Research article

# Synthetic and computational studies on CuI/ligand pair promoted activation of C (Aryl)- Cl bond in $\mathrm{C}-\mathrm{N}$ coupling reactions 

Kamlesh K. Gurjar ${ }^{\text {a }}$, Rajendra K. Sharma ${ }^{\text {b,* }}$<br>${ }^{\text {a }}$ Chemical Department, VGEC, Ahmedabad, Gujarat, India, 382424<br>b DESM, RIE, NCERT, Ajmer, Rajasthan, India, 305004

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#### Abstract

Cu /ligand-mediated coupling reactions have been widely investigated in the recent past. However, activation of cheaper aryl chlorides is still a great limitation of these reactions. During the course of present investigations efforts have been made to develop a normal and facile CuI/ligand pair protocol for arylation of phthalimide using aryl chlorides. The protocol has also been extended for arylation of amines. On the basis of experimental and theoretical results, a catalytic cycle has also been proposed and it has been established that these reactions follow oxidative addition-reductive elimination (OA-RE) pathway. These studies have indicated that tetracoordinated $[\mathrm{Cu}(\mathrm{L} 1)(\mathrm{L} 2)]^{+}$complex is active catalytic species in these reactions.


## 1. Introduction

More than a century ago, coupling reaction was introduced by Ullmann [1] but limited substrate scope, the requirement of high temperature ( $>200{ }^{\circ} \mathrm{C}$ temperature) and higher loading of copper restricted its synthetic use. In the 1990s, Buchwald-Hartwig reaction [2, 3] and modern Ullmann reaction ( Cu /ligand system) [4, 5, 6, 7] proved to be important methods for coupling reactions. Use of N,N/N,O/O,O ligand significantly improved the efficiency of Cu mediated reactions. Usually, Pd-mediated reactions [8] are more efficient than Cu-mediated reactions and have a wider substrate scope ( $\mathrm{ArX}, \mathrm{X}=\mathrm{Cl}, \mathrm{Br}$ and I). Aryl iodides and aryl bromides are good substrates in Cu-mediated C-heteroatom arylation reactions [9, 10, 11, 12, 13, 14, 15, 16] but reactions are not much successful in activation of commercially cheaper aryl chlorides (ArCl) [17] which are easily activated in presence of expensive Pd/ligand and CuI/oxamide ligand system [18]. As compared to Buchwald-Hartwig reaction (Pd-mediated reactions) modern Ullmann reaction has many advantages like simplicity of the ligands, low toxicity of copper and overall low cost of the process. A large number of experimental and computational studies are reported on modern Ullmann reaction but most of the studies are focused on C-heteroatom coupling reactions involving more reactive arylating agents (aryl iodides and aryl bromides) [5, 7, 14, 19, 20, 21, 22, 23, 24, 25, 26, 27]

Efforts have also been made to activate aryl chlorides using the copper-ligand system. Buchwald group [28,29] reported that when aryl
chlorides are used as a substrate as well as solvent arylation is observed. Xia and Taillefer [30] reported $\left[\mathrm{Cu}(\mathrm{L} 8)_{2}\right] / \mathrm{L} 9(0.9$ equivalent)/DMF (135 ${ }^{\circ} \mathrm{C}$ ) system for activation of aryl chlorides in the $\mathrm{C}-\mathrm{O}$ bond formation but higher loading of the ligand is required in this system.

Very recently CuI/oxalamides/DMSO $\left(120^{\circ} \mathrm{C}\right)$ protocol has also been reported by Ma's group for $\mathrm{C}-\mathrm{Cl}$ bond activation [18, 31, 32, 33, 34, 35, $36,37,38]$. However, the requirement of a highly polar solvent, hydrolysis of oxalamides during the course of the reaction and overall poor atom economy (because of greater molecular weight of ligands) are disadvantages of the protocol [18]. In view of the above and role of N -aryl imides and amines as intermediates in the synthesis of a large number of bioactive molecules $[38,39]$. A CuI/ligand pair protocol has been developed for $N$-arylation of phthalimide and aromatic amines using commercially cheaper aryl chlorides (21 examples of N -arylation) (Scheme 1). Ligand pair has been constituted using commercially available and previously used [4, 5, 6, 7] cheaper diamine ligands (L) (Figure 1, a) and the present ligand pair has significantly improved the arylation of phthalimide amines using aryl chloride (Figure 2). These reactions $[38,39]$ and N -arylphthalimides [34,40-44] have wider industrial and pharmaceutical applications. Interesting experimental results prompted us to focus on DFT studies about the role of second ligand and probable mechanism of the process. Extensive DFT studies have been performed considering the cross-coupling of chlorobenzene and phthalimide and plausible mechanistic pathways have been investigated for a meaningful comparison.

[^0]Buchwald Hartwig reaction


This work


Scheme 1. Pd and Cu-mediated C-N coupling reactions.
2. Results and discussion
2.1. Synthetic studies

In our earlier published study on the role of the base in cross-coupling reaction, it was emphasised that the introduction of the second ligand in the process can facilitate activation of $\mathrm{C}-\mathrm{Cl}$ bond [26].

For further investigations on the role of the second ligand in coupling reactions, different set of reactions were studied.

The cross-coupling reaction of PhCl and phthalimide in $\mathrm{CuI} /$ single ligand system having $10-25 \mathrm{~mol} \%$ ligand does not yield the corresponding product (Figure 2).

In another set of reaction ligand pairs were framed using $10 \mathrm{~mol} \%$ each from the 10 readily available ligands (Figure 1, a), Chlorobenzene and phthalimide were used as model substrates. It has been observed that protocol developed using CuI ( $5 \mathrm{~mol} \%$ )/ligand pair activates aryl chloride relatively at low temperature $\left(110^{\circ} \mathrm{C}\right)$ and even in less polar solvent toluene. Protocol efficiently works in the coupling reactions of different ArCl (1.5 equiv) with phthalimide in the presence of $\mathrm{K}_{2} \mathrm{CO}_{3}$ (1-2 equiv) and gives moderate to excellent yield in 16 h (Scheme 2).
a. Ligands

L1

L2

L3

L4

L5

L6

L7

L8


L9


L10
b. Possible copper catalytic species(CCS)

In presence of single ligand (L1 or L2)
$\left[\mathrm{Cu}(\mathrm{L} 1)_{2}\right]^{+}$
1 a
$\left[\mathrm{Cu}(\mathrm{L} 2)_{2}\right]^{+}$
1b
$\left[\mathrm{CuL11}{ }^{+}\right.$
1d
[ $\mathrm{Cu}(\mathrm{L} 1)(\mathrm{Nu})]$
1e
Additional CCS in presence of two ligands (L1 and L2)

1c

Figure 1. a. List of investigated ligands. b. Possible catalytic species in the presence of L1 or L2 ligand and in presence of ligand pair (L1 and L2).


Figure 2. Screening of ligand pairs. Reaction conditions- PhCl (2.5 equiv, 12.5 mmol ), phthalimide ( 1 equiv, 5 mmol ), $10 \mathrm{~mol} \%$ each ligand in ligand pair, CuI ( $5 \mathrm{~mol} \%$ ) and $\mathrm{K}_{2} \mathrm{CO}_{3}$ ( 1 equiv) in toluene ( 6 mL ) heated to reflux for 16 h under $\mathrm{N}_{2}$ at $110{ }^{\circ} \mathrm{C}$. ${ }^{*}$ Base $\mathrm{K}_{3} \mathrm{PO}_{4}$ (2 equiv), ${ }^{\#} \mathrm{~K}_{2} \mathrm{CO}_{3}$ (2 equiv). ${ }^{\alpha}$ Single ligand concentration (mol \%) is shown in the bracket.

These observations indicate that the copper catalytic species (CCS) possible in presence of $\mathbf{C u I}$ /single ligand, $\mathbf{1 a}, \mathbf{1 b}$ and $\mathbf{1 d} \mathbf{- 1 f}$ are not able to activate $\mathrm{C}-\mathrm{Cl}$ bond in the reaction Figure 1, b).

During the screening of ligand for CuI/ligand pair protocol, it was observed that the nature of members of the ligand pair significantly affects the efficiency of the catalytic cycle (Figure 2). The ligand pairs comprising ligand L1 with the ligands L3, L5, L6 and L7 have poor performance in the catalytic cycle. Ligand pairs comprising L2 with L6, L9 and L10 are moderate performers in C-N bond formation (Figure 2). The performance of ligand pair $\mathbf{L 2} / \mathbf{L 1 0}$ is better than the ligand pairsL2/ L6 and L2/L9. In general, the ligand pairs L1/L2 and L1/L4 are found most efficient. These results indicate that good electron donor ligands having no bond strain during structural reorganisation (flexible ligand) and able to form 5 membered chelate complex perform better in the catalytic cycle. It is also evident from the observation that L5 (4 membered chelate ring) and L6 (non-flexible ligand) are poor performers than L10. Experimental results also indicate that good combination of the ligands in the ligand pair is that in which one ligand is less sterically hindered ( $\mathbf{L} 2$ and $\mathbf{L 4}$ ) and another one is efficient electron donor ( $\mathbf{L} 1, \mathbf{L 6}$, L9 and L10).


Figure 3. Screening of bases (equivalents are shown in brackets). Reaction con-ditions- PhCl ( 2.5 equiv, 12.5 mmol ), phthalimide ( 1 equiv, 5 mmol ), L1 and L2 ( $10 \mathrm{~mol} \%$ each), $\mathrm{CuI}\left(5 \mathrm{~mol} \%\right.$ ) in toluene ( 6 mL ) heated to reflux for 16 h under $\mathrm{N}_{2}$ at $110^{\circ} \mathrm{C}$. ${ }^{\text {a }}$ molecular sieves $(4 \AA),{ }^{\mathrm{b}} 50^{\circ} \mathrm{C}$ and 72 h . The yield was declined with 2.5 and 3 equivalents of $\mathrm{K}_{2} \mathrm{CO}_{3}$ (yield, $39 \%$ and $24 \%$ respectively).

As discussed above that yield depends on the nature of both the members of ligand pair and combination of L1 and L2 is an efficient ligand pair. Therefore, 1c can be considered as active CCS in the present case. Its formation in the solution of $\mathrm{CuI}, \mathrm{L} 1$ and L 2 is also favourable on the basis of entropy (Figure 4).

The base plays an important role in the catalytic cycle. Initially, costeffective $\mathrm{K}_{2} \mathrm{CO}_{3}$ (1-2 equiv) was used as a base. $42 \%$ yield was observed with 1 equiv $\mathrm{K}_{2} \mathrm{CO}_{3}$, which was noticed slightly higher ( $48 \%$ ) with 2 equiv $\mathrm{K}_{2} \mathrm{CO}_{3}$ (Figure 3). Improvement in yield was not noticed in the presence of an excess base and even slightly decline was observed in the presence of 2.5-3 equiv base. Compared to $\mathrm{K}_{2} \mathrm{CO}_{3}$, the yield was found to be reduced in $\mathrm{Cs}_{2} \mathrm{CO}_{3}$ and $\mathrm{K}_{3} \mathrm{PO}_{4}$ bases.

It was also observed that weaker bases $\mathrm{CF}_{3} \mathrm{COOK}$ and $\mathrm{CsClO}_{4}$ work better than the more soluble and stronger base triethylamine.

As per literature reports, excess base generates the inert copper species like 1f and halt the reaction cycle. Recently, Davies and Nguyen groups $[27,45,46]$ also highlighted the adverse role of bases in coupling

|  | $+$ | $5 \mathrm{~mol} \% \mathrm{Cul}, 110^{\circ} \mathrm{C} / 16 \mathrm{~h}$ $10 \mathrm{~mol} \% \mathrm{~L} 1,10 \mathrm{~mol} \% \mathrm{~L} 2$ <br> toluene $/ \mathrm{K}_{2} \mathrm{CO}_{3}$ (1 equiv) |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Entry | R | Isolated yield\% | Entry | R | Isolated yield\% |
| 1. | H | 48 (96) ${ }^{\text {a,c }}$ (20)* | 8. | o-Me | 15 (19) ${ }^{\text {c,d }}$ |
| 2. | $p-\mathrm{NO}_{2}$ | 82 (Blank test-0) ${ }^{\text {b }}$ | 9. | $\mathrm{o}-\mathrm{COCH}_{3}$ | 32 |
| 3. | $\mathrm{o}-\mathrm{NO}_{2}$ | 0 | 10. | $p$-Me | 16 (36) ${ }^{\text {c,d }}$ |
| 4. | $m-\mathrm{NO}_{2}$ | 96 | 11. | $p-\mathrm{Cl}$ | 60 (89) ${ }^{\text {a }}$ |
| 5. | $p-\mathrm{OH}$ | 42 | 12. | $p-\mathrm{NH}_{2}$ | 92 |
| 6. | $p-\mathrm{CHO}$ | 48 | 13. | $p-\mathrm{COOH}$ | $86^{\text {c }}$ |
| 7. | o-CHO | $35(75)^{\text {a }}$ | 14. | o-COOH | $99^{\text {c }}$ |

Scheme 2. Arylation of phthalimide using different aryl chlorides. *Yield\% with flourobenzene, [a] phthalimide conversion (\%), estimated on the basis of the isolated and unreacted amount of phthalimide after $16 \mathrm{~h}[\mathrm{~b}]$ In absence of CuI and Ligands, [c] 2 equiv $\mathrm{K}_{2} \mathrm{CO}_{3}$, [d] reaction time 40 h .

$+$


## >98\% conversion

toluene 6 mL
12 h

97\% (GC-MS)


3\% trace

not observed

Scheme 3. The competitive reaction between nucleophiles as well as between - Cl and -I .
reactions [47]. Similar results were also obtained in our previous studies and we reported that the efficiency of the catalytic cycle depends on competitive ligation of carbonate ion and diamine ligand/nucleophile to copper $[26,48]$. Considering the role of the base in current and previous studies that its excess amount adversely affects the activity of the catalyst, the amount of base was carefully fixed to $1-2$ equivalent $\left(\mathrm{K}_{2} \mathrm{CO}_{3}\right)$ for further investigations. Optimization of other reaction parameters excess PhCl (2.5 equiv) was used. In other cases, it was used 1.5 equiv.

After optimization of reaction conditions and screening of ligand pairs and bases, the present investigation was extended for other aryl chlorides (Scheme 2). The present protocol gives variable yield with electron-poor $\left(-\mathrm{COOH},-\mathrm{CHO},-\mathrm{NO}_{2},-\mathrm{Cl}\right)$ and electron-rich $(-\mathrm{Me},-\mathrm{OH}$, $-\mathrm{NH}_{2}$ ) aryl chlorides. $o-\mathrm{NO}_{2}$ and $o$-Me phenyl chlorides are found to be extremely poor substrates (Scheme 2, entry 3 and 8 ). In previous studies, zero yield was reported even with o-methyliodobenzene [27]. The p-Me
derivative requires more reaction time ( 40 h , Scheme 2 , entry 10) to reach an acceptable yield (36\%).

Reported studies show that electron-deficient aryl halides are good substrates [5]. However, in the present case 2-nitrochlorobenzene (yield $0 \%$ ) was found poor substrate than 4-nitrochlorobenzene (yield 82\%) (Scheme 2, entry 2 and 3). Moreover, the reactivity of 3-nitrochlorobenzene (yield $96 \%$ ) was found more. These observations clearly indicate that steric factor predominant in these transformations. Thus, the presence of $-\mathrm{NO}_{2}$ and $-\mathrm{CH}_{3}$ groups at ortho-position adversely affect the conversion.

In view of the above, we focused on the mechanistic aspects of the reaction. Literature reports indicate that electron-deficient aryl chlorides undergo to transformation in alkaline conditions through SNAr pathway [30]. In the present case, the $p-\mathrm{NO}_{2}$ derivative could not turn up into the desired product in the blank test (without CuI/Ligand) through SNAr


Scheme 4. Competitive reaction between -Cl and -I. Radical clock test to probe the free radical pathway.


Scheme 5. Successive $\mathrm{C}-\mathrm{Br}$ and $\mathrm{C}-\mathrm{Cl}$ bond activation and installation of two different nucleophiles. In the first step, 2 ( 1 equiv, 5 mmol ), nucleophiles ( KOH and piperidine, 1 equiv each), $\mathrm{CuI} 5 \mathrm{~mol} \%$, and ligand $\mathrm{L} 110 \mathrm{~mol} \%$ heated to reflux in toluene ( 6 mL ) at $100^{\circ} \mathrm{C}$ under $\mathrm{N}_{2}$ for 12 h . In the second step, second nucleophile (2-aminopyridine), L2 10 $\mathrm{mol} \%$ and additional $\mathrm{K}_{2} \mathrm{CO}_{3}$ ( 1 equiv) were added and the solution continued to reflux for the next 16 h . The sample was analysed by GC-MS. Diaryl ether found $4 \%$ in final product.
pathway (Scheme 2, entry 2). A possible reason is poor solubility of carbonate base in toluene. Electron-deficient aryl fluoride also shows relatively poor conversion.

To explore the possibility of SET mechanism reactivity of -Cl and $-\mathrm{I} /-$ Br in the same substrate was investigated and substrates 1 and 2 were used for the purpose (Schemes 3, 4, and 5). These substrates exclusively turned in to the corresponding products through $\mathrm{C}-\mathrm{I} / \mathrm{C}-\mathrm{Br}$ bond activation. No reactivity was observed in $\mathrm{C}-\mathrm{Cl}$ bond over $\mathrm{C}-\mathrm{I} / \mathrm{C}-\mathrm{Br}$ bond, even
though ArCl has greater potential for reduction than the ArI/ArBr. This observation indicates that radical anion (through SET mechanism) is not involved in the reactions. In recent past, o-allyl aryl chlorides (3) have been used to investigate radical reactions (Scheme 4) and thus, a similar experiment was performed to trace out radical species [27, 31, 38,49]. However, these studies discarded the radical pathway.

As discussed above Cu /ligand pair is able to activate $\mathrm{C}-\mathrm{Cl}$ bond and there is a difference in reactivity of $\mathrm{C}-\mathrm{Cl}$ and $\mathrm{C}-\mathrm{Br}$. Therefore, we

Entry Aryl chloride NuH Product (Isolated yield \%)
1



68\%
2



78\%
3



72\%
4




Scheme 6. Arylation of arylamines and benzamide.


Scheme 7. Oxiadtive addition-reductive elimination pathay.
investigated a new synthetic approach to install two different nucleophiles on the substrate. In the first step, a nucleophile (hydroxide ion) and $\mathrm{CuI} / \mathrm{L} 1$ were introduced and diaryl ether was produced through intermediate phenol. In the second step, L2 and desired nucleophile (2aminopyridine) were introduced in the same reaction flask and arylation of 2 -aminopyridine was observed through $\mathrm{C}-\mathrm{Cl}$ bond activation. It is worthwhile to mention that the aforesaid synthetic approach has been
successful in installing two different nucleophiles by sequential activation of $\mathrm{C}-\mathrm{Br}$ and $\mathrm{C}-\mathrm{Cl}$ bonds in 2 (Scheme 5). The proposed synthetic approach can be further explored for the synthesis of important pharmaceutical molecules, e.g. imatinib and acetaminophen [49]. This is also important to mention that in the first step, two nucleophiles (piperidine and KOH ) were present in the flask, but only $\mathrm{C}-\mathrm{O}$ coupling was observed and diaryl ether was the main product. These results are in agreement with the studies of the Buchwald group about $\mathrm{C}-\mathrm{N}$ and $\mathrm{C}-\mathrm{O}$ selectivity [11,50]. This is also supported by the studies of Taillefer and Jutand group [51] that if both $\mathrm{KOH} / \mathrm{K}_{2} \mathrm{CO}_{3}$ bases are present in the system, ethers are main products instead of phenols. Activation of $\mathrm{C}-\mathrm{Cl}$ bond in the above-mentioned sequence of reactions clearly indicates that only


Scheme 8. Side reactions, (2-3\%), transamidation of phthalimide with L2 and halo anilines were observed. See supplementary content for more detail.
(a)

(b) DFT predicted equilibrium ( $\Delta \mathrm{G}=\mathrm{kcal} / \mathrm{mol}$ )-

1d
1 a
1c
1b
(c)





Figure 4. FMO analysis of CCS (1a, 1b and 1c), the energy gap of HOMO-LUMO is in kcal/mol (a) and DFT predicted equilibrium (b) and optimized geometries of $1 \mathbf{a}$ 1d species (c).

Cu /ligand pair protocol is able to activate it and it is in support of the earlier discussed results and assumption of the active CCS in the process.

To compare the nucleophilicity of aromatic amines and amides competitive reaction presented in Scheme 3 was investigated and it has been observed that aromatic amines are better nucleophile than imides. In view of the above, we prompted to extend the protocol for arylation of readily available N -nucleophile (Scheme 6) and it has been observed that protocol is successful for amines also. The yield was observed 68-78\% in the arylation of aromatic amines and aniline is found to be better nucleophile than benzamide with a yield of $78 \%$ and $72 \%$ respectively (Scheme 6).

### 2.2. Mechanistic studies

In view of the interesting experimental results, DFT studies were also performed to investigate the mechanistic aspects of these reactions. Mostly, three mechanistic pathways [7] are under debate for Cu-mediated cross-coupling reactions; (i) oxidative addition-reductive elimination (OA-RE) via $\mathrm{Cu}($ III ) complexes $[21,52]$ (ii) free radical single electron transfer (SET) pathway via Cu (II) complexes [50,53] and (iii) halogen atom transfer (HAT) pathways via Cu (II) complexes [54].

Remarkable studies have been performed by various groups to determine the mechanism of Cu-mediated coupling reactions. Most of these studies suggest that coupling reactions follow OA-RE pathway (Scheme 7) [5, 7]. Free radical pathways were discarded many times on the basis of radical clock experiments [23, 24,55]. However, these mechanistic studies are confined to coupling reactions of aryl bromides or aryl iodides and studies have not been extended for aryl chlorides.

Most of the mechanistic studies suggest that [LCuNu] ( $\mathrm{L}=$ neutral diamine ligand) and [ LCu ] ( $\mathrm{L}=$ anionic ligand) type species (1e) are active catalytic species in OA-RE pathway involving $\mathrm{ArBr} / \mathrm{ArI}$. However, it has not been determined that coupling reactions involving aryl chlorides follow the same pathway or not. However, more recently, Ahmed and Roy [56] proposed OA-RE pathway.

Guo and co-workers [25] have reported that copper complex 1f is inert species in OA-RE path due to its repulsion with anionic nucleophiles and $1 \mathbf{d}$ species have very poor solubility because of higher charge density and thus these have no significant contribution in the catalytic cycle. Species 1e has been accepted as active species in the reactions of ArI/ArBr. In view of the above, we have performed DFT calculations to find out the appropriate CCS and catalytic cycle. DFT predicted equilibrium (Figure 4) indicates that $\mathbf{1 c}$ species are more stable $(\Delta G=-33$ $\mathrm{kcal} / \mathrm{mol}$ ) than 1d. Therefore, $\mathbf{1 d}$ species are present in a little fraction and they do not play a key role in the catalytic cycle. However, in the present study, we have considered both the species 1d and $\mathbf{1 f}$ as possible CCS for comparison purpose. In the present case, 1c appears as a new species in $\mathrm{Cu}(\mathrm{I}) /$ ligand pair system. In summary, all the complexes $\mathbf{1 a} \mathbf{- 1 f}$ have been considered as CCS for comparison and just to find out appropriate catalytic cycle (Figures 5, 6, 7, 8, 9, 10, and 11).

### 2.2.1. Free radical single electron transfer mechanism

$\mathrm{Cu}(\mathrm{I})+\mathrm{ArCl}^{\text {SET }} \mathrm{Cu}(\mathrm{III})^{+} \mathrm{ArCl}^{-}$
ArCl has greater potential for reduction and expected to be more reactive in a SET path. In the present case, firstly SET (outer-sphere


Figure 5. DFT calculated free energy ( $\Delta \mathrm{G}$ ) profile for SET pathways for 1 c species (a) and other CCS (b). * $\Delta \mathrm{G}$ calculated for $\left[\mathrm{Cu}(\mathrm{NHAc})_{2}\right]^{*}$. Bond lengths are shown in (Å).


Figure 6. DFT calculated free energy profile for HAT pathways for $\mathbf{1 c}$ (a) and $\mathbf{1 e}$ (b). Optimized geometries (c) of transition states (important bond lengths are shown in $\AA$ ).
electron transfer) mechanism that delivers anion free radical $[\mathrm{PhCl}]^{-}$ and Cu (II)has been considered (Figure 5) for DFT studies and it was revealed that formation of $[\mathrm{PhCl}]-$ and $\mathrm{Cu}(\mathrm{II})$ species through SET has very high free energy barrier ( $\Delta \mathrm{G}=102-142 \mathrm{kcal} / \mathrm{mol}$ ) for $\mathbf{1 a - 1 f}$ species. Higher $\Delta \mathrm{G}$ for SET pathways is attributed to charge separation during the course of reaction and formation of $\mathrm{Cu}(\mathrm{II})$ in non-polar solvent toluene.

### 2.2.2. Free radical halogen atom transfer mechanism

$\mathrm{Cu}(\mathrm{I})+\mathrm{ArCl}^{H A T}(\mathrm{Cu}(\mathrm{I})--\mathrm{Cl}--\mathrm{Ar}) \rightarrow \mathrm{Ar}+(\mathrm{Cu}(\mathrm{II}) \mathrm{Cl})$
DFT calculations corresponding to halogen atom transfer (HAT) path considering the 1a-1f species (Figure 6 and Figure 7), indicates that species $1 \mathbf{c}$ ( $63 \mathrm{kcal} / \mathrm{mol}$ ) has higher free energy barrier than that of $\mathbf{1 e}(54 \mathrm{kcal} / \mathrm{mol})$. HAT path for $\mathbf{1 a}$ and $\mathbf{1 b}$ species has 64 and 61.7 $\mathrm{kcal} / \mathrm{mol}$ free energy barrier respectively. The higher energy barrier for 1a and $\mathbf{1 f}$ species is attributed to greater steric hindrance and electronic repulsion respectively. It is in agreement with the earlier reports indicating $\mathbf{1 f}$ as inactive CCS in coupling reactions [22]. However, Copper species $\mathbf{1 d}$ has the lowest $\Delta \mathrm{G}$ barrier. Nonetheless, 1d species has a poor presence in the system [25]. HAT paths are relatively more favourable than SET mechanism.

In view of the above, experimental studies were also conducted to ensure that the free radical mechanism is not operative. No side arylation of L1 and L2 was observed in the GC-MS analysis of the crude products (see supplementary content, Figures S14 and S17). 4-iodochlorobenzene (1) delivered only a single product corresponding to $\mathrm{C}-\mathrm{I}$ bond activation (reactivity order in the free radical path, $\mathrm{C}-\mathrm{Cl}>\mathrm{C}-\mathrm{I}$ ) [55]. More reactivity of $\mathrm{C}-\mathrm{I}$ bond than $\mathrm{C}-\mathrm{Cl}$ bond is not in favour of free radical mechanism. Furthermore, cyclic ether 3a was not observed in the coupling reaction of radical clock 1-(allyloxy)-2-chlorobenzene (3) (Scheme 4). These results indicate that the coupling reaction does not proceed through a radical pathway.

### 2.2.3. Oxidative addition -reductive elimination mechanism

Considering 1a-1e complexes, DFT studies were performed for OA step (Figures 8, 9, and 10 and Table 1). Earlier studies indicate that OA step is rate-determining step in coupling reactions [5, 7]. OA path of arylation reactions proceeds through ${ }^{2} \eta$ species (Figure 8) and they are key intermediates [25].

DFT studies reveal that species $\mathbf{1 e}[\mathrm{LCuNu}]$ has a very weak interaction with PhCl. Several attempts were made to optimize ${ }^{2} \eta$ species for $\mathbf{1 e}$ but whatever the input geometry, PhCl pushed away from 1e (see supplementary content for more detail). It means $\mathbf{1 e}$ species is not able to form ${ }^{2} \eta$ complex. The free energy barrier for
(a)

(b)


| $\mathrm{TS}_{\text {HAT }}$ | $\Delta \mathrm{G}$ |
| :--- | :---: |
| $\mathrm{TS}_{\text {HATa }}$ | 64 |
| $\mathrm{TS}_{\text {HATd }}$ | 43.1 |
| *TS $_{\text {HATf }}$ | 82.4 | PhCl

Reaction progress
(c)




Figure 7. DFT calculated free energy profile for HAT pathways for $\mathbf{1 b}$ (a) $\mathbf{1 a}, \mathbf{1 d}$ and $\mathbf{1 f}$ (b). Optimized geometries (c) of transition states (important bond lengths are shown in $\AA$ ). ${ }^{*} \Delta \mathrm{G}$ was calculated for $\left[\mathrm{Cu}(\mathrm{NHAc})_{2}\right]^{-}$and PhCl .
$\mathrm{TS}_{\mathrm{OAe}}$ was found $54.3 \mathrm{kcal} / \mathrm{mol}$ (Figure 9). Buchwald and co-workers have suggested that aryl chlorides are less reactive because of poor interaction with copper species and the suggestion seems applicable to 1e species [50].

Copper complex, 1a, 1b and $\mathbf{1 e}$ have higher free energy barrier than $\mathbf{1 c}$ (Table 1) for the formation of respective ${ }^{2} \eta$ complexes ( $\mathbf{1 d}$ has a poor presence in the active system). A careful comparison of $\Delta \mathrm{G}$ for OA indicates that $\mathbf{1 c}$ is the most suitable species for OA of $\mathrm{PhCl}(49 \mathrm{kcal} / \mathrm{mol})$. It is the minimum energy barrier amongst the all considered CCS. A comparison of calculated free energies and frontier molecular orbital (FMO) analysis of $\mathbf{1 a}, \mathbf{1 b}$ and $\mathbf{1 c}$ indicates that higher energy barriers for 1a and 1b may be due to steric hindrance and electron deficiency
respectively (Figure 4). The 1c species has balancing of these factors and thus it appears as more appropriate active species. Presence of two diamine ligands (L1 and L2) in the complex, also ensures better solubility and availability of these tetra-coordinated species in the solvent system. It is also evident about the active role of two ligands in the present protocol.

In view of the above, the full catalytic cycle was established by considering the $\mathbf{1 c}$ species (Figure 11). Intermediate ${ }^{2} \eta$ complex $\mathbf{2 c}$ undergoes OA ( $\Delta \mathrm{G}=4 \mathrm{kcal} / \mathrm{mol}$ ) by the migration of Cu from $\mathrm{C}_{2}$ (ortho) to $\mathrm{C}_{1}$ (ipso). The bond length in 2 c indicates that ortho-position (C2) remains in strong interaction with Cu . $\mathrm{TS}_{\mathrm{OAc}}$ delivers 3 c species $(\Delta \mathrm{G}=-27$ $\mathrm{kcal} / \mathrm{mol}$ ) and it subsequently turns into $4 \mathrm{c}(\Delta \mathrm{G}=-34 \mathrm{kcal} / \mathrm{mol})$ through


2a



2b


Figure 8. Optimized geometries of ${ }^{2} \eta$ complexes and $\mathrm{TS}_{\mathrm{OAb}}$. Important bond lengths are shown in ( $\AA$ ).
the displacement of chloride with a nucleophile ( $\mathrm{Nu}^{-}$). Species $4 \mathbf{c}$ undergoes to RE with a free energy barrier for $\mathrm{TS}_{\mathrm{RE}} 16 \mathrm{kcal} / \mathrm{mol}$. Energy


Figure 9. DFT calculated free energy profile for OA of PhCl to $\mathbf{1 e}$ species. Optimized geometry of transition state (important bond lengths are shown in $\AA$ ).
changes indicate that formation of product through $\mathrm{TS}_{\mathrm{RE}}$ is energetically highly favourable process ( $\Delta \mathrm{G}=-53 \mathrm{kcal} / \mathrm{mol}$ ).

Notably, SN2 ionic mechanism of OA was found unfavourable. Total tree energy of $\left[\mathrm{PhCu}^{\mathrm{III}}(\mathrm{L} 1)(\mathrm{L} 2)\right]^{2+}$ was found more than that of $\mathrm{TS}_{\mathrm{OAc}}$. $\left[\mathrm{PhCu}^{\text {III }}(\mathrm{L} 1)(\mathrm{L} 2)\right]^{2+}$ was found much higher in free energy $(65.68 \mathrm{kcal} /$ mol) compared to $\mathbf{3 c}$.

Studies indicate that OA is rate-determining step and reaction proceeds through $\mathrm{Cu}(\mathrm{III})$ intermediate species. The $\Delta \mathrm{G}$ values for $\mathrm{TS}_{\mathrm{OAc}}$ were also calculated with other basis sets and found in good agreement (See supplementary content, Table S01). These results are also supported by


Figure 10. DFT calculated free energy profile for OA of PhCl to 1 d species. Optimized geometry of transition state (important bond lengths are shown in $\AA$ ).
(a)
(b)


$$
{ }^{*} 3 \mathrm{c}+\mathrm{K}_{2} \mathrm{CO}_{3}+\mathrm{NuH} \longrightarrow \mathrm{KHCO}_{3}+\mathrm{KCl}+4 \mathrm{c} \quad \Delta \mathrm{G}=-34
$$


( ${ }^{2} \eta$ complex)



Figure 11. Optimized geometries of transitions states (a). DFT calculated free energy profile for OA of PhCl to 1c species (b). For more clarity free energy of incoming and outgoing species are adjusted in every step. The proposed catalytic path for arylation of NuH (phthalimide). Important bond lengths are shown in $\AA$.

Table 1. DFT calculated free energy ( $\Delta \mathrm{G}$ ) barrier for oxidative addition.

| CCS | $\Delta \mathrm{G}$ for ${ }^{2} \eta$ complex | $\Delta \mathrm{G}$ for TS | Overall $\Delta \mathrm{G}$ for TS |
| :---: | :---: | :---: | :---: |
| 1b | 52.2 (2b) | 4.2 ( $\mathrm{TS}_{\text {OAb }}$ ) | 56.4 |
| 1c | 45 (2c) | 4 ( $\mathrm{TS}_{\text {OAc }}$ ) | 49 |
| $1 \mathrm{~d}^{\text {a }}$ | 34.4 (2d) | 5.6 ( $\mathrm{TS}_{\text {OAd }}$ ) | $40(73)^{\text {b }}$ |
| 1 e | Not stabilize | 54.3 ( $\mathrm{TS}_{\text {OAe }}$ ) | 54.3 |
| 1a | 58.1 (2a) | Not calculated | >58.1 |
| 1f | Reported as inert catalytic species in OA path (ref. 28 and 31) |  |  |

[^1]the DFT studies on CuI/oxalic diamide mediated reaction [56] indicating that aryl chlorides follow OA pathway.

In situ and under $\mathrm{N}_{2}$ atmosphere recorded an electronic spectrum of the reaction solution (supplementary content, Figure S31) also indicates
the appearance of Cu (III) species and absence of $\mathrm{Cu}(\mathrm{II})\left(\lambda_{\max }=500-600\right.$ $\mathrm{nm})$ species [26,57-59].

On the basis of experimental and theoretical results, the possible catalytic cycle has been proposed for coupling reaction of PhCl and



Figure 12. Proposed catalytic cycle.
phthalimide (Figure 12). Transamidation as side reaction was also observed (Scheme 8).

## 3. Conclusion

As discussed above a simple $\mathrm{CuI} /$ ligand pair protocol has been developed for $\mathrm{C}-\mathrm{N}$ coupling reactions of aryl chlorides and phthalimide. The protocol has also been extended for coupling reactions of arylamines and it gives satisfactory results. Low cost of CuI and commercial availability of the diamine ligands used in the protocol is expected to make the method attractive for industries and academia. On the basis of experimental and theoretical results catalytic cycle has been proposed and it has been suggested that coupling reactions involving aryl chlorides and imides follow OA-RE pathway. The proposed catalytic cycle will further contribute to the studies on mechanistic aspects of these reactions. Since protocol has also been successful in installing two distinct nucleophiles on the substrate by successive $\mathrm{C}-\mathrm{Br}$ and $\mathrm{C}-\mathrm{Cl}$ bond activation, therefore, it can be further investigated for the synthesis of molecules of industrial importance.

## 4. Experimental and computational section

Gaussian 09 package [60] was used for calculations. Hybrid DFT (20\% HF exchange) B3LYP (reported reliable for copper) [25,61] using 6-31g (d, p) basis set [62], calculations were performed (at 298 K , in toluene) and solvation model C-PCM with universal force field was employed for solvent toluene (dielectric constant 2.374). Accordingly, geometries were fully optimized without any constraint and single-point energy calculations were performed. Zero-point and thermal corrections to Gibb's free energy were adjusted from harmonic vibrational frequency calculations at 298 K . Berny algorithm was used for Transition state optimization. Transition states were confirmed by single imaginary frequency corresponding to involved atoms. Further, backward and forward direction IRC were performed on transition states. Exclusively, effective core potential (ECP)/LANL2DZ basis set was used for $\mathrm{K}_{2} \mathrm{CO}_{3}, \mathrm{KHCO}_{3}$, iodine and KI. Orca package 4.0 was also used for a few calculations [63].

Unless and until not specified, all the reactions were performed in toluene and heated to reflux for 16 h under $\mathrm{N}_{2}$ at $110^{\circ} \mathrm{C}$. Aryl chloride ( $7.5-12.5 \mathrm{mmol}$ ), phthalimide ( 5 mmol ), $\mathrm{CuI} 5 \mathrm{~mol} \%$ and base ( $2.5-20$ $\mathrm{mmol})$ were used in reactions. Products were analysed by H-1/C-13 NMR/Mass spectroscopy. (See supplementary content for more experimental and computational details).

## Declarations

## Author contribution statement

Kamlesh K Gurjar: Conceived and designed the experiments; Performed the experiments.

Rajendra K Sharma: Analyzed and interpreted the data; Wrote the paper.

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The authors declare no conflict of interest.

## Additional information

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[^0]:    * Corresponding author.

    E-mail address: rajncert@gmail.com (R.K. Sharma).

[^1]:    ${ }^{\text {a }} \mathbf{1 d}$ species carry +1 charge and have poor solubility. Therefore, it plays little or no role in OA (ref.31). Predicted solubility order-1e $>\mathbf{1 a}>\mathbf{1 c}>\mathbf{1 b}>\mathbf{1 d}$. Free energy barrier for $O A, \mathbf{1 d}>1 \mathrm{a}>1 \mathrm{~b}>1 \mathrm{e}>\mathbf{1 c}$.
    ${ }^{\mathrm{b}} \Delta \mathrm{G}$ from 1 c .

