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Research article

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# Reliability, validity, and responsiveness of the simplified Chinese version of the knee injury and Osteoarthritis Outcome Score in patients after total knee arthroplasty

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#### ARTICLE INFO

Keywords: Total knee arthroplasty Patient-reported outcomes KOOS Knee injury and osteoarthritis outcome score

#### ABSTRACT

*Objectives:* The Knee Injury and Osteoarthritis Outcome Score (KOOS) has been utilized to evaluate short- and long-term outcomes in individuals following knee injuries, such as those with anterior cruciate ligament reconstruction and knee osteoarthritis, but has not yet been applied to individuals undergoing total knee arthroplasty (TKA) in China. The aim of this study was to assess the psychometric properties of the Simplified Chinese version of the KOOS in Chinese individuals undergoing TKA.

*Methods:* This study distributed 170 questionnaires, and assessed the KOOS of the participants, along with requiring them to complete the Short Form 36 (SF-36) survey. There were 35 participants completed a test-retest reliability survey with a 24-h interval, 129 participants completed a pre - surgery survey, and 119 individuals completed a post - surgery survey 6 weeks after the surgery. The following tests were conducted: Cronbach's alpha ( $\alpha$ ) to assess internal consistency, intraclass correlation coefficient (ICC) to evaluate test-retest reliability, Spearman's correlation coefficient ( $\rho$ ) to examine construct validity, effect size (ES) to detect measure responsiveness, minimal detectable change (MDC) to assess measurement errors. Floor and ceiling effects (<15%) were also asses evaluated.

*Results*: The simplified Chinese version of the KOOS showed good test-retest reliability in participants after TKA, with an ICC of 0.82–0.97 (95% CI). The internal consistency of the five subscales of the KOOS was good (Cronbach's  $\alpha = 0.70$ –0.96). No floor or ceiling effects were found. Regarding construct validity, a strong positive correlation was found between each of the three KOOS subscales (activities of daily living, knee-related Quality of Life, and sport and recreation subscales) and the general health and bodily pain subscales of the SF-36 (0.53 <  $\rho$  < 0.61). The subscales of the simplified Chinese version of the KOOS showed responsiveness (ES: 0.68 to 0.86) before and after 6 weeks of physical treatment. The MDC ranged from 10.28 to 23.24.

*Conclusions*: The Chinese version of the KOOS showed good psychometric properties and was found to be valid, reliable, and simple as an assessment tool for symptoms, pain, activity of daily

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Received 30 November 2023; Received in revised form 20 February 2024; Accepted 20 February 2024

This is an

Available online 21 February 2024 2405-8440/ $\mbox{@}$  2024 Published by Elsevier Ltd.

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https://doi.org/10.1016/j.heliyon.2024.e26786

living, sports and recreational activity and quality of life for the Chinese population suffering from TKA.

# 1. Introduction

Total knee arthroplasty (TKA) is a highly successful end-stage operation used to alleviate chronic knee pain at a relatively low risk [1]. TKA can enhance the quality of life by reducing knee pain and improving long-term knee function [2]. Health professionals can subjectively and objectively evaluate the condition and progress of individuals who have undergone TKA [1,3]. Currently, these scoring scales are commonly used in clinical practice to assess the treatment outcomes and prognosis of TKA in individuals with knee osteoarthritis (OA). The scales include the Oxford Knee Score (OKS), OKS-Activity and Participation Questionnaire (OKS-APQ), Knee Injury and Osteoarthritis Outcome Score (KOOS), 12-item short form Knee Injury and Osteoarthritis Outcome Score (KOOS-12), KOOS Physical function Short form (KOOS-PS), Western Ontario and McMaster Universities Arthritis Index-Total Knee arthroplasty function short form (WOMAC-TKA), Lower Extremity Functional Scale (LEFS), Forgotten Joint Score (FJS), Patient's Knee Implant Performance (PKIP), and University of California Los Angeles (UCLA) activity score [2,4,5]. A previous systematic review emphasized that the KOOS is the most suitable knee-related patient-reported outcome measurement (PROM) tool for evaluating knee-related health issues, suitable for both clinical and research purposes [6–8].

The KOOS is used to assess osteoarthritis and knee joint trauma [9]. Detailed information about the KOOS was first published in 1998 [10,11]. So far, KOOS has been translated into more than 50 languages to assess individuals with knee OA and other knee joint injuries, and its psychometric properties have been evaluated [9]. In a systematic review, the KOOS was evaluated and compared with other TKA outcome measures [9,12]. The KOOS, compared with other assessment tools for TKA prognosis, has been found to be more reliable, effective, and sensitive [2,13]. KOOS comprises pain, symptoms, activities of daily living (ADL), sport and recreation (Sport/Rec), and knee-related quality of life (QOL) subscales, which are are designed to be patient-friendly and provide a more accurate reflection of a patient's personal status [10,11]. The KOOS is increasingly becoming clinically accepted as a PROM after TKA [14]. It can significantly reduce the bias of clinical doctors, accurately measure a patient's subjective health status, better detect individual indicators of TKA, help address possible modifiable factors, and can promote clinical decision-making [14]. As a PROM, the KOOS is crucial for evaluating the impact of TKA on individuals [15]. To the best of our knowledge, the current simplified Chinese version of the KOOS has been translated and used for individuals with knee OA [16,17] and anterior cruciate ligament (ACL) injury [18], but it has not been used for individuals who have undergone TKA. This PROM tool needs to be revalidated for use in clinical or research settings [19–21]. The purpose of this study was to assess the psychometric properties of the KOOS in individuals following TKA in order to enhance its suitability for in a broader population.

## 2. Materials and methods

#### 2.1. Participant recruitment

This study employed a convenient sampling method to select 170 TKA individuals who met the study's criteria for investigation between October 2022 and March 2023 in Yunnan, China. The institutional review board of the first affiliated hospital of Dali university approved this study, and each patient provided written informed consent, indicating their willingness to participate in the study. Individuals in the unit of inpatient orthopedic who were diagnosed by clinicians as requiring TKA surgery due to a knee joint issue were recruited. The diagnosis was based on clinical criteria and radiological confirmed by magnetic resonance imaging. The exclusion criteria were as follows: signs of anterior cruciate ligament and meniscal injury or systemic knee OA, physical therapy, or intra-articular drug injections (corticosteroids or hyaluronic acid) within 3 months prior to the study. The inclusion criteria were as follows: a diagnosis of knee OA necessitating TKA by an orthopedic physician, based on medical history, physical examination, and radiological assessment, an age of 18 years or older, and proficiency in simplified Chinese (Mandarin).

The participants received the same postoperative medical care and physical therapy. The study distributed a total of 170 questionnaires in two stages. In the first stage, 35 individuals completed two KOOS surveys with a 24-h interval to assess test-retest reliability [22]. 129 individuals completed the first KOOS and 36 item short form (SF-36) survey before surgery to assess the internal consistency and construct validity of KOOS. Six weeks after the surgery, 119 individuals completed the KOOS survey again to assess its responsiveness.

#### 2.2. Knee function assessment scales (KOOS and SF-36)

We obtained the patient-friendly simplified Chinese version of the KOOS from Liying Yang, the developer. The KOOS is a self-report questionnaire that takes approximately 5–10 min to complete [10]. It is made up of 5 subscales: pain (9 items), symptoms (7 items), ADL (17 items), Sport/Rec (5 items), and knee-related QOL (4 items), totaling of 42 items [10,11]. Each item includes a five-point Likert scale response option, ranging from 0 (no problem) to 4 (extremely problematic). The response options were converted to a 0–100 scale scoring system, where 0 represents extreme problems and 100 represents no problems. According to the KOOS scoring rules, if the selection mark is placed outside the box, the nearest box is selected. If two boxes are marked, the box indicating a more serious question was selected. As long as at least 50% of each subscale is answered, the average score can be calculated. If more than

50% of the subscale items are left unanswered, the responses are considered invalid, and no subscale score is calculated. A user guide for the KOOS can be found on the internet (http://www.koos.nu).

The SF-36 questionnaire offers a concise method for assessing the health of the general population aged 14 years or older [23]. The SF-36 questionnaire can directly quantify an individual's health status, and due to its ease of use, it has become the most widely used QOL assessment tool worldwide [24]. It contains 36 items with 8 subscales, which including physical functioning (PF), role-physical (RP), body pain (BP), general health (GH), vitality (VT), social functioning (SF), role-emotional (RM), and mental health (MH) subscales. Each subscale yielded a score of 0–100, with higher scores indicating better health status [25]. In this study, we obtained a permission license to use the simplified Chinese SF-36 questionnaire from Li et al. at Zhejiang University [26].

# 2.3. Psychometric properties and data analyses

Data was entered into a Microsoft Excel spreadsheet and analyzed using SPSS 20.0 (SPSS Inc., Chicago, IL, USA). All tests were twotailed and conducted at a 5% level of significance.

Internal consistency is evaluated by examining the correlations between different items within the same questionnaire or between the same subscales in a larger test [27]. Internal consistency is assessed using Cronbach's alpha ( $\alpha$ ), and  $\alpha$  values equal to or greater than 0.7 indicate acceptable reliability [28].

Test-retest reliability refers to the extent to which the scores of the same patient remain consistent with repeated measurements. In this study, individuals who underwent TKA completed a second questionnaire 24 h after the procedure. Previous studies have assessed the test-retest reliability of KOOS within 24-h period [22]. The intraclass correction coefficient (ICC) was used to assess the test-retest reliability with 95% confidence intervals (95% CI). An ICC above 0.81 indicates excellent reliability, from 0.80 to 0.61 indicates good reliability, from 0.60 to 0.41 indicates moderate reliability, from 0.40 to 0.21 indicates fair reliability, and of 0.20 and below indicates low reliability [28,29].

Evidence of construct validity must be obtained before the study, assuming a pattern of association with other validation instruments designed to measure relatively similar constructs (positive correlation) [30,31]. During the development of the original [10, 11] and other versions of the KOOS, the SF-36 was used to assess construct validity [9]. Therefore, this study also used the SF-36. The correlations between the KOOS and various SF-36 subscales were evaluated before the operation using Spearman's rank correlation coefficients ( $\rho$ ), with values of 0.50 and above indicating strong correlation, between 0.35 and 0.50 indicating moderate correlation, and below 0.35 indicating weak correlation [30–32].

Responsiveness was defined as the ability to detect clinically important changes in effect size (ES) and standardized response mean (SRM) by comparing pre- and post-surgical outcomes [33]. ES is defined as the average difference between baseline and post-surgery scores, divided by the standard deviation (SD) of the pre-operative value. SRM is defined as the mean change between baseline and post-surgery scores divided by the SD of the mean change [34]. An ES < 0.5, from 0.5 to 0.8, and >0.8 was considered small, medium, and large, respectively [34,35].

Measurement error, which is not caused by actual changes in the structure to being evaluated, is a systematic and random error in patient scoring [36]. Standard error of measurement (SEM) was used to assess consistency at all levels. Test-retest reliability assesses the consistency of an individual's repeated measurements on the same instrument around the "true" measurement values. The formula for calculating SEM is as follows: SEM=SD  $\sqrt{(1 - R)}$ , where SD represents the sample's SD and R is the test-retest reliability measure (ICC) [36]. The minimal detectable change (MDC) sets the threshold for clinical changes that exceed measurement error and is calculated using the following formula: MDC=SEM × 1.96 ×  $\sqrt{2}$ , where 1.96 represents the constant associated with the 95% CI, and  $\sqrt{2}$  accounts for the uncertainty in two measurements for evaluating changes [37,38].

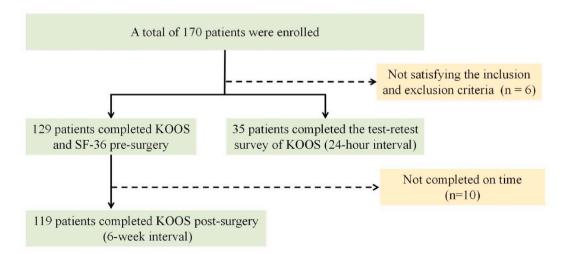


Fig. 1. The Flowchart of individuals Enrollment.

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Descriptive analysis was used to identify ceiling and floor effects, which were deemed to be present if more than 15% of the participants obtained the highest or lowest possible scores in each subscale [39].

# 3. Results

## 3.1. Participant characteristics

Of the 170 individuals, 129 completed the preoperative questionnaire survey, and 119 repeated the survey 6 weeks after surgery, 35 more individuals completed two KOOS surveys. Fig. 1 displays the process of individuals recruitment, Table 1 displays the characteristics of the participants.

KOOS: Knee Injury and Osteoarthritis Outcome Score; SF-36:36 item short form.

## 3.2. Reliability

# 3.2.1. Internal consistency

The reliability test results for the five KOOS subscales are presented in Table 2. The Cronbach's  $\alpha$  scores for pain was 0.91, symptoms was 0.70, ADL was 0.95, sport/recreation was 0.90, and QOL was 0.80, indicating acceptable internal consistency in all the subscales.

## 3.2.2. Test-retest reliability

Table 3 displays the test-retest reliability results for each of the five KOOS subscales. The ICC value for pain was 0.82, symptoms was 0.92, ADL was 0.97, sport/recreation was 0.93, and QOL was 0.85, indicating good reliability. The detectable change ranged from 10.28 to 23.24.

# 3.2.3. Ceiling/floor effect

There were no ceiling or floor effects in any of the simplified Chinese KOOS subscales (Table 2).

#### 3.3. Validity

Spearman's correlations were used to assess the validity of the KOOS and SF-36 scales. The results, as shown in Table 4, revealed a moderately strong positive correlation (0.47–0.50) between two KOOS subscales (pain and symptoms) and two SF-36 subscales (GH and BP). A strong positive correlation (0.53–0.61) was found between three KOOS subscales (ADL, QOL, and Sport/Rec) and two SF-36 subscales (GH and BP).

## 3.4. Responsiveness

Out of the 129 participants, 119 underwent surgery and physical therapy. Table 5 displays the KOOS subscale scores before and after 6 weeks of physical therapy. The ES ranged from 0.68 to 0.86 and the SRM ranged from 0.76 to 1.09. The KOOS proved to be responsive for individuals who have undergone TKA. The treatment protocol elicited the most favorable response in the KOOS-QOL subscale showed the lowest response was in the KOOS-pain subscale.

## 4. Discussion

The KOOS was first developed for use with individuals undergoing TKA by Roos et al. [10,11]. The study demonstrated good

#### Table 1

Demographic characteristics of the participants in the pre-operative and post-operative stages and test-retest group.

	Pre-operative $(n = 129)$	Post-operative $(n = 119)$	Test-retest group ( $n = 35$ )	
Age (years)				
Mean $\pm$ SD	$64.81 \pm 8.73$	$64.82 \pm 8.56$	$66.71 \pm 8.66$	
Range	25-83	25-82	32-83	
Sex (%)				
Male	33 (25.6%)	31 (26.1%)	10 (28.6%)	
Female	96 (74.4%)	88 (73.9%)	25 (71.4%)	
Height (cm)	$158.67\pm0.08$	$158.67\pm7.95$	$157.29\pm0.09$	
Weight (kg)	$61.55 \pm 9.87$	$61.63 \pm 9.75$	$62.00 \pm 11.02$	
BMI (kg/m <sup>2</sup> )	$24.43 \pm 3.41$	$24.16\pm3.92$	$25.08 \pm 4.25$	
Limb affected				
Left	63 (48.8%)	57 (47.9%)	20 (57.1%)	
Right	58 (45.0%)	55 (46.2%)	12 (34.3%)	
Both	8 (6.2%)	7 (5.9%)	3(8.6%)	

SD, Standard deviation; BMI, Body Mass Index.

#### Table 2

Subscale scores (Mean $\pm$ SD)	% Floor effect	% Ceiling effect	Cronbach's $\alpha$
$50.67 \pm 15.99$	0.8	0.8	0.91
$56.26 \pm 18.26$	0	0	0.70
$57.75 \pm 18.17$	0	0	0.95
$30.35\pm19.49$	7.8	0	0.90
$39.26 \pm 17.88$	3.1	0	0.80
-	$50.67 \pm 15.99$ $56.26 \pm 18.26$ $57.75 \pm 18.17$ $30.35 \pm 19.49$		50.67 ± 15.99      0.8      0.8        56.26 ± 18.26      0      0        57.75 ± 18.17      0      0        30.35 ± 19.49      7.8      0

KOOS, Knee injury and Osteoarthritis Outcome Score; ADL: Activities of daily living; Sport/Rec: Sports and recreation function; QOL, knee-related quality of life; SD, Standard deviation.

# Table 3

Test-retest reliability of the KOOS subscales (n = 35).

KOOS Subscales	KOOS Score (Mean $\pm$	SD)			Measurement error	
	First assessment	Second assessment	ICC (95% CI)	Р	SEM	MDC
Pain	$49.80 \pm 19.65$	$56.11 \pm 17.02$	0.82(0.72-0.90)	< 0.001	8.25	22.89
Symptoms	$56.69 \pm 20.23$	$64.80 \pm 18.36$	0.92(0.88-0.95)	< 0.001	5.67	15.70
ADL	$57.31 \pm 21.83$	$61.94 \pm 19.63$	0.97(0.95-0.98)	< 0.001	3.71	10.28
Sport/Rec	$26.86\pm20.44$	$37.00 \pm 23.83$	0.93(0.88-0.96)	< 0.001	5.31	14.74
QOL	$43.31\pm20.45$	$48.83 \pm 20.80$	0.85(0.77-0.92)	< 0.001	8.38	23.24

KOOS, Knee injury and Osteoarthritis Outcome Score; ICC, intraclass correlation coefficient; CI, confidence interval; SD, Standard deviation; ADL, Activities of daily living; Sport/Rec, Sports and recreation function; QOL, knee-related quality of life; SEM, standard error of measurement; MDC, Minimum detectable change.

## Table 4

Spearman's correlation coefficients (p) for the comparison of the five KOOS subscales with the eight SF-36 subscales.

Scale	Subscales	SF-36	SF-36						
		GH	PF	RP	RE	BP	VT	MH	SF
KOOS	Pain Symptoms ADL Sport/Rec QOL	$0.27^{a}$ $0.37^{a}$ $0.30^{a}$ $0.36^{a}$ $0.46^{a}$	$0.47^{a}$ $0.50^{a}$ $0.61^{a}$ $0.61^{a}$ $0.58^{a}$	$0.16 \\ 0.14 \\ 0.20^{b} \\ 0.25^{a} \\ 0.30^{a}$	0.24 <sup>a</sup> 0.29 <sup>a</sup> 0.25 <sup>a</sup> 0.26 <sup>a</sup> 0.32 <sup>a</sup>	$0.50^{a}$ $0.48^{a}$ $0.53^{a}$ $0.55^{a}$ $0.61^{a}$	0.29 <sup>a</sup> 0.39 <sup>a</sup> 0.27 <sup>a</sup> 0.32 <sup>a</sup> 0.29 <sup>a</sup>	$0.11 \\ 0.28^{a} \\ 0.21^{b} \\ 0.15 \\ 0.22^{b}$	0.23 <sup>b</sup> 0.31 <sup>a</sup> 0.37 <sup>a</sup> 0.25 <sup>a</sup> 0.39 <sup>a</sup>

KOOS, Knee injury and Osteoarthritis Outcome Score; ADL: Activities of daily living; Sport/Rec: Sports and recreation function; QOL, knee-related quality of life; SF-36, 36-item short form; GH, general health; PF, physical functioning; RP, role-physical; RE, role-emotional; BP, bodily pain; VT, vitality; MH, mental health; SF, social functioning.

<sup>a</sup> Correlation is significant at the 0.01 level (2-tailed).

<sup>b</sup> Correlation is significant at the 0.05 level (2-tailed).

Table 5
Responsiveness: KOOS data at the first evaluation and 6-week follow-up.

KOOS Subscales	KOOS Score (Mean $\pm$ SD)	Responsiveness		
	Pre-operative (n = 129)	Post-operative( $n = 119$ )	Effect size	SRM
Pain	$\textbf{50.67} \pm \textbf{15.99}$	$62.36 \pm 18.21$	0.68	0.80
Symptoms	$56.26 \pm 18.26$	$60.02 \pm 19.86$	0.72	0.76
ADL	$57.75 \pm 18.17$	$\textbf{73.25} \pm \textbf{19.74}$	0.82	0.77
Sport/Rec	$30.35 \pm 19.49$	$\textbf{46.87} \pm \textbf{27.75}$	0.69	0.80
QOL	$39.26\pm17.88$	$56.31 \pm 21.67$	0.86	1.09

KOOS, Knee injury and Osteoarthritis Outcome Score; ADL: Activities of daily living; ADL: Activities of daily living; Sport/Rec: Sports and recreation function; QOL, knee-related quality of life; SRM, standardized response mean; SD, Standard deviation.

internal consistency (Cronbach's  $\alpha = 0.71-0.95$ ) and test-retest reliability (ICC = 0.78-0.91). The study's results demonstrate similar strong psychometric properties to those of the original study, confirming that the simplified Chinese version of the KOOS is also individuals-relevancy, user-friendly for TKA individuals [10,11], and measure knee joint-related pain, symptoms, ADL, sport/Rec, and knee-related QOL.

The psychometric properties of the simplified Chinese KOOS have been examined in and compared among different populations. The study by Zhang et al. on the use of KOOS for individuals who have undergone ACL reconstruction showed sufficient internal consistency (0.740 < Cronbach's  $\alpha < 0.975$ ), the retest reliability was significant (0.888 < ICC < 0.941), and the construct validity was

considered as good when it was compared with the SF-36 [18]. When the KOOS was used for individuals with knee OA, the Cronbach's  $\alpha$  coefficient was greater than 0.70, the scale had good test-retest reliability (ICC = 0.89–0.92), and the relationship between the KOOS subscales and SF-36 domains was diverse (between KOOS-ADL and SF-36 RE [ $\rho$  = 0.27] and the KOOS-Sport/Rec correlated with SF-36 BF, BP, and VT domains [ $\rho$  = 0.34–0.63]) [16]. The study results revealed that in the TKA population, the simplified Chinese version of the KOOS had a Cronbach's  $\alpha$  of 0.91 for pain, 0.70 for symptoms, 0.95 for ADL, 0.90 for Sport/Rec, and 0.80 for QOL. The ICC value for pain, symptoms, ADL, Sport/Rec and QOL were 0.82, 0.92, 0.97 and 0.85, respectively. Similar to the above studies, our result demonstrated that the KOOS has sufficient internal consistency and good test-retest reliability in individuals who have undergone TKA. The construct validity results showed low to high correlations between the subscales of the KOOS and SF-36 (0.11<  $\rho$  < 0.61), which is similar to the study findings among the knee OA population (between KOOS-ADL and SF-36 RE [ $\rho$  = 0.25] and KOOS-Sport/Rec correlated with SF-36 BF, BP, and VT domains [ $\rho$  = 0.32–0.61]) [16]. Among the studies on the KOOS in China, this study is the first to conduct a responsiveness test on the KOOS. Our results demonstrate that the KOOS has good responsiveness and can detect clinical changes in individuals after TKA.

The Polish and Greek versions of the KOOS have been administered to individuals who have undergone TKA. The results of the Polish language version of the KOOS showed good internal consistency (all Cronbach's  $\alpha$  were between 0.90 and 0.92) and very good test-retest reliability (the ICCs of the KOOS subscales ranged between 0.81 and 0.86), with the smallest detectable change ranging from 18.2 to 24.3 [40]. The Greek version of the KOOS showed good internal consistency and retest reliability, with moderate effects noted in the KOOS pain and symptom subscales [41]. Compared to the two other language versions used for individuals after TKA, the simplified Chinese version of the KOOS demonstrates good internal consistency and test-retest reliability, with the smallest detectable change ranging from 10.28 to 23.24. All the subscales of the simplified Chinese version of the KOOS showed good responses, indicating their capacity to monitor clinical changes in individuals following TKA.

## 5. Conclusion

The Simplified Chinese version of the KOOS demonstrates satisfactory internal consistency, good test-retest reliability, and moderate construct validity. Therefore, it is a suitable objective tool for evaluating the TKA population in mainland China. This version of the KOOS can help physical therapists to quantify knee-related disability and provide useful guidance for future interventions.

# 6. Limitation

There are several limitations to this study. The subjects evaluated in this study may not fully represent the whole Chinese population. For test-retest reliability test, even following previous studies with a 24-h interval, it is not possible to determine totally whether the patient's condition remains unchanged.

# **Ethics statement**

The research protocol was approved by the first affiliated hospital of Dali university (No. DFY202210140001). Before participating this research, an informed consent was obtained from all participants.

## Data availability statement

The data that support the findings of this study are available from the corresponding author, [Yanfen Fu], upon reasonable request.

# CRediT authorship contribution statement

Runlan Yao: Writing – review & editing, Writing – original draft, Supervision, Methodology, Investigation, Conceptualization. Liying Yang: Writing – review & editing, Writing – original draft, Supervision, Project administration, Methodology, Conceptualization. Jianhua Wang: Validation, Investigation. Qiao Zhou: Validation, Investigation, Conceptualization. Xucheng Li: Supervision, Investigation, Data curation. Ziqing Yan: Visualization, Validation, Investigation. Yanfen Fu: Writing – review & editing, Writing – original draft, Supervision, Conceptualization.

## Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

## Acknowledgments

We would like to thank all participants and physical therapists who participated in the study. Living Yang and Runlan Yao should be considered joint first author.

### Appendix A. Supplementary data

Supplementary data to this article can be found online at https://doi.org/10.1016/j.heliyon.2024.e26786.

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