1	Recombination and retroprocessing in broomrapes reveal a universal roadmap for
2	mitochondrial evolution in heterotrophic plants
3 4 5	Short title: Mitochondrial genome evolution in parasitic broomrapes
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12	ABSTRACT
13	The altered life history strategies of heterotrophic organisms often leave a profound
14	genetic footprint on energy metabolism related functions. In parasitic plants, the reliance
15	on host-derived nutrients and loss of photosynthesis in holoparasites have led to highly
16	degraded to absent plastid genomes, but its impact on mitochondrial genome (mitogenome)
17	evolution has remained controversial. By examining mitogenomes from 45 Orobanchaceae
18	species including three independent transitions to holoparasitism and key evolutionary
19	intermediates, we identified measurable and predictable genetic alterations in genomic
20	shuffling, RNA editing, and intracellular (IGT) and horizontal gene transfer (HGT) en route
21	to a nonphotosynthetic lifestyle. In-depth comparative analyses revealed DNA
22	recombination and repair processes, especially RNA-mediated retroprocessing, as
23	significant drivers for genome structure evolution. In particular, we identified a novel RNA-
24	mediated IGT and HGT mechanism, which has not been demonstrated in cross-species and
25	inter-organelle transfers. Based on this, we propose a generalized dosage effect mechanism
26	to explain the biased transferability of plastid DNA to mitochondria across green plants,
27	especially in heterotrophic lineages like parasites and mycoheterotrophs. Evolutionary
28	rates scaled with these genomic changes, but the direction and strength of selection varied
29	substantially among genes and clades, resulting in high contingency in mitochondrial
30	genome evolution. Finally, we describe a universal roadmap for mitochondrial evolution in
31	heterotrophic plants where increased recombination and repair activities, rather than
32	relaxed selection alone, lead to differentiated genome structure compared to free-living
33	species.

34

35 KEYWORDS

36 recombination, repeats, relaxed selection, RNA editing, horizontal gene transfer, MTPT,

- 37 intracellular gene transfer, retroprocessing, operon
- 38

39 INTRODUCTION

40 Two critical symbiotic events define the cellular structure and mode of genetic inheritance 41 of modern plants. The resulting organelles of these symbioses—plastids and 42 mitochondria—marked an evolutionary revolution and established plants' role as the 43 primary suppliers of oxygen and organic matter on Earth. Over 1.4 billion years of 44 evolution, the function and genetics of plastids and mitochondria have coevolved with the 45 nucleus to perform autotrophic functions. Yet such long-term equilibrium can be broken during the evolution of parasitism. The most extreme case in eukarvotes involves the loss 46 47 of mitochondrial genomes (mitogenomes) in unicellular parasites [1,2] and even the organelle itself in the microbial symbiont *Monocercomonoides* [3]. In plants, parasitism also 48 49 imposes a strong selective force on energy metabolism. Parasitic plants tap into their host plants for water, nutrients, and photosynthetic products through direct haustorial 50 51 connections. Their plastid genomes (plastomes) thus have elevated evolutionary rates, 52 extensive gene loss, altered genome structure, and impaired to completely absent 53 photosynthesis [4–7]. In contrast, few studies have attempted to address these questions in 54 mitochondria and even fewer have identified significant differences compared to free-55 living species [8–10].

56

57 How mitochondrial function and genome evolution respond to heterotrophy in plants is 58 polarized. On one hand, mitochondria play a housekeeping role in programmed cell 59 apoptosis, calcium signaling, and oxidative homeostasis, making them fundamental to the 60 survival and functioning of eukaryotic organisms [10]. Comparative studies in multiple 61 parasitic and mycoheterotrophic lineages revealed minimum to no significant differences 62 in mitochondrion-encoded gene composition compared to typical autotrophic plants [11,12]. On the other hand, there are three lines of evidence supporting potentially 63 64 modified mitochondria in parasitic plants. First, theoretical models predict faster evolution 65 in parasites in response to host-parasite arms race [13]. This was supported by higher 66 substitution rates in parasitic plants in all three cellular genomes although limited genes 67 were sampled [14]. Second, mitochondrial function relies on intricate genetic and 68 biochemical crosstalk with the plastid and nucleus [5,8–10][15–17]. Thus, mitochondrial function may be influenced by the cascading effect of massive gene losses of the plastid and 69 70 nuclear genomes in parasitic plants [18]. Our recent work in the parasitic Orobanchaceae 71 revealed widespread losses of nuclear-encoded mitochondrial targeted genes in the 72 oxidative phosphorylation (OXPHOS) pathway, which may have led to altered use of 73 OXPHOS enzymes for mitochondrial respiration [19]. Similarly, the plastid generates 74 numerous metabolites such as glutamate and carbohydrates to support mitochondrial 75 respiration [17]. Substantially altered plastids thus may induce corresponding changes in 76 mitochondria. Third, although mitochondrial function is highly conserved, several 77 metabolic pathways are functionally redundant with forked alternative routes in plants 78 such as the alternative oxidase [20]. This allows for more subtle and diverse outcomes of 79 long-term relaxed selection, including not only gene losses or elevated evolutionary rates 80 but also shifted preference for alternative metabolic pathways [21,22].

81

82 Scrutinizing signs of relaxed selection has been a central focus of molecular evolution and 83 can be conducted by examining nucleotide substitutions in protein coding genes. Compared 84 to the streamlined plastid, mitochondrial genes harbor a greater breadth of eccentricities 85 such as scrambled coding regions, nonstandard genetic codes, and posttranscriptional RNA editing [23]. However, the content and evolutionary rates of core mitochondrial genes are 86 87 highly conserved across most land plants. These 24 core genes comprise five ATPase genes, 88 nine NADPH dehydrogenase genes, four cytochrome c genes, three cytochrome c oxidase 89 genes, one membrane transport protein gene, one mature enzyme gene, and one 90 panthenol-cytochrome c reductase gene. Nearly all parasites examined so far displayed a 91 complete set of core genes [24–26]. However, the European mistletoe *Viscum* (Santalaceae) 92 showed surprising losses in their NADPH dehydrogenase and ATPase genes, and highly 93 diverged sequences for the other mitochondrial genes [9,27]. In addition to genic regions, excessive noncoding sequences are also indicative of ineffective or relaxed selection 94 95 because they increase the probability of disrupting gene expression according to the

96 mutation burden hypothesis [28]. This is manifested by increased structural

97 rearrangements, horizontal gene transfers (HGTs), and expansion of repeats often seen in

98 the mitogenomes of parasitic plants [11,12,25,29–31]. These macro- and microstructural

99 genomic modifications thus often covary with evolutionary rates and selection pressure,

100 which is well established in the plastomes of parasitic plants (e.g., Wicke et al., 2016) but

101 remain to be tested in mitogenomes.

102

Investigation on mitogenome evolution in parasitic plants has largely focused on lineages 103 104 with a single origin of parasitism and has been restricted to coding regions [11,31]. 105 Challenges in mitogenome assembly and annotation further hindered broader taxon 106 sampling to identify key points of selective transition in relation to shifts in life history 107 strategies [32]. Here, we focused on the broomrapes (Orobanchaceae), a group of 108 cosmopolitan and diverse parasites in Lamiales. Members of this family span the entire 109 spectrum of plant parasitism from free-living species to chlorophyllous hemiparasites and 110 achlorophyllous holoparasites devoid of photosynthesis capacity. The three independent 111 origins of holoparasitism in Orobanchaceae provide a suitable comparative framework to 112 explore the relationship between shifts in life history strategy and mitogenome evolution. 113 Furthermore, cryptic hemiparasites such as *Harveya* and *Lathraea* are evolutionary 114 intermediates that perform photosynthesis only at certain life stages, which can reveal the 115 precise evolutionary trajectory of mitogenomes to a fully heterotrophic lifestyle. Therefore, 116 we used comparative genomic techniques to explore the adaptive and nonadaptive 117 processes shaping nucleotide substitution and mitogenome structure in Orobanchaceae. 118

119 **RESULTS**

120 Discordant organellar phylogenies provide insights into Orobanchaceae divergence

121 We assembled and annotated mitogenomes and plastomes from 45 Orobanchaceae species

122 representing 28 genera (Table S1). Both the mitochondrial and plastid phylogeny

123 supported three independent origins of holoparasitism (Fig. 1). Among these three lineages,

124 tribe Orobancheae *sensu* McNeal et al. [33], consisting of *Orobanche, Aphyllon, Phelipanche,*

125 *Cistanche, Mannagettaea, Conopholis, Epifagus,* and *Kopsiopsis,* was placed as sister to tribe

126 Buchnereae with moderate support (79 and 87 ultrafast bootstrap in IQ-TREE, referred to

as UFBP hereafter). The other two holoparasitic lineages were well nested within tribes 127 128 Buchnereae (Aeginetia, Hyobanche, Christisonia, and Harveya) and Rhinantheae (Lathraea) 129 with maximum support. Several major conflicts existed between the mitochondrial and 130 plastid phylogenies. For example, Brandisia was placed as sister to tribe Pedicularideae 131 with 99 UFBP based on the mitochondrial phylogeny but recovered as sister to Buchnereae 132 with 100 UFBP in the plastid phylogeny. Similarly, *Monochasma* was placed as sister to 133 Buchnereae in the mitochondrial phylogeny (89 UFBP), but inferred to be sister to all 134 parasitic Orobanchaceae using plastid (73 UFBP). At the species level, the two Aphyllon fasciculatum accessions (voucher JEPS 127839 and voucher J. W. Clokey and B. G. Anderson) 135 136 formed a clade in the mitochondrial phylogeny (100 UFBP), but were paraphyletic in the 137 plastid phylogeny with maximal support. These well-supported conflicts are indicative of a 138 deep history of hybridization involving *Brandisia* and *Monochasma*, as well as potentially 139 widespread host-driven cryptic speciation exemplified in *Aphyllon fasciculatum*. A detailed discussion of the phylogenetic insights of these results is provided in Supplementary Note 140 141 1.



143 **Figure 1** Mitochondrial and plastid genome structure in Orobanchaceae. Maximum

- 144 likelihood phylogenies of Orobanchaceae were inferred from mitochondrial (left) and
- 145 plastid (right) genes using IQ-TREE. Branch support was evaluated by 1000 ultrafast
- 146 bootstrap replications and only support values lower than 100 are indicated at nodes.
- 147 Tribe names are labeled in the middle. Phylogenetic incongruences are shown by gray lines
- and holoparasitic lineages are highlighted in red. Mitochondrial genomes are color-coded
 by sequence types including coding regions (dark purple), mitochondrial DNA of plastid
- 150 origin (MTPT, salmon), repeats (purple), and others (pink). Plastid genomes are color-
- 151 coded by small single-copy region (SSC, yellow), large single-copy region (LSC, aqua), and
- 152 inverted repeat (IR, blue). Illustrations of plants were obtained from the public domain of
- 153 the Biodiversity Heritage Library (https://www.flickr.com/photos/61021753@N02/).
- 154 From top left to bottom right: Aphyllon uniflorum, Orobanche minor, Mannagettaea
- 155 hummelii, Aeginetia indica, Castilleja coccinea, Lathraea clandestina, Aphyllon fasciculatum,
- 156 Conopholis americana, Epifagus virginiana, Hyobanche sanguinea, Pedicularis portenschlagii,
- 157 and Lidenbergia grandiflora.
- 158159 Altered genome size and gene content in the plastid but not mitochondrion
- 160 Plastomes in Orobanchaceae ranged from 45.8 kb to 164.1 kb in size (Table S2). The most
- 161 compact genomes were found in non-photosynthetic lineages, which were often coupled
- 162 with profound structural rearrangements such as the loss of inverted repeats in *Conopholis*
- 163 (Fig. 1). Plastome size reduction was also correlated with decreased GC content, although
- 164 not reaching statistical significance (phylogenetic generalized least squares PGLS *p*-value =
- 165 0.085; Fig. S1A). When assessing copy number using base coverage, holoparasitic species
- 166 on average contained 217.1±235.1 plastomes per cell showing no significant difference to
- 167 hemiparasites (count = 327.4±261.2; phylANOVA *p*-value = 0.786; Table S3). Other aspects
- 168 of plastome degradation are largely consistent with previous investigations in
- 169 Orobanchaceae [5,6,34], and will not be further discussed.
- 170
- 171 Mitogenomes in Orobanchaceae ranged from 225.6 kb in *Pedicularis* to 3.98 Mb in
- 172 *Cistanche* (Table S4). The median size of the mitogenome was 547.0 kb and was relatively
- 173 conserved at the genus level but variable across the family (Fig. 1). No significant size
- 174 difference was observed between species displaying different modes of parasitism (i.e.,
- 175 holoparasite versus photosynthetic species; phylANOVA *p*-value = 0.488). Mitogenome GC
- 176 content was conserved across the family (42.4–48.0%) but unlike plastome, showed no
- 177 correlation with genome size (Fig. S1B), suggesting that disparate processes have shaped
- 178 the nucleotide compositions of the two organelles.

179

180 The core set of 24 mitochondrial genes was intact in all species except for a pseudogenized 181 *mttB* in the holoparasitic *Mannagettaea hummelii* (Table S5). Putative loss of function in 182 this *mttB* copy was supported by the presence of a premature stop codon and lack of 183 sequence homology at the 5' end. Phylogenetic investigation on the 5' end upstream 184 sequence pointed to a host-derived insertion from the legume genus *Dalbergia* (Fabaceae) 185 as the main culprit of gene dysfunction (Fig. S2A). It also pointed out two additional HGTs 186 from Fabaceae to other independently evolved holoparasites at this locus, including 187 *Cynomorium* (Cynomoriaceae) and *Lophophytum* (Balanophoraceae) (Fig. S2A). Read 188 mapping strongly corroborated the presence of this premature stop codon in the 189 mitochondrial *mttB* in *Mannagettaea* and suggested a putatively intact nuclear copy 190 indicating transfer to the nuclear genome, but the sequencing coverage was inadequate to 191 recover its full length (Fig. S2C).

192

193 Non-core mitochondrial genes in Orobanchaceae including ribosomal proteins and 194 succinate dehydrogenase (*sdh3* and *sdh4*) are frequently lost or pseudogenized in the 195 mitogenome (Table S5) and like other angiosperms, potentially transferred to the nucleus 196 [35]. Eight members of the large (*rpl5, 10, 16*) and small (*rps3, 4, 10, 12, 14*) ribosomal 197 subunits were conserved in most species (Table S5). For all genes, intron content was 198 conserved within Orobanchaceae with most of the dynamics found in *cox2i691*. This cis-199 spliced intron has been lost at least three times and substituted by host-derived HGTs twice 200 (Table S5). Two potential shifts from cis-to-trans splicing were found in *ccmFci829* of 201 *Hyobanche sanguinea* and *nad5i1872* of *Pedicularis attolens* as evidenced by the long 202 distance between adjacent exons (21.1–41.9 kb).

203

204 Accelerated structural rearrangements in holoparasites

205 Interspersed repeats on average accounted for 7.0% of the mitogenome in Orobanchaceae,

206 and there was no significant difference between the two modes of parasitism (phylANOVA

- 207 *p*-value = 0.45). However, the highest proportion of repeats was commonly found in
- holoparasitic lineages including *Cistanche* (30.1–70.5%), *Conopholis* (12.2–16.3%), and
- 209 *Aeginetia* (17.8%; Table S4). The hemiparasitic *Euphrasia* also carried disproportionately

210 abundant repeats (29.0%) and was an outlier compared to other hemiparasites. Individual 211 repeat units ranged from 98 bp to 21.1 kb in size with a median length of 192 bp (Table S6). 212 Among the 451 structural repeats identified from the De Bruijn assembly graph, 300 were 213 singletons lacking homology in other species, but 92 of the remaining repeats convergently 214 evolved from homologous regions (Fig. S3). These 92 repeats can be clustered into 24 215 groups and the biggest cluster comprised 9 parallel origins of repeats from the same ca. 216 100 bp region that resembles *nad* gene sequences (Cluster 7 in Table S6). 217 218 To further investigate mitochondrial structural dynamics, we conducted pairwise genome 219 alignment with comparison to the free-living species Rehmannia glutinosa in

Orobanchaceae. Within 35 million years of evolution [36], synteny was barely detectable
outside coding regions in all species (Fig. S4). Yet holoparasites in particular showed more
extensive rearrangements and accelerated synteny erosion (phylANOVA *p*-value = 0.05
based on the percentage of mitogenome in synteny; Figs. S4-6). For example, a 12.7 kb
syntenic segment spanning *trnS-GGA*, *trnD-GUC*, and *nad4* was almost universally
conserved in hemiparasites, but this segment was truncated to 5.6-10.8 kb in holoparasites
(Fig. S4). In general, whole-genome pairwise alignments demonstrated shorter and more

scattered syntenic segments in holoparasites (Fig. S5). This was further corroborated by
the steep curve of the cumulative length of syntenic segments in hemiparasites compared

to holoparasites (Fig. S6). The smoother curves and lower end points suggested that

230 holoparasites on average lack long syntenic segments and have fewer sequences in

- alignment with their ancestors.
- 232

233 Retroprocessing and mutational bias attenuate RNA editing in holoparasites

An average of 385.3±9.0 cytidines to uridines (C-to-U) RNA editing sites per species were

predicted bioinformatically for the 24 core mitochondrial genes (Table S7). Most of these

sites were located in the second codon position (64.1%) with 29.3% and 6.6% found in the

- 237 first and third codon positions, respectively. In general, holoparasites have lost more RNA
- editing sites (mean editing sites = 391.6) compared to hemiparasites (mean = 399.1;
- 239 phylANOVA *p*-value = 0.289). Further investigation revealed that such a bias was
- attributable to both RNA-mediated retroprocessing and biases in point mutations (Fig. 2).

First, seven out of the ten events of retroprocessing-mediated loss of RNA editing sites 241 242 were associated with holoparasitic lineages (Fig. 2B). In these regions, continuous stretches 243 of C-to-T mutations are identified in atp6, cox2, and nad4L, each containing up to 15 editing 244 sites spanning 191 to 512 bp of mitochondrial DNA (Fig. S7). This mechanism was further 245 corroborated by three repeated losses of a group I intron flanking the RNA editing sites in 246 *cox2*, pointing to gene conversion of reverse-transcribed RNA as the molecular mechanism (Fig. 2B). The case involving *cox2* and shared by the common ancestor of *Lathraea* and 247 248 *Neobartsia* suggests that these historical events can date back to their common ancestor in 249 the Late Miocene [36]. Second, mutational biases have also contributed to variations in 250 RNA editing. By inferring ancestral sequences and mapping C-to-T mutations to the species tree, we found that holoparasitic lineages are three times more likely to accumulate C-to-T 251 252 mutations when corrected for background mutation rate (Spearman rho = 0.656 vs. 0.222) 253 in holoparasites vs. hemiparasites; Fig. 2A).



254 255 Figure 2 Higher rate of C-to-T point substitutions and retroprocessing lead to rapid loss of RNA editing sites in holoparasitic Orobanchaceae. (A) Number of C-to-T mutations in RNA 256 257 editing sites per branch plotted against their branch length in mutation units (nucleotide 258 substitutions per site). C-to-T mutations are inferred using ancestral state reconstruction for the internal branches and only sites not nested within a potentially retroprocessed 259 genetic block are included. (B) Ten independent cases of retroprocessing lead to large-scale 260 loss of RNA-editing. The phylogeny on the left is color-coded by the count of C-to-T 261 mutations in RNA editing sites for each branch. Taxa highlighted in red are holoparasitic. 262 Predicted RNA-editing sites are shown as triangles on each gene and the red color indicates 263 loss of RNA-editing. Multiple continuous RNA-editing losses, as well as losses of flanking 264 265 introns in *cox2*, are best explained by retroprocessing.

266

267 Minimum intracellular gene transfer in non-photosynthetic angiosperms

268 Gene flow from plastids to mitochondria (MTPT) is one of the many mechanisms for 269 mitochondria to uptake non-native DNA. These intracellular transfers accounted for a small 270 proportion of mitogenome in Orobanchaceae (0.53–17.50%), with the lowest MTPT 271 content found in holoparasites (Fig. 3A). Further statistical tests suggested a significant 272 difference between holoparasitic and photosynthetic species (phylANOVA p-value = 0.019). 273 When we expanded the comparison to additional clades across the Plant Tree of Life, five 274 independently evolved non-photosynthetic lineages exhibited significantly lower MTPT 275 content compared to their photosynthetic relatives (Fig. 3A; Table S8; phylANOVA p-value 276 = 0.012). These lineages included not only holoparasites such as *Ombrophytum* 277 (Balanophoraceae, Santalales) and *Hvdnora* (Hvdnoraceae, Piperales) but also 278 mycoheterotrophs such as the ghost pipe *Monotropa* (Ericaceae, Ericales). Furthermore, 279 cryptic Orobanchaceae hemiparasites such as *Lathraea* and *Harveya capensis*, which highly 280 resemble leafless holoparasites but conduct photosynthesis at early life stages [37,38]. 281 exhibited high MTPT content comparable to other hemiparasites (4.19–8.85%; Fig. 3A). 282 These results allude to universally altered interactions between plastids and mitochondria

triggered by the loss of photosynthesis.

284

285 To further investigate the patterns and mechanisms of MTPT purging in holoparasitic 286 Orobanchaceae, we inferred the genetic background and genomic location for all 1,094 287 MTPTs using phylogenetic approaches. The results suggested that native plastomes on 288 average accounted for 67.2% of the MTPT, while plastid transfers from outside 289 Orobanchaceae contributed 17.4%. Such a proportion is sharply contrasted when 290 partitioned by life history strategy—a minimum 6.0% of the MTPTs are from alien sources 291 in hemiparasites, but this number rises to 28.8% in holoparasites (phylANOVA p-value = 292 1.3e-3). The comprehensive collection of plastid sequences on GenBank allows us to 293 pinpoint the donor lineages of 48 alien MTPTs to genus level and 80 more to family level 294 with >85 UFBP (Table S9; Data S1). These donors are strongly associated with hosts such 295 as the insertion of *Quercus* plastid DNA in *Conopholis americana* and *Tamarix* in *Cistanche* 296 *tubulosa* (Table S9). Besides direct plastid-to-mitochondrion transfers, 16.5% of MTPT are 297 ancestral intracellular gene transfers shared broadly in Lamiales (Fig. S8D) or results from

298 more complex host plastid to host mitochondrion to parasite mitochondrion transfer based
299 on phylogeny (Fig. S8C; Supplementary Note 1; Data S1).

300

301 Mapping MTPTs to the plastome revealed a striking disparity in transferability across the genome (Fig. 3B). For alien MTPT, 73.7% (n = 112) of the sequences reside strictly within 302 303 coding regions and are evenly distributed throughout the plastome (Fig. 3B-C). This 304 suggests a stochastic and potentially RNA-mediated mechanism. In direct contrast, only 5.7% 305 (n = 42) of the native MTPT sequences are within plastid genes and they demonstrate 306 strongly biased genomic distribution—the inverted repeats are hotspots of gene transfer 307 while the single copy regions are virtually deserts. Especially in holoparasites, the two 308 single-copy regions account for 66.7% of the plastome but contribute only 13.9% (16.4 kb) 309 of the total MTPTs, whereas the inverted repeats contribute 101.8 kb in total (Fig. 3B). 310



- 311
- **Figure 3** Parallel reduction of mitochondrial plastid (MTPT) content in non-photosynthetic
- 313 plants caused by reduced intracellular gene transfer. (A) Reduced MTPT content in non-
- 314 photosynthetic Orobanchaceae (left) and other angiosperm clades (right) including
- 315 Balanophoraceae (Santalales), Hydnoraceae (Piperales), Cynomoriaceae (Saxifragales),
- 316 Cuscuta (Convolvulaceae, Solanales), and Monotropa (Ericaceae, Ericales;
- 317 mycoheterotrophic). A full list of species is provided in Table S8. (B) Holoparasitic
- 318 Orobanchaceae receive less native MTPT but more alien MTPT. Using maximum likelihood
- 319 phylogeny, MTPTs are classified into alien MTPTs from non-Lamiales species (red), native
- 320 MTPTs transferred from Orobanchaceae (light blue), or ancestral MTPTs universally

present in Lamiales mitochondria (purple). Homologous regions of MTPT insertions for
holoparasitic (top) and hemiparasitic and free-living Orobanchaceae (bottom) are mapped
to the plastome of *Rehmannia glutinosa* (middle). The inverted repeat regions are
highlighted in blue. (C) Percentages of MTPTs nested within plastid coding regions. MTPTs
were classified and color-coded by the same three types in (B): alien MTPT (red), native

- 326 MTPT (light blue), and ancestral MTPT in Lamiales (purple).
- 327

328 HGTs inflate mitogenome sizes

329 In addition to plastid sequences, most non-native mitochondrial sequences come from the

- 330 mitogenome of other species. Our newly developed HGTScanner program (see Methods)
- 331 can identify HGT in both genic and intergenic regions and precisely pinpoint the donor
- 332 lineage. Across 45 Orobanchaceae species, we discovered 6,504 high confidence HGTs.
- 333 Investigation of the HGT donor lineages revealed a fascinating history of host-parasite
- 334 coevolution and was discussed elsewhere (Cai *et al*, unpublished; see supplemental
- 335 attached for review only and not for final publication). These alien mitochondrial
- 336 sequences (excluding MTPTs) accounted for 1.8% to 29.8% of the mitogenome in each
- 337 species and were positively correlated with the mitogenome size (PGLS *p*-value = 4.643e-
- 338 06; Fig. S9). Within hemiparasites, HGT on average accounted for 5.2±3.2% of the
- 339 mitogenome with a median segment size of 185.3 bp (Fig. S9; Table S4). This moderate
- 340 level of HGTs is only slightly higher than the free-living species at 2.4–3.1%. In sharp
- 341 contrast, HGTs in holoparasites expanded by more than twofold (13.5±5.4%; phylANOVA
- 342 *p*-value =0.048), which is attributable to their higher abundance (218.5 per genome; Fig. S9)
- and longer segment size compared to those from the hemiparasites (218.0 bp; Fig. S10). In
- 344 the three cryptic hemiparasites *Harveya capensis, Lathraea clandestine,* and *Lathraea*
- 345 *squamaria*, HGT accounts for 8.7–13.4% of the mitogenome respectively, which are in close
- 346 alignment with holoparasites rather than hemiparasites.
- 347

348 Accelerated molecular evolution and relaxed selection in plastomes, not

- 349 mitogenomes of parasites
- 350 We calculated the average synonymous (d_s) , nonsynonymous (d_N) substitution rates, and
- 351 selective pressure ω (d_N/d_S) of each species by comparing concatenated organellar genes to
- 352 the free-living *Rehmannia*. A five-fold difference is found in mitochondrial *d*_S across
- 353 Orobanchaceae ranging from 0.0061 to 0.0288, which is even higher than the three-fold

354 rate difference in their plastids (Fig. 4A). Plastid d_s and ω are significantly higher in holoparasites compared to hemiparasites (phylANOVA *p*-value < 0.034), but we found no 355 356 significant lifestyle-linked difference for mitochondrial d_s and ω (phylANOVA p-value > 357 0.455). Species with higher plastid d_s tend to have higher mitochondrial d_s , although 358 statistically trivial (PGLS *p*-value >0.16; Fig. 4A). We found no correlation between plastid 359 ω and mitochondrion ω (PGLS *p*-value >0.79; Fig. 4B), but the hemiparasite *Monochasma japonicum* had exceptionally high ω in its plastid genes, which is likely a result of excessive 360 361 posttranscriptional RNA editing instead of relaxed selection.

362

363 To more finely characterize clade-specific selection shifts, we applied the free-ratio model to estimate ω and the selection parameter k defined in RELAX [39]. For plastid genes, all 364 365 three holoparasitic lineages have high ω and low k values that are indicative of relaxed 366 selection (thick branches with warm colors in Fig. 4D). Some hemiparasites, including 367 *Monochasma* and *Castilleja*, also exhibit substantial relaxed selection in their plastid genes. 368 Selection in mitochondrial genes is more subtle and most branches evolved nearly 369 neutrally (ω and k close to 1; Fig. 4C). Species like Lathraea squamaria and Melasma 370 physalodes are among the few outliers showing exceptional levels of relaxed selection in their mitochondrial genes (Fig. 4C). 371



373

0.0	
374 375 376 377 378 379 380 381 382 383 384 385 386 387	Figure 4 Relaxed selection in plastomes but not mitogenomes during the transition to holoparasitism. (A) Mitochondrial and plastid d_s in hemi- and holo-parasites. The dot plot shows the correlation between mitochondrial and plastid d_s for hemiparasites (black) and holoparasites (red). Rates are inferred from pairwise comparisons to the free-living <i>Rehmannia glutinosa</i> . The asterisks above the box plot indicate a significant difference based on phylogenetic ANOVA (<i>p</i> -value <0.05). (B) Correlation between mitochondrial and plastid d_N/d_s . Note the unusually high d_N/d_s value in the hemiparasite <i>Monochasma japonica</i> . (C–D) Shifts of selective strength for mitochondrion (C) and plastid (D). Branch length is scaled by d_s and arrowheads mark three independent transitions to holoparasitism. The selection strength parameter <i>k</i> and d_N/d_s are inferred under the free-ratio model in HYPHY and CODEML, respectively. Branches are color-coded according to <i>k</i> . Low <i>k</i> (<1, red–brown) indicates relaxed selection; $k > 1$ (blue-purple) suggests intensified selection. Branch widths are proportional to d_N/d_s .
388	To identify gene-specific selection shifts and evaluate their statistical significance, we
389	partitioned mitochondrial genes into functional groups and compared models with
390	alternative branch partitioning using likelihood ratio tests (LRT) in HYPHY (Table 1).
391	Significant relaxed selection was identified in <i>cob, ccm</i> , and <i>mttB</i> in various holoparasitic
392	clades compared to photosynthetic species ($k = 0.63-0.89$; LRT <i>p</i> -value <0.05; Table 1;
393	Table S10). But <i>nad</i> genes encoding the NADPH dehydrogenase genes showed significantly
394	intensified purifying and positive selection among parasitic Orobanchaceae compared to
395	free-living species (<i>k</i> = 2.20, LRT <i>p</i> -value = 4.06e-3). The <i>cox</i> genes encoding the
396	cytochrome c oxidase also showed significantly intensified selection in holoparasites (k =
397	1.62, LRT <i>p</i> -value = 3.04e-5). These heterogeneities in the direction of selection among
398	different genes led to the lack of signal in the concatenated mitochondrial sequences (LRT
399	<i>p</i> -value = 0.53).
400	
401 402 403 404 405 406	Table 1 Gene-specific assessment of lifestyle effects on selection using the selection intensity parameter k defined in RELAX. The RELAX analyses were based on concatenated mitochondrial genes in different functional groups. Likelihood ratio tests (LRT) were performed to identify the best branch partition model and evaluate its goodness-of-fit compared to the null model assuming $k = 1$ for all branches. A significant p -value (<0.05) and $k < 1$ indicates relaxed selection in the foreground branches; $k > 1$ indicates intensified

- 407 408
- 409

Functional Gene set

in gray.

selection. Genes with significantly altered selection in foreground branches are highlighted

group		best branch model	p-value	k	LRT
All mt	All mt genes listed below	Three holoparasitic clades	0.531	1.044	0.393
nad (CI)	nad1, nad2, nad3, nad4, nad4L,	All parasites	0.00406	2.199	8.254
	nad5, nad6, nad7, nad9				
cox (CIV)	cox1, cox2, cox3	Three holoparasitic clades	3.03e-5	1.616	17.394
cob	cob	Tribe Orobancheae	0.0167	0.630	5.721
atp (CV)	atp1, atp4, atp6, atp8, atp9	Tribe Orobancheae	0.320	0.925	0.990
ccm	ccmB, ccmC, ccmFc, ccmFn	Three holoparasitic clades	0.0146	0.893	5.960
matR	matR	Three holoparasitic clades	0.334	1.058	0.935
mttB	mttB	Three holoparasitic clades	0.0410	0.792	4.174

410

411 Correlation of nucleotide substitutions and genomic traits

412 The correlation between mitochondrial nucleotide substitutions (d_s , ω , GC%) and genomic

413 structural changes (size, repeats, synteny, RNA editing, MTPT, HGT) was assessed under

414 the multivariate phylogenetic comparative framework implemented in COEVOL [40]. The

415 results indicated that none of the nucleotide substitution traits were intercorrelated (i.e., *ds*,

416 ω , GC%), but many were strongly linked with genomic structural changes (Table 2). For

417 example, mitochondrial d_s was positively correlated with genome size (posterior

418 probability of marginal correlations $pp_{MC} = 0.91$ and maximally controlled correlations

419 $pp_{MCC} = 0.87$; Table 2). The GC content was higher in larger genomes ($pp_{MC} = 0.93$;

420 $pp_{MCC} = 0.75$) and high HGT content ($pp_{MC} = 0.94$; $pp_{MCC} = 0.97$). Mitogenome size was

421 strongly positively linked with MTPT, HGT, and repeat content (pp_{MC} = 0.99-1; pp_{MCC} = 0.97-

422 0.98). Increased genomic rearrangements measured by the proportion of syntenic regions

- 423 were strongly positively correlated with HGT and MTPT ($pp_{MC} = 0.98-0.99$; $pp_{MCC} = 0.92-$
- 424 0.95).
- 425

426**Table 2** Correlation of mitochondrial genetic traits measured under the multivariate427phylogenetic comparative framework implemented in COEVOL. Posterior probabilities of428the marginal correlation (pp_{MC}) shown below the diagonal include indirect and429simultaneous correlation of the two variables, while posterior probabilities of maximally430controlled correlation (pp_{MCC}) shown above the diagonal control for partial correlation.431Posterior probabilities (pp) toward 1 indicate a positive correlation; pp toward 0 indicates432a negative correlation. Comparisons with pp values >0.9 and <0.1 are highlighted in gray.</td>433

dS ω GC% Size HGT% MTPT% RNA editing Synteny Repeat%

Maximally controlled correlation (pp_{MCC})

dS	-	0.69	0.43	0.87	0.44	0.38	0.25	0.5	0.17
ω	0.66	-	0.55	0.4	0.59	0.55	0.44	0.42	0.51
GC%	0.62	0.57	-	0.75	0.81	0.69	0.23	0.21	0.31
Size	0.91	0.54	0.93	-	0.97	0.67	0.082	0.98	0.97
HGT%	0.66	0.55	0.94	1	-	0.51	0.68	0.68	0.84
MTPT%	0.53	0.52	0.85	0.99	0.98	-	0.24	0.92	0.74
RNA editing	0.084	0.35	0.069	0.026	0.21	0.14	-	0.95	0.58
Synteny	0.63	0.43	0.47	1	0.99	0.98	0.89	-	0.2
Repeat%	0.25	0.46	0.65	1	1	0.97	0.33	0.83	-
	Margina	l correlat	ion (pp _{мc})						

434

435 **DISCUSSION**

436 Orobanchaceae presents a compelling opportunity to understand the connection between 437 mitogenome evolution and various modes of parasitic lifestyle. Unlike previous studies that 438 overlooked noncoding regions and claimed limited influence of parasitism on mitogenome 439 evolution, we not only revealed distinct and predictable changes in genome shuffling, RNA 440 editing, and IGT and HGT content during the transition to holoparasitism, but also 441 demonstrated the underlying molecular mechanisms. Overall, relaxed selection does not 442 play the dominant role in these processes, but a rather stronger link could be made with 443 biases in DNA recombination and repair processes, especially those involving RNA-444 mediated retroprocessing. 445

446 Higher mutation rate and retroprocessing activities in holoparasites promote loss of 447 RNA editing sites

448 RNA editing is a mandatory post-transcriptional correction step to restore conserved 449 amino acids during mitochondrial gene expression across plants [41,42]. Although it has 450 been hypothesized to buffer mutation, optimize GC content, and maintain functional and 451 genetic variation [43–45], there is a growing consensus that RNA editing emerged through 452 nonadaptive processes in angiosperms [46–48]. Our results strongly corroborate this 453 nonadaptive view because (i) Orobanchaceae diversified with increasingly stringent 454 selection against RNA editing and the free-living *Rehmannia* contains the most edited sites 455 (n = 412; Table S7); (ii) high mutation rate is significantly linked with rapid loss of RNA editing sites, supporting its high mutational burden (PGLS *p*-value = 8.6e-4; Fig. S11)[49]; 456

and (iii) loss of editing by C-to-T mutation is preferentially fixed and no reverse T-to-C
mutations are identifiable in species impacted by retroprocessing over millions of years

438 Initiations are identifiable in species impacted by retroprocessing over minions of years

- 459 (i.e., the common ancestor of *Neobartsia* and *Lathraea*).
- 460

461 Holoparasites generally experienced more point mutations and retroprocessing that 462 synergistically promoted loss of RNA editing sites compared to hemiparasites (Fig. 2). This might be attributed to selection — the cost of RNA editing, such as energy demands and 463 464 vulnerability to mutations disrupting editing site recognition [49,50], may differ among 465 lifestyles. Alternatively, this might be an indirect outcome of the difference in the baseline 466 mutation and recombination rate, which we argue to be a more probable cause. First, 467 holoparasitic species have slightly higher substitution rates in their mitochondrial genes (Fig. 4A) and hence more opportunity for spontaneous C-to-T mutations to be 468 469 preferentially fixed at the editing sites (Fig. S11). Such a positive correlation between 470 evolution rate and loss of RNA editing sites is also reported in the plant genus *Silene* and 471 the similar rates of C-to-T substitution at synonymous versus nonsynonymous editing sites 472 support a neutral role of these substitutions [47,51]. Second, holoparasites are more prone 473 to retroprocessing potentially due to the additional flux of host-derived RNA and the need 474 for retroprocessing-based DNA repair. We demonstrated that host-derived plastid RNA 475 boosted retroprocessing of MTPT more than tenfold in holoparasites (77,602 bp vs 6,368 476 bp in Fig. 3B). It is reasonable that host-derived mitochondrial RNAs can similarly 477 contribute to the loss of RNA editing sites via retroprocessing. The three independent HGTs 478 of host *mttB* into Orobanchaceae, Cynomoriaceae, and Balanophoraceae parasites are most 479 likely from retroprocessed host mitochondrial RNA and indirectly support this (Fig. S2). In 480 addition, the reduced synteny and abundant repeats in holoparasites imply more active 481 genome shuffling, greater risk of DNA damage, and hence higher demands for DNA repair. 482 Studies have shown that reverse transcribed RNA can repair DNA double strand 483 breaks [52], and the DNA repair process itself can promote C-to-T point mutations as well 484 [53]. In summary, higher mutation rates and genomic shuffling likely promoted the loss of 485 RNA editing in holoparasitic Orobanchaceae, the result of which may have indirectly 486 resulted in streamlining mitochondrial gene expression in these parasites.

487

488 A dosage effect mechanism for MTPT content in green plants

489 Intracellular gene transfers from the plastid (i.e., MTPT) comprise between 1 to 10% of the 490 mitogenome in seed plants [54,55]. Our estimation in Orobanchaceae falls within this range 491 (0.53–17.3%) but holoparasites exhibit drastic decline compared to hemiparasites. This 492 general trend extends to all heterotrophic angiosperms examined in our study (Fig. 3A) 493 and probably to parasitic algae as well due to their diminished photosynthetic capacity and 494 degenerated plastomes. In-depth investigation revealed that such disparity is primarily 495 driven by the sharp decrease of native MTPT in holoparasitic species, although a surge in 496 alien MTPT slightly compensated the differences (Fig. 3B). The biased distribution of MTPT 497 donor regions on the plastome also enabled the discovery of a novel RNA-mediated 498 mechanism for horizontal and intracellular gene transfer.

499

500 Elucidating the molecular mechanism for highly fortuitous events like gene transfer is

501 exceptionally challenging in eukaryotes because they are too rare to be studied *in vivo*.

502 However, 73.7% of the alien MTPT sequences strictly reside within plastid coding regions,

503 which is in direct contrast to native MTPTs (5.7%) and thus strongly supports their identity

504 as reverse transcribed mRNA. This RNA-mediated mechanism was previously proposed for

505 mitochondrion-to-nucleus gene transfers [35,56,57], but never demonstrated in cross-

506 species and inter-organelle transfers as we have done here. Here we further argue that

507 retroprocessing is responsible for a significant proportion of native MTPTs, and likely

508 involves precursor RNA operons instead of mature mRNA. This stems from (i) a 7.3 kb

509 hotspot of native MTPT in holoparasites that coincides with the *rrn* operon in the inverted

510 repeat region and (ii) two cold zones of MTPT in hemiparasites that overlap with the *ndhC*-

511 J and *ndhH–D* operons (Fig. 3B). These operons are bacterial-like polycistronic RNA

512 containing multiple premature RNAs. The *rrn* operon is the most abundant transcript in

513 plastids [58,59] whereas the *ndhC–J* and *ndhH–D* operons are amongst the rarest, showing

514 low expression and RNA polymerase binding affinity in *Arabidopsis thaliana* [60–62]. The

same hotspots and cold zones of MTPT have been reported in numerous free-living

angiosperms [63,64], suggesting the universal applicability of this dosage effect mechanism

517 to explain MTPT abundance across green plants.

518

519 On the other hand, DNA-mediated MTPT likely still dominates in most species and the 520 processes behind its drastic decline in holoparasites are more complex. One conceivable 521 mechanism to explain this is the "limited transfer window" hypothesis—species with a 522 single plastid per cell experience less gene transfer because lysis of this plastid is lethal to 523 cell [65,66]. However, in Orobanchaceae, the abundance of plastomes did not show a 524 significant difference between hemi- and holo-parasites (phylANOVA p-value = 0.786) and there was no correlation between plastome abundance and MTPT content either (PGLS p-525 526 value = 0.635; also see caveats in METHODS). Moreover, MTPT in holoparasites declines at 527 a rate that greatly exceeds their plastome size reduction (PGLS adjusted $R^2 = -0.04753$; p-528 value = 0.45; Fig. S12), thus plastid DNA quantity alone cannot sufficiently explain the 529 disparity.

530

531 This prompted our speculation of additional mechanisms altering plastid DNA accessibility 532 for transfer, which we term the "resting DNA" hypothesis. This hypothesis postulates that 533 the evolution of holoparasitism fundamentally shifts the molecular processes generating 534 free plastid DNAs as the donor for MTPT. Replication, recombination, and lysis of the plastid all have the potential to introduce double strand breaks and free DNA fragments. 535 536 While young photosynthetic leaves carry thousands of constantly replicating plastids per 537 cell, the reproductive and meristematic cells in holoparasites contain fewer plastids that 538 might be less active in replication [67]. These resting plastid DNAs are inaccessible for gene 539 transfers and the biased distribution of replication origins or recombination hotspots can 540 contribute to the uneven transferability across the genome (Fig. 3B). Along these lines, the 541 retention of photosynthetic tissue in cryptic hemiparasites like *Harveya* and *Lathraea* 542 facilitates the maintenance of high MTPT. Future studies can test this resting DNA 543 hypothesis by quantifying DNA synthesis activity in vivo [68,69] or examining the 544 expression of replication-related genes in species with different lifestyles. 545

546 In summary, MTPT content in plant mitochondria is maintained by both RNA- and DNA-

547 mediated processes. The RNA-mediated pathway may recruit native precursor RNA

548 operons or alien mature RNAs. Transition to a heterotrophic lifestyle may greatly reduce

549 MTPT content because the genetic donors for both the DNA-mediated pathway (free plastid

550 DNA) and the RNA-mediated pathway (precursor plastid RNA) are reduced in heterotrophs,

although horizontally acquired RNA from the host may slightly compensate this

552 phenomenon in parasitic plants.

553

554 **The limited role of selection**

555 Unlike recombination and retroprocessing events that profoundly shaped the mitogenome 556 landscape in holoparasites (e.g., loss of RNA editing, MTPT, and HGT), we found limited evidence for universal lifestyle-linked relaxed selection. For mitochondrial genes, both 557 558 hemi- and holoparasites have similar ω values (Fig. 4B). These mitochondrial ω ranged 559 between 0.3 to 0.5, which were even higher than those from the degraded plastid genome 560 in holoparasites ($\omega = 0.2-0.3$; Fig. 4B). This result could indicate overall relaxation in plant 561 mitochondria regardless of lifestyle, despite the conventional view of their conserved sequence and function. Genes including *ccm*, *cob*, and *mttB* showed significant relaxed 562 563 selection in holoparasitic lineages, but the clades involved in selective shifts varied 564 depending on the gene (Table 2). The loss of mitochondrial-encoded *mttB* in the 565 holoparasitic *Mannagettaea* may also stem from relaxed selection (Fig. S2). Loss of *mttB* is uncommon among angiosperms and is only documented in the parasitic mistletoe Viscum 566 and the mycoheterotrophic *Epirixanthes* [12,27], which may suggest convergent relaxed 567 568 selection on its retention in the mitogenome among heterotrophic plants. On the other hand, intensified selection was found in NADPH dehydrogenase and cytochrome c oxidase 569 570 among holoparasites. Therefore, the occurrence and direction of selective shifts on 571 mitochondrial genes is highly gene- and clade-specific, which may explain the lack of 572 overall signals in previous investigations when all mitochondrial genes were concatenated 573 and examined [10].

574

575 In addition to coding regions, integration of excessive non-coding DNA such as MTPTs and 576 HGTs increases the mutational burden of the mitogenome [49], which may be interpreted 577 as a result of relaxed selection. For the plastid genome, relaxed selection following 578 parasitism has long been recognized to drive the concerted acceleration of evolutionary 579 rates and genomic rearrangements in plastomes [5]. In mitochondria, nucleotide 580 substitution parameters including d_s and GC content were correlated with many genomic

features (Table 2), but selective constraints (ω) did not show correlation with any

substitutional or genomic traits ($pp_{MC} = 0.32 - 0.68$). Moreover, mitogenome size was

583 positively correlated with d_s (Table 2), which is consistent with the trend reported in *Silene*

584 [70]. However, such a positive correlation is at odds with the prediction from the mutation

- 585 burden hypothesis where high mutation rates create selection against large genomes [49].
- 586
- 587 Instead, mitogenome size scales strongly with traits directly or indirectly associated with 588 recombination and repair processes, including MTPT, HGT, repeats, GC content, and RNA 589 editing ($pp_{MC} = 0.91 - 1$; $pp_{MCC} = 0.81 - 0.98$). In particular, GC content and RNA editing are 590 not directly correlated with genome size in PGLS regressions (*p*-value = 0.883), but only 591 show negative correlation under the multivariate comparative framework ($pp_{MC} = 0.069$; 592 $pp_{MCC} = 0.082$). This might result from their indirect correlation to a third, unmeasured 593 trait—recombination. Homologous recombination is known to promote GC-biased gene 594 conversion, loss of RNA editing via retroprecessing, and integration of alien DNA that 595 bloats the genome [71,72]. In *Silene* species with exceptionally large mitogenomes, high 596 recombination rates are also responsible for rapidly evolving genome structure with 597 numerous extra chromosomes that eventually inflate the mitogenome [70,73,74]. Given the 598 rapid turnover rate of mitogenomes in holoparasites especially (e.g., nearly complete lack 599 of synteny and MTPT purging), recombination emerges as a more profound force shaping 600 genome evolution compared to selection.
- 601

602 A roadmap for mitochondrial genome evolution in heterotrophic plants

To summarize our results and provide an integrative framework for future studies, we
propose a universal roadmap of plant mitogenome evolution in response to parasitism (Fig.
5). This model can be applied to other heterotrophic lineages including algae and
mycoheterotrophic plants. Many macrostructural changes in the mitogenome, such as
MTPT and HGT, follow a predictable path after the establishment of parasitism; selection
and substitution processes, on the other hand, exhibit lineage- and gene-specific patterns.

610 Parasitism establishes direct vascular connections with other plant species, which
611 increases the load of alien RNA and DNA molecules that facilitate gene transfer to the

612 mitogenome. These transfers come from the plastid, mitochondrial, and even nuclear 613 genomes of hosts and are of minimal influence in hemiparasites. Besides host-derived 614 genetic materials, native sequences from the plastome frequently enter the mitogenome via 615 RNA- and DNA-mediated pathways. In free-living and hemiparasitic species, integration of native MTPT often involves reverse transcription of precursor RNA operons and is strongly 616 617 linked with transcript abundance. The loss of photosynthesis in holoparasites marks a 618 major transition in the dynamics of gene transfers. The greatly diminished photosynthetic 619 capacity decimates the transcription of most plastid genes as well as their entrance to the 620 mitogenome via RNA-mediated retroprocessing. The only plastome region being actively 621 transferred in holoparasites involves the rRNAs, whose function seems indispensable in all 622 plants with a plastome [75–77]. The enhanced hydraulic and nutritional reliance on hosts, 623 on the other hand, significantly increases the flux of host-derived DNA and RNA, leading to 624 accelerated rates of HGT. Cryptic hemiparasites with photosynthetic capacity and high host 625 dependence will display both high MTPT and HGT content. Among various mechanisms of 626 gene transfers, retroprocessing of mature mRNA from the host plastome increases alien 627 MTPT in holoparasites and similar processes involving mitochondrial genes result in the 628 loss of RNA editing and introns. This error-prone retroprocessing may also contribute to 629 the increased gene substitution rate often seen in holoparasites [78]. These insertions 630 introduce homologous regions in the mitogenome that may eventually become repeats with recombination capacity (e.g., 9 parallel origins of structural repeats involving nad-like 631 632 genes: Table S6). In addition, loss or alteration of nuclear-encoded mitochondrial DNA 633 replication, recombination, and repair (RRR) machinery may also influence organellar 634 nucleotide substitution. We recently discovered that species in the holoparasitic tribe Orobancheae have lost 20% mitochondrial RRR gene compared to other angiosperms [19]. 635 636 Specifically, the plant mitochondrial recombination surveillance protein *RecA3* was lost in four out of five Orobancheae species examined and its disruption resulted in extensive 637 638 rearrangement of the mitochondrial genome in *Arabidopsis* [79]. All of these factors may synergistically contribute to more frequent genome shuffling and DNA damage in 639 640 holoparasites.

641

642 These excessive genome structural changes are deleterious because they can disrupt gene expression (e.g., pseudogenization of *mttB* due to HGT; Fig. S2). However, the overall 643 644 ineffective or relaxed selection in the mitochondria permits the proliferation of these 645 deleterious mutations. Some genes including *ccm*, *cob*, and *mttB* show significant relaxed 646 selection in holoparasitic lineages. The precise causes of relaxed selection in mitochondrial 647 genes remain to be explored but may be linked to the reliance on external carbon or altered 648 energy demands of stomata and hydraulic regulation [80]. Conversely, intensified selection 649 is found in cytochrome c oxidase among holoparasites. Adaptive changes in cytochrome c 650 oxidase can boost mitochondrial respiratory efficiency for species with high metabolic 651 rates, which is demonstrated in the carnivorous bladderwort *Utricularia* [81] and 652 potentially relevant for holoparasites like *Lathraea* as well because they actively pump 653 water to sequester nutrients from hosts [38].

654

655 Despite these general trends, mitogenome evolution in heterotrophic species is subject to 656 substantial contingency. This originates from their altered energy metabolism that may either increase (e.g., thermal genesis in *Rafflesia*) or decrease (e.g., glycolysis-based 657 658 respiration in mistletoe) metabolic demands depending on the lineage [82,83]. In regard to 659 the mitogenome structure, parasitism introduces alien sequences that bloat the genome 660 size, but also increases recombination that can rapidly remove non-essential DNA [84]. 661 Holoparasitic species with high metabolic rates are prone to reactive oxygen species stress 662 and the deletion-biased DNA repair mechanism would lead to genome downsizing as well 663 [85,86]. The complex interplay of these molecular processes thus generates the fascinating 664 diversity of genome structure in heterotrophic plants ranging from the megabase-sized 665 multi-chromosome genome of *Cistanche* to the miniature mitogenome of *Rhopalocnemis* (Balanophoraceae). 666



667

668 Figure 5 Roadmap of mitogenome evolution in parasitic plants. The model illustrates the evolution of genomic traits and evolutionary rates during the transition from 669 670 hemiparasites with high photosynthetic capacity to cryptic hemiparasites with rapidly 671 declining photosynthesis, and holoparasites showing complete host dependence. Most 672 genomic traits follow a predictable path. This includes the rapid decline of native 673 mitochondrial plastid DNAs (native MTPT) after the loss of photosynthesis, gradual loss of 674 RNA editing, increased uptake of mitochondrial horizontal gene transfer (mtHGT) and alien MTPT, all of which are directly or indirectly associated with genomic recombination, 675 676 shuffling, and DNA damage repair processes. Changes in evolutionary rates (d_s) and 677 selection are less predictable and display lineage and gene specific patterns. We predict a subtle relaxation in the overall mitochondrial function and slight increase in d_{S} . But the 678 679 cytochrome c oxidase (cox) genes are likely to experience intensified selection in 680 holoparasites with high metabolic rates. Genome size similarly contains substantial contingence where alien genetic material like mtHGT may inflate the genome size, but 681 682 increased recombination can rapidly remove long stretches of mitogenome as well. 683 684

685 **METHODS**

686 Taxon sampling and DNA sequencing

- 687 Our taxon sampling included 45 representative species from 28 (28%) genera in
- 688 Orobanchaceae (Table S1). Specifically, we included all three independent origins of
- 689 holoparasitism in the tribe Orobancheae, the Hyobanche clade, and Lathraea. We generated
- 690 genome sequences for 32 species and obtained public data for the other 13 species from

691 NCBI GenBank as reads or assemblies. DNAs from all but two species were extracted from 692 herbarium specimens, dating back as early as 1922 (Table S1). To prepare for DNA 693 extraction, plant materials were flash-frozen in liquid nitrogen in 2 ml tubes and immediately homogenized using the 2010-115 Geno/Grinder High-Throughput 694 695 Homogenizer (SPEX SamplePrep LLC, NJ, USA). Subsequent DNA extraction followed the 696 standard CTAB protocol [87,88]. Precipitated DNAs were dissolved in TE buffer and then 697 cleaned using AMPure XP magnetic beads (Agencourt, MA, USA) following the 698 manufacturer's instructions. Final DNA quality and concentration was assessed using 699 Nanodrop 2000/2000c Spectrophotometer and Qubit Fluorometric Quantification (Thermo Fisher Scientific, MA, US). Due to the presence of orobanchoside and other 700 701 chemicals generated by specimen preparation [89], the success rate of DNA extraction from 702 herbarium specimens was especially low for hemiparasites ($\sim 20\%$) compared to 703 holoparasites (~80%). Finally, DNA samples passing basic quality controls were shipped 704 on dry ice to BGI USA (San Jose, CA, USA) for library preparation and sequencing on the 705 DNBSeq platform (MGI Tech, Shenzhen, China). An average of 8.3 giga base pairs (bp) of 706 150-bp paired-end reads were generated for each species. All newly generated read data 707 were deposited at GenBank under BioProject PRINA1169115.

708

709 Genome assembly and annotation

710 We trimmed adapters and filtered low-quality reads using TrimGalore v.0.5.0 under the 711 default settings [90] on the high performance computing cluster hosted at the Texas 712 Advanced Computing Center. Organellar genome assemblies were conducted using 713 GetOrganelle v1.7.7.0 [91]. First, we used the built-in database and the default kmer sizes 714 to assemble the plastid genomes for all species. We generated complete plastomes for all 715 species with a median base coverage of 1,287× (Table S3). These plastome assemblies were 716 subsequently manually inspected and circularized in Bandage [92]. Second, we removed 717 plastid reads for mitogenome assembly by mapping reads to the corresponding plastid 718 assembly using the end-to-end algorithm implemented in bowtie v2.5.1 [93]. The resulting 719 sam files were filtered through a custom Python script to remove reads with 100% 720 sequence similarity and >98% sequence overlap with the plastome assemblies (all scripts 721 available on GitHub https://github.com/lmcai/Orobanchaceae comparative mitome). This

threshold allowed us to retain potential mitochondrial plastid DNA (MTPT) in a

723 conservative manner, and exclude any false MTPTs with very high sequence identity to the

plastome. The filtered reads were used for mitogenome assembly in GetOrganelle with the

recommended parameter settings (-R 20 -k 65,85,105 -P 1000000). The resulting assembly

726 graph was visualized in Bandage and manually circularized when possible. Reads were

mapped back to the final assemblies using BWA-MEM 0.7.17 [94] and visualized in Tablet

- to correct assembly errors [95].
- 729

As a result, we completed mitogenomes of 24 species with fully connected De Bruijn

assembly graphs in networks (Table S4). Eleven species have various unconnected edges in

their assemblies, likely caused by missing data or linearly branched genome structure [23].

Given their high base coverage (> 128×) and complete mitochondrial gene sets, we

734 consider these assemblies as nearly complete.

735

Annotation of organellar genomes was conducted using the annotation transfer function in

Geneious Prime 2019.1.3 and manually inspected (Biomatters Ltd, Auckland, New Zealand,

738 <u>http://www.geneious.com/</u>). The plastid (GenBank ID: NC_034308) and mitochondrial

(GenBank ID: OM397952) annotations from *Rehmannia glutinosa* were used as references.

Annotated exons were mapped back to the assembly to identify trans-spliced introns.

741 These careful inspections of assembly and annotation revealed several assembly errors in

742 published mitogenomes, which we corrected later by read mapping (e.g., incorrect

frameshifts in *atp1*, *cox3*, and *nad5* in *Cistanche salsa* GenBank ID ON890402–ON890407;

744 see notes in Table S4).

745

746 **Relative copy numbers of organellar genomes**

747 To explore the abundance of organellar genomes within a plant cell, we characterize the

copy number of mitogenomes, plastomes, and nuclear genomes based on their read base

749 coverage. One important caveat is that there is great variation in the number of genomes

- carried by each organelle ranging from dozens to hundreds depending on the species and
- cell type [96]. Thus these copy numbers may not reflect the abundance of organelles. Here,
- 752 we only used our sequencing data because the libraries were built with an unbiased

sampling process. To calculate base coverage for organelle genomes, raw reads were
mapped to the assemblies using BWA-MEM. The base coverage for each site was calculated
from the resulting bam file using the 'samtools depth' function in samtools. We also used
bowtie2 for read mapping to confirm that the results were similar and were thus robust to
mapping algorithms and parameters (Table S3). The coverage reported in the Results was
inferred from BWA-MEM only. Finally, the nuclear genome coverage was estimated by
kmer distribution using Jellyfish v2.3.0 with a kmer size of 21 [97].

760

761 **Phylogeny reconstruction and time tree inference**

762 We reconstructed mitochondrial and plastid phylogenies with genes and conserved introns. 763 For the mitochondrial phylogeny, 31 protein-coding genes, 3 rRNAs, and their conserved 764 introns (Table S11) were aligned using MAFFT-linsi and manually inspected in Geneious. 765 We then inferred a Maximum Likelihood phylogeny based on the concatenated loci in IQ-766 TREE v2.2.2.6 [98]. A gene-by-gene partition was applied and the best substitution model 767 was determined by IQ-TREE. Branch support was evaluated by 1000 ultrafast bootstrap 768 replications (UFBP). The plastid-based phylogeny was inferred using a similar pipeline 769 with a subset of 25 plastid genes with conserved sequences in holoparasites [5] (Table 770 S12).

771

An ultrametric time tree was inferred for downstream comparative analyses (Fig. S13). To
accomplish this, we used the divergence times estimated by Mortimer et al. (2022) to fix
the ages of six major nodes in the mitochondrial phylogeny (Fig. S13). We then used the
penalized likelihood implemented in TreePL v1.0 [99] to generate the time tree. TreePL
was run three times to prime and cross validate the analyses. Divergence time was
subsequently estimated with an optimum smoothing parameter of 1000.

778

779 **Repeat annotation**

We used two methods to localize and quantify repeats in mitochondrial genomes. First, we
used the BLAST-based tool ROUSFinder v2.0 [100] to identify interspersed repeats longer
than 17 bp (-m 17). Then the total size of the annotated repeats was calculated by bedtools

783 merge v2.18 [101].

784

785 Secondly, we used a custom Python script (de bruijn graph based repeat identification.py, 786 see GitHub for details) to identify repeats bridging multiple assembly contigs. Here, repeats 787 are identified as contigs with four or more connections with neighboring contigs and a 788 higher kmer coverage that aligns with the number of connections (e.g., 4 connections = $2 \times$ 789 coverage; Fig. S14). This graph-based repeat identification is more robust because it is 790 supported by reads flanking adjacent contigs. To characterize the homology of these 791 repeats, we conducted all-by-all BLAST of the repeat sequences and then clustered them 792 into network modules using the cluster louvain function in the R package igraph, which 793 implements the multi-level modularity optimization algorithm to define community 794 structure [102].

795

796 **Genome synteny assessment**

797 Pairwise genome alignment was conducted in Mummer v4.0.0.0 [103] using the 798 mitogenome of *Rehmannia glutinosa* as the reference. To accommodate the large variation 799 of mitogenomes, Mummer was applied with 1000 bp maximum gap length (-g 1000), 1000 800 bp maximum alignment extension (-b 1000), and using all maximal exact matches (--801 maxmatch). The resulting alignments were visualized using the mummerCoordsDotPlotly.R 802 function in dotPlotly (https://github.com/tpoorten/dotPlotly). The coordinates of the 803 aligned regions were then extracted and summarized using the 'bedtools coverage' to 804 identify genomic regions that were universally conserved (see GitHub).

805

806 **RNA editing**

807 We used a neural network based software Deepred-Mt [104] to predict RNA editing in 808 Orobanchaceae. This tool is trained from an extensive set of experimentally verified RNA 809 editing sequences in plant mitochondria and is demonstrated to be more accurate than 810 similarity-based tools [104]. Here, we set a minimum threshold of 0.9 probability score for 811 RNA editing, which resulted in <0.1% type I error in the training dataset (Fig. S15). We 812 then used a custom script mapping deepredmt to alignment.py (available on GitHub) to 813 flag predicted RNA editing sites and realign these sequences to investigate their 814 evolutionary trajectory. Ancestral states of these RNA editing sites were determined under

the mitochondrial phylogeny based on the Maximum Parsimony criteria implemented inthe MPR function from the ape R package [105,106].

817

818 **MTPT annotation**

To accurately identify MTPTs, we generated a comprehensive plastome database that
included both highly divergent sequences from holoparasites and conserved sequences
from representative free-living angiosperms from the host lineage (Table S13). This
allowed us to identify MTPT sequences from degraded holoparasitic plastids as well as
MTPTs horizontally transferred from their hosts.

825 To calculate the proportion of MTPT, each mitogenome was searched against the plastome 826 database with a stringent e-value threshold of 1e-70 in BLAST. All hits were consolidated 827 using 'bedtools merge' to summarize their total length. Five additional non-photosynthetic 828 angiosperm lineages were sampled for comparative purposes, including Balanophoraceae 829 (Santalales), Hydnoraceae (Pipeales), Cynomoriaceae (Saxifragales), Cuscuta (Solanales), 830 and the mycoheterotrophic *Monotropa* (Ericales) (Table S8). Within each lineage, 831 mitogenomes from multiple photosynthetic and non-photosynthetic members were 832 examined for their MTPT content. A mitochondrial phylogeny was generated for these 833 species using the same set of 24 core genes in Table S7 in IO-TREE. An ultrametric tree was 834 then inferred using the penalized likelihood method implemented in the chonos function in 835 the R package ape [106] (Fig. S16). The phylogenetic ANOVA test implemented in phytools 836 was then used to test the correlation between MTPT abundance and life history strategy. 837

838 To further characterize the evolutionary history of MTPT, we reconstructed the phylogeny 839 for all 1,094 MTPT fragments identified across Orobanchaceae species. To do this, a custom 840 Python program HGTscanner mtpt.py (available on GitHub) was generated to establish 841 sequence homology, infer phylogeny, and identify intra- or inter-species gene donors. 842 Briefly, the NCBI nucleotide (NT) database was queried (accessed 10 November 2023) for 843 each MTPT to identify homologous plastid regions. A phylogeny was subsequently inferred 844 using IQ-TREE v2.2.2.7 [98] and the sister lineage was reported. Detailed program and 845 parameter descriptions can be found in Supplemental Note 2.

846

847 **HGT annotation**

To accurately identify mitochondrial HGTs, we developed a Python tool HGTscanner to
precisely characterize the location, donor, and recipient of alien genetic fragments (Fig.
S17). Here, the two main challenges lie in the difficulty of (1) establishing homology across
highly dynamic mitogenomes, especially non-coding regions; and (2) identification of HGT
donors from dozens of potential host families while taking into account phylogenetic
uncertainty.

854

855 To mitigate these challenges, HGTscanner first masked exons and MTPT in the query 856 mitogenome assembly and then BLASTed it against the entire Viridiplantae mitochondrial 857 sequence database from NCBI GenBank (70,706 records; accessed 10 November 2023). We 858 found such masking to be essential for avoiding the large number of BLAST hits in 859 conserved coding regions and MTPTs. These BLAST hits were then ordered based on 860 location and consolidated into longer synteny blocks for downstream phylogenetic 861 analyses. Each synteny block was further divided if more than 50% of the BLAST hits 862 consisted of multiple genomic regions (Fig. S17). Such finer division is essential for the 863 identification of shorter HGTs nested within long synteny blocks (e.g., alien intron nested 864 within native exons in *cox2*). Sequences from each synteny block were subsequently 865 aligned using the MAFFT-einsi algorithm [107]. A maximum likelihood phylogeny was 866 inferred for each block by IQ-TREE with 1000 ultrafast bootstrap replicates. 867

868 HGT was evaluated based on stringent criteria using BLAST and phylogenetic evidence. 869 Briefly, a genetic locus was classified as "high-confidence HGT" if (1) Sequences with high 870 identity (BLAST e-value < 1e-20) are found in Orobanchaceae and one non-Lamiales family 871 (BLAST-based evidence); (2) Sequences with high identity (BLAST e-value < 1e-20) are 872 found in only one Orobanchaceae species and other land plant families (BLAST-based 873 evidence); (3) the target Orobanchaceae parasite is nested well within a non-Lamiales 874 family with >85 UFBP support (phylogeny-based evidence). The other scenarios are 875 variously classified as 'VGT' (vertical gene transfer), 'putative HGT', or 'inconclusive' (Fig. 876 S17). These stringent criteria are effective in removing incorrect identification of HGT due

to phylogenetic uncertainty, but may suffer from high false negative rates because most

878 HGTs within Lamiales, especially from viable hosts in Lamiaceae and Plantaginaceae, will

879 be classified as VGT. Detailed program parameters and software pipeline are described in

880 Supplementary Note 2. Downstream comparative analyses on HGT were based on high-

881 confidence HGT only.

882

883 Molecular evolutionary rates

We used various models to investigate mitochondrial selection at the species and gene
levels. To prepare the input sequences, coding sequences from mitochondrial genes were
aligned with the codon-aware aligner MASCE v2.01b [108]. The resulting alignments were
verified against the TAIR database (www.arabidopsis.org) to ensure the correct reading
frames. Sequences containing premature stop codons and frameshifts were removed. All
RNA-editing sites were masked prior to subsequent analyses.

890

891 The overall non-synonymous (d_N) and synonymous substitution rates (d_S) were calculated 892 by pairwise comparison to the free-living outgroup Rehmannia glutinosa using 893 concatenated plastid or mitochondrial coding sequences in CODEML v4.10.7 [109]. To 894 more finely characterize selection on individual branches, we also inferred the substitution 895 rates under the free-ratio model in CODEML (model = 2). For the RELAX analysis 896 implemented in HYPHY 2.5.33 [110], we grouped genes into functional groups (Table S10) 897 and then tested for relaxed or intensified purifying selection for each life history group. The 898 RELAX analyses were conducted under the GTR substitution model and assuming three 899 rate classes across sites. The mitochondrial phylogeny was used as the guidance tree and 900 we tested three scenarios of selective shifts in foreground branches: (i) holoparasitic tribe 901 Orobancheae; (ii) all three holoparasitic lineages (and their internal branches); and (iii) all 902 parasitic Orobanchaceae. The best model was determined by the corrected Akaike 903 Information Criterion (AICc). The branch model (i) that tested for differentiated selection 904 in tribe Orobancheae was based on our investigation of nuclear-encoded mitochondrial 905 genes where tribe Orobancheae showed unique and exceptional gene losses not seen in the 906 other two holoparasitic lineages [19]. The selection parameter *k* inferred from the general

907 descriptive model was used for visualization in Fig. 4 using a custom python script908 'hyphy json parsser.py' (available on GitHub).

909

910 Phylogenetic comparative hypothesis testing

911 Most tests of lifestyle related shifts of genetic traits were conducted using phylogenetic 912 ANOVA (phylANOVA) or phylogenetic generalized least squares (PGLS). The ultrametric 913 species tree inferred from the concatenated Orobanchaceae mitochondrial genes was used 914 as the reference phylogeny. Species were classified based on their photosynthetic capacity 915 as "holoparasites" or "photosynthetic" (including cryptic hemiparasites, hemiparasites and 916 free-living species). For phylANOVA analyses, the PhylANOVA function from the R package phytools was used to perform hypothesis testing using 1000 simulations, posthoc tests to 917 918 compare the mean among groups, and the "holm" method to adjust *p*-values to account for multiple testing [111]. For PGLS analyses, the comparative data function from the R 919 920 package caper [112] was used to prepare the comparative dataset with phylogeny, trait 921 value (e.g., HGT content), and group assignment. The pgls function from caper was 922 subsequently applied to perform the PGLS regression.

923

Correlation of genomic traits (size, repeats, synteny, RNA editing, MTPT, HGT) and 924 925 nucleotide substitution ($d_{\rm S}, \omega, GC\%$) were analyzed with COEVOL v.1.6 [40]. COEVOL uses 926 Bayesian inference and MCMC methods to fuse phylogenetic substitution models with 927 multivariate Brownian comparative models, COEVOL was run with two chains, each 928 sampling every ten points until 10,000 samples were collected. Convergence was verified 929 in Tracer v1.7.2 [113] and the two chains were merged with 10% burn-in. The posterior 930 probability and covariance matrix were summarized using the readcoevol command in 931 COEVOL.

932

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- 942

943 DATA AVAILABILITY

- All newly generated raw sequence data were deposited at GenBank under BioProject
- 945 PRJNA1169115 (https://www.ncbi.nlm.nih.gov/sra/PRJNA1169115) and the SRA
- accession numbers were provided in Table S1. The assemblies of newly generated
- 947 mitogenomes and plastomes were deposited at GenBank ###. The code used for data
- 948 processing and analysis is openly available on GitHub at
- 949 https://github.com/lmcai/Orobanchaceae_comparative_mitome. The genome assemblies,
- 950 annotations, gene alignments, and phylogenies supporting the findings of this study
- 951 including RNA editing, molecular evolution, and gene transfers are available as
- 952 supplementary data deposited in the Zenodo Digital Repository
- 953 (https://doi.org/10.5281/zenodo.14862040).
- 954

955 AUTHOR CONTRIBUTIONS

- 956 Conceptualization: LC, RKJ; Funding Acquisition: LC; Data Curation: LC; Formal Analysis: LC;
- 957 Methodology: LC; Supervision: RKJ, JCH; Writing Original Draft Preparation: LC; Writing –
- 958 Review & Editing: LC, RKJ, JCH.
- 959

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