Cells in the Polyaneuploid Cancer Cell State are Pro-1 **Metastatic** 2 3 Mikaela M. Mallin^{1,2}*, Louis T.A. Rolle¹, Michael J. Schmidt³, Shilpa Priyadarsini Nair¹, Amado J. Zurita⁴, Peter Kuhn^{3,5,6,7,8,9}, James Hicks^{3,5,9}, Kenneth J. Pienta^{1,2}, 4 5 Sarah R. Amend^{1,2} 6 7 8 ¹Cancer Ecology Center, James Buchanan Brady Urological Institute, Johns Hopkins 9 Medical Institute, Baltimore, MD, USA 10 11 ²Cellular and Molecular Medicine Graduate Training Program, Johns Hopkins School of 12 Medicine, Baltimore, MD, USA 13 ³Convergent Science Institute in Cancer, Michelson Center for Convergent Bioscience, 14 15 Dornsife College of Letters, Arts and Sciences, University of Southern California, Los 16 Angeles, CA, USA 17 ⁴Department of Genitourinary Medical Oncology, The University of Texas MD Anderson 18 19 Cancer Center, Houston, Texas 20 ⁵Department of Biological Sciences, Dornsife College of Letters, Arts, and Sciences, 21 University of Southern California, Los Angeles, CA, USA 22 23 24 ⁶Department of Biomedical Engineering, Viterbi School of Engineering, University of 25 Southern California, Los Angeles, CA, USA 26 27 ⁷Department of Aerospace and Mechanical Engineering, Viterbi School of Engineering, 28 University of Southern California, Los Angeles, CA, USA 29 30 ⁸Institute of Urology, Catherine & Joseph Aresty Department of Urology, Keck School of 31 Medicine, University of Southern California, Los Angeles, CA, USA 32 33 ⁹Norris Comprehensive Cancer Center, Keck School of Medicine, University of Southern 34 California, Los Angeles, CA 90033, USA 35 36 37 *Correspondence: 38 Mikaela M. Mallin 39 Mmallin1@jhmi.edu 40 41 Authors' Contributions: M.M.M: Conceptualization, Formal Analysis, Investigation, Methodology, 42 43 Validation, Visualization, Writing – Original Draft: L.T.A.R: Investigation, Writing – 44 Review and Editing; M.J.S: Formal Analysis, Investigation, Visualization, Writing – 45 Review and Editing; S.P.N: Investigation, A.J.Z: Writing-Review and Editing; P.K: 46 Funding Acquisition, Writing – Review and Editing; J.H: Supervision, Funding

- 47 Acquisition; K.J.P: Conceptualization, Funding Acquisition, Writing Review and
- 48 Editing; S.R.A: Funding Acquisition, Writing Review and Editing.
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- 57 Keystone Biopharma, Inc.
- 58

59 Ethics Statement:

60 All animal experiments were reviewed and approved by the Johns Hopkins 61 Animal Care and Use Committee. Human elements of this study were approved by the 62 corresponding institutional review boards and were conducted in accordance with 63 ethical principles founded in the Declaration of Helsinki. All patients gave written

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- 65

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81

82 Data Availability Statement:

Nearly all data generated in this study are available within the article and its supplementary data files. Detailed data regarding the 247-panel cytokine array are available upon request from the corresponding author.

86

87 Abstract:

88 There remains a large need for a greater understanding of the metastatic

- 89 process within the prostate cancer field. Our research aims to understand the adaptive
- 90 ergo potentially metastatic responses of cancer to changing microenvironments.
- 91 Emerging evidence has implicated a role of the Polyaneuploid Cancer Cell (PACC)
- 92 state in metastasis, positing the PACC state as capable of conferring metastatic

93 competency. Mounting *in vitro* evidence supports increased metastatic potential of cells

94 in the PACC state. Additionally, our recent retrospective study of prostate cancer

95 patients revealed that PACC presence in the prostate at the time of radical

96 prostatectomy was predictive of future metastatic progression. To test for a causative

97 relationship between PACC state biology and metastasis, we leveraged a novel method

98 designed for flow-cytometric detection of circulating tumor cells (CTCs) and

99 disseminated tumor cells (DTCs) in subcutaneous, caudal artery, and intracardiac

100 mouse models of metastasis. This approach provides both quantitative and qualitative

101 information about the number and PACC-status of recovered CTCs and DTCs. Collating

102 data from all models, we found that 74% of recovered CTCs and DTCs were in the

PACC state. *In vivo* colonization assays proved PACC populations can regain
 proliferative capacity at metastatic sites following dormancy. Additional direct and

105 indirect mechanistic *in vitro* analyses revealed a PACC-specific partial Epithelial-to-

106 Mesenchymal-Transition phenotype and a pro-metastatic secretory profile, together

107 providing preliminary evidence that PACCs are mechanistically linked to metastasis.

108

109 Statement of Significance:

110 We provide the first evidence that cells in the polyaneuploid cancer cell state 111 contribute to increased metastatic competency *in vivo*.

112113 Keywords:

Polyaneuploid Cancer Cell (PACC), Polyploid Giant Cancer Cell (PGCC),
 Metastasis, Metastatic Competency, Circulating Tumor Cell (CTC), Disseminated
 Tumor Cell (DTC), partial-Epithelial-to-Mesenchymal-Transition (pEMT), *in vivo* Metastatic Models

118

119 **I. Introduction:**

120

Though early detection of prostate cancer favors diagnosis of eradicable 121 122 localized disease, metastatic prostate cancer remains lethal and incurable. Metastatic 123 disease arises when metastatically-competent cells in the primary tumor i) invade local 124 tissue, ii) intravasate into the vasculature, iii) survive circulatory transit, iv) extravasate 125 into a distant organ, and v) colonize that organ (1). In 2024, it is projected that over 126 35,000 men in the US will die from metastatic prostate cancer (2). Clearly, there 127 remains a large need for a greater understanding of the metastatic process within the 128 prostate cancer field. One approach relies on understanding how tumor 129 microenvironmental stressors constantly influence the adaptive potential of cancer cells, 130 potentially driving phenotypes with increased metastatic potential. 131 Emerging evidence has highlighted the role of the Polyaneuploid Cancer Cell (or 132 PACC) state as a phenotype of metastatically competent cells (3-7). Cells in the PACC 133 state (also termed Polyploid Giant Cancer Cells, Multinucleate Giant Cells, and

134 Pleomorphic Cells) exhibit a transient and adaptive cellular response to genotoxic

stress. Most notably, the PACC state is characterized by an increase in genomic

136 content coincident with an indefinite pause in cell division (8). Canonically, it is

137 understood that genotoxic stress results in a G2/M cell cycle checkpoint stall that allows

138 for an attempt at genomic repair, and in the event of failure, promotes apoptosis. Cells

139 in the PACC-state adopt an interphase-restricted cell cycle following an expected 140 stress-induced G2/M pause (9-11). This alternative cell cycle pattern, termed an 141 endocycle, consists of subsequent cycles of G1, S, and G2 phases without any 142 intervening M phases (12). In addition to explaining the increase in genomic content and 143 lack of cell division, an endocycle also explains the vast nuclear and cytoplasmic 144 enlargement typical of cells in the PACC state, which together create a distinct 145 morphological phenotype useful in identifying PACCs in cell culture as well as 146 histopathologic contexts. Indeed, these morphological features have been frequently 147 used as markers of the PACC state phenotype, which has been observed to arise in 148 various cell lines (prostate, breast, ovarian, brain, and melanoma, among others) in 149 response to multiple classes of anti-cancer stressors (13-18).

150 We and others have published data supporting that cells in the PACC state have 151 increased metastatic potential. Functionally, Xuan et. al. has reported that breast cancer 152 MDA-MB-231 PACCs exhibit a persistent migratory phenotype driven by an enriched 153 Vimentin filament network (19, 20). More recently, we have shown that prostate cancer 154 PC3 PACCs demonstrate an identical motility phenotype to that observed by Xuan et al. 155 that can be influenced by presence of a chemotactic gradient (21). In mice, Zhang et. al. showed that serial metastatic passage of PC3 cells increased not only the cells' 156 157 metastatic rates but also their percentage PACC makeup with each cycle of selection 158 (22).

159 Clinical research has also indicated a potential role for PACCs in contributing to 160 metastasis. Stromal-invasive PACCs identified by histology were more frequently 161 identified in patients with metastatic (vs. nonmetastatic) ovarian cancer: PACCs were found in 18/21 high-grade primary tumors from patients with metastases, but only 6/26 162 163 low-grade primary tumors from patients without metastasis (23). Similar trends have 164 been reported in prostate cancer; of 27 patients with PACC-positive cases of prostate 165 cancer, all 27 had Gleason-scores of 9 or 10, indicating that presence of cells in the 166 PACC state is linked to more aggressive disease (24). An independent study published 167 nearly identical findings: of 30 patients presenting with PACC-positive cases of prostate 168 cancer, all 30 had Gleason-scores of 9 or 10, and 11 patients were dead at a median of 169 8 months after diagnosis (25). The Michigan Legacy Tissue program identified PACCs 170 in all 16 osseous and non-osseous metastatic sites of 5 randomly selected cases of prostate cancer, and most PACCs identified were concentrated around tumor hotspots 171 172 (26). Most recently, we reported that presence of PACCs in the primary tumor at the 173 time of radical prostatectomy was predictive of future metastatic progression in men 174 with prostate cancer (27). These studies reveal a correlation between PACC state 175 biology and metastasis.

176 To test for a causative relationship between PACC state biology and metastasis, 177 we leveraged our recently published flow cytometry method designed for the detection 178 of rare circulating tumor cells (CTCs) and disseminated tumor cells (DTCs) in metastatic 179 mouse models (28). This approach is powerful because it provides both quantitative and 180 gualitative information about the number and PACC-status of metastasizing 181 CTCs/DTCs recovered from animal tissues. We used various in vivo models to test 182 distinct steps of the metastatic cascade. Measurement of spontaneous metastasis of 183 blood CTCs and distant organ DTCs from subcutaneous tumors tested invasion, 184 intravasation, and circulation survival (for CTCs), as well as extravasation (for DTCs).

185 Measurement of DTCs from caudal artery and tail vein injections specifically tested

186 extravasation. Evaluation of primary tumor growth in subcutaneous models tested

187 dormancy and colonization. Lastly, measurement of metastatic lesion outgrowth

following intracardiac injection tested circulation survival, extravasation, dormancy, andcolonization.

190 Across the various models, 75% of recovered CTCs and 72% of recovered DTCs 191 were in the PACC state, as defined by a DNA content greater than 4N (>4N). Two in 192 *vivo* colonization assays proved that PACC populations can regain proliferative capacity 193 following long periods of dormancy, a phenomenon frequently observed and yet 194 inadequately understood in the clinic. In vitro studies revealed a PACC-specific partial-195 Epithelial-to-Mesenchymal-Transition (pEMT) as a likely mechanism of increased 196 metastatic behavior in PACCs. Notably, PACCs identified in the blood of human 197 prostate cancer patients also demonstrated a pEMT phenotype characterized by co-198 expression of EpCAM and Vimentin. Additionally, an analysis of PACC-conditioned 199 media and its effects on nonPACC cells indicated that a PACC-specific pro-metastatic 200 secretory phenotype may increase metastatic potential of nonPACCs. Together, our 201 results provide strong evidence that the clinically observed links between PACC 202 presence and risk of metastasis move beyond mere correlation. Our data point to a 203 combination of direct and indirect mechanisms that together support a causative 204 relationship between PACCs and increased metastatic risk. 205

206 II. Results:

207 208

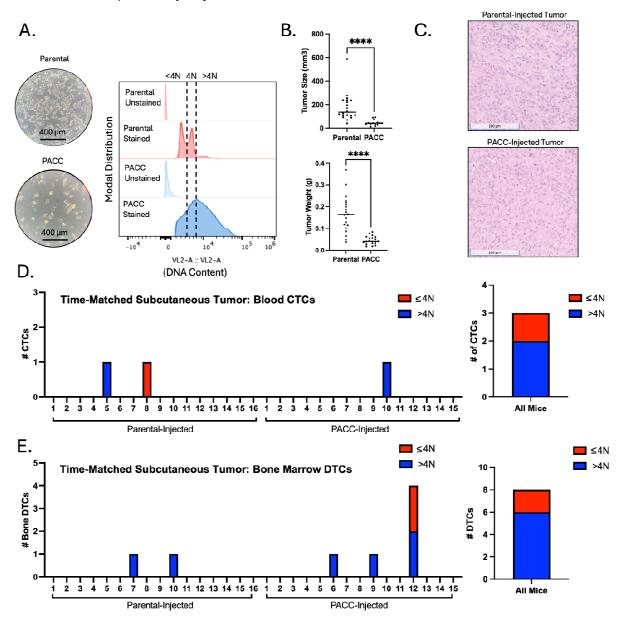
The majority of circulating tumor cells are in the PACC state

We used size-matched and time-matched subcutaneous murine metastasis
 models to measure the differential metastatic potential of PACCs through evaluation of
 CTCs recovered from the blood. Mice were injected subcutaneously with either parental
 cells or PACC-enriched cells confirmed to have increased ploidy at the population level
 (Figure 1A, 2A).

215 In a time-matched model, blood from each mouse was collected and analyzed 6 216 weeks following tumor cell injection. At experimental endpoint, parental-injected mice produced larger tumors than PACC-injected mice (Figure 1B) probably (?) due to the 217 218 transiently nonproliferative phenotype of PACCs abundant in the PACC-enriched 219 population. At experimental endpoint, the proportion of PACCs to nonPACCs in each 220 tumor equilibrated to similar levels (Figure 1C, appreciable by comparison of cell size). 221 In total, 3 CTCs were recovered, 2 of which were in the PACC state (66%) (Figure 1D, 222 Supplemental Figure S1).

To increase the number of recoverable CTCs, we repeated the experiment using a tumor size-matched model, in which the experimental endpoint of each mouse was independently determined. Blood was collected and analyzed when tumors reached approximately 350 mm³ (Figure 2B). Again, at experimental endpoint, the proportion of PACCs to nonPACCs in each tumor equilibrated to similar levels (Figure 2C, appreciable by comparison of cell size). In total, 33 CTCs were recovered, 25 of which were in the PACC state (75%) (Figure D, Supplemental Figure S3). Altogether, these

- 230 data confirm that i) PACCs can survive as CTCs in the context of spontaneous
- metastasis, and ii) the majority of CTCs recovered in this context are in the PACC state.



232

233 **Figure 1**:

Time-matched, subcutaneous injection of PC3-GFP-Luc parental population vs.
 PACC-enriched population: A) Light microscopy photos and flow-cytometric ploidy
 analysis of injected cells per injection group. B) Tumor volume and tumor weight
 measurements per injection group at experimental endpoint. C) Representative H&E
 photos of primary tumors per injection group. D) Enumeration of CTCs sourced from the
 blood of each animal and quantification of % >4N CTCs vs. ≤ 4N CTCs. E) Enumeration

6

of DTCs sourced from the bone marrow of each animal and quantification of % >4N

241 DTCs vs. \leq 4N DTCs.

1	SpecimenID	Count	≤4N¦>4N	SpecimenID	Count
	Uninjected Blood - GFP(+) Gate	13481		Unstained Parental Cells	13242
	Uninjected Blood + GFP(+) Gate	0		Uninjected Blood + GFP(+) Gate	
٨	Parental Cells	12651	h.	Parental Cells	1265
T.	Uninjected Blood + Parental Spike	6041	4	Uninjected Blood + Parental Spike	604
Lumaran	PACCs	8440		PACCs	844
formand	Uninjected Blood + PACC Spike	7024	1	Uninjected Blood + PACC Spike	702
1	Mock-Injected Mouse 1	0		Mock-injected Mouse 1	
	Mock-Injected Mouse 2	0	į	Mock-injected Mouse 2	
	Mock-Injected Mouse 3	0		Mock-injected Mouse 3	
	Mock-Injected Mouse 4	0	1	Mock-injected Mouse 4	
	Mock-Injected Mouse 5	0		Mock-Injected Mouse 5	
	Mock-Injected Mouse 6	0	i	Mock-Injected Mouse 6	
		0			
	Mock-Injected Mouse 7		i i	Mock-injected Mouse 7	
	Mock-Injected Mouse 8	0		Mock-Injected Mouse 8	
	Parental-Injected Mouse 1	0	i	Parental-Injected Mouse 1	
	Parental-Injected Mouse 2	0		Parental-Injected Mouse 2	-
	Parental-Injected Mouse 3	0	i	Parental-Injected Mouse 3	
	Parental-Injected Mouse 4	0		Parental-Injected Mouse 4	
	Parental-Injected Mouse 5	1.00		Parental-Injected Mouse S	1.0
	Parental-Injected Mouse 6	° 5		Parental-Injected Mouse 6	
	Parental-Injected Mouse 7	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0		Parental-Injected Mouse 7	
	Parental-Injected Mouse 8	1.00		Parental-Injected Mouse 8	1.
	Parental-Injected Mouse 9	ist o		Parental-Injected Mouse 9	
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	Parental-Injected Mouse 12	ο Σ		Parental-Injected Mouse 12	
	Parental-Injected Mouse 13	0		Parental-Injected Mouse 13	1
	Parental-Injected Mouse 14	0	i i	Parental-Injected Mouse 14	1
	Parental-Injected Mouse 15	0		Parental-Injected Mouse 15	
	Parentai-Injected Mouse 16	0		Parental-Injected Mouse 16	1
	PACC-Injected Mouse 1	0		PACC-Injected Mouse 1	
	PACC-Injected Mouse 2	0	i	PACC-Injected Mouse 2	
	PACC-Injected Mouse 3	0		PACC-Injected Mouse 3	
	PACC-Injected Mouse 4	0		PACC-Injected Mouse 4	
	PACC-Injected Mouse 5	0		PACC-Injected Mouse 5	
	PACC-Injected Mouse 6	0	1	PACC-Injected Mouse 6	
	PACC-Injected Mouse 7	0		PACC-Injected Mouse 7	
	PACC-Injected Mouse 8	0		PACC-Injected Mouse 8	
	PACC-Injected Mouse 9	0	1	PACC-Injected Mouse 9	+
-		1.00			
	PACC-Injected Mouse 10		i .	PACC-Injected Mouse 10 PACC-Injected Mouse 11	1.0
	PACC-Injected Mouse 11	0			
	PACC-Injected Mouse 12	0	1	PACC-Injected Mouse 12	
	PACC-Injected Mouse 13	0		PACC-Injected Mouse 13	
	PACC-Injected Mouse 14	0	i	PACC-Injected Mouse 14	
	PACC-Injected Mouse 15	0	1	PACC-Injected Mouse 15	

A. Time-Matched Subcutaneous Tumor: Blood CTCs

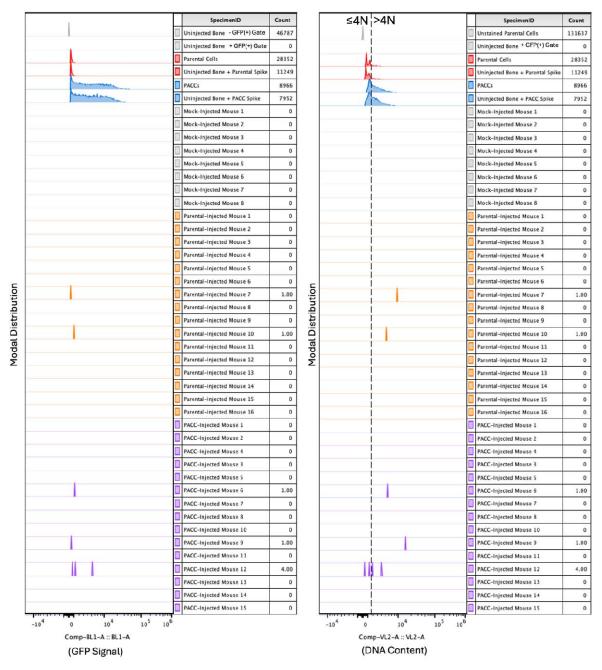
B. Time-Matched Subcutaneous Tumor: Blood CTCs

242 Supplemental Figure S1:

243 Time-matched, subcutaneous injection of PC3-GFP-Luc parental population vs.

244 PACC-enriched population: A) Raw cytometric data reporting GFP+ signal across all

blood samples. B) Raw cytometric data reporting DNA content of GFP+ cells across allblood samples.



A. Time-Matched Subcutaneous Tumor: Bone DTCs

B. Time-Matched Subcutaneous Tumor: Bone DTCs

247 Supplemental Figure S2:

Time-matched, subcutaneous injection of PC3-GFP-Luc parental population vs.
 PACC-enriched population: A) Raw cytometric data reporting GFP+ signal across all
 bone marrow samples. B) Raw cytometric data reporting DNA content of GFP+ cells
 across all bone marrow samples.

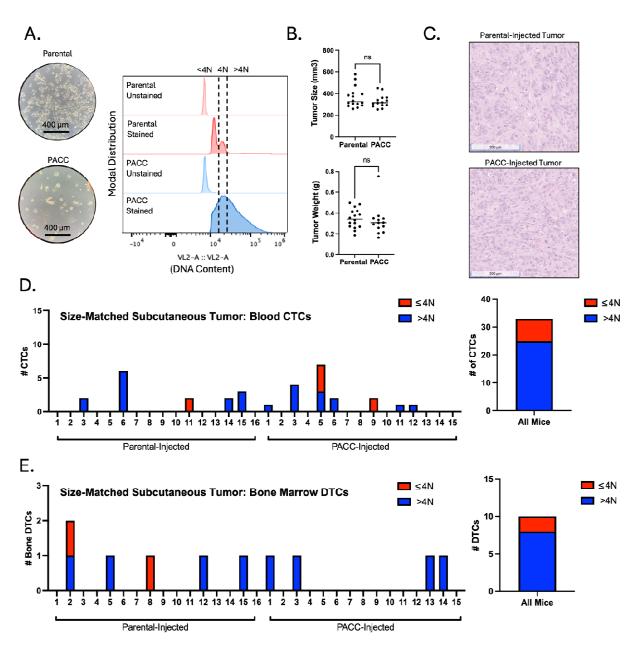
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The majority of disseminated tumor cells are in the PACC state

The same two subcutaneous models used to measure blood CTCs were also used to quantify and characterize DTCs recovered from hind-limb bone marrow. Across both models, a majority of the DTCs recovered contained >4N DNA content, indicating they are in the PACC state. In the time-matched model, 8 bone marrow DTCs were recovered, 6 of which were in the PACC state (75%) (Figure 1E, Supplemental Figure S2). In the size-matched model, 10 DTCs were recovered, 8 of which were in the PACC state (80%) (Figure 2E, Supplemental Figure S4).

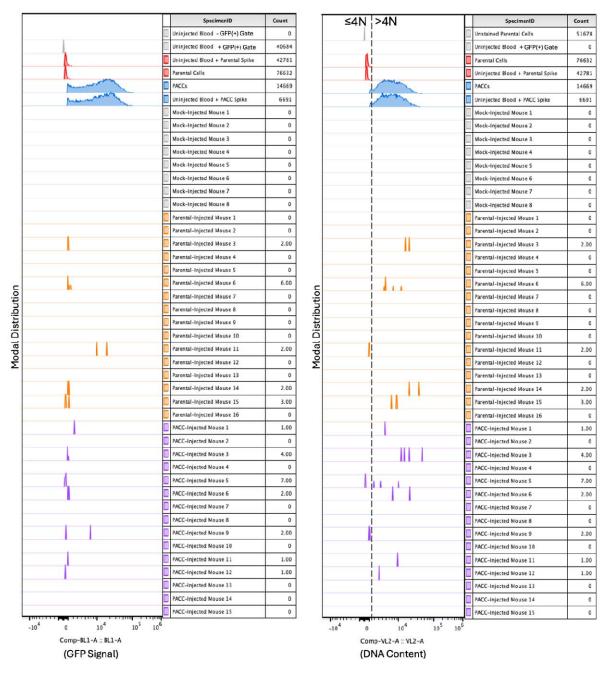
264 Subcutaneous tumor models are useful for investigating multiple steps of the 265 metastatic cascade (i.e. invasion, intravasation, survival in the circulation, and extravasation) within one animal. However, they limit the ability to specifically query 266 267 differential extravasation capacity between cell phenotypes owing to potential upstream 268 bottlenecks that may differentially skew the numbers of each cell type surviving in the 269 circulation. To directly measure the differential extravasation potential of PACCs, we 270 used a caudal-artery injection model. Mice were injected with either parental cells or PACC-enriched cells confirmed to have increased ploidy at the population level (Figure 271 272 3A). Bone marrow and lung tissue were collected and analyzed 3 days after injection. 273 Caudal artery injection introduces cells directly into the vasculature and directs them to 274 the hind limb bone marrow capillaries and lung capillaries, wherein they become lodged 275 due to size. After 72 hours, lodged cells have either been cleared from the vasculature 276 or, more rarely, have extravasated into surrounding tissue (Figure 3B). After 72 hours, 277 81 DTCs were recovered from the bone marrow, 59 of which were in the PACC state 278 (73%) (Figure 3C, Supplemental Figure S5). 132 DTCs were recovered from the lung, 279 111 of which were in the PACC state (84%) (Figure 3D, Supplemental Figure S6). This 280 data suggests that cells in the PACC state have increased extravasation potential 281 compared to their nonPACC counterparts. As such, not only are PACCs uniquely adept 282 at reaching and surviving within the circulatory system as CTCs, but they are also adept 283 at extravasating into secondary site tissues.



284 Figure 2:

Size-matched, subcutaneous injection of PC3-GFP-Luc parental population vs. 285 286 PACC-enriched population: A) Light microscopy photos and flow-cytometric ploidy analysis of injected cells per injection group. B) Tumor volume and tumor weight 287 measurements per injection group at experimental endpoint. C) Representative H&E 288 photos of primary tumors per injection group. D) Enumeration of CTCs sourced from the 289 blood of each animal and quantification of % >4N CTCs vs. ≤ 4N CTCs. E) Enumeration 290 of DTCs sourced from the bone marrow of each animal and quantification of % >4N 291 292 DTCs vs. ≤ 4N DTCs.

B. Size-Matched Subcutaneous Tumor: Blood CTCs



A. Size-Matched Subcutaneous Tumor: Blood CTCs

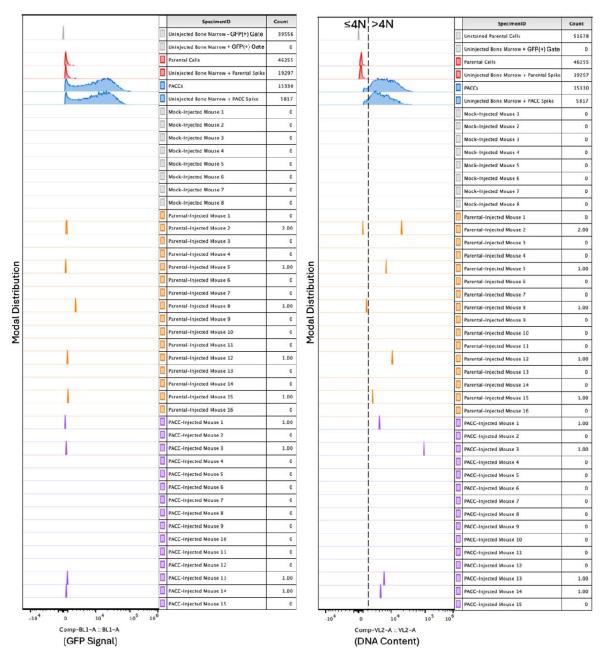
293 Supplemental Figure S3:

Size-matched, subcutaneous injection of PC3-GFP-Luc parental population vs.

295 PACC-enriched population: A) Raw cytometric data reporting GFP+ signal across all

blood samples. B) Raw cytometric data reporting DNA content of GFP+ cells across all

297 blood samples.



A. Size-Matched Subcutaneous Tumor: Bone DTCs

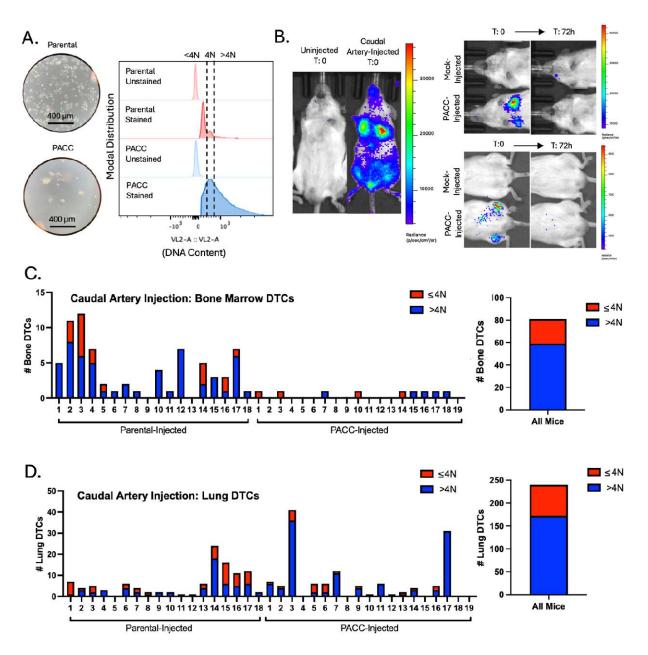
B. Size-Matched Subcutaneous Tumor: Bone DTCs

298 Supplemental Figure S4:

Size-matched, subcutaneous injection of PC3-GFP-Luc parental population vs.
 PACC-enriched population: A) Raw cytometric data reporting GFP+ signal across all
 bone marrow samples. B) Raw cytometric data reporting DNA content of GFP+ cells

302 across all bone marrow samples.

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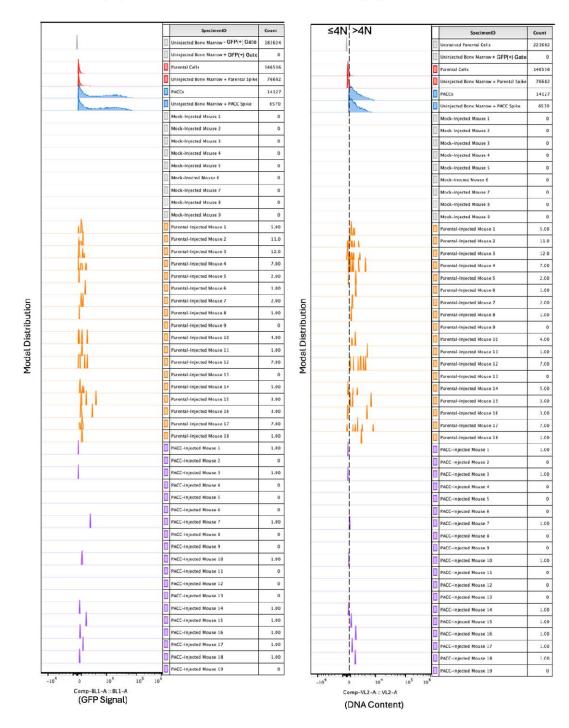


304 Figure 3:

Caudal Artery injection of PC3-GFP-Luc parental population vs. PACC-enriched 305 306 population: A) Light microscopy photos and flow-cytometric ploidy analysis of injected 307 cells per injection group. B) Representative BLI images capturing the cellular distribution 308 and signal intensity immediately following caudal artery injection and 72 hours following 309 caudal artery injection. C) Enumeration of DTCs sourced from the bone marrow of each animal and quantification of % >4N DTCs vs. ≤ 4N DTCs. E) Enumeration of DTCs 310 sourced from the lung tissue of each animal and quantification of % >4N DTCs vs. ≤ 4N 311 312 DTCs.



B. Caudal Artery Injections: Bone Marrow DTCs

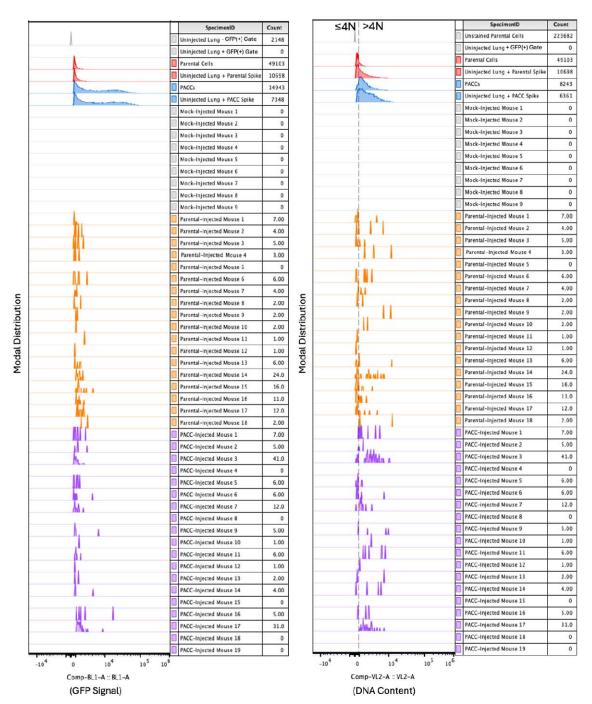


314 Supplemental Figure S5:

- 315 Caudal Artery injection of PC3-GFP-Luc parental population vs. PACC-enriched
- population: A) Raw cytometric data reporting GFP+ signal across all bone marrow
- 317 samples. B) Raw cytometric data reporting DNA content of GFP+ cells across all bone
- 318 marrow samples.

A. Caudal Artery Injections: Lung DTCs

B. Caudal Artery Injections: Lung DTCs

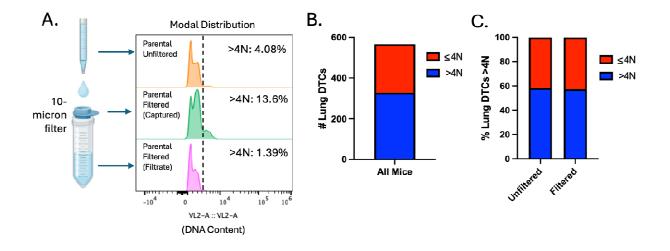


320 Supplemental Figure S6:

- 321 Caudal Artery injection of PC3-GFP-Luc parental population vs. PACC-enriched
- 322 population: A) Raw cytometric data reporting GFP+ signal across all lung tissue
- samples. B) Raw cytometric data reporting DNA content of GFP+ cells across all lung
- 324 tissue samples.

325 PACCs can be found at a low baseline level (5%) in parental populations of the 326 PC3 cell line and are generally thought to reflect the stress inherent to cell culturing. 327 Therefore, it is possible that PACC-state DTCs recovered from parental-injected mice 328 result from selection of pre-existing PACCs in the parental population and reflect the 329 increased metastatic risk of cells in the PACC state. Alternatively, it is possible that 330 stress experienced during the metastatic process induced nonPACCs in the parental 331 population to access the PACC state in vivo. To investigate the source of the PACC-332 state DTCs recovered from parental-injected mice, we performed a tail vein experiment 333 comparing a population of parental cells (inherently containing a small percentage of 334 PACCs) to a population of nonPACC enriched cells generated by size-filtering a 335 parental population through a 10-micron filter to remove PACCs. Mice were injected 336 with either unfiltered (parental) cells or filtered (nonPACC-enriched) cells confirmed to 337 contain fewer cells of increased ploidy (Supplemental Figure S7A). After 72 hours, lung 338 tissues were collected and analyzed for DTCs. Across all mice, 566 DTCs were 339 recovered, 328 of which were in the PACC state (58%). This is consistent with our 340 previous findings (Supplemental Figure S7B). When comparing the proportion of lung DTCs in the PACC state between the two injection groups, we found no difference 341 342 (unfiltered: 58% vs. filtered: 57%) (Supplemental Figure S7C). These data show that a 343 reduction in the percentage of baseline PACCs present in the parental population does 344 not change the percentage of PACCs present among recovered DTCs, suggesting a 345 possibility that nonPACCs in the parental population may access the PACC state in 346 vivo.

347 Supplemental Figure S7:



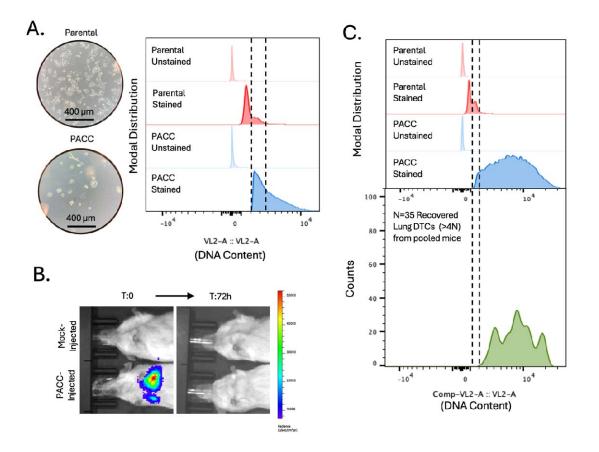
Tail Vein injection of PC3-GFP-Luc parental population vs. PACC-depleted
parental population: A) Flow-cytometric ploidy analysis of injected cells per injection
group. B) Proportion of lung DTCs with >4N DNA content across all experimental mice.
C) Comparative proportions of lung DTCs with >4N DNA content between two injection
groups.

353

354 **PACCs are capable of colonization following a period of dormancy:**

355 Clinically relevant metastatic potential requires colonization capacity. In vitro, 356 cells induced to enter the PACC state become transiently nonproliferative, existing in a 357 nondividing state. It has been observed that PACCs can survive in this state for months, 358 a phenomenon compatible with that of metastatic tumor cell dormancy. Following a period of nonproliferative dormancy, some cells within the in vitro PACC-induced 359 360 population return to a proliferative mitotic cell cycle, consistent with latent metastatic 361 outgrowth frequently observed in human patients. To initially test the short-term survival 362 status of PACCs when introduced in vivo, PACC-enriched cells were injected into the 363 tail-vein of mice (Supplemental Figure S8A). The lungs were analyzed for surviving 364 DTCs in the PACC state after 21 days (Supplemental Figure S8B). After pooling mice together, 35 DTCs in the PACC state were found still surviving in lung tissue 365 366 (Supplemental Figure S8C).

367



368 Supplemental Figure S8:

369 Tail Vein injection of PC3-GFP-Luc parental population vs. PACC-enriched

population: A) Light microscopy photos and flow-cytometric ploidy analysis of injected

371 cells per injection group. B) Representative BLI images capturing the cellular distribution

and signal intensity immediately following tail vein injection and 3 days following tail vein

injection. C) Raw cytometric data reporting the presence of >4N Lung DTCs in a pooled

374 sample 21 days following PACC injection.

375 Satisfied that PACCs do not immediately re-enter a proliferative cell cycle nor 376 immediately die when introduced in vivo, we sought to understand the long-term 377 survival and growth kinetics of PACCs in vivo. Mice were subcutaneously injected with 378 either a population of parental cells (serving as a positive control) or a population of size filtered PACCs and monitored for 12 weeks using bioluminescent imaging (BLI). The 379 380 size filtered PACC population was confirmed to contain only cells of at least 4N or 381 greater ploidy. (Figure 4A). Within 4 weeks, 6/6 positive control mice developed 382 appreciable tumors and showed the expected marked increase in BLI flux. At that time, 383 0/12 PACC-injected mice presented with palpable tumors, but BLI showed evidence of 384 tumor cell survival at injection site (Figure 4B). Positive control mice reached ethical 385 tumor burden and were euthanized 6 weeks post-injection. PACC-injected mice were 386 monitored for an additional 6 weeks before they were euthanized. At experimental-387 endpoint, all PACC-injected mice showed greater levels of BLI flux than negative 388 controls. 7/12 PACC-injected mice showed BLI evidence of nonproliferative tumor cell 389 survival localized to the injection site, and an additional 2/12 PACC-injected mice had 390 developed slow-growing palpable subcutaneous tumors following a latent dormancy 391 phase (Figure 4C, 4D).

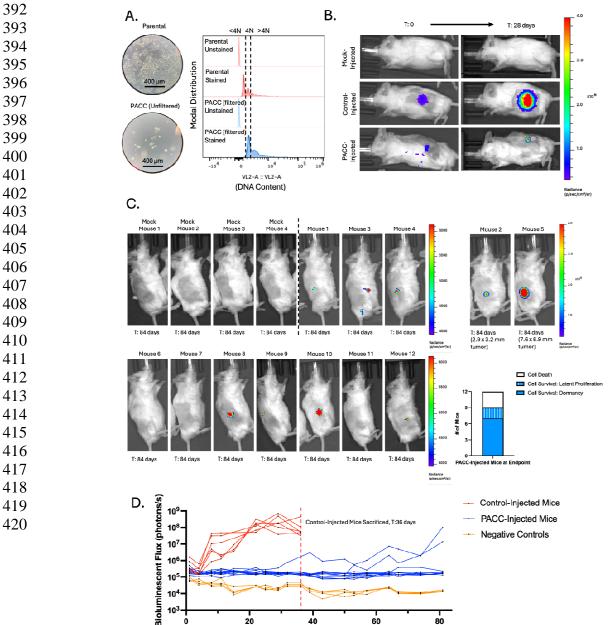
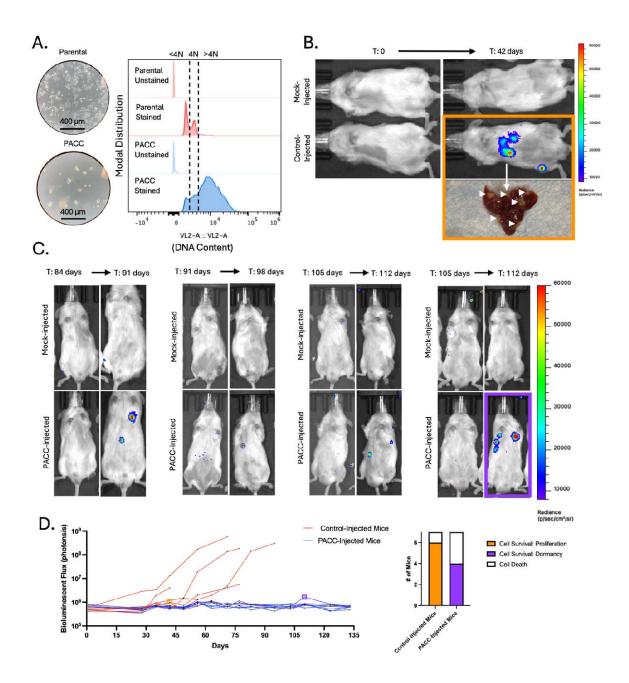


Figure 4:

Subcutaneous injection of PC3-Luc parental population vs. size filtered PACC population: A) Light microscopy photos and flow-cytometric ploidy analysis of injected cells per injection group. B) Representative BLI images capturing the cellular distribution and signal intensity immediately following subcutaneous injection and 28 days following subcutaneous injection. C) BLI images of 4 mock-injected and 12 PACC-injected mice 82 days following subcutaneous injection. Note the different scale for Mouse 2 and Mouse 5. Quantification of the status of injected cells across all 12 PACC-injected mice at experimental endpoint. D) Weekly BLI flux of all experimental mice over 84 days.

We next used an intracardiac injection model to test the dormancy and colonization kinetics of PACCs in a metastasis-relevant context (i.e. following survival in the circulation and subsequent extravasation). Mice were injected directly into the left ventricle with either a population of parental cells (serving as a positive control) or a population of PACC-enriched cells confirmed to have increased ploidy at the population level (Figure 5A). Within 6 weeks, 6/7 positive control mice had evidence of liver and/or bone metastases by BLI. At that time, 0/7 PACC-injected mice showed any BLI positive lesions (Figure 5B). Positive control mice reached ethical tumor burden and were euthanized between 6 and 14 weeks. PACC-injected mice were monitored for an additional 5 weeks. By experimental endpoint, 4/7 PACC-injected mice had showed evidence of liver metastases by BLI, but none of these metastases reached a BLI flux threshold indicative of rapidly progressive disease (Figure 5C, 5D). Taken together, these data indicate that PACCs are capable of both i) long-term in vivo survival in a nonproliferative state and ii) return to a proliferative phenotype able to seed metastatic colonization following a period of dormancy.



466 **Figure 5**:

Intracardiac injection of PC3-GFP-Luc parental population vs. PACC-enriched
population: A) Light microscopy photos and flow-cytometric ploidy analysis of injected
cells per injection group. B) Representative BLI images capturing the cellular distribution
and signal intensity immediately following intracardiac injection and 42 days following
intracardiac injection. C) BLI images of 4 mock-injected and 4/7 PACC-injected mice
that showed evidence of colonization following dormancy between 91- and 112-days
post intracardiac injection. D) Weekly BLI flux of all experimental mice over 135 days.

474 Quantification of the status of injected cells across all 7 PACC-injected mice at

475 experimental endpoint.

476 **PACCs display a partial EMT phenotype:**

477 In vitro studies show that cells in the PACC state display enhanced metastatic 478 phenotypes including motility, chemotaxis, and invasion (20, 21). The present work 479 shows that most CTCs and DTCs recovered across various metastatic models are in 480 the PACC state, supporting the hypothesis that PACCs promote a tumor's metastatic 481 potential through cell-intrinsic metastatic competency. In the past decade, it has been 482 increasingly reported that metastatic competency relies heavily on a partial-Epithelial-to-483 Mesenchymal-Transition (pEMT) phenotype. pEMT (also called hybrid-EMT and mixed-484 EMT, among other similar names) is a nonbinarized variant of the canonically mutually 485 exclusive epithelial vs. mesenchymal phenotypes that is characterized by co-expression 486 of proteins typically associated with only one or the other. Using the PC3 prostate 487 cancer cell line, we found that at the RNA level, PACCs show an increase in ZEB1, 488 VIM, and CLDN1 expression and no difference in SNAI1, SNAI2, TWIST1, CDH2, 489 CDH1, or EPCAM expression (Figure 6A). At the protein level, PACCs show an 490 increase in VIM, CDH2, CLDN1, and CDH1 expression, a decrease in SNAI1, SNAI2, 491 and EPCAM expression, and no change in low-level TWIST1 expression (Figure 6B). 492 High co-expression of VIM (a classic mesenchymal marker) and CLDN1 (a known 493 epithelial marker) in PACCs indicate a pEMT phenotype. Absence of inverse expression 494 between PACC CDH2 (a classic mesenchymal marker) and PACC CDH1 (a classic 495 epithelial marker) also supports a PACC-specific pEMT phenotype (Figure 6C). 496 To evaluate the pEMT status of metastatic PACCs in a clinical context, we 497 stained cancer cells in the bone marrow isolated from prostate cancer patients with a 498 DNA content dye, a cocktail of epithelial-specific markers, and Vimentin. Cells with 4x-499 greater-than-average nuclear area were deemed PACCs. Single-cell copy number 500 analysis confirmed that the PACCs were tumor-derived (Figure 6D). 34 of 44 patients 501 were found to have PACC cancer cells. Of those 34, 6 patients were found to have 502 pEMT PACC cancer cells positive for both pan-Epithelial (including EPCAM) and VIM 503 stains (Representative images: Figure 6E). These data support our in vitro observations 504 of PACC-specific pEMT in metastatic prostate cancer patients and provide a plausible 505 mechanism for increased metastatic competency among cells in the PACC state. 506

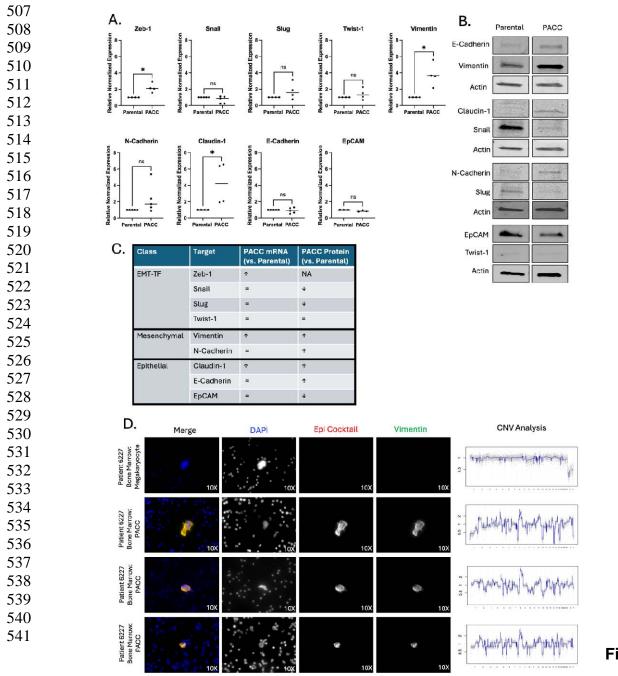


Figure 6:

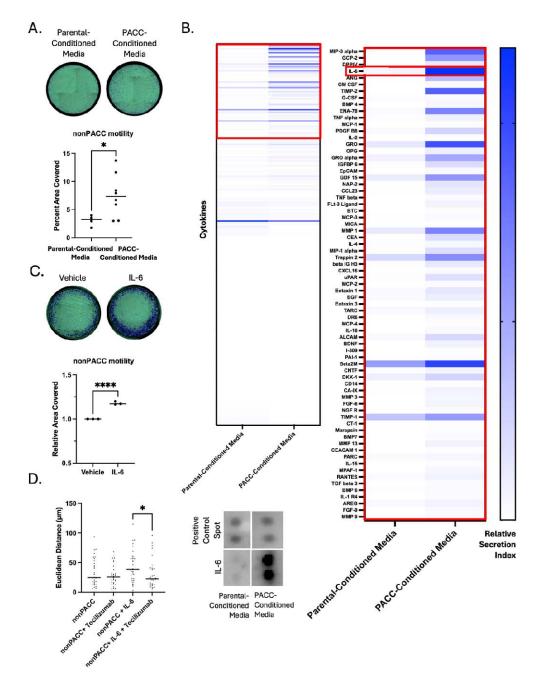
PACCs have a pEMT phenotype: A) RNA expression of a panel of EMT markers
by RTqPCR in a PC3-Luc parental population vs. size filtered PACC population. B)
Protein expression a panel of EMT markers by Western blot in a PC3-Luc parental
population vs. size filtered PACC population, where each biological replicate reported is
an average of three technical replicates. C) Summary table of RNA and protein
expression. D) Representative immunofluorescent mages of PACCs identified as DTCs
in the bone marrow of a metastatic prostate cancer patient, stained for DAPI, an

550 Epithelial-origin cocktail, and VIM. CNV analysis of the corresponding single cell.

551 **PACCs have a pro-metastatic secretory profile:**

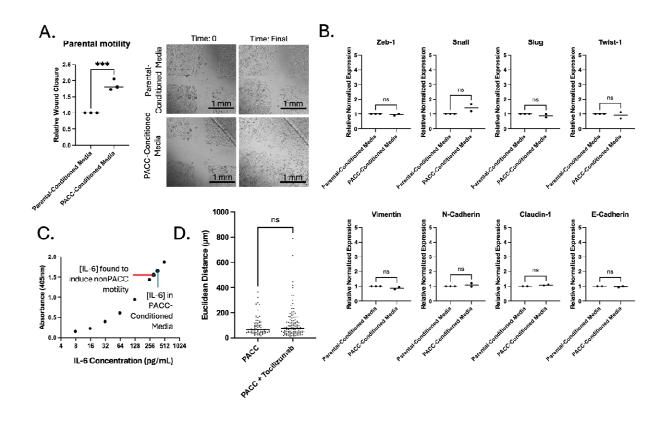
552 In addition to the hypothesis that PACCs promote a tumor's metastatic potential 553 because PACCs themselves are more metastatic, PACCs might otherwise promote a 554 primary tumor's metastatic potential by increasing the metastatic phenotype of 555 surrounding tumor cells. To test this alternative model, we evaluated the motility 556 phenotype of nonPACC cells cultured in PACC-conditioned media compared to control 557 parental cell-conditioned media. We found that PACC-conditioned media increases 558 nonPACC motility by two common motility assays (Figure 7A, Supplemental Figure 559 S9A), which was not accompanied by an increased transcriptional EMT phenotype 560 (Supplemental Figure S9B).

561 We performed a cytokine array on parental cell-conditioned media and PACC-562 conditioned media to identify abundant cytokines differentially secreted by PACCs. IL6 563 was the strongest candidate for further study (Figure 7B). We measured the 564 concentration of IL6 in PACC-Conditioned Media (Supplemental Figure S9C) and found 565 that a similar concentration was sufficient to produce increased motility in nonPACCs 566 (Figure 7C). This increased motility phenotype was abrogated by inhibition of the IL6 567 Receptor (IL6R) via addition of Tocilizumab (Figure 7D). We and others have previously published the PACCs are more motile than nonPACCs (20, 21). To rule out the 568 569 possibility that increased PACC motility is the result of autocrine-acting IL6, we applied 570 Tocilizumab to PACC samples and observed no change in PACC motility 571 (Supplemental Figure S9D). These data suggest that in addition to cell-autonomous 572 pro-metastatic effects, PACCs contribute to a tumor microenvironment that promotes 573 pro-metastatic phenotype in nonPACC tumor cells. 574



575 Figure 7:

PACCs have a pro-metastatic secretory profile: A) Transwell assay to measure 576 the differential motility of a PC3-Luc population exposed to parental-conditioned media 577 578 vs. PACC-conditioned media, where each reported biological replicate is an average of two technical replicates. B) Cytokine array to measure the relative abundance of 274 579 580 cytokines of interest in parental-conditioned media vs. PACC-conditioned media. C) 581 Transwell assay to measure the effect of addition of recombinant IL6 on the motility of a PC3-Luc population. D) Single cell tracking to measure the effects of addition of 582 recombinant IL6 and/or Tocilizumab on the motility of a PC3-Luc population. 583



584 Supplemental Figure S9:

PACCs have a pro-metastatic secretory profile: A) Wound-healing assay to measure the differential motility of a PC3-Luc population exposed to parental-conditioned media vs. PACC-conditioned media, where each reported biological replicate is an average of two technical replicates. B) RNA expression of a panel of EMT markers by RTqPCR in a PC3-Luc parental population exposed to parental-conditioned media vs. PACC-conditioned media, were each biological replicate reported is an average of three technical replicates. C) Elisa assay to measure the concentration of IL6 in PACC conditioned media. D) Single cell tracking to measure the effects of addition of Tocilizumab on the motility of a PACCs.

607 **III. Discussion**:

608

609 Our recent study demonstrating the clinical relevance of cells in the PACC state 610 as reliable predictors of the risk of prostate cancer recurrence (27) raised important 611 questions about the roles that PACCs may play in metastatic progression. For example, 612 accession of the PACC state may increase intrinsic pro-metastatic features, making 613 PACCs more metastatically competent than their nonPACC counterparts. Alternatively, 614 PACCs may increase the metastatic competency of nearby nonPACCs via a paracrine-615 functioning pro-metastatic phenotype. Or perhaps PACCs are merely uninvolved "third-616 party" cells incidentally produced by an unknown stimulus that itself is driving metastatic 617 risk via an otherwise unrelated mechanism. Here, we sought to test for a direct 618 causative link between PACC presence and metastatic propensity. We tested for the 619 presence of PACCs throughout various steps – and therefore locations – of the 620 metastatic cascade using distinct metastatic mouse models. 621 When testing the blood for metastatic cells capable of invading, intravasating,

622 and then surviving in the circulation, we found that 75% of recovered CTCs were in the 623 PACC state (27/36 CTCs). When testing the bone marrow for metastatic cells capable 624 of invading, intravasating, surviving, and then extravasating, we found that 77% of 625 recovered DTCs were in the PACC state (14/18 DTCs). It was pertinent to test both 626 time-matched and size-matched subcutaneous models to account for any potential 627 effects that the differing growth kinetics of the two injections groups might have had on 628 the initiation of the angiogenic switch. Appropriately, an increased number of total CTCs 629 and DTCs were recovered in the size-based experiment that at endpoint had larger 630 primary tumors than the time-based experiment. We did not consistently find that 631 PACC-injected mice produced a larger number of metastasizing cells. Analysis of the 632 proportion of PACCs within the tumors of each injection group at experimental endpoint 633 offered a potential explanation: the initial difference between the proportions of PACCs 634 within each injected population (less than 5% PACCs among parental populations, but 635 over 50% among PACC-enriched populations) equilibrated within 6 weeks. A decrease 636 in PACC proportion over time in the PACC-enriched tumors could be caused by a 637 delayed return to mitotic cell cycle among PACCs, or a presence of a small number of 638 mitotic nonPACCs in the injection population. An increase in PACC proportion over time 639 in the parental population could be caused by accumulation of tumor 640 microenvironmental stressors in vivo that initiate PACC state entry as has been 641 previously reported (18, 29, 30). 642 The similarity between the proportions of PACCs found among the recovered 643 CTCs and the recovered DTCs (75% vs 77%) in the subcutaneous tumor models does 644 not adequately support a conclusion of increased extravasation potential among cells in 645 the PACC state. To specifically test for increased extravasation potential among

646 PACCs, we used a caudal artery injection model that allowed for analysis of bone

647 marrow, the most common site of prostate metastasis in patients. When testing the

bone marrow for metastatic cells capable of extravasation, we found that 73% of

recovered DTCs were in the PACC state (59/81 DTCs). When testing the lung for

650 metastatic cells capable of extravasation, we found that 84% of recovered DTCs were in 651 the PACC state (111/132 DTCs). This data indicates that cells in the PACC state have

652 increased extravasation potential compared to nonPACCs. We and others have

653 previously published that PACCs demonstrate both persistent chemotactic-driven 654 motility and functional deformability, phenotypes which may explain their increased 655 ability to extravasate (20, 21). An alternative hypothesis supposes that extravasated 656 nonPACCs entered the PACC state after entering secondary organ tissue owing to the 657 inherent stressors of that organ site. When comparing the portion of PACCs found 658 among total DTCs in the lungs of animals injected with parental populations with low-659 level baseline PACCs vs. a PACC-depleted population, we found that reduction of 660 baseline PACCs present does not change the percentage of PACCs present among recovered DTCs. This data suggests that it is possible that stress experienced during 661 662 the metastatic process may induce nonPACCs to access the PACC state in vivo, but 663 further study is necessary.

664 Interestingly, in the caudal-artery model, most of the bone marrow DTCs were 665 sourced from parental-injected mice (though the majority of those DTCs were in the 666 PACC state), but the majority of lung DTCs were sourced from PACC-injected mice. 667 This difference may represent biologically interesting differences in tissue-specific 668 extravasation-barriers. For example, potential size or biophysical rigidity restrictions in the bone marrow may select for cells in the PACC state on the smaller end of what is 669 670 known to be a heterogenous spectrum: the number of endocycles is inherently linked to the size of a PACC's nucleus and cell body. Notably, baseline PACCs found in parental 671 672 populations are frequently smaller than PACCs found in chemotherapy induced populations. It is possible, therefore, that bone-specific environmental pressure selects 673 674 for extravasation of small PACCs, which are disproportionately found in parental 675 populations.

676 Presence of CTCs and DTCs alone is not sufficient to claim complete metastatic 677 competency; evidence of functional colonization is also needed. Multiple in vitro studies 678 have reported on the latent depolyploidization (also called ploidy reversal or ploidy 679 reduction) of cells in the PACC state following long stints of survival without cell division 680 (31-35). The progeny of PACCs appear to be of typical cancer cell size and genomic 681 content and display a typical mitotic cell cycle. We used two models to specifically test 682 the dormancy and colonization potential of PACCs in vivo. In both settings, the PACC 683 state life cycle closely followed what has been observed in vitro. 12 weeks following 684 subcutaneous injection of size filtered PACCs (to remove any infiltrating mitotic 685 nonPACC cells), 7/12 mice showed survival of nonproliferative PACCs at the injection 686 site, and 2/12 mice showed delayed tumor establishment followed by slow proliferation. 687 indicative of an *in vivo* depolyploidization event. By 19 weeks following intracardiac 688 injection of PACCs, 4/7 mice had established, presumably proliferative, metastatic 689 lesions.

690 Taken together, our *in vivo* data provides strong evidence of a causative 691 relationship between PACCs and metastasis. Next, we sought to establish a preliminary 692 mechanism underlying this pro-metastatic phentoype. In the past decade, there has 693 been a notable shift away from a binary and mutually exclusive Epithelial vs. 694 Mesenchymal phenotype. Instead, researchers have begun to appreciate the existence 695 - and importance - of pEMT phenotypes. In fact, many groups have reported that cells 696 with pEMT expression profiles display increased metastatic competency (36-39). 697 perhaps owning to the needs of successfully metastatic cells to have motility programs 698 that mesenchymal cells provide and the proliferative programs that epithelial cells

provide. Our RNA and protein analysis of cells in the PACC state revealed a clear
pEMT pattern, most strongly supported by simultaneous expression of *CDH1* and *VIM*.
Notably, others have also reported pEMT phenotypes in PACCs (40). Analysis of bone
marrow DTCs from patients with prostate cancer supported our *in vitro* findings: patient
bone marrow contained PACCs co-expressing pan-Epithelial markers (including
EPCAM) and VIM at the protein level.

705 To test for a potentially indirect mechanistic link, we turned to the literature citing 706 the similarities between what we have termed cells in the PACC state and what others 707 have termed therapy-induced senescent cells (41-45). The therapy-induced senescent 708 cell literature defines this cell type as one arising in response to treatment and 709 characterized, among other features, by a transient pause in cell cycle. Though therapy-710 induced senescent cells depart from classically terminal senescent cells in several 711 ways, they have been reported to share the senescence-associated secretory 712 phenotype (SASP). Most notably, the SASP has been reported to contribute to a more 713 pro-metastatic tumor microenvironment (46, 47). Accordingly, we thought it possible 714 PACCs might promote the metastatic phenotype of surrounding nonPACC tumor cells 715 by contributing to a pro-metastatic tumor microenvironment. We found that PACCs 716 produce a SASP-like secretory profile rich in MIP3-alpha, GCP-2, DPPIV, IL-6, GM-717 CSF, G-CSF, ENA-78, TNF alpha, MCP-1, IL-2, and GRO, among many others (For 718 clarity, cytokine annotations used here are consistent with those on the product sheet). 719 Furthermore, this co-culture with PACC conditioned media increased the motility of 720 nonPACC cells. A validation of the most differentially secreted cytokine, IL6, showed 721 that it was sufficient to induce motility induction in a PACC-to-nonPACC paracrine, but 722 not a PACC-to-PACC autocrine, setting. We are not the first to find an IL6 rich PACC-723 secretome (48), and of note, the motility-inducing nature of IL6 has been previously 724 published in other contexts (49).

725 The PACC state represents an emerging area of cancer research committed to 726 understanding the adaptive phenotypic potential of cancer cells. It has been well 727 established that treatment of cancer with chemotherapeutic agents inevitably leads to 728 the eventual rise of treatment-resistant disease. Though outside the scope of this 729 manuscript, it has been repeatedly shown that cells in the PACC state are broadly 730 resistant to a wide swath of anti-cancer agents (8, 10, 13, 43, 48, 50, 51). In addition to chemotherapy-induced resistance, evidence has begun to suggest that cancers treated 731 732 with chemotherapeutics are prone to become more metastatic. For example, emerging 733 evidence show that chemotherapy induces cancer-cell intrinsic changes such as 734 upregulation of anti-apoptotic genes and increased migration (52). When tested in mice 735 using spontaneously metastatic orthotopic breast models, it was found that paclitaxel 736 increased metastasis despite decreases in tumor burden (52). Karagiannis et. al. 737 demonstrated that this observation holds true in the patient setting: clinically validated 738 prognostic markers of metastasis in breast cancer patients were increased in patients 739 who received neoadjuvant paclitaxel after doxorubicin plus cyclophosphamide (53). 740 Considering that nearly all anti-cancer agents tested have shown to induce cells into the 741 PACC state, our data demonstrating the increased metastatic competency of PACCs 742 positions the PACC state as an important unforeseen ramification of neoadjuvant 743 regimens that may help to explain clinical correlations between chemotherapy and 744 metastatic progression.

745 IV. Materials and Methodology:

746

747 Cell Culture:

748 Experiments were performed using either the PC3-Luc prostate cancer cell line or 749 the PC3-GFP-Luc prostate cancer cell line generated as previously described (28). All 750 cells were cultured with RPMI 1640 media containing L-glutamine and phenol red 751 additives (Gibco) and further supplemented with 10% Premium Grade Fetal Bovine 752 Serum (Avantor Seradigm) and 1% 5000U/mL Penicillin-Streptomycin antibiotic (Gibco) 753 at 37 degrees Celsius and in 5% CO2. Cells were routinely lifted using TryplE (Gibco) 754 following a single PBS wash (Gibco). Cells were STR-profile authenticated and tested 755 for mycoplasma contamination biannually (Genetica). 756

757 **PACC induction:**

758 Cells were induced to enter the PACC state as previously described (11, 21). 759 Briefly, 625,000 PC3 cells/T75 flask (scaled for appropriately for larger tissue culture 760 vessels) were treated with 6 µM Cisplatin (Millipore Sigma) resuspended in sterile PBS supplemented with 140mM NaCl (Sigma-Aldrich) for 72 hours. Where indicated, PC3-761 GFP-Luc cells were treated with 12 µM Cisplatin for 72 hours. After 72 hours, Cisplatin-762 763 treated media was removed and replaced with fresh complete media. Cells were 764 injected (or assayed, where appropriate) 10 days after Cisplatin-treatment removal, 765 unless indicated otherwise. When indicted, cells were filtered through a 10-micron cell 766 strainer (PluriSelect) to either isolate or remove PACCs, which are larger in size, from 767 the other cells in the population, as previously described (21). 768

769 Animal Models:

770 All murine protocols were approved by the Johns Hopkins Animal Care and Use 771 Committee. Most experiments were performed using 8-12-week-old male Nod-Scid-772 Gamma (NSG) mice (Jackson Laboratories). Intracardiac injections were performed on 773 6-week-old mice. Subcutaneously injected mice received a 100 uL injection of a 1:1 774 ratio of 10 mg/mL Matrigel (Corning) to 200,000 PC3-GFP-Luc cells suspended in 775 complete media (or a mock suspension of media alone). Caudal artery-injected mice 776 received a 100 uL injection of 200,000 cells suspended in sterile PBS. Tail vein-injected 777 mice received a 100 uL injection of 200,000 PC3-GFP-Luc cells suspended in sterile 778 PBS. Intracardiac-injected mice received a 100 uL injection of 50.000 PC3-GFP-Luc 779 cells suspended in sterile PBS into the left ventricle. Tumor progression of 780 subcutaneously injected mice was monitored via weekly caliper measurements and 781 tumor volume was calculated using the following formula: $V = 0.5 * L * W^2$. Progression 782 of caudal artery-injected, tail vein-injected, and intracardiac-injected mice was 783 monitored via BLI, wherein mice were injected with 100 µL of 30 mg/mL luciferin (Regis) 784 and imaged within 15 minutes using the IVIS Spectrum BLI imager (Revity). At 785 experimental endpoint, subcutaneous tumors were dissection, fixed in 10% Neutral 786 Buffered Formalin (Sigma-Aldrich) for 24 hours, washed 3x 5 minutes in PBS, and 787 embedded into paraffin blocks. 4-micron thick sections were mounted on slides, stained 788 with Hematoxylin and Eosin, and imaged using a 40X objective. 789

790 CTC and DTC Analysis:

791 CTC and DTC detection and analysis was performed as previously described 792 (28). CTCs were defined as GFP-positive cells identified in mouse blood by flow 793 cytometry. 500 µL of blood was collected via terminal tail bleed. Blood samples were 794 individually transferred to 5 mL Eppendorf tubes and supplemented with ACK lysis 795 buffer (Quality Biological) at a 1:4 blood: lysis buffer ratio. This solution was incubated 796 on an end-over-end turner for 10 minutes. Following incubation, all samples were 797 centrifuged at 1500 xg for 10 minutes at 4 degrees C. Cell pellets were resuspended in 798 1 mL complete media and stained with 1 µL of Vybrant DyeCycle Violet (Thermo Fisher 799 Scientific).

800 DTCs were defined as GFP-positive cells identified in mouse hind-limb blood 801 marrow or homogenized lung tissue by flow cytometry. Bone marrow was collected 802 from the hind limb bones of freshly euthanized mice using a standard centrifugation 803 protocol. Briefly, the right and left femurs and tibias of each mouse were dissected. The 804 distal femoral epiphysial plate from each femur and the proximal tibial epiphysial plate 805 from each tibia were removed to ensure access to red bone marrow. Bones were 806 placed marrow-exposed side down in a 0.5 mL tube punctured with a small hole which 807 was then nested into a 1.5 mL tube. Tubes were centrifuged at maximum speed for 30 808 seconds to collect bone marrow into the 1.5 mL tube. All samples were resuspended in 809 200 µL PBS and then supplemented with 800 µL of ACK lysis buffer. This solution was 810 incubated on an end-over-end turner for 10 minutes. Following incubation, all samples 811 were centrifuged at 1500 xg for 10 minutes at 4 degrees C. Cell pellets were 812 resuspended in 3 mL complete media and stained with 15 µL of Vybrant DyeCycle 813 Violet.

814 To collect lung tissue, all 5 lobes of the lungs were dissected from freshly 815 euthanized mice. Each sample was transferred to a petri dish and minced into small 816 pieces with a fresh, straight-edged razor blade. Each sample was transferred to a 14 817 mL round-bottom tube and suspended in 5 mL of a solution containing 250 µL 818 Collagenase/Hyaluronidase (Stem Cell Technologies), 375 µL DNase I (Stem Cell 819 Technologies) at 1 mg/mL, and 1.875 mL complete media. The samples were incubated at 37 degrees C for 20 minutes with shaking, before being pushed through a fresh 70-820 821 micron strainer placed over a 50 mL conical tube using the rubber end of a fresh 5 mL 822 syringe plunger. 45 mL of complete media was used per sample to facilitate straining. 823 Following straining, samples were centrifuged at 300 xg for 10 minutes at room 824 temperature. The cell pellets were resuspended in 2 mL of ACK lysis buffer and 825 incubated at room temperature for 3 minutes before adding 47 mL of complete media. 826 Samples were then centrifuged at 300 xg for 10 minutes at room temperature, using a 827 slow deceleration setting. Cell pellets were resuspended in 2 mL of complete media and 828 stained with 10 µL of Vybrant DyeCycle Violet. 829 The entire volume of all stained samples was run on the Attune NxT Acoustic 830 Focusing Cytometer (Thermo Fisher Scientific) at a flow rate of 1000 µL per minute. As 831 previously described, it was critical to implement the following four modifications to our

- cytometer to accommodate analysis of cells in the PACC state: 1) The largest
- 833 commercially available blocker bar was installed. 2) An alternative optical configuration
- 834 was used. 3). Thresholding was performed using SSC rather than the standard FSC. 4)
- Area scaling factors of 0.6 were used for all lasers, rather than the standard area scaling factors. With these modifications, data was collected in the SSC, VL1-A, VL2-A,

and BL1-A channels. All data were analyzed using FlowJo (BD), following our

838 previously published analysis protocol (28).

839

840 Patient bone marrow samples:

841 Bone marrow aspirate (BM) samples were collected from castrate-resistant 842 prostate cancer patients as previously described (54). Briefly, liquid biopsy samples 843 were taken from participants at baseline and immediately started treatment on trial 844 NCT01505868 which evaluated cabazitaxel with or without carboplatin. Samples were 845 collected at MD Anderson at baseline prior to clinical trial treatment administration. The 846 study was approved by the corresponding institutional review boards and was 847 conducted in accordance with ethical principles founded in the Declaration of Helsinki. 848 All patients gave written informed consent. 849

850 **Patient sample processing**:

Samples were processed as previously described (54). In short, bone marrow
samples were delivered overnight (7.5 mL) in Cell-Free DNA Blood Collection Tubing
(Streck) at the clinical sites and sent to the University of Southern California for
processing. Erythrocytes were lysed via ammonium chloride and the entire nucleated
cell population was plated onto a specialized cell adhesion glass slide (Marienfield) at a
density of 2-3 million cells per slide. Slides were stored at -80°C until use.

857

858 Immunofluorescent staining:

Slides were fixed with 2% PFA for 20 minutes. Slides were then blocked with 2% 859 860 BSA in PBS. Slides were then incubated overnight at 4°C with an antibody cocktail 861 consisting of mouse IgG1/Ig2a anti-human cytokeratins (CK) 1, 4, 5, 6, 8, 10, 13, 18, and 19 (clones: C-11, PCK-26, CY-90, KS-1A3, M20, A53-B/A2, C2562, Sigma, St. 862 863 Louis, MO, USA), mouse IgG1 anti-human CK 19 (clone: RCK108, GA61561-2, Dako, 864 Carpinteria, CA, USA), mouse EpCAM (14-9326-82, Thermo), and rabbit IgG antihuman 865 vimentin (VIM): Alexa Fluor 488 (clone: D21H3, 9854BC, Cell Signaling Technology). Slides were then washed with PBS and incubated at room temperature for two hours 866 with Alexa Fluor® 555 goat anti-mouse IgG1 antibody (A21127, Invitrogen, Carlsbad, 867 868 CA, USA), and counter-stained with 4',6-diamidino-2-phenylindole (DAPI; D1306, 869 Thermo Fisher Scientific, Waltham, MA, USA).

870

871 High content imaging and analysis:

872 Slides were imaged as previously reported (55). Briefly, an automated high 873 throughput microscope equipped with a 10x optical lens was used to collect 2304 874 images across the slide. An image analysis tool, available at

- 875 https://github.com/aminnaghdloo/if_utils was used to identify PACCs. Briefly, each
- 876 fluorescent channel was segmented individually using adaptive thresholding and
- 877 merged into one cell mask. PACCs were identified as having a nuclear diameter two-
- times larger than the rare cell population (four-times the area).
- 879
- 880
- 881

882 Single cell picking:

AN Eppendorf TransferMan NK2 micromanipulator was used to collect the cell of
 interest in a 100 μM micropipette. The cell was transferred into a sterile solution of 1x
 PBS. Single cells were stored at -80°C.

887 **Copy number profiling:**

886

888 Copy number profiling from low pass whole genome sequencing samples was 889 conducted as previously described (56, 57). Briefly, the commercially available WGA4 890 kit (Sigma) was used for single cell whole genome amplification. Sequencing libraries 891 were prepared with the NEB Ultra FS II with 50 ng of starting material. Cells were 892 sequenced at a depth of 1-2 million reads on an Illumina HiSeq 4000 (Fulgent, Inc.). 893 Raw sequencing reads were aligned with BWA-MEM to the hg19 reference. Count data 894 was segmented via the R package DNACopy (version 1.70.0) and median values were 895 reported for copy number ratio data. 896

897 Western Blot:

898 PC3 parental cells or PC3 PACCs were lysed with an appropriate amount of 899 RIPA Lysis and Extraction Buffer (Thermo Scientific) with Halt Protease and 900 Phosphatase Inhibitor Cocktail (Thermo Scientific) for 30 minutes, rotating in 4 degrees 901 Celsius. Lysates were spun at 21,000 xg for 15 minutes in 4 degrees Celsius and the 902 proteinaceous supernatant was stored at -80 degrees Celsius. 50 ng of protein 903 (measured by Pierce BCA Protein Assay, following manufacturer's protocol) (Thermo 904 Scientific) was added to a 1:4 mixture of Laemmli Sample Buffer (BioRad) and 2-905 Mercaptoethanol (BioRad) and ran through a 4-20% Mini-ProTEAN TGX gel (BioRad). The gel was transferred via Trans-Blot SD Semi-Dry Transfer Cell (BioRad) onto a 0.2-906 907 micron Nitrocellulose Trans-Blot Turbo Transfer Pack using the 7-minute, 2.5A, 25V 908 protocol designed for Mixed Molecular Weights. The blot was blocked in Casein 909 Blocking Buffer (Sigma-Aldrich) for 1 hour at room temperature with shaking, and then 910 transferred to primary antibody diluted in casein and incubated overnight at 4 degrees 911 Celsius with shaking. The blot was then washed 3 times for 5 minutes each with pH 7.4 912 Tris-Buffered Saline (Quality Biological) with 0.1% Tween 20 (Sigma) (TBST) and 913 incubated in secondary antibody diluted 1:20,000 in Casein for 1 hour at room 914 temperature. The blot was then washed 3 times for 5 minutes with TBST and imaged 915 using the Odyssey Western Blot Imager (Li-Cor). See Table 1 below for antibodies 916 used. 917 918 919 920 921 922 923 924 925 926 927 **Table 1: Western Blot Antibodies**

928

Target (Alias)	Antibody	Concentration	Secondary
SNAI1 (Snail)	Rabbit IgG Monoclonal: C15D3 (Cell Signaling Technologies 3879)	1:1000	IRDye 800CW Goat anti Rabbit IgG (Licor)
SNAI2 (Slug)	Rabbit IgG Monoclonal: C19G7 (Cell Signaling Technologies 9585)	1:1000	IRDye 800CW Goat anti Rabbit IgG (Licor)
TWIST1 (Twist-1)	Rabbit IgG Monoclonal: E7E2G (Cell Signaling Technologies 31174)	1:500	IRDye 800CW Goat anti Rabbit IgG (Licor)
VIM (Vimentin)	Rabbit IgG Monoclonal: D21H3 (Cell Signaling Technologies 5741)	1:5000	IRDye 800CW Goat anti Rabbit IgG (Licor)
CDH2 (N- Cadherin)	Rabbit IgG Monoclonal: D4R1H (Cell Signaling Technologies 13116)	1:500	IRDye 800CW Goat anti Rabbit IgG (Licor)
CLDN1 (Claudin-1)	Rabbit IgG Monoclonal: D5H1D (Cell Signaling Technologies 13255)	1:1000	IRDye 800CW Goat anti Rabbit IgG (Licor)
CDH1 (E- Cadherin)	Rabbit IgG Monoclonal: 24E10 (Cell Signaling Technologies 3195)	1:1000	IRDye 800CW Goat anti Rabbit IgG (Licor)
EPCAM (EpCAM)	Rabbit IgG Monoclonal: E678Y (Cell Signaling Technologies 93790)	1:1000	IRDye 800CW Goat anti Rabbit IgG (Licor)
ACTB (Beta- Actin)	Mouse IgG2B Monoclonal: 8H10D10 (Cell Signaling Technologies 3700)	1:5000	IRDye 680RD Goat anti Mouse IgG (Licor)

RT-qPCR:

942 PC3 parental cells or PC3 PACCs were lysed using the QIAshredder Kit

943 (Qiagen), following the manufacturer's protocol. Where indicated, PC3 parental cells or

- 944 PC3 PACCs were incubated in parental-conditioned media or PACC-conditioned media
- 945 for 24 hours prior to lysis. RNA was extracted from lysates using an RNeasy Mini Kit

(Qiagen) following the manufacturer's protocol. RNA was converted to cDNA (1 ug RNA per reaction) using the iScript cDNA Synthesis Kit (Bio-Rad) following the manufacturer's protocol. RT-gPCR reactions were performed using SsoFast EvaGreen Supermix (Bio-Rad), following the manufacturer's protocols, and assorted primers (Integrated DNA Technologies) at a concentration of 10 uM. See Table 2 below for primers used. Data was collected using the CFX96 Real-Time PCR Detection System (Bio-Rad) with a standard cycle protocol. Gene expression was normalized to the housekeeping gene Beta-Actin and calculated using the delta-delta Ct method. Each biological replicate reported is an average of three technical replicates.

Table 2: RT-qPCR Primers

Target (Alias)	Forward Primer	Reverse Primer
ZEB-1	5-	5-GGTCCTCTTCAGGTGCCTCAG-3
(Zeb-1)	AGCAGTGAAAGAGAAGGGAATGC- 3	
SNAI1	5-ACTGCAACAAGGAATACCTCAG-	5-
(Snail)	3	GCACTGGTACTTCTTGACATCTG-3
SNAI2 (Slug)	5-CTCTGTTGCAGTGAGGGCAAG-3	5-AATATGTGAGCCTGGGCGC-3
<i>TWIST1</i> (Twist-1)	5-GTCCGCAGTCTTACGAGGAG-3	5-GCTTGAGGGTCTGAATCTTGCT- 3
VIM	5-TGCCGTTGAAGCTGCTAACTA-3	5-
(Vimentin)		CCAGAGGGAGTGAATCCAGATTA- 3
CDH2 (N-	5-TGTGGGAATCCGACGAATG-3	5-GTCATATGGTGGAGCTGTGGG-3
Cadherin)	-	
<i>CLDN1</i> (Claudin-1)	5- TCTGGCTATTTTAGTTGCCACAG-3	5-AGAGAGCCTGACCAAATTCGT-3
<i>CDH1</i> (E- Cadherin)	5-ATTTTTCCCTCGACACCCGAT-3	5-TCCCAGGCGTAGACCAAGA-3
EPCAM (EpCAM)	5-CGCAGCTCAGGAAGAATGTG-3	5-TGAAGTACACTGGCATTGACGA- 3
ACTB (Beta-Actin)	5-ACGTGGACATCCGCAAAGAC-3	5- CAAGAAAGGGTGTAACGCAACTA- 3

Conditioned-Media Generation:

To generate PACC-conditioned media, PC3 PACCs were generated using our standard induction-approach as described above, in a T150 tissue-culture flask. On day 10 of treatment-removal, exactly 20 mL of fresh complete media were added. Exactly 24 hours later, on day 11 of treatment-removal, all 20 mL of PACC-conditioned media was collected. Media was centrifuged at 1000 xg for 5 minutes to remove any debris, and then filtered through a 0.45-micron PES filter into 1 mL aliquots (to prevent freezethawing) that were stored at -80 degrees Celsius.

To generate parental-conditioned media, 1,250,000 PC3 parental cells were seeded in a T150 tissue-culture flask. After 12 hours, exactly 20 mL of fresh complete media were added. Exactly 24 hours later, all 20 mL of parental-conditioned media was collected. Media was centrifuged at 1000 xg for 5 minutes to remove any debris, and then filtered through a 0.45-micron PES filter into 1 mL aliquots (to prevent freezethawing) that were stored at -80 degrees Celsius.

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979 Motility Assays:

980 Transwell assays were performed using 6.5-millimeter, 8-micron, PES 981 membranes. PC3 parental cells were seeded on the membrane at 100,000 cells/mL in 982 250 µL of complete media. After 12 hours, 500 µL of testing-condition media was placed 983 in the top and bottom wells for 24 hours. Where indicated, recombinant IL6 was added 984 to complete media at a concentration of 0.5 ng/mL. After 24 hours, membranes were 985 washed in PBS and nonmigrated cells were removed from the top of the membrane with 986 a cotton-tipped applicator. Membranes were fixed in 100% ice cold methanol for 10 987 minutes, and then stained in 0.5% crystal violet resuspended in 20% methanol for 10 988 minutes. Membranes were washed with deionized water to remove excess stain and 989 tiled imaging of the entire membrane was acquired with an EVOS FL Digital Inverted 990 Fluorescence Microscope (Thermo Fisher Scientific) using a 4X objective. Image 991 analysis to calculate percent area coverage was performed using the Phansalkar auto-992 local threshold method in ImageJ. Each reported biological replicate is an average of 993 two technical replicates.

994 Wound healing assays were performed in 24-well tissue culture plates. PC3 995 parental cells were seeded at 100,000 cells/mL in 2.5 mL of complete media. After 12 996 hours, a P1000 pipette tip was used to create a scratch wound. After 3 washes with 997 PBS, the scratch wounds were imaged on an EVOS FL Digital Inverted Fluorescence 998 Microscope using a 4X phase objective. 1mL of testing-conditioned media was added 999 for 24 hours, after which the wounds were re-imaged. Image analysis to calculate 1000 percent wound closure was performed using the Wound Healing Size Tool Updated 1001 plug-in in ImageJ. Each reported biological replicate is an average of two technical 1002 replicates.

Single-cell tracking assays were performed using live-cell, time-lapse microscopy
 in 24-well tissue culture plates. PC3 parental cells were seeded at 25,000 cells/mL in 2
 mL of complete media. PC3 PACCs were seeded 5,000 cells/mL in 2 mL of complete
 media. After 12 hours, testing-condition media was added for 24 hours. Where
 indicated, Tocilizumab (Selleck Chemicals) was added to complete media at a
 concentration of 2.5 µg/mL. Where indicated, Recombinant IL6 was added to complete
 media at a concentration of 0.5 ng/mL. An EVOS FL Digital Inverted Fluorescence

1010 Microscope was used to take 10X phase images every 30 minutes for the 24-hour

1011 testing-condition incubation. An on-stage environment chamber was used to maintain

1012 cell conditions at 37 degrees Celsius, 5% CO_2 , and 20% O_2 . Images were analyzed

1013 using the Manual Tracking and Chemotaxis Tool plug-ins in ImageJ image analysis

1014 software. All cells analyzed were randomly selected. Cells that underwent division,

1015 apoptosis, or moved out of frame were excluded from analysis.

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1017 Cytokine Array:

1018 Cytokine analysis was performed using a 274-target chemiluminescent human 1019 cytokine antibody array, following manufacturer's protocol. (Abcam ab198496). 1020 Nondiluted PACC-conditioned media and Parental-conditioned media were tested, 1021 using nonconditioned media as a background control. Membranes were imaged using 1022 the ChemiDoc XRS+ imaging system (Biorad) and densitometry data was obtained 1023 using Image Lab Software (Biorad) with signal-specific automatic background 1024 thresholding enabled. Background subtraction, positive control normalization differential 1025 secretion calculations were performed following manufacturer's protocol. 1026

1027 Elisa:

Quantification of IL6 present in PACC-Conditioned media was performed using
 an IL6 Elisa, following the manufacturer's protocol (BioLegend 430504), with the
 following alteration: sample incubation time was lengthened from 2 hours to 3 hours.
 PACC-Conditioned media was diluted 1:4 in protocol buffer Assay A prior to analysis.
 Data was collected using FLUOStar Omega Plate Reader (BMG LabTech) at 405 nm.

1033 1034 **Statistics:**

1035 Nonparametric T-Tests (Mann-Whitney) were performed to generate reported P 1036 values. Power calculations were performed to determine appropriate sample size for *in* 1037 *vivo* experiments, wherein an alpha value of 0.05 and a beta value of 0.8 were used. 1038

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1039 NS = nonsignificant = P > 0.05.
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1040 * = P < 0.05 1041 ** = P < 0.01 1042 *** = P < 0.001 1043 **** = P < 0.0001 1044 1045

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