

# Colistin Susceptibility in Companion Animal-Derived *Escherichia coli, Klebsiella* spp., and *Enterobacter* spp. in Japan: Frequent Isolation of Colistin-Resistant *Enterobacter cloacae* Complex

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Transmission of colistin-resistant Enterobacterales from companion animals to humans poses a clinical risk as colistin is a last-line antimicrobial agent for treatment of multidrugresistant Gram-negative bacteria including Enterobacterales. In this study, we investigated the colistin susceptibility of 285 Enterobacterales (including 140 Escherichia coli, 86 Klebsiella spp., and 59 Enterobacter spp.) isolated from companion animals in Japan. We further characterized colistin-resistant isolates by multilocus sequence typing (MLST), phylogenetic analysis of hsp60 sequences, and population analysis profiling, to evaluate the potential clinical risk of companion animal-derived colistin-resistant Enterobacterales to humans in line with the One Health approach. All E. coli isolates were susceptible to colistin, and only one Klebsiella spp. isolate (1.2%, 1/86 isolates) was colistin resistant. Enterobacter spp. isolates were frequently colistin resistant (20.3%, 12/59 isolates). In colistin-resistant Enterobacter spp., all except one isolate exhibited colistin heteroresistance by population analysis profiling. These colistin-heteroresistant isolates belonged to clusters I, II, IV, VIII, and XII based on hsp60 phylogeny. MLST analysis revealed that 12 colistin-resistant Enterobacter spp. belonged to the Enterobacter cloacae complex; five Enterobacter kobei (four ST591 and one ST1577), three Enterobacter asburiae (one ST562 and two ST1578), two Enterobacter roggenkampii (ST606 and ST1576), and Enterobacter hormaechei (ST1579) and E. cloacae (ST765) (each one strain). Forty-two percent of the colistin-resistant E. cloacae complex isolates (predominantly ST562 and ST591) belonged to lineages with human clinical isolates. Four E. kobei ST591 isolates were resistant to third-generation cephalosporines, aminoglycosides, and fluroquinolones but remained susceptible to carbapenems.

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1

In conclusion, our study is the first to our knowledge to report the frequent isolation of the colistin-resistant *E. cloacae* complex from companion animals. Furthermore, a subset of isolates belonged to human-associated lineages with resistance to multiple classes of antibiotics. These data warrant monitoring carriage of the colistin-resistant *E. cloacae* complex in companion animals as part of a domestic infection control procedure in line with the One Health approach.

Keywords: bacteria, Enterobacterales, Enterobacter spp, antimicrobial resistance, colistin

# INTRODUCTION

Colistin is a last-line antimicrobial agent used for treatment of multidrug-resistant Gram-negative bacteria, including Entero bacterales (Falagas and Kasiakou, 2005). Spread of colistin resistance therefore poses a major therapeutic problem for the treatment of human infections. The plasmid-mediated colistin resistance gene, mcr, has spread worldwide (Hussein et al., 2021). mcr encodes a phosphoethanolamine transferase and reduces colistin susceptibility by modifying the lipid A component of lipopolysaccharide with phosphoethanolamine (Hussein et al., 2021). The mcr variants from mcr-1 to mcr-10 have been known, whereas few countries have reported mcr-9 and mcr-10 (Wang et al., 2020). Recently, we identified mcr-9 and mcr-10 in Enterobacter asburiae and Enterobacter roggenkampii, respectively, from companion animals in Japan (Sato et al., 2021a; Sato et al., 2021b). These genes were encoded by plasmids with high sequence similarity to those from human clinical isolates (Sato et al., 2021a; Sato et al., 2021b). These observations suggested that horizontal transfer of the plasmid encoding mcr-9 and mcr-10 occurs between isolates from humans and companion animals, which may pose a major risk to human health. However, the overall risk of transmission of colistinresistant Enterobacterales from companion animals to humans was unclear, partly because of an incomplete characterization of carriage of these organisms in companion animals.

In this study, we investigated the colistin susceptibility of *Enterobacterales* isolates derived from companion animals in Japan and characterized colistin-resistant isolates using molecular epidemiological analysis.

# MATERIALS AND METHODS

### **Bacterial Isolates**

Our bacterial collection encompassed 285 *Enterobacterales* [140 *Escherichia coli*, 86 *Klebsiella* spp. (84 *Klebsiella pneumoniae* and two *Klebsiella quasipneumoniae*), and 59 *Enterobacter* spp. (51 *Enterobacter cloacae* complex and eight *Enterobacter aerogenes*)]. These isolates were derived from clinical specimens of 285 companion animals (211 dogs and 74 cats) from 2003 to 2016 at veterinary clinics throughout Japan (Harada et al., 2012; Harada et al., 2016; Harada et al., 2017). This study was carried out in accordance with the Guidelines for Proper Conduct of Animal Experiments of the Science Council of Japan (Harada et al., 2012; Harada et al., 2012; Harada et al., 2016; Harada et

2017). Bacterial strains were isolated as part of a routine veterinary examination without invasive operations on the companion animals.

## **Antimicrobial Susceptibility**

Susceptibility to colistin was determined using the broth microdilution method according to the Clinical Laboratory Standard Institution (CLSI) guidelines (Clinical and Laboratory Standards Institute, 2020). E. coli strain ATCC 25922 was used as a reference. Isolates were classified as resistant if their colistin minimum inhibitory concentrations (MIC) was greater than 4 mg/l according to CLSI breakpoint guidelines (Clinical and Laboratory Standards Institute, 2020). To evaluate the "skipwell" phenomenon, bacterial density in each well of the microbroth dilution plate was determined by measuring their optical density at 600nm (OD<sub>600nm</sub>) using a TECAN Infinite M200 PRO microplate reader (Tecan, Kawasaki, Japan). OD<sub>600nm</sub> values of less than 0.1 were considered as no growth. Antimicrobial susceptibilities of a subset of isolates to cefotaxime, ceftazidime, meropenem, gentamicin, and ciprofloxacin were reported previously (Harada et al., 2017).

### Heat Shock Protein (hsp60) Phylogeny

The *hsp60* phylogeny was determined as previously reported (Hoffmann and Roggenkamp, 2003). Nucleotide sequences were aligned using MAFFT (Katoh et al., 2019), and MEGA X (Kumar et al., 2018; Stecher et al., 2020) was used to generate a phylogenetic tree using the Neighbor-Joining method (Saitou and Nei, 1987). The reference strains of each cluster were used as previously reported (Hoffmann and Roggenkamp, 2003).

# Genome Sequencing and Identification of STs and Antimicrobial Resistance Genes

Genomic DNA was isolated using the DNeasy Blood & Tissue Kit (Qiagen, Hilden, Germany). Genomic libraries were prepared by Nextera DNA Flex Library Prep for Illumina sequencing according to the manufacturer's instructions (Illumina, San Diego, CA). Genome sequencing was performed using the HiSeq 2000 instrument (Illumina). Filtered subreads (in fastq format) were *de novo* assembled with 300-bp paired-end reads after trimming using the CLC Genomic Workbench (Qiagen). The sequence data (in.fasta format) were used to identify *E. cloacae* complex species by KmerFinder 3.2 and sequence types (STs) by multilocus sequence typing (MLST) analysis. Antimicrobial resistance genes were identified using ResFinder

from the Center for Genomic Epidemiology (http://www. genomicepidemiology.org).

# **Population Analysis Profiling**

An evaluation of colistin heteroresistance by population analysis profiling (PAP) was carried out as previously described (Guerin et al., 2016). Briefly, isolates were inoculated into 1 ml of Tryptic soy broth (Becton Dickinson GmbH, Heidelberg, Germany) and incubated at 37°C overnight. One hundred microliters of each serial dilution was plated on Mueller Hinton II (MHII) agar plates (Becton Dickinson GmbH) supplemented with 0, 0.125, 0.5, or 8 mg/l of colistin and cultured for 20 h at 37°C.

After counting the number of colony-forming units (cfu), the frequency of the population displaying colistin resistance was calculated by dividing the number of cfu on colistin-containing MHII agar by the cfu on plain-MHII agar. Colistin heteroresistance was determined using a previously published method based on the following criteria (Andersson et al., 2019). 1) Bacterial growth was confirmed in >4 mg/l of colistin by microbroth dilution, and 2) the colistin concentration exhibiting the highest inhibitory effect on the growth of the resistant subpopulation (with a frequency range from  $1 \times 10^{-5}$  to  $1 \times 10^{-1}$ ) was at least eight-fold greater (= 8 mg/l of colistin) than the highest colistin concentration exhibiting no effect on the growth of the colistin-susceptible population (= 0.125 or 0.5 mg/l of colistin) as described in previous studies (Guerin et al., 2016; Juhasz et al., 2017).

# RESULTS

# Colistin Susceptibility in *Enterobacterales* spp. Derived From Companion Animals

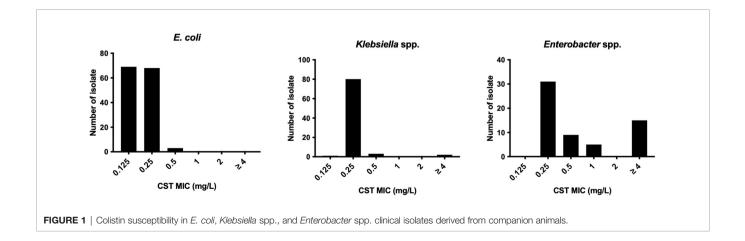
All *E. coli* isolates tested were susceptible to colistin, with MIC ranging from  $\leq 0.125$  to 0.5 mg/l (MIC<sub>50</sub> and MIC<sub>90</sub> were 0.125 and 0.25 mg/l, respectively) (**Figure 1**). In *Klebsiella* spp., only one isolate of *K. pneumoniae* (1.2%, 1/86 isolates) was colistin resistant with MICs ranging from  $\leq 0.125$  to >4 mg/l (MIC<sub>50</sub> and MIC<sub>90</sub> were both 0.25 mg/l). Instead, the colistin MICs of *Enterobacter* spp. ranged from 0.25 to >4 mg/l (MIC<sub>50</sub> and

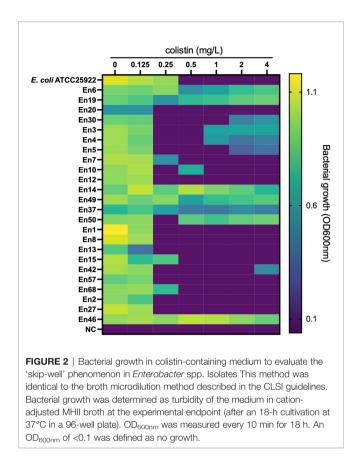
 $MIC_{90}$ , 0.25 and >4 mg/l, respectively), and the frequency of colistin resistance was significantly higher (20.3%, 12/59 isolates) than that of *E. coli* and *Klebsiella* spp. (p < 0.05). All of the 12 colistin-resistant isolates belonged to the *E. cloacae* complex including *Enterobacter kobei* (8.5%), *E. asburiae* (5.1%), *E. roggenkampii* (3.4%), *Enterobacter hormaechei*, and *E. cloacae* (each 1.7%), and no colistin-resistant *E. aerogenes* was observed. Six colistin-resistant *Enterobacter* isolates (En3, En4, En4, En30, En42, and En50 strains) exhibited a "skip-well" phenotype whereby they grew in the presence of 2 mg/l colistin, but not within the range of 0.25 to 1 mg/l colistin (**Figure 2**).

# Antimicrobial Susceptibility and Detection of Antimicrobial Resistance Genes

According to clinical breakpoints, 50.0% (6 isolates) and 41.6% (n = 5 isolates) of 12 colistin-resistant *E. cloacae* complex isolates exhibited resistance to fluoroquinolones (ciprofloxacin) and third-generation cephalosporins (cefotaxime and ceftazidime), and aminoglycosides (gentamicin), respectively, whereas they remained susceptible to carbapenem (meropenem) (**Table 1**). Furthermore, 33.3% (4/12 isolates, En3, En4, En5, and En14) of the colistin-resistant isolates were co-resistant to ciprofloxacin, third-generation cephalosporins, and gentamicin.

Using ResFinder, we found that two colistin-resistant E. cloacae complex isolates possessed mcr-9 (E. asburiae En30) (Sato et al., 2021a) and mcr-10 (E. roggenkampii En37) (Sato et al., 2021b), as previously reported. Other colistin-resistant E. cloacae complex isolates did not possess mcr genes. E. kobei En3, En4, En5, and En14 possess the extended-spectrum  $\beta$ -lactamase gene *bla*<sub>SHV-12</sub>, in addition to the class A and *ampC*  $\beta$ -lactamase genes *bla*<sub>TEM-1B</sub> and *bla*<sub>ACT-9</sub>, respectively (**Table 1**). Additional  $\beta$ -lactamase genes ( $bla_{ACT-3}$ ,  $bla_{ACT-7}$ ,  $bla_{ACT-10}$ ,  $bla_{DHA-1}$ , bla<sub>MIR-2</sub>, bla<sub>MIR-3</sub>, bla<sub>CMH-3</sub>) were detected in other colistinresistant isolates. The quinolone resistance gene qnrB2 was detected in five colistin-resistant E. cloacae complex isolates, and *qnrE1* was also detected in the colistin-resistant strain (En19). The aminoglycoside resistance genes, aph(3'')-Ib, aph(6)-Id, aac(3)-IIa, aac(6')-Ib3, aac(6')-IIc, and/or aac(6')-Ib-cr, were also detected in colistin-resistant E. cloacae complex isolates (Table 1).





#### hsp60 Phylogeny and MLST Analysis

#### **PAP of the Colistin-Resistant Population**

To evaluate a potential colistin heteroresistance phenotype, we calculated the frequency of colistin resistance in populations of *Enterobacter* spp. isolates using PAP (**Figure 4**). All the colistin-resistant *Enterobacter* spp. isolates exhibited a colistin-resistant population with a greater than  $1 \times 10^{-5}$  frequency. The frequency of the colistin-resistant subpopulation of 11 colistin-resistant *Enterobacter* spp. isolates ranged between  $1.8 \times 10^{-4}$  and  $2.6 \times 10^{-2}$ . The frequency of the colistin-resistant population in the stably resistant strain En46 was  $8.0 \times 10^{-1} \pm 2.0 \times 10^{-2}$ . Conversely, the

frequency of colistin-resistant populations in colistin-susceptible isolates was lower than  $1\times 10^{-5}.$ 

#### DISCUSSION

In this study, we investigated the colistin susceptibility of *Enterobacterales* derived from companion animals. To the best of our knowledge, this is the first report to evaluate the colistin susceptibility of *Enterobacter* spp. and reveal a high frequency of colistin-resistant *E. cloacae* complex isolates derived from companion animals, although colistin is not used in companion animal medicine in Japan.

The E. cloacae complex comprises major nosocomial pathogens, which cause opportunistic urinary, respiratory, and bloodstream infections in human (Davin-Regli et al., 2019) as well as in veterinary clinical settings (Harada et al., 2017). The E. cloacae complex is also clinically important as one of "ESKAPE" (Rice, 2008) pathogens due to its high frequency and multidrug resistance. These observations warrant careful monitoring of the E. cloacae complex as acquisition of colistin resistance may leave very few therapeutic options in the clinic. No colistin-resistant E. cloacae complex isolates characterized in our study were resistant to carbapenems (Harada et al., 2017). However, some colistin-resistant isolates were resistant to third-generation cephalosporins (cefotaxime and ceftazidime) due to the harboring of the genes encoding ESBL (bla<sub>SHV-12</sub>) and/or AmpC ( $bla_{ACT-9}$  and  $bla_{ACT-10}$ )  $\beta$ -lactamases, in addition to co-resistance to fluoroquinolone and aminoglycoside. Although it is difficult to discuss that this prevalence was high or not because antimicrobial susceptibility and the anti microbial resistance genes have not been well elucidated by using a sufficient number of Enterobacter spp. isolates from companion animals, these colistin-resistant isolates (E. kobei En3, En4, En5, and En14) may pose a significant risk due to a lack of effective treatment options.

Colistin-resistant E. cloacae complex isolates derived from human clinical specimens exhibit heteroresistance (Guerin et al., 2016). Colistin-heteroresistant isolates harbor the colistinresistant subpopulation at a certain frequency (frequency of between  $1 \times 10^{-5}$  and  $1 \times 10^{-1}$ ) (Andersson et al., 2019), and a skip-well phenomenon is typically observed in some cases of colistin-heteroresistant isolates derived from human clinical settings (Guerin et al., 2016; Kang et al., 2019). Consistent with this, all colistin-resistant E. cloacae complex isolates, except one strain (En46; frequency of colistin-resistant subpopulation was  $8.0 \times 10^{-1}$ ), harbored colistin-resistant subpopulations at a frequency of between  $1 \times 10^{-5}$  and  $1 \times 10^{-1}$  by PAP, and some colistin-resistant isolates exhibited the "skip-well" phenomenon in the microbroth dilution method. These suggest that like the human clinical context, E. cloacae complex isolates from companion animals also exhibit colistin heteroresistance. Most isolates that exhibited the skip-well phenomenon displayed a colistin-resistant subpopulation at a frequency of between 10<sup>-3</sup> and 10<sup>-4</sup>. This frequency was approximately 10-fold lower than other colistin-heteroresistant isolates that grew at all tested

Strains	Enterobacter spp.	Origins	Specimens	Antimicrobial susceptibility (MIC, mg/L)						hsp60 cluster	MLST	Antimicrobial resistance genes
				стх	CAZ	MEM	GEN	CIP	CST	-140101		
En3	E. kobei	Dog	Urine	64 <sup>a</sup>	128 <sup>a</sup>	<0.125 <sup>a</sup>	>1 <u>2</u> 8ª	64 <sup>a</sup>	>128 <sup>b</sup>	II	ST591°	catA2, sul1, sul2, aac(6)-lb3, aac(6)-llc, ere(A), qnrB2, aac(6)-lb-ci tet(D), fosA, bla <sub>TEM-1B</sub> , bla <sub>SHV-12</sub> , bla <sub>ACT-9</sub>
En4	E. kobei	Dog	Urine	64ª	128 <sup>a</sup>	<0.125 <sup>a</sup>	>128ª	64 <sup>a</sup>	>128 <sup>b</sup>	II	ST591°	catA2, sul1, sul2, aac(6)-lb3, aac(6)-llc, ere(A), qnrB2, aac(6)-lb-cr tet(D), fosA, bla <sub>TEM-1B</sub> , bla <sub>SHV-12</sub> , bla <sub>ACT-9</sub>
En5	E. kobei	Dog	Urine	64 <sup>a</sup>	>128ª	<0.125 <sup>a</sup>	>128 <sup>a</sup>	64 <sup>a</sup>	>128 <sup>b</sup>	II	ST591°	catA2, sul1, sul2, aac(6)-lb3, aac(6)-llc, ere(A), qnrB2, aac(6)-lb-cr tet(D), fosA, bla <sub>TEM-1B</sub> , bla <sub>SHV-12</sub> , bla <sub>ACT-9</sub>
En14	E. kobei	Cat	Kidney	32 <sup>a</sup>	128 <sup>a</sup>	<0.125 <sup>a</sup>	128 <sup>a</sup>	128 <sup>a</sup>	>128	II	ST591°	tet(D), catA2, bla <sub>TEM-1B</sub> , bla <sub>SHV-12</sub> , bla <sub>ACT-9</sub> , qnrB2, fosA, ere(A), aac(6)-llc, sul1, sul2
En49	E. kobei	Dog	Urine	0.25	0.25	<0.125	0.5	<0.125	>128	11	ST1577	fosA, bla <sub>ACT-9</sub>
En6	E. asburiae	Dog	Prostate	16 <sup>a</sup>	32 <sup>a</sup>	<0.125 <sup>a</sup>	0.5 <sup>a</sup>	4 <sup>a</sup>	>128	I.	ST1578	aph(3")-lb, aph(6)-ld, fosA, bla <sub>ACT-10</sub>
En19	E. asburiae	Cat	Skin	0.125	0.25	<0.125	0.25	<0.125	>128	I	ST562 <sup>c</sup>	bla <sub>ACT-3</sub> , qnrE1
En30	E. asburiae	Cat	Nasal cavity	0.5	2	<0.125	>128	16	>128 <sup>b</sup>	I	ST1578	catA2, sul1, tet(D), mph(A), aac(6)-lb3, aph(6)-ld, aph(3")-lb, aac (3)-lla, aac(6)-lb-cr, qnrB4, fosA, dfrA19, dfrA17, bla <sub>DHA-1</sub> , bla <sub>ACT-10</sub> , bla <sub>TEM-1B</sub> , mcr-9
En37	E. roggenkampii	Dog	Pus	<0.125	0.24	<0.125	0.5	<0.125	>128	IV	ST1576	bla <sub>MIR-2</sub> , mcr-10
En50	E. roggenkampii	Cat	Urine	0.5	0.5	<0.125	0.5	<0.125	>128 <sup>b</sup>	IV	ST606	fosA, bla <sub>MIR-3</sub>
En42	E. hormaechei	Dog	Urine	0.5	0.5	<0.125	0.5	<0.125	>128 <sup>b</sup>	VIII	ST1579	bla <sub>ACT-7</sub>
En46	E. cloacae	Dog	Pus	0.25	0.5	<0.125	1	<0.125	>128	XII	ST765	fosA, bla <sub>CMH-3</sub>

TABLE 1 Characteristics of colistin-resistant Enterobacter cloacae complex isolates derived from companion animals.

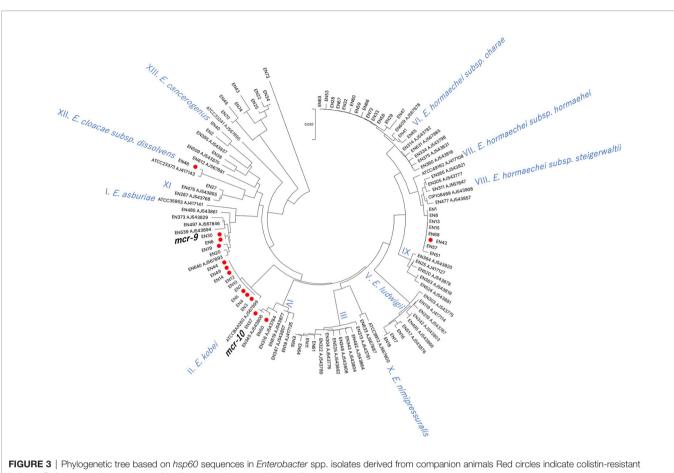
CTX, cefotaxime; CAZ, ceftazidime; MEM, meropenem; CIP, ciprofloxacin; CST, colistin; a, previously determined (Harada et al., 2017); b, "skip-well" phenotype was observed during the colistin susceptibility test; c, identical STs with human clinical isolates (Wang et al., 2017; Zhou et al., 2018).

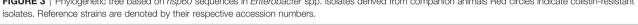
colistin concentrations, suggesting that the skip-well phenomenon reflects the density of colistin-resistant populations.

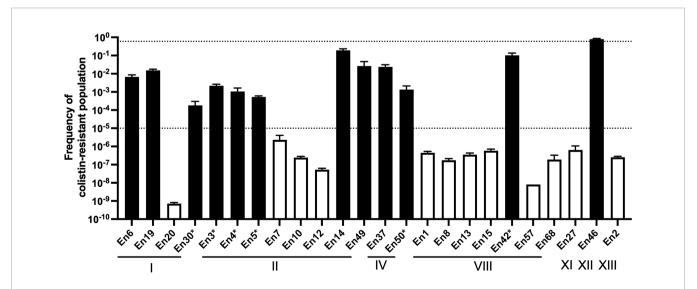
Previous studies demonstrated that infection of mice with colistin-heteroresistant E. cloacae led to colistin treatment failure (Band et al., 2016). Thus, transmission of colistin-heteroresistant E. cloacae complex isolates from companion animals to humans poses a potential risk to human health. Previous studies demonstrated that colistin-heteroresistant E. cloacae complex isolates derived from human clinical settings belonged to distinct clusters (I, II, IV, IX, X, XI, and XII) based on hsp60 phylogeny (Guerin et al., 2016). In this study, four (I, II, IV, and XII) of the five identified clusters of colistin-resistant isolates were identical to those of human origin. In addition, the sequence types, E. kobei ST591 (En3, En4, and En5; hsp60 phylogeny cluster II) (Zhou et al., 2018) and E. asburiae ST562 (En19; hsp60 phylogeny cluster I) (Wang et al., 2017), identified in this study have been reported in the human clinical setting. Carriage of resistance to multiple classes of antimicrobial agents in E. kobei ST591 isolates (En3, En4 and En5) suggests the risk of transmission to humans where there may be few treatment options. Therefore, careful monitoring of transmission of colistin-resistant E. cloacae complex isolates between companion animals and humas is required in line with the One Health approach.

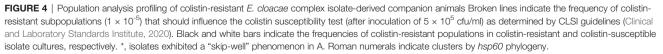
Previous studies have reported that the mechanisms underpinning colistin heteroresistance in *E. cloacae* complex strains are encoded on the chromosome (Kang et al., 2019). The two-component system PhoPQ causes ArnT to modify lipid A of the bacterial lipopolysaccharide with a 4-amino-4-deoxy-L-arabinose, resulting in colistin heteroresistance (Kang et al., 2019). However, the mechanism underpinning colistin heteroresistance in strains isolated from companion animals remains unclear and requires further investigation. Furthermore, the influence of *mcr* on colistin heteroresistance in *E. cloacae* requires further study, as one *E. asburiae* (En30) and one *E. roggenkampii* (En37) isolate possessed *mcr-9* and *mcr-10*, respectively (Sato et al., 2021a; Sato et al., 2021b) but had no significant colistin-resistant subpopulation frequency compared with other non-*mcr*-possessing isolates from the same *hsp60* phylogenetic cluster.

In conclusion, this study is the first to demonstrate the highfrequency isolation of colistin-resistant *E. cloacae* complex strains from companion animals in Japan. This finding provides an enhanced understanding of the spread of colistinresistant bacteria in companion animals and highlights a strategy to prevent the spread of resistant isolates in small animal clinics and domestically. Clustering of human and companion animalassociated colistin-resistant *E. cloacae* complex isolates with resistance to multiple classes of antimicrobial agents by *hsp60* phylogeny and STs suggests the possibility of a frequent transmission between humans and companion animals. These data highlight the need for a One Health approach in tackling the spread of drug-resistant pathogens.









# DATA AVAILABILITY STATEMENT

The data presented in the study are deposited in the DDBJ/ENA/ GenBank repository, accession number DRX366470 - DRX366481.

## **ETHICS STATEMENT**

The animal study was reviewed and approved by Guidelines for Proper Conduct of Animal Experiments of the Science Council of Japan. Written informed consent was obtained from the owners for the participation of their animals in this study.

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## **AUTHOR CONTRIBUTIONS**

TS is responsible for the study design. KH and MU designed this study. KH assisted with sample collection. MU assisted in data analysis. SY and MH completed the written report. All authors contributed to the article and approved the submitted versions.

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