

Significance of Tumor-Infiltrating Immune Cells in the Prognosis of Colon Cancer

This article was published in the following Dove Press journal:
OncoTargets and Therapy

Dejun Wu*
Yue Ding *
Tingfeng Wang*
Peng Cui
Liangliang Huang
Zhijun Min
Ming Xu

Department of General Surgery, Shanghai Pudong Hospital, Fudan University Pudong Medical Center, Pudong, Shanghai 201399, People's Republic of China

*These authors contributed equally to this work

Objective: Increasing evidence has indicated an association between immune infiltration in colon cancer and clinical outcomes. The aim of this research is to comprehensively investigate the effect of 22 tumor-infiltrating immune cells (TIICs) on the prognosis of colon cancer patients.

Methods: In our research, CIBERSORT algorithm was used to calculate the proportion of 22 TIICs in 369 colon cancer cases and 39 normal cases from the TCGA cohort. Cox regression analysis was used to analyze the effect of 22 TIICs on the prognosis of colon cancer. Immune risk scoring model was constructed based on the statistical correlation between TIICs sub-population and survival. Meanwhile, multivariate Cox regression analysis was utilized to investigate whether the immune risk score model was an independent factor for predicting the prognosis of colon cancer. Nomogram was constructed to comprehensively predict the survival rate of colon cancer. $P < 0.05$ was considered to be statistically significant.

Results: The results of the difference analysis showed that except for 12 TIICs, the remaining immune cells exhibited no differential infiltration between normal and colon cancer tissues ($p < 0.05$). Univariate Cox regression analysis revealed 5 immune cells statistically correlated with colon cancer-related survival risk, including B cells naive, B cells memory, monocytes, macrophages M0, macrophages M1 ($P < 0.05$). In addition, a four-cell based immune risk scoring model was constructed through LASSO Cox regression analysis. KM curve indicated that patients in highrisk were associated with poor outcomes ($p < 0.001$). ROC curve indicated that the immune risk score model was reliable in predicting survival risk (AUC=0.848). Our model showed satisfying AUC and survival correlation in the validation dataset (3-year over survival (OS) AUC=0.941, 5-year OS AUC=0.865, $P=0.022$). Furthermore, multivariate Cox regression analysis confirmed that the immune risk score model was an independent factor for predicting the prognosis of colon cancer (hazard ratio (HR) =5.017, 95% confidence interval (CI) =2.336–10.777; $P < 0.001$). Ultimately, a nomogram was established to comprehensively predict the survival of colon cancer patients with the results of multivariate Cox regression analysis.

Conclusion: Collectively, tumor-infiltrating immune cells played an essential role in the prognosis of colon cancer. Furthermore, immune risk score was an independent predictive factor of colon cancer, indicating a poor survival.

Keywords: colon cancer, TIICs, prognosis, immune risk score model, nomogram

Correspondence: Zhijun Min; Ming Xu
Department of General Surgery, Shanghai Pudong Hospital, Fudan University Pudong Medical Center, 2800 Gongwei Road, Huinan Town, Pudong, Shanghai 201399, People's Republic of China
Email minzhijun@126.com; xuming681025@sina.com

Introduction

Colon cancer, a principle malignancy of the alimentary canal, ranks third among malignant tumors in terms of morbidity worldwide.^{1,2} The relevant studies reveal that more than 1 million people developed colon cancer each year, and the disease-specific mortality rate in developed countries is approximately 45%.³ Mortality of colon cancer is on the rise due

to changes in diet and lifestyle.^{4,5} Although colon cancer treatment options (e.g. surgery, chemoradiotherapy, and immunotherapy) have been greatly improved, the 5-year survival rate remains as high as 64.9%.⁶

It has been extensively documented that the immune system plays an important role in cancer. The immune response is the process of interaction between many specific cells that may affect the clinical outcome of colon cancer.⁷ It has been reported that the composition and function of tumor-infiltrating immune cells (TIICs) varies with the host immune status and has potential prognostic value. Notably, they could be effectively targeted by drugs and affect the clinical outcomes of patients. CIBERSORT is a gene expression-based deconvolution algorithm that uses a set of barcode gene expression values to characterize immune cell composition. Compared with traditional immunohistochemistry and flow cytometry methods, CIBERSORT algorithm can comprehensively, quickly and accurately infer the relative proportion of 22 types of invasive immune cells in tumors.⁸ Given the superiority of this method, a large number of studies have recently used this method to investigate the effect of 22 tumor immune cell subtypes on prognosis.^{9–12}

The purpose of this study is to calculate the infiltration extent of 22 immune cells in colon cancer and investigate the effects of 22 TIICs on the prognosis of patients with colon cancer via the CIBERSORT algorithm. Furthermore, an immune risk score model and a nomogram model are constructed to predict the survival of colon cancer.

Materials and Methods

Data Acquisition

We identified and downloaded the transcriptome data of patients with colon cancer from the TCGA database, including 39 cases of normal tissue and 369 cases of tumor tissue. Additionally, relevant clinical information of 369 colon cancer patients were obtained such as age, gender, stage, tumor & Lymph node & metastasis stage, survival status, mutations, microsatellite status, and survival duration. Four GEO datasets (GSE29623, GSE39582, GSE72969, GSE103479) were combined as one independent validation set with 856 cases of colon cancer patients (<https://www.ncbi.nlm.nih.gov/geo/>). Finally, “limma” package in R software was utilized to correct the transcriptome data we have downloaded.

Assessment of Immune Infiltration

CIBERSORT was a deconvolution algorithm that used 547 tag gene expression values to characterize the composition

of immune cells in tissues. In this study, we used this algorithm to estimate the relative proportion of 22 infiltrating immune cells in the corrected transcriptome data of colon cancer. We uploaded the corrected transcriptome data to the CIBERSORT website (<http://cibersort.stanford.edu>) and set the algorithm to 1000 rows. $P < 0.05$ was considered to be statistically significant.

Statistical Analysis

All analyses were performed using R 3.6.1. All statistical tests were two-sided, and P value < 0.05 was considered statistically significant. Continuous variables that conformed to the normal distribution were compared with the use of independent t test for comparison between groups, while continuous variables with skewed distribution were compared with the Mann–Whitney U -test. The correlation matrix was constructed by R software based on Pearson correlation coefficient. The relationship between immune cell infiltration and overall survival was analyzed

Table I Clinical Characteristics of Patients Involved in the Study

Age	≤65	150
	>65	219
Gender	Female	174
	Male	195
Stage	Stage I	64
	Stage II	148
	Stage III	103
	Stage IV	54
T stage	T1	7
	T2	65
	T3	255
	T4	42
M stage	M0	282
	M1	54
	MX	33
N stage	N0	219
	N1	86
	N2	64
Microsatellite	MSS	246
	MSI-I	68
	MSI-H	56
Mutations	APC	257
	TP53	178
	KRAS	129
	BRAF	36

Abbreviation: MX, indeterminate pathological M stage.

through the Kaplan–Meier curve which was evaluated by Log-rank test. Time-dependent ROC curves were used to analyze the sensitivity and specificity of the recurrence prediction model. The univariate regression model was used to analyze the effects of individual variables on survival. The least absolute shrinkage and selection operator (LASSO) Cox regression model was used to confirm independent impact factors associated with survival. The nomogram was constructed with the regression coefficients based on the Cox analysis.

Results

Distribution of Tumor-Infiltrating Immune Cells

In this study, the dataset included 369 cases of colon cancer samples. The clinicopathological characteristics of these samples are shown in Table 1. The composition of

TIIC in colon cancer samples and the correlation between immune cells are shown in Figure 1A and 1B, respectively. We also demonstrated a close relationship between each type of TIIC via Pearson correlation coefficient (Figure 1C). Furthermore, we revealed that there were large differences in the composition of TIIC between normal tissue and colon cancer tissue (Figure 1D). B cells naive, Plasma cells, T cells delta gamma, NK cells activated, Monocytes, Macrophages M2, mast cells resting were decreased whereas T cells CD4 memory activated, T cells follicular helper, NK cells resting, Macrophages M0, mast cells activated were increased in colon cancer tissues compared with healthy bowel tissues. We further investigated the proportion of each TIIL in different pathological stages. We demonstrated that plasma cells, Macrophages M0 and T cells follicular helper were most significantly associated with development of pathological stages (Figure 2).

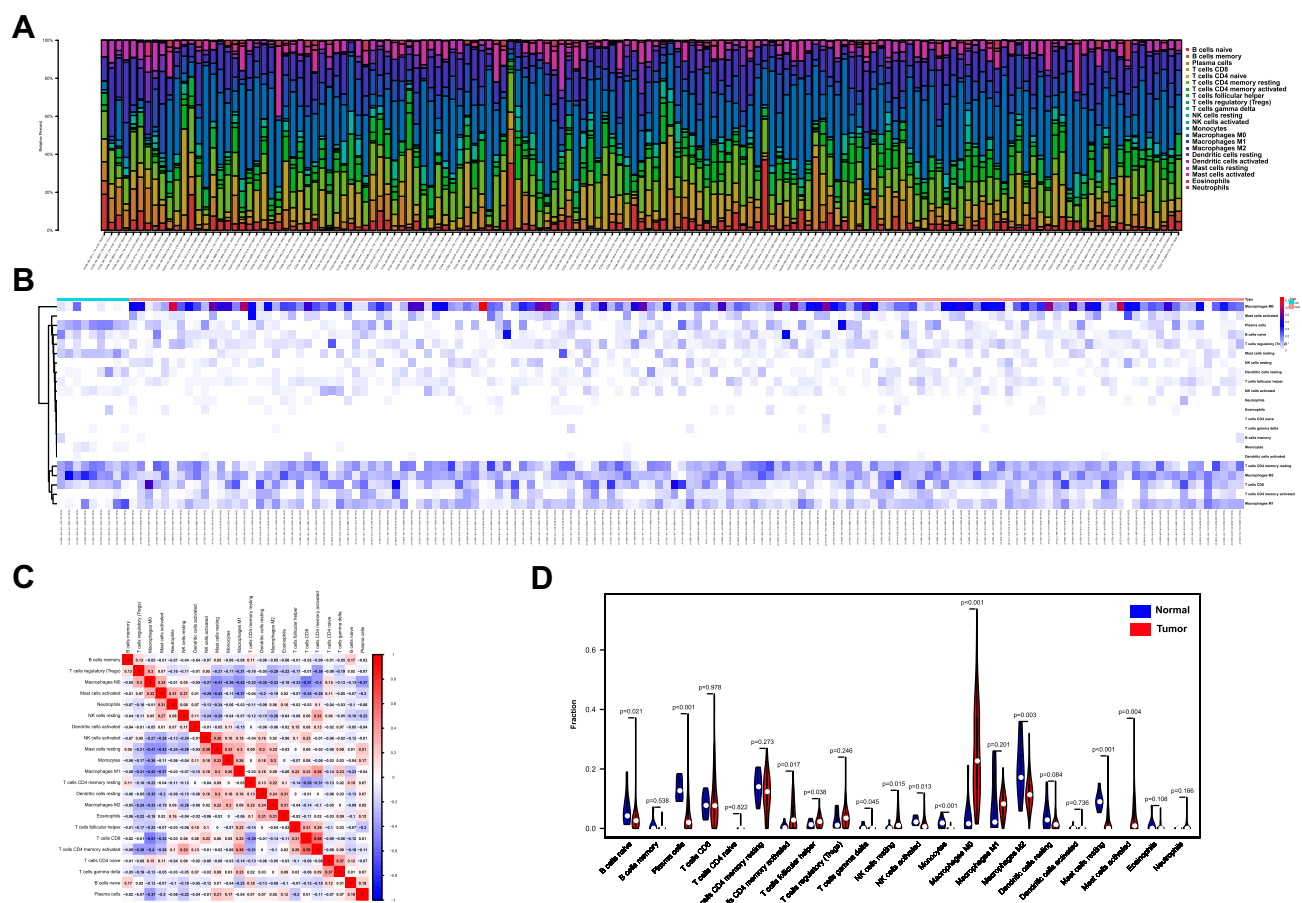


Figure 1 Analysis of the expression level of 22 TIICs and its correlation in 369 colon cancer cases and 39 normal cases. (A, B) Heatmap of 22 TIICs and immune cells between 369 colon cancer cases derived from the TCGA database. (C) The correlation matrix of 22 types of TIILs in colon cancer analyzed by Pearson correlation coefficient. (D) The differences in the composition of TIIC between normal tissue and colon cancer tissues.

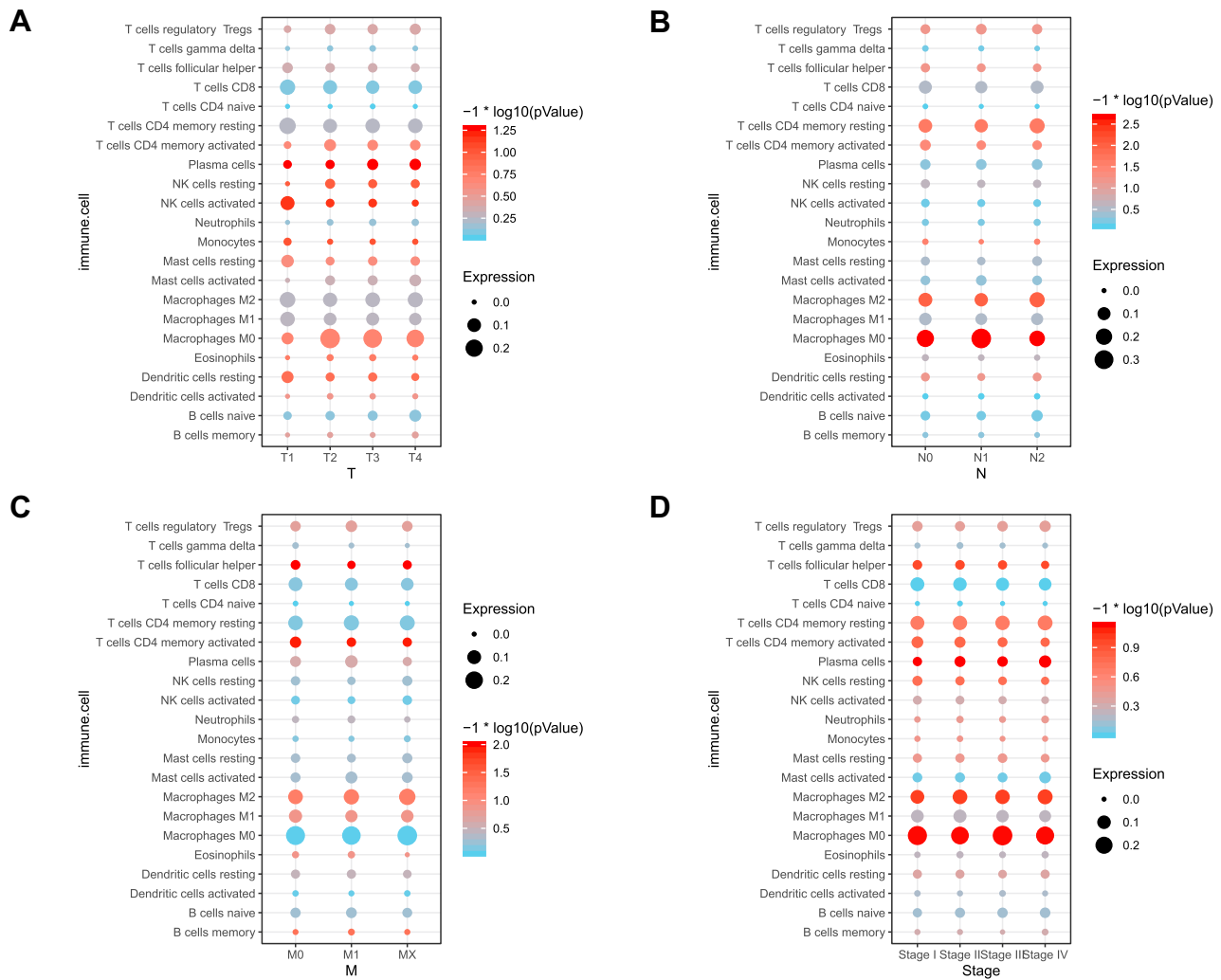


Figure 2 Correlation analysis between TNM&Stage and 22 TIICs in 369 colon cancer cases. **(A)** Correlation analysis between tumor stage and 22 TIICs expression in 369 colon cancer cases. **(B)** Correlation analysis between node stage and 22 TIICs expression in 369 colon cancer cases. **(C)** Correlation analysis between metastasis stage and 22 TIICs expression in 369 colon cancer cases. **(D)** Correlation analysis between pathologic stage and 22 TIICs expression in 369 colon cancer cases.

LASSO Cox Regression Analysis Was Utilized to Establish an Immune Risk Score

To find a subset of prognosis that was statistically associated with the risk of colon cancer survival, we used a univariate Cox regression method to analyze 22 immune cells. $P < 0.05$ was set as the screening criteria. The results indicated that B cells naive, B cells memory, Monocytes, Macrophages M0, Macrophages M1 were prominently associated with colon cancer survival risk (Table 2). Furthermore, LASSO Cox model was performed to construct an immune risk scoring model based on the foresaid five immune cells (Macrophages M0 was finally eliminated by LASSO Cox model). The distribution of immune risk score, patients' survival status and 4 immune cells in

colon cancer patients was presented in Figure 3C-E, respectively. The correlation between risk scores and clinical indicators is displayed in Figure 3F, and we found the higher immune risk factor was also associated with pathological T and N stage. Each patient received a risk score according to the model. Based on the median value of the risk score, we divided the patients into high-risk and low-risk groups. The ROC curve revealed that the risk model had a good sensitivity and specificity in predicting survival risk (AUC=0.848, Figure 4A). The KM curve suggested that patients in the high-risk group had a poor prognosis (Figure 4B). We also applicated the model in a GEO dataset for validation. As expected, our model showed satisfying AUC in the validation dataset (0.941 for 3-year overall survival (OS) and 0.865 for 5-year OS,

Table 2 Results of Univariate Cox Regression Analysis for 22 Immune Cells in Colon Cancer Patients

id	HR	HR.95L	HR.95H	P value
B cells naive	311.0320272	1.448361106	66,793.37185	0.03615504
B cells memory	2.16807E+19	18,675.81068	2.52E+34	0.011880731
Plasma cells	9.908880614	0.005814175	16,887.33395	0.545776925
T cells CD8	3.690680235	0.025493891	534.2895864	0.606953156
T cells CD4 naive*	\	\	\	\
T cells CD4 memory resting	36.2095888	0.044024829	29,781.70179	0.294609189
T cells CD4 memory activated	5.84976819	0.000168512	203,070.0214	0.740535312
T cells follicular helper	0.000212815	1.29E-13	351,897.0866	0.434968265
T cells regulatory (Tregs)	3.831273644	1.61E-05	912,942.2202	0.831615743
T cells gamma delta	4.64824E-10	2.80E-34	7.72909E+14	0.450124041
NK cells resting	22.21902791	9.25E-06	53,358,493.74	0.679102018
NK cells activated	325.3712427	1.35E-05	7,815,492,365	0.504657234
Monocytes	1.71433E+39	191,849.9615	1.53E+73	0.02351612
Macrophages M0	0.020033077	0.000459792	0.872838185	0.042296692
Macrophages M1	43,538.63482	3.731631977	507,984,906.8	0.025379661
Macrophages M2	0.010214139	5.82E-07	179.2761644	0.357927745
Dendritic cells resting	5.87793E-08	2.52E-20	137,147.6151	0.251849586
Dendritic cells activated	1.54177E-13	1.96E-42	1.21361E+16	0.384840626
Mast cells resting	321.7119198	0.00013431	770,592,696.2	0.441073054
Mast cells activated	0.366372456	5.54E-05	2421.298662	0.822963554
Eosinophils	7.80315E-09	2.54E-35	2.3989E+18	0.548551183
Neutrophils	173.4161773	3.55E-10	8.47016E+13	0.707327463

Note: *T cells CD4 naive barely expressed.

Figure 4C). Similarly, patients with the high-risk also showed poor prognosis in validation dataset (Figure 4D).

The Immune Risk Scoring Model Was an Independent Factor for Predicting the Prognosis of Colon Cancer

To explore whether the constructed immune risk scoring model was independent from age, gender, stage, and other clinical pathological parameters, we performed an univariate and multivariate Cox regression analysis for age, gender, stage, TNM and risk score. In univariate Cox model, pathological T, N stage, pathological stage and high immune risk score were associated with poor survival (Figure 5A). In multivariate Cox model, only pathological T stage and immune risk score worked as independent predicted factors (Figure 5B). On the basis of the coefficients of the multivariate Cox regression analysis, we constructed a nomogram to predict patient survival (Figure 5C).

Discussion

As already told, the immune system plays an important role in the development of cancer, which could recognize and kill cancer cells through adaptive immune response

defence. Meanwhile, cancer cells could succeed in escaping the recognition of immune cells via a variety of ways. Tumor micro-environment (TME) was composed of cancer cells, stromal cells, chemokines and cytokines, where these cells could communicate and transmit by direct contact or secretion of related cytokines.¹³ Studies had shown that cancer prognosis was closely related to TME, especially cancer-infiltrating immune cells.^{14,15} It is evident that different types of cancers had diverse TIICs subpopulation. Even the same pathological type, TIICs subpopulation could be various among patients. Therefore, it was crucial to investigate the immune-infiltrating cell subsets for the evaluation of risk and tumor prognosis.

We conducted a comprehensive and detailed assessment of immune infiltration in colon cancer, based on the deconvolution of data from a large set of samples. The study revealed the specific TIICs that affected the prognosis of patients with colon cancer, and demonstrated the diversity of immune cells in patients with colon cancer. Separate studies have shown that^{16–19} colon cancer is locally infiltrated with various immune cell subgroups, including Macrophages, dendritic cells, NK cells, initial and memory CD8 + T cells, B cells, and different effector CD4 + T cell subsets, such as Th1 cells, Th2 cells, Th17 cells and regulatory T cells (Treg). These

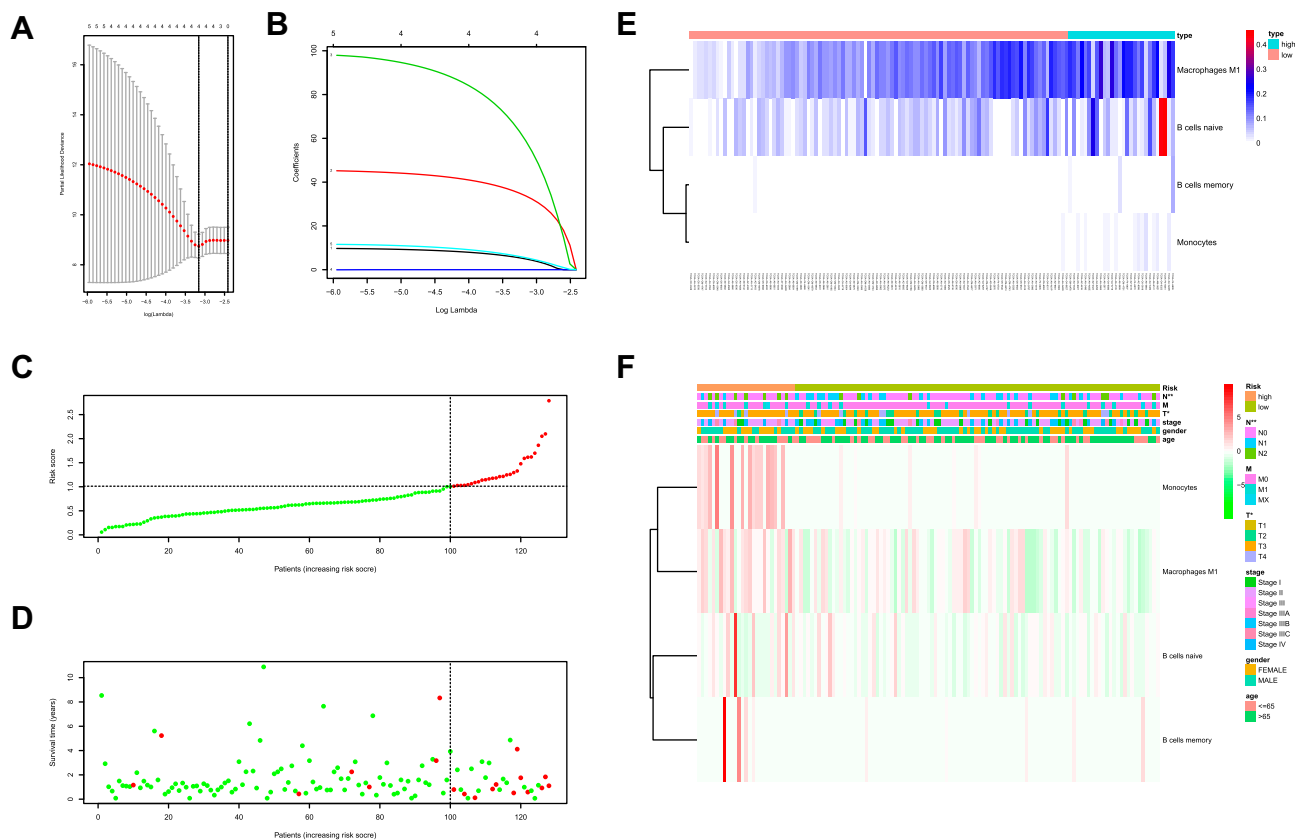


Figure 3 (A, B) LASSO Cox regression model to 4 prognostic factors used to construct immune risk score model (eliminated 1 factor: Macrophages M1). (C) Distribution of immune risk scores in colon cancer patients. (D) Distribution of survival status in colon cancer patients. (E) Distribution of specific risk factors (Macrophages M1, B cells naive, B cells memory and monocytes) in the high- and low-risk groups (divided by median value). (F) The correlation between immune risk score and clinical indicators.

characteristic immune cells constituted an individualized “immune signature map” for patients with early colon cancer, and provided new ideas for the subsequent specific immunotherapy for colon cancer. In our research, we aimed to accurately explore the prognostic value of tumor-infiltrating immune cells in patients with colon cancer. Similarly, the results of the difference analysis showed that except for B cells naive, Plasma cells, T cells delta gamma, T cells CD4 memory activated, T cells follicular helper, NK cells resting, NK cells activated, Monocytes, Macrophages M0, Macrophages M2, mast cells resting, mast cells activated, the remaining immune cells had no differential infiltration between normal and colon cancer tissues ($p < 0.05$).

Additional analysis indicated that B cells naive, B cells memory, Monocytes, Macrophages M0, Macrophages M1 were associated with colon cancer survival risk. Previously, many studies have shown that tumor necrosis factor- α is closely related to the promotion and development of tumors.^{20,21} Stanilov et al²² have observed that monocytes from patients with advanced cancer secreted significantly more tumor necrosis factor- α than those from patients with

early disease. And they believed that monocytes in colon cancer patients are prone to produce higher levels of tumor necrosis factor- α after stimulation, which is related to the survival risk of the disease. Kaler et al recently reported that macrophages and IL-1 promote Wnt signal transduction in colon cancer cells in a NF- κ B-dependent manner. This suggested that the pro-inflammatory cytokines produced by macrophages could enhance the transcription activity of tumor cells and promote the growth of colon cancer cells.^{23,24} Their results demonstrated that monocytes and macrophages promoted colon cancer cell growth, which was consistent with our findings that increased monocytes infiltration as well as macrophages were associated with poor prognosis of colon cancer.

Furthermore, a LASSO Cox regression method was used to construct an immune risk scoring model for these four immune cells. KM curve indicated that patients in high-risk were associated with poor outcomes ($p < 0.001$). ROC curve indicated that the immune risk scoring model was reliable in predicting survival risk (AUC = 0.848). We

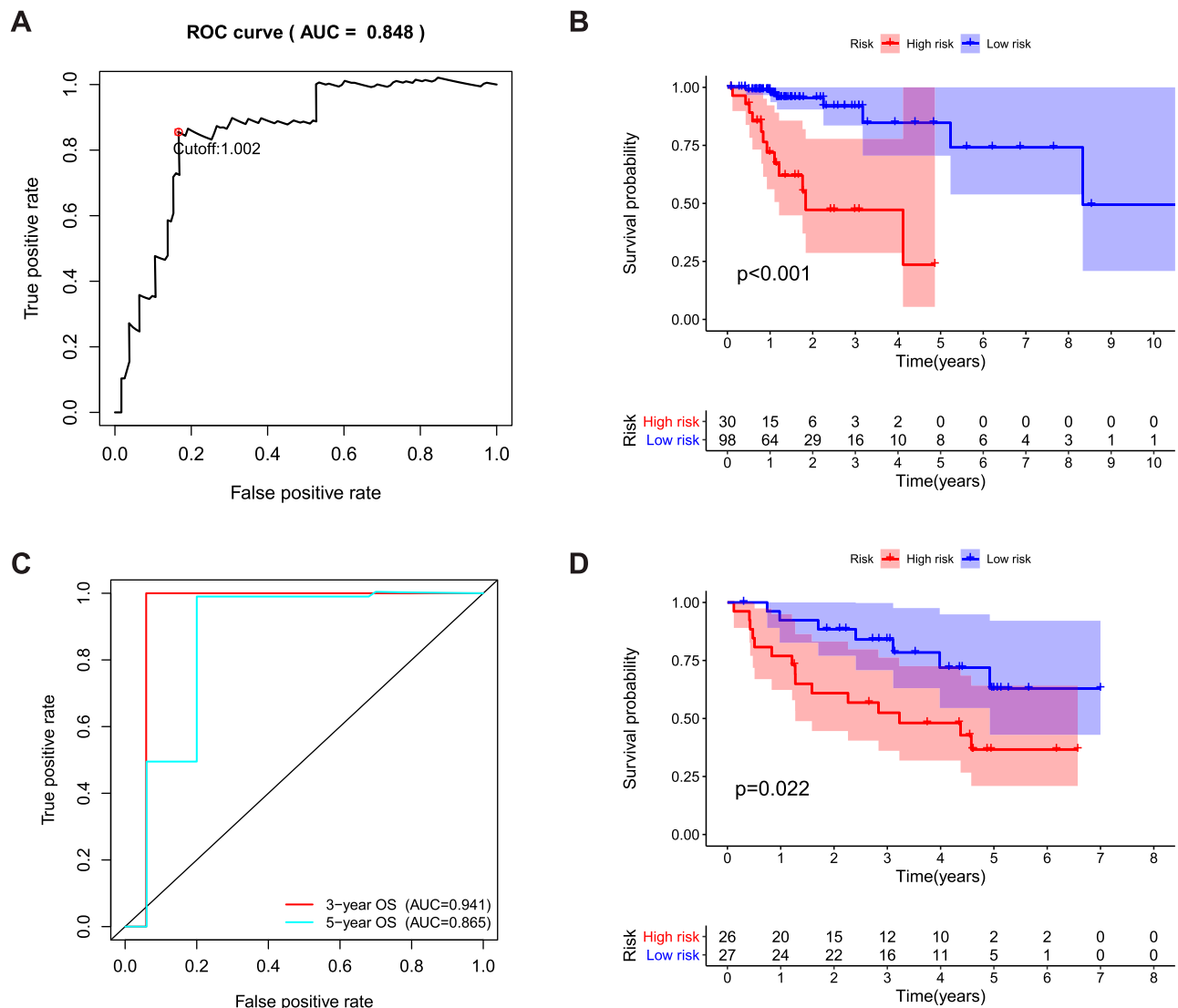


Figure 4 (A) ROC curve AUC statistics assess the predictive capability of the immune risk score model (TCGA database). (B) Kaplan–Meier survival curves of over survival between high- and low-risk group (TCGA database). (C) ROC curve AUC statistics assess the predictive capability of the immune risk score model (independent validation set derived from GEO database, N=856). (D) Kaplan–Meier survival curves of over survival between high- and low-risk group (independent validation set derived from GEO database, N=856).

conducted a multivariate Cox regression analysis on risk score, age, gender, stage and TNM stages. Results showed that the immune risk score model was an independent factor for predicting the prognosis of colon cancer (hazard ratio (HR) = 5.017, 95% confidence interval (CI) = 2.336–10.777; P < 0.001). At present, effective biomarkers for predicting the prognosis of colon cancer still lack all over the world.^{25,26} Clinically, the prognosis was mainly based on the clinical stage and histopathological classification, but in previous studies, it has been found that patients with the same clinical stage and pathological type of colon cancer had different response and prognosis.^{27,28} Therefore, finding effective prognostic specific THICs has important clinical value for improving the prognosis of colon cancer.

Ultimately, a nomogram was established to comprehensively predict the survival of colon cancer patients with the results of multivariate Cox regression analysis.

Several previous studies have explored the role of immune cells in colon cancer through bioinformatics methods. In 2018, based on the systematic evaluation of the immune status of patients with stage I–III colon cancer, Zhou et al¹⁰ compared the immune cell composition of 870 patients with colon cancer and 70 normal controls. A diagnostic model named Diagnostic Immune Risk Score (dIRS) and a prognostic immune risk score (pIRS) were constructed. Unfortunately, they did not evaluate stage 4 colon cancer. It should be noted that we included patients with stage 4 colon cancer and established an immune risk score using four immune cells, which show

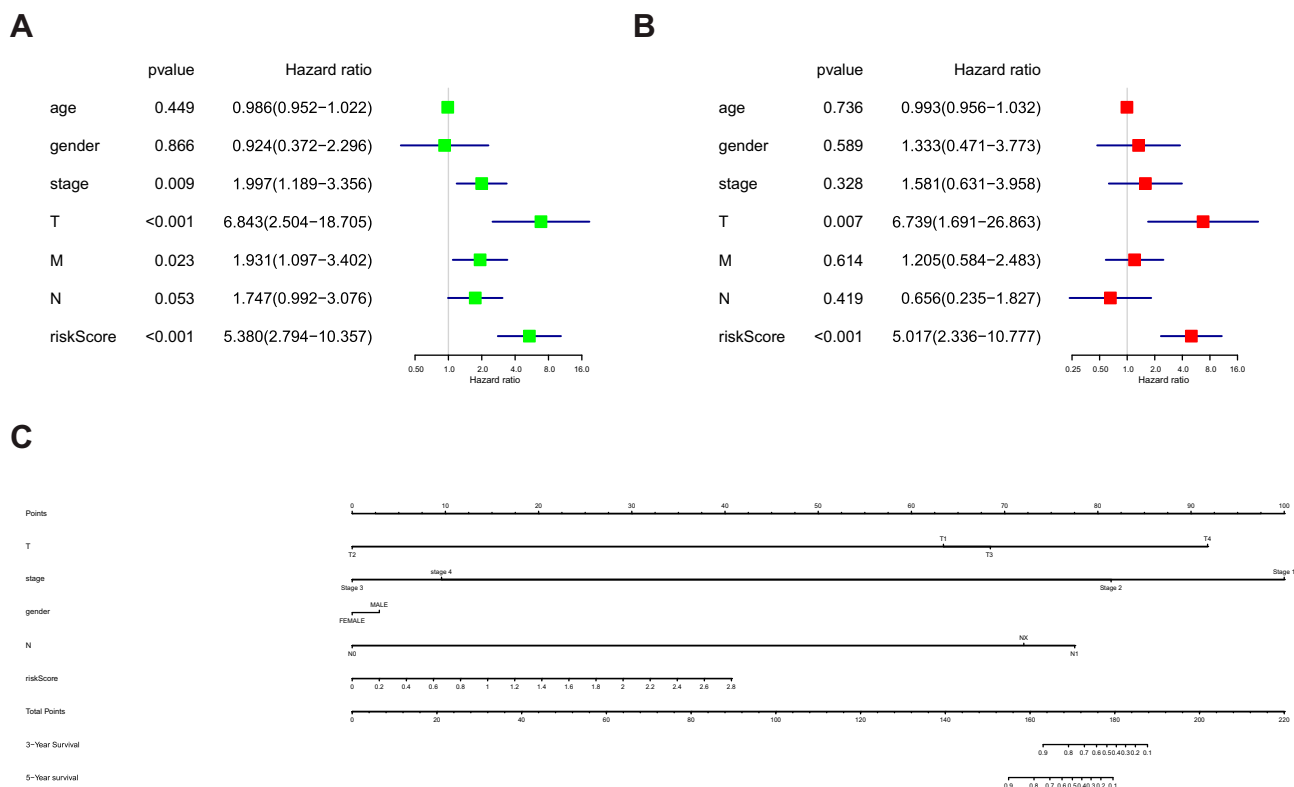


Figure 5 Cox's proportional hazard model of correlative factors in colon cancer patients. **(A)** Univariate Cox regression analysis for seven clinicopathological parameters affecting the overall survival. **(B)** Multivariate Cox regression analysis for seven clinicopathological parameters affecting the overall survival. **(C)** An established nomogram to predict survival based on Cox model.

promising new features for the diagnosis and prognosis prediction of colon cancer. To reveal the important relationship between immune invasion of colon cancer and clinical results, Yongfu et al²⁹ analyzed and evaluated the proportion of tumor-infiltrating immune cell subsets present in 22 colon cancers. However, they did not establish a model to predict survival and prognosis, which was their shortcomings. Ge et al³⁰ explored the expression of immune cells and immune-related genes in the tumor microenvironment of colon cancer. They analyzed the infiltration of 22 immune cells in the TME and the expression of immune-related genes in 404 colon cancer and 40 adjacent non-tumorous tissues. Immune-related genes were selected to establish a prognostic model for TNM staging, but the prediction model was not externally verified in the GEO cohort. We not only used immune-related cells to build an immune risk scoring model, but also applied the model in a GEO dataset for validation. Pleasantly, our model showed satisfying AUC in the validation dataset (0.941 for 3-year OS and 0.865 for 5-year OS). Simultaneously, in Figure 2, we showed the landscape of the distribution of immune cells in different clinical stages and pathological grade colon cancer, which was not described before.

Absolutely, our research inevitably had certain limitations. The four validation set data are all from Europe, and thus these results cannot be directly extrapolated to other ethnic groups. The applicability of the model in the Asian population still needs to be verified.

In summary, our study sheds light on the utility of immune cells in the prognosis of colon cancer. The constructed immune risk scoring model is reliable in predicting the prognosis of colon cancer, and this risk scoring model is an independent influencing factor for the prognosis of colon cancer. With the rapid development of high-throughput technology, we have confidence to believe that our immune risk scoring model have great potential in clinical practice. And it may have critical value for exploring new anti-cancer immunodiagnosis and treatment strategies.

Ethical Statement

The authors are accountable for all aspects of the work (if applied, including full data access, integrity of the data and the accuracy of the data analysis) in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

Funding

This work was supported by the Pudong Hospital Puxiu Project (No.px201504) and Specialty Feature Construction Project of Pudong Health and Family Planning Commission of Shanghai (No. PWZz2017-15).

Disclosure

The authors report no conflicts of interest in this work.

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