

## ORIGINAL ARTICLE

# Tyrosine kinase inhibition increases the cell surface localization of FLT3-ITD and enhances FLT3-directed immunotherapy of acute myeloid leukemia

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The *fms*-related tyrosine kinase 3 (FLT3) receptor has been extensively studied over the past two decades with regard to oncogenic alterations that do not only serve as prognostic markers but also as therapeutic targets in acute myeloid leukemia (AML). Internal tandem duplications (ITDs) became of special interest in this setting as they are associated with unfavorable prognosis. Because of sequence-dependent protein conformational changes FLT3-ITD tends to autophosphorylate and displays a constitutive intracellular localization. Here, we analyzed the effect of tyrosine kinase inhibitors (TKIs) on the localization of the FLT3 receptor and its mutants. TKI treatment increased the surface expression through upregulation of FLT3 and glycosylation of FLT3-ITD and FLT3-D835Y mutants. In T cell-mediated cytotoxicity (TCMC) assays, using a bispecific FLT3 × CD3 antibody construct, the combination with TKI treatment increased TCMC in the FLT3-ITD-positive AML cell lines MOLM-13 and MV4-11, patient-derived xenograft cells and primary patient samples. Our findings provide the basis for rational combination of TKI and FLT3-directed immunotherapy with potential benefit for FLT3-ITD-positive AML patients.

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## INTRODUCTION

In acute myeloid leukemia (AML), approximately one-third of patients carry an activating mutation in the *fms*-related tyrosine kinase 3 (*FLT3*) gene, prevalently an internal tandem duplication (ITD) of varying length (affecting 20–30% of adult AML).<sup>1,2</sup> *FLT3*-ITD is associated with an unfavorable prognosis, characterized by shorter event-free survival (EFS) and overall survival (OS) as well as a high relapse rate.<sup>2–6</sup> On a cellular level, the mutant FLT3 receptor promotes cell proliferation of hematopoietic stem and myeloid progenitor cells.<sup>2,3,6</sup> *FLT3*-ITD mutations lead to sequence-dependent protein conformational changes in the receptor and, thus, to an endoplasmic reticulum-retained intracellular localization, constitutive autophosphorylation and induction of growth factor signaling pathways.<sup>7–11</sup> Therefore, FLT3-targeting therapies are highly warranted to impede disease progression, alternatively or in addition to conventional chemotherapy. Promising agents are second-generation tyrosine kinase inhibitors (TKIs), including AC220 (quizartinib), PKC412 (midostaurin) and BAY43-9006 (sorafenib, nexavar), that block FLT3 phosphorylation.<sup>12–17</sup> Although complete remission rates reach a moderate level, clinical response to TKI monotherapy remains limited by the high risk of relapse that often occurs within months.<sup>18–21</sup> However, the SORAML trial (NCT00893373) demonstrated sorafenib to be a

beneficial antileukemic additive to conventional chemotherapy with regard to increased EFS and relapse-free survival for patients aged ≤60 years, regardless of *FLT3* status.<sup>22</sup> Furthermore, the CALGB 10603/RATIFY trial (NCT00651261) demonstrated significantly improved EFS and OS for patients, harboring a *FLT3* mutation, when treated with PKC412 in addition to induction chemotherapy and 1 year of maintenance therapy.<sup>23</sup> Nevertheless, TKIs still lack the efficiency to eradicate all FLT3-mutated AML cells because of resistance mechanisms. In *FLT3*-ITD-positive AML, resistance is frequently mediated by specific insertion sites of ITDs (including β1- or β2-sheet), emerging secondary *FLT3* point mutations (PMs; such as D835Y, N676K), protection by the stromal microenvironment and/or altered pathway signaling.<sup>24–31</sup> The subcellular localization of FLT3 matters for activation of signaling cascades. For example, FLT3-N676K displays a mere wild-type (WT)-like membrane localization and activates mitogen-activated protein kinase signaling, whereas FLT3-D835Y localizes to the ER and activates the signal transducer and activator of transcription 5 pathway.<sup>10,32</sup> However, the effect of TKIs on the subcellular localization of FLT3 and its mutants has not yet been examined systematically. Therefore, we investigated the localization of FLT3 mutants under TKI treatment and observed an increase of FLT3 on the cell surface that facilitated the application of FLT3-directed immunotherapy.

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## MATERIALS AND METHODS

### Cell lines and reagents

All cell lines were purchased from the German Collection of Microorganisms and Cell Culture (DSMZ, Braunschweig, Germany), except for U2OS cells that were obtained from ATCC (American Type Culture Collection, Wesel, Germany) and Phoenix eco, which were purchased from Orbigen (San Diego, CA, USA). The B-cell lymphoma cell line OCI-Ly8 was a kind gift from O Weigert (Department of Internal Medicine III, University Hospital of the LMU Munich, Munich, Germany).<sup>33,34</sup> All cell lines were cultivated according to supplier's instructions or as described elsewhere.<sup>35</sup> Stably transduced Ba/F3 cell lines were generated as described previously.<sup>36,37</sup> Recombinant human FLT3 ligand (FL) was obtained from Promokine (Heidelberg, Germany), recombinant murine interleukin-3 from Immunotools (Friesoythe, Germany), cycloheximide and 2-deoxy-D-glucose from Sigma-Aldrich (Taufkirchen, Germany). TKIs sorafenib (BAY43-9006, nexavar), midostaurin (PKC412) and quizartinib (AC220) were purchased from Selleck Chemicals (Houston TX, USA). Cell lines were tested for a mycoplasma contamination on a regular basis (MycAlert Mycoplasma Detection Kit, Lonza Rockland Inc., Rockland, ME, USA).

### Plasmid constructs and mutagenesis

The following DNA constructs and vectors have been described before:<sup>32,37,38</sup> the expression vectors pcDNA6.2-V5-HisA, pcDNA6.2-V5-HisA-FLT3-WT, pcDNA6.2-V5-HisA-FLT3-K602R(7) (described as W51) and pcDNA6.2-V5-HisA-FLT3-E611C(28) (described as NPOS); the retroviral expression vectors pMSCV-IRES-EYFP, pMSCV-IRES-EYFP-FLT3-WT, pMSCV-IRES-EYFP-FLT3-N676K, pMSCV-IRES-EYFP-FLT3-D835Y and pMSCV-IRES-EYFP-FLT3-E611C(28) (described as NPOS). The constructs pMSCV-IRES-puro-EYFP-FLT3-WT, pMSCV-IRES-puro-EYFP-FLT3-E611V(32), pMSCV-IRES-puro-EYFP-FLT3-G613E(33), pMSCV-IRES-puro-EGFP-FLT3-598/599(12), pMSCV-IRES-puro-EGFP-FLT3-598/599(22), pMSCV-IRES-puro-EGFP-FLT3-L601H(10) and pMSCV-IRES-puro-EGFP-FLT3-K602R(7) have been previously described<sup>39</sup> and kindly provided by FH Heidel (Center of Internal Medicine, Otto-von-Guericke University Magdeburg, Magdeburg, Germany). Denotation of all FLT3-ITDs was adapted from a recent publication.<sup>39</sup> The mutations N676K and D835Y were introduced into pcDNA6.2-V5-HisA-FLT3-WT and pMSCV-IRES-EYFP-FLT3-E611C(28), using the QuikChange II XL Site-Directed Mutagenesis Kit (Agilent, Santa Clara, CA, USA) according to the supplier's instructions as previously described.<sup>32</sup> Mutations were confirmed by Sanger sequencing.

### Proliferation assay

Proliferation assays were performed as described before, utilizing the Vi-Cell XR (Beckman Coulter, Munich, Germany).<sup>36</sup> AML cell lines were seeded at a density of  $3 \times 10^5$ /ml. Experiments were performed in biological triplicates.

### Immunofluorescence staining

Transient transfection of U2OS cells and subsequent immunofluorescence staining was performed as previously described<sup>40</sup> using the following antibodies: anti-wheat germ agglutinin-488 fluorescein conjugate (catalog number: W11261; Invitrogen—ThermoFisher Scientific, Munich, Germany), rabbit anti-FLT3 (catalog number: sc-480, clone: S-18; Santa Cruz Biotechnology, Heidelberg, Germany) and anti-rabbit IgG (H+L) F(ab')<sub>2</sub> fragment Alexa Fluor 594 Conjugate (catalog number: 88895; Cell Signaling Technology, Leiden, The Netherlands). Fixation was performed for 10 min at room temperature with Dulbecco's phosphate-buffered saline (DPBS) 2% formaldehyde (37% stock solution; Merck Schuchardt, Hohenbrunn, Germany), followed by permeabilization for 10 min with DPBS 0.5% Triton X-100 (Carl Roth, Karlsruhe, Germany). Before staining, cells were treated with 50 nM AC220 for 6 h, whereas controls were left untreated. For suspension cells, 8-well chamber slides (ibidi, Munich, Germany) were precoated with poly-L-lysine hydrobromide (Sigma-Aldrich) according to the supplier's recommendations. Before seeding, cells were treated with 50 nM AC220, whereas controls were left untreated, washed twice with DPBS and dissolved in H2F buffer (1 × Hanks' balanced salt solution (w/o calcium, magnesium and phenol red, ThermoFisher Scientific), 2% fetal bovine serum (Biochrom, Berlin, Germany), 1% 1 M Hepes (Sigma-Aldrich) and 1% penicillin/streptomycin (PAN Biotech, Aidenbach, Germany). After

30 min of detachment at 4 °C, glycoconjugates were stained as described previously,<sup>40</sup> followed by cell fixation using DPBS 4% formaldehyde for 5 min. For blocking, DPBS with 0.1% Tween 20 (Carl Roth) and 10% fetal bovine serum (Biochrom) was used. Subsequent steps of the staining procedure were performed as for U2OS cells.

### T cell-mediated cytotoxicity (TCMC) assay

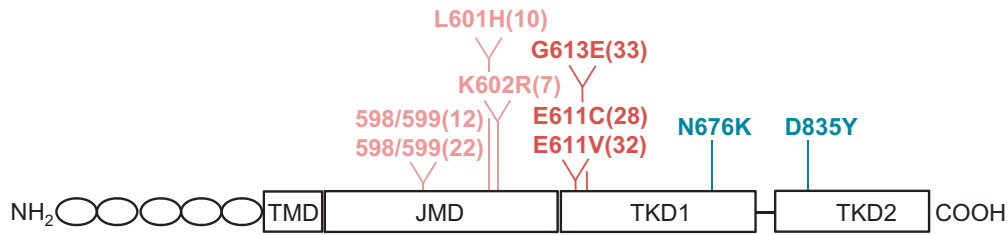
The *in vitro* cytotoxicity assays against AML cells were performed as described previously.<sup>35,41</sup> The bispecific FLT3 × CD3 antibody construct (4G8 × UCHT1, Fabsc-format) was utilized as reported elsewhere.<sup>42</sup> Confirmatory antibody serial dilution experiments with an effector-to-target ratio of 1:2.5 were performed using CD3-positive isolated T cells from healthy donors. For TCMC assays, AML cells and T cells were co-cultured with an effector-to-target ratio between 1:2.5 and 1:4. Then 50 nM AC220 and 1–10 µg/ml FLT3 × CD3 antibody were added at the beginning of each experiment, whereas controls were left untreated. After 72 h, cell counting and flow cytometry analysis was performed, determining the percentage of cytotoxicity as described previously.<sup>35,41</sup> FLT3 (CD135) surface expression was assessed simultaneously. Estimation of a potential additive effect of combined treatment was computed based on the fractional product method.<sup>43</sup> Competitive lysis experiments were performed as described previously,<sup>35</sup> using 1–5 µg/ml FLT3 × CD3 antibody. Untreated AML cells (HL60 or MV4-11) were mixed 1:1 with corresponding 6 h AC220-pretreated AML cells (HL60 or MV4-11) and cultured with healthy donor T cells at an effector-to-target ratio of 1:1 for 20–24 h. Cell membrane staining of untreated AML cells (HL60 and MV4-11) was performed using the PKH26 red fluorescent cell linker kit (Sigma-Aldrich) according to the manufacturer's protocol. Experiments were performed once, if not stated otherwise. Additional materials and methods are provided in the Supplementary Information.

## RESULTS

### TKIs increase the membrane localization of FLT3-V592A, FLT3-D835Y and FLT3-ITD mutants

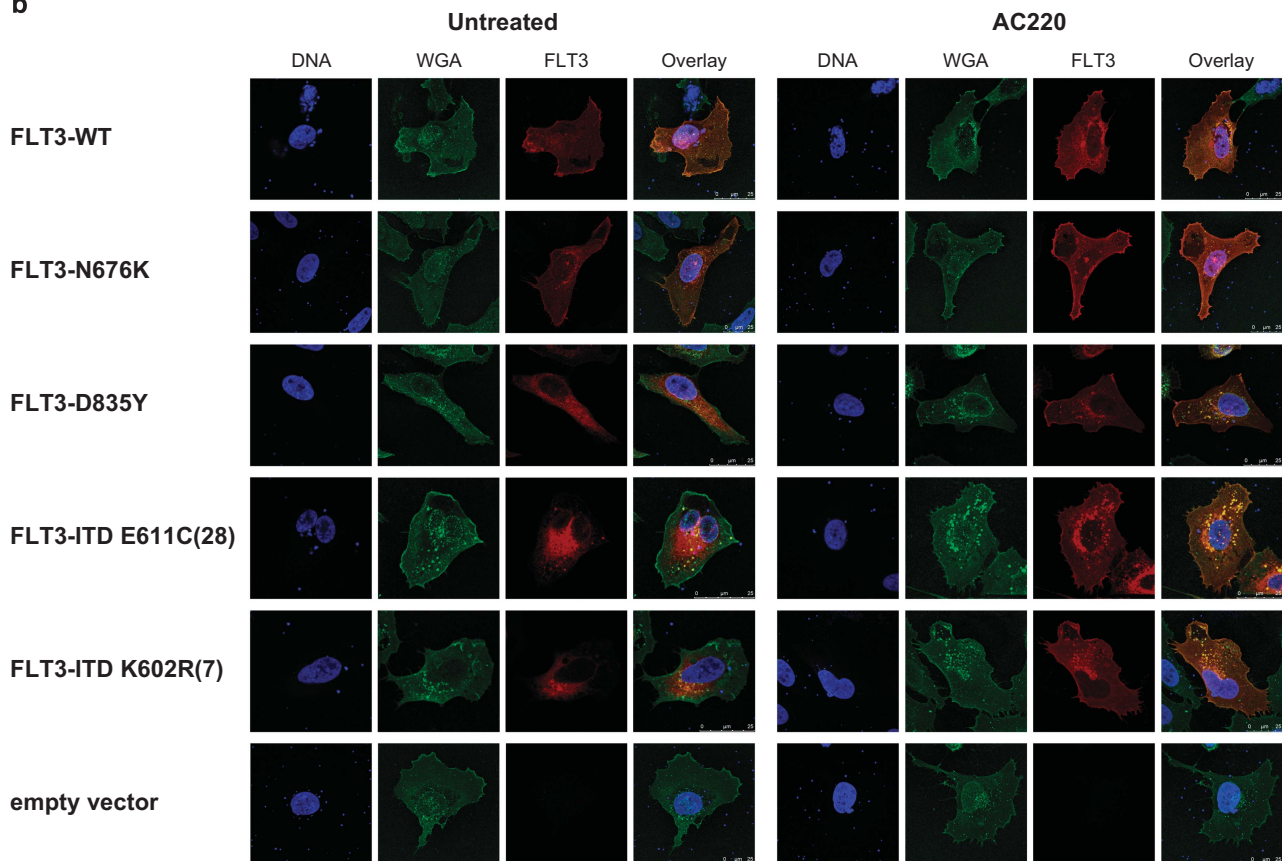
Cellular localization studies of seven ITD constructs with varying length and position, as well as two activating PMs of FLT3 (Figure 1a), revealed an altered localization of FLT3 mutants D835Y and ITDs upon TKI treatment. FLT3-ITD or FLT3-D835Y protein was retained in the perinuclear ER and after addition of AC220 a cell membrane localization similar to FLT3-WT or FLT3-N676K was observed (Figures 1b and 2a). Flow cytometry confirmed that FLT3 (CD135) surface expression differed significantly between treated and untreated FLT3-expressing Ba/F3 cells (Figure 2b and Supplementary Figure S1a) not only for ITD and D835Y but also for WT and N676K. However, the increase in surface FLT3 was significantly higher in FLT3-D835Y or FLT3-ITD compared with FLT3-WT-expressing cells (Student's *t*-test:  $P = 0.003$  and  $P < 0.001$ , respectively). In light of the recently reported *in vitro* and *in vivo* experiments, displaying juxtamembrane domain (JMD)-ITD to be more sensitive towards TKI-therapy than tyrosine kinase domain 1 (TKD1)-ITD,<sup>39</sup> we evaluated four JMD-ITD and three TKD1-ITD constructs with regard to TKI-induced FLT3 surface expression and did not observe any significant differences (Figure 2b). In AML cell lines, FLT3 surface expression levels were hardly altered in cells with FLT3-WT status (THP-1, OCI-AML3) or a heterozygous FLT3-ITD (MOLM-13, PL-21), whereas the cell lines MV4-11 with FLT3-loss of heterozygosity (LOH) and MONO-MAC-6 (MM6) with an activating PM FLT3-V592A<sup>44</sup> responded with a significant increase in FLT3 surface expression upon AC220 treatment (Table 1 and Figures 3a and b). The TKI response of MV4-11 was also obvious in immunofluorescence staining (Supplementary Figure S1b). Additional FLT3-WT AML cell lines (HL60, Kasumi-1, EOL-1, NOMO-1, MUTZ-2) confirmed this observation, except for MUTZ-2 (Student's *t*-test:  $P < 0.001$ ; Supplementary Figures S1c and d). In the AML cell line KG-1a, the CML cell line K-562 and the B-cell lymphoma cell line OCI-Ly8, we did not detect any FLT3 surface expression, regardless of TKI treatment. In a time-course experiment over 24 h with TKI-treatment, MV4-11 cells showed a steady increase in FLT3 surface expression, whereas untreated cells remained stable in

a



<b>PM</b>	<b>JMD-ITD</b>	
<b>N676K</b>	<b>598/599(12)</b>	NEYFYVDFREYE/YDLK
<b>D835Y</b>	<b>598/599(22)</b>	GLV(QMV)QVTGSSDNEYFYVDFREYE/YDLK
	<b>L601H(10)</b>	H(L)VDFREYEYD/LKWE
	<b>K602R(7)</b>	R(K)EYEYDL/KWEF
	<b>TKD1-ITD</b>	
	<b>E611C(28)</b>	C(E)SSDNEYFYVDFREYEYDLKWEFPRENL/EF GK
	<b>E611V(32)</b>	V(E)QVTGSSDNEYFYVDFREYEYDLKWEFPRENL/EF GK
	<b>G613E(33)</b>	E(G)VTGSSDNEYFYVDFREYEYDLKR(W)EFPRENLEF/GKVL

b

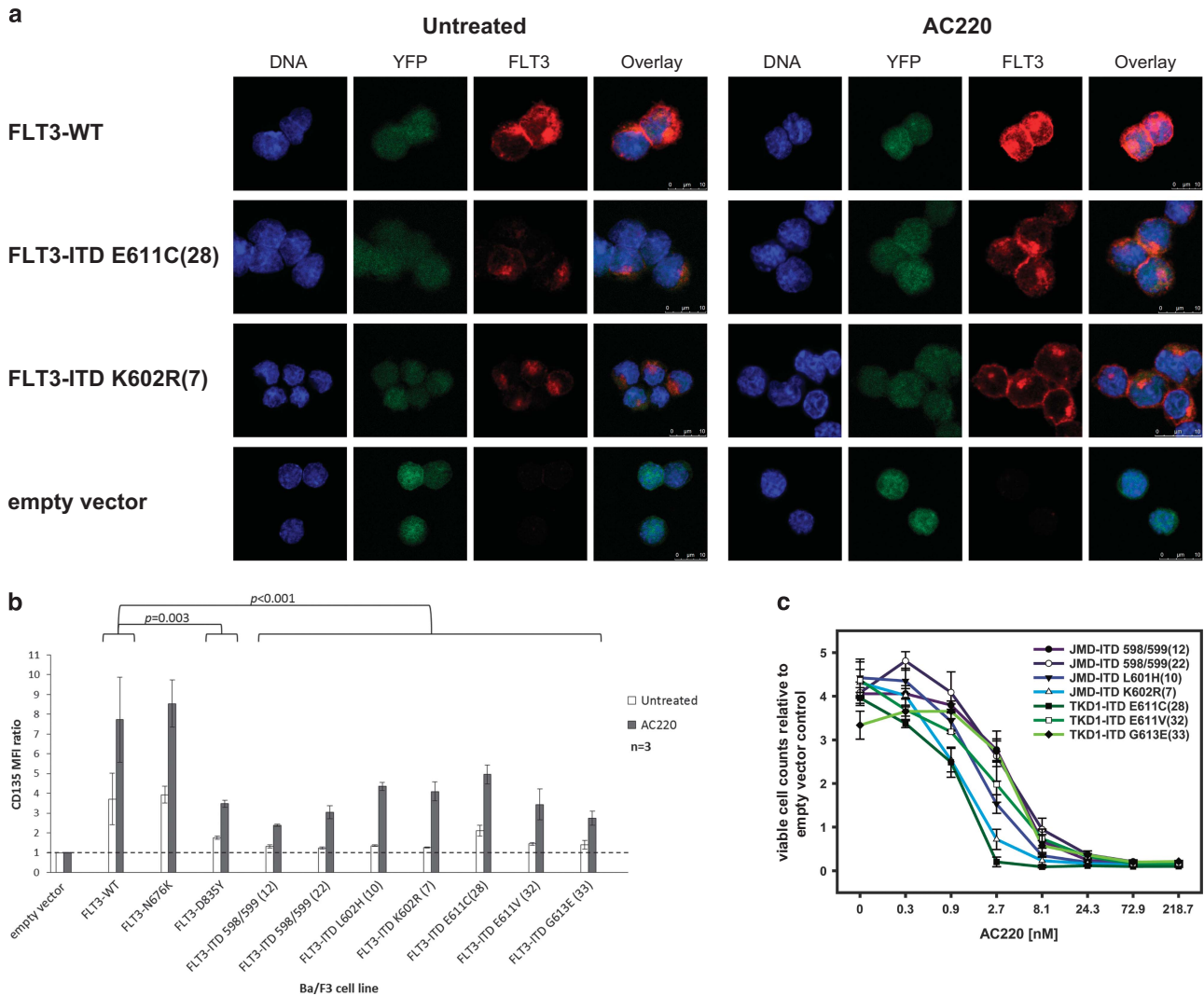


**Figure 1.** FLT3 mutants and their subcellular localization with and without TKI treatment. **(a)** Schematic illustration of the FLT3 protein (NP\_004110.2) and mutant constructs with indication of amino acid substitutions and insertion sequences (modified from Arreba-Tutusaus *et al.*<sup>39</sup>). **(b)** Immunofluorescence staining of FLT3-WT, FLT3 mutants or empty vector with or without AC220 treatment in transiently transfected U2OS cells. WGA (wheat germ agglutinin). Scale bar: 25  $\mu$ m.

FLT3 surface expression (Supplementary Figure S2a). MOLM-13 cells started to diverge from untreated cells after 12 h of TKI treatment. Other TKIs (PKC412 and sorafenib) induced a similar response in MV4-11 cells, however, with AC220 the effect was strongest, when applying equal concentrations (Supplementary

Figures S2b and c). A dose escalation experiment, applying concentrations up to 100 nM of AC220, sorafenib or PKC412, revealed that AC220 was most efficient in inducing FLT3 surface expression at concentrations from 5 to 25 nM (Supplementary Figure S2d).





**Figure 2.** TKI treatment response of FLT3-expressing Ba/F3 cells. **(a)** Immunofluorescence staining of stably transduced Ba/F3 cells expressing FLT3-WT, FLT3-ITD or empty vector with or without AC220 treatment. WGA (wheat germ agglutinin). Scale bar: 10  $\mu$ m. **(b)** FLT3 surface expression levels with or without AC220 treatment (mean  $\pm$  s.d.). **(c)** Viable cell counts of Ba/F3 cells expressing the indicated FLT3 mutants, normalized to the empty vector transduced control cells, after 72 h of treatment with increasing concentrations of AC220 ( $n = 3$ , mean  $\pm$  s.d.).

**Table 1.** Classification of FLT3-mutated AML cell lines and PDX cells into FLT3 genotype categories: heterozygous FLT3-ITD and FLT3-LOH

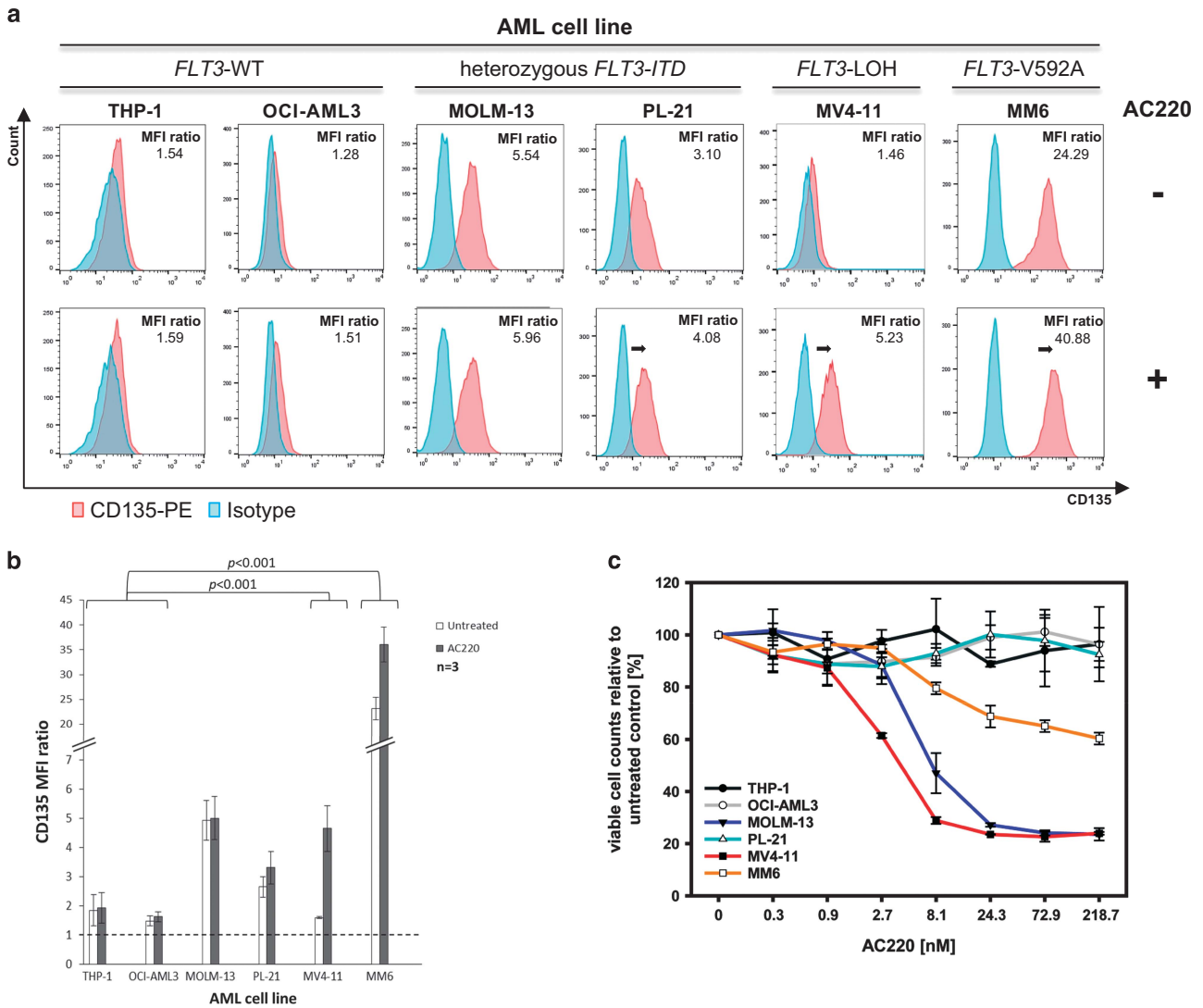
AML cell lines/PDX cells	FLT3 genotype	ITD type	ITD insertion sequence
MOLM-13	Heterozygous	Y631F(7)	F(Y)DFREYE/YDLK
PL-21	Heterozygous	569/570(42)	F <u>K</u> S <u>V</u> QVTGSSDNEYFYVDFREYEDLKWEFPRENLEFGKNGM
AML-573	Heterozygous	E604D(21)	D(E)PSDNEYFYVDFREYEDLKW/EFPR
AML-640	Heterozygous	L610F(28)	F(L)GSSDNEYFYVDFREYEDLKWEFPREN/LEFG
		F612V(20)	V(F)DFREYEDLKWEFPRENLE/FGKV
MV4-11	LOH	H633L(10)	H(L)VDFREYED/LKWE
AML-415	LOH	E598D(6)	D(E)FREYE/EYDL
AML-579	LOH	E598Y(8)	Y(E)VDFREYE/EYDL

Abbreviations: FLT3, fms-related tyrosine kinase 3; ITD, internal tandem duplication; LOH, loss of heterozygosity; PDX, patient-derived xenograft. Underlined amino acids are inserted before the ITD.

TKI-induced increase in FLT3 surface expression in FLT3-mutated cells correlates inversely with proliferation

Treatment of Ba/F3 cells, expressing various FLT3-ITD constructs, with AC220 at nontoxic concentrations ( $\leq 500$  nM) revealed a variable inhibition of proliferation without any obvious correlation to ITD length or position (Figure 2c and Supplementary Table S1).

However, the AC220-mediated reduction in proliferation of FLT3-ITD-positive cells correlated with the increase in surface FLT3 (Pearson:  $-0.931$ ,  $P = 0.002$ ,  $n = 7$ ). Moreover, we confirmed that the TKI resistance-mediating PMs<sup>32,45</sup> reduced the TKI response with regard to FLT3 surface expression when combined with ITD (Supplementary Figures S3a–c and Supplementary Table S1).



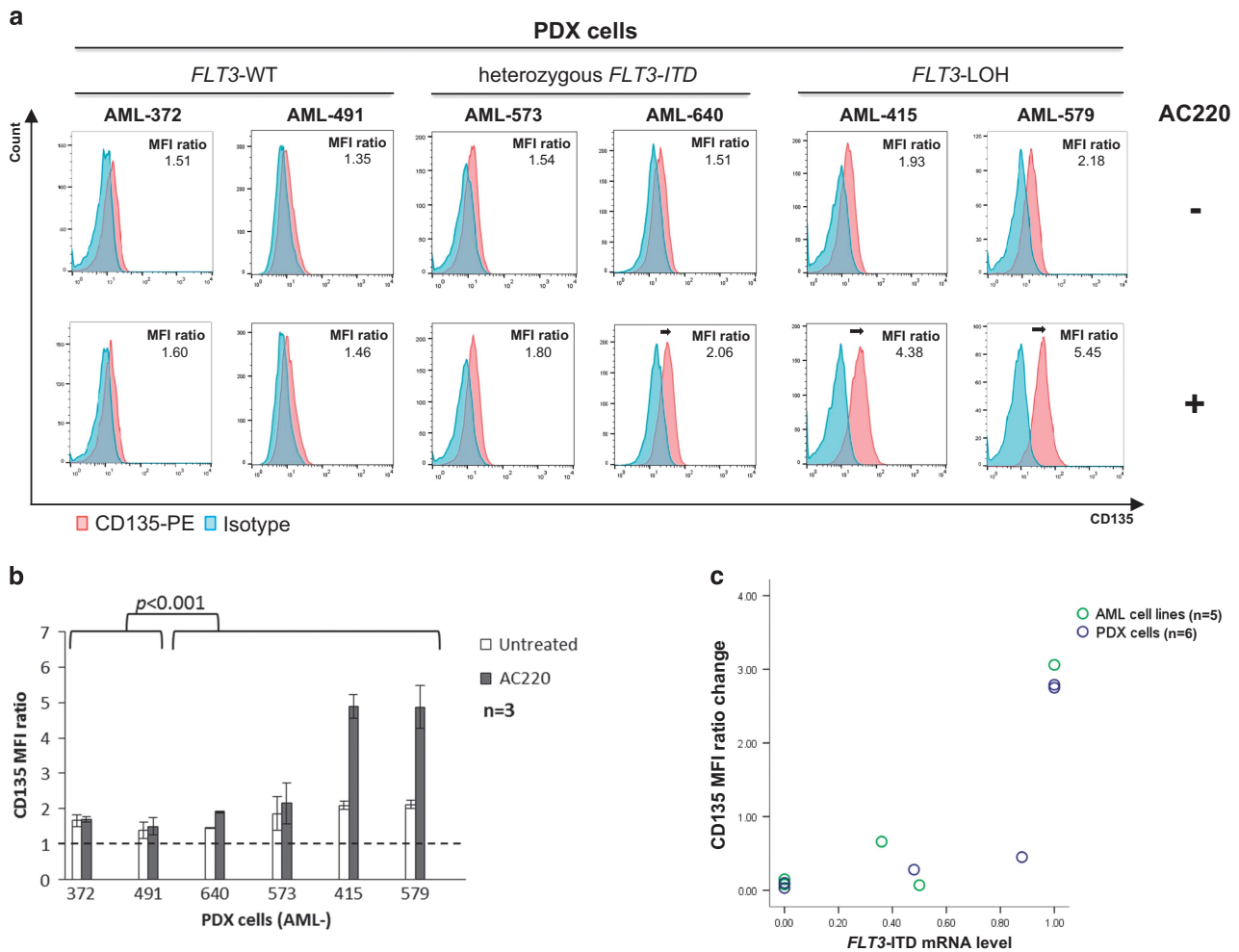
**Figure 3.** TKI treatment response of AML cells. **(a)** Representative flow cytometry plots and **(b)** bar graph showing the FLT3 surface expression in AML cells, harboring different *FLT3* genotypes, with or without AC220 treatment (mean  $\pm$  s.d.). A mean fluorescence intensity (MFI) ratio increase of  $\geq 0.50$  is highlighted by an arrow. **(c)** Viable cell counts of selected AML cells, normalized to untreated control, after 72 h of treatment with increasing concentrations of AC220 ( $n = 3$ , mean  $\pm$  s.d.).

The *FLT3*-LOH cell line MV4-11, which showed the highest increase in FLT3 surface location upon TKI treatment, was more sensitive to AC220, compared with the heterozygous *FLT3*-ITD cell lines MOLM-13 and PL-21 (Figure 3c). Of note, PL-21 cells did not respond to AC220 treatment during the proliferation assay, although carrying a heterozygous *FLT3*-ITD and showing a slight increase in FLT3 surface expression. Targeted multiplexed amplicon sequencing revealed that the cell line PL-21 harbored a KRAS mutation (c.437C > T:p.A146V, NM\_033360), constituting a potential mechanism of TKI resistance. The AML cell line MM6 with a *FLT3*-V592A PM displayed a prominent increase in FLT3 surface expression after AC220 treatment and responded with a moderate decrease in proliferation (Figure 3c and Supplementary Table S2). The *FLT3*-WT cell lines THP-1 and OCI-AML3 were resistant to AC220 treatment. When comparing the antiproliferative potential of various TKIs (PKC412, sorafenib, AC220) in the *FLT3*-LOH cell line MV4-11, AC220 was the most potent agent at low nanomolar levels (Supplementary Figure S2e and Supplementary Table S2). Of note, several other *FLT3*-WT cell lines (HL60, MUTZ-2, NOMO-1) and *FLT3*-expression-negative cell lines (KG-1a, K-562) also showed TKI resistance. In contrast, the *FLT3*-WT cell lines Kasumi-1 and

EOL-1, which carry alterations of other receptor tyrosine genes (*KIT* c.2466T > A:p.N822K, NM\_000222 in Kasumi-1 and FIP1L1-PDGFRRA rearrangement; del(4)(q12q12) in EOL-1), both responded, consistent with the known target profile of the tested TKIs<sup>12,30</sup> (Supplementary Table S2).

Induction of FLT3 surface expression depends on the pretreatment *FLT3*-ITD mRNA levels

The AC220-induced FLT3 increase on the cell surface (CD135 mean fluorescence intensity ratio change, Supplementary Table S2) tended to correlate with the pretreatment *FLT3*-ITD mRNA levels (Supplementary Figure S4a) in tested AML cell lines (Pearson: 0.864,  $P = 0.059$ ,  $n = 5$ ). Patient-derived xenograft (PDX) cells with either *FLT3*-WT, heterozygous *FLT3*-ITD mutation or *FLT3*-LOH status (Table 1) revealed a stable FLT3 surface expression in *FLT3*-WT PDX cells (AML-372, AML-491), whereas the heterozygous *FLT3*-ITD PDX cells (AML-573, AML-640) showed a minimal response to AC220 treatment (Figures 4a and b). However, both PDX cells with *FLT3*-LOH (AML-415, AML-579) showed a significant FLT3 surface expression increase upon AC220 treatment (Student's *t*-test: both  $P < 0.001$ ). Thus, a difference between *FLT3*-WT



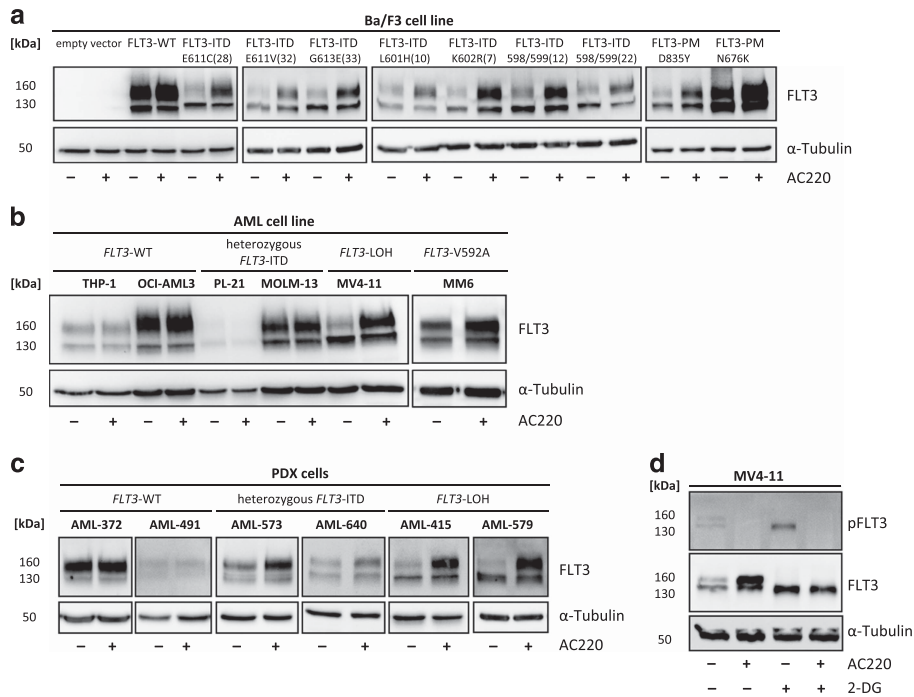
**Figure 4.** TKI treatment response of PDX cells and correlation with *FLT3*-ITD mRNA level. (a) Representative flow cytometry plots and (b) bar graph showing the *FLT3* surface expression in PDX cells, with and without AC220 treatment (mean  $\pm$  s.d.). A mean fluorescence intensity (MFI) ratio increase of  $\geq 0.50$  is highlighted by an arrow. (c) Scatter plot showing the correlation of the pretreatment *FLT3*-ITD mRNA level and the AC220 treatment-induced MFI ratio change representing the increase in *FLT3* surface expression.

and *FLT3*-ITD samples was observed (Student's *t*-test:  $P < 0.001$ ). In addition, there was a positive correlation of the *FLT3* expression increase at the cell surface upon AC220 treatment with the pretreatment *FLT3*-ITD mRNA level (Spearman: 0.971,  $P = 0.001$ ,  $n = 6$ ; in case of AML-640, the pretreatment *FLT3*-ITD mRNA level of the dominant clone was used for statistics). The correlation remained significant when combining the data generated from AML cell lines and PDX cells (Figure 4c; Spearman: 0.840,  $P = 0.001$ ,  $n = 11$ ). Characteristics of PDX cells have been recently published<sup>46</sup> and are summarized in Supplementary Table S3. The pretreatment *FLT3*-ITD mRNA levels of the PDX cells are shown in Supplementary Figure S4b.

#### FLT3 surface expression is glycosylation dependent

Western blot analysis showed an increase of the fully glycosylated mature (160 kDa) form of all *FLT3* constructs expressed in Ba/F3 cells after 6 h of TKI treatment (Figure 5a). This effect was also obvious in the *FLT3*-LOH AML cell line MV4-11 and apparent in the AML cell line MM6 that carries a *FLT3*-V592A PM. In contrast, *FLT3*-WT and *FLT3*-expression-negative cell lines (KG-1a, K-562, OCI-Ly8) remained mostly unaffected (Figure 5b and Supplementary Figures S5a and b). The increase of mature *FLT3* after TKI treatment was smaller or absent, when combining ITD with a resistance-mediating PM (Supplementary Figure S5c). Thus, the

altered glycosylation pattern of *FLT3*, represented by relative changes of the two differentially glycosylated forms, was consistent with the increase in surface *FLT3* upon TKI treatment. Consistently, the *FLT3*-WT PDX cells did not show any obvious difference in glycosylation pattern after AC220 treatment, in contrast to PDX cells harboring a *FLT3*-ITD, especially those with *FLT3*-LOH (Figure 5c). Treatment of MV4-11 cells with 2-deoxy-D-glucose, a compound inhibiting *N*-linked glycosylation,<sup>47</sup> alone or in combination with AC220, demonstrated that TKI not only affects phosphorylation but also *N*-linked glycosylation (Figure 5d). After a 24 h TKI treatment period, the glycosylated form of *FLT3* was increased 1.5- and four-fold for the *FLT3*-ITD-positive cell lines MOLM-13 and MV4-11, whereas the immature form decreased to 0.8-fold (Supplementary Figures S5d and e). Moreover, the TKI-treated MV4-11 cells showed an increase in total amount of *FLT3* protein levels likely because of upregulation of *FLT3* mRNA expression (Supplementary Figure S5f). Whereas in MOLM-13 cells the overall *FLT3* mRNA level was not altered by AC220 treatment, the ratio of *FLT3*-WT to *FLT3*-ITD changed significantly (Student's *t*-test:  $P < 0.001$ ) pointing toward allelic expression in favour of the WT allele (Supplementary Figures S5g and h). In addition, cycloheximide treatment indicated that TKI-mediated differential expression of mature and immature *FLT3* depends on biosynthesis. Furthermore, we confirmed that PKC412



**Figure 5.** TKI increases the glycosylation of FLT3-ITD. Western blot analysis of FLT3 or phospho-FLT3 (130 and 160 kDa) and  $\alpha$ -tubulin (50 kDa) in whole-cell lysates with or without AC220 and/or 2-DG (2-deoxy-D-glucose) of (a) Ba/F3 cells transduced with empty vector, *FLT3*-WT or indicated *FLT3* mutant construct, (b) AML cell lines, (c) PDX cells and (d) MV4-11 cells.

and sorafenib also have the potential to increase the glycosylation of FLT3 in MV4-11 cells (Supplementary Figure S5i).

#### FLT3 upregulation by TKI *in vivo*

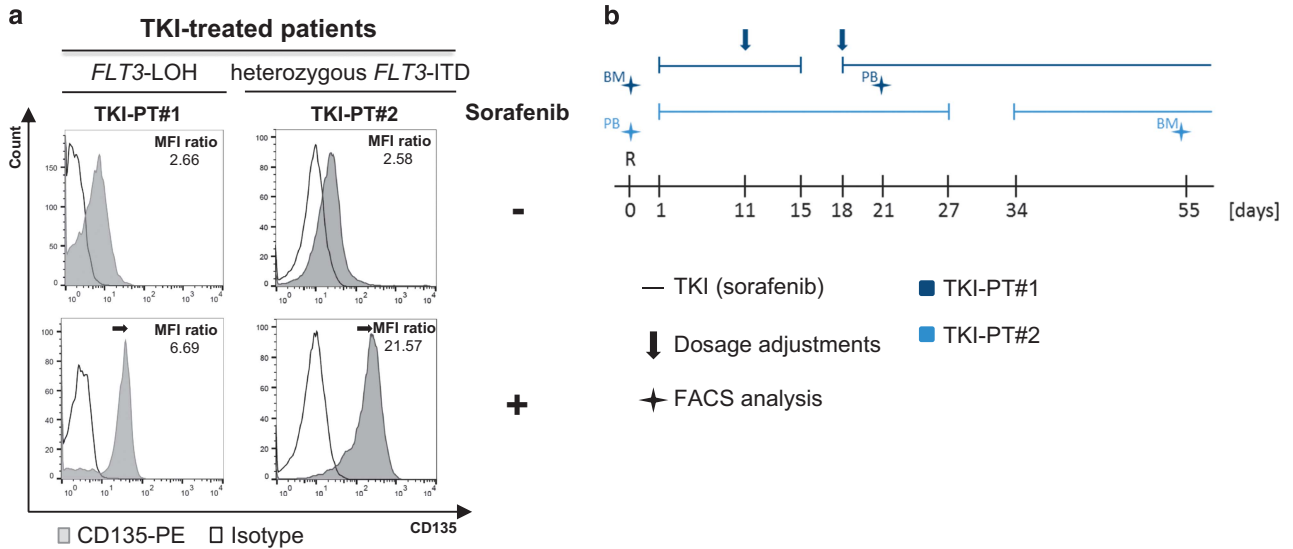
*In vivo*, cells of two AML patients with mutated *FLT3* who received sorafenib treatment (TKI-PT#1 and TKI-PT#2), after conventional therapy had failed, showed a prominent increase in FLT3 surface expression when comparing FLT3 expression at diagnosis of relapse and after sorafenib treatment (Figure 6a). Sorafenib treatment schemes are shown in Figure 6b and corresponding patient characteristics are detailed in Supplementary Table S5 and Supplementary Figure S6. For TKI-PT#1, sorafenib maintenance monotherapy resulted in a reduction of leukemia burden (blast count: 40% at diagnosis of relapse and 15% after 21 days of treatment), whereas in TKI-PT#2, leukemic burden showed a persistent increase over time (blast count: 48% at diagnosis of relapse and 90% after 53 days of treatment).

#### AC220 treatment boosts FLT3 $\times$ CD3 antibody-mediated cytotoxicity against FLT3-ITD-positive AML cells

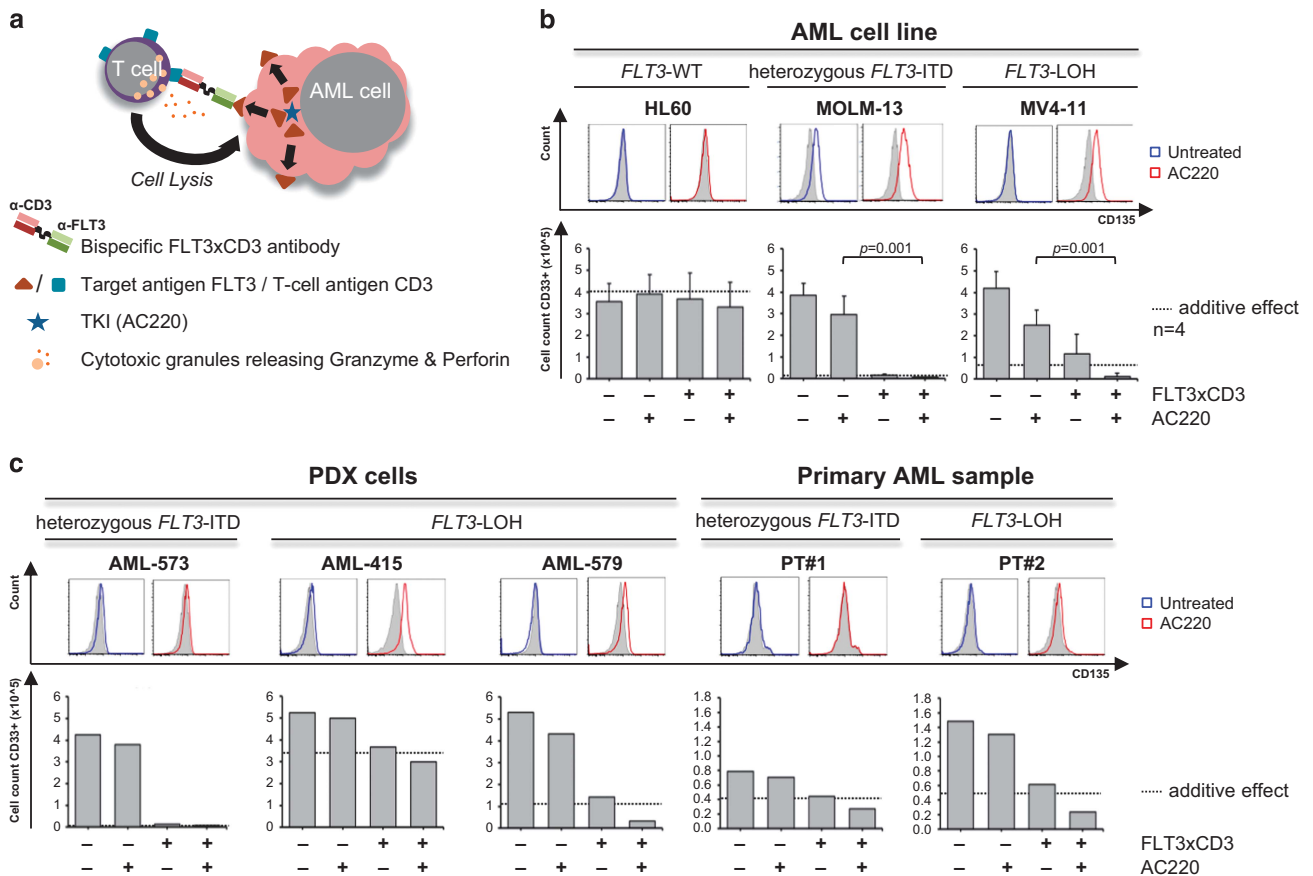
Besides TKIs, an alternative strategy to target FLT3 is immunotherapy using a FLT3-directed antibody construct.<sup>42,48</sup> However, FLT3-directed immunotherapy might be limited by insufficient antigen expression levels. In light of our findings, we hypothesized that TKIs will increase anti-FLT3-directed antibody-mediated cytotoxicity through upregulation of the FLT3 target antigen (Figure 7a). Therefore, we performed T-cell-mediated cytotoxicity (TCMC) assays using a bispecific FLT3  $\times$  CD3 antibody construct<sup>42</sup> in combination with AC220. FLT3-expression-specific cell lysis by the FLT3  $\times$  CD3 antibody was demonstrated by an antibody serial dilution (Supplementary Figure S7a). Assessment of expression kinetics of *FLT3*-ITD-positive AML cells over 72 h showed a steady increase of FLT3 surface expression in MV4-11 cells upon addition of AC220, whereas MOLM-13 cells showed a maximum at 24 h (Supplementary Figure S7b). The FLT3 surface expression level in

the *FLT3*-WT AML HL60 cells was not significantly changed by addition of AC220. In TCMC experiments, the combination of TKI and FLT3  $\times$  CD3 in HL60 cells showed no significant change in cytotoxicity (Figure 7b and Supplementary Table S4). In contrast, *FLT3*-ITD-positive MV4-11 cells were almost completely eradicated by combined treatment with a mean CD33+ cell count lower than the computed additive effect (Figure 7b and Supplementary Table S4), pointing toward a synergism of TKI and FLT3-directed immunotherapy. The difference between single-agent and combined treatment was significant (Student's *t*-test:  $P < 0.001$  AC220 only and  $P = 0.028$  FLT3  $\times$  CD3 only). For the heterozygous *FLT3*-ITD cell line MOLM-13, the FLT3  $\times$  CD3 antibody treatment alone was very efficient in eradicating nearly all CD33+ cells (Figure 7b and Supplementary Table S4), and therefore no conclusion about synergistic or additive effects could be drawn regarding the increased lysis by combined treatment. Representative flow cytometry plots of the TCMC assays are shown in Supplementary Figure S7c. Competitive lysis experiments of untreated and AC220-pretreated AML cells confirmed a preferential killing of AC220-pretreated MV4-11 cells with higher FLT3 surface expression levels (Supplementary Figure S7d). For PDX cells a considerable increase in cytotoxicity was observed when applying the combined treatment, especially in PDX cells with *FLT3*-LOH (AML-415, AML-579; Figure 7c). For the heterozygous *FLT3*-ITD PDX cells AML-573 the single FLT3  $\times$  CD3 antibody treatment already resulted in almost complete eradication of CD33+ cells, similar to the heterozygous *FLT3*-ITD cell line MOLM-13 (Figures 7b and c). Moreover, two primary AML samples (PT#1, PT#2) showed a decrease in CD33+ cells by combined treatment below the computed additive effect (Figure 7c and Supplementary Table S4). Patient characteristics are shown in Supplementary Table S5; corresponding fragment analyses representing the pretreatment *FLT3*-ITD mRNA level of the primary samples are depicted in Supplementary Figure S6. Representative flow cytometry plots of the TCMC assays are shown in Supplementary Figure S7e.





**Figure 6.** TKI effect on FLT3 surface expression in patients. **(a)** Flow cytometry plots showing the FLT3 surface expression before (day 0) and after (indicated day) TKI treatment. A mean fluorescence intensity (MFI) ratio increase of  $\geq 0.50$  is highlighted by an arrow. **(b)** Sorafenib treatment scheme with indication of the time point of relapse (R), sampling of bone marrow (BM) and peripheral blood (PB) for the assessment of treatment response. Arrows indicate dosage adjustments.



**Figure 7.** TKI- and FLT3-directed antibody combination mediates cytotoxicity against AML cells. **(a)** Mechanistic mode of action when combining TKI treatment with FLT3-directed immunotherapy. Response to FLT3  $\times$  CD3 or AC220 alone or in combination with regard to FLT3 surface expression (representative flow cytometry plots) and specific T cell-mediated lysis of **(b)** AML cell lines (effector-to-target (E/T) 1:2.5–4, FLT3  $\times$  CD3 10  $\mu$ g/ml) (mean  $\pm$  s.d.), **(c)** PDX cells and primary AML cells (E/T 1:3, FLT3  $\times$  CD3 1  $\mu$ g/ml). The computed additive effect is shown as dotted line.



## DISCUSSION

FLT3-ITD is a common mutation in AML, associated with increased relapse rates and poor prognosis.<sup>4,16</sup> Novel treatment approaches are therefore highly warranted for patients who are not eligible for intensive treatment as well as for patients with failure of conventional therapy or allogeneic stem cell transplantation. Moreover, targeting FLT3 seems to be attractive for minimal residual disease eradication during consolidation or maintenance therapy to minimize relapse rates and to prolong EFS and OS. So far, TKI is a promising approach to target FLT3, for instance as a bridging therapy before stem cell transplantation and as treatment of medically unfit patients. However, clinical trials using TKI as a single agent indicate the need for combinatorial therapies in order to prevent resistance toward TKI and to achieve prolonged remission.<sup>18,20,21,49,50</sup> The application of PKC412 in combination with induction chemotherapy in the CALGB 10603/RATIFY trial (NCT00651261) prolonged EFS and OS in FLT3-ITD- or FLT3-TKD-positive patients.<sup>23</sup> In our study, we demonstrated that FLT3 surface expression could be significantly increased by TKI treatment, particularly in FLT3-ITD- and FLT3-D835Y-mutant cells. Of note, cells harboring FLT3-ITD in combination with a resistance mediating point mutation showed a reduced FLT3 surface increase upon TKI treatment consistent with TKI resistance.<sup>27,29</sup> In contrast to CEP701 (Lestaurinib), which was reported to increase FLT3 surface expression in certain AML patients upon treatment<sup>51</sup> irrespective of FLT3 genotype, we showed that AML cell lines and PDX cells responded to AC220 depending on the FLT3 genotype and pretreatment FLT3-ITD mRNA level. An exception was the AML cell line PL-21—although carrying a heterozygous ITD, the TKI was not effective in reducing proliferation, likely because of the presence of a KRAS mutation that is known to be associated with TKI resistance.<sup>52,53</sup> In line with previous reports,<sup>12</sup> comparison of several TKIs confirmed that the second-generation TKI AC220 was more potent than multikinase inhibitors, such as PKC412 and sorafenib. In this context, FLT3-ITD-positive patients may benefit from the combination of TKI and therapeutic FLT3-directed antibodies. This strategy may not only overcome the limitation of FLT3 antigen availability in FLT3-ITD-positive AML cells but could also prevent adaptive TKI-resistance—a frequent problem in single-agent TKI-treatment. Although the overall impact of TKI on immune response remains controversial,<sup>54–57</sup> we provide evidence that the combination of TKI and FLT3 × CD3 antibodies enhance the T cell-mediated lysis of FLT3-ITD-positive AML cell lines, PDX cells and primary AML patient samples. Beyond increased FLT3 surface expression in FLT3-mutated cells as potential mechanism, TKI treatment may also modulate immune response through post-translational modifications as glycosylation matters in major histocompatibility complex peptide presentation and antigen recognition of T cells.<sup>58</sup> This may be linked to the demonstrated increase in the mature, fully glycosylated form of FLT3 in FLT3-ITD-positive cells after TKI treatment, in accordance with other publications.<sup>47,59,60</sup> This result suggests that autophosphorylation of the FLT3-ITD receptor may prevent physiological processing that is required for maturation and surface expression and possibly also for antigen processing and recognition. Of note, altered FLT3 mRNA expression also seems to contribute to the TKI-mediated increase in surface FLT3 that has been demonstrated in FLT3-TKI-resistant cells,<sup>21,61</sup> pointing toward a feedback response mechanism to compensate the lack of mature FLT3 receptor in the FLT3-mutated cellular setting.

Taken together, we do not only provide insights into the cellular effects of TKIs but also open up avenues to eradicate FLT3-mutated AML by combination of FLT3-targeting strategies. Further preclinical models and ultimately clinical trials are needed to translate our findings into novel therapeutic approaches.

## CONFLICT OF INTEREST

The authors declare no conflict of interest.

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