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# Phase I trial outcomes in older patients with advanced solid tumours

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**Background:** This study had two aims: (a) to test the hypothesis that advanced age is associated with lower levels of tolerability and clinical benefit to experimental Phase I trial agents; (b) to assess the validity of the Royal Marsden Hospital (RMH) prognostic score as a patient selection tool in older patients.

**Methods:** Clinico-pathological characteristics and treatment outcomes of all patients treated consecutively from 2005 to 2009 in phase I trials at the RMH were recorded. All toxicity and clinical outcome data were compared between patients aged below and above 65 years of age.

**Results:** One thousand and four patients were treated in 30 Phase I trials, with 315 (31%) patients aged 65 years and older. Grade 3–5 toxicities (22.8% vs 24.8% (P=0.52)), trial discontinuation (6% vs 4%; P=0.33), and dose interruptions (8.0% vs 8.0% (P=0.96)) were observed at similar rates in patients below and above 65 years of age, respectively. The overall response rate 5.2% vs 4.1%, progression-free survival (PFS) 1.9 vs 3.5 months and clinical benefit rate (CBR) at 6 months 15.2% vs 14.3% were comparable in both groups. To avoid bias due to the potential therapeutic benefit of abiraterone, comparisons were repeated excluding prostate cancer patients with similar results (ORR 4.6% vs 4%, PFS 1.8 vs 3.0 months, CBR at 6 months 13.5% vs 9.5%). Multivariate analysis indicated that the previously identified RMH score (including albumin and lactate dehydrogenase levels) was an accurate predictor of outcome.

**Conclusions:** Phase I clinical trials should be considered in patients with advanced cancers regardless of age, as older patients who enter these have similar safety and efficacy outcomes as their younger counterparts. The RMH prognostic score can assist in the selection of suitable older patients.

Owing to an ageing population and increasing life expectancy, the frequency and prevalence of older patients ( $\geqslant$ 65 years) diagnosed with cancer has risen (Paik *et al*, 2010; Jemal *et al*, 2011). Such patients now represent  $\sim$ 60% of the western world's cancer population and account for 80% of cancer-related mortality in the United States and Europe. The projected percentage of the US population  $\geqslant$ 65 years is anticipated to rise further by 20% by 2030, and will represent 70% of patients diagnosed with cancer (Edwards *et al*, 2002). Specific issues regarding cancer management in elderly patients are therefore an area of increasing concern.

Despite the relatively high frequency of cancer in this patient population, the representation of elderly patients in clinical trials remains relatively poor (Scher and Hurria, 2012). Several studies have investigated the accrual rates of elderly patients in clinical trials. A study conducted by the Southwest Oncology Group (SWOG) involving over  $16\,000$  patients enrolled consecutively in clinical trials between 1993 and 1996 showed that 25% of patients enrolled on to SWOG clinical trials were above the age of 65 years, when compared with 63% in the US population with cancer (P<0.001) (Hutchins et al, 1999). Similarly, another US-based

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study showed that the proportion of patients aged  $\geqslant$ 65 years enrolled in clinical trials was 36%, compared with 60% of the overall US cancer population (P < 0.001) (Talarico et~al, 2004). A Canadian study examining data from 4174 patients enrolled onto 69 clinical trials between 1993 and 1996, mirrored similar results demonstrating that clinical trials conducted by the National Institute of Canada (NCIC) only enrolled 22% of patients aged above 65 years compared with 58% in corresponding Canadian cancer population ( $P \le 0.0001$ ), indicating that age remained an important barrier in terms of recruitment onto clinical trials (Yee et~al, 2003). These findings imply that the extrapolation of trial results from younger to older patients is often necessary, making clinical trial outcomes potentially less meaningful for the older population.

The common challenges specific to older patients with cancer include age-related declines in organ function, altered pharmacokinetics, associated co-morbidities, polypharmacy, and physician perceptions with regard to the tolerability of systemic therapy in treating older patients (Pal *et al*, 2010).

A study evaluating the attitudes of older patients towards clinical trials concluded that most were willing to consider participation into clinical trials; however, physician barriers and the lack of availability of trials were found to be the most important factors hampering accrual of older cancer patients into clinical trials (Townsley *et al*, 2006). This is despite the well-recognised observation that large numbers of individuals reach geriatric age without a measurable loss of functional capacity and/or severe co-morbidities (Rubenstein *et al*, 1986). Such patients should therefore be considered and offered cancer treatments as for younger patients.

Patients with advanced cancers are frequently referred for consideration of Phase I experimental drug trials after the development of disease progression on conventional treatments. However, there is a current scarcity of published data to guide recommendations and policy regarding the referral of patients for consideration of such clinical trials in the elderly population. We therefore conducted a retrospective analysis of all patients with advanced and relapsed malignancies treated on Phase I clinical trials in the Drug Development Unit (DDU) at the Royal Marsden Hospital (RMH), focussing on outcome and prognostic variables of all the patients referred to our phase I unit with a view to define if age was predictive of patient outcomes either with regard to tolerability or efficacy. The primary aim of this study was to test the hypothesis that older patients have lower levels of tolerability and clinical benefit compared with younger patients. We also analysed the frequency of grade (G) 3 or G4 toxicities and rates of treatment-related trial discontinuation in these patients, along with standard efficacy parameters. Finally, we explored baseline patient characteristics that were prognostic of progression-free survival (PFS) and overall survival (OS) in all patients, in order to assess the validity of the previously published RMH prognostic score in older patients (Arkenau et al, 2009).

# **PATIENTS AND METHODS**

This retrospective study included all patients with advanced solid tumours treated consecutively in Phase I clinical trials at the DDU, RMH, UK, from January 2005 to December 2009. Only patients who received at least one dose of an experimental drug were included in this study. Clinical parameters collected included histological subtype, age, gender, Eastern Cooperative Oncology Group (ECOG) performance status (PS), full blood count, biochemical profiles (including alkaline phosphatase), bilirubin, lactate dehydrogenase, (LDH) and albumin), number of metastatic sites, number of previous lines of systemic therapies, distance from home to treatment facility, and details of co-morbidities. Details on severity of co-morbidity was not available although most patients

were expected to have well-controlled co-morbidities as part of inclusion criteria into individual phase I studies. All patients were divided into two groups; <65 (group A) and  $\ge65$  (group B). All study patients had provided written informed consent for participation in the relevant Phase I trials, which were all approved by UK regulatory and independent ethics committees. Approval for this study was obtained from the Institutional Clinical Audit Committee.

Patient follow-up and response evaluation. In all phase I studies, baseline tumour measurements were performed within 4 weeks prior to commencement of treatment. Tumour measurements were repeated every 6–8 weeks during the first 6 months on trial using Response Evaluation Criteria In Solid Tumours (RECIST) version 1.0. Toxicity data were collected from electronic patient records (EPR), and when required, from clinical trial case report forms (CRFs). In all trials included in the present analysis, toxicity was graded according to the Common Terminology Criteria for Adverse Events version 3.0. Overall survival data were obtained from EPR, and when necessary, by contacting the general practitioner or referring institution.

OS was defined as the interval between Cycle 1 Day 1 of a Phase I trial and either the date of death or censored at the date of last follow-up (if death was not observed during the follow-up period). For evaluable patients, PFS was defined as the time elapsed between Cycle 1 Day 1 on study until radiological progression and disease-related death (whichever occurred first); if no evidence of progression was documented at the last follow-up, PFS was censored at the time of last radiological evaluation. Clinical benefit rate (CBR) was defined as complete response (CR) + partial response (PR) + stable disease (SD) for at least 6 months.

Statistical methods. Categorisation of numeric variables was undertaken based on considerations of the standard reference values (normal range vs low/elevated) or according to median values. Variables were compared between groups A and B using a two-sided  $\chi^2$ -test, with *P*-values < 0.05 considered as significant. Estimates of median PFS and OS (and 95% confidence interval (CI)) were determined using the Kaplan-Meier method, and OS curves were compared using the log-rank test for categorical variables. Cox regression analysis was undertaken to identify baseline characteristics that provided independent prognostic information. In the multivariate model, a forward stepwise approach was taken. In the univariate analysis, a P-value of 0.10 was adopted as the limit for inclusion in the multivariate analysis; in the latter, P-values < 0.05 were considered significant. The RMH prognostic score ranges from 0 to 3 and is calculated by adding 1 for each variables including: LDH > 192 U1<sup>-1</sup>; albumin <35 and sites of metastasis >2. Expected hazard ratio (HR) for OS in patients with RMH score of 2-3 vs 0-1 is 1.4 (95% CI 1.02-1.88) based on previous publication. A table showing univariate analysis of OS and PFS in the older patient group for RMH score, PS, ALT (by quartiles), creatinine (by quartiles), number of co-morbidities, presence of diabetes, hypertension, age, and number of previous lines of chemotherapy was generated. The first trial entry for each patient only was only considered for this analysis.

# **RESULTS**

Patient and tumour characteristics. One thousand and four patients were treated between 2005 and 2009 in 30 Phase I clinical trials at the DDU in the RMH (Table 1). Three hundred and fifteen (31%) patients were ≥65 years, whereas the remaining 689 (69%) were younger than 65 years of age. This included 566 and 250 distinct patients in groups A and B, respectively. The median age at Phase I trial entry in group B was 69 years (range 65–85)

Age   Age	Table 1. Patient and tumour characteristics			
Media   S2				P-value
Martinaria   Mar				
Maile	IQR (interquartile range)	46–60	66–72	N/A
Paralle	Sex			
Performance status	Male			N/A
		364 (53)	85 (27)	
1				
Comorbidities           No         425 (71.7)         1113 (49.6)         < 0.001 (>2 co-morbidities)           Yes         168 (62.3)         1115 (80.4)         < 0.001 (>2 co-morbidities)           1         168 (62.3)         1115 (80.4)         < 0.001 (>2 co-morbidities)           1         168 (64.1)         168 (64.1)         < 0.001 (19.9)	1	447 (64.9)	211 (67)	N/A
Yes	Co-morbidities			
1				<0.001 (>2 co-morbidities)
1				
12 (4.5)				
Section   1 (0.3)	3	12 (4.5)	18 (7.9)	
Not recorded   262				
Total				
No				
Yes         79 (11.5)         94 (29.9)           Diabetes mellitus           No         662 (96.0)         282 (89.5)         <0.001           Yes         27 (4.0)         33 (10.5)         <0.001           Vascular disease (angina pectoris, infarct, stroke)           No         650 (94.3)         283 (89.9)         0.01           Yes         39 (5.7)         32 (0.2)         0.01           Lung disease (COPD, asthma)           No         643 (93.3)         292 (92.7)         0.72           Yes         46 (6.7)         23 (3.3)         0.72           Yes         687 (99.7)         313 (99.3)         0.42           Renal disease         70         46 (6.7)         23 (3.3)         0.42           Renal disease         80         687 (99.7)         313 (99.3)         0.42           Renal disease         80         10.00         20.30         2 (0.70)         0.42           Nomber of previous chemotherapy lines received           1         106 (15.3)         71 (22.6)         0.042           1         125 (18.1)         53 (16.9)         0.042           1         125 (18.1)         53 (16.9)         0.042	Hypertension			
Diabetes mellitus           No         662 (96.0)         282 (99.5)         <0.001				< 0.001
Yes         27 (4.0)         33 (10.5)           Vascular disease (angina pectoris, infarct, stroke)         650 (94.3)         283 (89.9)         0.01           Yes         650 (94.3)         283 (89.9)         0.01           Lung disease (COPD, asthma)         643 (93.3)         292 (9.27)         0.72           Yes         46 (6.7)         23 (7.3)         0.72           Renal disease         88 (96.7)         313 (99.3)         0.42           Yes         687 (99.7)         313 (99.3)         0.42           Yes         2 (0.30)         2 (0.70)         0.42           Yes         106 (15.3)         71 (22.6)         0.42           Yes         180 (26.1)         76 (24.1)         0.042           1         180 (26.1)         76 (24.1)         0.042           2         180 (26.1)         76 (24.1)         0.044           4         61 (6.9)         17 (5.4)         0.044           5         44 (6.3)         5 (1.6)         0.013           1         156 (22.7)         97 (30.8)         0.013           2         2 (3.0)         5 (1.6)         0.013           1         156 (22.7)         97 (30.8)         0.02           <		77 (11.3)	71(27.7)	
Name	No			< 0.001
No		27 (4.0)	33 (10.5)	
Yes         39 (5.7)         32 (10.2)           Lung disease (COPD, asthma)           No         643 (93.3)         292 (92.7)         0.72           Yes         38 (99.7)         23 (7.3)         0.42           No         687 (99.7)         313 (99.3)         0.42           Number of previous chemotherapy lines received           0         106 (15.3)         71 (22.6)         0.042           1         173 (25.1)         82 (26.0)         0.042           2         180 (26.1)         76 (24.1)         3           3         195 (18.1)         53 (16.9)         0.042           4         6.3         15 (16.9)         0.013           1         15 (22.7)         97 (30.8)         0.013           1         15 (22.7)         97 (30.8)         0.013           1         16 (23.8)         113 (35.9)         0.013           2         240 (34.8)         113 (35.9)         0.013           3         16 (22.7)         97 (30.8)         0.02           5         29 (42.2)         7 (22.2)         0.02		150 (51.5)		
No				0.01
No	Lung disease (COPD, asthma)			
No	No			0.72
No Yes         687 (99.7) 2 (0.30)         313 (99.3) 2 (0.70)         0.42           Number of previous chemotherapy lines received           0         106 (15.3)         71 (22.6)         0.042           1         173 (25.1)         82 (26.0)         62.0           2         180 (26.1)         76 (24.1)         76 (24.1)           3         125 (18.1)         53 (16.9)         17 (5.4)           4         61 (6.9)         17 (5.4)         16 (5.1)           Number of metastatic sites           0         21 (3.0)         5 (1.6)         0.013           1         156 (22.7)         97 (30.8)         0.013           2         240 (34.8)         113 (35.9)         0.013           3         164 (23.8)         71 (22.6)         0.013           4         79 (11.5)         22 (7.0)         0.02           >5         29 (4.2)         7 (2.2)         0.02           Metastasis to liver           No         401 (58.2)         185 (58.8)         0.87           Yes         288 (41.8)         130 (41.3)         0.02           Metastasis to lung           No         548 (79.5)         213 (67.6)		46 (6.7)	23 (7.3)	
Yes         2 (0.30)         2 (0.70)           Number of previous chemotherapy lines received           0         106 (15.3)         71 (22.6)         0.042           173 (25.1)         82 (26.0)         0.042           2         180 (26.1)         76 (24.1)         3           3         125 (18.1)         53 (16.9)         4           4         61 (6.9)         17 (5.4)         4           5         44 (6.3)         16 (5.1)         0.013           Number of metastatic sites           V           Number of metastatic sites           2 (13.0)         5 (1.6)         0.013           1 (3.0)         5 (1.6)         0.013           1 (3.0)         5 (1.6)         0.013           2 (2.2)         97 (30.8)         113 (35.9)         3.2           2 (24.0) (34.8)         113 (35.9)         3.2         4.0 (34.8)         113 (35.9)         3.2           2 (2.2)         7 (2.2)         7 (2.2)         7 (2.2)         7 (2.2)         7 (2.2)         7 (2.2)         7 (2.2)         7 (2.2)         8 (2.6.0)         1.0 (2.2)         1.0 (2.2)         1.0 (2.2)         <		497 (00 7)	212 (00.2)	0.42
106 (15.3)				0.42
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2	0			0.042
125 (18.1)   53 (16.9)   17 (5.4)   61 (6.9)   17 (5.4)   61 (6.9)   17 (5.4)   61 (6.9)   17 (5.4)   61 (6.9)   17 (5.4)   61 (6.9)   17 (5.4)   61 (6.9)   61 (6.	1			
4	3			
Number of metastatic sites	4	61 (6.9)	17 (5.4)	
0       21 (3.0)       5 (1.6)       0.013         1       156 (22.7)       97 (30.8)       1         2       240 (34.8)       113 (35.9)       13 (35.9)         3       164 (23.8)       71 (22.6)       7 (2.2)         4       79 (11.5)       22 (7.0)       22 (7.0)       7 (2.2)         Metastasis to liver         No       401 (58.2)       185 (58.8)       0.87         288 (41.8)       130 (41.3)       0.87         Metastasis to lung         No       333 (48.3)       177 (56.2)       0.02         Yes       356 (51.7)       138 (43.8)       0.02         Metastasis to bones         No       548 (79.5)       213 (67.6)       <0.001		44 (6.3)	16 (5.1)	
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2				0.013
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No     333 (48.3)     177 (56.2)     0.02       Yes     356 (51.7)     138 (43.8)     0.02       Metastasis to bones     548 (79.5)     213 (67.6)     <0.001       No     548 (79.5)     213 (67.6)     <0.001       Yes     141 (20.5)     102 (32.38)       Metastasis to lymph nodes       No     302 (43.8)     156 (49.5)     0.093				
No Yes   401 (58.2)   185 (58.8)   0.87				
Yes     288 (41.8)     130 (41.3)       Metastasis to lung       No     333 (48.3)     177 (56.2)     0.02       Yes     356 (51.7)     138 (43.8)       Metastasis to bones       No     548 (79.5)     213 (67.6)     <0.001				
Metastasis to lung       No     333 (48.3)     177 (56.2)     0.02       Yes     356 (51.7)     138 (43.8)       Metastasis to bones       No     548 (79.5)     213 (67.6)     <0.001		, ,		0.87
No     333 (48.3)     177 (56.2)     0.02       Yes     356 (51.7)     138 (43.8)     0.02       Metastasis to bones       No     548 (79.5)     213 (67.6)     <0.001		288 (41.8)	130 (41.3)	
Yes     356 (51.7)     138 (43.8)       Metastasis to bones       No     548 (79.5)     213 (67.6)     <0.001		333 (48 3)	177 (54 2)	0.02
No     548 (79.5)     213 (67.6)     <0.001				0.02
Yes     141 (20.5)     102 (32.38)       Metastasis to lymph nodes       No     302 (43.8)     156 (49.5)     0.093	Metastasis to bones			
Metastasis to lymph nodes           No         302 (43.8)         156 (49.5)         0.093				< 0.001
No 302 (43.8) 156 (49.5) 0.093		141 (20.5)	102 (32.38)	
		302 (43.8)	156 (49 5)	0.093

	Group A < 65 years n (%)	Group B > 65 years n (%)	<i>P</i> -value
Tumour types			
Gynaecological	138 (20.0)	31 (9.8)	N/A
Genito-urinary	92 (13.4)	93 (29.5)	
(prostate)	49 (7.1)	83 (26.3)	
Upper gastrointestinal	104 (15.1)	40 (12.7)	
Thoracic	59 (8.6)	38 (12.1)	
Lower gastrointestinal	62 (9.0)	60 (19.4)	
Breast	53 (7.7)	10 (3.2)	
Skin	52 (7.5)	26 (8.3)	
Connective tissue and brain	42 (6.1)	5 (1.5)	
Head and neck	14 (2.0)	6 (1.9)	
Endocrine	23 (3.3)	0 (0)	
Others	50 (7.2)	6 (1.9)	
Type of agents			
Anti-angiogeneics	27 (3.9)	12 (3.8)	
Cell cycle and apoptosis inhibitors	43 (6.2)	10 (3.2)	
Chromatin remodelling, DNA repair and anti-sense	180 (26.1)	69 (21.9)	
Cytoplasmic signalling protein inhibitors	111 (16.1)	81 (5.7)	
Growth factor receptor-targeting agents	163 (23.6)	27 (8.6)	
Growth factor receptor combined with anti-angiogenesis agents	25 (3.6)	53 (16.8)	
Oncolytic virus	31 (4.5)	2 (0.6)	
5-hydroxylase inhibitors	23 (3.3)	26 (8.3)	
Protein folding and degradation agents	21 (3.0)	8 (2.5)	
Cytotoxic combinations	65 (9.4)	27 (8.5)	

comprising 73% males. In group A, median age was 52 years (range 12–64), which included 47% males.

As expected, patients who were ≥65 had a greater number of co-morbidities compared with the younger patients. These co-existing medical conditions included hypertension, diabetes mellitus, and vascular disease. There were, however, no differences in the frequency of lung disease, such as chronic obstructive pulmonary disease and asthma, or renal disease.

The number of lines of previous chemotherapy treatments received was comparable between both groups of patients (Table 1). Both groups of patients with advanced cancers were heavily pre-treated with prior chemotherapies. Patients in group A had a greater number of metastatic disease sites compared with those in group B. Although there were significantly greater numbers of patients with metastatic spread to lung and lymph nodes, there was no difference in frequency between both groups in metastases to liver and bones.

Both groups were balanced with patients harbouring the most common tumour types for age, except castration-resistant prostate cancer (CRPC), which constituted 83 of 315 (26%) cases in group B vs 49 of 689 (7%) in group A. Both groups received balanced treatments; 65 (9.4%) and 27 (8.5%) received targeted agents in combination with chemotherapy in groups A and B, respectively.

Phase I trials are largely only available in specialised centres, which may be a limiting factor for referring older patients; we therefore examined distance from home to treatment facility and its associations with outcomes in the older patients. We found that 67%, 21%, and 12% patients travelled <1, 1–2, and >2 h to receive treatment in our hospital. Distance to home facility was however not found to be a factor associated with survival outcomes in our series (Supplementary Table 1).

**Tolerability.** Fifty-four (8.0%) patients in group A required dose interruptions while on trial, compared with 25 (8.0%) in group B ( $\geqslant$ 65 years). Dose reductions were observed in 34 (5.0%) patients in group A vs 14 (4.0%) patients in group B. Treatment was stopped in 41 (6.0%) patients in group A, compared with 14 (4.0%) patients in group B.

Table 2. Tolerability and toxicities:				
	<65 years	>65 years	<i>P</i> -value	
Dose interruptions (tota	al)			
None	560 (81)	254 (81)	0.26	
DI	54 (8)	25 (8)		
DR	34 (5)	22 (7)		
Treatment stopped	41 (6)	14 (4.0)		
Unknown	1 (0.1)	0 (0)		
Grade III–V toxicities (highest toxicity per case is considered)				
	0 (0)	2 (0.6)		
Grade 3	118 (17.1)	55 (17.5)		
Grade 4	38 (5.5)	19 (6.0)		
Grade 5	1 (0.2)	2 (0.6)		
Total	157 (22.8)	78 (24.8)	0.50	
No grade III–V toxicity	532 (77.2)	237 (75.2)	0.52	

Abbreviations: DI = dose interruptions; DR = dose reductions; none = when there was a toxicity but it did not lead to DI or DR. This table shows highest grade of intervention (treatment stopped, DR, or DI) with total number of trial entrants affected by age group.

Overall, grade 3–5 toxicities were observed in 22.8% and 24.8% in Groups A and B, respectively. Three toxicity-related deaths were reported; two in group B (owing to neutropenic sepsis in one patient and septicaemia in the other) and one in group A (owing to respiratory arrest). In group B, 9.5% and 15.6% of patients had un-planned hospital admissions and serious adverse events, respectively (data not shown). No statistically significant or clinically relevant difference was found between the two groups in frequency or occurrence of grade III/V toxicities (Table 2).

Efficacy data. Radiological RECIST antitumor responses were observed in both groups of patients; 35 (5.2%) PR were observed in group A, whereas 2 CR (0.6%) and 11 (3.5%) PR was observed in group B ( $\geqslant$ 65 years old). A total of 209 (30.3%) patients in group A had RECIST SD, vs 147 (47.3%) patients in group B. There were 410 (59.5%) patients who had RECIST PD at first assessment, whereas this was observed in 111 (35.7%) patients in group B.

A total of 105 (15.2%) patients in group A were deemed to have gained clinical benefit at 6 months (CR, PR, or SD≥6 months),

Table 3. Efficacy estimates in patients			
	< 65 years n (%)	>65 years n (%)	<i>P</i> -value
Best response			
CR PR SD PD Unknown Missing	0 (0) 35 (5.2) 209 (30.3) 410 (59.5) 26 (3.8) 9 (1.3)	2 (0.64) 11 (3.5) 147 (47.3) 111 (35.7) 40 (12.9) 4 (1.3)	0.025
Total	689	315	
CBR at 4 months			
No clinical benefit (SD<4 months + PD) Clinical benefit (CR+PR+SD>4 months) Missing Unknown Total	505 (73.3) 149 (21.6) 9 (1.3) 26 (3.8) 689	205 (65.1) 65 (20.6) 4 (1.3) 40 (12.9) 315	1.00
CBR at 6 months			
No clinical benefit (SD <6 months + PD) Clinical benefit (CR + PR + SD ≥ 6 months) Missing Unknown Total	549 (79.7) 105 (15.2) 9 (1.3) 26 (3.8) 689 (100)	226 (71.8) 45 (14.3) 4 (1.3) 40 (12.7) 315 (100)	0.77
	Median (95% CI)	Median (95% CI)	
PFS in months	1.87 (1.72–2.02)	3.55 (2.96–4.14)	0.0001
OS in months	7.79 (6.88–8.7)	9.86 (8.69–11.03)	0.003

Abbreviations: CBR=clinical benefit rate; CR=complete response; OS=overall survival; PFS=progression-free survival; PD=progressive disease; PR=partial response; SD=stable disease.

compared with 45 (14.3%) patients in Group B ( $P\!=\!0.77$ ). No clinical benefit at 6 months (RECIST SD <6 months or PD at first assessment) was observed in the majority of patients in both groups; 549 (79.7%) patients in Group A vs 226 (71.8%) patients in Group B. Similar CBR data were observed at 4 months (Table 2). The differences in CBR were not statistically significant between both groups.

The response rates (RR) (4.1%), 1-year survival (42%), PFS (3.5 months), and OS (9.9 months) in Group B compared favourably with those to Group A (RR = 5.2%, 1-year survival = 31%, PFS = 1.9 months, and OS = 7.8 months) (Table 3 and Figures 1 and 2). Moreover 2-, 3-, and 5-year survivals were comparable between the two groups (Supplementary Table 2).

In order to exclude a statistical bias in results due to the imbalance in patients with CRPC, a second efficacy analysis was undertaken in patients with advanced CRPC removed. This analysis showed that when patients with CRPC were excluded from both groups, RR (P = 0.05) CBR at 4 months (P = 0.73), and 6 months (P = 0.71) remained comparable between the two groups (Supplementary Tables 3a and 3b).

Prognostic biomarkers of PFS and OS and validation of RMH prognostic score. Different prognostic biomarkers were assessed using both univariate and multivariate analyses in group B. These results are summarised in Table 4 and Supplementary Table 4. Multiple factors were prognostic for worse OS in a univariate analysis, including ECOG PS, number of lines of chemotherapy, and RMH prognostic score, and these three factors remained prognostic in a multivariate analysis.

**Validation of RMH prognostic score.** Importantly, the RMH score remains a significant prognostic variable for OS in this population of older patients, with an estimated HR higher than previously observed at 2.58 (95% CI 1.95–3.42). It was also significant for PFS with a HR of 1.7 (95% CI 1.25–2.30). Multivariate models for OS and PFS were then built considering only candidate variables with P < 0.1 on univariate analysis for

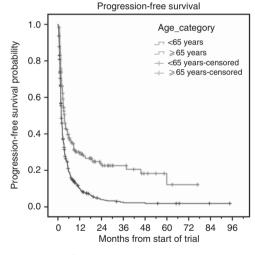


Figure 1. Progression-free survival plot. The median progression-free survival for our overall study population is 2.37 months (95% CI: 2.12–2.62 months). Patients who participated in more than one line of Phase I trial therapy are included in this analysis. Patients who die without progression are censored at the date of death. Patients alive without progression are censored at the date they were last known to be alive.

inclusion. All variables considered (RMH score, previous chemo, PS) remained prognostic for OS on multivariate analysis; whereas RMH score, previous chemo, and creatinine clearance remained prognostic for PFS on multivariate analysis.

### **DISCUSSION**

To our knowledge, this is the largest comprehensive report on the clinical experience of older *vs* younger patients with advanced

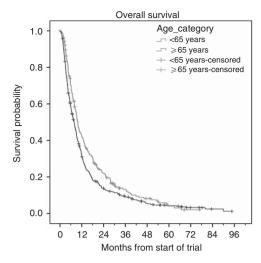


Figure 2. Overall survival plot. The median overall survival for our overall study population is 8.42 months (95% CI: 7.7–9.1 months). Patients alive without progression are censored at the date they were last known to be alive.

$  \begin{tabular}{ll} \textbf{Table 4.} & \textbf{Multivariate analysis (stepwise)-prognostic variables} \\ & \textbf{in older patients (>65 years)} \\ \end{tabular} $				
	PFS		OS	
Variable	HR (95% CI)	P-value	HR (95% CI)	<i>P</i> -value
ECOG PS				
Overall 0			1	0.033
1 2			1.29 (0.95–1.76) 2.27 (1.19–4.34)	0.102 0.013
Creatinine clearance (categorical - 4 quartiles)				
Overall 1 2 3 4	1 1.05 (0.65–1.69) 1.70 (1.06–2.71) 0.87 (0.52–1.46)	0.024 0.856 0.028 0.598		
Number of chemo				
Overall 0 1 2 3 4+	1.85 (1.13–3.03) 2.01 (1.20–3.38) 1.92 (1.07–3.46) 1.34 (0.71–2.55)	0.048 0.014 0.008 0.029 0.370	2.27 (1.51–3.40) 2.39 (1.57–3.62) 3.20 (2.00–5.14) 2.03 (1.19–3.47)	<0.001 <0.001 <0.001 <0.001 0.009
RMH score (grouped)				
0–1 2–3	1 1.60 (1.13–2.26)	0.008	1 2.08 (1.55–2.79)	< 0.001

Abbreviations: Categorical -<2~&>2 metastatic sites=number of metastatic sites; Categorical -4 quartiles=albumin in gdl $^{-1}$ ; ECOG=Eastern Cooperative Oncology Group; OS=overall survival; PFS=progression-free survival; PS=performance status.

cancers treated on phase I trials. Importantly, we assess key factors in these patient populations, including efficacy, safety, and prognostic factors of each patient. We have demonstrated that older patients who enter Phase I clinical trials have similar safety and efficacy outcomes as their younger counterparts and should therefore be considered for such early-phase studies.

In general, clinical trials provide objective evidence to support the use of optimal treatments for a 'one size fits all' patient population. However, older patients (≥65 years old) are historically under-represented in such clinical trials (Scher and Hurria, 2012; Hurria *et al*, 2014) owing to multiple reasons, including restrictive inclusion criteria, concerns over tolerability

and in certain cases, reluctance of patients, as well as their carers and clinicians to consider entry into experimental clinical trials.

Phase I clinical trials are traditionally considered as a means of establishing the maximum tolerated dose of anticancer therapies. However, with the advent of molecularly targeted therapeutics and mapping out of the underlying biology of different cancers and antitumor agents, they may now represent bona fide trial options that may be of benefit, subgroups of patients who have failed all standard available anticancer therapies irrespective of their age (Khan *et al*, 2014). The lack of representation of older patients with advanced cancers in clinical trials is widely reported (Hutchins *et al*, 1999; Talarico *et al*, 2004).

This current study is unique as it reports on > 1000 consecutive patients treated in a large Phase I trials centre, thus minimising any selection bias and optimising the statistical power of this study. Two other similar studies on smaller patient numbers have been published on the assessment of patient outcomes in older patients treated on phase I trials (Zafar et al, 2011; Tai et al, 2015). The study by Zafar and colleagues involved 216 patients treated in a US centre and compared the outcomes of these enrolled patients to those who were not enrolled into clinical trials owing to trial ineligibility or the loss of follow-up. The study by Tai and colleagues involved 296 patients treated in Singapore and its conclusions on efficacy and toxicity comparing elderly and younger patients were similar to ours, that is, that no significant differences were seen despite greater co-morbidity in older patients.

By contrast, a recent study by Rowe et al (2014) concluded that older patients had higher likelihood of not completing phase I clinical trials compared with younger patients. This study however included small number of older patients (n = 69) with different cutoff for age (above or below 70 years) and included patients treated with wide range of phase I compounds including cytotoxics, immunotherapy, and targeted therapies (33.3%, 10.1%, and 56.6%, respectively, in older patients). Intriguingly, the rates of completion of 12 weeks of treatment in older and younger groups in this study were comparable (32% vs 37%, respectively, P = 0.59); however, in patients who were unable to complete treatment, more patients in the older group were unable to complete owing to toxicities. In the present study, >90% of patients in both groups were treated with targeted therapies which offers a plausible explanation as to why differences in tolerability were found between the two studies.

Efficacy, which is often a secondary end point of such phase I studies and an important means for understanding tumour biology, was actually found to be better in older patients. However, as many older patients with CRPC were treated during this time period on phase I trials with the CYP17 inhibitor abiraterone (which now has established efficacy), we reassessed these efficacy data by excluding such patients from the analysis and found that the efficacy remained comparable in the two groups.

Our group and others have previously reported on various prognostic models that may help to determine the outcomes of patients enrolled in to phase I trials (Han *et al*, 2003; Arkenau *et al*, 2008, 2009; Wheler *et al*, 2012). In our multivariate analysis, we found that serum albumin  $<35\,\mathrm{g}\,\mathrm{dl}^{-1}$ , LDH  $>192\,\mathrm{Ul}^{-1}$  and the number of lines of previous chemotherapy were significantly associated with a worse OS. In addition, distance from home to the treatment facility was not found to be a factor associated with survival outcomes in our series. To our knowledge, these are the first data suggesting that distance to home facility should not deter physicians from referring suitable patients for phase I trials.

It is inevitable that our data represent a highly selected patient population; given the strict adherence to inclusion criteria for entry into Phase I trials. But once these criteria have been met, our data indicate that in Phase I trials the experience of patients aged over 65 years in terms of tolerability and efficacy will not differ

substantially from younger patients. We conclude that Phase I clinical trials should be considered for advanced cancer patients regardless of age, following full discussion of the key issues of risk, benefit, and time commitment. Patient selection for such trials may be aided by the application of the RMH prognostic score.

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# **CONFLICT OF INTEREST**

The authors declare no conflict of interest.

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