

Higher red blood cell distribution width at diagnose is a simple negative prognostic factor in chronic phase-chronic myeloid leukemia patients treated with tyrosine kinase inhibitors

A retrospective study

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Abstract

The aim of this study was to evaluate the ability of the red blood cell distribution width (RDW) to predict prognosis and treatment response in chronic myeloid leukemia (CML)-chronic phase (CP) patients treated with tyrosine kinase inhibitor (TKIs).

We retrospectively enrolled 93 newly diagnosed CML-CP patients treated with TKIs from 2009 to 2018 at the First Hospital of Lanzhou University. Patients were divided into 2 groups using an RDW of 18.65% determined by receiver operating characteristic curve analysis. We analyzed the correlation of treatment responses and the RDW compared to common scoring systems, as well as the correlation of the RDW with disease outcome, including overall survival (OS) and progression-free survival (PFS), and demographic and laboratory factors affecting outcome. Univariate analysis and Cox regression analysis were used.

The median age of patients was 40 years, and 51 patients (54.8%) were men. A high RDW could predict treatment response at 3 months (P = .03) and 6 months (P = .02). The RDW was significantly lower in patients who achieved molecular response by 3 months (P < .001) and complete cytogenetic response by 6 months (P = .001) than in those who did not respond. Patients with a high RDW (>18.65%, n=35) had significantly worse 5-year OS (77.1% vs 96.6%; P = .008) and PFS (80.0% vs 98.3%; P = .002) than those with a low RDW ($\leq 18.65\%$, n=58). Multivariate analysis demonstrated that a high RDW was an adverse predictor of OS (P = .005, HR (hazard ratio)=9.741) and PFS (P = .009, HR=16.735).

The RDW is a readily available prognostic marker of outcome in patients with CML-CP and can predict treatment response to TKIs. Further larger and prospective studies are required.

Abbreviations: 12M-MMR = major molecular response at 12 months, 3M-EMR = early molecular response at 3 months, 6M-CCyR = complete cytogenetic response at 6 month, AP = accelerated phase, AUC = areas under the curve, BCR-ABL = breakpoint cluster region - abl oncogene, BP = blastic phase, CCyR = complete cytogenetic response, CI = confidence interval, CML = chronic myeloid leukemia, CP = chronic phase, ELN = European Leukemia Net, ELTS = the EUTOS long-term survival, EMR = early molecular response, EUTOS = the European Treatment and Outcome Study, HR = hazard ratio, MMR = major molecular response, NCCN = National Comprehensive Cancer Network, OS = overall survival, PFS = progression free survival, RDW = red blood cell distribution width, ROC = receiver operating curve, TKIs = Tyrosine Kinase Inhibitors, WBC = white blood cell.

Keywords: chronic myeloid leukemia, prognosis, red blood cell volume distribution width, tyrosine kinase inhibitors

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1. Introduction

Chronic myeloid leukemia (CML) accounts for 15% of adult leukemias, with an estimated 8450 new cases each year.^[1] Several early treatments, such as hydroxyurea and interferon- α , had unsatisfactory results.^[2] However, the introduction of tyrosine kinase inhibitors (TKIs) therapy has dramatically improved survival in these patients.^[3] The estimated 5-year survival rate has more than doubled since the introduction of TKIs, from 31% in the early 1990s to around 70% in 2015.^[4] The National Comprehensive Cancer Network (NCCN) recommends imatinib, dasatinib, and nilotinib as first-line therapy for newly diagnosed patients with CML in the chronic phase (CML-CP).^[5] Second-generation TKIs, namely dasatinib and nilotinib, are highly effective for newly diagnosed patients who fail imatinib,^[6,7] and third-generation TKIs, including ponatinib and bosutinib, have been approved for use in patients resistant to first-line therapies.^[8,9] However, although TKIs therapy appears promising, 1130 people still die from CML annually.^[1]

CML is a myeloproliferative neoplasm characterized by the Philadelphia chromosome and BCR-ABL (breakpoint cluster region - abl oncogene) fusion gene, which encodes the oncoprotein BCR-ABL (p210 or p190) with constitutive active tyrosine kinase activity.^[10,11] TKIs treatment targeting BCR-ABL significantly improves the prognosis of CML-CP patients, but side effects, poor adherence, and economic burden decrease its utility. Further, there is no uniform and simple method to evaluate the prognosis and treatment responses of CML patients. To date, the validity of scoring systems is insufficient for predicting prognosis,^[12] and there are few studies of scoring systems for predicting treatment response and clinical efficacy of TKIs.

The red cell distribution width (RDW), a measure of heterogeneity in red blood cell size,^[13] is a routine parameter that can be readily assessed from the complete blood count. In addition to aiding in the diagnosis of anemia, the RDW has recently been reported to be closely related to cardiovascular disease,^[14] infection,^[15] and metabolic syndrome.^[16] The RDW is an independent prognostic factor in numerous solid cancers, including lung cancer,^[17] colon cancer,^[18] breast cancer,^[19] and prostate cancer,^[20] as well as in several types of hematologic malignancies, such as multiple myeloma,^[21] natural killer/T-cell lymphoma,^[22] diffuse large B-cell lymphoma^[23] and CML.^[24] Iriyama et al found that patients with a higher RDW had poorer OS and PFS, although their cutoff was within the normal range, and they did not perform multivariate analyses.^[24] However, the RDW calculation differs widely among most commonly used hematological analyzers.^[13] Therefore, we identified the optimal cut-off value of the RDW by receiver operating curve (ROC) analysis to examine whether the RDW can predict clinical efficacy and treatment response and whether a high RDW is an independent adverse prognostic factor for newly diagnosed CML-CP patients treated with TKIs. Finally, we summarized the causes of a high RDW.

2. Methods

2.1. Patients

We enrolled 93 patients newly diagnosed with CML-CP between 2009 and 2018, and their medical records and laboratory results were collected from the Hematology Department of the First Hospital of Lanzhou University. Our study obtained consent from all patients and the approval of the ethical committee. All patients were diagnosed according to the NCCN criteria.^[5] Patients were treated with any TKIs as initial therapy and were followed up for at least 3 months. Exclusion criteria were the use of interferon- α or any chemotherapy prior to or in combination with TKIs treatment and age < 18 years at diagnosis (Fig. 1). Patients who received hydroxyurea prior to TKIs treatment were included in the study. The RDW at diagnosis was obtained prior to treatment (including hydroxyurea, TKIs, and blood transfusion).

2.2. Assessment of treatment responses

We defined the clinical efficacy of TKIs as first-line treatment in accordance with the NCCN 2019 recommendations.^[5] BCR-ABL^{IS} \leq 10% was defined as early molecular response (EMR), Ph⁺=0 was defined as complete cytogenetic response (CCyR), and BCR-ABL^{IS} \leq 0.1% was defined as major molecular response (MMR). These treatment responses are in accordance with the European Leukemia Net (ELN) 2013 Guidelines.^[25]

2.3. Statistical analysis

The statistical analyses were performed by SPSS 23 and Graphpad Prism 8. OS was defined as the period from the date of diagnosis to the date of any cause of death or the last follow-up. PFS was defined as the period from the date of diagnosis to



progression to accelerated phase (AP) or blastic phase (BP) or the last follow-up. ROC curve analysis was used to determine the optimal cut-off value of the RDW for predicting OS and PFS. Continuous variables were analyzed using independent sample t-tests, and more than 2 independent samples were analyzed using one-way analysis of variance. Categorical variables were evaluated using the chi-square or Fisher exact test. For survival analysis, Kaplan–Meier curves with a log-rank test were used. Prognostic variables for OS and PFS were analyzed using the Cox proportional hazards model. P < .05 was accepted as statistically significant.

3. Results

3.1. Patients characteristics

The main baseline characteristics of the 93 patients studied are listed in Table 1. The median age was 40 years (range, 19–83 years). Fifty-one patients (54.8%) were men. ROC curve analysis

indicated that the optimal cut-off value of the RDW was 18.65% for both OS and PFS (area under the curve: 0.761 and 0.784, respectively; 95% confidence interval: 0.646-0.877 and 0.661-0.907, respectively; sensitivity: 80.0% and 87.5%, respectively; and specificity: 67.5% and 67.1%, respectively; P = .007 and .008, respectively; Fig. 2). Therefore, the patients were divided into lowand high-RDW groups using a cutoff of 18.65%. There were 58 patients in the low RDW group ($\leq 18.65\%$) and 35 patients in the high RDW group (>18.65%). The patients with a high RDW had higher WBC counts (P = .03), lower hemoglobin levels (P = .001), and a higher rate of splenomegaly (P = .004). However, there were no significant correlations between RDW and age, sex, basophil, eosinophil, blast, and marrow blast counts, platelet count, or lactate dehydrogenase. The initial treatment agent also did not differ between the 2 groups. With regard to scoring systems, risk stratification by the EUTOS score was associated with the RDW (P < .001), but there was no significant difference in the Sokal, Hasford, or ELTS scores between the 2 groups.

Table 1

Patient baseline characteristics (overall and divided according to 18.65% RDW cutoff).

		Divided by RDW (%)				
Variable	Overall, n=93	Low RDW (≤18.65%) n=58	High RDW (>18.65%) n=35	P value		
RDW (%), median (range)	18 (14.6–27.7)	17.5 (14.6–18.6)	19.7 (17.3–27.7)			
Age (years), median (range)	40 (19–83)	45.5 (19-83)	42 (19–73)	.281		
Sex, number (%)				.934		
Male	51 (54.8)	32	19			
Female	42 (45.2)	26	16			
WBC ($\times 10^{9}$ /L), median (range)	130.57 (5.13-566)	116.26 (18.47-414.13)	212.33 (5.13-566)	.025		
Eos (%), median (range)	2 (0.1–6.7)	2 (0.2–5.1)	2 (0.1–6.7)	.708		
Bas (%), median (range)	4.75 (0-20)	5.3 (0-14)	4.5 (0-20)	.802		
Hemoglobin (g/dl), median (range)	10.0 (5.5–16.5)	9.3 (5.5–13.1)	8.8 (7.2–16.5)	.001		
MCV (fl), median (range)	92.2 (78.3–103.2)	92.8 (83.2-103.2)	90.9 (78.3–102.3)	.099		
MCH (pg), median (range)	29.8 (23.4–36.3)	29.85 (24.8–36.3)	29.8 (23.4–36.2)	.203		
MCHC (%), median (range)	319 (287–367)	321.5 (294–352)	316 (287–367)	.911		
Platelet (×10 ⁹ /L), median (range)	279 (4-1231)	268 (68–1106)	321 (4–1231)	.442		
PDW (%),median (range)	15 (9.5–22.2)	15 (11–22.2)	14.75 (9.5-21.2)	.761		
LDH (U/L), median (range)	854.6 (180.2-2072)	876 (259.8–2072)	818.5 (180.6–1830)	.521		
Blast (%), median (range)	2 (0-8)	0 (0-5)	0 (0-8)	.938		
Marrow Blast (%), median (range)	2 (0-9)	2 (0-9)	2 (0.5–8)	.686		
Spleen size (cm), median (range)	6.9 (0-25)	5.5 (0-25)	10 (0-21)	.004		
Sokal score, number (%)				.229		
Low-risk	45 (48.4)	32	13			
Intermediate-risk	38 (40.9)	21	17			
High-risk	10 (10.7)	5	5			
Hasford score, number (%)				.231		
Low-risk	52 (55.9)	34	18			
Intermediate-risk	38 (40.9)	22	16			
High-risk	3 (3.2)	2	1			
EUTOS score, number (%)				.000		
Low-risk	88 (94.6)	56	32			
High-risk	5 (5.4)	2	3			
ELTS score, number (%)				.112		
Low-risk	42 (45.2)	31	11			
Intermediate-risk	36 (38.7)	19	17			
High-risk	15 (16.1)	8	7			
Treatment, number (%)				.680		
Imatinib	75 (80.6)	47	28			
Dasatinib	8 (8.6)	4	4			
Nilotinib	10 (10.8)	7	3			

Bas = basophils, Eos = eosinophil, LDH = lactic dehydrogenase, MCH = Mean Corpuscular Hemoglobin, MCHC = Mean Corpuscular Hemoglobin Concentration, MCV = mean corpuscular volume, PDW = platelet distribution width, RDW = red blood cell volume distribution width, WBC = white blood cell.



Figure 2. ROC analysis for determining the optimal cut-off value in predicting OS (A) and PFS (B) for RDW.

3.2. The relationship between RDW and treatment response

We examined whether the RDW could evaluate the treatment response according to ELN2013. As shown in Table 2, the high RDW group had significantly worse treatment responses at 3 months (P=.03) and 6 months (P=.02), whereas there was no significant difference in treatment responses between the 2 groups at 12 months (P = .23). As shown in Figure 3, patients with EMR at 3 months (3M-EMR) $(17.65 \pm 1.19\% \text{ vs } 20.68 \pm 1.55\%)$, P < .001, t-test, Fig. 3A) and CCyR at 6 months (6M-CCyR) $(17.87 \pm 1.68\% \text{ vs } 19.42 \pm 2.10\%, P < .001, t\text{-test}, Fig. 3B)$ had lower RDW than those who did not. The RDWs were not significantly different in patients with and without MMR at 12 months (12M-MMR) ($18.31 \pm 2.01\%$ vs $18.70 \pm 1.73\%$, P = .42, t-test, Fig. 3C). For comparison, we analyzed scoring systems (Sokal, Hasford, EUTOS, and ELTS scores) to determine their ability to evaluate the clinical efficacy of TKIs. As shown in Table 3, the Sokal score (P = .04) could predict 3M-EMR, and the ELTS score could predict 3M-EMR (P=.03) and 12M-MMR (P=.03). None of the scoring systems could predict 6M-CCyR.

3.3. Impact of the RDW on clinical outcomes

The median follow-up time was 41 months (range, 3–95 months). During follow-up, 10 (10.8%) patients died, and 8 (8.60%) patients progressed to AP or BP. Patients with a high RDW had a significantly lower 5-year OS (77.1% vs 96.6%; P = .008) and PFS (80.0% vs 98.3%; P = .002) than those with a low RDW (Fig. 4). Results of the univariate analysis for the prognostic factors influencing OS and PFS are reported in Table 4. High RDW, older age, failure to achieve 3M-EMR, 6M-CCyR, and 12M-MMR, Sokal score (intermediate and high risk), and ELTS score (high risk) were significantly associated with OS and PFS. Hasford score (intermediate and high risk) was a predictor of PFS (P = .04) but not OS (P=.06). The basophil, eosinophil, blast, and marrow blast counts, hemoglobin levels, spleen size, and EUTOS score were not significantly associated with either PFS or OS. In multivariate analysis, which included all of the parameters having a P value <.2in the univariate analysis, RDW and age at diagnosis were significant independent predictors of OS and PFS (Table 5).

4. Discussion

The retrospective study showed that high RDW at diagnosis could predict poor prognosis of CML-CP and RDW was associated with treatment responses, especially at 3 months and 6

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Associations of RDW and treatment responses according to European Leukemia Net 2013 recommendations.						
Monitor time	Treatment Response	No.of patients	High-RDW	Low-RDW	P Value	
3months	optimal	49	17	32	.034	
	warning	12	8	4		
	failure	5	4	1		
6months	optimal	55	15	40	.019	
	warning	12	7	5		
	failure	9	6	3		
12months	optimal	42	12	30	.231	
	warning	9	5	4		
	failure	19	8	11		



Figure 3. Baseline RDW value in patients with CML-CP were divided according to the clinical efficacy. (A) early molecular response by 3 months (3M-EMR), (B) complete cytogenetic response by 6 months (6M-CCyR), (C) major molecular response by 12 months (12M-MMR).

months, uniformly patients with 3M-EMR and 6M-CCyR had lower RDW. To compare the value of the RDW in evaluating the clinical efficacy of TKIs, we also evaluated 4 scoring systems. We found that the Sokal and ELTS score could predict 3M-EMR, and only the ELTS score could predict 12M-MMR. Therefore, combining the RDW with the Sokal score and the ELTS score could better predict the clinical efficacy of TKIs in CML-CP. To our knowledge, there has been few studies done.

RDW is a better predictor of prognosis than other laboratory parameters in the general population. Previous studies revealed that a higher RDW is associated with increased mortality risk^[26] and is a poor prognostic factor in neoplastic diseases,^[27] even in some hematological malignancies,^[21–24] but the exact mechanism has not been clearly elucidated. It is thought that an

Table 3

increased RDW leads to a profound deregulation of erythrocyte homeostasis by affecting erythrocyte production and survival.^[28] Numerous studies have reported a positive correlation between the RDW and a variety of inflammatory markers.^[29] Demirkol et al found that inflammation impairs erythropoiesis and causes changes in red blood cell maturation, which contributes to an increase in the RDW.^[30] Therefore, an elevated RDW might be a bridge between inflammation and tumorigenesis, thereby correlating to the poor prognosis of cancer patients.^[31] We examined the association between the RDW and other clinical characteristics of CML patients and found that a higher RDW was closely related with WBC, Hb, spleen size, and EUTOS score but not with age or gender. This is consistent with the results of Iriyamas study.^[24] The RDW has been shown to increase with

Evaluation of the clinical efficacy by score systems.							
Score systems	Risk stratification	3M-EMR (n=66)	P value	6M-CCyR (n=76)	P value	12M-MMR (n=70)	P value
Sokal score	Low-risk	32/37	.036	30/40	0.763	23/37	.926
	Intermediate-risk	12/20		20/28		14/23	
	High-risk	5/9		5/8		5/10	
Hasford score	Low-risk	30/39	.826	32/42	0.709	24/38	.586
	Intermediate-risk	17/24		21/31		17/29	
	High-risk	2/3		2/3		1/3	
EUTOS score	Low-risk	45/61	.759	52/75	0.810	40/66	.674
	High-risk	4/5		3/4		2/4	
ELTS score	Low-risk	27/32	.028	28/40	0.815	25/33	.034
	Intermediate-risk	16/21		20/26		14/29	
	High-risk	6/13		7/10		3/8	



age,^[32] but we did not observe this in our findings. This may be because of the low median age of the patients included in our study.

The NCCN guidelines state that patients who achieve EMR by 3 or 6 months generally have favorable outcomes, but MMR is not a significant prognosticator of long-term outcome in patients who achieve stable CCyR.^[5] We found that the RDW could predict the treatment responses at 3 and 6 months but not 12

months, and the RDW at diagnosis was significantly lower in patients who achieved 3M-EMR and 6M-CCyR. However, the RDW was not significantly different in patients who did not achieve 12M-MMR. Iriyama observed dynamic changes in the RDW before TKIs treatment and 1, 3 and 6 months after TKIs treatment. They found that the RDW was transiently elevated after 1 month but declined at 3 months and 6 months. They found no change at 12 months.^[24] In our study, 60% of patients

Table 4

Univariate analyses for PFS and OS.

	PFS			0\$		
	HR	95%CI	P Value	HR	95%Cl	P Value
RDW (>18.65%)	12.22	2.896-51.59	0.002	7.303	1.998-26.69	.008
Age (≥50 years)	6.103	1.397-26.66	0.011	4.810	1.283-18.03	.011
BAS (≥3%)	0.799	0.116-5.516	0.833	0.628	0.111-3.554	.655
EOS (≥5)	-	-	0.294	-	-	.584
Hb (<10/L)	1.729	0.410-7.285	0.672	0.683	0.193-2.413	.354
Marrow Blast (>0%)	-	-	0.208	1.551	0.269-8.928	.673
Blast (>0%)	1.781	0.422-7.520	0.432	1.696	1.470-6.117	.398
Spleen size (>0cm)	1.336	0.202-8.834	0.785	1.635	0.239-9.115	.637
Sokal socre (intermediate and high risk)	7.605	1.900-30.44	0.025	4.302	1.244-14.87	.044
Hasford socre (intermediate and high risk)	4.587	1.112-18.93	0.040	3.433	0.971-12.14	.056
EUTOS socre (high risk)	2.101	0.123-35.90	0.477	1.669	0.129-21.63	.623
ELTS socre (high risk)	21.23	2.097-215.0	0.010	5.592	1.750-41.70	.002
NO EMR-3M	5.251	2.355-29.74	0.009	3.473	1.898-33.36	.026
NO CCyR-6M	1.875	1.278-20.31	0.037	2.318	2.220-7.911	.049
NO MMR-12M	1.139	1.010-16.96	0.044	6.509	2.294-7.749	.022

Table 5

Multivariate analyses for PFS and OS.

	PFS			0\$		
	HR	95% CI	P Value	HR	95% CI	P Value
RDW (>18.65%)	16.74	2.014-139.1	.009	9.741	2.012-47.16	.005
Age (≥50 years)	8.603	1.704-43.45	.009	6.581	1.658-26.13	.007
Sokal socre (intermediate and high risk)	_	-	.669	-	-	.911
Hasford socre (intermediate and high risk)	_	-	.812	-	-	.871
ELTS socre (high risk)	_	-	.207	-	-	.187
NO EMR-3M	_	-	.385	-	-	.421
NO CCyR-6M	_	-	.673	-	-	.545
NO MMR-12M	_	-	.076	-	-	.082

achieved 12M-MMR, which was lower than the proportions achieving 3M-EMR (74.2%) and 6M-CCyR (72.4%). If we can observe that the RDW decreases at 12 months after TKIs treatment, it suggests that RDW can also predict the treatment response at 12 months. It has been reported that individuals harboring somatic mutations in IDH1/2, TET2, or ASXL1 have higher RDW, and these somatic mutations are associated with an elevated risk of hematological disorders.^[33] Importantly, mutations in IDH1/2, TET2, and ASXL1 have been detected in CML patients, and they may also contribute to progression in CML.^[34] We hypothesized that somatic mutations result in an increased RDW and progression in CML. Therefore, the RDW, a marker that is easy to assess clinically, will be useful for the early identification of CML.

We further evaluated whether the RDW was an independent prognostic factor of OS and PFS. Log-rank test showed that age, RDW, clinical responses to TKIs (3M-EMR, 6M-CCyR, and 12M-MMR), the Sokal score, and the ELTS score were related to OS and PFS, although only the RDW and age were significant independent predictors in the multivariate Cox analysis. Therefore, our study results demonstrated the usefulness of the RDW as a prognostic factor. CML is a specific disease in which the CML stem cell has the potential to differentiate into erythroid lineage cells, resulting in the involvement of malignant clone-derived erythropoiesis.^[35] Monika et al concluded that persistent activation of erythropoiesis through IGF-1/mTOR results in heterogeneity in red cell sizes, namely an increased RDW. Therefore, the RDW might be a marker of IGF-1/mTOR signaling, and the impact of RDW on mortality might be driven through IGF-1/mTOR signaling.^[27]

There are several limitations in this analysis. First, this was a retrospective study in a single center with a small sample size. Second, nonadherence is common in CML patients and leads to treatment failure and poor outcomes. Third, we did not analyze the correlation between RDW and inflammatory markers or evaluate the RDW dynamically during TKIs treatment but only focused on the RDW at diagnosis.

5. Conclusion

Our study found that a high RDW is a readily available prognostic marker of poor outcome in patients with CML-CP. The RDW combined with the Sokal score and the ELTS score could be a good predictor of the clinical efficacy of TKIs in CML-CP patients. Our results suggest that the RDW should be given more attention in the clinic.

Author contributions

Conceptualization: Xia-Li Mao, Ya-Ming Xi. Data curation: Li-Na Wang, Ming-Feng Jia. Methodology: Long Zhao, Ming Li. Writing – original draft: Xia-Li Mao, Hao Zhang. Writing – review & editing: Zi-Jian Li.

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