

## Expression of Tumor-associated Glycoantigen, Sialyl Lewis<sup>a</sup>, in Human Head and Neck Squamous Cell Carcinoma and Its Application to Tumor Immunotherapy

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The glycoantigen sialyl Lewis<sup>a</sup> (sLe<sup>a</sup>) is widely expressed on a variety of gastrointestinal tumor cells. Here, we immunohistochemically demonstrated the expression of sLe<sup>a</sup> antigen in 54% (7 out of 13) of human head and neck squamous cell carcinoma (H-NSCC) samples. Frequent expression of sLe<sup>a</sup> antigen was also demonstrated on a variety of H-NSCC cell lines using flow cytometry. Both CD4<sup>+</sup> and CD8<sup>+</sup> T cells, which were activated with immobilized OKT3 monoclonal antibody plus interleukin-2, showed augmented cytotoxicity against sLe<sup>a</sup>-positive H-NSCC, including autologous tumor cells, on targeting with anti-CD3 × anti-sLe<sup>a</sup> bispecific antibody, suggesting that sLe<sup>a</sup> antigen is a good target molecule for bispecific antibody-dependent adoptive tumor immunotherapy of human head and neck cancer.

Key words: Sialyl Lewis<sup>a</sup> — Head and neck squamous cell carcinoma — Immunotherapy

Squamous cell carcinoma represents the major histological type of neoplasm arising from head and neck, cervix, skin, and lung.<sup>1)</sup> For the diagnosis and therapy of H-NSCC,<sup>4</sup> mAbs recognizing tumor-associated antigens are potentially powerful tools.<sup>1,2)</sup> Such mAbs have been used as targeting reagents for the selective delivery of antitumor drugs or radionuclides.<sup>2-5)</sup> Recently, it was also demonstrated that BSAb prepared with anti-CD3 × anti-tumor mAbs is effective for the targeting of anti-tumor effector cells to a tumor site.<sup>6-9)</sup> During screening for a mAb which can react with H-NSCC, we found that sLe<sup>a</sup> antigen detected by KM231 mAb was strongly expressed on H-NSCC. sLe<sup>a</sup> antigen is a tumor-associated antigen expressed on a variety of gastrointestinal tumor cells, while its expression on normal cells is restricted.<sup>10-12)</sup> Therefore, a mAb against sLe<sup>a</sup> should be suitable for application to tumor immunotherapy. We show herein that H-NSCC express sLe<sup>a</sup> antigen in high frequency and present evidence indicating that mAb against sLe<sup>a</sup> is a good reagent for the preparation of BSABs useful for adoptive immunotherapy of H-NSCC.

The expression of sLe<sup>a</sup> antigen in 13 H-NSCC was examined by immunohistochemical analysis. As summarized in Table I, 7 out of 13 tumor samples were positive for sLe<sup>a</sup> expression. Typical staining patterns in two tumor specimens (tumors no. 4 and 5 in Table I) are shown in Fig. 1. As is clear from the staining profiles

(Fig. 1b and d), only the tumor, indicated by "T" in Fig. 1a and c, showed a strong reactivity with KM231 mAb, while normal tissues around the tumor were not stained with KM231 mAb. To confirm the frequent expression of sLe<sup>a</sup> antigen on the cell surface of H-NSCC cells, we also investigated sLe<sup>a</sup> expression on 6 primary H-NSCC cell lines established in our laboratory. Flow cytometry analysis showed that 5 out of 6 established H-NSCC cell lines expressed sLe<sup>a</sup> antigen on their cell surface (Fig. 2).

To determine whether it is possible to target antitumor effector T cells to H-NSCC using anti-sLe<sup>a</sup> mAb, we prepared anti-CD3 × anti-sLe<sup>a</sup> BSAB by the method shown in Fig. 3. The BSAB reacted with both CD3<sup>+</sup> T cells and sLe<sup>a</sup> H-NSCC but not with sLe<sup>a</sup>-negative Daudi B lymphoma cells (Fig. 3). The effect of BSAB on the induction of cytotoxicity mediated by activated T cells was also determined. CD4<sup>+</sup> T cells and CD8<sup>+</sup> T cells isolated from the blood of a patient with H-NSCC were activated with immobilized OKT3 plus IL-2 for 14 days. Then, their cytotoxicity against sLe<sup>a</sup>-positive H-NSCC Ga cell line was measured in the presence or absence of BSAB. As shown in Fig. 4, both CD4<sup>+</sup> and CD8<sup>+</sup> activated T cells showed higher cytotoxicity in the presence of BSAB compared with that in the absence of BSAB. Moreover, activated CD4<sup>+</sup> T cells and CD8<sup>+</sup> T cells showed augmented cytotoxicity against autologous H-NSCC cells in the presence of BSAB. Such augmentation of cytotoxicity by BSAB was not observed when sLe<sup>a</sup>-negative IMR32 glioma cells were used as target cells.

Since the hybridoma technique was developed, many investigators have considered the application of mAbs to tumor immunotherapy.<sup>2-5,13)</sup> Indeed, it has been demon-

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<sup>4</sup> Abbreviations: H-NSCC, head and neck squamous cell carcinoma; sLe<sup>a</sup>, sialyl Lewis<sup>a</sup>; mAb, monoclonal antibody; BSAB, bispecific antibody; IL, interleukin.

Table I. Summary of sLe<sup>a</sup> Expression in Human Head and Neck Squamous Cell Carcinoma

Tumor sample	Sex/Age	TNM classification	sLe <sup>a</sup> expression <sup>a)</sup>
1. Laryngeal carcinoma	M/67	Stage IV (T3, N2, M0)	+
2. Laryngeal carcinoma	M/77	Stage III (T3, N0, M0)	+
3. Laryngeal carcinoma	M/78	Stage III (T3, N1, M0)	-
4. Maxillary carcinoma	M/56	Stage III (T3, N0, M0)	+
5. Maxillary carcinoma	M/42	Stage IV (T4, N0, M0)	+
6. Maxillary carcinoma	F/43	Stage IV (T4, N1, M0)	-
7. Maxillary carcinoma	M/47	Stage III (T3, N0, M0)	-
8. Maxillary carcinoma	M/78	Stage IV (T4, N0, M0)	-
9. Carcinoma of tongue	F/49	Stage IV (T4, N0, M0)	-
10. Carcinoma of tongue	F/42	Stage III (T3, N0, M0)	-
11. Carcinoma of mouth floor	M/59	Stage IV (T3, N2, M1)	+
12. Hypopharyngeal carcinoma	M/53	Stage IV (T3, N2, M0)	+
13. Hypopharyngeal carcinoma	M/78	Stage II (T2, N0, M0)	+

a) The expression of sLe<sup>a</sup> antigen was determined by immunohistochemical analysis. Briefly, a paraffin-embedded section of tumor tissues were deparaffinized, blocked with normal goat serum, and treated with mAb against sLe<sup>a</sup> antigen (KM231 mAb, kindly donated by Dr. N. Hanai, Kyowa Hakko Co., Ltd., Tokyo) for 1 h. After washing with phosphate-buffered saline, the sections were further treated with peroxidase-conjugated goat anti-mouse Ig for 1 h. The tissues were developed with 0.2 mg/ml of diaminobenzidine tetrahydrochloride. +, positive; -, negative.

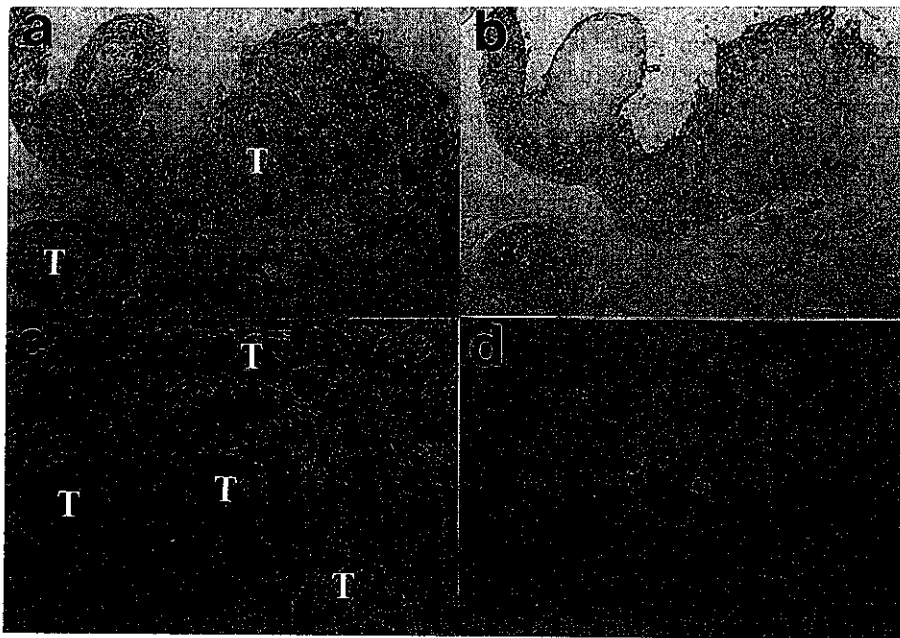


Fig. 1. Immunohistochemical staining of sLe<sup>a</sup> antigen in H-NSCC. The staining profile of two tumor tissues is shown. (a, b), tumor sample no. 4 in Table I; (c, d), tumor sample no. 5 in Table I; (a, c), hematoxylin and eosin staining; (b, d), sLe<sup>a</sup> expression detected by KM231 mAb indirect peroxidase staining; (T), tumor area.

strated that immunotoxin is effective to inhibit tumor growth in an *in vivo* animal model.<sup>14, 15)</sup> Recent studies have also demonstrated that use of BSAb prepared with anti-tumor and anti-effector cell mAbs is an efficient method for the targeting of antitumor effector cells to

tumor cells.<sup>6-9)</sup> This specific targeting therapy should be an effective strategy for tumor immunotherapy if a good target molecule expressed on tumor cells can be found. As previously demonstrated, sLe<sup>a</sup> is expressed on a variety of tumor cells, while normal tissues generally express

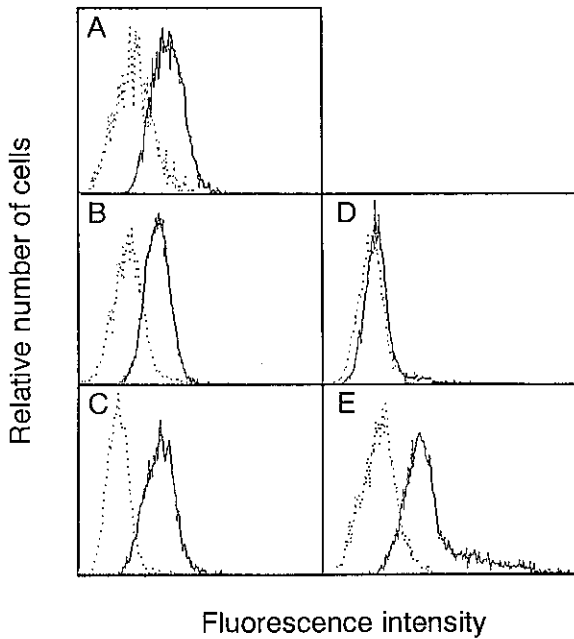


Fig. 2. The expression of sLe<sup>a</sup> antigen on established H-NSCC lines. The reactivity of KM231 mAb with various H-NSCC cell lines was examined by FACSscan as described previously.<sup>19)</sup> A, Ga cells; B, KH cells; C, KM-2 cells; D, Q2 cells; E, TY cells. The solid lines show the staining profiles and the dotted lines indicate control curves.

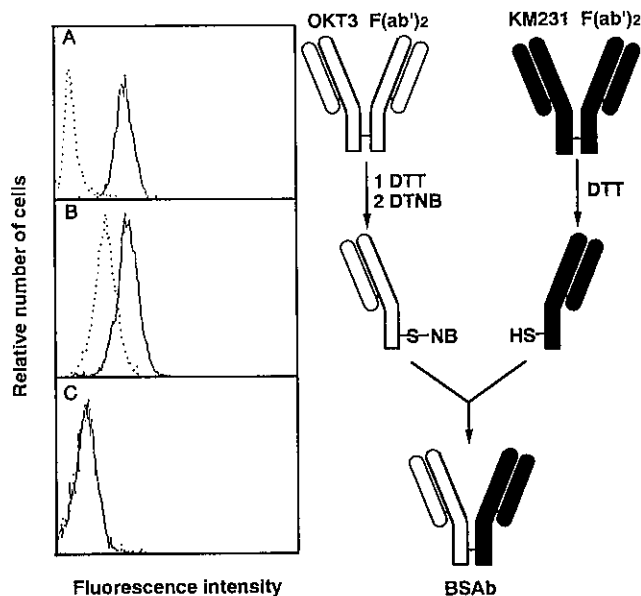


Fig. 3. Scheme for preparation of BSAb and its reactivity with T cells and H-NSCC. The procedure for preparation of BSAb was described in detail in a previous paper.<sup>20)</sup> The reactivity of anti-CD3 × anti-sLe<sup>a</sup> BSAb was determined using CD3<sup>+</sup>CD8<sup>+</sup> T cells (A), sLe<sup>a</sup>-positive Ga H-NSCC cells (B) or sLe<sup>a</sup>-negative Daudi B lymphoma cells (C).

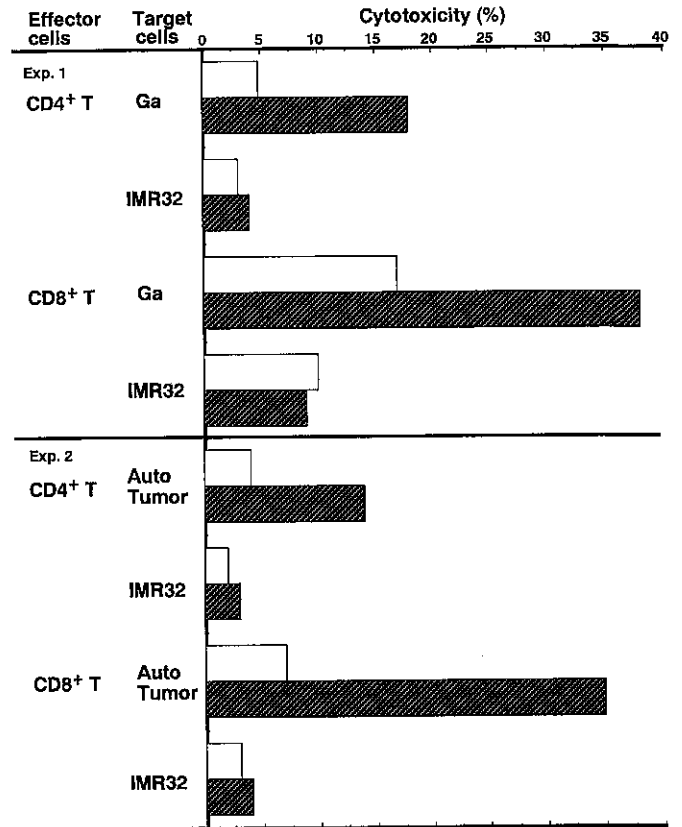


Fig. 4. BSAb-directed cytotoxicity mediated by CD4<sup>+</sup> or CD8<sup>+</sup> T cells against H-NSCC. The cytotoxic activity of CD4<sup>+</sup> T cells or CD8<sup>+</sup> T cells, which were activated with immobilized OKT3 mAb plus IL-2, was determined by 4-h <sup>51</sup>Cr-release assay in the presence (hatched bars) or absence (open bars) of BSAb (1 μg/ml). Exp. 1, both CD4<sup>+</sup> T cells and CD8<sup>+</sup> T cells were obtained from an H-NSCC patient and their cytotoxicity against Ga cell lines was determined. Exp. 2, both CD4<sup>+</sup> T cells and CD8<sup>+</sup> T cells were induced from an H-NSCC patient and their cytotoxicity against autologous tumor cells (Auto tumor) was examined. As a negative control, sLe<sup>a</sup>-negative IMR32 glioma cells were used for target cells. The method for preparation of effector T cells was described in detail in a previous paper.<sup>21)</sup>

little or no sLe<sup>a</sup> antigen.<sup>10-12)</sup> It has been demonstrated that pancreatic cancer, gall bladder cancer and hepatoma express sLe<sup>a</sup> in high frequency.<sup>14)</sup> However, the expression of sLe<sup>a</sup> antigen on H-NSCC has not previously been determined. As summarized in Table I, we initially demonstrated that H-NSCC express sLe<sup>a</sup> antigen in high frequency (Fig. 1). The flow cytometric analysis of established cell lines confirmed the frequent expression of sLe<sup>a</sup> on H-NSCC (Fig. 2). Using CA19-9, which is a well known mAb against sLe<sup>a</sup> antigen, it has been demonstrated that sLe<sup>a</sup> is a good target molecule for diagnosis and therapy of various tumors.<sup>16,17)</sup> As previously re-

ported by Hanai *et al.*,<sup>18)</sup> KM231 mAb reacts with sLe<sup>a</sup> antigen with higher affinity compared with CA-19-9 mAb. Moreover, it was demonstrated that immunotoxin containing KM231 mAb and ricin A was specifically delivered to the tumor site and inhibited the growth of a tumor implanted in nude mice.<sup>14)</sup> Therefore, we thought KM231 mAb might be advantageous for the preparation of a BSAb which can bind both tumor cells and anti-tumor effector cells.

In previous papers,<sup>9,21)</sup> we reported that anti-CD3 × anti-*c-erbB-2* BSAb could trigger both cytotoxicity and helper function of CD4<sup>+</sup> helper/killer T cells. Moreover, the growth of LS174T colon cancer implanted in nude mice was completely inhibited by treatment with BSAb plus CD4<sup>+</sup> helper/killer cells. Thus, targeting of CD4<sup>+</sup> T cells with killer cells appeared to be effective for the

augmentation of local help at tumor sites. As shown in Fig. 4, our anti-sLe<sup>a</sup> × anti-CD3 BSAb is effective for triggering both CD8<sup>+</sup> T cells and CD4<sup>+</sup> helper/killer cells. From the findings that (1) anti-CD3 × anti-sLe<sup>a</sup> BSAb can react with H-NSCC in high frequency and that (2) the BSAb can stimulate effector cell functions of both CD4<sup>+</sup> and CD8<sup>+</sup> T cells, it appears that sLe<sup>a</sup> antigen is a good target molecule for BSAb-directed adoptive tumor immunotherapy of human H-NSCC.

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