# **REVIEW ARTICLE**



# A Review of Existing Therapies for Actinic Keratosis: Current Status and Future Directions

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#### Abstract

Actinic keratosis (AK) is a chronic skin disease in which clinical and subclinical cutaneous lesions coexist on sun-exposed areas such as the head and neck region and the extremities. The high prevalence of AK means the disease burden is substantial, especially in middle-aged and elderly populations. Evidence indicates that AK may progress into invasive cutaneous squamous cell carcinoma, so the European guidelines recommend treatment of any AK regardless of clinical severity. Given the aging population and therefore the increasing incidence of AK and cutaneous field carcinogenesis, further updates on the long-term efficacy of current therapies and new investigational agents are critical to guide treatment choice. Patients often have difficulty adequately applying topical treatments and coping with adverse local skin reactions, leading to less than optimum treatment adherence. The development of associated local skin symptoms and cosmetic outcomes for the area of interest are also relevant to the choice of an appropriate therapeutic strategy. Treatment is always individually tailored according to the characteristics of both patients and lesions. This review focuses on the therapeutic approaches to AK and illustrates the currently available home-based and physician-managed treatments.

# **Key Points**

This article illustrates the scientific evidence driving the clinical management of patients affected by actinic keratosis, aiming to provide a patient-tailored treatment program.

Phase II/III clinical trials are showing promising results from new topical agents.

The goals of actinic keratosis treatment are to eradicate as many clinical and subclinical lesions as possible, achieve as prolonged a clinical remission as possible, provide a good cosmetic result, and prevent progression to invasive squamous cell carcinoma.

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# 1 Introduction

Actinic keratosis (AK) is an intraepithelial keratinocyte neoplasm that typically occurs on sun-exposed areas in adults [1–5]. The main constitutional and exposure risk factors include chronic exposure to ultraviolet radiation, use of sunbeds, advanced age (up to 80% of adults aged > 60 years are affected), male sex, Fitzpatrick skin type I–II, prolonged immunosuppression, and previous history of AK and non-melanoma skin cancer [6]. Regular use of sunscreen, body mass index  $\geq 25.0 \text{ kg/m}^2$ , and a low educational level represent protective factors [7]. The prevalence of AK varies widely across continents, with the highest rates reported in Australia, where 40-60% of white individuals aged  $\geq 40$ years have at least one AK [8]. In Europe, the prevalence rates vary from 4.7% (in a cross-sectional French study [9]) to 31% (in Austrian individuals aged  $\geq$  30 years and screened by office-based dermatologists [10]) and up to 37% in the more recent Rotterdam Study, which included a population-based cohort of 2061 elderly individuals [11]. In a recent Italian study, our group reported the development of AK in 27.4% of patients attending general dermatology outpatient clinics [7]. More than half of patients had one to five AK, and over three-quarters had face or neck lesions. The high prevalence of AK in Italy is in line with the overall rate of 28.4% detected in a Spanish dermatology outpatient population aged  $\geq$  45 years [12]. Although data about the prevalence of AK are not easily comparable because of differences in methodologies (e.g., office-based vs. population-based cohorts vs. cross-sectional studies, patient age groups, etc.), the prevalence is higher in men than in women, with an age-related linear increase. Therefore, the economic burden of AK is expected to rise substantially over the next decades with the increasingly ageing global population with chronic lifetime sun exposure [11, 13, 14].

Clinical grading by Olsen et al. [15] classifies AK lesions as grade 1 (flat pink macules; hardly visible lesions; easy to feel and difficult to see), grade 2 (moderately thick, red, scaly lesions; easily felt and seen), and grade 3 (very thick, hyperkeratotic lesions that can be difficult to differentiate from early squamous cell carcinomas [SCCs]). This classification, which is commonly used in clinical practice, has several limitations, and recent studies demonstrated that the clinical Olsen et al. grading does not accurately match with the histological classification, with the Olsen grading being lower than the assumed histological grade in 62.4% of cases [16]. In addition, Fernández-Figueras and colleagues [17, 18] showed that AK, including in its early phase, may progress into invasive cutaneous SCC (so-called "differentiated pathway") without following progressive stages of keratinocyte intraepidermal neoplasia ("classical pathway"). These findings have introduced the paradigm that all single AK lesions as well as the field cancer (FC) should be treated, since the surrounding area may harbor subclinical alterations supported by genetic changes, similar to AK. Additional studies also highlighted that the hair follicle may contribute significantly to the development of deeply invasive cutaneous SCC, correlating with the follicular extension of atypical keratinocytes in AK [19]. These data have important implications for the clinical management of AK, as supported by a European multicenter consensus recommending that both single lesions and FC be treated, taking into consideration the patient's needs and profile [20].

# 2 Methods

We completed a literature review searching the PubMed, Embase, and Cochrane library CENTRAL electronic databases until 1 September 2021 for all relevant records. Search terms included actinic keratosis or relevant treatment modalities (e.g., photodynamic therapy [PDT], 5-fluorouracil (5-FU), imiquimod, diclofenac, cryosurgery, surgical excision, laser therapy). Studies were included in this review if they reported randomized controlled trials in humans comparing different treatments for AK or investigating different dosages of active treatments. Clinical guidelines, interventional studies, expert consensus, and case series focusing on the management of AK were also considered.

Case reports and papers not written in the English language were excluded.

# 3 Clinical Features and Diagnosis

The prototypical clinical features of AKs are erythematous scaling patches or plaques located on sun-exposed areas such as the face, scalp, or upper extremities in middle-aged and elderly males. The presence of AK is considered a clinical mark of skin photoaging; they often present as multiple lesions and less frequently as single or a few, either circumscribed or scattered. AK lesions are usually asymptomatic but may occasionally be pruritic or stinging (Fig. 1). The presence of numerous and/or recurrent AK lesions on sunexposed skin is known as "field cancerization or field cancer", which is defined as an area surrounding the visible AK that may phenotypically appear as normal skin but instead harbors genetic changes similar to those observed in AK [21]. In the context of AK, the FC may be independently initiated by many cells following a mutagenic insult caused by ultraviolet radiation within p53, promoting a clonal expansion of cells and formation of a contiguous patch of cancerprimed cells [22].

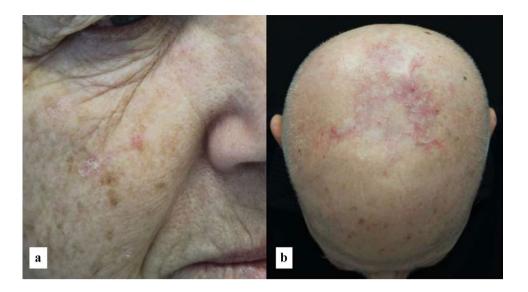
The different histomorphologic variants include atrophic, hypertrophic, acantholytic, pigmented, lichenoid, and Bowenoid AK [21].

The diagnosis of AK is mainly based on clinical appearance, although imaging techniques are useful noninvasive diagnostic tools. Among these, dermatoscopy can be used to differentiate AK from invasive cutaneous SCC and to diagnose lesions at an early stage. In addition, reflectance confocal microscopy and high-definition optical coherence tomography may allow the detection of clinically invisible subclinical lesions and monitoring of treatment efficacy [23]. Biopsy of an AK lesion is recommended in equivocal cases in which differential diagnosis—including basal cell carcinoma, Bowen's disease, invasive cutaneous SCC, amelanotic melanoma, lentigo maligna melanoma, and superficial seborrheic keratosis—cannot be ruled out with clinical examination and imaging techniques [24].

# 4 Management of Actinic Keratosis (AK)

Several treatments, with different efficacy and safety profiles, are currently available for the management of AK [20]. Therapies are classified as "lesion directed" (i.e., treatments are performed on a single or very few AK lesions within the same area) or "field directed," which target the FC, i.e., the clinically visible lesions and the whole surrounding area with subclinical changes [25, 26]. Table 1 summarizes the

Fig. 1 a Single actinic keratosis visible as a scaly erythematous lesion on the cheek of an elderly patient; b representative illustration of field cancerization of the scalp of an elderly patient



main treatments and their therapeutic indications, protocols, and response rates.

The treatment choice is typically individually tailored and always based on the characteristics of both patients and lesions, particularly in elderly patients. This group of patients with AK very often also have comorbidities such as hypertension, cardiovascular disease, diabetes, and neurological dysfunctions that need to be considered when choosing a specific treatment and to predict the patient's ability to adhere to treatment [46]. In other instances, treatments for AK can also be quite distressing for many patients because of the impact on daily activities such as work and social engagements, especially for lesions located in visible parts of the body such as the face and scalp [47, 48]. The patient's ability to perform a home-based treatment is a significant issue to consider, along with the need for a caregiver to correctly apply the therapy. It would be appropriate in some circumstances to involve the patient in the treatment-decision process and to provide an educational program to prevent or reduce the severity of local skin reactions.

# **5 Home-Based Treatments**

Home-based topical AK treatments include imiquimod, 5-FU, diclofenac, and tirbanibulin. They have different mechanisms of action, dosages, and treatment durations, and their use requires adequate education both to ensure therapeutic adherence and for the management of any adverse events.

# 5.1 Imiquimod

Imiquimod is a toll-like receptor (TLR)-7 agonist that acts as a topical immune-response modifier. TLRs, located on the surface of dendritic cells, monocytes, macrophages,

and Langerhans cells, promote the activation of the innate and adaptive immune response, leading to the release of cytokines and chemokines. In addition, imiquimod induces a direct apoptotic effect on tumor cells [49]. Imiquimod is approved at 5%, 3.75%, and 2.5% concentrations for AK located on the face or scalp in immunocompetent adult patients. The 5% formulation is used to treat small clusters of AK lesions in an area  $\leq 25$  cm<sup>2</sup> on the face and scalp, with a schedule of three times a week for a 4-week course followed by an additional cycle if clearance is partial [27, 28] (Fig. 2). Both the 3.75% and the 2.5% formulation creams are applied once daily for a 2-week cycle, followed by 2 weeks off and another 2-week cycle of treatment, oralternatively—once daily for two 3-week periods separated by 3 weeks off treatment [29–31]. The 3.75% and 2.5% formulations have shown significant clinical advantages over 5% imiguimod, since a similar efficacy is achieved with a lesser degree of adverse events and a shorter treatment duration; moreover, lower formulations allow the inclusion of a wider area (entire face or balding scalp) [50, 51].

The most reported side effects with imiquimod include itching, burning pain, and soreness at the application site, with other localized reactions including erythema, scabbing and crusting, erosions, and vesiculation [24]. Flu-like symptoms may also arise and increase in a dose-dependent manner [29]. High rates of skin reactions, prolonged discomfort, and the continued need for procedural treatments may make patients less willing to use imiquimod or topical 5-FU for AK [52]. Because of the very common local skin reactions due to its mechanism of action, treatment with imiquimod is not recommended during summer and is not the first choice in immunosuppressed patients. In addition, patients who start imiquimod should be informed that the drug should be applied a few centimeters away from the eyes, avoiding

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 Table 1 Treatments currently available for the management of actinic keratosis

Active treatment	AK location/size of treatment area	Treatment regimen/procedure	Efficacy (complete clearance)
Home-based treatment IMQ <sup>b</sup>			
5% IMQ cream	Face or balding scalp/area $\leq 25 \text{ cm}^2$	Two 4-week courses (TIW) separated by 3 weeks off treatment	53.7–55% [27, 28]
3.75% IMQ cream	Face or balding scalp/area ≥ 25 cm <sup>2</sup>	Two 3-week courses separated by 3 weeks off treatment; two 2-week cycles separated by a 2-week rest period	34% [29]; 35.6% [30]
2.5% IMQ cream	Face or balding scalp/area $\geq 25 \text{ cm}^2$	Two 2-week periods separated by 2 weeks off; two 3-week periods separated by 3 weeks off	33.3% [30]; 43.2% [31]
5-FU <sup>b</sup>			
5% 5-FU cream or solution	Face or scalp	Twice daily for 4 weeks	42.9–76.6% [32]
4% 5-FU cream	Face or scalp	Once daily for 4 weeks	80% [33]
0.5% 5-FU cream	Face or scalp	Once or twice daily $\leq 4$ weeks	47.5–57.8% [34, 35]
0.5% 5-FU solution with 10% SA	Face, scalp/area ≤ 25 cm <sup>2</sup>	Once daily for 12 weeks	49.5% [36]
3% Diclofenac gel <sup>b</sup>	Face or scalp/area $\leq 25 \text{ cm}^2$	Twice daily for 60-90 days	41% [37]
0.8% Piroxicam cream <sup>b</sup>	Face, scalp, trunk, and extremities/ area $\leq 35 \text{ cm}^2$	Twice daily for 6 months	55% [38]
1% Tirbanibulin ointment <sup>b</sup>	Face or scalp/area $\leq 25 \text{ cm}^2$	Once daily for 5 days	49% [39]
Physician-managed treatments			
Cryotherapy <sup>c</sup>	Face, scalp, trunk, and extremities/ single lesion	One to three freeze-thaw cycles with various durations of the procedure	39–76% [40]
$PDT^b$		-	
Conventional PDT: 16% MAL, 8% ALA	Face, scalp, forearms	Incubation time with photosensitizing cream about 3 h; irradiation time, a few minutes (420–1000 seconds). Single treatment session; treated lesions should be evaluated after 3 months and, if necessary, a second treatment session given	69–93% (face and scalp); 44–80% (forearms) [41]
Patch 5-ALA (2 mg/cm <sup>2</sup> )	Face, bald scalp/≤1.8 cm diameter	Incubation time with photosensitizing cream about 4 h; irradiation time, a few minutes (420–1000 seconds). Single treatment session; treated lesions should be evaluated after 3 months and, if necessary, a second treatment session given	47–87% [42]
Daylight PDT, 16% MAL	Face, scalp	Incubation time with photosensitizing cream about 30 minutes; irradiation time about 2 h. Single treatment session: treated lesions should be evaluated after 3 months and, if necessary, a second treatment session given	70–89% [43, 44]
Chemical peelings <sup>c</sup>			
TCA 50%, TCA 35%	Scalp	Single application	66.1% [45]
TCA 35% + Jessner's solution	Face	Single application	81.7% [45]
GA 70%	Face	Single application	15.8% [45]
Tretinoin 5%	Forearms	Eight sequential applications every 2 weeks	NR [45]

Table 1 (continued)

Active treatment	AK location/size of treatment area	Treatment regimen/procedure	Efficacy (complete clearance) <sup>a</sup>
Phenol 100%	Face, scalp, extremities, trunk	Once a month up to a maximum of 8 months	NR [45]
Laser therapy <sup>c</sup> CO <sub>2</sub> Er: YAG laser	Full-face skin resurfacing/clustered AKs	One application repeated according to clinical response	NR
Surgery <sup>c</sup>	Face, scalp, trunk and extremities/ single lesion	Deep shave or surgical excision	NR

<sup>5-</sup>FU 5-fluorouracil, AK actinic keratosis, ALA aminolevulinic acid, Er:YAG erbium-doped yttrium aluminum garnet, GA glycolic acid, IMQ imiquimod, MAL methyl aminolevulinate, NR not reported, PDT photodynamic therapy, SA salicylic acid, TCA trichloroacetic acid, TIW three times weekly

Fig. 2 Single actinic keratosis a before and b after local home-based 5% imiquimod cream application three times weekly for 4 weeks



contact with eyes or mucosae, and patients should have close follow-up surveillance visits.

#### 5.2 5-Fluorouracil

5-FU is a pyrimidine analogue, belonging to the family of antimetabolites. Its mechanism of action is based on the inhibition of thymidylate synthase, an enzyme needed for DNA synthesis and for the formation and function of RNA [49]. Various formulations of the drug are commercially available, including 5% cream or solution, 1% cream or solution, 2% solution, and 0.5% cream, that can be applied once or twice daily in the area of AK lesions for 2–4 weeks up to a maximum of 12 weeks. 5-FU is used to treat a single lesion or large areas, providing a complete clearance rate at 8 weeks ranging from 96% in patients treated with 5% 5-FU to 48% in those receiving 0.5% 5-FU cream [53, 54]. Several studies have evaluated the efficacy and tolerability of such formulations. Three randomized controlled trials of 5% 5-FU identified a range of 42.9–76.6%

reductions of AK counts at 12-month follow-up [32, 34, 35]. In two studies, complete clearance was achieved in 47.5 and 57.8% of patients, respectively, using the lower formulation of 0.5% 5-FU cream applied once daily for 4 weeks compared with placebo [55, 56]. A recent study evaluated the efficacy of four treatments (5% imiquimod cream, methyl aminolevulinate PDT [MAL-PDT], 5% 5-FU cream, and 0.015% ingenol mebutate gel [IGM]) for AK located on the head and neck. Results showed that 5% 5-FU cream was the most effective among the fielddirected treatments. The primary outcome of the study was to identify the proportion of patients with a reduction of at least 75% of AK from baseline to 12 months after the end of treatment, thus defining the treatment success rate as the proportion of patients who remained free from treatment failure during this period. 5-FU was demonstrated to be superior (74.7%) to imiquimod (53.9%), MAL-PDT (37.7%), and IGM (28.9%), with no significant differences in tolerability between treatments [33]. In a network metaanalysis, 5% 5-FU was likely to be more effective but less

<sup>&</sup>lt;sup>a</sup>These results refer to clinical studies with different follow-up schedules

<sup>&</sup>lt;sup>b</sup>Treatments are indicated for management of non-hyperkeratotic, non-hypertrophic, visible or palpable AKs (grade I, II); in case of grade III (hyperkeratotic lesions), pretreatment of lesions that reduces hyperkeratosis (i.e., with a keratolytic agent or physical removal) may be considered

<sup>&</sup>lt;sup>c</sup>Procedures are recommended for all types of AK (grades I–III)

tolerated than cryosurgery and 3% diclofenac sodium for the outcomes total clearance and lesion reduction from baseline [36].

Two novel 5-FU formulations were recently introduced for treatment of grade I-II AK in immunocompetent patients. The first was the 4% 5-FU cream, which is an effective topical treatment applied once or twice daily for 2–4 weeks and has been demonstrated to have tolerability superior to that of 5% 5-FU cream used twice daily [57]. The second formulation combined 0.5% solution 5-FU with 10% salicylic acid (5-FU-SA) and was more effective than placebo for field treatment: the percentage of patients with complete clinical clearance was up to 49.5 and 18.2%, respectively, when the drug was applied once daily for 12 weeks to a total maximum area of 25 cm<sup>2</sup>, for AK located on the face and balding scalp [58]. In a single randomized phase III trial, 5-FU-SA was superior to 3% diclofenac gel in hyaluronic acid, as measured by histological clearance (72 vs. 59.1%) and by complete clinical clearance (55.4 vs. 32.0%) [59]. Moreover, topical application of 5-FU-SA resulted in successful treatment for AK on distal extremities and had a good safety profile [37].

Side effects with 5-FU include inflammation, pain, burning, crusting, and erosions but rarely affect patient adherence to therapy [36]. No specific studies or subanalyses on the use of 5-FU have been performed in elderly patients, but no dosage adjustment is needed in this specific population. However, close monitoring is recommended for patients with diabetes with decreased tactile, pain, and temperature perception. In addition, antiviral drugs (e.g., brivudine), phenytoin, and methotrexate may increase the rate of side effects.

# 5.3 3% Diclofenac in Hyaluronic Acid

Diclofenac is a nonsteroidal anti-inflammatory drug that blocks the enzyme cyclooxygenase 2, the main functions of which are promoting tumor growth, cell proliferation, and angiogenesis and inhibiting cellular apoptosis [60]. A single formulation of 3% diclofenac gel in 2.5% hyaluronic acid is available for the treatment of AK on the face/scalp, targeting clustered lesions and FC. The recommended therapeutic regimen, consisting of twice-daily application for 60–90 days, provides a complete clearance response rate of 41% at the end of the treatment, rising to 58% at 30-day follow-up after therapy [60]. A Cochrane systematic review [61] found a similar efficacy for this drug compared with other topical therapies (5-FU, 5% imiquimod, and IGM) in terms of complete clearance, and another study [62] reported good tolerability and a favorable pharmacoeconomic profile.

Thus, it should be considered for FC treatment. Its main adverse events are pruritus, erythema, localized edema, and dry skin, leading to a low proportion of patients (3.9–6.1%) withdrawing from therapy [63]. Of note, it should be avoided in patients with asthma that is exacerbated by nonsteroidal anti-inflammatory drugs [38].

In our experience, both elderly and middle-aged patients whose work sees them in direct contact with the public are good candidates for treatment with diclofenac because of its safety profile and lower rate of adverse events compared with other topical drugs, although adherence may be an issue of concern. However, patients appreciate the lack of side effects and are willing to repeat treatment cycles if needed.

# 5.4 Piroxicam

Piroxicam is a nonsteroidal anti-inflammatory drug with a mechanism of action similar to that of diclofenac. It is a potent cyclooxygenase-1 inhibitor and suppresses important proteinases involved in tumor growth [64]. A topical formulation containing 0.8% piroxicam and a broad-spectrum sunscreen applied twice daily for 6 months resulted in significant improvement of AK. Indeed, 55% of patients (95% confidence interval [CI] 43–66) experienced a complete response, with clearance maintained 1-year post-treatment [64]. Adverse events were limited to local irritation [25]. Controlled trials are needed to establish the efficacy and tolerability of this topical treatment compared with standard treatments and to evaluate the antiviral effects of this drug in human papillomavirus (HPV)-associated AK [25].

#### 5.5 Tirbanibulin

Tirbanibulin is a non-adenosine triphosphate competitive Src kinase agent inhibitor that blocks tubulin polymerization in actively dividing cells [39]. A phase II study comparing once-daily application of 1% tirbanibulin ointment to AK of the face and scalp over a 25 cm<sup>2</sup> area for 3 or 5 consecutive days reported better outcomes with the 5-day treatment [39]. This was the selected regimen for two identically designed double-blind phase III trials in which patients were assigned to receive either topical 1% tirbanibulin or vehicle (placebo) ointment once daily. In these studies, the outcomes obtained in the interventional arms were superior to those for vehicle at 2 months, with complete clearance ranging from 44 to 54% of subjects, although most patients developed transient local reactions, and lesions recurred in half of them at 1 year [65]. Recently, the US FDA announced the approval of the drug for the topical treatment of AK and FC on the face or scalp [66].

# **6 Physician-Managed Treatments**

AK treatments such as cryosurgery, chemical peeling, and conventional and daylight PDT are managed by the physician, which may overcome several issues with home-based treatments. These procedures are usually less time consuming than topical treatments and are performed under the supervision of a healthcare professional but require access to a dermatological center.

# 6.1 Cryotherapy

Cryotherapy with liquid nitrogen is a lesion-directed approach widely used for isolated lesions and is performed in an office-based setting without anesthesia; it is generally well tolerated [67]. Using a spray device or a cotton tip applicator, liquid nitrogen at a temperature of - 196 °C is applied in one to three freeze-thaw cycles, establishing intracellular and extracellular ice crystal formation and thereby damaging AK cells through cryolysis, vascular stasis, and apoptosis [40]. The reported complete clearance rate with cryosurgery ranges from 39 to 76%, and higher efficacy has been reported when cryosurgery is combined with other topical treatment [68]. In particular, the association with 3.75% or 5% imiguimod cream, 0.5% or 5% 5-FU cream, 5-FU-SA, 3% diclofenac in 2.5% hyaluronic acid, and PDT with aminolevulinic acid (ALA) or its methyl ester led to a lesion-specific reduction ranging from 73.2 to 89%, with tolerability similar to that with cryosurgery alone [69–72]. The wide variability of cryosurgery efficacy may be due to different cryosurgery techniques and schedules such as the number of freeze-thaw cycles and the distance from the spray to the tip of the AK, as well as a lack of morphologic discrimination among thin/thicker AK considered in the studies [73, 74]. Mild pain, stinging and burning, erythema, and blistering are the more common side effects that may appear during the treatment or be mentioned by the patient; they resolve spontaneously approximately a few days after the procedure [73]. A post-inflammatory localized hypopigmented patch may develop as a sequela of the procedure, especially in individuals with dark skin [75]. Extensive cryosurgery over large areas has been referred to as "cryopeeling," and this can be used to treat multiple AK lesions within FC with the aim of also treating the background damage [75, 76].

# 6.2 Photodynamic Therapy

PDT is a minimally invasive procedure that exerts a selective cytotoxic effect toward malignant cells. Using photosensitizing agents such as 5-ALA and 5-ALA methyl ester (5-MAL), which selectively accumulate in atypical epidermal keratinocytes, reactive oxygen species are generated through

photochemical and photophysical processes, leading to direct cellular damage, necrosis or apoptosis, and vascular endothelial damage [75, 41]. Conventional-PDT (c-PDT) is an effective therapeutic option to treat the FC, with higher response rates (69–93%) observed in the face and scalp than on the forearm and hands (44–80%) [77]. A recurrence rate of up to 24% has been reported at 12 months [78].

In recent years, daylight-PDT (dl-PDT), consisting of application of a photosensitizer followed by exposure to daylight for 2 h, has been shown to provide clinical benefits similar to those observed with c-PDT [43, 44, 79]. The dl-PDT uses natural light with a wavelength of approximately 380-780 nm. The incubation time of the photosensitizer with dl-PDT is 30 minutes, compared with 1-3 h with c-PDT. After 30 minutes, the patient is exposed to the daylight, allowing for a lower and more continuous rate of production and activation of the protoporphyrin IX (pPIX). This continuous activation, rather than the rapid activation of large amounts of pPIX by blue or red light, may explain the lower pain intensity associated with dl-PDT. In most European countries, dl-PDT can be performed from April to September, with a temperature > 10 °C, and cloudy or overcast but not rainy conditions [43]. Using daylight as an irradiation source is not realistic in specific outside settings (e.g., low temperatures or rain), and this procedure may be not adequate for all patients or all anatomical sites (e.g., lower extremities) [80]. Complete clearance with dl-PDT has been reported in 70-89% of cases, and the long-term efficacy of this treatment modality shows a low recurrence rate, varying from 8.7 to 13% at 12 months. To implement the feasibility of dl-PDT, particularly in countries with rainy weather conditions, alternative artificial light sources were considered for AK treatment. Options included a greenhouse (to provide a comfortable atmosphere regardless of outdoor temperatures) or artificial sources of white light [81, 82, 42].

In addition, the 5-ALA patch is currently licensed in Europe for c-PDT, focusing on up to a maximum of six (≤ 1.8 cm) AK on the face and bald scalp. A multicenter real-world clinical study with 5-ALA patch PDT to treat AK of the face, scalp, and/or upper extremities showed complete response in 87% of grade I AK and in 47% of grade II–III lesions. The lower response rate for grade II–III lesions was in line with responses observed with other photosensitizers used in c-PDT or dl-PDT [83].

PDT is easily performed in a physician's office or the outpatient setting, allowing a timetable to be scheduled and monitoring of the overall procedure with comprehensive management. Local skin reactions such as erythema, pain, burning, edema, itching, desquamation, and pustule development are commonly observed during irradiation and in the hours/days immediately after therapy. Notably, pain is a short-term complication that is more frequent during c-PDT than dl-PDT and that can adversely affect long-term

patient satisfaction. Rare adverse events include urticaria, contact dermatitis at the site of photosensitizer application, and erosive pustular dermatosis. Mordon et al. [84] proposed a new protocol that is as efficient as the conventional one, named phosistos protocol (P-PDT), which includes illumination with a tissue-based biophotonic device, resulting in a procedure that is less painful than c-PDT and more available regardless of environmental conditions than dl-PDT [84]. Finally, to overcome the technological limits of currently available instruments, new sources, light-based devices, improved photosensors, measurement devices, and innovative application strategies are being investigated [42, 85].

# 6.3 Chemical Peelings

Chemical peelings have been used for the treatment of multiple or clustered lesions and FC [86, 45]. A recent meta-analysis summarized the results of eight studies to assess the efficacy of different chemical peelings. The use of trichloroacetic acid (TCA) plus Jessner's solution showed lower lesion clearance (risk ratio [RR] 0.92; 95% CI 0.85–0.99; one study; p = 0.03) compared with 5-FU 5% cream monotherapy; at the same time, the study reported a significantly higher clearance rate of AK with the combination of 5% 5-FU and 70% glycolic acid than with 70% glycolic acid monotherapy (RR 5.87; 95% CI 4.39–7.85; one study; p < 0.001). Moreover, 50 and 30% TCA as monotherapy showed lower efficacy than cPDT [87].

#### 6.4 Laser Therapy

Laser therapies play a role in the treatment of single AK lesions and FC, removing the epidermis and papillary dermis containing actinic damage or inducing a full-face skin resurfacing. As monotherapy, despite long healing times, fully ablative laser resurfacing with carbon dioxide and erbiumdoped yttrium aluminum garnet have been found to be more effective than fractional ablative techniques for the treatment of AK [43]. Although clinical trials with large sample sizes are needed, data from the literature suggest that laser resurfacing monotherapy is less effective than PDT and as effective as 5-FU and 30% TCA [88]. The rate of response to treatment is approximately 90%, and the rate of recurrence at 6 months is 10-15% [43]. Limitations of the treatment are related to the cost and possible local skin reactions other than pain, inflammation, pigmentary changes, and delayed skin healing. Both ablative and fractional lasers can be combined with other therapies, such as local agents, to achieve a more effective procedure. A recent review reported that laser therapy appears to be a valid therapeutic option among the nonsurgical approaches in the treatment of actinic cheilitis [89].

# 6.5 Surgery

Surgical excision or a biopsy of AK should always be considered in cases of diagnostic uncertainty or treatment-resistant lesions [90]. In such instances, histological examination may be useful to rule out an incipient invasive cutaneous SCC, and deep shave or surgical excision should be preferred to include the deep skin layers to establish the presence of dermal invasion [75].

# **6.6 Combined Therapies**

The sequential use of different agents or procedures to increase efficacy and possibly decrease side effects has been investigated [91]. However, combined treatment may lead to more complicated procedures that are not always easily accepted or understood by patients, potentially leading to lower treatment adherence. A number of studies investigated a combined therapy focused on PDT associated with topical therapies such as imiquimod, 5-FU, IGM, tazarotene gel, and calcipotriol ointment or with physical treatments such as cryosurgery, microneedling, or laser [91, 92]. However, the quality of evidence ranged from low to very low for outcomes in both field- and lesion-directed therapy, so we cannot assume a greater efficacy and safety of topical treatments combined with PDT compared with monotherapy [92]. Treatment with cryotherapy followed by topical therapies (imiquimod, IGM, 3% diclofenac, 0.5% 5-FU cream, and PDT) for field- and lesion-treatment resulted in higher complete clearance rates than did monotherapy, although the quality of evidence for these results was estimated as low, with a limited confidence in the effect estimated [92]. An interesting alternative approach would be initial treatment with a topical agent, therefore combining it with cryosurgery, especially for difficult-to-treat AK, with the aim to reduce the hypopigmentation sequelae of cryosurgery. To our knowledge, no studies have yet evaluated the suitable benefits of the latter combination.

Surgical excision—either shave or complete excision—is indicated to promote the absorption of subsequent topical drugs applied in hyperkeratotic AK [68]. A case series in organ transplant recipients reported complete and partial AK response with curettage pre-treatment of multiple refractory AK lesions preceding topical treatment with 5% 5-FU and PDT [93]. When curettage, SA, or 40% urea cream followed by PDT were compared, curettage achieved higher response rates, with patients experiencing significantly less pain [94].

# 7 Recent Studies and Ongoing Clinical Trials with Topical Treatments

A number of novel agents are under development with the aim to increase the clearance rate and to reduce local adverse events compared with current AK treatments. The main pharmacologic approaches follow the potential link between HPV-associated AK and target proto-oncogenes supporting the biology of these tumors [95, 96].

# 7.1 Resiguimod

Resiguimod is a topical immune-response modifier that promotes the activation of myeloid dendritic cells and plasmacytoid dendritic cells through a direct mechanism on both TLR7 and TLR8. Based on its pharmacodynamic profile, this drug potentially achieves a higher potency (10-100 times) than imiquimod for the treatment of AK, with a higher production of interleukin-12 and tumor necrosis factor [50]. Four different concentrations (0.01%, 0.03%, 0.06%, and 0.1%) of resiguimod gel were investigated in a phase II study with a dosing regimen of three times per week for 4 weeks in one or two treatment cycles. A high efficacy rate was reported in all study groups (complete clearance rates ranging from 77.1 to 90.3%), with side effects only observed at higher concentrations [97]. Therapy with 0.01% resiguimod gel had the broadest therapeutic window, with a clearance rate of 77% and severe erythema in 17% of patients [97]. These results were supported by a prospective, randomized, partly placebo-controlled, double-blind phase II study, wherein efficacy was significantly higher in all resiguimed treatment groups than with placebo [98]. As expected with the use of an immune-stimulatory therapy, erythema and scabbing were the most common local adverse reactions [97–99]. Future data comparing resiguimed and standard topical therapy are required to define the role of this drug in AK therapy.

#### 7.2 Potassium Dobesilate

Potassium dobesilate is an endothelial stabilizing agent that acts on the inhibition of vascular endothelial growth factor and fibroblast growth factor. The results of a phase II clinical trial evaluating efficacy and safety that was completed in 2018 (NCT03210740) were never released, and it is unclear whether this drug is still under development for AK [100].

# 7.3 Betulinic Acid

Betulinic acid has shown anti-cancer effects through the induction of apoptotic cell death and antiangiogenic activity. A multicenter placebo-controlled study evaluating the

efficacy and tolerability of betulinic acid failed to show superiority to placebo [101].

# 7.4 Paclitaxel

Paclitaxel, under development as a nanoparticle formulation in ointment, is a beta tubulin antagonist (class I) targeting fast proliferating cells in active division. A phase II randomized, double-blind clinical trial (NCT03083470) investigated the safety, tolerability, and efficacy of four different dosages of the product. Although the trial was completed in 2018, the results have not been published.

# 7.5 Celecoxib

A topical formulation containing celecoxib, a nonsteroidal anti-inflammatory drug, was tested in an interventional trial in 2019 (NCT03116698). Different concentrations of the products were applied once or twice daily, with the main outcomes assessed after 16 weeks (12 weeks' treatment and a 4-week treatment-free follow-up period). Since no results have been provided, its clinical utility requires further investigation.

# 7.6 Potassium Hydroxide

An ongoing, three-armed, randomized, double-blind phase III study is evaluating the efficacy and tolerability of 5% potassium hydroxide, a keratolytic agent, versus 3% diclofenac gel and placebo (NCT04552327).

# 8 Discussion

A number of features can affect the choice of AK treatment. When deciding a specific therapeutic approach, it is important to consider a variety of factors, including treatment duration, cosmetic outcome, patient compliance, local adverse reactions, treatment cost, and risk factors for recurrence. First, we recommend clear physician-patient communication that starts with an explanation of the chronic nature of AK, clarifying that a very small percentage of AK may evolve into invasive SCC, thus suggesting treatment of any AK regardless of clinical grading [102]. The ideal AK treatment should clear both clinical and subclinical lesions across the whole FC, and patient adherence represents a crucial factor in their management. As elderly individuals with chronic diseases and multiple morbidities represent a remarkable proportion of the population, interventions to increase medication adherence are important [103].

A multicenter, prospective, real-life study that focused on patient-reported outcomes in AK revealed that effective physician-patient communication may buffer therapyrelated distress, improving patient quality of life, treatment satisfaction, and adherence [104]. However, as reported in a multicenter clinical consensus, the terminology used by the physician is crucial and differs according to the patient's ability to understand, social background, or cognitive decline [20]. In the latter case, the role of a family member or caregiver is fundamental.

An additional important issue is ensuring that the patient fully understands the type and role of local skin reactions to the treatment and, with some drugs such as imiquimod, value them as a positive sign of treatment effectiveness. The choice of patient-managed treatments requires appropriate education and information about the best strategies for the patient and/or family members to manage adverse events. To prevent or treat mild local skin reactions, it may be helpful to suggest the use of soothing and moisturizing agents in combination with therapies. If local reactions are severe, treatment discontinuation may be recommended. Therefore, the use of photographic documentation is suggested at baseline and during treatment to document both clinical benefit and local adverse events. Physician-managed treatments have the advantage of a precise selection of AK, whereas home-based treatments have the limitation that the patient is often unable to identify the specific AK to be treated. To overcome this problem, photographic documentation represents a useful tool to allow the patient to properly manage the topical treatment. In patients with limited life expectancy or for whom the morbidity of treatment outweighs the potential benefits, a "wait and see" strategy may be considered.

Finally, new treatment options are directed toward personalized treatment, taking into consideration the characteristics of both patients and lesions as well as the genetic basis and individual risk of developing an invasive cutaneous SCC. Other factors, such as a close correlation between HPV infection and the development of AK, as well as ongoing research on prognostic genetic markers to reveal high-risk AK, could potentially lead to further treatment options [96].

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