# ORIGINAL RESEARCH The Necessity of Individualized Treatment for Sepsis-Associated Disseminated Intravascular Coagulation by Infected Organ

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Objective: Several studies have shown that anticoagulation can improve survival outcomes in patients with sepsis-associated disseminated intravascular coagulation (DIC). A guideline from Japan in 2020 suggested two therapeutic agents for sepsis-associated DIC treatment: antithrombin (AT) replacement therapy and recombinant thrombomodulin (rTM) preparation. In 2021, our preliminary study proposed that different organs of septic infection might lead to distinct treatment outcomes following different therapies against DIC. In this study, we created a subanalysis on the influence of AT replacement therapy and rTM preparations on overall survival (OS) by comparing two causative organs: biliary and respiratory tract infections.

Patients and Methods: This retrospective cohort study in a single institution involved patients with sepsis-associated DIC treated by either AT or rTM who were categorized based on sources of infection. The two groups defined for this study were biliary (n = 62) and respiratory tract infection (n = 84). To assess the clinical efficacy of AT and rTM, 30-day OS was examined using a stepwise variable selection for a Cox proportional hazards model.

**Results:** The analysis of factors influencing OS by each group showed that rTM preparation remained a significant factor in the biliary tract infection group (HR 0.306, 95% CI 0.133-0.706). In the respiratory tract infection group, the score of the Acute Physiology and Chronic Health Evaluation II (HR 1.109, 95% CI 1.051-1.170), polymyxin B hemoperfusion (HR 0.390, 95% CI 0.161-0.944), and AT replacement therapy (HR 0.510, 95% CI 0.261-0.997) were established as significant factors.

**Conclusion:** This study revealed that the OS of patients with biliary tract and respiratory tract infections differed depending on the DIC therapeutic agent. Based on these results, we could suggest that it is necessary to develop individualized treatment strategies for septic infections, taking into consideration the differences in the infected organs.

Keywords: antithrombin, recombinant thrombomodulin, overall survival, biliary tract infection, respiratory tract infection

### Introduction

A large Japanese observational study of sepsis patients in 2019 reported a significantly higher mortality rate among sepsis-associated disseminated intravascular coagulation (DIC) patients compared to the overall mortality rate among septic patients.<sup>1</sup> In addition, several studies have shown that anticoagulation can improve survival outcomes in patients with DIC.<sup>2-4</sup> Based on these results, the Japanese Clinical Practice Guidelines for Management of Sepsis and Septic Shock 2020<sup>5</sup> suggested two therapeutic agents for DIC treatment: antithrombin (AT) replacement therapy and recombinant thrombomodulin (rTM) preparation. However, different evaluations of these drugs have been reported in overseas clinical trials. In reviewing two Japanese meta-analyses on the prognostic value of AT replacement therapy,<sup>6,7</sup> it was determined that these revised results did not change the overall conclusion that the quantity and quality of evidence supporting the use of AT in sepsis patients with DIC was low.<sup>8</sup> The SCARLET randomized clinical trial evaluated the effect of rTM on mortality in patients with sepsis-associated coagulopathy, and concluded that administration of rTM did not significantly reduce 28-day all-cause mortality.9 In view of these results, international sepsis treatment guidelines for

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#### Objective

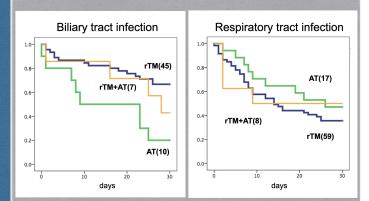
We examined on the influence of antithrombin (AT) replacement therapy and recombinant thrombomodulin (rTM) preparations on overall survival (OS) by comparing two causative organs: biliary and respiratory tract infections.

#### Methods

- Single-center retrospective cohort study from 2009–2019
  Patients: Sepsis-associated disseminated intravascular coagulation (DIC) treated by either or both AT and rTM
  Infected organ: biliary tract (n = 62) and respiratory tract (n =
- 84) Multivariate analysis: A stepwise variable selection for a Cox proportional hazards model was used for examining for influence
- on 30-day OS. Explanatory variables: Aging, DIC score of Japanese Association for Acute Medicine, the Acute Physiology and Chronic Health Evaluation II (APACHE-II) score, implementation of Polymyxin B hemoperfusion (PMX-HP), intravenous immunoglobulin, AT replacement therapy, rTM preparation, implementation of biliary drainage in the biliary tract infection group, mechanical ventilation in the respiratory tract infection group

#### Results

The analysis of factors influencing OS by each group showed that administration of rTM remained a significant factor in the biliary tract infection group (HR 0.306, 95% CI 0.133–0.706, P = 0.005). In the respiratory tract infection group, the score of APACHE-II (HR 1.109, 95% CI 1.051–1.170, P = 0.000), PMX-HP (HR 0.390, 95% CI 0.161–0.944, P = 0.037), and AT replacement therapy (HR 0.510, 95% CI 0.261–0.997, P = 0.049) were established as significant factors.



The Kaplan–Meier method figured estimated OS curves for patients with sepsis-associated DIC caused by biliary and respiratory tract infection. A log rank test resulted that rTM alone showed significantly better survival than AT alone in the biliary tract infection group (P = 0.002). In patients with respiratory tract infection, there was no statistically significant difference between rTM preparation and AT replacement therapy in 30-day OS.

#### Conclusions

The impact of anti-DIC therapies showed different results depending on the source of infection. AT replacement therapy was favorable in respiratory tract infections, and the use of rTM preparation was favorable in biliary tract infections.

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2021 do not indicate the need for treatment of DIC,<sup>10</sup> with DIC treatment still being controversial. The pathogenesis of sepsis is diverse, and we proposed in 2021 that different organs of infection might lead to different treatment outcomes when following different DIC therapies.<sup>11</sup> In this study, we created a subanalysis of the effect of different DIC therapies on overall survival (OS) by comparing two causative organs: infections of the biliary and the respiratory tracts.

#### **Methods**

The study protocol was approved by the ethics committee of Hakodate Goryoukaku Hospital (Approval No. 2020–049). Informed consent was waived because of the retrospective study design; however, the committee also verified that the data remained confidential by concealing the privacy of patients and complying with the Declaration of Helsinki.

#### **Patient Selection**

This was a retrospective cohort study of patients with sepsis-associated DIC who were admitted to Hakodate Goryoukaku Hospital during the period of May 2008 to December 2019. In total, 297 patients who suffered from sepsis-associated DIC and were treated by either or both AT replacement therapy and rTM preparation were assessed for inclusion. Patients were categorized based on sources of infection, and the two groups defined for this study were biliary (n = 62) and

respiratory tract infection (n = 84). Patients were excluded from the study if they suffered from Child–Pugh C hepatic cirrhosis, hematologic diseases such as leukemia, or end-stage cancer.

# Definitions

Sepsis was diagnosed based on the Sepsis-3 criteria,<sup>12</sup> and DIC was defined as a total score of  $\geq$ 4 according to the Japanese Association for Acute Medicine (JAAM) DIC scoring system.<sup>13</sup> The Acute Physiology and Chronic Health Evaluation II (APACHE-II)<sup>14</sup> was used to objectively assess patient clinical severity. Baseline data was collected from electronic medical records and evaluated to confirm diagnosis when DIC treatment had been planned. DIC improvement was defined as when the JAAM DIC score decreased by seven days after starting administration of an anti-DIC drug. All patients were followed-up for 30 days after a diagnosis of sepsis with DIC. The observation period in the survival time analysis was defined as from the start of DIC treatment to 30 days later.

### Treatment Management

All participants were first administered antibacterial drugs against each cause of infection, and once a diagnosis of DIC was made, then treated by an anticoagulant therapy. The anticoagulant therapies for DIC available in our hospital were limited to the use of AT replacement therapy or rTM preparations. For AT replacement therapy, the supplementation dose of AT was 1500 IU/day for three days; rTM was administrated at a dose of 380 U/kg, but the dose was reduced to 130 U/kg in patients with renal disorders. Intravenous immunoglobulin was provided intravenously for three consecutive days at 5g/body. In this retrospective study, there were no predefined protocols regarding the definite indications for using AT replacement therapy, rTM preparation, or immunoglobulin. Therefore, these therapeutic modalities were administered at the discretion of the attending physician. Polymyxin B hemoperfusion (PMX-HP) was indicated for patients with septic shock unresponsive to standard fluid resuscitation and cardiovascular agents; however, its enforcement was left to the discretion of the attending physician. A biliary drain was applied for treatment of biliary obstruction as needed in the biliary tract infection group.

# Statistical Analysis

Results are presented as median or mean  $\pm$  standard deviation. OS was defined as the time from DIC diagnosis to any cause death within 30 days. The Kaplan–Meier method was used to estimate survival rates depending on the source of infection, and a comparison between anti-DIC therapies was made using a log rank test. To assess the outcomes of the clinical treatments, 30-day survival was examined using a Cox proportional hazards model, and improvement of DIC state was analyzed by logistic regression models. For both analyses, the variables considered are as follows: aging, JAAM DIC score, APACHE-II score, implementation of PMX-HP, intravenous immunoglobulin, AT replacement therapy, and rTM preparation. Date and time of the data used for statistical variables for the JAAM DIC and APACHE-II scores were from before beginning DIC treatments. As for additional variables for the multivariate analysis, implementation of biliary drainage in the biliary tract infection group and mechanical ventilation in the respiratory tract infection group were included in each group analysis, respectively. Hazard ratios (HRs), odds ratios, and 95% confidence intervals (CIs) were determined for these variables. In all tests, P < 0.05 was considered statistically significant. Statistical analysis was performed using IBM SPSS Statistics for Macintosh, Version 21.0. (IBM, Armonk, NY, USA).

# Results

### Patient Characteristics

Table 1 shows patients characteristics in each group. All patients in this study suffered from DIC for the first time and were receiving anti-DIC treatment for the first time. All patients were treated in the ICU during the onset of DIC.

Site of Infection	Biliary Tract	Respiratory Tract
Patient	n = 62	n = 84
Age, median (range)	79 (50–102)	76 (20–97)
Sex, male, n (%)	31 (50)	49 (58)
APACHE-II score, mean (SD)	15 (5.3)	21 (6.5)
JAAM DIC score, mean (SD)	5.9 (1.2)	5.6 (1.2)
Clinical diagnosis (n)	Acute cholangitis (45) Acute cholecystitis (12) Liver abscess (5)	Acute pneumonia (34) Aspiration pneumonia (18) ARDS (15) Interstitial pneumonia (7) Drug-induced pneumonia (1) Irradiation pneumonia (1)
Anti-DIC treatment, n (%)		
rTM	45 (73)	59 (70)
AT	10 (16)	17 (20)
rTM + AT	7 (11)	8 (10)
Intravenous immunoglobulin, n (%)	15 (24)	31 (37)
PMX-HP, n (%)	4 (6.5)	13 (15)
Mechanical ventilation, n (%)	7 (11)	47 (56)
Biliary drainage, n (%)	41 (66)	0 (0)
Improvement of DIC, n (%)	41 (66)	38 (45)
30-day OS (%)	56.5	39.3

Table I Baseline Clinical Characteristics of Patient with Sep	sis-Associated DIC by Comparing Two Causative Organs
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Abbreviations: APACHE-II, Acute Physiology and Chronic Health Evaluation II; JAAM, Japanese Association for Acute Medicine; DIC, disseminated intravascular coagulation; rTM, recombinant thrombomodulin, AT; antithrombin, PMX-HP, Polymyxin B hemoperfusion; OS; overall survival; ARDS, acute respiratory distress syndrome.

### Influence of DIC Treatment on Improvement of DIC

The improvement rate of DIC was 66% in the biliary tract infection group and 45% in the respiratory tract infection group (P = 0.012, chi-square test). In respiratory tract infection group, the rate of DIC improvement by anti-DIC therapy was 59% (10/17) of AT replacement therapy alone and 42% (25/59) of TM preparation alone (P = 0.231, chi-square test).

In biliary tract infection group, the rate of DIC improvement by anti-DIC treatment was 40% (4/10) of AT replacement therapy alone and 73% (33/45) of TM preparation alone (P = 0.042, chi-square test). Logistic regression analysis showed that the influence of DIC drugs on the rate of DIC improvement was not significant for either drug in each group.

# Influence of DIC Treatment on 30-Day Overall Survival

In a study of OS by DIC drugs, rTM alone showed significantly better survival than AT alone in the biliary tract infection group (P = 0.002) (Figure 1). In the respiratory tract infection group, there was no difference in survival rates between different DIC drugs (Figure 2). Cox proportional hazards model analysis of factors influencing OS by group showed that administration of rTM remained a significant factor in the biliary tract infection group (HR 0.306, 95% CI 0.133–0.706, P = 0.005). In the respiratory tract infection group, APACHE-II (HR 1.109, 95% CI 1.051–1.170, P = 0.000), PMX-HP (HR 0.390, 95% CI 0.161–0.944, P = 0.037), and AT replacement therapy (HR 0.510, 95% CI 0.261–0.997, P = 0.049) were selected as significant factors by a stepwise variable selection for a Cox proportional hazards model.

#### **Biliary tract infection**

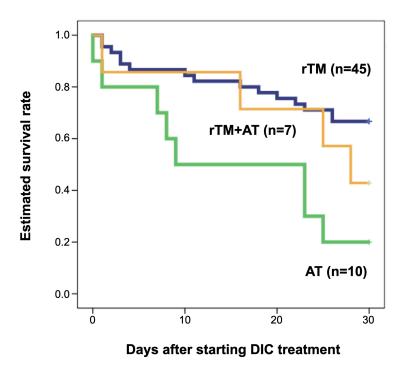


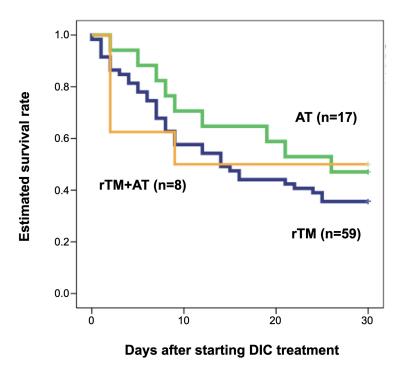
Figure I Estimated overall survival rates for patients with sepsis-associated DIC caused by biliary tract infection. Notes: A blue, a green and an orange line show survival curve for patients treated by rTM preparation (n = 45), AT replacement therapy (n = 10) and both rTM and AT (n = 7), respectively. Log rank test resulted that rTM alone showed significantly better survival than AT alone in the biliary tract infection group (P = 0.002). Abbreviations: DIC, disseminated intravascular coagulation; rTM, recombinant thrombomodulin; AT, antithrombin.

#### Discussion

Guidelines from various countries on sepsis treatment do not outline specified treatments for different causes of infection. In this study, we investigated the effect of DIC therapies in these two groups: sepsis caused by biliary and respiratory tract infections. The results showed that different outcomes for AT replacement therapy and rTM preparation occurred due to different sites of infection.

There are several reports indicating the usefulness of rTM for respiratory failure in patients with septic DIC.<sup>15–18</sup> However, a large retrospective nationwide study in Japan<sup>19</sup> demonstrated that there might be little association between the use of rTM and mortality in severe pneumonia patients with sepsis-associated DIC. In contrast, a study on the effect of AT supplementation in pulmonary infections using the same Japanese database concluded that AT treatment may be associated with reduced 28-day mortality in patients with severe pneumonia and sepsis-associated DIC.<sup>20</sup> These results suggest that AT replacement therapy may be more effective than other treatments in improving the prognosis of patients with sepsis due to respiratory tract infection.

Initial management of acute biliary infection and acute cholangitis (Tokyo Guidelines 2018)<sup>21</sup> stated that the value of anticoagulants for associated DIC in severe cholangitis was still unclear, but the administration of rTM might be considered. Conversely, there is no decisive report indicating the benefits of AT replacement therapy for biliary tract infection associated DIC. A study on the efficacy of AT supplementation in combination with rTM preparation in 2019 reported that the concomitant use of AT with rTM for acute cholangitis-induced DIC may not improve treatment outcomes.<sup>22</sup> A multicenter study evaluating the clinical effectiveness of rTM in 284 patients with acute cholangitis and sepsis-induced DIC who underwent biliary drainage<sup>23</sup> concluded that after propensity score matching, the 28-day survival rate was significantly higher in the rTM group when compared with the non-rTM group. Thus far, many reports have suggested the usefulness of rTM preparations in patients with septic DIC caused by biliary tract infection.



#### **Respiratory tract infection**

Figure 2 Estimated overall survival rates for patients with sepsis-associated DIC caused by respiratory tract infection. Notes: A blue, a green and an orange line show survival curve for patients treated by rTM preparation (n = 59), AT replacement therapy (n = 17) and both rTM and AT (n = 8), respectively. There was no statistically significant difference between rTM preparation and AT replacement therapy in 30-day OS. Abbreviations: DIC, disseminated intravascular coagulation; rTM, recombinant thrombomodulin; AT, antithrombin; OS, overall survival.

In the present study, we found differences in outcomes between biliary and respiratory tract infections due to the use of different anti-DIC drugs. In determining how this could occur, the structure and function of blood vessels and how they vary from organ to organ should be considered. Infection-triggered DIC starts with a disorder of the coagulation-fibrinolysis system, primarily on the endothelial surface of blood vessels. Endothelial cell structure is specific for each organ and forms at least three types of capillaries: continuous, fenestrated, and sinusoidal. In particular, the liver is sinusoidal and the lungs are continuous, with obvious structural differences.<sup>24–26</sup> On the surface of vascular endothelial cells, there is a structure called the glycocalyx. The glycocalyx is responsible for maintaining blood flow, regulating coagulation, modifying permeability, and controlling inflammatory responses. Its changes are closely related to disorders of vascular functions.<sup>27–29</sup> In the case of sepsis, it is known that the glycocalyx of the vascular endothelium decreases from an early stage.<sup>30</sup> The thinning of the glycocalyx causes changes in vascular permeability, and the loss of AT and TM contained in the glycocalyx causes an imbalance in the coagulation system.<sup>29</sup> The thickness of the glycocalyx structure differs among organs,<sup>31</sup> and the sinusoids of the liver have a thinner glycocalyx structure than the continuous type of vessels in the lungs.<sup>32</sup> These differences in the capillary structure of the endothelial cells and the glycocalyx thickness of the blood vessels may be responsible for the different outcomes in the efficacy of AT replacement therapy and rTM preparation.

Different organs have unique vascular structures and various defense mechanisms against infection and tissue destruction. This and other factors lead to individual differences in biological responses. In 2019, Singer<sup>33</sup> pointed out in his review the problematic nature of guidelines with homogenous management therapies, and the importance of individualized treatment. He commented that guidelines were valuable as an aide in memorization, however, the evidence that strict protocols with homogenized management resulting in outcome benefits was weak at best. Logically, treatment should be tailored to meet an individual's needs and underlying comorbidities.

# Limitations

There were several limitations associated with the present study. Firstly, our study was a single-center, retrospective analysis without randomization, and the number of patients was small, with a long enrollment period for eligible patients. Although the cases were collected over a period of 11 years, no statistically significant influence on treatment outcome by time was found by multivariate analysis. Secondly, the source of infection was classified into two groups, each of which could include various pathological conditions, and a bias could still be present in the form of confounders. Additionally, we made no definite indications for the use of AT replacement therapy or rTM preparation; these therapeutics were administered at the discretion of the attending physician. Also, the decision about the number of days the rTM preparation was administered was left to the discretion of the attending physician, as there is no strict provision for discontinuation of medication in our institution. Lastly, the mean blood AT activity level before the start of treatment in the biliary tract infection group was 49%, which was significantly lower than the mean of 58% in the respiratory tract infection group was 49%, which was significantly lower than the mean of 58% in the respiratory tract infection group (P = 0.010, Student-*t* test). It has been indicated that the Japanese insurance coverage dose of 1500 units/ day of the AT supplement may not be sufficiently effective in patients with blood AT activity levels below 50%.<sup>34</sup> This may be one of the reasons for the inadequate efficacy of the AT replacement therapy for the biliary tract infection group in this study.

# Conclusion

This study revealed that the OS of patients with biliary tract and respiratory tract infections differed depending on the DIC therapeutic agent. Based on these results, we could suggest that it is necessary to develop individualized treatment strategies for septic infections, taking into consideration the differences in the infected organs.

# Acknowledgments

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# Disclosure

The authors report no conflicts of interest in this work.

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