# Review Estrogen receptor transcription and transactivation Estrogen receptor knockout mice: what their phenotypes reveal about mechanisms of estrogen action

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Received: 14 February 2000 Revisions requested: 1 March 2000 Revisions received: 9 March 2000 Accepted: 26 May 2000 Published: 12 July 2000 Breast Cancer Res 2000, 2:345-352

Current Science Ltd (Print ISSN 1465-5411; Online ISSN 1465-542X)

# Abstract

Natural, synthetic and environmental estrogens have numerous effects on the development and physiology of mammals. Estrogen is primarily known for its role in the development and functioning of the female reproductive system. However, roles for estrogen in male fertility, bone, the circulatory system and immune system have been established by clinical observations regarding sex differences in pathologies, as well as observations following menopause or castration. The primary mechanism of estrogen action is via binding and modulation of activity of the estrogen receptors (ERs), which are ligand-dependent nuclear transcription factors. ERs are found in highest levels in female tissues critical to reproduction, including the ovaries, uterus, cervix, mammary glands and pituitary gland. Since other affected tissues have extremely low levels of ER, indirect effects of estrogen, for example induction of pituitary hormones that affect the bone, have been proposed. The development of transgenic mouse models that lack either estrogen or ER have proven to be valuable tools in defining the mechanisms by which estrogen exerts its effects in various systems. The aim of this article is to review the mouse models with disrupted estrogen signaling and describe the associated phenotypes.

Keywords: estrogen receptor, estrogen receptor knockout, transgenic

# Reproductive phenotypes of estrogen receptor knockout models

Estrogen has many roles in reproduction, and the generation of the estrogen receptor (ER) $\alpha$  and ER $\beta$  knockout ( $\alpha$ ERKO and  $\beta$ ERKO) mice has further illustrated its roles and mechanisms. Interestingly, both sexes of the  $\alpha$ ERKO mice are infertile, whereas only the  $\beta$ ERKO female has shown impaired fertility. In the male  $\alpha$ ERKO mice, infertility is due to deficits at several points in the reproductive process, including severe reduction in sperm numbers and lack of sperm function, as well as abnormal sexual behavior. The seminiferous tubules of the  $\alpha$ ERKO testes show progressive dilation that is accompanied by degeneration of the seminiferous epithelium (Fig. 1) [1°,2°]. Transplanted  $\alpha$ ERKO sperm was functional when developed in normal host testes [3°°]. In contrast, the testes of the  $\beta$ ERKO mice appear normal (Fig. 1), and produce sufficient and functional sperm to allow fertility, resulting in

EGF = epidermal growth factor; ER = estrogen receptor; ERKO = estrogen receptor knockout; LH = luteinizing hormone; PR = progesterone receptor.

#### Figure 1



Pathology of adult  $\alpha$ ERKO and  $\beta$ ERKO testes. Sections from wild-type and ER-disrupted testes were stained with hematoxylin and eosin for comparison of their pathology. The wild-type and  $\beta$ ERKO testes are indistinguishable, while the  $\alpha$ ERKO testis shows degeneration of the testicular structures.

production of offspring in mice examined to date. Therefore, ER $\alpha$  appears to be more critical than ER $\beta$  in mediation of the estrogen actions necessary for maintenance of healthy testicular structures and the somatic cell function required for successful sperm maturation.

Normally, the female rodent reproductive tract grows and matures in response to cycling ovarian hormones, including estradiol. The growth and maturation of the epithelial portion and the preparation of the stromal layer is thought to be important for successful implantation and pregnancy to occur. The infertility of the female a ERKO mouse is due in part to the insensitivity of the uterus to the mitogenic and differentiative actions of estrogen [4\*\*,5\*\*] (Fig. 2). Microscopic evaluation of the  $\alpha$ ERKO uterine tissue indicates that all expected tissues are present but appear immature, as illustrated by a reduced number of glands in the endometrium (Fig. 3). ERa is thus not necessary for development of the uterus, but is necessary for complete maturation and function of the tissue. In contrast, the wild-type and BERKO uteri are indistinguishable, and show normal organization and development of the stromal, myometrial and epithelial layers (Fig. 3), as well as glandular structures. ERB is thus apparently not required for normal development of the female reproductive tract. Furthermore, when challenged with estrogenic compounds, the wild-type and

#### Figure 2



Gross morphology of adult ERKO female reproductive tracts. Reproductive tracts dissected from wild-type and  $\beta$ ERKO animals are normal, while the  $\alpha$ ERKO uterus is immature and the ovaries are enlarged and dark-colored due to hemorrhagic cysts.

 $\beta$ ERKO uteri respond comparably with increased weight and epithelial development, while the  $\alpha$ ERKO uterus is nonresponsive. The uterus of the  $\beta$ ERKO mouse is fully functional, as pregnancies are successfully carried to term and delivered. The vaginal tissue of the  $\beta$ ERKO female is also similar in the wild type, with indications of cornification, an estrogen response, whereas the vaginal tissue of the  $\alpha$ ERKO female remains immature and is not cornified.

#### **Ovary and ovarian hormones**

Numerous intraovarian effects of locally synthesized estrogens have been described and postulated to be essential to ovarian function, including modifications in ER levels [6], DNA synthesis and cell proliferation [7-11], intercellular gap junctions [12], and follicular atresia [13]. Estradiol is also known to augment the actions of follicle-stimulating hormone on granulosa cells, resulting in the maintenance of folliclestimulating hormone receptor levels [14,15] and the acquisition of luteinizing hormone (LH) receptor [16,17], an event critical to successful ovulation. The intraovarian actions of estradiol act to ultimately enhance follicular responsiveness to gonadotropins, and thereby result in increased aromatase activity and further estrogen synthesis [17,18]. Therefore, given the many speculated intraovarian actions of 17β-estradiol, disruption of the respective ER genes may be expected to result in distinct ovarian phenotypes.

The ovarian phenotypes are a major component of the infertility in the  $\alpha$ ERKO mice and the subfertility in the  $\beta$ ERKO mice. The  $\alpha$ ERKO female does not ovulate, while the  $\beta$ ERKO female is subfertile with reduced litter numbers and smaller litter sizes compared with wild-type littermates. Interestingly, although both ER $\alpha$  and ER $\beta$  are detected in the ovary, their localization differs with ER $\beta$  in the granulosa cells and ER $\alpha$  in the theca and interstitial



Uterine and vaginal histology of adult ERKO mice. Histological analysis of the uterus (top panels) and vagina (bottom panels) shows the  $\beta$ ERKO tissue is indistinguishable from the wild type, showing the normal organization of the uterine tissue into the epithelial (Ep), stromal (St) and myometrial (My) compartments. In contrast, the  $\alpha$ ERKO uterine tissue is composed of all three layers, yet they are all immature and hypoplastic. Note also the glands are fewer in number. The vagina of the wild-type and  $\beta$ ERKO mice is identical and cornified, indicating response to estrogen, while the  $\alpha$ ERKO vagina shows no cornification. (Reproduced with permission from Couse and Korach [5\*].)

cells of the ovary [19<sup>•</sup>] (Fig. 4). The differential expression of these receptors makes compensatory activity of one receptor in the absence of the other unlikely.

The hallmark phenotype of the  $\alpha$ ERKO female is the enlarged hemorrhagic cystic ovary (Fig. 5), although the prepubertal  $\alpha$ ERKO ovary looks similar to its wild-type littermate. This phenotype begins to develop progressively as the animal matures and is apparently due to a lack of estradiol feedback inhibition in the pituitary, which results in chronically elevated LH and subsequent hyperstimulation of the ovary (Table 1). This indicates that ER $\alpha$  is responsible for mediating the LH feedback inhibition in the hypothalamic–pituitary axis. The constant LH stimulation in the  $\alpha$ ERKO mice results in an abnormal endocrine environment in the  $\alpha$ ERKO female, with elevated estradiol and testosterone, and chronic preovulatory basal progesterone levels (Table 1).

The  $\beta$ ERKO ovaries produce normal serum levels of estradiol and testosterone, and the circulating serum gonadotropin levels are also normal (Table 2). However, the  $\beta$ ERKO ovaries function suboptimally, as illustrated by the appearance of numerous unruptured follicles following superovulation. Attempts to superovulate the  $\beta$ ERKO female results in some ovulation, but the number of oocytes released is reduced compared with wild-type females (Table 3). A role for ER $\beta$  in ovulation is thus indicated, but the mechanism is still being defined.

# Mammary gland

The mammary gland develops and functions in response to ovarian hormones, most notably estrogen and progesterone [20]. The female mammary gland is immature at birth and consists of a mainly stromal tissue, with only a rudimentary epithelial duct structure emanating from the nipple. The ducts elongate in response to ovarian and pituitary hormones, eventually filling the stromal tissue with a branched tree-like structure. Lobular alveolar buds develop along the length of these ducts during pregnancy and differentiate into secretory lactational structures.

Transgenic knockout models have been very informative in understanding the roles of estrogen and progesterone in mammary gland development. The role of progesterone is indicated by the lack of development of alveolar buds in the progesterone receptor knockout mouse [21\*\*]. Similarly, the role for ER $\alpha$  is illustrated by the lack of pubertal growth of the epithelial ductal rudiment in the  $\alpha$ ERKO female despite elevated circulating serum estradiol levels [22,23]

Figure 4

#### Wild-type Mouse Ovary: Estrogen Receptor Expression

Immunohistochemistry

ERα ERB

Localization of ER $\alpha$  and ER $\beta$  protein in the ovary. Serial sections from mouse ovary were stained with antibody to ER $\alpha$  (top panel) or ER $\beta$ (bottom panel). Note that ERβ immunoreativity is confined to the granulosa cells of the follicle, while ERa immunoreativity is localized in the thecal and interstitial cells of the ovary.

(Table 1 and Fig. 6). Transplantation of a wild-type pituitary under the kidney capsule of an a ERKO female resulted in growth and development of the aERKO mammary epithelium. Removal of ovarian steroids by castration prevented the growth and development of mammary epithelium following pituitary transplant (Bocchinfuso et al, submitted).

#### Table 1

Serum hormone levels in adult wild-type and a ERKO mice

#### Figure 5



Ovarian pathology of the ERKO mice. Histological analysis of the wildtype ovary shows normal follicular development and indications of ovulation. The *α*ERKO ovary shows large cystic structures and arrested follicle development with no indication of ovulation, while the BERKO ovary shows development of follicles is occurring but with little indication of successful ovulation.

Administration of progesterone together with estradiol to an ovexed *a*ERKO mouse resulted in alveolar development and some ductal elongation (Bocchinfuso et al, submitted). These observations indicate that the  $\alpha$ ERKO pituitary in the absence of ovarian hormones is incapable of providing a hormonal environment necessary for mammary development. In addition, the a ERKO mammary gland epithelium is capable of responding with growth when a normally functioning pituitary is present to provide the necessary signals to the ovary and the mammary gland. Finally, it is apparent that the secretion of ovarian hormones is a required element of ductal elongation in the aERKO mouse. The BERKO mammary gland is similar to wild-type littermates [5<sup>••</sup>] (Fig. 6) and is functional because  $\beta$ ERKO mothers are able to nurse their litters. Our observations suggest that ERß is not required for the structural and functional development of the mammary gland.

	Female		Male		
Hormone	Wild type	αERKO	Wild type	αERKO	
Gonadal steroids					
Estradiol (pg/ml)†	$29.5 \pm 2.5$	84.3 ± 12.5*	$11.8 \pm 3.4$	12.9 ± 3.4	
Progesterone (ng/ml)†	$2.3 \pm 0.6$	4.0 ± 1.1	$0.5 \pm 0.3$	$0.3 \pm 0.1$	
Testosterone (ng/ml)	$0.4 \pm 0.4$	$3.2 \pm 0.6$	$9.3 \pm 4.0$	$16.0 \pm 2.3$	
Anterior pituitary					
LH (ng/ml)	$0.3 \pm 0.04$	1.7 ± 0.3*	$2.4 \pm 1.2$	$3.7 \pm 0.7$	
FSH (ng/ml)	$4.9 \pm 0.6$	$5.4 \pm 0.7$	$26.0 \pm 1.4$	30.0 ± 1.1	
Prolactin (ng/ml)	$18.8 \pm 10.7$	$3.5 \pm 1.3$	ND	ND	

Data presented as mean ± standard error of the mean. ERKO, Estrogen receptor knockout; FSH, follicle stimulating hormone; LH, luteinizing hormone; ND, not determined. †These values in the female are different than those reported by Couse et al [43•], which were carried out on pooled sera. The values above are the means from assays on individual samples and therefore are more likely to reflect the true levels in the two genotypes. \* t-test, wild type versus ERKO, P < 0.001. Reproduced with permission from Couse and Korach [5\*\*].



#### Table 2

#### Phenotypes of αERKO and βERKO mice

	-				
Tissue	Observation				
	αERKO	βΕRKO			
Testis	Progressive dilation and degradation of tubules, low sperm count, nonfunctional sperm	Normal structure, normal sperm count and fertility			
Uterus	Immature, unresponsive to estradiol	Normal development and response to estrogen			
Ovary	Enlarged, hemorrhagic cysts, follicles arrested at preantral stage, no corpora lutea, no ovulation, elevated serum estrogen and T levels	Subfertile, infrequent and inefficient ovulation; normal estrogen and T			
Mammary, female	Ducts do not develop beyond epithelial rudiment at nipple, no alveolar development	Normal, fully functional. Able to nurse offspring			
Pituitary	FSH $\beta$ , LH $\beta$ , $\alpha$ GSU, mRNAs all elevated, prolactin mRNA reduced	Normal serum gonadotropin levels			
Cardiovascular (male)	Lower basal nitric oxide, estrogen protection in vascular injury not lost. Increase in calcium channels, delayed cardiac depolarization	?			
Bone	Shorter; female, smaller diameter; male, lower density	Normal			
Brain	Male, no intromission, ejaculation decreased agression; female, no receptive behaviors	Normal sexual behavior			

ERKO, Estrogen receptor knockout; FSH, follicle-stimulating hormone; αGSU, gonadotrophin subunit alpha; LH, luteinizing hormone; T, testosterone.

#### Table 3

# Fertility and superovulation data in the $\beta \text{ERKO}$ female mice

Genotype	Co	Continuous mating results			Superovulation results		
	п	Litters/female	Pups/litter	п	Mean	Range	
Wild type	6	$2.8 \pm 0.4$	$8.8 \pm 2.5$	10	33.7 ± 4.8	9–57	
Heterozygous	ND	ND	ND	11	52.5 ± 5.7*	20-77	
βERKO	11	1.7 ± 1.0*	3.1 ± 1.8**	11	6.0 ± 1.5*	0-13	

Data presented as mean  $\pm$  standard error of the mean. ERKO, Estrogen receptor knockout; ND, not determined. Reproduced with permission from Couse and Korach [5••]. \* P < 0.05 and \*\* P < 0.001 Student's two tailed *t*-test versus wild type.

## Skeletal and cardiovascular tissue

Clinical as well as experimental data imply a role for estrogen as well as other endocrine factors in maintaining bone mass. For example, menopause or castration increases the rate of osteoporosis progression in women [24]. The ERKO model is a very useful tool for elucidating the mechanism of estrogen effects in bone and whether these effects are mediated, directly or indirectly, through ERs. ER $\alpha$  is present at very low levels in the bone cells. In the  $\alpha$ ERKO female, the bone is normal in terms of density, but it is significantly shorter and smaller in diameter in both sexes of the  $\alpha$ ERKO mice. This indicates the possibility of a role for estrogen in bone lengthening [5<sup>••</sup>]. A recent report has indicated  $\alpha$ ERKO mice have insulin-like growth factor-1 levels 30% lower than normal mice [25], which might account for the shorter bone. However, the altered hormonal environment (elevated estradiol and testosterone; see Table 1) as well as the increased body weight of the  $\alpha$ ERKO mouse should also be considered when interpreting this phenotype. The  $\alpha$ ERKO males do show a decrease in bone mineral density, a phenotype that is similar to one clinical case of estrogen insensitivity in a man [26]. Our current evaluation of the bones of the  $\beta$ ERKO mouse shows no apparent difference from the wild type (unpublished data), indicating the lack of a major role for ER $\beta$  in bone physiology.

A role for estrogen in the cardiovascular system has also been implied by clinical observations correlating hormonal status with cardiovascular disease risk factors [27]. Additionally, ER has been detected in the vasculature [28]. In

#### Figure 6



Mammary gland whole mounts from ERKO mice. An adult  $\beta$ ERKO mouse displays a fully developed ductal network similar to the wild type. In contrast, the  $\alpha$ ERKO mouse has only a rudimentary underdeveloped epithelial duct (arrow).

the αERKO male heart, an increased number of calcium channels [29<sup>•</sup>] and an associated delay in cardiac depolar-

ization have been observed. In the  $\alpha$ ERKO vasculature, lower basal nitric oxide activity is detected [30] and, interestingly, the ability of estrogen to play a protective role in a vascular injury model is not lost in the  $\alpha$ ERKO [31<sup>•</sup>] or the  $\beta$ ERKO [32<sup>•</sup>] mice. These observations suggest either that each receptor can compensate for the loss of the other or that another mechanism results in protection.

# Progesterone action in the $\alpha$ ERKO female

The activities of estrogen and progesterone are interdependent in the female reproductive cycle [33]. The level of estrogen in the serum peaks just prior to ovulation. This estrogen 'spike' acts upon the uterus, initiating growth and maturation in preparation for pregnancy. Following ovulation, the level of progesterone rises and prepares the uterine stroma for implantation and decidualization, which is a transformation of the stromal cells in response to apposition of the conceptus. Both hormones have been shown to be essential for successful ovulation and pregnancy to occur. The actions of progesterone, like those of estrogen, are mediated through a specific nuclear transcription factor, the progesterone receptor (PR). Since the level of PR is regulated, in part, by estrogen, the activity of progesterone in a ERKO tissues has been studied to determine whether disruption of a ER signaling alters PR signaling. These observations have been reported in detail [34"], but will be summarized here. PR is present at a basal level in the  $\alpha$ ERKO uterus at about 60% of the wild-type level, and its mRNA is not increased by estrogen treatment. However, this basal level of PR is sufficient to induce the mRNA of the progesterone-responsive calcitonin and amphiregulin genes. The a ERKO uterus can also be induced to undergo a decidual reaction in response to progesterone and physical trauma, indicating that PR is fully functional both biochemically and physiologically, and is not dependent on  $ER\alpha$  for expression or function. Interestingly, the decidual reaction is estrogen dependent in the wild-type mice, but not in the αERKO mice.

The mammary gland also responds to progesterone, with development of lobular alveolar structures in the epithelium in preparation for lactation. Although the mammary epithelium of the *α*ERKO mouse is underdeveloped, it can be stimulated with progesterone to develop lobular alveolar structures, suggesting that although the PR is regulated by estrogen, the basal level of PR present in the aERKO mammary gland is sufficient to mediate progesterone action. The necessity for estrogen induction of PR for progesterone responsiveness is brought into question by these observations. As reported previously [34\*\*], it is possible that the need for estrogen priming is lost in the  $\alpha$ ERKO mice because of developmental differences. Although these progesterone responses have not been characterized in the BERKO mice, females can carry pregnancies to term and lactate normally, implying that the ability of the uterus and mammary gland to respond to progesterone is normal.

# Ligand-independent signaling

Numerous studies have shown that nuclear steroid receptors can be activated by membrane receptor pathways to mediate genomic and physiologic responses in the absence of steroid ligand (reviewed in [35")). This mechanism is initiated when growth factors bind to and activate their membrane receptor, resulting in activation of a phosphorylation cascade, culminating in modulation of nuclear receptor activity, most likely by phosphorylation of the nuclear receptor protein. These studies are summarized and described in detail elsewhere [36\*]. In the case of ER $\alpha$ , we have reported activation by either epidermal growth factor (EGF) or insulin-like growth factor-1  $[36^{\circ}, 37, 38^{\circ}, 39-41]$ . The  $\alpha$ ERKO mouse has allowed us to show that estrogen-like effects of EGF require the ERa in vivo, as EGF induced PR mRNA and thymidine incorporation only in uteri from wild-type mice, indicating that the a ERKO mouse was totally unresponsive. Further biochemical studies indicate that the EGF receptor is present and the pathway is functional in the  $\alpha$ ERKO mouse, as EGF induced cFOS, an EGF-responsive gene [36<sup>•</sup>].

## Summary

The phenotypes observed in the  $\alpha$ ERKO and  $\beta$ ERKO mice illustrate the roles of ER $\alpha$  and ER $\beta$  in both reproductive and nonreproductive tissues. Although some phenotypes are downstream results of the lack of estrogen signaling (ie chronic LH stimulation of the aERKO ovary due to loss of estrogen-mediated negative feedback regulation of LH in the pituitary), we have learned a great deal about the roles of ER $\alpha$  and ER $\beta$  in development and physiology. Continued evaluation and characterization of the phenotypes of both classical estrogen target tissues, as well as other organ systems, should help to uncover previously unconsidered links of estrogen and other signaling pathways. Finally, our recent generation of mice lacking both ERs  $(\alpha\beta ERKO)$  will be useful in detecting any interaction between these receptors or compensatory mechanisms of one receptor in the absence of the other, as well as uncovering nonreceptor-mediated estrogen actions [42\*\*].

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