to their serology test (Supplementary Table 8). 205 (25.0%) of these were found to be PCR positive. 174 (84.9%) of the PCR positive and 79 (12.9%) of the PCR negative cohort were found to be seropositive for anti-SARS-CoV-2 IgG (P < 0.001).

# Temporal effects on seropositivity rates in healthcare workers with prior SARS-CoV-2 infection

Supplementary Figure 2 shows the proportion of positive serology tests in those with a positive SARS-CoV-2 PCR test stratified by time in days between swab date and serology test date. This proportion peaked at 1.0 in the time period 35–42 days and decreased to 0.63 at  $\geq$ 77 days. On adjusted analysis, with reference to those undergoing serology testing 14 days after PCR testing, those tested 40 days after PCR testing had around an 8-fold increased odds of seropositivity and those tested at any time after 55 days were less likely to be seropositive (Fig. 1).

### Discussion

This cross-sectional surveillance programme is the largest healthcare worker anti-SARS-CoV-2 IgG seroprevalence survey to date and captures data from 10 662 staff, representing over 66% of the entire workforce of a large University Hospital in the UK. We found that anti-SARS-CoV-2 IgG seropositivity was significantly higher in ethnic minority groups and varied in relation to certain occupational roles, seniority and specialty.

Anti-SARS-CoV-2 IgG seroprevalence in our cohort was 10.8% which is higher than both recent PHE estimates of community seroprevalence in the Midlands and that presented in a recent UK-wide community serosurvey (which found a seroprevalence of 4.2% in the East Midlands), although we do not have information on the seroprevalence in Leicester city.<sup>19,20</sup> This indicates that healthcare workers are at greater risk of acquiring infection with SARS-CoV-2 than the general population either through contact with infected patients, from acquiring infection in the community, via contact with infected colleagues or exposure to fomites,<sup>21</sup>



Fig. 1 Temporal effects on adjusted odds of seropositivity in healthcare workers with confirmed SARS-CoV-2 infection. Reference (odds ratio = 1) corresponds to the minimum number of days between the PCR and serology test (14 days). Areas indicate 95% confidence intervals. There were 205 tests (174 antibody positive). Adjusted for age, sex, ethnicity, job, specialty, population density, IMD quintile and reason for absence from work.

for example, in shared office spaces. We report a higher anti-SARS-CoV-2 seroprevalence in hospital workers than a smaller Belgian study  $(6.4\%)^9$  and two German studies  $(1.6-2.9\%)^{10,11}$  and a lower seroprevalence than that reported in a small cohort of healthcare workers in London, UK  $(45.0\%)^{12}$  and Birmingham  $(24.4\%)^{.13}$  Community seroprevalence rates vary widely between countries/regions<sup>22–24</sup> and some of these differences may be ascribed to differences in COVID-19 case-load. Other factors that may contribute to differences in seroprevalence include the point during the pandemic at which the studies were performed and the ethnicity of the staff and the departments in which they worked, which were not reported in the majority of the previous studies.

We found hospital staff of South Asian, Black and Other ethnicities were more likely to be seropositive than White staff. This adds weight and granularity to the findings of a smaller UK study which compared White to 'BAME' hospital staff and found the latter group to be more likely to have antibodies against SARS-CoV-2.<sup>13</sup> Prior studies examining SARS-CoV-2 PCR status in patients seeking medical attention have demonstrated that ethnic minority individuals are at greater risk of acquiring COVID-19,<sup>3,5</sup> although the mechanisms underlying this association remain unclear. Differing occupational roles have been suggested to be a potential driver in the relationship between ethnicity and infection risk.<sup>25–27</sup> However, our analysis identifies that amongst a population comprising only hospital workers, ethnic minority staff are disproportionately more likely than their White colleagues to have evidence of previous SARS-CoV-2 infection. In light of the mounting evidence for increased risk of adverse outcome from COVID-19 in ethnic minority individuals,<sup>4,14</sup> our finding that certain ethnic groups are at increased risk of having been infected with SARS-CoV-2 underscore the urgent need to develop effective, individualized, occupational risk assessments to protect these front-line workers.

We found that staff working in ED/acute medicine, specialities likely to have amongst the most frequent occupational contact with patients infected with SARS-CoV-2, as compared to many others (e.g. surgery, paediatrics and radiology) were more likely to have evidence of previous SARS-CoV-2 infection. Additionally, in keeping with a recent small study,<sup>13</sup> we found those working in anaesthetics/ICU were less likely to be seropositive than those working in ED/acute medicine. PPE recommendations for staff in ICU contrast with those for workers on medical wards. In ICU, long-sleeved gowns and respirator facemasks are worn at all times due to the infection risk posed by Aerosol Generating Procedures—a common occurrence in ICU. As this higher level of PPE was recommended at all times, appraisal of risk by individual staff members on a patient-by-patient basis was not required, as it was on medical wards. These differences may partly explain the lower seroprevalence amongst ICU/anaesthetic staff and the lower reported death rates in these occupations.<sup>7</sup>

We found that seroprevalence was highest amongst nurses/HCAs. The duties of these staff groups necessitate frequent, prolonged contact with patients and, therefore, greater exposure to those with COVID-19, particularly as compared to those in administrative/executive roles who were more likely to be seronegative for anti-SARS-CoV-2 IgG. These results suggest that occupational exposure is an important risk factor for acquiring an infection.

A large proportion of those seropositive for anti-SARS-CoV-2 in our cohort did not register a COVID-19-related absence from work. This raises the possibility that a high proportion of staff may have acquired an asymptomatic infection (either at work or in the community) and serological evidence of this event has been captured through our surveillance.

Within nursing and medical professionals, we demonstrated a trend for decreasing seroprevalence with seniority of a practitioner. Junior members of staff generally have greater patient contact and less administrative and managerial responsibilities, factors which may draw their more senior colleagues away from direct patient care, and thus be more likely to acquire infection from patients. Another explanation may be increased staff-to-staff transmission, with junior staff being more likely to share breakrooms and office space. Interestingly, we demonstrate a significantly higher seroprevalence amongst ethnic minority junior nursing/HCA staff than their White counterparts but the same relationship is not observed amongst junior doctors. A potential explanation for this observation is that a much higher proportion of ethnic minority, as compared to White, junior nursing/HCA staff live in areas corresponding to the lowest quintile of IMD (deprivation), a trend not observed amongst junior doctors. Living in more deprived areas may be associated with acquisition of SARS-CoV-2 infection.<sup>2,28,29</sup> This could be driven by increased population density making social distancing more difficult.<sup>30</sup> Additionally, a higher number of residents may be engaged in professions that cannot be performed from home<sup>28,31</sup> enhancing occupational exposure and thence community transmission. These findings should be interpreted with caution as we did not demonstrate an association between seropositivity and IMD on multivariable analysis.

Our analysis of the temporal effects on seroprevalence in healthcare workers with a history of SARS-CoV-2 PCR positivity demonstrates that the likelihood of seropositivity was highest 5–6 weeks after PCR testing and decreases thereafter. Interpretation of these findings is limited as concerns have been raised around the loss of sensitivity of the Abbott SARS- CoV-2 IgG assay after day 61 post PCR, this was not seen in other commercial assays using the nucleocapsid as the target antigen, suggesting the issue is assay specific<sup>32</sup> and this may explain our findings.

This surveillance programme had limitations. The data are from a single centre. We lack information on the medical history of our participants and whether they worked on a COVID-19 cohort ward and cannot control for these factors in our analysis. Testing was voluntary, which could have introduced a volunteer bias, however, with such a large proportion of the workforce being tested this is unlikely to have a significant impact on results. Exclusion of observations with missing data may have introduced bias; however, we have no reason to suspect that those excluded are systematically different from those included in the final analysis, and excluded observations comprise less than 10% of those tested. The seroprevalence rate presented herein may underestimate the proportion of staff who have been infected with SARS-CoV-2 due to the issues with decreased sensitivity of the Abbott assay mentioned above,<sup>32</sup> staff infected early in the pandemic may not have detectable antibodies at the time they attended for serological testing, leading to an underestimate of the proportion of staff that have been infected.

In summary, we found certain demographic characteristics, particularly ethnicity, and occupational factors, including specialty, job role and seniority, were associated with anti-SARS-CoV-2 seropositivity in hospital staff. Further work is required to determine how these factors mediate their influence on infection risk and the relative contributions of occupational and community transmission of SARS-CoV-2. Nonetheless, our findings have significant implications for employers and policymakers in the healthcare sector and should inform the design of holistic and individualized occupational risk assessments aimed at preventing acquisition of SARS-CoV-2 infection in front-line workers.

### Supplementary data

Supplementary data mentioned in the text are available to subscribers at *Journal of Public Health* online.

# **Conflicts of interest**

Dr. Pareek reports grants and personal fees from Gilead Sciences and personal fees from QIAGEN, outside the submitted work. Prof. Khunti is a member of Independent SAGE and national lead for ethnicity and diversity for National Institute for Health Applied Research Collaborations and Director for the University of Leicester Centre for Black Minority Ethnic Health.

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# **Author contributions**

PP, KK and MP conceived the idea for the surveillance. CG and PP collected the data. CAM and FZ analysed the data. CAM wrote the first draft of the manuscript with the help of MP, KK and PP. All other authors contributed to planning and management, data analysis and revision of the manuscript and were in agreement to submit it for publication.

# **Data sharing statement**

The patient cohort was extracted under Caldicott Guardian approval for a specific purpose and as part of our undertaking with them, we are not to further routinely share these data. The data are held in an institutional repository and interested parties, with appropriate approvals, can apply for data access through the Corresponding author. Reasonable requests will be assessed on a case-by-case basis in discussion with the Caldicott Guardian.

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