**ORIGINAL ARTICLE** 



# Semi-supervised CycleGAN for domain transformation of chest CT images and its application to opacity classification of diffuse lung diseases

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Received: 19 April 2021 / Accepted: 31 August 2021 / Published online: 16 October 2021 © CARS 2021

#### Abstract

**Purpose** The performance of deep learning may fluctuate depending on the imaging devices and settings. Although domain transformation such as CycleGAN for normalizing images is useful, CycleGAN does not use information on the disease classes. Therefore, we propose a semi-supervised CycleGAN with an additional classification loss to transform images suitable for the diagnosis. The method is evaluated by opacity classification of chest CT.

**Methods** (1) CT images taken at two hospitals (source and target domains) are used. (2) A classifier is trained on the target domain. (3) Class labels are given to a small number of source domain images for semi-supervised learning. (4) The source domain images are transformed to the target domain. (5) A classification loss of the transformed images with class labels is calculated.

**Results** The proposed method showed an F-measure of 0.727 in the domain transformation from hospital A to B, and 0.745 in that from hospital B to A, where significant differences are between the proposed method and the other three methods. **Conclusions** The proposed method not only transforms the appearance of the images but also retains the features being important to classify opacities, and shows the best precision, recall, and F-measure.

 $\textbf{Keywords} \ \ CycleGAN \cdot Domain \ transformation \cdot Semi-supervised \ learning \cdot Classification \cdot CT \cdot Diffuse \ lung \ diseases$ 

# Introduction

Deep learning (DL) has been applied to image classifiers in computer-aided diagnosis (CAD) [17]; however, DL requires many annotated data. Also, the accuracy of CAD may fluctuate when the imaging devices are different. For example, since different CT devices and settings show the different pixel values, CAD showing good performance in a certain hospital does not always show the same performance in other

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hospitals. In this case, the classifier needs to be retrained, which requires many training data again.

One of the solutions to normalize the image styles is domain transformation using CycleGAN [26]. For example, CycleGAN has been applied to classifying opacities in chest CT images [16]. However, since CycleGAN does not use labeled data, the transformation is not always suitable for the opacity classification. Hence, we propose a semi-supervised CycleGAN combined with a classifier trained to classify lung opacities in another hospital. In detail, (1) We use CT images taken at two hospitals (source and target domains). (2) A ResNet-based classifier [6] is trained on the target domain. (3) Class labels are given to a small number of source domain images. (4) Both labeled and unlabeled images in the source domain are transformed to the target domain. (5) A classification loss of the transformed images with class labels is used as an additional loss of CycleGAN. (4) and (5) are repeated to make the domain transformation suitable for the opacity classification.

There have been many studies on domain transformation. In [7], cycle-consistent domain adaptation is proposed,

which adapts between domains using both generative image space alignment and latent representation space alignment. In [3], domain adaptation in person re-identification that finds the relevant images to the query is proposed. Similarity preserving GAN is used and two types of unsupervised dissimilarities are incorporated. Bak et al. [1] solved the person re-identification problem when drastic variations in illumination across surveillance cameras occur. A synthetic dataset with various illumination conditions and a domain adaptation technique are designed. Unsupervised speech domain adaptation is proposed in [8], where multiple discriminators on the power spectrogram are designed to deal with different frequency bands. Xie et al. discussed content distortion in image-to-image translation [21] and a GAN with a self-supervised module is designed to enforce the image content consistency without extra annotations. In [11], leveraging synthetic data with pixel-level labels for segmentation is described. To reduce the gap between synthetic and real domains, considering the difference between the domains as a texture, a method to adapts to the target domain's texture is proposed. In [5], emotion recognition from audio data is considered, where publicly available facial image datasets are used for audio emotion recognition by transforming the images to audio spectrograms by an adversarial network.

Modality adaptation such as between CT and MRI is actively studied research. Yang et al. achieved cross-modality domain adaptation [23], where semantic feature-level information is preserved by finding a shared content space instead of a direct pixel-wise transformation. In [10], an adversarial domain adaptation from CT to MRI is studied for tumor segmentation on MRI. In [18], a deformation invariant cycleconsistency model that can filter out the domain-specific deformation is proposed and evaluated on multi-sequence brain MR data and multi-modality abdominal CT and MR data. A domain adaptation for medical image segmentation is proposed in [2], where the method simultaneously transforms the appearance of images across domains and enhances domain-invariance of the extracted features. In [20], Cycle-GAN and unsupervised image-to-image translation network [13] are evaluated in the transformation of T1- and T2weighted MR images, and two supervised models are also compared.

CycleGAN has been also applied to improve the quality of images. In [25], a supervised learning model of Cycle-GAN is proposed to transform low-dose PET images to full-dose images. In [14], a model combining parallel imaging with GAN for the reconstruction of MRI is proposed. This method effectively reconstructs multi-channel MR images at a low noise level for undersampling patterns. In [15], several GAN-based methods are compared to find the best methods that reconstruct MRI for undersampling images. In [24], an undersampled MRI reconstruction method based on GAN with self-attention and the relative average discriminator is proposed to improve the speed of MRI imaging and reduce patient suffering. In [4], Wasserstein GAN and recurrent neural networks are combined to fully utilize the relationship among sequential MRI slices, and an additional attentive unit enables the method to reconstruct more accurate anatomical structures for MRI data. In [22], a conditional GAN-based model to reconstruct compressed sensing MRI is proposed, where a refinement learning method is designed to stabilize the U-Net-based generator and reduce aliasing artifacts. In addition, frequency-domain information is incorporated to enforce similarity in both the image and frequency domains.

The aim of this paper is to perform a domain transformation of chest CT images taken by different CT devices in two hospitals, and we propose a semi-supervised CycleGAN with a classification loss function to achieve domain transformation with high classification accuracy. For example, when we compare our method with image generation using the GAN-based method [4,14,15,22,24] that aim at generating high-quality images from undersampled images, our method aims to transform CT images taken at a certain hospital so that they can be accurately classified by the classifier trained in another hospital. The proposed method is trained with a semi-supervised learning manner to reduce the cost of annotation by combining CycleGAN and an additional loss based on the classification accuracy.

# **Materials and methods**

#### Datasets

We used 503 chest CT images taken at Yamaguchi University Hospital, Japan (Domain A, SOMATOM Sensation 64, SIEMENS) and 636 images taken at Osaka University Hospital, Japan (Domain B, Discovery CT750 HD, GE). Generally, CycleGAN works in the entire image, identifies nonlinear regions that are to be changed and others that are kept intact. The proposed method is not the nonlinear regional transformation, as in the case of putting lines to a horse to let it appear as a zebra. Since the main difference between the images of hospitals A and B are intensity range, contrast, and the reconstruction function that generates tomographic images from X-ray projection data, the proposed method aims to normalize them. For example, domain A images are slightly darker and have smoother contours, while domain B images are lighter and have sharper contours. Both domains A and B contain six opacity classes: consolidation (CON), diffuse nodular (DN), emphysema (EMP), groundglass opacity (GGO), honeycombing (HCM), and normal (NOR). The numbers of images of each opacity are shown in Table 1 and image examples  $(512 \times 512 \text{ [pixels]})$  of the two domains are shown in Fig. 1.

Fig. 1 Examples of CT images of domains A and B. There are some differences in the image properties such as intensity, contrast and sharpness of the opacities



Domain A Yamaguchi University Hospital



Domain B Osaka University Hospital

Table 1 Numbers of images of domains A and B

	Domain A	Domain B
Consolidation (CON)	109	88
Diffuse nodular (DN)	53	93
Emphysema (EMP)	112	93
Ground-Glass Opacity (GGO)	75	192
Honeycombing (HCM)	99	90
Normal (NOR)	55	90

Table 2 Numbers of ROIs and stride sizes

	Number of ROIs		Stride size [pixels]	
	Domain A	Domain B	Domain A	Domain B
CON	3071	3447	8	11
DN	3023	3311	16	14
EMP	3122	3021	24	27
GGO	3460	3273	12	18
HCM	3236	3434	13	13
NOR	3117	3035	29	32

We implemented region of interest (ROI)-based classification by dividing the CT images into  $32 \times 32$  [pixels] ROIs. We chose patch-wise classification instead of pixel/voxel-wise segmentation because the number of patches for the training can be increased by extracting many patches from slices when the number of annotated CT slices is limited. The CT images have the corresponding mask images (ground truth) created by three radiologists showing the location of opacities. Figure 2 shows examples of CT images, their mask images and the extracted regions for generating ROIs.  $32 \times 32$  [pixels] regions were scanned by striding from the upper left to the lower right of each CT image and the class labels were given to the regions if they contain more than 50% of the masked areas. If the stride size is the same for all the kinds of opacities, the numbers of ROIs become imbalanced. Therefore, the stride size was adjusted to extract about 3000 ROIs for each kind of opacity (Table 2).

Figure 3 shows how to split the extracted ROIs into training and testing data when ROIs of domain A are transformed to domain B.  $A_{\text{train}}$  and  $B_{\text{train}}$  are used for training,  $A_{\text{test}}$  and  $B_{\text{test}}$  are used for testing, and  $A_{\text{train\_anno}} \subset A_{\text{train}}$  is a small dataset with class labels. When the standard CycleGAN is trained,  $A_{\text{train}}$  and  $B_{\text{train}}$  have no class labels; however, in this study, a small part of the training data were annotated for semi-supervised learning. In detail, CT images of five patients per opacity were annotated. In Fig. 3, the whole domain A data are split into training set  $A_{\text{train}}$  (including annotated part of domain A) and testing set  $A_{\text{test}}$ . Therefore, A<sub>test</sub> is the test set for the domain A classification. Note that the testing set has been also annotated for the evaluation purpose. Actually, when the number of annotated training data is increased, the performance becomes better as we can often see in general DL. In this paper, five patients per opacity were selected by carefully considering the radiologists' effort to make annotations and if annotation of only five patients per opacity gives positive effects on the performance, the burden on the radiologists would be reduced. Note that the ROIs extracted from the same CT image were only included in either the training data or testing data.

## Methods

The semi-supervised CycleGAN (proposed method) consists of a standard CycleGAN and an opacity classifier. The upper part in Fig. 4 shows the classification flow with domain transformation and the lower part shows the flow without it. Here, International Journal of Computer Assisted Radiology and Surgery (2021) 16:1925–1935



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we suppose that a classifier (ResNet) trained on domain B is used to classify data of domain A. The proposed method transforms ROIs from domain A to B and the trained ResNet classifies the transformed ROIs. Note that the true class labels have been given to a small number of ROIs of domain A, and the loss of the ResNet is calculated when the transformed ROIs are classified. The loss is fed back to the generator that executes the transformation. This method not only adjusts the appearance of ROIs but also has the effect of clarifying the important features for opacity classification. In this paper, both "A to B" and "B to A" transformations were investigated.

Hereafter, we explain the procedure when A to B transformation is implemented. Figure 5 shows an overview of the semi-supervised CycleGAN that contains two generators G and F, two discriminators  $D_A$  and  $D_B$ , and a classifier  $D_{cf_B}$ . The training samples are  $a \in A_{\text{train}}$  and  $b \in B_{\text{train}}$ . In the standard CycleGAN, the loss functions of Eqs. 1 through 4 are used to train G, F,  $D_A$ ,  $D_B$ .

$$L_{AtoB} (G, D_B, A, B) = E_{b \sim p_{data}(b)} [log D_B (b)] + E_{a \sim p_{data}(a)} [log (1 - D_B (G (a)))]$$

$$(F, D_A, B, A) = E_{a \sim p_{\text{data}}(a)} [log D_A(a)] + E_{b \sim p_{\text{data}}(b)} [log(1 - D_A(F(b)))]$$

$$(1)$$

$$L_{\text{cyc}}(G, F) = E_{a \sim p_{\text{data}}(a)} \|F(G(a)) - a\| \\ + E_{b \sim p_{\text{data}}(b)} \|G(F(b)) - b\|$$
(3)

$$L_{\text{identity}}(G, F) = E_{a \sim p_{\text{data}}(a)} \|F(a) - a\|$$
$$+ E_{b \sim p_{\text{data}}(b)} \|G(b) - b\|$$
(4)

Data distributions are denoted as  $a \sim p_{\text{data}}(a)$  and  $b \sim p_{\text{data}}(b)$ . Generators *G* and *F* are learned by minimizing the loss of Eqs. 1 and 2, but since these loss functions alone will learn to map the same output pattern to any input images, the loss functions of Eqs. 3 and 4 are introduced [26]. Equation 3 is called cycle consistency loss, which con-

**Fig. 4** Classification flow with and without domain transformation. When the domain transformation is adopted, a domain A image is inputted to the semi-supervised CycleGAN and transformed to a domain B-like image. Then, the transformed image is classified by the classifier. When the domain transformation is not adopted, a domain A image is directly inputted to the classifier



Flow without domain transformation



**Fig. 5** Overview of a semi-supervised CycleGAN. Domain A is transformed by generator G, and domain B is transformed by generator F.  $D_A$  is a discriminator that classifies whether an inputted image is from A (real) or B (fake), and  $D_B$  classifies whether an inputted image is from B (real) or A (fake).  $D_{cf_R}$  is a classifier trained on domain B

strains the original data a and b to match the generated data F(G(a)) and G(F(b)), respectively. Equation 4 is called identity mapping loss, which constrains the generator not to convert any data that have belonged to the target domain. The structure of CycleGAN was referred to in the code provided by git repository.<sup>1</sup>

In this paper, we designed an additional loss calculated by the ResNet. First, fake domain B data G(a) are generated from domain A. Second, ResNet  $D_{cf_B}$  trained on domain B is used to classify data G(a) and the loss is fed back to G to re-train. In the re-training, only the ROIs  $a^{(anno)} \in A_{train\_anno}$ are used and the additional loss is calculated by Eq. 5.

$$L_{\text{resnet}}(G, D_{cf_B}) = E_{a^{(\text{anno})} \sim p_{\text{data}}(a^{(\text{anno})})} [-\sum_{k \in C} d_k \log D_{cf_B}^{(k)}(G(a^{(\text{anno})}))],$$
(5)

where *C* is a set of class numbers,  $d_k$  is a one-hot vector showing the correct class number, and  $D_{cf_B}^{(k)}$  is an output of the ResNet for class *k*. Then, our full loss function is

 $L(G, F, D_A, D_B, Dcf_B) = L_{AtoB}(G, D_B, A, B)$ 

$$+L_{BtoA}(F, D_A, B, A)$$
  
+ $\lambda_1 L_{cyc}(G, F)$   
+ $\lambda_2 L_{identity}(G, F)$   
+ $\lambda_3 L_{resnet}(G, D_{cf_B}),$  (6)

where  $\lambda_1$ ,  $\lambda_2$ , and  $\lambda_3$  are bias terms.  $\lambda_1$  and  $\lambda_2$  were set at 40, 5 and  $\lambda_3$  was set at 0 from first to 100th epoch and 0.2 from 101th to 200th epoch. The proposed method uses  $L_{\text{resnet}}$ , which sometimes makes the CycleGAN destroy the original texture patterns of ROIs, thus,  $\lambda_1$  and  $\lambda_2$  were set at larger values than  $\lambda_3$ , and  $\lambda_3$  was set at a positive value after 100 epochs. In fact, we visually examined the generated ROIs in the experiments and found that the texture patterns were not destroyed. Finally, *G*, *F*, *D*<sub>A</sub>, and *D*<sub>B</sub> are optimized by the following objective function.

$$G^{*}, F^{*}, D_{A}^{*}, D_{B}^{*}$$
  
= arg min max  
<sub>G,F</sub>  $D_{A,D_{B}}$   $L(G, F, D_{A}, D_{B}, D_{cf_{B}}),$  (7)

where the weights of  $D_{cf_B}$  are fixed.

The structure of  $D_{cf_B}$  is based on ResNet34 [6] as shown in Table 3. The residual block shown in Fig. 6 is used in Conv2, Conv3, Conv4, and Conv5. For example, Conv2 uses three residual blocks with two convolution layers with kernel size  $3 \times 3$  and channel size 64. After Conv5, a fully connected layer is used to output six values that correspond to the probabilities of belonging to six kinds of opacities, respectively.

#### Results

#### **Experimental setup**

The numbers of ROIs are shown in Table 4, where the numbers in parentheses show the numbers of ROIs with class labels, i.e.,  $A_{\text{train\_anno}}$  and  $B_{\text{train\_anno}}$ . Figure 7 shows four methods for comparison when A to B transformation is executed. Method 1 is the proposed method, and Method 2

<sup>&</sup>lt;sup>1</sup> https://github.com/junyanz/pytorch-CycleGAN-and-pix2pix.

 Table 3
 Structure of 34-layered

 ResNet
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Layer name	Output size	Residual block type	
Conv1	$32 \times 32$	$3 \times 3$ , stride 1	
Conv2	$32 \times 32$	$\begin{bmatrix} 3 \times 3, 64 \\ 3 \times 3, 64 \end{bmatrix} \times 3$	
Conv3	16 × 16	$\begin{bmatrix} 3 \times 3, 128 \\ 3 \times 3, 128 \end{bmatrix} \times 4$	
Conv4	$8 \times 8$	$\begin{bmatrix} 3 \times 3, 256 \\ 3 \times 3, 256 \end{bmatrix} \times 6$	
Conv5	$4 \times 4$	$\begin{bmatrix} 3 \times 3, 512 \\ 3 \times 3, 512 \end{bmatrix} \times 3$	
Fully connected	$1 \times 1$	Average pooling 6-d fully connected	



**Fig. 6** Structure of a residual block. Input x is transformed by convolution, batch normalization, and ReLU. Then, the output is the sum of the transformed x and the original input x

is based on the standard CycleGAN. Method 3 does not use domain transformation and directly inputs the ROIs of domain A to the ResNet trained on domain B. In Method 4, domain transformation is not used and the ResNet is trained on  $A_{\text{train\_anno}}$ . The aim of this paper is to add the classification loss to CycleGAN and evaluate the effects on the classification performance when a small number of annotated data are given. Thus, if Method 1 is better than Method 2, the main objective, i.e., the effect of the additional loss is verified. In addition, to show more results for the comparison, Method 3 without domain transformation is evaluated. Also, if Method 4 is better than Method 1, the domain transformation is fundamentally meaningless, i.e., the training in the single domain is enough; thus, we conducted the comparison.

The evaluation metrics are precision, recall, and Fmeasure calculated by averaging the results of 20 indepenTable 4 Numbers of ROIs used for the training and testing.  $(\cdot)$  shows the numbers of ROIs with annotation used to calculate the loss of the ResNet

	Domain A		Domain B	
Class	Training	Testing	Training	Testing
CON	1022 (95)	2049	1027 (104)	2420
DN	1018 (107)	2005	1020 (98)	2291
EMP	1020 (105)	2102	962 (105)	2059
GGO	989 (108)	2471	996 (108)	2277
HCM	1003 (96)	2233	1021 (96)	2413
NOR	1003 (105)	2114	1024 (101)	2011
Total	6055 (616)	12974	6050 (612)	13471

dent trials. In fact, we aimed to generate new gray-scale images that can be correctly classified by the classifiers in the target domain. In this sense, the aim of this paper is to increase the classification performance on the generated images. Therefore, precision, recall and F-measure were used, which are directly related to evaluating the classification performance.

#### **Domain transformation from A to B**

First, the ResNet was trained using all the ROIs of domain B (Table 2). The pixel values were normalized to [-1, 1], the number of epochs was set at 20, the batch size was set at 16, and Adam [12] was used for training. After the training, the accuracy for the training data was 98.1%.

Next, the domain transformation was learned for 200 epochs with batch size 16. Figure 8 shows examples of the domain transformation from A to B and the reconstruction from B to A, where the ROIs of domain A are transformed to domain B-like images, and the reconstructed images still keep the textures of the original images.



annotated data  $A_{train\_anno}$ 

**Fig. 7** Methods for comparison. Method 1 is the proposed method. Method 2 uses the standard CycleGAN. Method 3 does not use domain transformation, but directly input images of domain A to the classifier

trained on domain B. Method 4 does not use domain transformation, but trains the classifier using the annotated images of domain A

Precision, recall and F-measure obtained by the four methods are shown in Tables 5, 6 and 7, respectively,<sup>2</sup> where Method 1 shows the best results. T-test on the mean Fmeasures between Method 1 and other methods shows the significant differences. The p-value between Method 1 and 2 is  $4.73 \times 10^{-7}$  that between Method 1 and 3 is  $1.83 \times 10^{-17}$ , and that between Method 1 and 4 is  $3.34 \times 10^{-6}$ . Since Method 1 is better than Method 2, the additional loss (Eq. 5) is effective to transform ROIs while retaining useful opacity features for classification. According to the results of Method 3, just diverting the trained ResNet does not show good performance and the image transformation is important to adapt to another domain. When comparing Method 1 and 4, although the given data with annotation are the same, Method 1 is better than Method 4. Method 4 performs worse than Method 1 because the number of training data is too small to sufficiently train the ResNet. On the other hand, Method 1 effectively makes use of the limited number of annotated data to learn the domain transformation; thus, the performance becomes better. If enough training data of the source domain can be available, Method 4 achieves better performance by sufficiently tuning the parameters.

To show the baseline of the classification performance in case where enough training data in the same domain is

Table 5 Precision obtained by Method 1, 2, 3 and 4 in the domain transformation from A to B  $\,$ 

	Method				
	1	2	3	4	
CON	0.986	0.980	0.867	0.977	
DN	0.720	0.702	0.268	0.391	
EMP	0.555	0.439	0.131	0.584	
GGO	0.772	0.660	0.486	0.884	
HCM	0.775	0.703	0.408	0.790	
NOR	0.627	0.599	0.014	0.538	
Mean	0.740	0.679	0.365	0.701	

available, a ResNet was trained on domain A, i.e.,  $A_{\text{train}}$  and evaluated on domain A, i.e.,  $A_{\text{test}}$ . As a result, the mean precision is 0.837, the mean recall is 0.819 and the mean F-measure is 0.819. Therefore, preparing enough training data in the same domain is important as a first step to build a classification model; however, when it is difficult, the domain transformation is effective.

#### Domain transformation from B to A

Next, ROIs of domain B were classified by the ResNet trained domain A. The ResNet was trained using all the ROIs of domain A (Table 2), and the accuracy for the training data was 98.8%. Then, the domain transformation was learned for 200 epochs with batch size 16. Figure 9 shows examples of the transformation from B to A, and the reconstructed images.

 $<sup>^2</sup>$  In Tables 5 through 10, "Mean" values are different from those simply calculated based on the values of the six opacities in each table. "Mean" represents the mean of 20 trials, where, in each trial, a weighted average of six opacities is calculated. Also, F-measures in Tables 7 and 10 are different from those calculated based on the values in Tables 5, 6, 8, and 9, i.e., they are the average F-measures over 20 trials.



**Fig. 8** Examples of the ROIs generated by the domain transformation (A to B). The row of "Domain A" shows the original ROI used as inputs. The row of "Generated domain B" shows the result of domain transfor-

mation A $\rightarrow$ B. The row of "Reconstructed domain A" shows the result of domain transformation A $\rightarrow$ B $\rightarrow$ A

**Table 6** Recall obtained by Method 1, 2, 3 and 4 in the domain trans-formation from A to B

	Method				
	1	2	3	4	
CON	0.911	0.899	0.284	0.873	
DN	0.563	0.497	0.063	0.408	
EMP	0.623	0.526	0.549	0.517	
GGO	0.716	0.730	0.352	0.587	
HCM	0.869	0.807	0.400	0.840	
NOR	0.670	0.469	0.042	0.719	
Mean	0.727	0.658	0.286	0.658	

Table 7 F-measure obtained by Method 1, 2, 3 and 4 in the domain transformation from A to B  $\,$ 

	Method				
	1	2	3	4	
CON	0.947	0.937	0.372	0.901	
DN	0.626	0.571	0.051	0.376	
EMP	0.582	0.473	0.208	0.513	
GGO	0.742	0.687	0.374	0.700	
HCM	0.818	0.739	0.308	0.805	
NOR	0.643	0.517	0.021	0.593	
Mean	0.727	0.655	0.228	0.652	

The classification performance are shown in Tables 8, 9 and 10, where Method 1 shows the best results. T test on the mean F-measures shows significant differences between Method 1 and other methods, where the p-value between Method 1 and 2 is  $6.00 \times 10^{-3}$ , that between Method 1 and 3 is  $5.80 \times 10^{-42}$ , and that between Method 1 and 4

 Table 8
 Precision obtained by Method 1, 2, 3 and 4 in the domain transformation from B to A

	Method				
	$\frac{1}{1}$	2	3	4	
CON	0.993	0.994	0.880	0.927	
DN	0.697	0.668	0.213	0.577	
EMP	0.639	0.629	0.053	0.786	
GGO	0.834	0.827	0.177	0.816	
HCM	0.800	0.788	0.627	0.729	
NOR	0.566	0.556	0.037	0.538	
Mean	0.764	0.752	0.350	0.734	

is  $2.25 \times 10^{-13}$ . Method 1 shows better F-measure (0.745) in B to A transformation than A to B (0.727). However, the difference between Method 1 and 2 in A to B transformation (0.072) is larger than B to A (0.015), which shows that A to B transformation is more difficult for the standard CycleGAN because it cannot emphasize the opacity features without class label information. In B to A transformation, original ROIs of domain B may have clear features for classification, thus it is relatively easy for the standard CycleGAN to transform the domains. To clarify under what kinds of conditions the opacity features should be emphasized is a remaining problem.

The classification performance in case where we have enough training data in the same domain is also shown. When a ResNet is trained on domain B, i.e.,  $B_{\text{train}}$ , and evaluated on domain B, i.e.,  $B_{\text{test}}$ , the mean precision is 0.850, the mean recall is 0.822 and the mean F-measure is 0.818.



**Fig. 9** Examples of the ROIs generated by the domain transformation (B to A). The row of "Domain B" shows the original ROI used as inputs. The row of "Generated domain A" shows the result of domain transfor-

 Table 9
 Recall obtained by Method 1, 2, 3 and 4 in the domain transformation from B to A

	Method				
	1	2	3	4	
CON	0.909	0.905	0.996	0.848	
DN	0.761	0.773	0.022	0.396	
EMP	0.482	0.445	0.000	0.741	
GGO	0.659	0.633	0.568	0.659	
HCM	0.884	0.877	0.489	0.762	
NOR	0.741	0.722	0.024	0.796	
Mean	0.747	0.734	0.370	0.699	

Table 10 F-measure obtained by Method 1, 2, 3 and 4 in the domain transformation from B to A  $\,$ 

	Method				
	1	2	3	4	
CON	0.949	0.947	0.934	0.854	
DN	0.723	0.715	0.037	0.448	
EMP	0.541	0.503	0.000	0.709	
GGO	0.733	0.713	0.269	0.717	
HCM	0.839	0.827	0.541	0.740	
NOR	0.637	0.622	0.028	0.629	
Mean	0.745	0.730	0.319	0.687	

## Discussion

In this section, discussion and some remaining problems are described. First, many methods can be applied to image normalization. In this paper, we adopted one of the methods, i.e., CycleGAN, and aimed to enhance the normalization

mation  $B\to A.$  The row of "Reconstructed domain B" shows the result of domain transformation  $B\to A\to B$ 

ability of CycleGAN for the classification. Since our main proposal is the additional classification loss to CycleGAN in a semi-supervised learning manner, the effect of the method with a small number of annotated data is mainly compared to the base method, i.e., the original CycleGAN without the additional loss. Also, as our initial motivation, we supposed that it is difficult for us to judge the important global and local features to be transformed to improve the classification performance; thus, the end-to-end transformation method was considered instead of applying some image processing techniques. In addition, we would like to find the important features by directly using the classification loss because the final objective is to maximize the classification performance. In the proposed method, global features (e.g., intensity, contrast, etc.) and local features (e.g., textures) for better classification are transformed by combining Cycle-GAN and the additional classification loss. However, in terms of explainability, we may need to analyze the filters generated in the convolution layers in CycleGAN in the future research.

We should consider the difference in the feature distribution of labeled and unlabeled data. In the proposed method, when giving opacity labels to a small number of data, a sampling bias would occur, causing discrepancies in the empirical distribution between labeled and unlabeled data [19]. We randomly selected the annotated data, but the problem of the sampling bias has not been solved yet. If the distribution of the labeled data deviates from the actual data distribution, it may be difficult to learn an appropriate domain transformation. To reduce the bias, it is necessary to consider training data augmentation that gives class labels to the unlabeled data, where the class labels are assigned to the data for which ResNet in the semi-supervised CycleGAN shows high classification confidence. This problem should be studied in the future.

The explainability of the classification is also discussed. Hu et al. [9] aims at not only identifying the diseases of COVID-19 but also identifying the locations using CNN, where the influences of each pixel on the neuron activation in the target maps are calculated. In our method, patch-based classification can identify disease locations to some extent, but for pixel-based segmentation or bounding box detection, it is necessary to use the activation status of neurons, as used in [9].

To further evaluate the classification ability, ideally, the test on an external dataset should be done. Currently, the experiments are executed using the CT datasets obtained by two hospitals; however, we are planning to apply the proposed method to other datasets, e.g., CT images obtained by another hospital and not only CT images but also pathological images, to confirm the performance.

We used the identity mapping loss (Eq. 4) to implement the experiments in the same conditions as the original Cycle-GAN that has been widely used in the world. In this paper, however, the single-to-single domain transformation is executed; thus, Eq. 4 does not have effects on the transformation. Nevertheless, when we consider the domain transformation from multiple source domains to target domains in the future, Eq. 4 would be still effective.

There are many techniques to overcome the problem of the small amount of data and one of the techniques is pre-training and fine-tuning. However, in this paper, we focused on the different approach where the normalization is applied to the source domain and the well-trained classifier on the target domain is reused to reduce the annotation cost. To realize this approach, we designed the additional loss and evaluated the effects of the designed loss comparing with the method without the additional loss. In the future, it may be worthwhile to combine pre-training, fine-tuning, and domain transformation to further improve the classification performance.

# Conclusions

We investigated the domain transformation of chest CT images using a semi-supervised CycleGAN so that a classifier trained at a certain hospital can be used at another hospital. The proposed method not only transforms the appearance of the images but also preserves features being important to classify lung opacities. We used the chest CT images of domain A and B and simulated the two cases where domain A is transformed to domain B, and vice versa. As a result, the effectiveness of the proposed method was confirmed. In the future, we will solve the remaining problems described in the previous sections, then apply the proposed

method to build a large-scale medical image datasets with annotation.

Acknowledgements This work was supported by JSPS KAKENHI Grant Number 19K12120.

### Declarations

Conflict of interest Shingo Mabu and Takashi Kuremoto received JSPS KAKENHI Grant Number 19K12120.

**Ethical approval** All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional and/or national research committee and with the 1964 Helsinki declaration and its later amendments or comparable ethical standards. This article does not contain any studies with animals performed by any of the authors

**Informed consent** Informed consent was obtained from all individual participants included in the study.

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