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Symptomatic Retinal Artery Occlusion after Angioplasty and Stenting of the Carotid Artery: Incidence and Related Risk Factors

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Objective: Retinal artery occlusion (RAO) is rarely seen as a complication in patients undergoing carotid artery stenting (CAS); hence, its characteristics have not been documented in detail. This study aimed to investigate the incidence of this complication and the related risk factors, focusing on differences in ophthalmic artery (OA) supply (whether by the external or internal carotid artery [ECA or ICA]) prior to CAS procedures.

Materials and Methods: We retrospectively examined 342 patients who underwent CAS for severe and/or symptomatic carotid artery stenosis between January 2009 and December 2017. Cumulative medical records and radiologic data were assessed. RAO was confirmed by photography and fluorescent angiography of the fundus, which were performed by an ophthalmologist. In all patients, distal filter systems of various types were applied as cerebral protection devices (CPDs) during procedures. Univariate and multivariate analyses were conducted to identify the risk factors for RAO after CAS.

Results: Symptomatic RAO was observed in six patients (1.8%), of which five (6.8%) were ECA-dominant group members (n = 74). In a binary logistic regression analysis, OA supply by the ECA (odds ratio [OR], 9.705; 95% confidence interval [CI], 1.519–62.017; p = 0.016) and older age (OR, 1.159; 95% CI, 1.005–1.336; p = 0.041) were identified as significant risk factors in patients with RAO after CAS. ECA-supplied OA was also associated with the severity of ipsilateral ICA stenosis (p = 0.001) and ulcerative plaque (p = 0.021).

Conclusion: In procedures performed using ICA distal filtering CPD systems, RAO as a complication of CAS (performed for severe stenosis) showed a relationship to ECA-supplied OA. For older patients, simultaneous use of ICA-ECA CPDs might help prevent such complications.

Keywords: Carotid artery; Stenosis; Angioplasty; Stent; Retinal artery occlusion

INTRODUCTION

Carotid artery stenting (CAS) is an evolving treatment method for carotid stenosis. In comparison with carotid endarterectomy (CEA), CAS can be performed without general anesthesia, involves limited surgical trauma, and does not require access to hostile neck anatomy, among other advantages. However, CAS is encumbered by a high incidence of emboli shed into the distal vascular bed. Such emboli result from plaque manipulation by guide wires, balloon inflation, and stent placement (1, 2). Retinal artery occlusion (RAO) is also a potential

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complication after CAS, although it is not common. Only a few studies have evaluated RAO after CAS procedures, and their findings covered a broad range of incidence (4–15%) (1). The retinal artery is a terminal artery without any anastomosis among branches and is the only source of nutrition for the inner retina. RAO and the corresponding loss of blood supply can impair visual acuity and visual fields or cause blindness in severe cases (2). This particular complication may thus be disabling and can substantially increase socioeconomic burdens.

Approximately 28–35% of patients with severe carotid artery stenosis (i.e., 70–99% blockage) exhibit reversed flow to ophthalmic arteries (OAs) (3-5). Reversal of OA flow calls for the development of multiple collaterals and blood supply via the external carotid artery (ECA). The OAs are then supported by ECA branches, such as the infraorbital branch of the superficial temporal artery (STA) or the orbital branch of the middle meningeal artery (MMA). During CAS procedures in patients with OAs largely supplied by the ECA (via multiple collaterals), passage of debris toward the ECA can result in the risk of RAO.

The association between RAO and OA blood supply in cases involving CAS is not well known. We anticipated that the risk of RAO was greater in patients with ECA (vs. internal carotid artery [ICA]) flow dominance. Thus, the aims of this study were to investigate the incidence of symptomatic RAO after CAS procedures and to identify the risk factors involved, focusing on the differences between ECA- and ICA-dominant OA flow.

MATERIALS AND METHODS

Study Subjects

In total, 342 consecutive CAS procedures performed at a single institution between January 2009 and December 2017 were examined in this retrospective review. All lesions were associated with atherosclerotic ICA and/or common carotid artery (CCA) stenosis. Our institutional guidelines call for CAS of stenotic ICAs in the following scenarios: severe stenosis (> 75% based on North American Symptomatic Carotid Endarterectomy Trial [NASCET] criteria) regardless of symptoms; symptomatic moderate stenosis (> 50 and \leq 75%); or symptomatic patients with \leq 50% lesion ulceration. CAS procedures performed for arterial dissections were excluded from this study. The primary study endpoint was RAO within 3 days after CAS. RAO was confirmed by photography and fluorescent angiography of



the fundus, performed by an ophthalmologist immediately after onset of visual symptoms.

The following baseline patient parameters were examined: age, gender, hypertension, diabetes, hyperlipidemia, prior stroke or transient ischemic attack (TIA), coronary artery disease, atrial fibrillation, chronic renal failure, and smoking. The following angiographic variables were also assessed: symptomatic stenosis, degree of ipsilateral stenosis (based on NASCET criteria), CCA plague involvement, ECA stenosis, ulcerative or calcific plaques, ipsilateral basal perfusion status on a single-photon emission computed tomography (SPECT) scan of the brain, ipsilateral vascular reservoir on a SPECT scan, and OA supply source (ECA-dominant vs. ICA-dominant group) prior to CAS. Among procedure-related variables, we analyzed external pacing, intra-procedural flow compromise, stent type (closed, open, or mixed-cell), type of cerebral protection device (CPD), and post-balloon angioplasty. In all patients treated during the study period, various types of distal filtering systems were used exclusively. Clinical outcomes were assessed in terms of peri-procedural ischemia (TIA, infarction, or RAO) and hemorrhage. This study was approved by our Institutional Review Board.

Conventional Angiography and the CAS Procedure

All stenotic lesions were detected using computed tomography angiography, magnetic resonance angiography, or carotid sonography. All patients underwent cerebral angiography and rotational angiography with threedimensional image reconstruction via Innova IGS 630 (GE Healthcare, Chicago, IL, USA) or another system (Integris V or AlluraClarity; Philips Medical Systems, Amsterdam, The Netherlands) to assess the degree and configuration of stenosis with precision and to determine the therapeutic plans.

ECA- or ICA-dominant OA flow was confirmed by ipsilateral carotid angiography prior to CAS by assessing whether the OA was supplied chiefly and more expeditiously by the ICA or ECA. Retinochoroidal blushing from ECA collaterals (Fig. 1) or the ICA was also assessed.

Most CAS procedures were performed under local anesthesia. Patients were pre-treated with aspirin (100 mg/ d) and clopidogrel (75 mg/d) at least 3 days before each procedure. All interventions were carried out via puncture of the femoral artery, followed by administration of heparin (70–100 U/kg) to achieve activated clotting times of 250 seconds. Long 6- or 7-Fr carotid sheaths (Flexor Shuttle-SL;





Fig. 1. Representative case with OA supplied by external carotid artery collaterals.

A-C. Sequential lateral views in common carotid angiography showing OA (black arrowheads) supplied by collateral channel via MMA (white arrowheads). **D.** Working projection of severely stenotic proximal internal carotid artery. **E.** Successful dilatation achieved after carotid angioplasty and stenting. **F.** After carotid artery stenting, sluggish OA (black arrowhead) flow supplied by MMA (white arrowhead). **G.** Fluorescent angiography of fundus showing multiple occlusions of retinal arteries (arrows). MMA = middle meningeal artery, OA = ophthalmic artery

Cook Medical, Bloomington, IN, USA) were advanced into the CCA and angiograms were recorded. Cerebral protection was attempted using various types of distal filtering systems at the operator's discretion and based on device availability. Once a filter was placed in the straightest possible segment, device flow status was routinely tested by contrast injection. Thereafter, pre-stent angioplasty was performed using a full-size balloon. Predilation using a 2- to 3-mm coronary balloon catheter was performed before filter placement only if angiography showed that the narrowest vessel diameter was insufficient for passage of the filter device. Self-expanding stents were delivered over the 0.014inch filter quidewire and deployed in relatively straight arterial segments. Stent delivery and catheter retrieval after deployment were executed with caution under continuous fluoroscopic monitoring to maintain the filter's position.

Various types of self-expandable stents (closed, open, or mixed-cell) were chosen according to the operator's preference or the stented arterial course. Post-deployment angiography was routinely performed to evaluate any complications or residual stenosis. If significant residual stenosis (> 30%) persisted without neurologic events or complications after stent deployment, postdilation procedures were performed using a larger balloon catheter under continuous fluoroscopic monitoring of the filter position. A retrieval catheter was then introduced over the filter wire for filter collapse and withdrawal, again using continuous fluoroscopic monitoring to avoid potential problems when crossing the stented segment. After the procedures, completion angiography was performed to confirm either distal embolic occlusion or ample perfusion. The patients continued the clopidogrel regimen for at least



90 days and the aspirin regimen indefinitely.

Statistical Analysis

Continuous variables are expressed as mean \pm standard deviation. Chi-squared and Fisher's exact tests or unpaired t tests were used to assess categorical or continuous variables, respectively. To evaluate the risk factors predisposing patients to symptomatic RAO after CAS, univariate analysis was applied. Multivariate analysis was then conducted by incorporating variables with p values < 0.10 in univariate analysis. In multivariate analysis, if the sample size was small, Firth's method was used. The results of a binary logistic regression model were reported as odds ratios (ORs) with 95% confidence intervals (95% CIs) and p values. A two-tailed p value < 0.05 was considered statistically significant. All computations relied on standard software (STATA v15.0; StataCorp LP, College Station, TX, USA).

RESULTS

Baseline Characteristics of the Patient Population

In total, 342 stenotic carotid lesions (men: 302/342, 88.4%) were selected for this study (Table 1). The mean age of the patients was 70.0 ± 7.3 years (range, 49-87 vears). Symptomatic stenosis was present in 133 (38.9%) patients, and the mean degree of stenosis was $75.5\% \pm$ 14.7% based on the NASCET criteria. Prior to CAS, OA was supplied by ECA collaterals (ECA-dominant group) in 74 patients (21.6%). Bilateral CAS was performed in 30 patients, and staged stenting was performed in 36. During CAS procedures, flow arrest developed in seven patients who remained deficit-free. In terms of stent type, an open-cell stent (254/342, 74.3%) was the most commonly applied, followed by closed-cell (85/342, 24.8%) and mixed-type (3/342, 0.9%) stents. Among CPDs, FilterWire (218/342, 63.7%; Boston Scientific, Marlborough, MA, USA) was the most commonly used, followed by Emboshield (72/342, 21.1%; Abbott Vascular, Santa Clara, CA, USA), SpiderFX (32/342, 9.4%; Medtronic, Minneapolis, MN, USA), and AngioGuard (20/342, 5.8%; Cordis Corp., Milpitas, CA, USA). Post-stent angioplasty was performed for 101 lesions (29.5%). After CAS, none of the patients exhibited reperfusion hemorrhage, but procedure-related ischemia developed in 27 patients (TIA, 4; infarction, 17; RAO, 6).

Comparison of OA Supply Subsets (ECA vs. ICA Dominance)

Prior to CAS, the OA was supplied by the ICA in 268 patients (78.4%) and by the ECA in 74 (21.6%). In the latter group (n = 74), the OA was supplied from the orbital branch of the MMA in 53 patients, the sphenopalatine branch of the internal maxillary artery in 15 patients, the angular branch of the facial artery in four patients, and the zvgomatico-orbital branch of the STA in two patients. The characteristics of the patient population are summarized by OA subsets in Table 1. In multivariate analysis, OA was mainly supplied via the ECA collaterals in patients with more advanced stenosis of the ipsilateral ICA (p =0.001) and ulcerative plaques (p = 0.021). Although there were no intergroup differences in terms of postprocedural hemorrhage or ischemia, symptomatic RAO was more common in the OA subset with ECA (vs. ICA) dominance (p = 0.002). After CAS, OA flow in the ECA-dominant group reverted to the ICA supply in 42 patients (56.8%).

Risk Factor Analysis of RAO after CAS

After CAS procedures, six patients (1.8%) developed symptomatic RAO (central RAO, 4; branch RAO, 2), for which older age, ulcerative plaque, and ECA-dominant OA emerged as significant risk factors in univariate analysis. In the binary logistic regression analysis, ECA-dominant OA (OR, 9.705; 95% CI, 1.519–62.017; p = 0.016) and older age (OR, 1.159; 95% CI, 1.005–1.336; p = 0.041) were independently correlated with RAO (Table 2). Among the six patients with RAO, five showed an OA supplied via ECA collaterals (orbital branch of the MMA in all 5). Baseline characteristics of these six patients with RAO are summarized in Supplementary Table 1.

Intra-arterial thrombolysis was performed in one of the six cases of RAO. Visual symptoms improved in three patients (one after intra-arterial thrombolysis and two after medical management), although minor deficits persisted; the other three patients failed to show improvement.

DISCUSSION

CEA is a well-established treatment for both symptomatic and asymptomatic carotid bifurcation stenosis (6-9). Increasingly, however, CAS has become a viable alternative to CEA in some instances, especially in patients with a hostile neck anatomy after surgery, tracheostomy, or irradiation or in those with anatomically high lesions (10). The International Carotid Stenting Study, a randomized study of CAS or CEA for patients with symptomatic carotid stenosis, reported that in comparison with the CEA treatment group, approximately three times as many CAS-treated patients showed new ischemic lesions on postprocedural diffusion-weighted imaging scans (11). Despite the usage of CPDs to lessen distal thromboembolism, debris from atherosclerotic plaques may escape CPD filters and migrate into the distal cerebral arteries during CAS procedures. Vos et al. (1) found that

Table 1.	Baseline Characteristics of Pati	nts with Carotid Arte	ery Stenosis Categorized	by ECA- or ICA-Dominant O	A Blood Supply
Prior to	Carotid Artery Atenting				

		ICA Dominant (n = 268)	ECA Dominant (n = 74)	Univariate	Multivariate Analy	vsis
Variable/Group	Total (n = 342)			Analysis		
valuate, croup				Р	Adjusted HR (95% CI)	Р
Demographic variables						
Male sex, No. (%)	302 (88.4)	236 (88.1)	66 (89.2)	0.842		
Age, mean (SD), years	70.0 (7.3)	69.9 (7.2)	70.5 (7.5)	0.530		
Hypertension	266 (77.8)	206 (76.9)	60 (81.1)	0.528		
Diabetes	150 (43.9)	114 (42.5)	36 (48.6)	0.357		
Hyperlipidemia	139 (40.6)	107 (39.9)	32 (43.2)	0.689		
Smoking	131 (38.3)	99 (36.9)	32 (43.2)	0.346		
Previous stroke or TIA	127 (37.1)	102 (38.1)	25 (33.8)	0.587		
Coronary artery disease	91 (26.6)	68 (25.4)	23 (31.1)	0.373		
Atrial fibrillation	16 (4.7)	14 (5.2)	2 (2.7)	0.538		
Chronic renal failure	21 (6.1)	15 (5.6)	6 (8.1)	0.418		
Angiographic variable						
Symptomatic stenosis	133 (38.9)	102 (38.1)	31 (41.9)	0.591		
Degree of stenosis (NASCET)	75.5 (14.7)	74.0 (15.3)	81.2 (10.7)	< 0.001	1.049 (1.025–1.074)	0.001
CCA involvement	236 (69.0)	177 (66.0)	59 (79.7)	0.033	1.875 (0.956-3.675)	0.067
ECA stenosis*	72 (21.1)	56 (20.9)	16 (21.6)	0.999		
Ulcerative plaque	182 (53.2)	132 (49.3)	50 (67.6)	0.006	1.988 (1.107-3.570)	0.021
Calcification	135 (39.5)	100 (37.3)	35 (47.3)	0.140		
Decreased vascular reservoir [†]	175 (51.2)	127 (47.4)	48 (64.9)	0.028	1.207 (0.355-4.102)	0.187
Procedural variable						
External pacing	57 (16.7)	42 (15.7)	15 (20.3)	0.510		
Flow compromise	7 (2.0)	6 (2.2)	1 (1.4)	0.999		
Stent type				0.193		
Closed cell	85 (24.8)	67 (25.0)	18 (24.3)			
Open cell	254 (74.3)	200 (74.6)	54 (73.0)			
Mixed	3 (0.9)	1 (0.4)	2 (2.7)			
CPD device				0.752		
FillterWire	218 (63.7)	173 (64.6)	45 (60.8)			
Emboshield	72 (21.1)	54 (20.1)	18 (24.3)			
Others	52 (15.2)	41 (15.3)	11 (14.9)			
Post balloon angioplasty	101 (29.5)	78 (29.1)	23 (31.1)	0.774		
Outcome variable						
Peri-procedural ischemia	21 (6.1)	18 (6.7)	3 (4.1)	0.585		
Peri-procedural hemorrhage	0 (0)	0 (0)	0 (0)	1.000		
RAO	6 (1.8)	1 (0.4)	5 (6.8)	0.002		

*Stenosis of ECA origin continuous to ICA and/or CCA stenosis, [†]Measured by ACZ-challenge N-isopropyl-I-123-p-IMP-SPECT, and indicated decrease of perfusion in SPECT. FilterWire, Boston Scientific; Emboshield, Abbott Vascular. ACZ = acetazolamide, CCA = common carotid artery, CI = confidence interval, CPD = cerebral protection device, ECA = external carotid artery, HR = hazard ratio, ICA = internal carotid artery, IMP = iodoamphetamine, NASCET = North American Symptomatic Carotid Endarterectomy Trial, OA = ophthalmic artery, RAO = retinal artery occlusion, SD = standard deviation, SPECT = single-photon emission computed tomography, TIA = transient ischemic attack

Table 2. Baseline Characteristics of Patients with or without Symptomatic Retinal Artery Occlusion after Carotid Artery Stenting

	Non-RAO	Symptomatic RAO	Univariate Analysis	Multivariate Analysis	
Variable/Group	(n = 336)	(n = 6)	Р	Adjusted HR (95% CI)	Р
Demographic variables					
Male sex, No. (%)	298 (88.7)	4 (66.7)	0.148		
Age, mean (SD), years	69.9 (7.2)	77.3 (6.2)	0.013	1.159 (1.005–1.336)	0.041
Hypertension	260 (77.4)	6 (100)	0.345		
Diabetes	148 (44.0)	2 (33.3)	0.699		
Hyperlipidemia	137 (40.8)	2 (33.3)	0.999		
Previous stroke or TIA	126 (37.5)	1 (16.7)	0.418		
Coronary artery disease	90 (26.8)	1 (16.7)	0.999		
Atrial fibrillation	16 (4.8)	0 (0)	0.999		
Chronic renal failure	21 (6.3)	0 (0)	0.999		
Smoking	130 (38.7)	1 (16.7)	0.413		
Angiographic variable					
Symptomatic stenosis	132 (39.3)	2 (16.7)	0.411		
Degree of stenosis (NASCET)	75.5 (14.8)	77.0 (6.8)	0.806		
CCA involvement	232 (69.0)	4 (66.7)	0.999		
ECA stenosis*	70 (20.8)	2 (33.3)	0.610		
Ulcerative plaque	176 (52.4)	6 (100)	0.032	7.233 (0.387–135.239)	0.185
Calcification	131 (39.0)	4 (66.7)	0.218		
Decreased vascular reservoir [†]	171 (50.9)	4 (66.7)	0.240		
OA supply by ECA	69 (20.5)	5 (83.3)	0.002	9.705 (1.519-62.017)	0.016
Procedure related variable					
External pacing	57 (17.0)	0 (0)	0.777		
Flow compromise	7 (2.1)	0 (0)	0.999		
Stent type			0.999		
Closed cell	84 (25.0)	1 (16.7)			
Open cell	249 (74.1)	5 (83.3)			
Mixed	3 (0.9)	0 (0)			
CPD device			0.839		
FilterWire	213 (63.4)	5 (83.3)			
Emboshield	71 (21.1)	1 (16.7)			
Others	52 (15.5)	0 (0)			
Post balloon angioplasty	100 (29.8)	1 (16.7)	0.674		

*Stenosis of ECA origin continuous to ICA and/or CCA stenosis, [†]Measured by ACZ-challenge N-isopropyl-I-123-p-IMP-SPECT, and indicated decrease of perfusion in SPECT.

emboli could be detected using transcranial Doppler sonography during wiring and passage across the stenosis, predilation, stent placement, postdilation, and use of an embolic protection device (EPD). Isolated emboli were most common during wiring and stent deployment, whereas embolic showers were primarily detected during stent deployment and postdilation.

RAO is one of potential complications of CAS. Because it is not a common complication, the mechanisms underlying post-CAS RAO are not well documented. In these cases, embolic debris may originate from both the ICA and ECA. According to Vos et al. (1), 3 of 10 patients (30%) shielded by CPDs during treatment displayed new retinal emboli, whereas 2 of 23 patients (9%) undergoing unprotected procedures developed RAO. This suggests that CPDs placed at the ICA cannot completely filter the atherosclerotic debris loosened from plaques during CAS, or the blood flow during balloon angioplasty may flush the debris toward the retinal artery via ECA collaterals. Wilentz et al. (12) also reported the presence of RAO after CAS using a distal ICA balloon system as CPD. As described, 5 of 38 patients (13.2%) who underwent the Theron approach (routine flushing toward the ECA) developed emboli, in comparison with 1 of 80 (1.25%) who were protected with

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the PercuSurge system (aspiration only; Medtronic) (p = 0.019). Thus, embolic sources contributing to RAO are apt to migrate via ECA collaterals.

In the present study, RAO after CAS showed a significant relationship with OAs supplied by ECA collaterals prior to CAS (i.e., at baseline) and older age. In addition, OAs supplied by ECA collaterals prior to CAS were linked to severe ipsilateral ICA stenosis. Hence, OAs may be mainly supplied by the ECA in patients with severe ICA stenosis and in such patients, debris from the atherosclerotic plaque may migrate to the ECA via collaterals during balloon angioplasty (with the ICA blocked and the filter in the ICA).

According to the literature, anastomosis of the external carotid circulation to the distal ICA (e.g., orbital branch of the MMA or STA to the OA) or OA flow reversal takes place in instances of severe carotid stenosis (3-5, 13). Debris passing through these collaterals leads to RAO and should be taken into consideration (12). In cases of OAs supplied by the ECA, the lack of an EPD to filter debris at the ECA may also lead to RAO during CAS. The strength of our research is the premise of ECA-dominant OA flow. Indeed, we have now demonstrated a significant relationship between ECA-dominant OA flow and symptomatic RAO. Thus, to avoid RAO as a procedural complication, it is vital to check whether the OA is largely supplied by the ICA or ECA prior to CAS.

Given the above revelations, any currently popular distal filtering system that is placed in the ICA cannot completely prevent RAO after CAS. The Mo.Ma model (Medtronic) is one type of proximal CPD that works by blocking flow in a target vessel through balloon occlusion of the ECA and CCA. In patients with severe stenosis plus ECA-dominant OA supply, the Mo.MA CPD may prevent RAO after CAS by averting the rush of debris into ECA collaterals and permitting suction removal. One drawback of this device is occlusion intolerance, which occurs at a rate of 12% (14). However, if collateral circulation through the Circle of Willis is sufficient, the Mo.Ma CPD may be a good option for preventing RAO in this particular context.

The incidence of RAO after CAS varies across reports, of which there are few. Song et al. (2) reported a 4.9% rate of RAO in their CEA group (n = 61), unlike the much higher 16.9% rate in their CAS group (n = 71) (p = 0.031). In the aftermath of CAS, 12 patients exhibited RAO, but only a single patient experienced diminished visual acuity or visual field (incidence of symptomatic RAO, 1.4%). According to Vos et al. (1), RAO occurred after CAS in 5 of 33 procedures

(15%), all of which proved asymptomatic. Fortunately, most retinal emboli are clinically silent (15). Wilentz et al. (12) have also reported a 5.1% (6/116) incidence of RAO after CAS, whereas the incidence of symptomatic RAO was just 1.7% (2/116). In our series, the incidence of symptomatic RAO following CAS was 1.8%, which is consistent with the outcomes of other studies.

Certain limitations of this study need to be acknowledged. First, this was a retrospective observational study with few occurrences of symptomatic RAO, despite the large sample size. In addition, the stents and distal filter systems used as CPDs were not standardized. Furthermore, the ophthalmologic examinations stipulated were not routinely performed in all patients with CAS. Only those experiencing visual symptoms after CAS underwent the required battery of studies.

In conclusion, the incidence of symptomatic RAO after CAS was low (1.8%) in our population. However, because reversal of OA flow to the ECA (established prior to stenting of severely stenotic ICAs) proved independently predictive of symptomatic RAO after CAS, caution should be exercised in this setting. For older patients, the use of simultaneous ICA-ECA CPDs may help prevent such complications.

Supplementary Materials

The Data Supplement is available with this article at https://doi.org/10.3348/kjr.2019.0030.

Conflicts of Interest

The authors have no potential conflicts of interest to disclose.

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