NAVIGATE I: Randomized, Placebo-Controlled, Double-Blind Trial of the Exhalation Delivery System With Fluticasone for Chronic Rhinosinusitis With Nasal Polyps

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Abstract

Background: Chronic rhinosinusitis is a common, high-morbidity chronic inflammatory disease, and patients often experience suboptimal outcomes with current medical treatment. The exhalation delivery system with fluticasone (EDS-FLU) may improve care by increasing superior/posterior intranasal corticosteroid deposition.

Objective: To evaluate the efficacy and safety of EDS-FLU versus EDS-placebo in patients with nasal polyps (NP). Coprimary end points were change in nasal congestion and polyp grade. Key secondary end points were Sino-Nasal Outcome Test-22 (SNOT-22) and Medical Outcomes Study Sleep Scale-Revised (MOS Sleep-R). Other prespecified end points included all 4 cardinal symptoms of NP, 36-Item Short Form Health Survey (SF-36), Patient Global Impression of Change (PGIC), Rhinosinusitis Disability Index (RSDI), and key indicators for surgical intervention.

Design: Randomized, double-blind, EDS-placebo-controlled, multicenter study.

Methods: Three hundred twenty-three subjects with NP and moderate-severe congestion/obstruction, most with history of corticosteroid use (94.4%) and/or prior surgery (60.4%), were randomized to EDS-FLU 93 µg, 186 µg, or 372 µg or EDS-placebo twice daily (BID) for 24 weeks (16 double-blind + 8 single-arm extension with EDS-FLU 372 µg BID).

Results: All EDS-FLU doses produced significant improvement in both coprimary end points (P < .05) and in SNOT-22 total score ($P \le .005$). EDS-FLU significantly improved all 4 cardinal symptoms of NP (P < .05), including congestion/obstruction, facial pain/pressure, rhinorrhea/post-nasal drip, and hyposmia/anosmia. Approximately 80% of subjects reported improvement with EDS-FLU, with 65% reporting "much" or "very much" improvement by week 16. Adverse events were generally local in nature and similar to other intranasal steroids studied for similar durations in similar populations, with the most common being epistaxis. **Conclusions:** In patients with chronic rhinosinusitis with NP (CRSwNP) who were symptomatic despite high rates of prior intranasal steroid use and/or surgery, EDS-FLU produced statistically significant and clinically meaningful improvements compared to EDS-placebo in multiple subjective and objective outcomes (symptoms, SNOT-22, RSDI, SF-36, PGIC, and NP grade), including all 4 cardinal symptoms of CRSwNP.

Keywords

nasal polyps, chronic rhinosinusitis, intranasal corticosteroid, fluticasone, Sino-Nasal Outcome Test-22, congestion, obstruction, polyp grade, sinus surgery, intranasal steroids, inflammatory disease

Introduction

Chronic rhinosinusitis (CRS), often accompanied by nasal polyps (CRSwNP), is a highly prevalent chronic inflammatory condition.^{1–3} The negative effect of CRS

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Raj Sindwani, Head and Neck Institute, Cleveland Clinic Foundation, 9500 Euclid Ave #A-71, Cleveland, OH 44195, USA. Email: sindwar@ccf.org on overall quality of life (QoL) has been measured in multiple domains and is of comparable magnitude to other serious diseases such as congestive heart failure, chronic obstructive pulmonary disease (COPD), and Parkinson's disease.^{4,5} In CRS, chronically inflamed epithelial surfaces, sometimes exacerbated by polypoid growths, impair ventilation, and drainage through sinus ostia.⁶ Nasal polyps (NP) are benign lesions arising from chronically inflamed tissue, typically in the region of the middle meatus, or ostiomeatal complex (OMC), a part of the deep nasal labyrinth above the inferior turbinate bone and behind the nasal valve and uncinate process, where sinus ventilation and drainage normally occur.^{7,8} CRS symptoms (with or without polyps)

include not only the diagnostic 4 (facial pain/pressure, congestion/obstruction, rhinorrhea, and diminished/ absent sense of smell) but also depression, serious sleep impairment, headaches, bodily pain, and fatigue.^{9,10} Several factors, including impaired mucociliary clearance and biofilm formation, predispose patients with CRS to complicating upper respiratory tract infections, such as acute sinusitis and adenotonsillitis.⁹ Reports suggest that nearly 70% of health-care visits with CRS as a primary diagnosis result in a prescription for an antibiotic. Antibiotic prescriptions for CRS and acute rhinosinusitis combined exceed antibiotic prescriptions for all other primary diagnoses, with obvious implications for risk of emergence of antibiotic resistance.¹¹

The inflammation and associated NP are usually responsive to oral corticosteroid treatment, as evidenced by rapid improvement in symptoms and polyposis following treatment.^{12,13} However, even short courses of oral corticosteroids are associated with adverse effects, some-times very serious.^{14,15} As such, clinical treatment guidelines for CRS, with or without NP, recommend initial treatment with topically acting (low bioavailability) intranasal corticosteroids (INS).^{9,10} Unfortunately, INS therapy may result in inadequate symptom control and limited polyp reduction.^{16,17} This is attributable to the inability of conventional nasal sprays and pressurized metered-dose inhalers to adequately deliver drug beyond the nasal valve and above the inferior turbinate, leaving key nasal regions obstructed due to inflammation, and polyps undertreated.^{18–21} As a result, most CRS patients remain symptomatic and report frustration with the symptom relief associated with medical treatment.²² For these patients, surgery may be considered.^{9,10,23} Although surgery has been shown to be a cost-effective treatment that improves QoL, symptoms are often either not fully or permanently resolved,^{24,25} and data suggest that the use of medication to control symptoms after surgery is very common.¹⁶ Substantial potential exists for the improved medical care of CRS if a reliable means were available to place high-potency topical steroid in target regions on a long-term outpatient basis.



Figure 1. The exhalation delivery system (EDS).

Source: Palmer JN, Jacobson KW, Messina JC, Kosik-Gonzalez C, Djupesland PG, Mahmoud RA. EXHANCE-12: I-year study of the exhalation delivery system with fluticasone (EDS-FLU) in chronic rhinosinusitis. Int Forum Allergy Rhinol. 2018;00:1–8.

- The EDS has a flexible mouthpiece and a nosepiece. The sealing nosepiece is shaped to transfer pressure from the mouth, to avoid compression of soft tissue in a way that could obstruct air flow, and to "stent" the nasal valve, particularly superiorly.
- Exhalation through the EDS (1) creates an airtight seal of the soft-palate, isolating the nose from the mouth and lungs, (2) transfers proportional air pressure into the nose, and (3) helps "float" medication around obstructions to high/deep sites in the nasal labyrinth, such as the OMC.
- The transferred intranasal pressure is proportional, across various exhalation forces, to oral pressure, counterbalancing pressure on the soft palate. This assures a patent communication behind the nasal septum and allows air to escape through the opposite nostril. "Positive-pressure" expands passages narrowed by inflammation (versus negative pressure delivery, "sniffing").
- Use is simple and quick. A patient inserts the nosepiece into one nostril and starts blowing through the mouthpiece. This elevates and seals the soft palate, as with inflating a balloon, separating the oral and nasal cavities. The patient completes use by pressing the bottle to actuate. This causes a coordination-reducing valve to release the exhaled breath concurrently with aerosol spray in a "burst" of naturally humidified air.
- Additional information related to the EDS device can be found at https://www.optinose.com/exhalation-delivery-systems/techni cal-overview.

The exhalation delivery system with fluticasone (EDS-FLU) contains a suspension of fluticasone propionate.²⁶ It uses an "exhalation delivery" mechanism that exploits a balanced closure of the soft palate and has been shown to deliver medication broadly behind the nasal valve and head of the inferior turbinate, reaching key anatomical sites in the superior and posterior aspect of the nasal cavity (Figure 1).²⁷ The drug formulation does not contain alcohol or fragrance and has approximately twice the concentration of fluticasone as Flonase[®]. Prior research has shown that, even at comparable doses, EDS-FLU is not bioequivalent to Flonase and produces systemic fluticasone exposure lower than comparable doses of Flovent[®].²⁸ This randomized EDSplacebo-controlled trial was conducted to assess the safety and efficacy of EDS-FLU (93 µg, 186 µg, and 372 µg twice daily [BID]) compared to EDS-placebo in patients with moderate-severe symptoms and bilateral nasal polyposis, inclusive of those with prior steroid use and sinonasal surgery. Results from a first trial with EDS-FLU were recently reported.²⁹

Methods

Design Overview, Setting, and Participants

This randomized, double-blind (DB), EDS-placebocontrolled, multicenter study was conducted to assess the safety and efficacy of EDS-FLU in the treatment of NP. Participants were recruited from 54 centers in the United States, Canada, the Czech Republic, South Africa, Ukraine, and United Kingdom (Online Appendix 1). Institutional Review Board/ethics committee approval was obtained at each site, and all patients provided written informed consent. The pretreatment phase included a screening visit and 7- to 14-day single-blind EDS-placebo run-in. Eligible participants were then randomized (1:1:1:1) by balanced allocation to a 16-week, DB, EDS-placebo-controlled treatment phase in which they received BID 93 µg, 186 µg, or 372 µg EDS-FLU or EDS-placebo. At the screening visit, subjects were instructed on the correct use of the EDS device. Randomization was by Interactive Voice Response/Web Response system, which provided each participant with an identifier for a blinded drug kit. Masking was accomplished with a visually identical EDS-placebo, using an identical EDS drug delivery system and otherwise identical liquid formulation of medication (except for the fluticasone). Participants completing the 16-week DB phase entered an 8-week extension, during which all received EDS-FLU 372 µg BID; prior trial allocation was not revealed to any trial participants.

Eligible participants were ≥ 18 years of age and required to have moderate or greater nasal congestion/

obstruction as reported by the patient (morning score ≥ 2 [0 = none, 1 = mild, 2 = moderate, 3 = severe] for at least 5 days during the 7-day period leading up to screening) and a NP grade of 1 to 3 in each nasal cavity. Participants with comorbid allergic rhinitis were permitted, provided they did not have a "season" coinciding with the first 4 weeks of randomization. Patients with comorbid asthma or COPD were required to be stable with no exacerbations within 3 months of screening.

Exclusion criteria included complete or near-complete nasal cavity obstruction, inability to achieve bilateral nasal airflow, inability to have each nasal cavity examined for any reason (including severely obstructing nasal septum deviation), nasal septum perforation, history of >5 sinonasal surgeries, or sinonasal surgery within 6 months prior to screening. Online Appendices 2 and 3 include full inclusion/exclusion criteria. At study entry, participants were required to stop medications for nasal congestion; after week 4, nonsedating antihistamines were permitted as "rescue medication."

Outcomes and Follow-up

Coprimary end points were (1) mean change in average morning congestion score ("instantaneous AM congestion," rated from 0 = no symptoms to 3 = severe) over 7 days prior to week 4 and (2) mean change in endoscopically assessed total polyp grade (sum of scores, rated 0–3 on each side, from both nasal cavities) at week 16.

Patients recorded congestion/obstruction symptom scores BID using electronic diaries [morning and evening, (AM and PM)], both as experienced at the moment of reporting ("instantaneous") and as recalled over the preceding 12 hours ("reflective"). Symptoms captured in the diaries included all 4 diagnostically defining symptoms of CRSwNP (congestion/obstruction, rhinorrhea, facial pain/pressure, and hyposmia). NP grade was assessed at each visit using a modified Lildholdt scale³⁰ by the specialist (ear, nose, and throat physician or allergist) performing the nasal endoscopy. NP grade was based on one-dimensional polyp mass extension in only the vertical plane relative to anatomic landmarks as follows: 0 = no polyps; 1 = no polyp tissue below the inferior border of the middle turbinate; 2 = any polyptissue visualized below the inferior border of the middle turbinate, but not the inferior border of the inferior turbinate; 3 = any polyp tissue below the inferior border of the inferior turbinate. Based on polyp grade, "responders" were defined as patients experiencing a \geq 1-point improvement.

Key secondary efficacy end points were change from baseline to week 16 in symptoms and functioning, measured by total Sino-Nasal Outcome Test-22 (SNOT-22) score, a validated outcome measure commonly used to assess CRS,^{31,32} and the sleep disturbance subscale of the Medical Outcomes Study Sleep Scale-Revised (MOS Sleep-R). The MOS Sleep-R is a validated 12-item questionnaire designed to measure key aspects of sleep. The score range for the 12-item version is 12 to 71.³³

Other prespecified secondary end points included objective measures of disease severity, subjective measures of symptoms and functioning, and assessment of other health outcomes, including key surgical indicators. For the purposes of this multicenter global study, a patient was considered eligible for surgical evaluation if they met standard predefined indicator criteria. These included moderate to severe congestion for >3months plus topical steroids at conventional doses for ≥ 6 weeks plus current or previous use of saline lavage for >6 weeks plus endoscopically visualized bilateral nasal polyposis of at least moderate severity (NP grading score ≥ 2 in at least 1 nostril). These criteria were intended to serve as an indicator for patients who might be evaluated for surgical treatment, recognizing that a decision to proceed to surgery for an individual patient is complex and influenced by many factors. This report includes change in the following end points from baseline to predetermined time points: polyp grade and responder criteria, symptoms AM and PM, SNOT-22, Rhinosinusitis Disability Index (RSDI),³⁴ MOS Sleep-R, Patient Global Impression of Change (PGIC),^{35,36} 36-Item Short-Form Health Survey (SF-36),³⁷ and indicators for surgical evaluation.

Safety Analysis

Safety assessments included examination by a specialist performing nasal endoscopy at screening and weeks 4, 8, 12, 16, and 24, including active assessment and scoring for epistaxis, septal erosion, other erosion or ulceration, erythema, mucosal candidiasis, and atypical mucosal swelling. All subjects were assessed by an ophthalmologist with tonometry and slit-lamp examination for changes in intraocular pressure (IOP) and cataract presence at screening and weeks 16 and 24.

Statistical Methods

A sample of 80 subjects per group was estimated sufficient to detect a difference of 1.0 in each of the coprimary end points between treatments, assuming standard deviation (SD) of 1.9 using a 2-sided *t* test at the 5% significance level with 90% power.^{38–44} Safety analyses were conducted on the Safety Analysis Set (SAS), and efficacy analyses were conducted on the Full Analysis Set.

The first coprimary measure analysis (ie, congestion) was performed using an analysis of covariance (ANCOVA) model including baseline score as a

covariate and treatment group and country as fixed effects. For the second coprimary measure (ie, polyp grade), a mixed-effect model for repeated measures (MMRM) was used with patients as the block factor for repeated measurements, using baseline summed bilateral polyp grade as a covariate, and treatment, country, visit, and interaction of treatment by visit as fixed effects. An unstructured covariance matrix was used for within-subject correlation modeling. For the above primary efficacy analyses, missing data were imputed using a pattern mixture model. The pattern mixture model assumed that missing assessments were either missing at random (natural fluctuation not related to a treatment allocation, MAR) or not missing at random (possibly associated with treatment allocation, NMAR). The latter possibly introduced bias. Therefore, a multiple imputation method was employed to impute both categories of missing data (MAR and NMAR) with imputation values drawn by visit from the treatment group to which the patient was assigned for MAR data and from the lowest quartile of observed values across treatment groups and visits for NMAR data, using the conservative assumption that NMAR data would be worse. Additionally, tipping-point analyses were carried out for the coprimary end points to ensure that imputation did not affect study results. For other efficacy analyses, missing values were not imputed. All analyses were conducted using SAS statistical software (Cary, NC).

Each of the 3 active treatment groups was compared to EDS-placebo on the basis of the coprimary efficacy variables. A fixed sequence multiple comparison procedure was implemented to control for study-wide type I error for tests of multiple doses across the primary and key secondary measures versus EDS-placebo. For key secondary continuous end points (SNOT-22 and MOS Sleep-R), change from baseline was analyzed with either MMRM or ANCOVA (see analysis described for primary end points). A step-down procedure, analogous to that used for the coprimary variables, was used to control for multiplicity of treatment comparisons. Key secondary end points were tested in a fixed-sequence approach in order to control for type I error, with a 2sided significance level of .05. Using these models, the least-squares (LS) mean difference between each active treatment group and EDS-placebo for other secondary end points, the 95% confidence interval (CI), and the nominal P value associated with the difference were estimated by visit. For categorical variables, odds ratio statistics were obtained from a generalized estimating equation (GEE) model for binomial distribution that included treatment and country as fixed factors. Nominal P values were obtained from the GEE model using the χ^2 test to compare each active treatment group and EDS-placebo. Onset of action was defined as the



Figure 2. Study flow diagram. DB, double-blind; EDS-FLU, exhalation delivery system with fluticasone; OL, open label; SAS, safety Analysis Set.

Table I. Patient Baseline Clinical Characteristics.

	EDS-placebo BID n = 82	EDS-FLU 93 μg BID n=81	EDS-FLU 186 μg BID n = 80	EDS-FLU 372 μg BID n = 80	All Patients N = 323
Age in years (SD)	45.3 (12.95)	44.9 (12.72)	46.4 (12.65)	43.9 (12.63)	45.1 (12.71)
Minimum, maximum	18, 74	18, 68	18, 71	18, 73	18, 74
Men (%)	36 (43.9)	40 (49.4)	48 (60.0)	38 (47.5)	162 (50.2)
Comorbid asthma n (%)	33 (40.2)	23 (28.4)	38 (47.5)	40 (50.0)	134 (41.5)
White race (%)	68 (82.9)	74 (91.4)	72 (90.0)	69 (86.3)	283 (87.6)
Any corticosteroid for nasal polyps in last 10 years (%)	77 (93.9)	77 (95.1)	76 (95.0)	75 (93.8)	305 (94.4)
Any corticosteroid for nasal polyps in last 30 days (%)	48 (58.5)	35 (43.2)	36 (45.0)	40 (50.0)	159 (49.2)
Previous sinus surgery and polyp removal	52 (63.4)	49 (60.5)	47 (58.8)	47 (58.8)	195 (60.4)
Instantaneous AM congestion scores (SD)	2.31 (0.412)	2.22 (0.445)	2.24 (0.416)	2.29 (0.438)	2.25 (0.432)
Mean bilateral total polyp score (SD)	3.8 (0.94)	3.6 (1.07)	3.9 (1.08)	3.7 (0.94)	3.7 (1.04)
Mean SNOT-22 total scores (SD)	53.7 (18.12)	46.1 (17.80)	51.8 (20.07)	52.4 (20.07)	51.02
Mean MOS Sleep-R Sleep distur- bance subscale scores (SD)	40.4 (22.31)	33.6 (21.07)	46.6 (22.01)	41.5 (23.26)	42.72
Meet surgical evaluation criteria, n/N (%)	53/82 (64.6)	45/81 (55.6)	44/80 (55.0)	47/78 (60.3)	58.88

Abbreviations: AM, morning; BID, twice daily; EDS-FLU, exhalation delivery system with fluticasone; MOS, Medical Outcomes Study; N, total of number of patients randomized/enrolled/treated; n/N (%), number (%) of patients in the subset at the given time point; SD, standard deviation; SNOT-22, Sinonasal Outcome Test-22.

Baseline instantaneous AM congestion, polyp grade, SNOT-22, MOS Sleep-R, and surgical evaluation data are from the Full Analysis Set, whereas other data are from the intention-to-treat population.

first day when the LS mean change from baseline in the AM score for instantaneous nasal congestion/obstruction had a *P* value <.05 versus EDS-placebo and when the *P* value was \leq .05 at all subsequent time points were also statistically significantly different.

Results

Study Population

The first patient was randomized on November 19, 2013. A total of 323 subjects were enrolled, 322 received ≥ 1 dose of study drug (SAS), 292 (90.7%) completed the DB treatment phase, and 282 (87.6%) entered the 8-week extension. The last participant completed the extension phase on October 1, 2015. The EDS-placebo group had the highest drop-out rate (14.6%) versus 7.4%, 11.3%, and 5.0% in the EDS-FLU 93 µg, 186 µg, and 372 µg groups, respectively (Figure 2).

No meaningful differences in demographics or baseline characteristics were observed between groups (Table 1). Baseline characteristics reflected a population with moderate-to-severe disease, with \sim 95% having previously used steroids to treat their nasal disease (intranasal and/or oral) and 60.4% having undergone some type of previous sinus surgery, inclusive of polypectomy. Additional baseline demographics and characteristics can be found in Online Appendix 4: Table 1.

Efficacy End Points

All 3 doses of EDS-FLU significantly improved both coprimary end points versus EDS-placebo (P < .05, all comparisons). For instantaneous AM congestion at week 4, the LS mean change from baseline was -0.49, -0.54, and -0.62, in the EDS-FLU 93 µg, EDS-FLU 186 µg, and EDS-FLU 372 µg groups, respectively, compared to -0.24 with EDS-placebo (P < .01, all comparisons; Table 2). For change in summed NP grade at week 16, the LS mean change from baseline was -0.96, -1.03, and -1.06 in the EDS-FLU 93 µg, EDS-FLU 186 µg, and EDS-FLU 372 µg groups, respectively, compared to -0.45 with EDS-placebo (P < .01, all comparisons). Increasing doses of EDS-FLU produced numerically greater improvements in congestion and polyp grade, with the 372-µg dose resulting in the largest mean reduction in both, although between-dose differences did not reach statistical significance. There was a very low percentage of missing data (Online Appendix 5), and tipping point analyses confirmed the results of the primary analyses.

Key Secondary End Points

SNOT-22 improvement was substantial in all EDS-FLU groups and statistically superior to EDS-placebo (-18.3

to -19.8 for EDS-FLU vs -11.0 for EDS-placebo at week 16, $P \le .005$, all comparisons; Figure 3). MOS Sleep-R disturbance scores improved substantially in all treatment groups, including EDS-placebo, with statistical significance between groups at week 16 observed only with the EDS-FLU 186-µg group (Table 2).

Improvements in other prespecified secondary end points, including NP grade responder analysis, all 4 diagnostically defining nasal symptoms (both AM and PM), and QoL measures (both SF-36 and RSDI) were statistically superior in all EDS-FLU groups versus EDS-placebo (Table 2). Numerical differences in polyp grade were evident as early as the first assessment, with statistical significance versus EDS-placebo in all dose groups by week 12 (P < .05). The proportion of patients experiencing polyp elimination increased monotonically in all EDS-FLU groups from weeks 4 through 16 (Figure 4). The percentage of responders continued to increase in the EDS-FLU 372-µg extension phase. Onset of action, defined by persistent statistically significant difference in congestion scores versus EDS-placebo, was observed within 2 weeks. EDS-FLU also produced statistically significant benefits in reflective AM reports of nasal congestion/obstruction versus EDS-placebo (Online Appendix 6, Figure 1). Instantaneous AM (Figure 5) and reflective AM (Online Appendix 6, Figure 1) assessments of other cardinal symptoms (rhinorrhea, facial pain/pressure, and sense of smell) were also superior to EDS-placebo for the majority of comparisons at week 4 (P < .05). Evening assessments of the 4 diagnostically defining symptoms showed similar patterns of improvement (Online Appendix 6, Figures 2 and 3).

At week 16, 62% to 67% of subjects in each active treatment arm reported being "much" or "very much" improved as measured by the PGIC, compared with 41% ("much" or "very much" improved) of EDS-placebo subjects ($P \le .05$, all comparisons; Table 2).

At week 16, EDS-FLU treatment resulted in an approximately 54.1% reduction in the proportion of patients meeting predefined indicators for surgical evaluation (Table 2).

Safety Analysis

Adverse events (AEs) possibly attributable to EDS-FLU were generally local (not systemic). Specific AEs occurring more often with EDS-FLU versus EDS-placebo and reported in >5% of patients were epistaxis, nasal mucosal disorder (erythema), acute sinusitis, upper respiratory tract infection, nasal congestion, nasal septum ulceration, nasopharyngitis, and gastrointestinal disorders (Table 3).

All events of septal erosion/ulceration were "mild" (erosions with no evidence of ulceration), with the

Measure		EDS-placebo BID n = 82	EDS-FLU 93 µg BID n = 81	EDS-FLU 186 µg BID n = 80	EDS-FLU 372 µg BID n = 79
Nasal symptoms, LS mean change from baseline (SE) Conrimary end pointa					
Congestion/obstruction, week 4		-0.24 (0.07)	-0.49 (0.08)	-0.54 (0.08)	-0.62 (0.08)
Difference, active-placebo (95% CI)		I	-0.43, -0.06	-0.48, -0.11	-0.57, -0.19
r value versus EUS-placebo		75	.010	200.	<.001
secondary outcomes Consestion/obstruction, week 4		-0.26 (0.08)	n = 70 -0.51 (0.08)**	n = 7 -0.55 (0.08)**	n = 70 -0.64 (0.08)*
Rhinorrhea, week 4		-0.23 (0.09)	-0.48 (0.09)**	-0.55 (0.08)**	-0.55 (0.08)**
Facial pain and pressure, week 4		-0.18 (0.08)	-0.35 (0.08)	-0.43 (0.08)**	-0.39 (0.08)**
Smell, week 4 Obiactive accessments of nasal holytis		—0.04 (0.08) n — 82	-0.23 (0.08)** n 81	—0.21 (0.08) n — 80	-0.33 (0.08)** n - 79
Cojective assessments of maxim polyps Coprimary end point ^a		70 — 11	- -	00-	27-11
Total polyp grade, LS mean change (SE), week 16		-0.45 (0.14)	-0.96 (0.14)	-1.03 (0.14)	-1.06 (0.14)
Difference, active-placebo (95% Cl) P value versus FDS-placebo			—0.86, —0.16 004	-0.93, -0.24 < 001	-0.96, -0.27 < 001
Secondary outcomes					- DO:/
Total polyp grade, LS mean change (SE)	Week 24 ^b (EDS-FLU	-1.08 (0.15)	-1.40 (0.15)	—I.39 (0.15)	— I.43 (0.14)
\geq I-point improvement n/N (%)	Week 16	28/68 (41.2)	42/75 (56.0)	45/68 (66.2)** FCB 2 0171	54/75 (72.0)*
	Week 24 (EDS-ELL	47/64 (73 4)	50/70 /71 4)	[OK = 3.017] 48/64 (75 0)	[Un = 2.703] 53/71 (74.6)
	372 µg) ^b 6 µ		[OR = 0.966]	[OR = 1.112]	[OR = 1.144]
Polyp elimination n/N (%)	Week 16	9/68 (13.2)	[9/75 (25.3)	12/68 (17.6)	[4/75 (18.7)
			[OR = 2.343]	[OR = 1.518]	[OR = I.485]
	Week 24 (EDS-FLU 377 IIIa ^b	17/64 (26.6)	24/70 (34.3) ГОВ = 1.5371	16/64 (25.0) ГОR = 0 9781	19/71 (26.8)
Patient reported outcome measures					
Key secondary end points ^a					
SNOT-22, LS mean change (SE)	Week 16	-10.96 (2.07)	-18.32 (2.05)*	—19.56 (2.04)*	-19.80 (2.05)*
	Week 24 (EDS-FLU 372 _U g) ^b	-20.78 (2.11)	-21.20 (2.07)	-23.28 (2.08)	-21.72 (2.07)
MOS Sleep-R Sleep Problems Index, I S mean change (SE)	Week 16	-8.53 (1.61)	—10.69 (1.59)		–10.66 (1.55)
Other secondary end points					
PGIC, much improved or very	Week 16	28/68 (41.2)	45/73 (61.6)**	47/70 (67.1)*	50/75 (66.7)*
much improved, n/N (%)			[OR = 0.508]	[OR = 0.290]	[OR = 0.367]
	vveek 24 (EUS-FLU 372 ug) ^b	(5.18) 40/20	44/70 (62.9) [OR = 1.365]	0.64 (81.3) [OR = 0.640]	(c.//) 1//cc [OR = 1.211]
RSDI Total Score, LS mean change (SE)	Week 16	-10.71 (2.07)	-17.08 (1.99)**	-16.44 (2.02)**	-16.37 (1.94)**
					(continued)

Table 2. Efficacy Outcomes.

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Measure		EDS-placebo BID n = 82	EDS-FLU 93 µg BID n=81	EDS-FLU 186 μg BID n = 80	EDS-FLU 372 µg BID n = 79
SF-36 Mental Composite, LS mean change (SE) SF-36 Physical Composite Score, LS mean change (SE)	Week 16 Week 16	0.7 (0.87) 2.67 (0.76)	3.19 (0.84)** 4.12 (0.74)**	4.03 (0.85)** 5.19 (0.74)	1.83 (0.82) 3.58 (0.72)
Qualified for surgical evaluation, n/N (%)	Screening Week 16	53/82 (64.6) 27/68 (39.7)	45/81 (55.6) 17/74 (23.0)a,** 100 - 0 2331	44/80 (55.0) 21/69 (30.4)	47/78 (60.3) 19/75 (25.3)** 100803071
	Week 24 ^b (EDS-FLU 372 µg)	I 5/64 (23.4)	[4/70 (20.0)	17/63 (27.0)	16/70 (22.9)
) 	- - - -

number of patients randomized/enrolled/treated; n/N (%), number (%) of patients in the subset at the given time point; OR, odds ratio; PGIC, Patient Global Impression of Change; RSDI, Rhinosinusitis Abbreviations: AM, morning: BID, twice daily; CI, confidence interval; EDS-FLU, exhalation delivery system with fluticasone; LS, least squares; MOS-Sleep, Medical Outcomes Study Sleep Scale; n, total of Disability Index: SE, standard error; SF-36, 36-Item Short-Form Health Survey; SNOT-22, Sinonasal Outcome Test-22

^aData are from the Full Analysis Set with Imputation

^bAll patients received EDS-FLU 372 µg during the extension phase

*P <.001 versus EDS-placebo.

P<.05</p>

exception of 2 cases of nasal septum perforations that were endoscopically identified in EDS-FLU patients (0.8% of all EDS-FLU subjects). Both subjects (1 in the EDS-FLU 93 µg group and 1 in the EDS-FLU 186 µg group) had a history of nasal surgery. One was discovered at week 4 during nasal examination in a patient who reported a history of nasal surgery including the nasal septum (septoplasty) performed 15 months before screening. The second was observed during the week 16 nasal examination in a patient with a history of previous sinus surgery. There were no differences between active and EDS-placebo groups in mean IOP change, new identification of cataracts, or physical examination findings.

Discussion

This study of a common clinical presentation, CRSwNP patients who are symptomatic, mostly despite having previously tried steroid and/or surgical treatment, found that EDS-FLU significantly improved nasal congestion/obstruction, polyp grade, all cardinal symptoms, and a range of other outcomes including QoL and functioning, while eliminating NP in some patients, compared to EDS-placebo. EDS-FLU produced robust and statistically significant improvements in both coprimary outcome measures (congestion and polyp grade). Although the numerical effects on these measures may be difficult to interpret clinically, the magnitude of improvement with EDS-FLU on multiple outcome measures that are relatively easy to interpret (SNOT-22, PGIC, polyp elimination, and others) highlights the clinical import of these changes.

CRSwNP is a serious, common chronic inflammatory disease that produces a high burden of illness.^{4,5,9,10} Topically acting steroids delivered nasally by spray pump or pressurized canister are poor at accessing target sites in the OMC, such as the middle meatus,^{20,27,45,46} where inflammation, sometimes complicated by NP, typically obstructs normal sinus ventilation and drainage.7,8,21 Therefore, although INS are standard treatment for CRS with or without NP, they often do not provide adequate symptom relief.^{16–17} In a 2016 Cochrane review assessing the efficacy of conventional INS for CRS treatment, the limited available evidence (primarily from studies of patients with CRSwNP) supported benefits to QoL (very low quality evidence), moderate improvement in congestion and a small benefit in rhinorrhea (moderate quality of evidence), and small or inconsistent evidence of benefit for hyposmia/anosmia and facial pain/pressure.⁴⁷ The results from this controlled trial, demonstrating improved QoL and improved symptoms, show a magnitude of effect on most symptoms above the 95% CI reported in the Cochrane review, suggesting, subject



Figure 3. LS mean change in SNOT-22 total score over time. BID, twice daily; EDS-FLU, exhalation delivery system with fluticasone; LS, least squares; SNOT-22, Sinonasal Outcome Test-22. Average baseline SNOT score was 46–45. * $P \le .05$ versus EDS-placebo. $^{\dagger}P \le .01$ versus EDS-placebo.



Figure 4. Proportion of patients with polyps eliminated from ≥ 1 side of the nose. BID, twice daily; EDS-FLU, exhalation delivery system with fluticasone. Average baseline polyp score was 3.6–3.9. *P <.05 versus EDS-placebo. [†]P <.01 versus EDS-placebo. [‡]P \leq .001 versus EDS-placebo.



Figure 5. Mean change in AM instantaneous symptoms of congestion, facial pain and pressure, rhinorrhea, and sense of smell at weeks 4, 8, 12, and 16. AM, morning; BID, twice daily; EDS-FLU, exhalation delivery system with fluticasone; LS, least squares. $^{\dagger}P \leq .05$ versus EDS-placebo. $^{\ddagger}P \leq .01$ versus EDS-placebo. $^{\ddagger}P \leq .01$ versus EDS-placebo.

Adverse Event	EDS-Placebo n = 82	EDS-FLU 93 µg BID n=81	EDS-FLU 186 µg BID n = 80	EDS-FU 372 μg BID n = 79
Epistaxis,ª no. (%)	3 (3.7)	3 (3.7)	7 (8.8)	6 (7.6)
Nasal mucosal disorder, no. (%)	5 (6.1)	11 (13.6)	6 (7.5)	6 (7.6)
Acute sinusitis, no. (%)	4 (4.9)	5 (6.2)	6 (7.5)	8 (10.1)
URTI, no. (%)	7 (8.5)	I (I.2)	4 (5.0)	5 (6.3)
Nasal congestion, no. (%)	4 (4.9)	3 (3.7)	2 (2.5)	6 (7.6)
Nasal septum ulceration, no. (%)	1 (1.2)	5 (6.2)	5 (6.3)	4 (5.1)
Nasopharyngitis, no. (%)	4 (4.9)	3 (3.7)	2 (2.5)	4 (5.1)
Gastrointestinal disorders, no. (%)	4 (4.9)	l (l.2)	2 (2.5)	4 (5.1)

Table 3. Adverse Events >5% and Greater Than EDS-placebo.

Abbreviations: BID, twice daily; EDS-FLU, exhalation delivery system with fluticasone; n, total of number of patients randomized/enrolled/treated; URTI, upper respiratory tract infection.

^aIncludes spontaneous adverse reaction reports.

to the limitations inherent to making such comparisons, that EDS-FLU offers greater benefits than previously studied INS. Additional clinical trials will be required to substantiate these results and provide longer term data.

In patients with CRS, the presence of NP further complicates the chronic inflammation of tissues lining the nasal labyrinth and promotes swelling, impairment of sinus ventilation/drainage, blockage of nasal airflow, congestion, and hyposmia. Polyps are also prone to a greater spontaneous release of inflammatory cytokines than control mucosa, suggesting they may actively promote inflammation, rather than simply result from it.^{7,8} Thus, patient outcomes may be best with full or near-elimination of polyp mass, rather than modest shrinkage. Unfortunately, clinical trials in patients with baseline summed polyp scores similar to this study suggest that the improvement in bilateral polyp grade produced by conventional INS plateaus at an average score of ~3 (0–6 scale) and does not improve further with longer treatment.^{13,40,42} This limited degree of maximum improvement may contribute to patient dissatisfaction

treatment failure with conventional INS. and Furthermore, after conventional nasal steroids, current alternative treatment options are less attractive because they entail increased cost and/or risk and are not comparably well supported by evidence. These include oral steroids, leukotriene inhibitors, long courses of macrolide antibiotics, various types of surgical procedures, and even certain monoclonal antibodies currently in phase 3 development.^{9,10,48-50} In this context, EDS-FLU may offer a desirable means of improving medical treatment outcomes, before or after surgery, in patients for whom an intranasal steroid is indicated.

SNOT-22 scores, which assess a broad range of disease burden domains, improved monotonically with EDS-FLU treatment, more than doubling the reported minimal clinically important difference for the scale (8.9).³² Endoscopic sinus surgery (ESS) is an intervention recognized to produce a clinically meaningful treatment benefit, and a recent systematic review reported SNOT-22 improvements between 12.7 and 44.8 (mean-= 24.4) points after ESS in a mix of studies in patients with and without NP. In this research, higher mean preoperative SNOT-22 and higher asthma prevalence predicted greater changes in SNOT-22.51 The magnitude of change with EDS-FLU in the current study was similar (~ 20) , supporting the conclusion that EDS-FLU produces a clinically meaningful degree of improvement. More broadly, this study found that EDS-FLU not only improved the 4 cardinal symptoms of CRS but also produced clinically significant improvements in global symptoms and both general health-related QoL and disease-specific QoL as measured by the PGIC, SF-36 mental and physical component scores, SNOT-22, and the RSDI.

Interestingly, in this study, the "placebo" group (using an EDS that delivered a liquid vehicle formulation) experienced a 10.96 improvement in SNOT-22 at 16 weeks. This is similar to the 9.2 point improvement reported with mometasone nasal spray in a recent study⁴⁸ and adds to a variety of suggestive evidence that the EDS delivery mechanism of action itself may offer beneficial effects on CRS symptoms.^{18,26,43,44} For example, controlled trials have demonstrated that nasal delivery of CO₂ has beneficial effects in allergic rhinitis, as CO₂ induces a reduction in mucosal pH.⁵² The EDS device (without drug) has been shown to produce similar mucosal pH changes compared with studies using exogenous CO_2 delivery as a therapeutic intervention.^{52,53} Possible mechanisms of direct device effect include CO_2 in exhaled breath influencing pH, as well as inflammatory mediator and neuropeptide activity, nitric oxide removal, positive pressure, or vibration. In addition, EDS-placebo is likely not an inactive treatment comparator, as even saline alone is known to have treatment benefit and is recommended in treatment guidelines.^{9,10} It is plausible that the BID "high velocity, low volume" saline-like flushes of the superior/posterior nasal cavity by EDS-placebo may have offered some therapeutic benefit. Other possible explanations for the placebo response include protocol-permitted rescue medication (loratadine or alternative antihistamine) after week 4, placebo effect related to study design, or regression to the mean. Notably, a similar placebo response has been reported in prior similarly designed studies of CRSwNP.^{40,42}

The range of fluticasone doses selected in this study exceeds the doses approved for the treatment of rhinitis, as might be expected in the context of a more chronic and severe inflammatory disease but does not exceed the range previously studied in the treatment of CRS.^{38,39,43} Treatment with EDS-FLU was generally well tolerated. The most common AEs occurring more often with EDS-FLU than EDS-placebo were local in nature, including epistaxis and mucosal erythema or ulceration. The incidence of spontaneously reported epistaxis in this study appears generally similar to that previously found in research with another topical steroid studied in a similar population for a similar duration, despite major differences in regional drug deposition. $^{40-42,47}$ It is important to note that many patients in this 6-month trial had previously used steroids and/or undergone nasal surgery. This contrasts with the comparatively healthy allergic rhinitis populations most often studied in phase 3 trials with conventional nasal steroid sprays. Septal erosions/ ulcerations are a known consequence of intranasal steroid administration. In this study, all reports were categorized as erosion ("mild") except for one categorized as ulceration (in the EDS-FLU 186-µg group); none progressed in severity beyond mild severity, and all but one resolved with continued use of study medication. Two septal perforations were identified during the trial (1 in the EDS-FLU 93-µg group and 1 in the EDS-FLU 186µg group). Neither was reported in the context of progression from erosion to ulceration to perforation (there were no cases of progression). It was not possible to determine if improved visualization due to reduction in nasal edema and polyps enabled new identification of the perforations, as prebaseline imaging was not available. Both patients were among the large number of patients reporting prior sinus surgery, an independent risk factor for perforation, and one had also undergone septoplasty. These 2 reports are too few to reasonably assess possible causality or dose-response; additional data from other studies should also be evaluated.29,54,55

Polyp grade assessment by endoscopic scoring in this and other trials is subject to important limitations, particularly with regard to attempting comparison across studies. The grading system in this trial and most others relies on 1-dimensional anatomic landmarks, which offers acceptable interinvestigator reproducibility.⁵⁶ However, this approach uses unequal grading steps and does not capture volumetric changes, making numeric comparison of mean changes potentially misleading. Moreover, subtle-seeming differences in scoring approach (eg, 4-point adaptations that increase sensitivity to small reductions in the size of large polyps) limit the ability to compare across trials. Finally, frequent scheduled endoscopy and the active inquiry approach in this study may have increased the sensitivity of detecting certain AEs, particularly those of less clinical significance that might not otherwise be noted.

Conclusion

Effective medical management of NP is challenging, in part due to an inability to effectively deliver medication to target superior/posterior nasal regions affected by the disease. EDS-FLU uses a mechanism shown to produce superior/posterior deposition, and in this controlled trial versus EDS-placebo produced meaningful improvement in a broad range of objective (NP grade) and subjective (SNOT-22, RSDI, SF-36, and PGIC) measures in a patient population with moderate-severe disease, many of whom had previously tried steroids and/or surgery. The safety of EDS-FLU was generally comparable to previously studied conventional nasal steroids. These data, when considered in the context of additional emerging evidence, suggest that EDS-FLU may prove to be an important component of medical therapy for diseases such as CRS that are characterized by inflammation in the posterior and superior regions of the nasal cavity.

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Declaration of Conflicting Interests

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as consultant for Medtronic, Olympus, and Acclarent (not relevant to this article). D. F. Soteres is a member of the OptiNose Speaker Bureau and owns stock in OptiNose U.S., Inc. J. C. Messina, J. L. Carothers, and R. A. Mahmoud are OptiNose U.S., Inc. employees and have stock options. P. G. Djupesland is an employee of OptiNose A.S. and has stock options.

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IRB Statement

This was a randomized, double-blind trial undertaken in accordance with Good Clinical Practice guidelines and the Declaration of Helsinki. Documents and procedures were approved by appropriate institutional review boards and ethics committees at each site; patients provided written informed consent before participation.

Supplemental Material

Supplemental material for this article is available online.

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