

# Antiviral therapy improves the survival rate and decreases recurrences and fatalities in liver cancer patients following curative resection: A meta-analysis

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**Abstract.** The present study aimed to investigate the impact of postoperative antiviral treatment on tumor recurrence, fatalities and survival of patients with chronic hepatitis B virus (HBV) infection-related primary hepatocellular carcinoma (HCC). A systematic meta-analysis was performed. All the studies comparing nucleos(t)ide analogues (NAs) versus placebo or no treatment were considered. The results were expressed as relative ratio (RR) for 1-, 3- and 5-year recurrence-free survival (RFS) and overall survival (OS), recurrence HCC and fatalities with 95% confidence intervals (CI) using STATA 11.0. In total, 15 trials with 7,619 patients were included. There were significant improvements for 1-, 3- and 5-year RFS (RR, 1.09; P=0.003; RR, 1.202; P<0.001; and RR, 1.219; P=0.02; respectively) and in 3- and 5-year OS (RR, 1.087, P=0.006; and RR, 1.186; P<0.001) in the NAs group compared with the control group. Sensitivity analyses confirmed the robustness of the results. In addition, the significantly high rate of recurrence HCC and fatalities existed in the control group (RR, 1.301; P=0.002; and RR, 1.816, P<0.001). One study was for an entecavir (ETV)-treated group compared with an adefovir

(ADV)-treated group and lamivudine (LAM)-treated group. The 3-year disease-free survival rate for the ETV group was significantly better compared with the ADV and LAM groups [hazard ratio (HR), 0.810; P=0.049; and HR, 0.737; P=0.007]. The present study demonstrated the beneficial effects of NAs therapy following curative treatment of HBV-related HCC. ETV may be the superior choice compared to ADV or LAM for the antiviral treatment.

## Introduction

Hepatocellular carcinoma (HCC) ranks as the third cause of cancer-related fatality worldwide. An estimated 748,300 new HCC cases and 695,900 fatalities occurred worldwide in 2008 (1). Hepatitis B virus (HBV) infection or HCV infection is one of the major risk factors for the development of HCC, particularly in Eastern Asia and sub-Saharan Africa. It has been estimated that HBV infection is associated with 50-80% of HCC cases worldwide. Increasing evidence indicates that antiviral therapy with nucleos(t)ide analogue (NA) drugs is effective in reducing the incidence of HCC in HBV-infected patients (2).

Surgery, ablation and liver transplantation are the potentially effective treatments for HCC, although the long-term survival rate remains unsatisfactory, due to high recurrences in 36.8-78.0% of postoperative patients (3). Recently, a meta-analysis showed the benefits of adjuvant NAs therapy following curative treatment of HBV-related HCC based on the recurrence-free survival (RFS) and overall survival (OS) (4). However, this study did not show the exact 1-, 3- and 5-year RFS and OS, or the HCC recurrence and mortality rates in patients following surgery between the antiviral treatment and control groups. Five NAs have been licensed to treat patients with HBV infection. Few studies have described which type of NAs was the best for the HCC patients. Based on these reasons, the present meta-analysis was performed to focus on the effect of 1-, 3- and 5-year RFS and OS, HCC recurrence and mortality rates between patients by adjuvant NAs therapy following curative treatment of HBV-related HCC, and which NA is the best for these patients.

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## Materials and methods

**Literature search.** The present study was performed according to the recommendations of the PRISMA statement (5). Computerized searches were conducted on Web of Science and PubMed until 1 November, 2014. The strategy was based on MeSH terms combining with free text words. The detailed search strategies were as follows: (HCC OR liver cancer OR hepatic carcinoma OR hepatocellular carcinoma) AND [hepatectom\* OR (liver\* OR hepatocellular\* OR hepatic OR hepato-cellular and resection) OR postoperative OR surgery] AND (nucleoside OR nucleotide and analogue\*) AND [lamivudine (LAM) OR adefovir (ADV) OR entecavir (ETV) OR telbivudine OR tenofovir]. The reference lists of the retrieved studies were also manually searched to identify more qualified studies.

**Inclusion and exclusion criteria.** The inclusion criteria was as follows: i) Study design: Non-randomized and randomized controlled trial (RCT) studies were included; ii) study patients: Diagnosed with HBV related-HCC; iii) therapy for HCC: Curative resection or ablation; iv) antiviral treatment: Using NAs as regular therapy compared with placebo or no treatment in the control group following curative therapy of HCC; and v) results available on one of the following: 1-, 3- or 5-year RFS or OS after surgery with antiviral therapy, HCC recurrence rate or mortality rate in the two groups. Exclusion criteria were as follows: i) Primary HCC was treated with palliative therapy (transarterial chemoembolization, radiation or systemic chemotherapy); and ii) trials including participants co-infected with other virus, such as HCV or human immunodeficiency virus (HIV).

**Quality assessment.** The quality of the included studies was assessed independently by two authors (Yuchen Zhou and Guosheng Yuan) using the Newcastle-Ottawa Scale (NOS) (6) for non-randomized studies. The NOS uses different tools for non-randomized studies and consists of 3 parameters of quality: Selection, comparability and exposure/outcome assessment. The NOS assigns a maximum of 4 points for selection, 2 for comparability and 3 for exposure/outcome. NOS scores of 1-3, 4-6 and 7-9 were assigned for low, intermediate and high-quality studies, respectively (7). Discrepancies were settled by consensus following joint re-evaluation of the original studies by the third author (Guangyao Zhou).

**Data collection and statistical analysis.** For each eligible manuscript, the following information was extracted: i) First author's name and year of publication, the country of patients and duration of the follow-up; ii) study design (randomized, case-control or cohort); iii) the exact NAs for antiviral therapy, iv) the included number of patients in the control and treatment group; v) the number of patients between the two groups in RFS or OS in 1-, 3- and 5-year, HCC recurrence or fatality; and vi) since numerous studies did not report this information directly, Kaplan-Meier curves were read by Engauge Digitizer version 4.1 ([http://www.ohri.ca/programs/clinical\\_epidemiology/oxford.htm](http://www.ohri.ca/programs/clinical_epidemiology/oxford.htm)).

The relative ratio (RR) with a 95% confidence interval (CI), using either a fixed-effect model or random-effect model, was

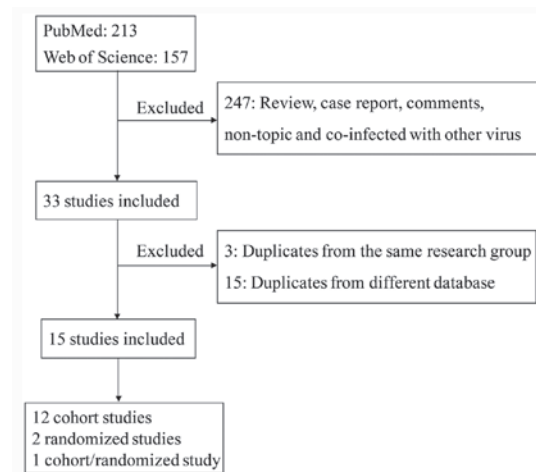


Figure 1. Flow diagram of study selection.

applied as a summary statistic for 1-, 3- and 5-year RFS/OS, HCC recurrence and fatalities between the two groups. In accordance with customary, an overall  $RR > 1$  favored the NAs group in the survival rate and the control group in HCC recurrence and mortality rate. The difference was considered to indicate a statistical significance if the 95% CI of the RR did not overlap 1, accompanied by  $P < 0.05$ .

Potential publication bias was comprehensively assessed by Begg's funnel plot and Egger's rank correlation test of asymmetry. Publication bias was determined present when the P-value was  $\leq 0.10$  by the Egger's or Begg's test. Sensitivity analyses were used to evaluate the reliability of the results. All the statistical analyses were performed using STATA version 11.0 (STATA Corporation, College Station, TX, USA).

## Results

**Characteristics of included studies.** In total, 388 citations were identified from the PubMed and Web of Science database. Following review by all the authors, there were 15 studies (8-22) (13 cohorts, 1 randomized and 1 randomized combined with cohort) that fulfilled the inclusion criteria (Fig. 1). The details are shown in Table I. In total there were 7,019 subjects included, with 1,353 patients in the antiviral treatment group and 5,266 in the control group. Based on the NOS scores, 13 of 14 studies (9 scores for 1 study, 8 scores for 6 studies and 7 scores for 6 studies) were of high quality and the other study (6 scores) was acceptable. The score of each study is presented in Table I. As the included randomized studies were not double-blind studies, these studies were low quality.

**Effects of the intervention for the RFS and OS.** Pooling the data of 11 (8-11,13,14,17-19,21,22), 11 (8-11,13,14,17-20,22) and 7 (9-11,13,18,19,22) studies that assessed 1-, 3- and 5-year RFS (Table II and Fig. 2A-C) showed significant differences favoring NAs therapy (RR, 1.090; 95% CI, 1.030-1.153;  $P = 0.003$ ; RR, 1.202; 95% CI, 1.121-1.288;  $P < 0.001$ ; and RR, 1.219; 95% CI, 1.032-1.442;  $P = 0.02$ , respectively). The significant between-study heterogeneity only existed in the pooled analysis of 5-year RFS ( $I^2 = 41.5\%$ ). In addition, as the randomized studies were low quality, the included cohort

Table I. Characteristics of the included studies.

Authors, year (Refs.)	NOS scores	Data collected <sup>a</sup>	Study design	Cure for HCC	Adjuvant treatment	Sample size, T/C
Kuzuya <i>et al</i> , 2007 (17)	7	1	Cohort	Resection or RFA	LAM (with ADV rescue)	141/141
Kubo <i>et al</i> , 2007 (18)	7	2	Cohort	Resection	LAM (with ADV rescue)	81/82
Yoshida <i>et al</i> , 2008 (16)	8	2	Cohort	RFA	LAM (with ADV rescue)	215/402
Koda <i>et al</i> , 2009 (14)	7	2	Cohort	Resection or RFA	LAM (with ADV or ETV rescue)	99/32
Chuma <i>et al</i> , 2009 (15)	8	1	Cohort	Resection or RFA	LAM (with ADV or ETV rescue)	14/10
Chan <i>et al</i> , 2011 (13)	7	1	Cohort	Resection	LAM or ETV	16/33
Hann <i>et al</i> , 2011 (12)	9	1	Cohort	Resection or ablation	LAM, tenofovir or ADV	42/94
Wu <i>et al</i> , 2012 (11)	8	2	Cohort	Resection	LAM, ETV, telbivudin	22/14
Ke <i>et al</i> , 2013 (10)	6	1	Cohort	Resection	LAM	9/6
Yin <i>et al</i> , 2013 (8)	8	2	Cohort	Resection	LAM (with ADV or ETV rescue)	39/64
Su <i>et al</i> , 2013 (9)	8	2	Cohort	Resection	LAM OR ETV	62/271
Huang <i>et al</i> , 2013 (20)	7	1	Cohort	Resection	ADV, ETV or LAM	518/4,051
Nishikawa <i>et al</i> , 2014 (19)	8	1	Cohort	Resection, RFA or PCEI	LAM, ADV or ETV	865/175
Li <i>et al</i> , 2010 (21)	7	1	Cohort	Resection	LAM (with or without ADV)	43/36
Yin <i>et al</i> , 2013 (8)	Unclear bias	2	Randomized	Resection	LAM (with ADV or ETV rescue)	33/71
Huang <i>et al</i> , 2013 (22)	Unclear bias	1	Randomized	Resection	ADV	100/100

<sup>a</sup>1, data from the study; 2, data from the K-M survival by software. NOS, Newcastle-Ottawa Scale; HCC, hepatocellular carcinoma; T/C, treatment group/control group.

studies were pooled and analyzed. These studies also showed a significant benefit of 1- and 3-year RFS (RR 1.087; 95% CI, 1.024-1.153;  $P=0.006$  and RR 1.186; 95% CI, 1.104-1.273;  $P<0.001$ ), while there was no significant difference for the 5-year RFS (RR, 1.188; 95% CI, 0.994-1.142;  $P=0.058$ ).

Pooling the data of 11 (8-11,13,14,16,17,19,21,22), 10 (8-11,13,14,16,17,19,22) and 6 (9-11,13,19,22) studies that the assessed 3- and 5-year OS (Table II and Fig. 2D-F) showed significant differences favoring NAs therapy (RR, 1.106; 95% CI, 1.045-1.171;  $P=0.001$ ; and RR, 1.246; 95% CI, 1.110-1.400;  $P<0.001$ ), while no significant difference existed in the 1-year OS (RR, 1.029; 95% CI, 0.980-1.081;  $P=0.249$ ). The significant between-study heterogeneity only existed in the pooled analysis of 5-year OS ( $I^2=33.3\%$ ). Additionally, as the randomized studies were low quality, the included cohorts were pooled and analyzed. These studies also showed the same results as above (1-year OS: RR, 1.028; 95% CI, 0.977-1.083;  $P=0.289$ , 3-year OS: RR, 1.096; 95% CI, 1.033-1.163;  $P=0.003$  and 5-year OS: RR, 1.252; 95% CI, 1.094-1.432;  $P=0.001$ , respectively).

**Effects of recurrence HCC and fatalities.** Pooling data of 13 (8-15,17-19,21,22) and 11 (8-10,12,14,17-22) studies that assessed the rate of recurrence HCC and fatalities (Table III and Fig. 2G-H) showed a significantly higher rate in the control group (RR, 1.301; 95% CI, 1.098-1.542;  $P=0.002$ ; and RR, 1.816; 95% CI, 1.399-2.358;  $P<0.001$ ). The significant

between-study heterogeneity existed in the pooled analysis ( $I^2=55.2\%$  and  $I^2=52.4\%$ ). In addition, as the randomized studies were low quality, the included cohorts were pooled and analyzed. These studies also showed the same results as above (recurrence HCC: RR, 1.328; 95% CI, 1.069-1.650;  $P=0.011$ ; and fatalities: RR, 1.840; 95% CI, 1.329-2.549;  $P<0.001$ ).

**Superior choice for antiviral treatment.** One cohort study was included in this analysis (20). A total of 865 HBV-related HCC patients received antiviral treatment at diagnosis or immediately following surgery (adefovir at a dosage of 10 mg/day in 300 patients, entecavir at a dosage of 0.5 mg/day in 325 patients, and lamivudine at a dosage of 100 mg/day in 240 patients). The 1-, 2- and 3-year resistance rates were 0.9, 1.8 and 2.5% for the entecavir group, 3.0, 8.3 and 12.0% for the adefovir group, and 21.7, 31.7 and 39.6% for the lamivudine group. The 3-year disease-free survival for the entecavir group was also significantly improved compared with the adefovir group and the lamivudine group (HR, 0.810; 95% CI, 0.656-0.999;  $P=0.049$ ; and HR, 0.737; 95% CI, 0.591-0.919;  $P=0.007$ ).

**Sensitivity analysis and publication bias.** The sensitivity analyses were performed for the pooled RR and 95% CI of the remaining researches by omitting each of the included studies. The results did not change and remained consistent with the pooled analyses as above (Fig. 3), except for the result

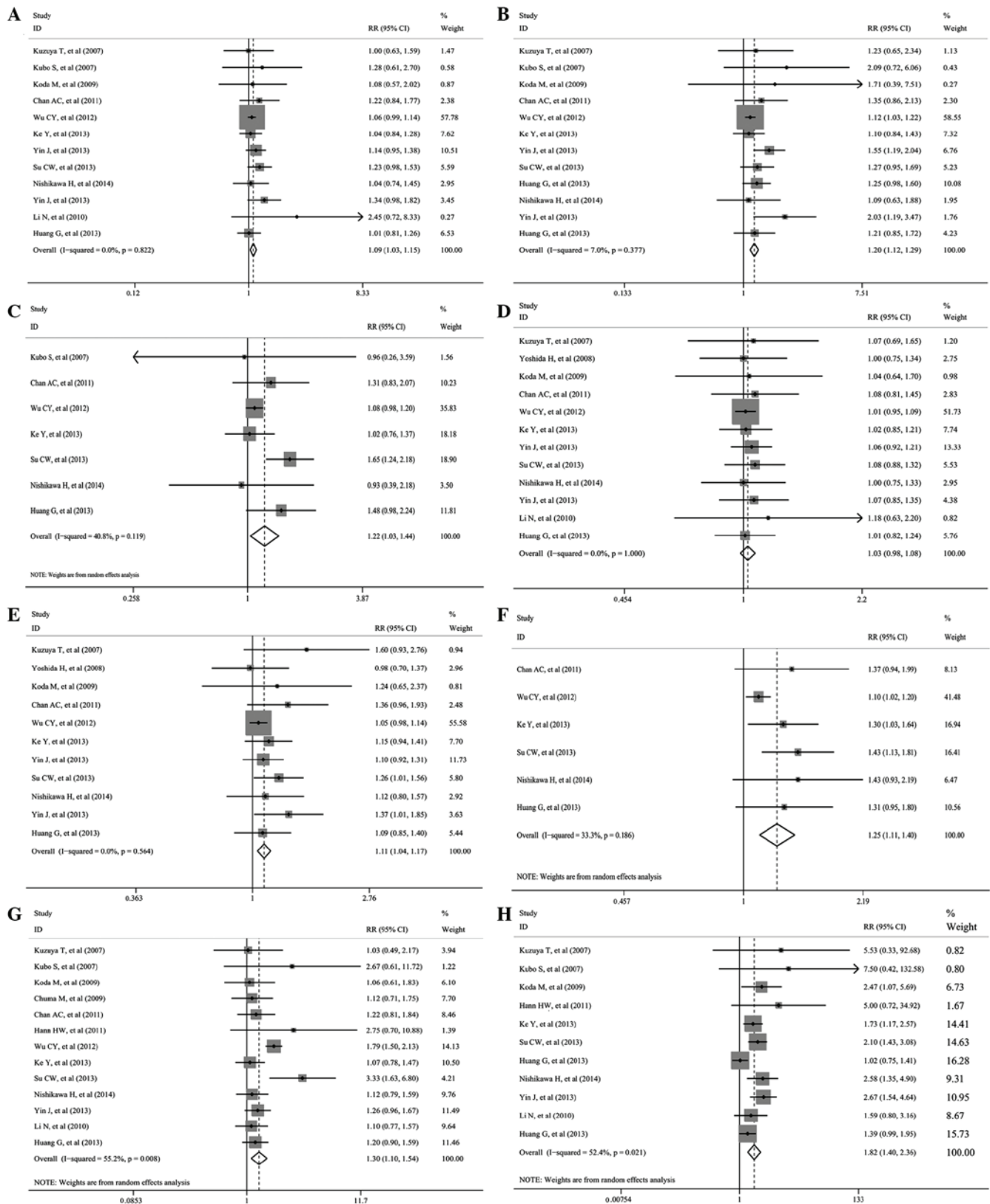


Figure 2. Forest plot of all the included studies on the treatment of nucleos(t)ide analogs (NAs) following curative treatment of hepatitis B virus (HBV)-related hepatocellular carcinoma (HCC). (A-C) Forest plot of recurrence free survival in 1-, 3- and 5-year (NAs versus control group). (D-F) Forest plot of overall survival in 1-, 3- and 5-year (NAs versus control group). (G and H) Forest plot of NAs therapy for recurrence HCC and fatalities (control versus NAs group).

of 5-year RFS, which had a significant difference within the randomized study of Huang *et al* (22), while there was no

difference without the study. The results of publication bias analyzed by the Begg's test and Egger's test are shown in

Table II. Pooled analysis of RFS and OS in 1-, 3- and 5-year.

Survival rate	Study design	No. of studies	RR (95% CI)	P-value	I <sup>2</sup> , %	Publication bias		Model
						Egger's test	Begg's test	
<b>RFS</b>								
1-year	Cohort	10	1.087 (1.024-1.153)	0.006	0.0	0.102	0.152	Fixed-effect
	Cohort/randomized	11	1.090 (1.030-1.153)	0.003	0.0	0.080	0.150	Fixed-effect
3-year	Cohort	10	1.186 (1.104-1.273)	<0.001	0.0	0.060	0.474	Fixed-effect
	Cohort/randomized	12	1.202 (1.121-1.288)	<0.001	7.0	0.024	0.304	Fixed-effect
5-year	Cohort	6	1.188 (0.994-1.420)	0.058	41.5	0.644	0.707	Random-effect
	Cohort/randomized	7	1.219 (1.032-1.442)	0.020	40.8	0.447	0.881	Random-effect
<b>OS</b>								
1-year	Cohort	10	1.028 (0.977-1.083)	0.289	0.0	0.112	0.371	Fixed-effect
	Cohort/randomized	11	1.029 (0.980-1.081)	0.249	0.0	0.082	0.631	Fixed-effect
3-year	Cohort	9	1.096 (1.033-1.163)	0.003	0.0	0.040	0.348	Fixed-effect
	Cohort/randomized	10	1.106 (1.045-1.171)	0.001	0.0	0.019	0.213	Fixed-effect
5-year	Cohort	5	1.252 (1.094-1.432)	0.001	42.9	0.024	0.806	Random-effect
	Cohort/randomized	6	1.246 (1.110-1.400)	<0.001	33.3	0.009	0.452	Random-effect

RFS, recurrence-free survival; OS, overall survival; RR, relative risk; CI, confidence interval.

Table III. Pooled analysis of recurrent HCC and fatalities.

Outcome	Study design	No. of studies	RR (95% CI)	P-value	I <sup>2</sup> , %	Publication bias		Model
						Egger's test	Begg's test	
Recurrent HCC	Cohort	11	1.328 (1.069-1.650)	0.011	60.5	0.723	0.213	Random-effect
Fatalities	Cohort/randomized	13	1.301 (1.098-1.542)	0.002	55.2	0.771	0.360	Random-effect
	Cohort	9	1.840 (1.329-2.549)	<0.001	53.1	0.056	0.754	Random-effect
	Cohort/randomized	11	1.816 (1.399-2.358)	<0.001	52.4	0.029	0.350	Random-effect

RR, relative risk; HCC, hepatocellular carcinoma.

Tables I and II. Publication bias was found according to the Begg's test and Egger's test in the 3-year RFS, OS and the rate of fatalities (Tables II and III and Fig. 4).

## Discussion

In the present meta-analysis, 15 studies fulfilled the criteria. The results showed the significant benefits of NAs therapy for RFS, OS, recurrence HCC and fatalities, respectively. Sensitivity analysis also confirmed the robustness of the results.

In view of the established association between high HBV DNA viral load and HCC recurrence and fatalities, inhibiting HBV replication by antiviral therapy should theoretically be able to prevent this condition. Recently, certain meta-analysis studies have shown that postoperative antiviral therapy with NAs can reduce HCC recurrence and mortality (23,24). However, few analyses have shown that the antiviral treatment can improve the survival rate in different

years for patients following curative treatment, except for the study in 2010 (25). Overall, the present pooled results were similar with previous studies (23-25), which revealed that NAs therapy can significantly delay the disease progression of HBV-related HCC following resection. The results from the study have demonstrated that NAs treatment following curative resection of HBV-related HCC reduced recurrences, mortality and improved the survival rate. The main beneficial effect of NAs is associated with its prevention of viral replication-related carcinogenesis. Additionally, suppression of HBV replication could improve remnant liver function, which would decrease the mortality due to liver failure and allow subsequently aggressive treatment for recurrences (17). However, some of the pooled analysis, such as 5-year RFS and 1-year OS, were insufficient. Although these results had no clear difference between patients in the antiviral treatment and control groups, they were nearly the cut-off value. Therefore, more original studies are required to be conducted for these purposes.

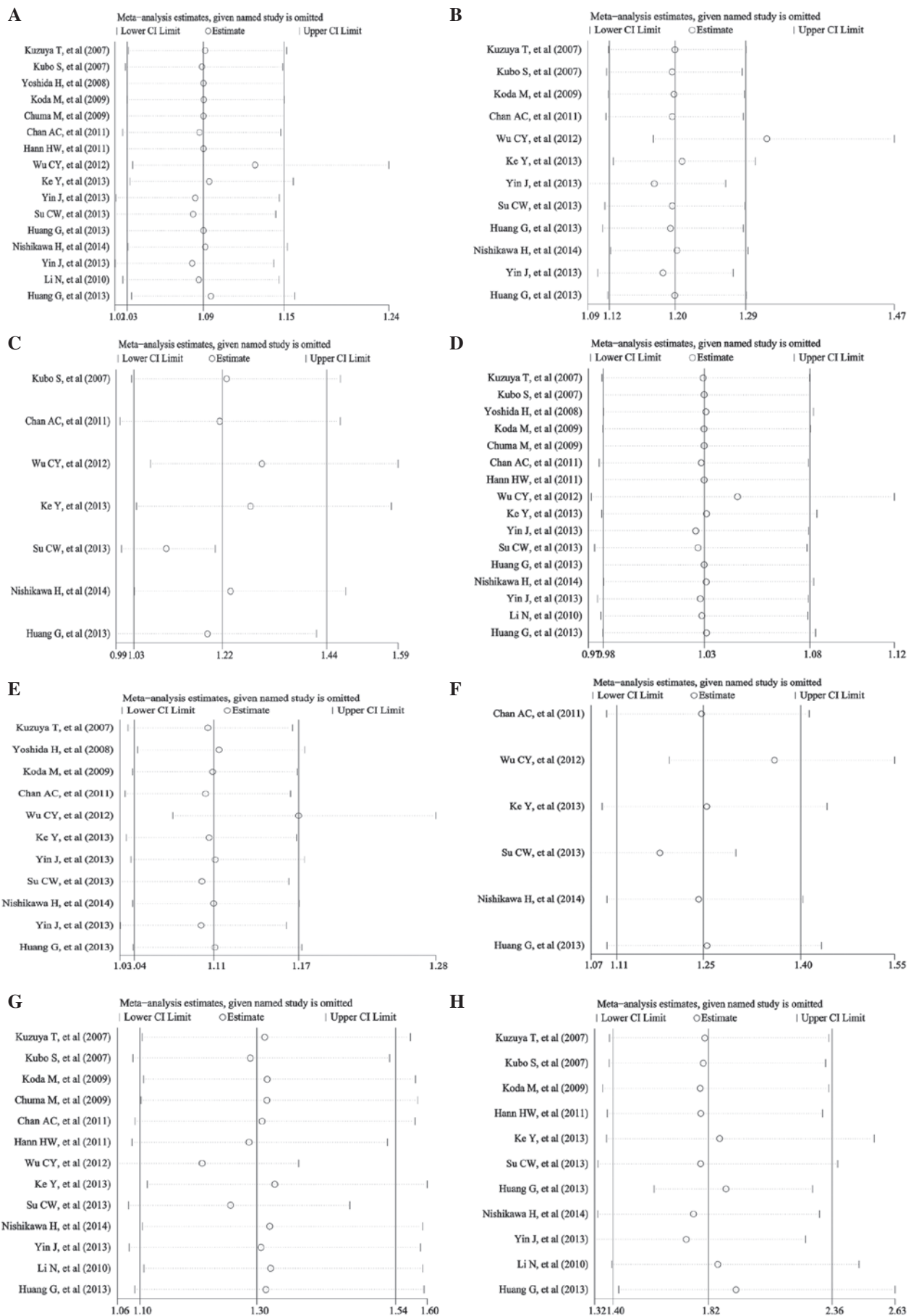


Figure 3. Sensitivity analyses of all the included studies on the nucleos(t)ide analogs (NAs) therapy of hepatitis B virus (HBV)-related hepatocellular carcinoma (HCC). (A-C) Sensitivity analyses of recurrence-free survival at 1-, 3- and 5-year. (D-F) Sensitivity analyses of overall survival at 1-, 3- and 5-year. (G and H) Sensitivity analyses of NAs therapy for recurrent HCC and fatalities. CI, confidence interval.

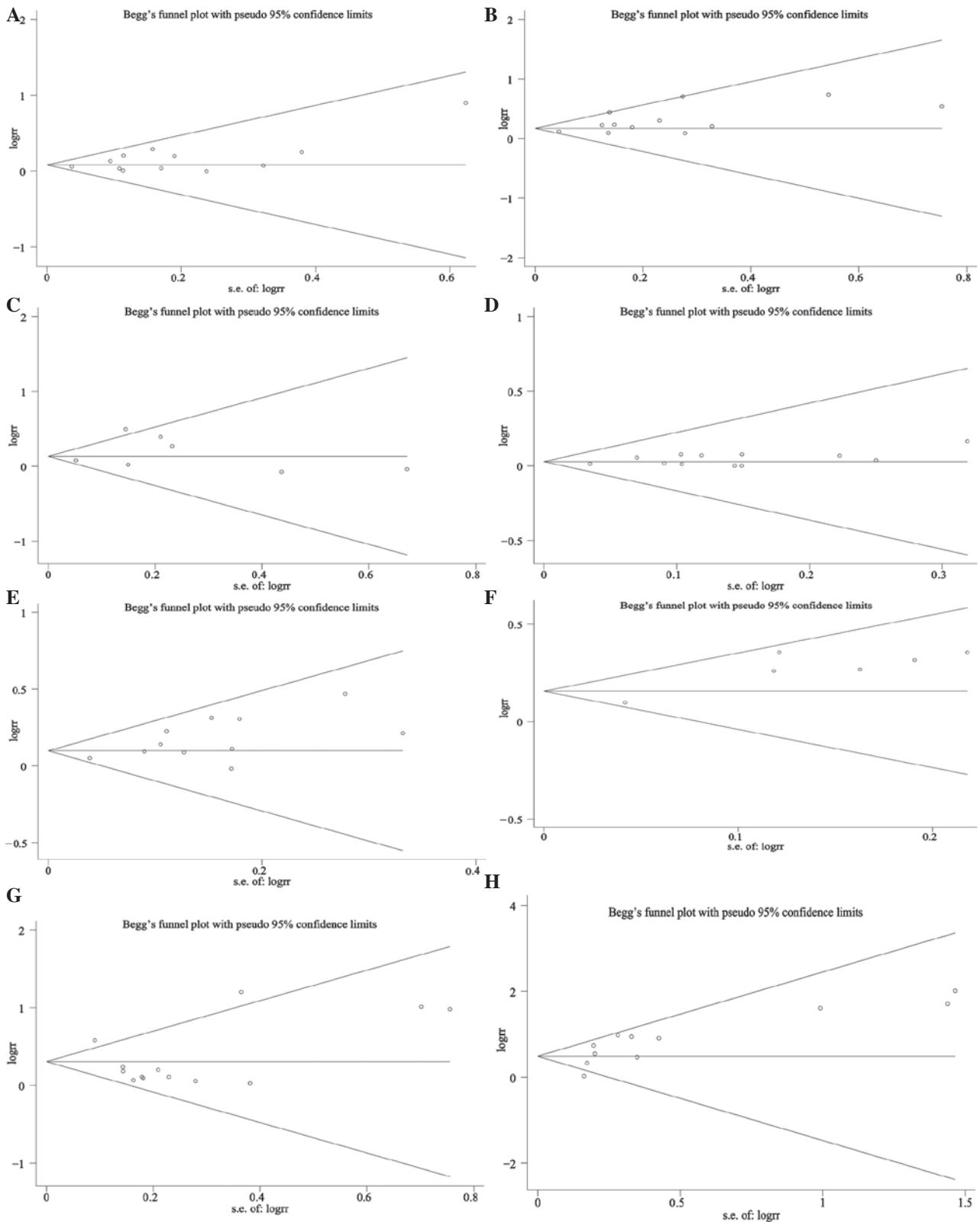


Figure 4. Funnel plot of all the included studies for assessing publication bias. (A-C) Funnel plot of recurrence free survival at 1-, 3- and 5-year. (D-F) Funnel plot of overall survival at 1-, 3- and 5-year. (G and H) Funnel plot of nucleos(t)ide analogs (NAs) therapy for recurrent hepatocellular carcinoma (HCC) and fatalities.

Only one study showed the different effects with ETV, LAM and ADV (20). ETV is a superior choice for HBV-related HCC patients following curative treatment compared with the patients with LAM and ADV treatment, which is based on the lower resistance rate and higher RFS. The combination of ETV

plus low-dose on-demand hepatitis B immunoglobulin (HBIG) is effective with extremely low hepatitis B recurrence following liver transplantation, compared with patients on combination of LAM and HBIG (26). As known, ETV is one of the first-line drugs for treatment of HBV patients, even for patients

with ADV resistance (27). For the patients with HBV-related cirrhosis, ETV shows the higher efficacy in viral suppression and a lower risk of antiviral resistance (28,29). Treatment with ETV also showed that it could reduce the incidence of HCC in HBV-infected patients (30). Although, only one study was included in the present analysis, ETV may be the better choice compared with LAM or ADV for HBV-related HCC patients following curative treatment, without economic consideration.

Regarding the sensitivity analysis for the 5-year RFS, two different results were observed. The significant difference existed when the randomized study of Huang *et al* (22) was pooled. By contrast, no difference was observed. This may have been caused by two reasons. First, the pooled analysis had heterogeneity, which impaired the result. Second, the number of included patients was not sufficient. Thus, more studies are required to be investigated in the future.

Certain limitations of the study should be listed. First, all the included studies were non-randomized trials except two studies, but the results still showed significant benefits of NAs therapy and were stable according to sensitivity analysis. Second, significant between-study heterogeneity existed in the pooled results of 5-year RFS, 5-year OS, recurrence HCC and fatalities, which may be a result of the different patients, the type of NAs and duration of treatment. In the present meta-analysis, the pooled data neglected the differences, so a random-effect model was applied. Third, a certain extent of publication bias existed despite no statistical significance by Egger's test, such as 3-year RFS, 3-year OS, 5-year OS and fatalities, which may indicate a type of unpredictable report bias. Fourth, certain data were transformed from a survival curve, instead of as reported, which can lead to bias.

In conclusion, despite the limitations listed above, the present study demonstrated beneficial effects of NAs therapy following curative treatment of HBV-related HCC. ETV may be the superior choice of antiviral treatment. Further studies should focus on which type of NA drugs are beneficial for patients following curative treatment of HBV-related HCC.

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