



Development and Validation of Ischemic Events Related Signature After Carotid Endarterectomy

Chunguang Guo^{1†}, Zaoqu Liu^{2†}, Can Cao^{3†}, Youyang Zheng⁴, Taoyuan Lu⁵, Yin Yu⁶, Libo Wang⁷, Long Liu⁷, Shirui Liu¹, Zhaohui Hua¹*, Xinwei Han²* and Zhen Li^{1*}

¹Department of Endovascular Surgery, The First Affiliated Hospital of Zhengzhou University, Zhengzhou, China, ²Department of Interventional Radiology, The First Affiliated Hospital of Zhengzhou University, Zhengzhou, China, ³Institute for Cardiovascular Physiology, Goethe University, Frankfurt, Germany, ⁴Department of Cardiology, The First Affiliated Hospital of Zhengzhou University, Zhengzhou, China, ⁵Department of Cerebrovascular Disease, Zhengzhou University People's Hospital, Zhengzhou, China, ⁶Department of Pathophysiology, School of Basic Medical Sciences, The Academy of Medical Science, Zhengzhou University, Zhengzhou, China, ⁷Department of Hepatobiliary and Pancreatic Surgery, The First Affiliated Hospital of Zhengzhou University, Zhengzhou, China

OPEN ACCESS

Edited by:

Xianwei Wang, Xinxiang Medical University, China

Reviewed by:

Anton G. Kutikhin, Russian Academy of Medical Sciences, Russia Diansan Su, Shanghai JiaoTong University, China

*Correspondence:

Zhaohui Hua huazhaohuisfy@163.com Xinwei Han fcchanxw@zzu.edu.cn Zhen Li lizhen1029@hotmail.com

[†]These authors have contributed equally to this work and share first authorship

Specialty section:

This article was submitted to Molecular and Cellular Pathology, a section of the journal Frontiers in Cell and Developmental Biology

> Received: 13 October 2021 Accepted: 04 March 2022 Published: 17 March 2022

Citation:

Guo C, Liu Z, Cao C, Zheng Y, Lu T, Yu Y, Wang L, Liu L, Liu S, Hua Z, Han X and Li Z (2022) Development and Validation of Ischemic Events Related Signature After Carotid Endarterectomy. Front. Cell Dev. Biol. 10:794608. doi: 10.3389/fcell.2022.794608 **Background:** Ischemic events after carotid endarterectomy (CEA) in carotid artery stenosis patients are unforeseeable and alarming. Therefore, we aimed to establish a novel model to prevent recurrent ischemic events after CEA.

Methods: Ninety-eight peripheral blood mononuclear cell samples were collected from carotid artery stenosis patients. Based on weighted gene co-expression network analysis, we performed whole transcriptome correlation analysis and extracted the key module related to ischemic events. The biological functions of the 292 genes in the key module were annotated via GO and KEGG enrichment analysis, and the protein-protein interaction (PPI) network was constructed via the STRING database and Cytoscape software. The enrolled samples were divided into train (n = 66), validation (n = 28), and total sets (n = 94). In the train set, the random forest algorithm was used to identify critical genes for predicting ischemic events after CEA, and further dimension reduction was performed by LASSO logistic regression. A diagnosis model was established in the train set and verified in the validation and total sets. Furthermore, fifty peripheral venous blood samples from patients with carotid stenosis in our hospital were used as an independent cohort to validation the model by RT-qPCR. Meanwhile, GSEA, ssGSEA, CIBERSORT, and MCP-counter were used to enrichment analysis in high- and low-risk groups, which were divided by the median risk score.

Results: We established an eight-gene model consisting of *PLSCR1*, *ECRP*, *CASP5*, *SPTSSA*, *MSRB1*, *BCL6*, *FBP1*, and *LST1*. The ROC-AUCs and PR-AUCs of the train, validation, total, and independent cohort were 0.891 and 0.725, 0.826 and 0.364, 0.869 and 0.654, 0.792 and 0.372, respectively. GSEA, ssGSEA, CIBERSORT, and MCP-counter analyses further revealed that high-risk patients presented enhanced immune signatures, which indicated that immunotherapy may improve clinical outcomes in these patients.

1

Conclusion: An eight-gene model with high accuracy for predicting ischemic events after CEA was constructed. This model might be a promising tool to facilitate the clinical management and postoperative surveillance of carotid artery stenosis patients.

Keywords: ischemic events, carotid endarterectomy, diagnosis model, machine leaning, immune infiltration

1 INTRODUCTION

Ischemic events, mainly ischemic heart disease and ischemic stroke, are the leading cause of death and disability worldwide (Murray and Lopez, 1997; Campbell et al., 2019). The main etiology of ischemic events is atherosclerosis formation, which arises from inflammation, lipid deposition and plaque fibrosis in the vascular endothelium over decades (Franceschini et al., 2018; Libby et al., 2019). Therefore, it is necessary to accurately identify atherosclerotic patients who are more prone to ischemic events. With developments in medicine and technology, multiple diagnostic techniques have been used to identify people at high risk of ischemic events, including noninvasive (such as computed tomography, biomarkers, stress testing and nuclear scanning) and invasive (such as selective and superselective arteriography) techniques (Dagvasumberel et al., 2012; Zhang et al., 2017; Martinez et al., 2020; Varasteh et al., 2021). Nonetheless, these methods have only moderate prediction accuracies, and some high-risk patients are not identified early, which leads to ischemic events (Penalvo et al., 2016). Thus, new methods to identify patients at high risk for ischemic events are urgently needed.

Indeed, substantial efforts have been made to cope with the occurrence of ischemic events, and carotid endarterectomy (CEA) has been indicated to be one of the most critical techniques (Howell, 2007). Unstable plaque shedding of the carotid intima is an important cause of cardiovascular and cerebrovascular occlusion, especially at the carotid bifurcation. In clinical practice, CEA is the optimal treatment modality to prevent ischemic events in patients with atheromatous disease at the carotid bifurcation (Howell, 2007; Rerkasem et al., 2020). However, this prophylactic surgery does not provide complete prevention, and some patients who undergo regular CEA surgery may still experience ischemic events (Folkersen et al., 2012). The recurrence of ischemic events (including ischemic stroke and myocardial infarction) after CEA is an important and urgent issue, but few studies have focused on it (Folkersen et al., 2012; Zenonos et al., 2012).

With the development of bioinformatics and machine learning, elegant studies have broadly applied artificial intelligence in the medical field because it better describes the complexity and unpredictability of human physiology (Deo, 2015; Rajkomar et al., 2019). Compared with traditional imaging diagnostic methods, machine learning can extract the most critical characteristics of the disease from high-dimensional variables to improve the performance of predicting ischemic events after CEA (Heo et al., 2019). With the help of these algorithms (such as random forest (Svetnik et al., 2003), and least absolute shrinkage and selection operator (LASSO) (Tibshirani, 1997)), researchers could identify the key factors in predicting recurrent ischemic events after CEA from massive data.

In this study, we retrieved gene expression data and clinical information from GEO for 97 patients. The hub genes of recurrent ischemic events after CEA were identified by machine learning algorithms and validated in an independent cohort (which included 50 samples from our hospital). Finally, we developed and validated a diagnostic model for predicting the probability of ischemic events after CEA. Based on this model, it is possible to intervene recurrent ischemic events after CEA in advance and improve clinical outcomes.

2 MATERIALS AND METHODS

2.1 Data Source

The workflow of the overall analysis is shown in **Figure 1**. The gene expression and clinical annotation data of GSE21545 (Folkersen et al., 2012) were retrieved from the Gene Expression Omnibus (GEO) database. This dataset was based on an Affymetrix [®] platform (GPL570) and included 97 peripheral blood mononuclear cell samples. The raw data were processed using the robust multichip analysis (RMA) algorithm implemented in the "Affy" R package. RMA was used to perform background adjustment, quantile normalization, and final summarization of oligonucleotides per transcript using the median polish algorithm (Liu et al., 2022a). The baseline clinical data of patients were presented in **Supplementary Table S1**.

2.2 Weighted Gene Co-Expression Network Analysis

Based on gene expression profiles, a total of 22,880 genes were identified from the samples. All genes were sorted in descending order according to their expression variability, which was calculated by the median absolute deviation in the entire dataset. To ensure the rationality of network construction, we excluded the outlier samples using an optimal version of hierarchical clustering, which applied Euclidean distance and averaging methods to rearrange the samples. Next, based on the top 5,000 genes, a gene co-expression network was constructed using the "WGCNA" R package (Langfelder and Horvath, 2008). We used step-by-step methods to construct gene networks. To meet the criterion of scale-free network distribution, the Pearson correlation coefficient between paired genes was calculated, and the optimum soft threshold β was selected. First, the Pearson's correlation value between paired genes was used to acquire a similarity matrix. Next, with the optimum soft threshold value, the similarity matrix was



transformed to an adjacency matrix. The adjacency matrix was calculated by setting the parameter $amn = |cmn| \beta$ (cmn = Pearson's correlation between genes m and n; amn =

adjacency between genes m and n). Subsequently, the adjacency matrix was transformed into a topological overlap matrix (TOM), which was used to describe the similarity of

gene expression, and 1-TOM was used to describe the dissimilarity between genes. Finally, a dynamic tree algorithm was used to partition the modules of the hierarchical clustering results (minimum module size = 30; deep-split = 2; cut tree height = 0.99; merge module height = 0.25). To further investigate the module, the dissimilarity of the module eigengene (ME) was calculated. A cut line for the module dendrogram was selected, and then the modules with cutting height <0.25 were merged (Guo et al., 2022).

2.3 Identification of Clinically Significant Modules

MEs were used for the component analysis of each module, and modules with similar expression profiles showed highly correlated eigengenes. The relevant modules were identified by calculating the correlation between the ME and ischemic events. The gene module with the highest correlation coefficient and a p <0.05 was considered the most relevant module to ischemic events and was defined as the key module.

2.4 Protein-Protein Interaction Network Construction

All genes in the key module with a minimum level of confidence greater than 0.4 were submitted to the Search Tool for the Retrieval of Interacting Genes/Proteins (STRING) (https://string-db.org/) database version 11.0 (Szklarczyk et al., 2017; Szklarczyk et al., 2019). Protein interaction data obtained from the STRING database were used to calculate the degrees of genes by Cytoscape software (version 3.8.0; https://cytoscape.org/) (Shannon et al., 2003). Based on the maximal clique centrality (MCC) algorithm, significant modules with strong protein interactions were calculated and selected by Molecular Complex Detection (MCODE), which is a plugin in Cytoscape. The parameter settings for MCODE were as follows: degree cut ≥ 2 , K-core ≥ 2 , node score cut ≥ 2 , and maximum depth = 100.

2.5 Functional Enrichment Analysis

"ClusterProfiler" (Yu et al., 2012; Liu et al., 2021a), a Bioconductor package, was used to perform Gene Ontology (GO) and Kyoto Encyclopedia of Genes and Genomes (KEGG) pathway enrichment analysis. The terms with p < 0.05 were considered significant.

2.6 Random Forest

To identify genes associated with ischemic events, random forest was employed. Random forest, originally proposed by Breiman (Mantero and Ishwaran, 2021), is an ensemble learning algorithm that can construct abundant trees and predict outcomes by voting across all trees. In this study, the expression values of all genes in the key module were extracted and merged with the clinical characteristic information of the samples. Then, all samples were randomly divided into train (75% of samples, n = 66) and validation datasets (25% of samples, n = 28). Finally, "randomForestSRC" version 2.9.3 (which provides fast

computing of unified random forests for survival, regression, and classification), a package in R, was used to screen out key genes associated with ischemic events in the train dataset.

2.7 LASSO Logistic Regression Model

To further identify genes associated with ischemic events after CEA, the LASSO regression algorithm (Lockhart et al., 2014) was used to obtain the coefficient for each key gene selected by random forest. To achieve this purpose, we used the "glmnet" (Friedman et al., 2010) R package (which is used for LASSO and elastic-net regularized generalized linear models). The alpha parameter of glmnet was set to 1, and the lambda value was chosen by cross-fold validation of the key gene set (5-fold cross-validation). Ultimately, the diagnostic model achieved the best lambda value, and its predictive accuracy in the train and validation sets was assessed by receiver operating characteristic (ROC) curve and precision recall (PR) curve.

2.8 Human Carotid Artery Stenosis Specimens

Participants fulfilling all of the following inclusion criteria are eligible for the study: 1) Imaging revealed carotid artery stenosis; 2) have clearly defined indications for surgery; 3) Patients with valvular heart disease, blood diseases, and malignant tumors were excluded. A total of 50 peripheral venous blood samples were collected from patients with carotid stenosis in the First Affiliated Hospital of Zhengzhou University. The baseline clinical data of patients were presented in **Supplementary Table S1**. The specimens obtained upon admission to the hospital and stored at -80° C until use in quantitative real-time qPCR (RT-qPCR). The Research Ethics Committee of the First Affiliated Hospital of Zhengzhou University approved this study, which was consistent with the Declaration of Helsinki, and the TRN is 2019-KW-94.

2.9 RNA Isolation and RT-qPCR

Total RNA was isolated from peripheral blood using RNAiso Plus (Takara, Dalian, China) according to the manufacturer's instructions. The integrity and purity of the extracted total RNA were measured using NanoDrop One (Thermo Fisher Scientific, Waltham, United States) ultra-micro UV spectrophotometer. Reverse transcription was performed using the PrimeScript RT reagent Kit (Takara, Dalian, China) with gDNA Eraser. Serum RNA was reverse transcribed into cDNA using a RevertAid H Minus First Strand cDNA Synthesis Kit (Thermo Fisher Scientific, Waltham, United States) under the following conditions: 25°C for 5 min, 42°C for 60 min, and 70°C for 5 min. The product was immediately stored at -80°C until use.

The RT-qPCR was performed on a QuantStudio five Real-Time PCR System (Applied Biosystems, Foster City, United States) using a Hieff qPCR SYBR Green Master Mix kit (Yeasen, Shanghai, China). The RT-qPCR reaction was performed 95°C for 5 min, followed by 40 cycles of 95°C for 10 s and a primer-specific annealing temperature of 60°C for 30 s. The RT-qPCR primer sequences were provided in



Supplementary Table S1. The relative quantification values for RNA were calculated by the $2-\Delta\Delta$ Ct method. GAPDH was used as an endogenous control for normalization.

2.10 Gene Set Enrichment Analysis and Immune Infiltration Profiles

Based on the median risk score of each sample, the entire study cohort was divided into high- and low-risk groups. The differential genes between the high- and low-risk groups were identified by the "limma" package and sequenced by the log2 (fold change) value. GSEA was used to decipher the underlying biological mechanisms of the genes in this model using GO and KEGG terms (Molecular Signatures database, version: c5. go.v7.4. symbols.gmt and c2. cp.kegg.v7.4. symbols.gmt). After that, the CIBERSORT (Newman et al., 2015; Liu et al., 2022b), MCP- counter (Shi et al., 2020; Liu et al., 2021b) and single-sample gene set enrichment analysis (ssGSEA) (Yi et al., 2020; Liu et al., 2022c) algorithms were used to explore the infiltration abundance of different immune cells between the high- and low-risk groups. Heatmaps and boxplots were used to uncover the degree of difference in the responses of various immune cell subsets between the two groups under different algorithms.

2.11 Statistical Analysis

All data processing, statistical analyses and plotting were completed using the R program (version 4.03). The unpaired Student's t-test and Wilcoxon test were used to compare the differences between two groups. The Benjamin-Hochberg method was used to further calculate the false discovery rate (FDR). For every analysis, statistical significance was considered at p < 0.05.

TABLE 1	Number of	genes (contained in	the	merged	module
		yer les '			I LIEL GEG	mouule.

Modules	Numbers	Modules	Numbers	Modules	Numbers
Blue	2 081	Grov	176	Lightvollow	64
Salmon	372	Greenvellow	121	Boyalblue	63
Pink	289	Darkgreen	116	Darkred	48
Yellow	292	Midnightblu	88	Darkgrey	38
Green	244	Lightcyan	77	Orange	31

3 RESULTS

3.1 Preparation of Data for WGCNA

In this section, we cleaned the raw gene profiles for WGCNA. Based on the 97 PBMC samples with 22,880 gene expression profiles, we calculated the median absolute deviation (MAD) of each gene and retained the top 5,000 genes sorted by the MAD. The hierarchical clustering algorithm was further used for three outlier samples. After removing the three samples, we obtained a clean dataset consisting of 94 PBMC samples with 5,000 gene expression profiles.

3.2 Co-Expression Network Construction

First, the pickSoftThreshold function (from the "WGCNA" R package) was used to select the optimal soft threshold. Under the premise that the absolute value of the correlation coefficient is greater than 0.8, we chose 8 as the optimal soft threshold for constructing scale-free networks (Figure 2A). Next, we employed the cutreeDynamic function (from the "dynamicTreeCut" R package) to identify co-expression modules in the network (Figure 2B), and all genes were clustered among the 26 modules. To reduce the complexity of the network, modules with similarity greater than 0.75 were merged. MergeCloseModules, a function in the "WGCNA" R package,





edges = 139; (E): cluster 2: score = 9.429, node = 15, edges = 66].

was used to merge these modules (cutHeight = 0.25, verbose = 3), and only 15 modules remained. The number of genes in each module is displayed in **Table 1**. After merging the modules,

cluster dendrograms were plotted by the plotDendroAndColors function (from the "WGCNA" R package) (Figure 2B). Ultimately, the heatmap depicted the TOM among 400 genes

(which were randomly selected from all genes) in WGCNA (Figure 3C).

3.3 Identifying Key Clinically Significant Modules

An eigengene adjacency heatmap (**Figure 3A**) was plotted by the plotEigengeneNetworks function (from the "WGCNA" R package) to explore the correlations between modules. In this research, the parameters of 94 samples included ischemic events, age, and time (postprocedure to ischemic event). The occurrence of ischemic events after CEA is an urgent problem to be solved, so our research focused on the early diagnosis of ischemic events. The yellow module (including 292 genes) ($\mathbf{r} = 0.26$, p < 0.01) was the most notable module and had the strongest biological association with ischemic events in patients after CEA (**Figure 3B**).

3.4 GO and KEGG Enrichment Analysis and PPI Network Construction

To further investigate the functional features of the 292 genes in the yellow module, the enrichGO and enrichKEGG functions (from the "clusterProfiler" R package) were used to perform GO and KEGG enrichment analysis. Overall, the top 20 enriched GO terms and KEGG pathways from GO and KEGG enrichment analysis were plotted by the ggplot function (from the "ggplot2" R package) (Figures 4A,B). Among the GO terms, "neutrophil activation involved in immune response", "neutrophil degranulation", "specific granule", "tertiary granule", and "secretory granule membrane" were significantly enriched. Similarly, among the KEGG pathways, "Tuberculosis", "Tolllike receptor signaling pathway", "Hematopoietic cell lineage", "C-type lectin receptor signaling pathway" and "Legionellosis" were significantly enriched. Based on the STRING database and Cytoscape software, a PPI network of the key genes within the yellow module was constructed (Figure 4C). Two key modules in the PPI network were identified by the MCODE plugin. The first module (score = 16.353, nodes = 18, edges = 139) consisted of 18 target genes, including MOSPD2, CYSTM1, PTAFR, CKAP4, CD36, CLEC5A, CD33, CLEC12A, FPR2, ATP8B4, FCAR, CD93, MCEMP1, CLEC4D, GPR84, BST1, FCER1G, and *PLAUR* (Figure 4D). The second module (score = 9.429, nodes = 15, edges = 66) consisted of 15 target genes, including CLEC7A, CCR2, FLT3, MEFV, NLRP3, TLR7, CD86, FCGR1A, NLRC4, TLR2, TNF, THBD, CCR1, CLEC4A, and CTSB (Figure 4E).

3.5 Identification of Optimal Diagnostic Biomarkers for Predicting Ischemic Events

According to the results of WGCNA, the yellow module is most associated with the occurrence of ischemic events after CEA. Using the expression of the genes in the yellow module in the train set, the random forest algorithm was applied. We retained 79 genes with relative importance >0.5. To further simplify the diagnostic model and reduce overfitting, LASSO regression was performed. Eventually, we obtained an eightgene model, including RLSCR1, ECRP, CASP5, SPTSSA, MSRB1, BCL6, FBP1 and LST1 (Figures 5A,B). The final model formula was as follows: risk score = -1.61 -0.24*PLSCR1 + 0.37*ECRP + 0.13*CASP5 + 0.20*SPTSSA - $0.38^*MSRB1 + 0.34^*BCL6 + 0.24^*FBP1 + 0.23^*LST1.$ According to this formula, we calculated the risk score of each patient. Logistic regression analysis showed that the eight-gene model was an independent predictor of ischemic events after CEA in the train dataset (odds ratio [OR] and 95% confidence interval [CI], 2.57 [1.33-7.24]; p = 0.005), validation dataset (OR and 95% CI, 10.64 [1.82-188.51]; p = 0.033) and total dataset (OR and 95% CI, 3.60 [1.60-9.08]; p = 0.003). ROC and PR curve analysis of the diagnostic model for predicting ischemic events was conducted in the train cohort, validation cohort, and total cohort. The ROC-AUCs value was 0.891 in the train cohort, 0.826 in the validation cohort and 0.869 in the total cohort (Figures 5C-E). The PR-AUCs value was 0.725 in the train cohort, 0.364 in the validation cohort and 0.654 in the total cohort (Figures 6A-C). These findings suggested that our model had a high accuracy performance.

3.6 Verification of the Eight-Gene Model Using RT-qPCR

RT-qPCR assays were performed in 50 samples. The risk score for the samples was calculated by the expression of the eight genes and risk score formula. ROC curve analysis of the diagnostic model for predicting ischemic events was conducted in the independent validation cohort. The ROC-AUC (**Figure 5F**) and PR-AUC (**Figure 6D**) value was 0.792 and 0.372 in the independent validation cohort.

3.7 GSEA

A total of 94 samples were divided into high- (n = 47) and lowrisk (n = 47) groups according to the median risk score. GSEA revealed significant GO terms (**Figures 6E,F**) and KEGG pathways (**Figures 6G,H**) in which the differentially expressed genes were concentrated between the two risk subtypes. These were mainly inflammatory and immune infiltration-related functions or pathways, including "lipid and atherosclerosis" (normalized enrichment score (NES) = 1.528, FDR = 0.035), "cytokine-cytokine receptor interaction" (NES = 1.464, FDR = 0.035), "interleukin-6 production" (NES = 2.070, FDR = 0.002), "B cell activation" (NES = -1.891, FDR = 0.002) and "Toll-like receptor signaling pathway" (NES = 1.842, FDR = 0.002). These results indicated that our model has a close connection with inflammatory responses.

3.8 Immune Infiltration Analysis

To explore the infiltration abundance of immune cells between the high- and low-risk groups, three algorithms, CIBERSORT, MCP-counter and ssGSEA, were performed to ensure the stability and reproduction of our results. We calculated the score of different cell subpopulations in 94 samples (**Figure 7A**). Interestingly, we found significant immune cell



FIGURE 5 | The development and validation of the diagnostic model based on the random forest and LASSO regression algorithms. (A): The $log(\lambda)$ value was optimally selected by 5-fold cross-validation and plotted by the partial likelihood deviance. (B): The processes of LASSO regression for screening variables and mapping each variable to a curve. (C-F): ROC curves were used to predict ischemic events after CEA in the train [(C): AUC = 0.891], validation [(D): AUC = 0.826], total [(E): AUC = 0.869] sets and independent cohort [(F): AUC = 0.792].

abundance differences between the two subtypes (**Figures 7B-D**), especially B cell subtypes (such as naive B cells, activated B cells, and immature B cells) and T cell subtypes (such as activated memory CD4 T cells, regulatory T cells, activated CD8 T cells, gamma delta T cells, and type 17 T helper cells). Overall, the high-risk group had higher immune assessment scores than the low-risk group.

4 DISCUSSION

Ischemic events are treacherous events that occur in cardiovascular and cerebrovascular diseases, which are the leading causes of death and long-term disability worldwide (Collaborators, 2019; Campbell and Khatri, 2020; Iadecola et al., 2020). In recent years, substantial machine learning



FIGURE 6 | PR curves assess the accuracy of the eight-gene model and GSEA of the two subtypes. (**A**–**D**): PR curves were used to predict ischemic events after CEA in the train [(**A**): AUC = 0.725], validation [(**B**): AUC = 0.364], total [(**C**): AUC = 0.654] sets and independent cohort [(**D**): AUC = 0.372]. (**E**–**F**): Top five GO terms of differential genes in the high- and low-risk groups. (**G**,**H**): Top five KEGG pathways of differentially expressed genes in the high- and low-risk groups.

models have been applied to improve the clinical outcomes of diseases because they show better potential in diagnosis and prevention and improve the undesirable therapeutic status of patients (Vallee et al., 2019; Qiao et al., 2020). In addition, CEA is widely applied as a classic surgery to prevent ischemic events (Rerkasem et al., 2020). However, the detailed mechanisms



underlying ischemic events and accurate diagnostic models for predicting ischemic events after CEA remain to be investigated.

In our study, we extracted a yellow module (including 292 genes) significantly related to ischemic events after CEA, according to the WGCNA results. GO and KEGG enrichment analyses were further used to identify the potential functions and mechanisms of these 292 genes. KEGG analysis showed that these genes mainly participated in "Tuberculosis", "Toll-like receptor signaling pathway", "Hematopoietic cell lineage", "C-type lectin receptor signaling pathway" and "Legionellosis". GO analysis further revealed that neutrophil activation, with terms such as "neutrophil activation involved in immune response", "neutrophil degranulation", "specific granule", "tertiary granule" and "secretory granule membrane", was the most significantly enriched functional module. A recent study found that patients with tuberculous meningitis (TBM) were more vulnerable to subsequent stroke (up to 57%), especially children or those with advanced stages and severe illness (Shulman and Cervantes-Arslanian, 2019). Zhang et al. reported that the inactivation of the Toll-like receptor signaling pathway protects neurological function in patients with ischemic events (Zhang et al., 2012). Moreover, both immune and inflammatory responses were activated in the acute and chronic phases following ischemic events, which played a double-edged role in pathophysiology (Pothineni et al., 2017; Jayaraj et al., 2019; Ketelhuth, 2019; Iadecola et al., 2020). Therefore, our results suggested that the genes in the yellow module played key roles in the progression of ischemic events.

Afterwards, to establish a diagnostic model for predicting recurrent ischemic events after CEA and further eliminate the effect of multicollinearity, we performed an integrated analysis of the relationships between gene expression and clinical characteristics in the cohort and used random forest and LASSO to screen the genes in the yellow module. Finally, we found that an eight-gene model (including PLSCR1, ECRP, CASP5, SPTSSA, MSRB1, BCL6, FBP1 and LST1) was highly accurate for predicting ischemic events after CEA. Previous studies revealed that BCL6 is a candidate gene for spontaneous hypertension and stroke (Watanabe et al., 2015), but further investigation into the mechanisms of these genes and ischemic events is necessary. Univariate logistic regression analysis revealed that the eight-gene model was an independent predictor. The higher the score calculated by the formula was, the higher the risk of ischemic events after CEA. More importantly, the ROC-AUCs and PR-AUCs of the train, validation, total, and independent cohort were 0.891 and 0.725, 0.826 and 0.364, 0.869 and 0.654, 0.792 and 0.372, respectively. The time window for the treatment of ischemic events is narrow, and it is difficult for most patients to receive treatment in a timely manner after onset, which leads to serious adverse consequences (Catanese et al., 2017; Gaafar et al., 2017). Therefore, it is particularly important to predict and accurately diagnose ischemic events after CEA.

Subsequently, we further explored the association of these eight genes with ischemic events after CEA. Previous study has shown that *PLSCR1-TRPC5* was a signaling complex mediating phosphatidylserine externalization and apoptosis in neurons and that plays a pathological role in cerebral-ischemia reperfusion

injury (Guo et al., 2020). Zhang et al. (2020) reported that CASP5 gene overexpression can significantly promote the angiogenesis ability of vascular endothelial cells by promoting the VEGF signaling pathway. This affected the formation of atherosclerosis and played a potential role in the development of ischemic events. Furthermore, MSRB1 controlled immune response in vivo and anti-inflammatory cytokine release in macrophages (Guo et al., 2020). As we know, inflammatory factors were abundantly released and immune response was activated in ischemic events after CEA, thus, MSRB1 may serve a protective role against events. BCL6 may attenuate oxidative stress-induced neuronal damage by targeting the novel single-nucleotide miR-31/PKD1 axis and five polymorphisms loci were identified in the SLT1 locus to be associated with myocardial infarction (Iida et al., 2003; Wei et al., 2021). The above results further demonstrate that the eight-gene module affected ischemic events through multiple pathways, although three genes (ECRP, SPTSSA and FBP1) need to be further validated. Noteworthy, immune response played an important role in these pathways, which warrants further attention.

We further evaluated the immune infiltration among the two risk subtypes, which were divided by the diagnostic model, and more abundant immune infiltration was found in the high-risk group. A previous study demonstrated that a high abundance of immune infiltration is a risk factor for ischemic events (Iadecola et al., 2020). In the acute phase of ischemic events, immune cells attack the ischemic tissue, thereby aggravating the degree of ischemia. Metabolic substances released from ischemic tissue enter the circulatory system and eventually suppress the immune system, which leads to serious complications such as infection (Iadecola et al., 2020). These lines of evidence suggest that our research findings are persuasive. Therefore, the application of anti-immune and anti-inflammatory drugs may be a new strategy for the treatment of ischemic events after CEA.

Our work was a comprehensive study to develop an accurate eight-gene model for predicting ischemic events after CEA. Our research has the following advantages. 1) In this study, biomarkers were used to predict ischemic events after CEA, which was conducive to clinical transformation. 2) This diagnostic model has high accuracy, and the ROC-AUCs for the train, validation and total sets were all above or approach 0.8. 3) We validated the accuracy of the model in an independent cohort by RT-qPCR. 4) We found that the high-risk group of patients had abundant immune infiltration, which provided theoretical support for anti-immune and anti-inflammatory therapy in patients with ischemic events after CEA. However, although the diagnostic model was satisfactory in terms of its performance, several limitations remain in our research. First, some clinical features of samples were obscured in public datasets, which may affect our comprehensive exploration of the relationship between gene expression and clinical features (smoking, obesity, dyslipidemia, etc.). Second, compared the

RNA-seq data, proteomics data can provide more favorable pathophysiological support, but proteomics analysis cannot be performed due to the lack of data. Although further studies are necessary, the proposed model still has great clinical value.

5 CONCLUSION

In conclusion, an efficient diagnostic model for predicting the occurrence of ischemic events after CEA was constructed. A population at high risk of recurrent ischemic events after CEA can be identified by this model. More importantly, the establishment of the eight-gene model provides new ideas for precise prevention and anti-immune and anti-inflammatory therapy in patients with ischemic events after CEA.

DATA AVAILABILITY STATEMENT

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found in the article/ **Supplementary Material**.

ETHICS STATEMENT

The studies involving human participants were reviewed and approved by The Ethics Committee of The First Affiliated Hospital of Zhengzhou University. The patients/participants provided their written informed consent to participate in this study.

AUTHOR CONTRIBUTIONS

CG and ZL designed this work. CG, ZL, CC, YZ, TL, and XH integrated and analyzed the data. CG, LW, LL, SL, and ZH wrote this manuscript. CG, ZL, CC, ZH, XH, and ZL edited and revised the manuscript. All authors approved this manuscript.

FUNDING

This study was supported by the National Natural Science Foundation of China (81873527).

SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fcell.2022.794608/full#supplementary-material

REFERENCES

- Campbell, B. C. V., De Silva, D. A., Macleod, M. R., Coutts, S. B., Schwamm, L. H., Davis, S. M., et al. (2019). Ischaemic Stroke. *Nat. Rev. Dis. Primers* 5, 70. doi:10. 1038/s41572-019-0118-8
- Campbell, B. C. V., and Khatri, P. (2020). Stroke. *The Lancet* 396, 129–142. doi:10. 1016/S0140-6736(20)31179-X
- Catanese, L., Tarsia, J., and Fisher, M. (2017). Acute Ischemic Stroke Therapy Overview. Circ. Res. 120, 541-558. doi:10.1161/CIRCRESAHA.116.309278
- Collaborators, G. B. D. S. (2019). Global, Regional, and National burden of Stroke, 1990-2016: a Systematic Analysis for the Global Burden of Disease Study 2016. *Lancet Neurol.* 18, 439–458. doi:10.1016/S1474-4422(19)30034-1
- Dagvasumberel, M., Shimabukuro, M., Nishiuchi, T., Ueno, J., Takao, S., Fukuda, D., et al. (2012). Gender Disparities in the Association between Epicardial Adipose Tissue Volume and Coronary Atherosclerosis: a 3-dimensional Cardiac Computed Tomography Imaging Study in Japanese Subjects. *Cardiovasc. Diabetol.* 11, 106. doi:10.1186/1475-2840-11-106
- Deo, R. C. (2015). Machine Learning in Medicine. *Circulation* 132, 1920–1930. doi:10.1161/CIRCULATIONAHA.115.001593
- Folkersen, L., Persson, J., Ekstrand, J., Agardh, H. E., Hansson, G. K., Gabrielsen, A., et al. (2012). Prediction of Ischemic Events on the Basis of Transcriptomic and Genomic Profiling in Patients Undergoing Carotid Endarterectomy. *Mol. Med.* 18, 669–675. doi:10.2119/molmed.2011.00479
- Franceschini, N., Giambartolomei, C., de Vries, P. S., Finan, C., Bis, J. C., Huntley, R. P., et al. (2018). GWAS and Colocalization Analyses Implicate Carotid Intima-media Thickness and Carotid Plaque Loci in Cardiovascular Outcomes. *Nat. Commun.* 9, 5141. doi:10.1038/s41467-018-07340-5
- Friedman, J., Hastie, T., and Tibshirani, R. (2010). Regularization Paths for Generalized Linear Models via Coordinate Descent. J. Stat. Softw. 33, 1–22. doi:10.18637/jss.v033.i01
- Gaafar, T., Attia, W., Mahmoud, S., Sabry, D., Aziz, O. A., Rasheed, D., et al. (2017). Cardioprotective Effects of Wharton Jelly Derived Mesenchymal Stem Cell Transplantation in a Rodent Model of Myocardial Injury. *Ijsc* 10, 48–59. doi:10. 15283/ijsc16063
- Guo, C., Liu, Z., Yu, Y., Zhou, Z., Ma, K., Zhang, L., et al. (2022). EGR1 and KLF4 as Diagnostic Markers for Abdominal Aortic Aneurysm and Associated with Immune Infiltration. *Front. Cardiovasc. Med.* 9, 781207. doi:10.3389/fcvm. 2022.781207
- Guo, J., Li, J., Xia, L., Wang, Y., Zhu, J., Du, J., et al. (2020). Transient Receptor Potential Canonical 5-Scramblase Signaling Complex Mediates Neuronal Phosphatidylserine Externalization and Apoptosis. *Cells* 9, 547. doi:10.3390/ cells9030547
- Heo, J., Yoon, J. G., Park, H., Kim, Y. D., Nam, H. S., and Heo, J. H. (2019). Machine Learning-Based Model for Prediction of Outcomes in Acute Stroke. *Stroke* 50, 1263–1265. doi:10.1161/STROKEAHA.118.024293
- Howell, S. J. (2007). Carotid Endarterectomy. Br. J. Anaesth. 99, 119–131. doi:10. 1093/bja/aem137
- Iadecola, C., Buckwalter, M. S., and Anrather, J. (2020). Immune Responses to Stroke: Mechanisms, Modulation, and Therapeutic Potential. J. Clin. Invest. 130, 2777–2788. doi:10.1172/JCI135530
- Iida, A., Ozaki, K., Ohnishi, Y., Tanaka, T., and Nakamura, Y. (2003). Identification of 46 Novel SNPs in the 130-kb Region Containing a Myocardial Infarction Susceptibility Gene on Chromosomal Band 6p21. J. Hum. Genet. 48, 476–479. doi:10.1007/s10038-003-0054-y
- Jayaraj, R. L., Azimullah, S., Beiram, R., Jalal, F. Y., and Rosenberg, G. A. (2019). Neuroinflammation: Friend and Foe for Ischemic Stroke. J. Neuroinflammation 16, 142. doi:10.1186/s12974-019-1516-2
- Ketelhuth, D. F. J. (2019). The Immunometabolic Role of Indoleamine 2,3dioxygenase in Atherosclerotic Cardiovascular Disease: Immune Homeostatic Mechanisms in the Artery wall. *Cardiovasc. Res.* 115, 1408–1415. doi:10.1093/cvr/cvz067
- Langfelder, P., and Horvath, S. (2008). WGCNA: an R Package for Weighted Correlation Network Analysis. BMC Bioinformatics 9, 559. doi:10.1186/1471-2105-9-559
- Libby, P., Buring, J. E., Badimon, L., Hansson, G. K., Deanfield, J., Bittencourt, M. S., et al. (2019). Atherosclerosis. *Nat. Rev. Dis. Primers* 5, 56. doi:10.1038/ s41572-019-0106-z

- Liu, Z., Guo, C., Dang, Q., Wang, L., Liu, L., Weng, S., et al. (2022b). Integrative Analysis from Multi-center Studies Identities a Consensus Machine Learning-Derived lncRNA Signature for Stage II/III Colorectal Cancer. *EBioMedicine* 75, 103750. doi:10.1016/j.ebiom.2021.103750
- Liu, Z., Guo, C., Li, J., Xu, H., Lu, T., Wang, L., et al. (2021a). Somatic Mutations in Homologous Recombination Pathway Predict Favourable Prognosis after Immunotherapy across Multiple Cancer Types. *Clin. Translational Med* 11, e619. doi:10.1002/ctm2.619
- Liu, Z., Liu, L., Guo, C., Yu, S., Meng, L., Zhou, X., et al. (2021b). Tumor Suppressor Gene Mutations Correlate with Prognosis and Immunotherapy Benefit in Hepatocellular Carcinoma. *Int. Immunopharmacology* 101, 108340. doi:10. 1016/j.intimp.2021.108340
- Liu, Z., Liu, L., Weng, S., Guo, C., Dang, Q., Xu, H., et al. (2022c). Machine Learning-Based Integration Develops an Immune-Derived lncRNA Signature for Improving Outcomes in Colorectal Cancer. *Nat. Commun.* 13, 816. doi:10. 1038/s41467-022-28421-6
- Liu, Z., Xu, H., Weng, S., Ren, Y., and Han, X. (2022a). Stemness Refines the Classification of Colorectal Cancer with Stratified Prognosis, Multi-Omics Landscape, Potential Mechanisms, and Treatment Options. *Front. Immunol.* 13, 828330. doi:10.3389/fimmu.2022.828330
- Lockhart, R., Taylor, J., Tibshirani, R. J., and Tibshirani, R. (2014). A Significance Test for the Lasso. Ann. Statist. 42, 413–468. doi:10.1214/13-AOS1175
- Mantero, A., and Ishwaran, H. (2021). Unsupervised Random Forests. Stat. Anal. Data Min: ASA Data Sci. J. 14, 144–167. doi:10.1002/sam.11498
- Martinez, E., Martorell, J., and Riambau, V. (2020). Review of Serum Biomarkers in Carotid Atherosclerosis. J. Vasc. Surg. 71, 329–341. doi:10.1016/j.jvs.2019. 04.488
- Murray, C. J., and Lopez, A. D. (1997). Alternative Projections of Mortality and Disability by Cause 1990-2020: Global Burden of Disease Study. *The Lancet* 349, 1498–1504. doi:10.1016/S0140-6736(96)07492-2
- Newman, A. M., Liu, C. L., Green, M. R., Gentles, A. J., Feng, W., Xu, Y., et al. (2015). Robust Enumeration of Cell Subsets from Tissue Expression Profiles. *Nat. Methods* 12, 453–457. doi:10.1038/nmeth.3337
- Peñalvo, J. L., Fernández-Friera, L., López-Melgar, B., Uzhova, I., Oliva, B., Fernández-Alvira, J. M., et al. (2016). Association between a Social-Business Eating Pattern and Early Asymptomatic Atherosclerosis. J. Am. Coll. Cardiol. 68, 805–814. doi:10.1016/j.jacc.2016.05.080
- Pothineni, N. V. K., Subramany, S., Kuriakose, K., Shirazi, L. F., Romeo, F., Shah, P. K., et al. (2017). Infections, Atherosclerosis, and Coronary Heart Disease. *Eur. Heart J.* 38, 3195–3201. doi:10.1093/eurheartj/ehx362
- Qiao, J., Sui, R., Zhang, L., and Wang, J. (2020). Construction of a Risk Model Associated with Prognosis of Post-Stroke Depression Based on Magnetic Resonance Spectroscopy. Ndt 16, 1171–1180. doi:10.2147/ NDT.S245129
- Rajkomar, A., Dean, J., and Kohane, I. (2019). Machine Learning in Medicine. N. Engl. J. Med. 380, 1347–1358. doi:10.1056/NEJMra1814259
- Rerkasem, A., Orrapin, S., Howard, D. P., and Rerkasem, K. (2020). Carotid Endarterectomy for Symptomatic Carotid Stenosis. *Cochrane Database Syst. Rev.* 9, CD001081. doi:10.1002/14651858.CD001081.pub4
- Shannon, P., Markiel, A., Ozier, O., Baliga, N. S., Wang, J. T., Ramage, D., et al. (2003). Cytoscape: a Software Environment for Integrated Models of Biomolecular Interaction Networks. *Genome Res.* 13, 2498–2504. doi:10. 1101/gr.1239303
- Shi, J., Jiang, D., Yang, S., Zhang, X., Wang, J., Liu, Y., et al. (2020). LPAR1, Correlated with Immune Infiltrates, Is a Potential Prognostic Biomarker in Prostate Cancer. Front. Oncol. 10, 846. doi:10.3389/fonc.2020.00846
- Shulman, J. G., and Cervantes-Arslanian, A. M. (2019). Infectious Etiologies of Stroke. Semin. Neurol. 39, 482–494. doi:10.1055/s-0039-1687915
- Svetnik, V., Liaw, A., Tong, C., Culberson, J. C., Sheridan, R. P., and Feuston, B. P. (2003). Random forest: a Classification and Regression Tool for Compound Classification and QSAR Modeling. J. Chem. Inf. Comput. Sci. 43, 1947–1958. doi:10.1021/ci034160g
- Szklarczyk, D., Gable, A. L., Lyon, D., Junge, A., Wyder, S., Huerta-Cepas, J., et al. (2019). STRING V11: Protein-Protein Association Networks with Increased Coverage, Supporting Functional Discovery in Genome-wide Experimental Datasets. *Nucleic Acids Res.* 47, D607–D613. doi:10.1093/nar/gky1131
- Szklarczyk, D., Morris, J. H., Cook, H., Kuhn, M., Wyder, S., Simonovic, M., et al. (2017). The STRING Database in 2017: Quality-Controlled Protein-Protein

Association Networks, Made Broadly Accessible. Nucleic Acids Res. 45, D362–D368. doi:10.1093/nar/gkw937

- Tibshirani, R. (1997). The Lasso Method for Variable Selection in the Cox Model. *Statist. Med.* 16, 385–395. doi:10.1002/(sici)1097-0258(19970228)16:4<385:: aid-sim380>3.0.co;2-3
- Vallée, A., Cinaud, A., Blachier, V., Lelong, H., Safar, M. E., and Blacher, J. (2019). Coronary Heart Disease Diagnosis by Artificial Neural Networks Including Aortic Pulse Wave Velocity index and Clinical Parameters. J. Hypertens. 37, 1682–1688. doi:10.1097/HJH.000000000002075
- Varasteh, Z., De Rose, F., Mohanta, S., Li, Y., Zhang, X., Miritsch, B., et al. (2021). Imaging Atherosclerotic Plaques by Targeting Galectin-3 and Activated Macrophages Using (89Zr)-DFO- Galectin3-F(ab')2 mAb. *Theranostics* 11, 1864–1876. doi:10.7150/thno.50247
- Watanabe, Y., Yoshida, M., Yamanishi, K., Yamamoto, H., Okuzaki, D., Nojima, H., et al. (2015). Genetic Analysis of Genes Causing Hypertension and Stroke in Spontaneously Hypertensive Rats: Gene Expression Profiles in the Kidneys. *Int. J. Mol. Med.* 36, 712–724. doi:10.3892/ijmm.2015.2281
- Wei, P., Chen, H., Lin, B., Du, T., Liu, G., He, J., et al. (2021). Inhibition of the BCL6/miR-31/PKD1 axis Attenuates Oxidative Stress-Induced Neuronal Damage. *Exp. Neurol.* 335, 113528. doi:10.1016/j.expneurol.2020.113528
- Yi, M., Nissley, D. V., McCormick, F., and Stephens, R. M. (2020). ssGSEA Score-Based Ras Dependency Indexes Derived from Gene Expression Data Reveal Potential Ras Addiction Mechanisms with Possible Clinical Implications. *Sci. Rep.* 10, 10258. doi:10.1038/s41598-020-66986-8
- Yu, G., Wang, L.-G., Han, Y., and He, Q.-Y. (2012). clusterProfiler: an R Package for Comparing Biological Themes Among Gene Clusters. OMICS: A J. Integr. Biol. 16, 284–287. doi:10.1089/omi.2011.0118
- Zenonos, G., Lin, N., Kim, A., Kim, J. E., Governale, L., and Friedlander, R. M. (2012). Carotid Endarterectomy with Primary Closure: Analysis of Outcomes and Review of the Literature. *Neurosurgery* 70, 646–655. doi:10.1227/NEU. 0b013e3182351de0

- Zhang, B., Gu, J., Qian, M., Niu, L., Zhou, H., and Ghista, D. (2017). Correlation between Quantitative Analysis of wall Shear Stress and Intima-media Thickness in Atherosclerosis Development in Carotid Arteries. *Biomed. Eng. Online* 16, 137. doi:10.1186/s12938-017-0425-9
- Zhang, L., Chopp, M., Liu, X., Teng, H., Tang, T., Kassis, H., et al. (2012). Combination Therapy with VELCADE and Tissue Plasminogen Activator Is Neuroprotective in Aged Rats after Stroke and Targets microRNA-146a and the Toll-like Receptor Signaling Pathway. *Arterioscler Thromb. Vasc. Biol.* 32, 1856–1864. doi:10.1161/ATVBAHA.112.252619
- Zhang, Y., Yuan, Z., Shen, R., Jiang, Y., Xu, W., Gu, M., et al. (2020). Identification of Biomarkers Predicting the Chemotherapeutic Outcomes of Capecitabine and Oxaliplatin in Patients with Gastric Cancer. Oncol. Lett. 20, 1. doi:10.3892/ol. 2020.12153

Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

Publisher's Note: All claims expressed in this article are solely those of the authors and do not necessarily represent those of their affiliated organizations, or those of the publisher, the editors and the reviewers. Any product that may be evaluated in this article, or claim that may be made by its manufacturer, is not guaranteed or endorsed by the publisher.

Copyright © 2022 Guo, Liu, Cao, Zheng, Lu, Yu, Wang, Liu, Liu, Hua, Han and Li. This is an open-access article distributed under the terms of the Creative Commons Attribution License (CC BY). The use, distribution or reproduction in other forums is permitted, provided the original author(s) and the copyright owner(s) are credited and that the original publication in this journal is cited, in accordance with accepted academic practice. No use, distribution or reproduction is permitted which does not comply with these terms.