

# 1 Tracking clonal evolution of drug resistance in ovarian cancer patients 2 by exploiting structural variants in cfDNA

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33 **ABSTRACT**

34 Drug resistance is the major cause of therapeutic failure in high-grade serous ovarian cancer  
35 (HGSOC). Yet, the mechanisms by which tumors evolve to drug resistant states remains largely  
36 unknown. To address this, we aimed to exploit clone-specific genomic structural variations by  
37 combining scaled single-cell whole genome sequencing with longitudinally collected cell-free DNA  
38 (cfDNA), enabling clonal tracking before, during and after treatment. We developed a cfDNA hybrid  
39 capture, deep sequencing approach based on leveraging clone-specific structural variants as  
40 endogenous barcodes, with orders of magnitude lower error rates than single nucleotide variants  
41 in ctDNA (circulating tumor DNA) detection, demonstrated on 19 patients at baseline. We then  
42 applied this to monitor and model clonal evolution over several years in ten HGSOC patients treated  
43 with systemic therapy from diagnosis through recurrence. We found drug resistance to be  
44 polyclonal in most cases, but frequently dominated by a single high-fitness and expanding clone,  
45 reducing clonal diversity in the relapsed disease state in most patients. Drug-resistant clones  
46 frequently displayed notable genomic features, including high-level amplifications of oncogenes  
47 such as *CCNE1*, *RAB25*, *NOTCH3*, and *ERBB2*. Using a population genetics Wright-Fisher model,  
48 we found evolutionary trajectories of these features were consistent with drug-induced positive  
49 selection. In select cases, these alterations impacted selection of secondary lines of therapy with  
50 positive patient outcomes. For cases with matched single-cell RNA sequencing data, pre-existing  
51 and genomically encoded phenotypic states such as upregulation of EMT and VEGF were linked  
52 to drug resistance. Together, our findings indicate that drug resistant states in HGSOC pre-exist at  
53 diagnosis and lead to dramatic clonal expansions that alter clonal composition at the time of  
54 relapse. We suggest that combining tumor single cell sequencing with cfDNA enables clonal  
55 tracking in patients and harbors potential for evolution-informed adaptive treatment decisions.

## 56 INTRODUCTION

57 For women diagnosed with advanced high-grade serous ovarian cancer (HGSOC), the prognosis  
58 is poor; only 17% will remain long-term disease free<sup>1</sup> after upfront treatment with platinum-based  
59 chemotherapy. Based on the high relative mortality to incidence rate, ovarian cancer ranks as the  
60 sixth most lethal malignancy affecting women<sup>2</sup>. Its lethality has been attributed largely to advanced  
61 stage at diagnosis, due in part to the absence of effective screening for early-stage disease. Front-  
62 line treatment includes surgical resection and combination platinum-taxane chemotherapy, which  
63 are initially effective. Nevertheless, most patients will experience recurrence and ultimately die from  
64 the disease. Treatment failure in cancer patients is often driven by cancer evolution, owing to  
65 selection and expansion of subsets of cells that acquire drug resistant phenotypes<sup>3</sup>. We posit that  
66 real-time tracking of cancer evolution in patients has the potential to steer clinical decisions,  
67 optimize treatment approaches and discover drivers of drug resistance. Indeed, next generation  
68 clinical trial designs are being proposed to investigate how to optimally overcome drug resistance  
69 driven by cancer evolution<sup>4</sup>. However, the methods required to monitor evolutionary dynamics in  
70 the clinical context are currently lacking. Serial tumor sampling for genomic profiling from multiple  
71 time points is often impractical or contra-indicated, making tissue-based longitudinal studies both  
72 logistically and clinically challenging. Meanwhile, powerful techniques like cellular barcoding  
73 provide insights in model systems<sup>5-7</sup> but cannot be applied in patients. Non-invasive serial imaging  
74 and blood-derived biomarkers provide other sources of longitudinal information, but these lack  
75 tumor cell-intrinsic molecular measures needed to capture the intra-tumor heterogeneity for  
76 monitoring evolutionary dynamics. Recent advances in cell-free DNA (cfDNA) profiling to detect  
77 tumor-derived DNA from routinely collected blood samples has changed the field of non-invasive  
78 molecular diagnostics for cancer patients<sup>8-10</sup>. Here, we demonstrate that tracking evolutionary  
79 dynamics in cfDNA from HGSOC diagnosis to recurrence can be implemented in patients as a  
80 powerful evolution-centred tool to study the molecular determinants of drug resistant relapsed  
81 disease *in vivo*.

82  
83 Using cfDNA to study cancer evolution in patients is a relatively nascent field<sup>11,12</sup>. The main  
84 objective is to first identify clonal populations, and subsequently use their clone-specific genotypes

85 as endogenous markers to estimate the relative tumor fraction of each clone in cfDNA over time.  
86 Here, we contend that tumor tissue sequencing as the basis of identification of clonal populations  
87 which can then be tracked over time by sequencing serially collected cfDNA samples is a route to  
88 precisely monitor disease evolution. In contrast to clonal decomposition from bulk sequencing,  
89 which is imprecise<sup>13</sup> especially when tumor content and/or sequence coverage is low, single cell  
90 whole genome sequencing (scWGS) approaches have shown great promise in unambiguously  
91 resolving clonal composition<sup>14,15</sup>. In particular, shallow whole genome sequencing technologies  
92 provide reliable readouts of clonal composition<sup>16–18</sup>, especially in cancer types such as HGSOC  
93 characterized by genomic instability<sup>17,18</sup>, even resolving clones to approximately 1% prevalence<sup>19–</sup>  
94 <sup>21</sup>. Furthermore, by combining clonally related cells into pseudobulk, point mutations and structural  
95 variant breakpoints can be identified, providing clone-specific genomic features at base-pair  
96 resolution<sup>17,18</sup> which can serve as endogenous barcodes for tracking clonal abundance over time.

97  
98 Here we show that scWGS on tumor tissue combined with cfDNA clonal tracking is a powerful  
99 approach to reveal insights into drug resistance. Our results indicate that i) clonal tracking exploiting  
100 clonal structural variations is tractable for monitoring HGSOC disease evolution in patients; ii) drug  
101 resistance at relapse is consistent with clonal pruning and reduced clonal diversity; iii) positive  
102 selection operates in the majority of patients leading to near clonal sweeps of high fitness clones;  
103 iv) positively selected clones harbor clone-specific high level amplifications of oncogenes including  
104 *ERBB2*, *RAB25*, *CCNE1*, *NOTCH3* and a *BRCA1* reversion mutation. Together, these results  
105 establish single cell-informed clonal tracking in cfDNA as a powerful approach to measuring and  
106 modeling the evolutionary dynamics of relapsed disease in HGSOC, and implicate rare, but pre-  
107 existing clones with oncogene amplifications as a putative pre-adapted reservoir of drug resistant  
108 cellular populations.

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## RESULTS

## 114 **Cohort and data generation**

115 We carried out a multi-modal prospective study as part of the MSK SPECTRUM cohort<sup>22,23</sup>,  
116 involving 19 newly diagnosed, treatment-naive patients with FIGO stage III/IV HGSOc, with  
117 diagnosis verified through clinicopathological review. Patients were followed over a period of up to  
118 5 years and plasma cfDNA was collected during treatment and at the time of radiologic disease  
119 recurrence. All 19 patients had cfDNA collected at or close to the time of first debulking surgery or  
120 laparoscopic biopsy (baseline), and a subset (n=10) had radiographically confirmed disease  
121 recurrence along with cfDNA collections post-recurrence and during therapy  
122 (**Supplementary Figure 1**). At the time of tissue collection, fresh tissue samples were collected  
123 from multiple disease sites from primary debulking surgeries for patients receiving adjuvant  
124 chemotherapy and from laparoscopic biopsies taken at diagnosis for patients undergoing  
125 neoadjuvant chemotherapy. Tissues were processed for scWGS with the DLP+ protocol<sup>17</sup>. See  
126 **Supplementary Figure 1** for clinical details, treatment history and sample collections for all 19  
127 patients.

128

## 129 **Clone-specific mutations and structural variations in scWGS**

130 From the 19 patients included in this study we generated scWGS data from 19,454 cells (range  
131 200-2015 cells per patient, **Supplementary Figure 2**) with mean coverage of 0.089X (range 0.002-  
132 0.392X per cell, **Supplementary Figure 2**). We inferred the clonal composition at the time of  
133 diagnosis based on copy number data (**Methods**), with the aim of following these clones over time  
134 as patients received chemotherapy, maintenance therapies and experienced disease recurrences  
135 using cfDNA (**Supplementary Figure 3**).

136

137 To follow clones over time in cfDNA we identified clone-specific markers, structural variants(SVs)  
138 and single nucleotide variants(SNVs) in each patient. Due to the sparse coverage in scWGS data,  
139 the presence/absence of SNVs and SVs cannot be determined in every cell. We therefore  
140 developed a combination of pseudo-bulk mutation calling and single-cell copy number  
141 phylogenetics to confidently identify clone-specific mutations that could be profiled in cfDNA,  
142 focusing primarily on SVs resulting from genomic rearrangements. As SVs are a hallmark of

143 HGSOC genomes, we reasoned they would provide a highly specific readout in cfDNA due to their  
144 unique sequence composition, where breakends juxtapose sequence from distal chromosomal loci.  
145 As a result, these unique sequences should be largely immune to sequencing error and other  
146 causes of false positive detection in cfDNA.

147

148 To begin identifying clone-specific SVs, we first constructed single-cell phylogenies with `MEDICC2`<sup>24</sup>  
149 using allele-specific copy number alterations as input (500kb resolution, see **Fig. 1a** for patient  
150 004). Clones were defined based on divergent clades from the single-cell phylogenetic trees  
151 (**Methods**). We then merged cells from each clone and re-computed copy number at 10kb  
152 resolution using a new Hidden Markov Model (HMM) based copy number caller, `HMMclone`  
153 (**Methods**). `HMMclone` improves the resolution of pseudobulk clone copy number profiles and  
154 enables more precise matching between copy number and SVs (**Supplementary Figure 4**). SVs  
155 and SNVs were identified in sample-level ‘pseudobulk’ data and genotyped in single-cells  
156 (**Methods**). Although only a small proportion of cells (<5%) have reads that support a mutation or  
157 SV of interest, we tested whether the distribution of the subset of cells positive for a mutation across  
158 clones in the tree could inform mutation clonality. For example, a truncal missense *TP53* mutation  
159 and a truncal 1.03Mb deletion in 004 distributed uniformly across the tree and were present across  
160 all clones (**Fig. 1b,c**). Cells with support for subclonal clone-specific mutations on the other hand –  
161 in this case 2 SNVs and 2 duplications – distributed non-randomly in a clone-specific manner  
162 (**Fig. 1b,c**). This ‘parsimony’ principle extended to more complex events, for example a  
163 chromothriptic-like chr8 in this patient. Clone-specific pseudobulk copy number at 10kb resolution  
164 showed that the chromothripsis, although sharing some common features, is divergent between  
165 clone A and clone B (**Fig. 1d**), providing a rich source of SVs that are clone-specific.

166

### 167 **Structural variants as highly specific markers of tumor DNA in cfDNA**

168 With clone-specific SVs identified, we then determined the utility of SVs as markers of tumor DNA  
169 in plasma, and compared their quality and robustness relative to SNVs that have been the focus of

170 most cfDNA assays, including commercial ones. For each patient, we constructed a panel of  
171 mutations comprising a mix of clonal and subclonal somatic SNVs/SVs ( $\geq 100$  SVs per patient,  
172 **Supplementary Figure 2**) and a small number of germline single nucleotide polymorphisms  
173 (SNPs) for QC purposes. We designed patient-bespoke hybrid capture probes with 60bp flanking  
174 sequence on either side of the breakpoint or point mutation, and incorporated these probes into a  
175 cfDNA duplex error-corrected sequencing assay<sup>25</sup> (mean raw coverage 13,531X; mean consensus  
176 duplex coverage 970X, **Fig. 2a**). To estimate baseline accuracy, we first applied the assay to cfDNA  
177 plasma samples taken at or close to the time of tissue collection, assuming tumor burden and thus  
178 tumor-derived cfDNA yield would be high. For benchmarking purposes, we characterized the  
179 sensitivity and error profiles of truncal mutations that were also detected in matched bulk whole  
180 genome sequencing data. For example, reads supporting a truncal translocation between chr8 and  
181 chr19 in patient 107, were easily identified as they aligned across the breakpoint in cfDNA, single  
182 cells and bulk tumor whole genome sequencing (**Fig. 2a**). Across all 17 pre-operative baseline  
183 cfDNA samples with sufficient SNVs for comparison, ctDNA with SNVs and SVs were detected and  
184 VAF distributions derived from the error corrected sequences were concordant between SNVs and  
185 SVs (**Fig. 2b**).

186  
187 To compute background error rates, patient-specific hybrid capture probe sets were applied to the  
188 'on-target' patient as well as at least one other 'off-target' patient (**Fig 2c**), where we expect no  
189 detection. Background error rates were defined as the total number of off-target variant supporting  
190 reads divided by the total number of reference reads per patient. Error rates were computed for  
191 duplex sequences (collapsing reads from both strands of the initial cfDNA molecule), simplex  
192 sequences (one strand) and the raw uncorrected sequences. Background error rates were  
193 negligible for SVs; we observed no errors in duplex or simplex sequences (**Fig. 2d**). In the  
194 uncorrected sequences we observed read support for a single event from one patient (**Fig. 2d**).  
195 Compared to SNVs whose error rates increased in simplex and uncorrected sequences relative to  
196 duplex sequences as expected, error rates for SVs were orders of magnitude lower and were  
197 negligible even in uncorrected sequencing (**Fig. 2d,e**,  $p < 10^{-10}$ , t-test). Using this data we defined

198 the limit of detection (LOD) as 2X the largest observed patient error rate (**Fig. 2d**). Given that we  
199 observed no errors for duplex or simplex sequences, we determined the upper bound for the LOD  
200 to be  $\sim 10^{-7}$  (inverse of the total number of reference supporting reads). The mean VAF of SVs was  
201 correlated with tumor fraction ( $R=0.98$ ,  $p < 10^{-10}$ , pearson correlation) calculated from *TP53* mutation  
202 VAF measurements, assumed to be truncal in all HGSOc patients<sup>26</sup> (**Fig. 2f**). Together, these data  
203 demonstrate that SVs can be readily detected in plasma cfDNA, have a lower background error  
204 rate compared to SNVs and can be used to estimate tumor fractions.

205

### 206 **Detecting clone-specific SVs in cfDNA**

207 We next tested whether clone-specific SVs can be detected in plasma cfDNA. Clone-specific SVs  
208 inferred by scWGS analysis were present in all patients with at least 200 cells (18 patients, average  
209  $n=144$ , range 29-361 **Supplementary Figure 2**). We found that numerous mutational processes  
210 such as chromothripsis<sup>27</sup> (e.g. patient 083, **Fig. 3a**), breakage fusion bridge<sup>28</sup>-induced focal  
211 amplifications (patient 045, **Fig. 3b**), pyrrogo-like tandem duplication “towers”<sup>29</sup> (consequence of  
212 *CDK12* mutant tandem duplication phenotype; patient 081, **Fig. 3c**) and complex intra-  
213 chromosomal<sup>30</sup> events (patient 002, **Fig. 3d**) contributed to clone-clone differences in SVs. Clone-  
214 specific SVs were co-located with copy number changes as expected (**Fig. 3a-d**). Using the probe  
215 designs as described above, clone-specific SVs were detected in all baseline plasma cfDNA  
216 samples (**Fig. 3a-d**), even in samples with tumor DNA fractions  $< 1\%$  (**Fig. 3e,f**) and VAFs of  
217 subclonal variants were lower relative to clonal variants as expected ( $p < 0.001$  in 16/18 patients,  
218 n.s. in 2/18, t-test, **Fig. 3f**), supporting the clonal structure found in the tissues. These results  
219 therefore establish that scWGS enables accurate assignment of SVs to clones, that SVs are  
220 sensitive markers of tumor DNA and that clone-specific SVs can be detected in low tumor fraction  
221 plasma.

222

### 223 **Clonal evolution of drug resistance in patients**

224 We next evaluated whether our approach could be used for longitudinal monitoring of tumor  
225 evolution during treatment and disease recurrence. From our patient cohort, we studied 10 patients  
226 with radiographically confirmed disease recurrence and profiled all available post-baseline and



227 post-recurrence cfDNA plasma samples with our patient-specific assay (mean 7.8 timepoints per  
228 patient, range 3-13). See **Supplementary Figure 5** for the scWGS data for these 10 patients. For  
229 all patients, ctDNA VAF of truncal SVs decreased during initial chemotherapy, as patients  
230 responded to therapy with decreased burden and decreased serum CA-125 levels (**Fig. 4 &**  
231 **Supplementary Figure 6**). All patients were positive for ctDNA at the time point closest to first  
232 recurrence (defined as average VAF across the panel exceeding LOD (**Fig. 4 &**  
233 **Supplementary Figure 6**)). In 6 patients with sufficient plasma samples, ctDNA was detected prior  
234 to clinically confirmed disease recurrence but subsequent to completion of initial chemotherapy:  
235 002 (76 days), 004 (26 days), 009 (184 days), 045 (109 days), 075 (233 days), 081 (314 days)  
236 (**Fig. 4 & Supplementary Figure 6**). We note that not all of these patients achieved ctDNA  
237 clearance (045, 075, 081), which may in part be due to insufficient cfDNA sampling at completion  
238 of first-line chemotherapy.

239

240 We then measured how the abundance of specific clones changed over time as patients received  
241 treatment. Clone abundances at each time point were estimated by averaging the VAF across all  
242 structural variants assigned to a clone. Firstly, to validate the accuracy of inferred clone frequencies  
243 we performed WGS of plasma at 20X coverage from 6 samples (1 baseline and 1 recurrence  
244 sample from 3 patients: 045, 081 and 107). Copy number profiles from these data were consistent  
245 with predictions derived from scWGS derived copy number profiles and ctDNA clone frequencies  
246 (**Supplementary Figure 7a-c**). Notably, clone-specific amplifications, which provide the strongest  
247 signals in such low tumor fraction sequencing data, were consistent with the inferred dominant  
248 clone at baseline and recurrence. In patient 045, the *CCNE1* locus (chr19q) was enriched at  
249 baseline, and *RAB25* (chr1q) at recurrence (**Supplementary Figure 7d**), while in patient 107,  
250 *CCNE1* and a region on chr20q were enriched at recurrence as expected (**Supplementary Figure**  
251 **7e**). As further validation, we computed clonal frequencies across time using SNVs for patients with  
252 sufficient clone-specific SNVs (minimum of 4 per clone) and compared them to the clone  
253 frequencies estimated using SVs. Clone frequencies across time for patient 045 showed highly  
254 similar patterns for SVs (**Supplementary Figure 7f**) and SNVs (**Supplementary Figure 7g**) and

255 were consistent using these two distinct sets of genomic features for all patients  
256 (**Supplementary Figure 7h**,  $R=0.93$ ,  $p<10^{-10}$ , Pearson correlation).

257

258 Having confirmed the accuracy of our inferred clonal trajectories we then aligned clone frequencies  
259 to treatment histories and other clinical biomarkers such as serum CA-125 levels enabling us to  
260 precisely describe clonal evolution in the context of therapy and disease recurrence. In patient 044,  
261 2 major clones were present at the time of diagnosis (clone B and clone E, **Fig. 4a**). From the  
262 scWGS data we noted that clone B had an *ERBB2* high-level amplification (~30 copies) that was  
263 absent in clone E (**Fig. 4b,c**). The patient responded to upfront chemotherapy and achieved ctDNA  
264 clearance at day 156 along with a notable drop in CA-125 level. Reduction in disease burden due  
265 to upfront chemotherapy can be seen from radiology images of the same disease sites at day 0 vs  
266 day 84 (**Fig. 4d**). The patient then experienced disease recurrence at day 449 and received second  
267 line chemotherapy. Post-recurrence cfDNA samples during the second line of chemotherapy only  
268 detected clone B (*ERBB2* amplified), and the patient had minimal response to this second line as  
269 evidenced by high levels of ctDNA detected and persistently elevated CA-125 (**Fig. 4a**). These  
270 dynamics could be captured by following a single translocation between chromosomes 2 and 17  
271 that was associated with the *ERBB2* amplification (**Fig. 4c**). Subsequently, following a second  
272 disease recurrence at day 730, the patient was treated with trastuzumab deruxtecan, an antibody-  
273 drug conjugate that targets HER2 (*ERBB2*). She achieved a complete radiologic response and  
274 remains disease free nearly three years after starting therapy. Of note, she was eligible for  
275 treatment with trastuzumab deruxtecan on a clinical trial based on clinical tumor-normal MSK-  
276 IMPACT<sup>31</sup> targeted sequencing performed on tissue at the time of diagnosis. As MSK-IMPACT is  
277 a bulk assay it would not have identified that there was a clone that lacked the *ERBB2* amplification  
278 as was possible with scWGS. The clonal tracking data indicates that front-line therapy eradicated  
279 the *ERBB2*-WT clone, leaving the dominant trastuzumab deruxtecan-susceptible *ERBB2*-Amp  
280 clone present at recurrence, thus resulting in an exceptional and durable response.

281

282 In patient 009, all 5 clones identified in scWGS were detected in cfDNA at diagnosis. The patient  
283 experienced a good response to chemotherapy and achieved ctDNA clearance accompanied by a

284 drop in CA-125 levels by day 125 (**Fig. 4e**). The patient had a germline *BRCA1* mutation and  
285 received standard of care PARP inhibitor (PARPi) maintenance after completion of chemotherapy,  
286 and remained disease free for almost 3 years. ctDNA was detected 184 days prior to clinical  
287 recurrence by CT at day 1146. Post recurrence, the only detectable clone was clone F. We  
288 identified a putative *BRCA1* reversion mutation in post recurrence cfDNA samples; a 1.37kb  
289 deletion that excises the beginning of exon 10 (including the germline pathogenic mutation) and  
290 the intronic region between exons 9 and 10 of *BRCA1* and restores the reading frame (**Fig. 4e**).  
291 We did not find evidence of this event in any of our sequencing data from baseline surgical samples  
292 and it was only observed in post-recurrence cfDNA samples (**Fig. 4f**). This event may therefore  
293 have been acquired later in a cell from clone F, or alternatively was beyond the limit of detection of  
294 our assay at early time points. Of note, patients who experience disease progression following  
295 PARPi therapy demonstrate a poor response to subsequent platinum-based chemotherapy<sup>32,33</sup>.  
296 Whether this is related to *BRCA1/2* reversion mutations, and how this may impact subsequent  
297 clinical care remains an area of active study.

298  
299 Two patients had clone-specific *CCNE1* amplifications (**Fig. 4g-j**), an alteration that has previously  
300 been associated with disease recurrence and chemoresistance in HGSOC<sup>34,35</sup>. In patient 107,  
301 clone D had an average *CCNE1* copy number of 13 compared to 8 in clone A (**Fig. 4h**), while in  
302 patient 045, *CCNE1* amplification was specific to clone A (6 copies, **Fig. 4j**). In patient 107, clone  
303 D was the dominant clone at recurrence, and had a multimegabase amplification on chr19p  
304 including *NOTCH3* in addition to increased *CCNE1* copy number on chr19q (**Fig. 4g,h**).  
305 Interestingly, in patient 045, although the *CCNE1* amplified clone was dominant at diagnosis, post  
306 recurrence and during a second line of chemotherapy, clone D (lacking *CCNE1* amplification)  
307 expanded and was the dominant clone at the final time point close to the time of a second disease  
308 recurrence (**Fig. 4i**). Although lacking *CCNE1* amplification, clone D harbored an amplification of  
309 *RAB25*, a GTPase previously implicated in chemotherapy drug resistance<sup>36</sup> (**Fig. 4j**). Notably,  
310 these results suggest that *CCNE1* amplification at baseline is not deterministically linked to  
311 chemotherapeutic resistance.

312

### 313 **Clone-specific transcriptional programs**

314 We next investigated phenotypic associations with drug resistant states, leveraging previously  
315 published patient matched scRNAseq data<sup>23</sup>. We first used TreeAlign<sup>37</sup> to map cancer cells profiled  
316 by scRNAseq to genomically defined clones derived from the scWGS data, using all patients in the  
317 MSK SPECTRUM cohort for which we had scWGS<sup>22</sup>. For 20 patients for which we could identify at  
318 least 2 clones with >100 cells, we then scored each clone by its expression of hallmark pathways  
319 and explored how these transcriptional programs varied across clones within the same patient. We  
320 found that transcriptional programs could be highly variable between clones from the same patient,  
321 suggesting that HGSOC generally has a large degree of pre-existing genomically encoded  
322 transcriptional heterogeneity (**Fig. 5a**).

323  
324 Of the ten patients we had profiled with our longitudinal cfDNA assay, two patients (107 & 009) had  
325 sufficient cells assigned to each clone that we could contrast these phenotypic differences in drug  
326 resistant versus drug susceptible clones. In patient 107, all 4 clones were represented in the  
327 scRNAseq data and clone D was the dominant clone at relapse (**Fig. 5b**). We found that *NOTCH3*  
328 had higher expression in clones C and D relative to A and B as expected based on the clone-  
329 specific amplification in C&D (**Fig. 5b**). Furthermore, clones C and D had a higher VEGF pathway  
330 score, lower hypoxia score and higher HIF1A expression, a transcriptional regulator of hypoxia  
331 response (**Fig. 5b**). Interestingly, despite receiving anti-angiogenic maintenance therapy with  
332 bevacizumab, this patient still experienced disease recurrence within approximately a year of  
333 chemotherapy completion. We speculate that this genomically encoded pre-existing phenotypic  
334 state may have played a role in disease relapse due to the enhanced angiogenic potential of clone  
335 D.

336  
337 In patient 009, all clones were represented in the scRNAseq and clone F was the only clone present  
338 at the final timepoint post relapse (**Fig. 5c**). We found that this clone had lower expression of  
339 JAK/STAT pathway genes, an increase in epithelial-to-mesenchymal transition (EMT) related  
340 genes including the canonical EMT marker VIM, and a lower fraction of cycling cells (**Fig. 5c**). This  
341 suggests that this clone may have an immunosuppressive phenotype, while slower cycling of cells

342 may have rendered it less sensitive to chemotherapy. Furthermore, EMT has been associated with  
343 chemotherapy resistance<sup>38</sup> and phenotypic plasticity that is permissive to developing drug resistant  
344 states<sup>39</sup>.

345

### 346 **Modeling evolutionary fitness and selection in patients**

347 Lastly, we modeled the evolutionary properties of clonal trajectories in the context of treatment and  
348 disease recurrence. In 6/10 patients (002, 006, 014, 045, 081, 107; see **Fig. 4** &  
349 **Supplementary Figure 6**), multiple clones were detected in post-recurrence plasma samples,  
350 highlighting that chemo-resistance may be polyclonal in many patients. In 4 of these cases (009,  
351 045, 081 and 107), clones that were not detected in cfDNA or were detected at minor frequencies  
352 at baseline became dominant at first recurrence, suggesting that although multiple clones may  
353 become chemo-resistant, some have relative fitness advantages in the context of treatment (**Fig. 4**  
354 & **Supplementary Figure 6**). Notably, while the presence of multiple resistant clones was a  
355 common observation, the overall diversity, as quantified by Shannon entropy, decreased in the final  
356 timepoint relative to baseline in 8/9 cases ( $p=0.027$ , t-test, **Supplementary Figure 6h**). This  
357 potentially reflects clone eradication during front-line treatment (surgery and chemotherapy), and  
358 that only a fraction of clones present at diagnosis comprised relapsed disease. The number of  
359 clones detected also decreased at the final time point relative to baseline ( $p=0.086$ , t-test,  
360 **Supplementary Figure 6i**).

361

362 We then tested whether changes in clonal composition could be explained by a neutral evolutionary  
363 model or whether differential fitness between clones was a more plausible explanation. We  
364 developed a Wright-Fisher<sup>40</sup> population genetics based simulation and hypothesis testing  
365 framework that incorporates patient specific measurements. The simulation includes a varying  
366 population size empirically informed by CA-125 levels to model population bottlenecks due to  
367 treatment, and uses the inferred clone frequencies at baseline as starting conditions (**Fig. 6a**).  
368 Clonal trajectories were then simulated assuming neutrality (no fitness difference between clones),  
369 and the distribution of frequencies over 1000 simulations were compared to observed frequencies  
370 at the final time point to derive a p-value encoding whether the observed data is consistent with the

371 neutral model (**Fig. 6a**). Examples of patient clone trajectories inconsistent with a neutral model  
372 include 045 and 009 ( $p < 0.05$  for at least one clone), while data from 014 could be explained with a  
373 neutral model (**Fig. 6b**). Overall, 7/10 patients had at least 1 clone whose change in frequency at  
374 the final timepoint compared to baseline could not be explained by a neutral model (**Fig. 6c**),  
375 suggesting that positive clonal selection induced by treatment may indeed be a common feature in  
376 HGSOC.

377

378 Taken together we show that clone-specific SVs can be used to track clone trajectories over time  
379 in cfDNA and that while drug resistance is often polyclonal, changes in clone frequencies are likely  
380 the result of differential fitness between clones in the context of treatment.

381

## 382 **DISCUSSION**

383 Here we show that tracking clonal evolution of drug resistance is tractable in cancer patients. This  
384 was facilitated by probing structural variants in timeseries cfDNA as highly specific genomic  
385 features to monitor and model clonal evolution. Applying this approach to 10 recurrent HGSOC  
386 patients with longitudinally collected cfDNA samples we found that in many cases, clonal  
387 composition changed between diagnosis and recurrence. In most cases, drug resistance was  
388 polyclonal, but generally contained a dominant clone with frequency  $> 50\%$ . Interestingly, dominant  
389 clones were typically rare at diagnosis, suggesting therapy induced selection and supported by  
390 Wright-Fisher modeling. It is noteworthy that Wright-Fisher modeling, which predicted reproducible  
391 positive selection in replicate PDX models<sup>41</sup> here shows consistent properties in patients, providing  
392 motivating examples for development of predictive models. We recognize that our simulation  
393 framework neglects any spatial component whereby some clones may reside in a privileged site  
394 with different immunological properties or drug localization propensities.

395

396 Our conclusions differ from Smith *et al*<sup>42</sup> which found limited copy number differences between  
397 diagnosis and recurrence samples in HGSOC. We speculate that the higher resolution single-cell  
398 measurements employed in this study are more suitable for characterizing genomic differences in  
399 such a heterogeneous disease, and that ctDNA provides a more unbiased view of the disease state

400 compared to single-site bulk measurements. However, larger scale studies across spatio-temporal  
401 measurements may be needed to fully establish these properties.

402

403 While our study is underpowered to identify recurrent genetic features of drug resistant clones, we  
404 do observe some plausibly important features that will require additional study. These include  
405 clone-specific high-level amplifications in drug resistant clones such as *RAB25*, *ERBB2*, *CCNE1*  
406 and *NOTCH3*. Such oncogenes have targeted therapies available clinically or in development,  
407 raising the possibility that treatment could be modified adaptively based on longitudinal  
408 measurements of clone fractions and their genomic features. We also observed notable  
409 phenotypes in drug resistant clones in a subset of patients with matched scRNAseq such as  
410 upregulation of EMT and VEGF, downregulation of JAK-STAT and lower proliferation. This  
411 suggests pre-existing phenotypic states may play a role in differential treatment-sensitivity between  
412 clones. Our study confirms that drug resistance is heterogeneous and highly patient specific. For  
413 example, *CCNE1* amplification, an established indicator of poor prognosis<sup>34</sup> was not a deterministic  
414 predictor of clone fitness in one of the patients. This argues for developing personalized adaptive  
415 approaches to control drug resistant clones<sup>43–45</sup>.

416

417 We note that the granularity of temporal sampling in this study limits the ability to accurately time  
418 emergence of drug-resistant clones. Some clone trajectories coincided with therapy modulation.  
419 We recognize this is confounded by the timing of sampling at relapse and consequently makes it  
420 challenging to establish a causal link between selective sweeps of clones and change of  
421 therapeutic selective pressure. In future studies, more granular cfDNA sampling at regular intervals  
422 would address this interpretation challenge, but our data is nevertheless indicative of clone-specific  
423 selective sweeps on switch of therapy.

424

425 Our study is motivated by exploiting structural variants as specific endogenous clonal markers for  
426 evolutionary tracking. While we focused on HGSOV, we expect our approach will generalise to any  
427 tumor type with i) polyclonal disease and ii) with characteristic genomic instability such as triple

428 negative breast, osteosarcoma, high grade endometrial, esophageal, diffuse gastric and *EGFR*-  
429 mutant non-small cell lung cancer<sup>21</sup>.

430

431 Finally, we expect that drug resistance in HGSOE and other cancers to be multi-factorial, with both  
432 transcriptional and epigenetic plasticity operating in tandem with pre-adapted and genomically  
433 encoded phenotypic states. We contend that the framework we establish here is poised to quantify  
434 the proportion of drug resistance that is explained by therapeutic selective pressure and can inform  
435 future evolution-informed adaptive clinical trials.

436



437 **Methods**

438

439 **Sample collection**

440 All enrolled patients were consented to an institutional biospecimen banking protocol and MSK-  
441 IMPACT testing<sup>46</sup>, and all analyses were performed per a biospecimen research protocol. All  
442 protocols were approved by the Institutional Review Board (IRB) of Memorial Sloan Kettering  
443 Cancer Center. Patients were consented following the IRB-approved standard operating  
444 procedures for informed consent. Written informed consent was obtained from all patients before  
445 conducting any study-related procedures. The study was conducted in accordance with the  
446 Declaration of Helsinki and the Good Clinical Practice guidelines (GCP). We collected fresh tumor  
447 tissues at the time of upfront diagnostic laparoscopy or debulking surgery, as described in  
448 McPherson et al.<sup>22</sup>. Blood collection was carried out longitudinally over a five-year period (2019-  
449 2024). Two Streck tubes for cfDNA were collected in each visit. If possible, blood was collected in  
450 the Outpatient Clinic at Memorial Sloan Kettering Cancer Center. Alternatively, blood samples were  
451 collected in the operating room when patients were undergoing debulking surgery or laparoscopy.

452

453 **Sample processing**

454 Streck tubes were submitted to the MSK laboratory medicine facility after collection and processed  
455 for plasma and buffy coat separation, as well as DNA extraction.

456

457 **Clinical data**

458 In this cohort study, we extracted clinical annotations from electronic health records of 19 patients  
459 treated at Memorial Sloan Kettering Cancer Center for HGSOE. For these patients we collected  
460 contemporaneous longitudinal data from their initial HGSOE diagnosis as well as historical data, if  
461 available. Clinical data included laboratory measurements, surgical procedures and medications.  
462 CA-125 measurements were obtained as part of patients' routine clinical care from blood samples  
463 collected at baseline, during therapy and subsequent follow up visits. All dates are relative to the  
464 time of first surgery for each patient, ie day 0 is the date of primary debulking or laparoscopic  
465 biopsy.

466

467 **Recurrence data**

468 Recurrence dates are defined by “progression of disease” (POD), a patient without improvement  
469 after treatment or while on maintenance therapy based on CT scan. Improvement or lack thereof  
470 is determined based on CT scan impressions (e.g. an increase in a lymph node or unchanged  
471 tumor implants). We define patients as “alive with disease” (AWD) if they have not achieved  
472 remission but have also opted out of new treatment lines and/or are on observation.

473

474 **DLP single cell whole genome sequencing processing**

475 The mondrian single cell whole genome sequencing suite of tools and pipeline was used for  
476 processing of the single cell whole genome sequencing. This single cell whole genome sequencing  
477 dataset is a subset of the dataset used in McPherson *et al.*<sup>22</sup>, see this publication for full details of  
478 the data generation and processing. We describe in brief the processing here. Sequencing reads  
479 were aligned to hg19 using BWA-MEM. Read counts were calculated in 500kb bins across the  
480 genome and GC-corrected, these values were input into HMMcopy to infer integer copy number  
481 (ranging from 0-11). We then applied the cell quality classifier described in Laks et al and removed  
482 any cells with quality < 0.75. In addition we removed replicating cells, multiplet cells and cells  
483 suspected to be the result of multipolar divisions, see McPherson *et al.*<sup>22</sup> for a detailed description  
484 of the filtering criteria. We then applied SIGNALS v0.7.6 to infer haplotype specific copy number  
485 using default parameters.

486

487 **SNV calling in DLP**

488 To detect SNVs in each dataset, reads from all cells from a DLP+ patient were merged to form  
489 'pseudobulk' bam files. SNV calling was performed on these libraries individually using mutect. A  
490 panel of normals was constructed by identifying normal cells from every patient, merging them and  
491 then running the mutect2 panel of normal option. Mutect2 filter was used to filter variants. We then  
492 ran Articul (manuscript in prep.) to remove artifacts that are specific to DLP+ due to the shorter  
493 than average insert size. This filtered set of variant were then genotyped in individual cells using  
494 cellSNP v.1.2.2<sup>47</sup>.

495

## 496 **Structural variant calling in DLP**

497 To detect SVs in each dataset, we also used the merged pseudobulk bam files. LUMPY<sup>48</sup> and  
498 deStruct<sup>49</sup> were run on these pseudobulk libraries. Events were retained if they were detected in  
499 deStruct and could be matched in the LUMPY calls. Breakpoint predictions were considered  
500 matched if the positions involved were each no more than 200 nucleotides apart on the genome  
501 and the orientation was consistent.

502

503 SVs called in the pseudobulk library were then genotyped in single cells. To do this we used a  
504 modified version of SVtyper<sup>50</sup> (available at <https://github.com/marcjwilliams1/svtyper>). One key  
505 modification was rounding the read count up rather than down, the read count computation internally in  
506 SVtyper are MAPQ scores rather than counts so are non-integers before rounding and outputting  
507 to a vcf. This change is necessary in single cells as typically we observe only a single read  
508 supporting a SV. SVtyper computes the number of reads that support the reference (these are  
509 reads that directly span the genome reference at the breakpoint locations) and the number of reads  
510 that support the alternate allele. Alternate allele counts are either split reads that directly sequence  
511 the breakpoint or discordant reads that have larger than expected insert sizes or align to different  
512 chromosomes in the case of translocations. Clipped reads that support the breakpoint are also  
513 computed, to be more conservative we did not include these reads in the total of SV supporting  
514 reads. We made an additional modification requiring split reads to match both sides of the  
515 breakpoint to contribute to read counts, in the default version, a split read aligning to one side of  
516 the breakpoint would contribute 0.5 counts. This option is available via the `-both-sides`  
517 command line option.

518

## 519 **Phylogenetic inference and clone assignments**

520 MEDICC2 was used to infer phylogenetic trees using haplotype specific copy number as input, see  
521 McPherson *et al*<sup>2</sup> for further details. We then manually identified clades in the tree that were the  
522 ancestor of clade specific genomic features of interest. These included whole genome doubling,  
523 whole chromosome and chromosome arm gains or losses and focal amplifications. Clones were

524 then defined as the set of cells that were descendants of each clade of interest. Clones with their  
525 genomic features of interest can be found in supplementary table 5.

526

### 527 **Clone level 10kb resolution copy number calling**

528 Once cells were assigned to clones we additionally called integer copy number at 10kb resolution  
529 at the clone level. Read counts were computed in 10kb bins across the genome in every cell and  
530 then summed across cells assigned to each clone. Aggregated read counts were then normalized  
531 against the read counts from any normal diploid cells sequenced in the same library and then GC  
532 corrected using the same modal GC correction described in Laks *et al*<sup>17</sup>. These normalized GC  
533 corrected read counts were then adjusted for ploidy of the clone and then we applied a Hidden  
534 Markov Model to compute integer read counts. The HMM model (code available at  
535 <https://github.com/shahcompbio/HMMclone>) uses a state space of 0-15 with each state assumed  
536 to be a normal distribution with standard deviation 0.2 and mean equal to the integer copy number.  
537 The standard deviation was determined empirically from the data. The viterbi algorithm was used  
538 to compute the most likely copy number profile.

539

### 540 **Assigning SVs to clades/clones**

541 Assigning SVs to clones was done using the matrix of read counts per SV per cell, the cell to clone  
542 label mapping, and the clone level 10kb copy number profiles. First, we summed the SV supporting  
543 reads across clones giving an SV by clone matrix. Any SVs with non-zero read counts were  
544 assumed to be present in the clone. In addition, when SVs could be mapped to copy number  
545 changepoints identified at 10kb resolution, we additionally checked whether there existed other  
546 clones that had the same copy number changepoint but lacked read level support for the SV. In  
547 these cases, the SV was also assumed to present in that clone. This was to circumvent cases  
548 where the total number of cells was too low to confidently assume the absence of a particular SV.  
549 In some cases, no SVs could be found that were specific to a clone, this was largely due to clones  
550 being too small and consequently lacking the cumulative sequencing coverage to detect SVs in  
551 pseudobulks. In such cases we used coarser clone definitions comprising a larger number of cells.

552

553 **Bulk whole genome sequencing and MSK-IMPACT**

554 The bulk whole genome sequencing and MSK-IMPACT targeted sequencing was originally  
555 published in Vázquez-García *et al.*<sup>23</sup>. See this publication for data generation, processing and data  
556 access.

557

558 **Probe design and synthesis**

559 For most patients we identified 1,000 genomic features encompassing structural variants, single  
560 nucleotide variants and germline SNPs. For samples that constituted our pilot (patients 068, 065,  
561 044, 003 and 026) the number of features was lower, between 250 and 400 and included only a  
562 limited number of SNVs. Within the SV and SNV groups these could be classed into Clonal (present  
563 in every tumor cell) or Subclonal (present in a fraction of tumor cells). The number of probes from  
564 each class was variable between patients due to differences in the number of SVs and SNVs called  
565 in each patient as well as the clonal structure in each patient. We first required 200 clonal SVs and  
566 200 clonal SNVs. The remaining 600 probes were split between subclonal SVs and SNVs. We  
567 ensured we had 200 subclonal SNVs and then the remaining slots were given to subclonal SVs, if  
568 there were still slots remaining then we included additional subclonal SNVs. Within the SNV class  
569 we included any SNV annotated as “High Impact” in the MSK-IMPACT targeted sequencing.  
570 Probes were synthesized by IDT (Integrated DNA Technologies) using the xGen MRD hybrid  
571 probes, from 120bp sequences provided as FASTA files. A small panel of germline SNPs were  
572 also included in order to provide a means to identify sample swaps that may inadvertently occur  
573 during sample preparation but were not needed.

574

575 **cfDNA duplex sequencing analysis**

576 We used the MSK-ACCESS protocol to generate the sequencing data, this protocol is described  
577 in detail in Rose-Brannon *et al.*<sup>25</sup>. The gene panel used in Rose-Brannon *et al.* was swapped for  
578 the patient specific probe sets. Patient probes from at least 2 patients were pooled together so that  
579 for each patient probe set we could estimate background error rates by looking at the counts  
580 supporting SVs and SNVs in off target patients.

581

582 To process the cfDNA sequencing we used a suite of tools developed by the Centre for Molecular  
583 Oncology informatics team at MSK for use with the MSK-ACCESS assay ([https://github.com/msk-](https://github.com/msk-access)  
584 [access](https://github.com/msk-access)). The nucleo pipeline was used to generate bam files from fastq files. The output of this  
585 pipeline is double strand error corrected bam files(duplex), single strand (simplex) and uncorrected  
586 bam files which can then be used for downstream applications. Read counts of supporting and  
587 reference reads for SNVs and Indels were extracted using [https://github.com/msk-](https://github.com/msk-access/GetBaseCountsMultiSample)  
588 [access/GetBaseCountsMultiSample](https://github.com/msk-access/GetBaseCountsMultiSample). This takes a MAF file as input and outputs a MAF file with  
589 additional columns for the read counts in duplex, simplex or uncorrected bam files. To extract read  
590 counts for SVs we used the same version of SVtyper modified for use with DLP+ described above.  
591 We required that alignments had evidence of both sides of the breakpoint to be included  
592 (implemented in an additional SVtyper option `-both_sides`).

593

#### 594 **Computing error rates in cfDNA**

595 To compute error rates across sequencing types (duplex, simplex, raw uncorrected) and mutation  
596 types (structural variants and single nucleotide variants) we applied the patient specific probe set  
597 to at least one other off-target patient. We then summed the counts of reference supporting reads  
598 and variant supporting reads for off-target variants and defined the error rate as variants supporting  
599 reads divided by total number of reads. We then defined the limit of detection (LOD) per sequencing  
600 type and mutation type as twice the largest error rate seen in each class. Given we observed no  
601 errors for SVs in simplex and duplex sequences we defined the LOD as the inverse of the total  
602 number of reference supporting reads giving us an upper bound. This gives the following LOD:  
603  $8.5 \times 10^{-8}$  (duplex, SV),  $3.2 \times 10^{-5}$  (duplex, SNV),  $1.5 \times 10^{-7}$  (simplex, SV),  $20 \times 10^{-5}$  (simplex, SNV),  
604  $8.6 \times 10^{-7}$  (uncorrected, SV),  $158 \times 10^{-5}$  (uncorrected, SNV). LOD for combined duplex and simplex  
605 read counts is  $5.4 \times 10^{-8}$  for SVs and  $7.3 \times 10^{-5}$  for SNVs. cfDNA samples were positive for ctDNA if  
606 the total number of variant reads divided by the total number of reference reads summed across  
607 the collection of patient specific variants was greater than the LOD. Given the low error rates for  
608 both simplex and duplex SVs we used the combined read counts from both for the results reported  
609 in the main text.

610

## 611 **Estimating clone frequencies**

612 To estimate clone frequencies we calculated VAFs for each clone by summing the total number of  
613 variant supporting reads and dividing them by the total number of reads for all variants assigned to  
614 a clone. We did not correct for copy number as biases in the sequencing data are likely greater  
615 than biases due to copy number (probes are constructed based on the variant sequence not wild  
616 type). Furthermore, VAFs can vary over multiple orders of magnitude due to the high sequence  
617 depth, much larger than the influence of any copy number correction. We saw highly concordant  
618 clone frequency estimates using either structural variants or single nucleotide variants, supporting  
619 this approach. To plot the changes in frequency over time we normalized VAFs so that they  
620 summed to 1 at each time point, then applied a spline function to smooth values between time  
621 points. When no tumor DNA was detected, we allowed all clones to have VAF = 0. Smoothing was  
622 done using the `splinefun` function in R with `method = "monoH.FC"`. This resulted in values  
623 that were greater than 1 or less than 0 in some cases, we therefore re-normalized the data so that  
624 frequencies were positive and summed to 1 at each time point. In addition, when there were large  
625 periods of time pre clinical-recurrence without cfDNA samples we assumed tumor DNA was 0 (for  
626 example in patient 107). We did not include clone frequency estimates when plasma tumor fractions  
627 were  $< 10^{-4}$  (estimates based on truncal SVs), reasoning that clone frequency estimates at such  
628 low tumor fractions would be unreliable and suffer from dropout issues. Given the low error rates,  
629 we used the uncollapsed raw sequencing for estimating clone frequencies using SVs. For  
630 estimating clone frequencies using SNVs we used the same approach for SVs but used duplex  
631 consensus sequences for read counting due to the higher error rates for SNVs.

632

## 633 **Identifying BRCA reversion mutations**

634 For *BRCA1/2*-mutant cases, we also included probes that captured exonic regions within 200bp of  
635 the mutation, enabling detection of proximal *BRCA1/2* reversion mutations<sup>51,52</sup>. We used `revmut`  
636 (<https://github.com/inodb/revmut>) to identify putative BRCA reversion mutations in the first  
637 instance. In addition, we inspected alignments in IGV around the BRCA mutations to look for any  
638 additional putative reversion mutations not identified by `revmut`. This is how we found the reversion  
639 mutation present in patient 009. This mutation was a large 1.37kb deletion that excised the germline

640 mutation, alignments with the same breakpoint sequence, aligning to the same locations were  
641 found in 3 post-recurrence samples. This mutation was likely not identified using revmut due to it  
642 being unusually large compared to previously reported BRCA reversion mutations.

643

#### 644 **Wright-Fisher modelling and hypothesis testing**

645 In order to test for non-neutrality in clone frequencies over time we implemented a modelling and  
646 hypothesis testing framework based on a multi-species Wright-Fisher model with varying  
647 population size. Population size was assumed to be  $10^9$  at the time of surgery ( $t=0$ ) and then varied  
648 according to CA-125 levels. We set the population size at the time point with the lowest CA-125  
649 level  $N_{low} = 10^4$ , assuming this was the period with the smallest tumor cell population. We then set  
650 the population size (N) to vary exponentially according to the following equation:

651

$$652 \quad N(t) = Ae^{b \times CA125(t)}$$

653

654 Where  $A = N(0) \times e^{-b \times CA125(0)}$  and  $b = \frac{\log(N_{low}) - N(0)}{CA125_{low} - CA125(0)}$ . We then used the multinomial  
655 distribution to simulate clone frequencies over time:

656

$$657 \quad X_{1..k}(i+1) = \text{Multinomial}(N(i), p_{1..k}(i))$$

658

659 Where  $X_{1..k}(i+1)$  is the population size of each clone k in generation  $i+1$ ,  $N(i)$  is the total population  
660 size in generation  $i$  and  $p_{1..k}(i)$  are the clone frequencies in generation  $i$  for the  $k$  clones. For  
661 generation  $i = 1$ ,  $N(i = 1) = 10^9$  and  $p_{1..k}(i = 1)$  are given by the clone frequencies estimated from  
662 cfDNA at  $t=0$ . We then forward simulate this process for the clinical timecourse of each individual  
663 patient 1000 times giving a distribution of clone frequencies at  $t_{end}$ . We assumed a generation time  
664 of 4 days and  $t_{end}$  was set to be the final cfDNA timepoint in each patient. We then calculated a z-  
665 score, comparing the observed clone frequency from data to the mean and standard deviation of  
666 the simulated frequencies in order to calculate a p-value for each clone under the hypothesis of  
667 neutral evolution.



668

## 669 **cfDNA whole genome sequencing**

670 Whole genome libraries constructed during the duplex sequencing assay library prep were  
671 sequenced to 20X on an illumina NovaSeq using 100bp reads. Reads were mapped to hg19 using  
672 BWA-MEM<sup>53</sup>. Read counts in 100kb bins across the genome were calculated and GC corrected  
673 using QDNAseq<sup>54</sup>. In order to compare this to data from the duplex sequencing targeted assay we  
674 used information from the DLP copy number profiles and the clone fractions inferred from the hybrid  
675 capture targeted sequencing assay to predict what these copy number profiles should look like.  
676 Copy number ratio ( $R$ ) in bin  $i$  are given by:

$$677 \quad R_i = \frac{2n + (1 - n)c_i}{2n + (1 - n)p}$$

678 Where  $n$  is the normal fraction,  $c_i$  is the copy number in bin  $i$  and  $p$  is the ploidy of the tumor. We  
679 know  $n$  from the TP53 VAF in cfDNA, for  $c_i$  and  $p$  we took the weighted average across clones,  
680 with weights given by the estimated clone fractions at each time point.

681

## 682 **scRNAseq data generation and processing**

683 The scRNAseq data was originally published in Vazquez-Garcia et al.<sup>23</sup>, full details of the  
684 processing can be found here. Pathway scoring was performed with PROGENY<sup>55</sup> or the Seurat  
685 module scoring function using hallmark pathways.

686

## 687 **TreeAlign**

688 To match scRNAseq cells to clones identified in DLP we used TreeAlign<sup>37</sup>. To do this, we genotyped  
689 the same set of heterozygous SNPs used to call allele specific copy number in DLP+ in scRNAseq  
690 using cellSNP<sup>47</sup>. The per cell SNP count matrix was then input into TreeAlign along with clone  
691 assignments and 10kb clone copy number profiles derived from DLP. We used the  
692 CloneAlignClone method and used default parameter values apart from `min_clone_assign_prob =`  
693 `0.5`. scRNAseq data was available for patients 107, 014, 045, 009 and 002, however following  
694 application of TreeAlign in some patients, clones were represented minimally due to differences in  
695 data collection from different sites. In patients 107 and 009, all clones were represented with at

696 least 100 cells present from each clone, we therefore focussed on these cases when comparing  
697 drug resistant to drug sensitive clones. To compare transcriptional heterogeneity for each clone we  
698 took the mean value of the per cell seurat derived module scores or progeny scores per clone, then  
699 for each patient calculated the maximum value minus the minimum value. These per patient max-  
700 min values were then plotted as violin plots ordered by the average difference across the cohort of  
701 patients.

702

### 703 **Data organization**

704 To facilitate integration of data across multiple modalities we used the isabl platform<sup>56</sup>. Isabl is a  
705 databasing and data access platform which allows users to straightforwardly link multiple datasets  
706 from the same patient and chain together pipelines across modalities.

707

### 708 **Data availability**

709 Summary tables include sequencing coverage, cfDNA tumor fractions, clone frequencies from SVs  
710 and SNVs, genomic features of defined clones and error rates per patient. Raw sequencing data  
711 will be available in dbGAP upon publication. Processed copy number calls and variant read counts  
712 in cfDNA will be available in Synapse (accession number syn25569736).

713

### 714 **Code availability**

715 The pipeline to process DLP+ scWGS is available at <https://github.com/mondrian-scwgs>.  
716 SIGNALS<sup>18</sup> was used for most plotting and scWGS analysis and is available at  
717 <https://github.com/shahcompbio/signals>. Clone copy number profiles at 10kb were computed using  
718 HMMClone (<https://github.com/shahcompbio/HMMclone>). The modified version of SVtyper for use  
719 with single cells and hybrid capture duplex sequencing is available at  
720 <https://github.com/marcjwilliams1/svtyper>.

721

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### 736 **Competing interests**

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760 **Figures**

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763 **Figure 2 cfDNA detection of SVs at baseline**

764 **Figure 3 Detecting clone-specific SVs in cfDNA**

765 **Figure 4 Clonal evolution of drug resistance in patients**

766 **Figure 5 Clone-specific transcriptional programs**

767 **Figure 6 Wright-Fisher modeling**

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769 **Supplementary Figure 1 Swimmer plot**

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774 **Supplementary Figure 6 Clonal evolution trajectories**

775 **Supplementary Figure 7 Validation using WGS of plasma**

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780 **Table S3 - Clone frequencies**

781 **Table S4 - Genomic features of clones**

782 **Table S5 - Error rates**

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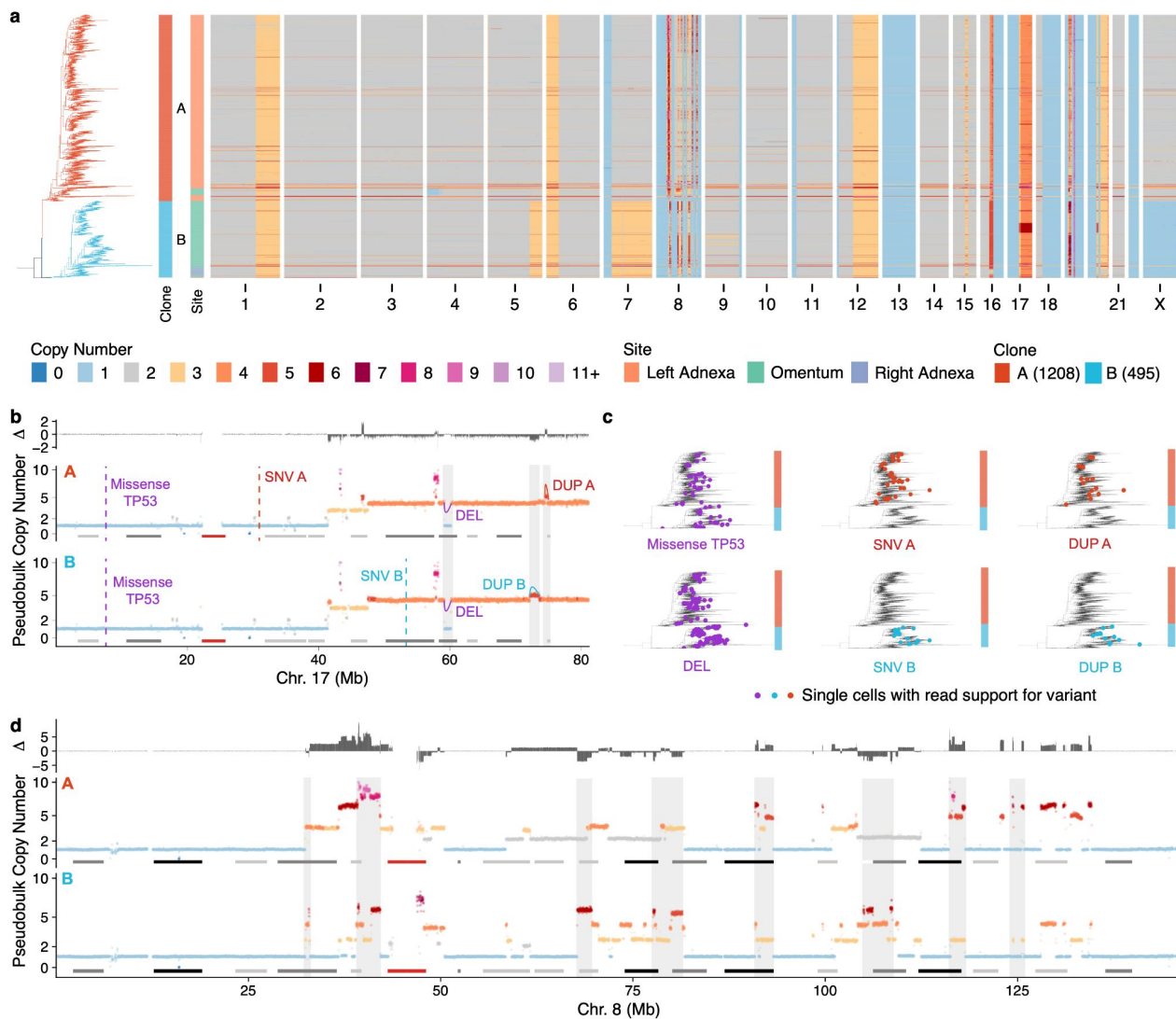
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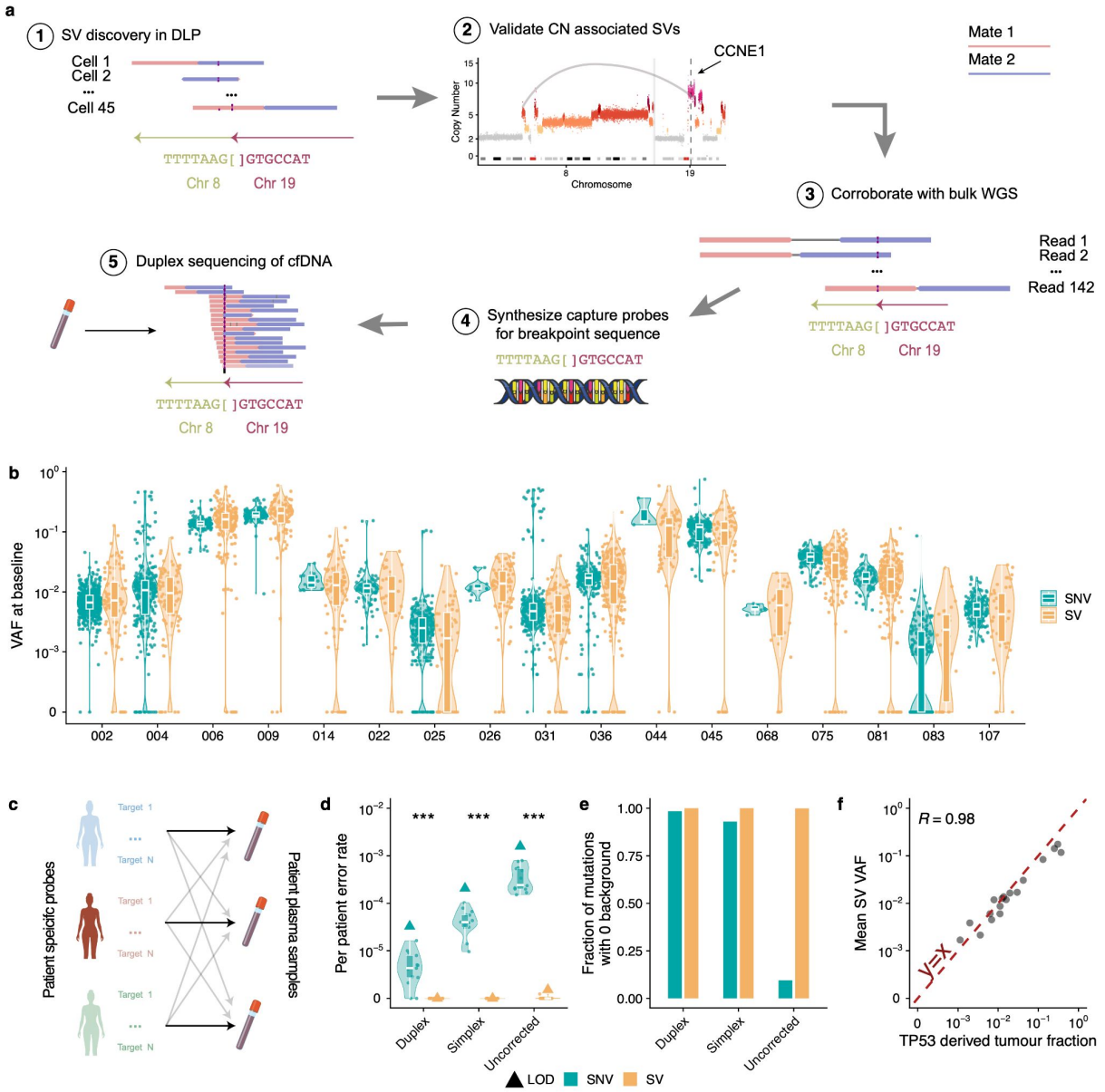
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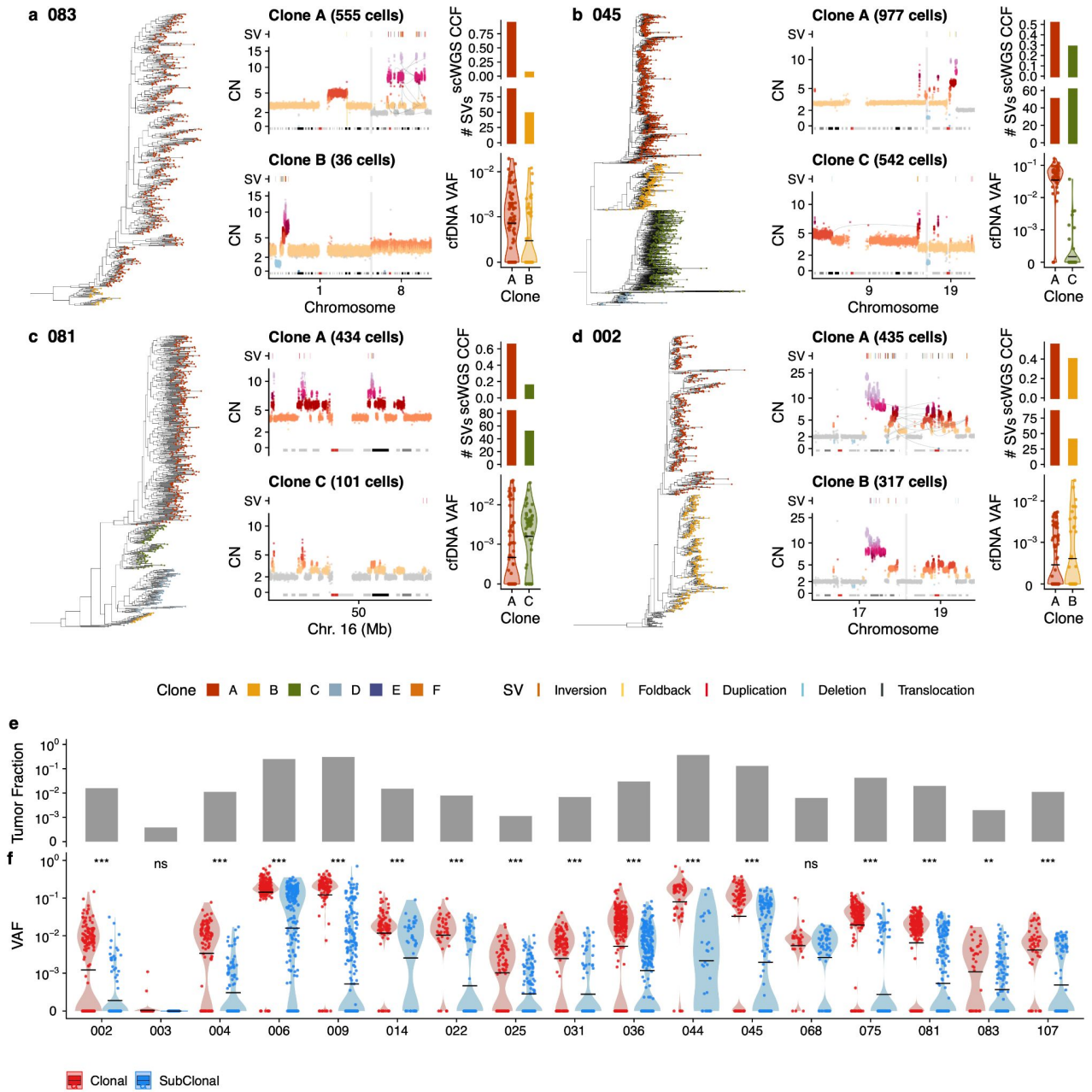
**Figure 1 Clone-specific mutations and structural variations in scWGS**

**a)** scWGS based copy number heatmap for patient OV-004. Each row is the copy number of a cell, cells are ordered according to a MEDICC2 computed single-cell phylogeny (shown on the left) **b)** Clone pseudobulk copy number at 10kb resolution for clone A and clone B in chr17. Truncal variants (*TP53* missense and deletion) are annotated in purple, clone specific duplications and SNVs are annotated in red and blue respectively **c)** Phylogenetic trees annotated with cells that have support for variants shown in panel **b)**. **d)** Clone pseudobulk copy number at 10kb resolution for clone A and clone B in chr8 showing different chromothriptic chromosomes. In **b)** and **d)** notable regions that are different between clones A and B are highlighted in gray.



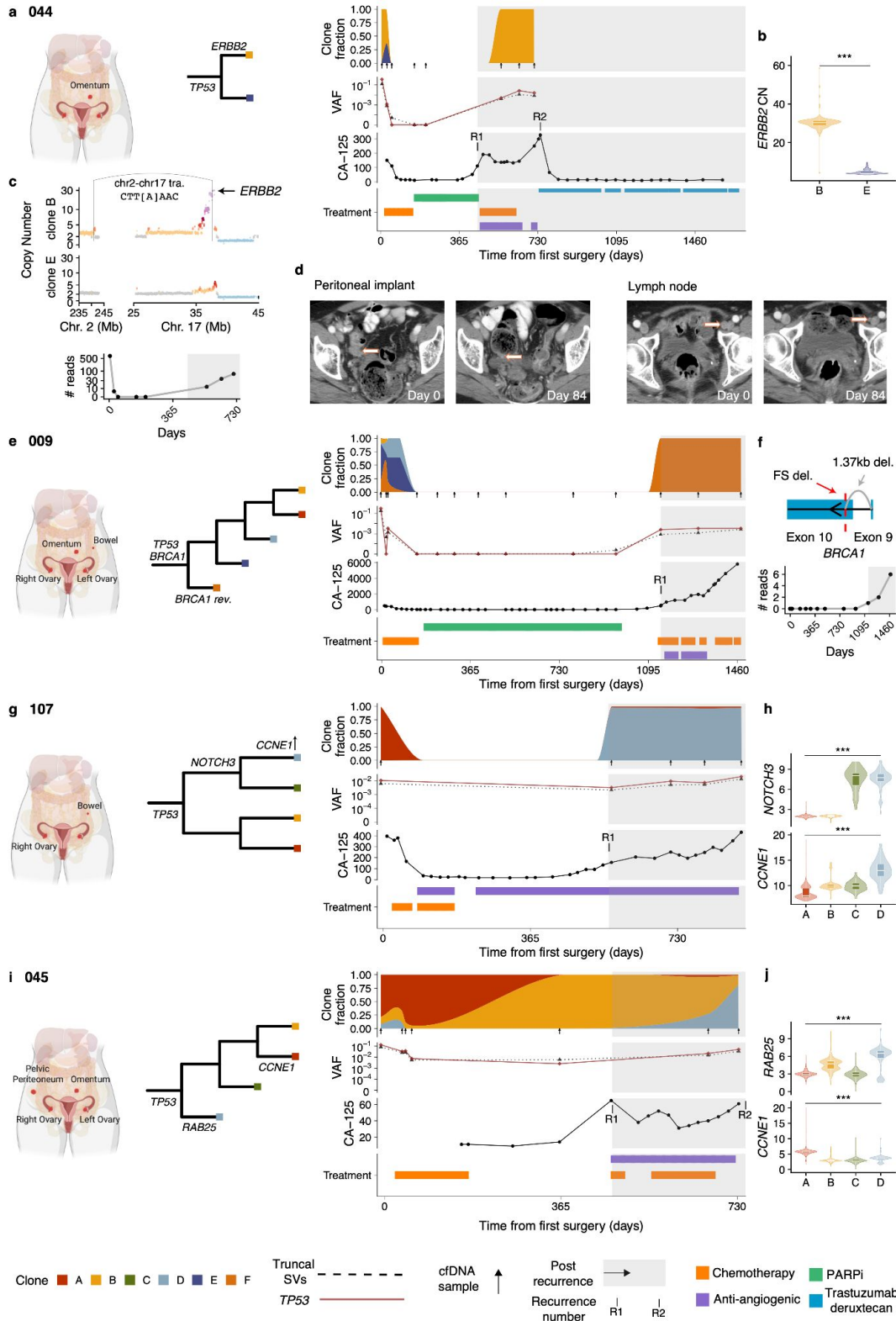
**Figure 2 Structural variants as highly specific markers of tumor DNA in cfDNA**

**a**) Schematic of workflow illustrated with a translocation between chr8 and chr19 identified in OV-107. **b**) Distribution of VAFs for SVs and SNVs in baseline samples **c**) Schematic showing how patient specific error rates are calculated by applying probe sets to off target patients **d**) average background error rates in duplex, simplex and uncollapsed sequences. Each violin/boxplot is a distribution over SVs/SNVs where each data point is the error rate for an individual patient. Triangles show limit of detection (LOD) defined as 2X the largest observed patient error rate **e**) Fraction of SNV/SVs that have 0 background ie no read support in incorrect patient **f**) Mean SV VAF vs Tumor fraction computed from TP53 VAF



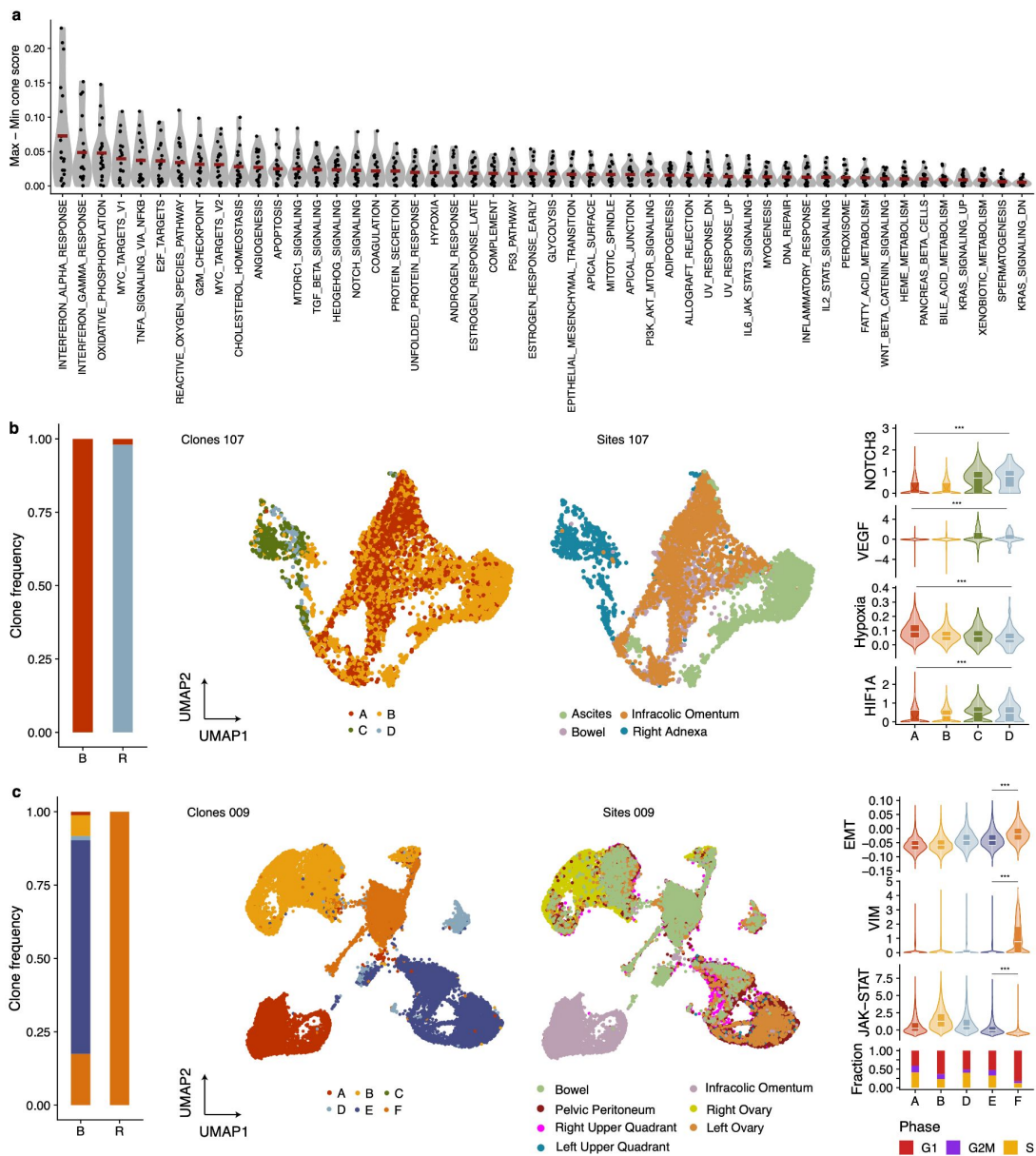
### Figure 3 Detecting clone-specific SVs in cfDNA

a)-d) Single cell phylogeny on left hand side with tips coloured by clone membership, zoom in on copy number profiles of chromosomes of interest that have clone specific structural variants driven by a mutational process, above each copy number profile, the location of SVs are shown, right hand side shows the CCF of the 2 clones of interest in DLP, the number of clone specific structural variants and the VAF of those clone specific SVs in cfDNA at baseline. Shown are chromothripsis in OV-083, breakage-fusion bridge in OV-045, tandem duplication towers in OV-081 and chromoplexy in OV-002. e) Tumor fraction in baseline samples inferred from TP53 mutation f) VAF of all structural variants at baseline in cfDNA stratified by clonality. Black horizontal line shows mean value.



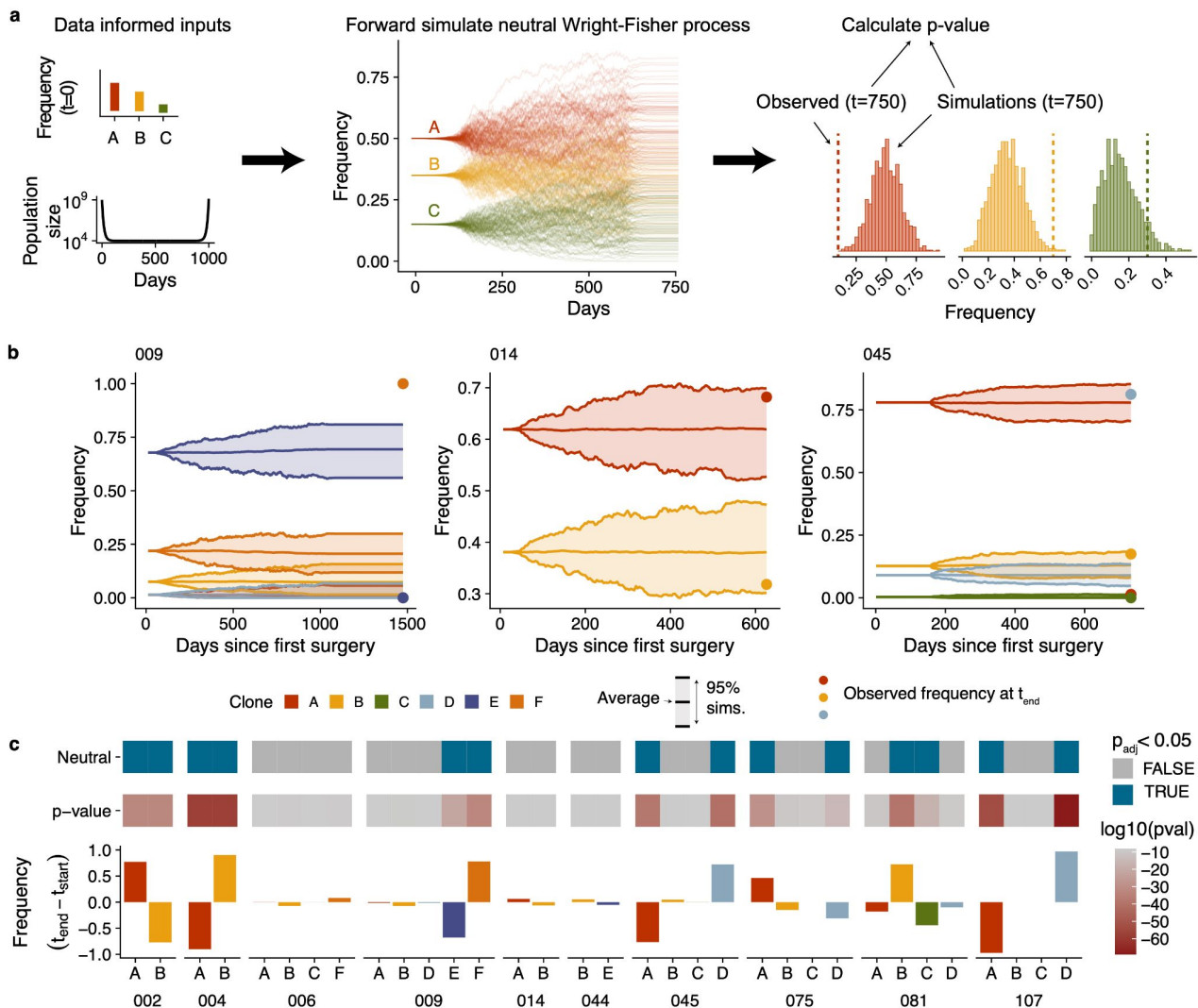
### Figure 4 Clonal evolution of drug resistance in patients

Clonal evolution tracking in 4 patients. a) Anatomical sites sequenced with DLP, a phylogenetic tree of the clones, then clonal fractions, mean truncal SV VAF and *TP53* VAF, CA-125 and treatment history over time for patient 044. Disease recurrences are annotated on the CA-125 track. b) *ERBB2* copy number in clone B vs E across cells c) Pseudobulk copy number of clones B and E at 10kb resolution in chromosomes 2 and 17. A translocation specific to clone E and implicated in the *ERBB2* amplification is highlighted. Below shows the read counts of this translocation across timepoints in cfDNA d) CT scan images from day 0 and day 84 from 2 sites. Orange/white arrows indicate site of disease e) Clonal tracking in patient 009, same as panel a). f) Diagram of mutations impacting the *BRCA1* gene: location of frameshift deletion shown with red dashed line, large 1.37kb deletion shown in gray. Number of reads supporting the 1.37kb deletion in cfDNA across time. g) Clonal tracking in patient 107, same as panel a). h) *NOTCH3* and *CCNE1* single cell copy number distribution across clones i) Clonal tracking in patient 045, same as panel a). j) *RAB25* and *CCNE1* single cell copy number distribution across clones



**Figure 5 Clone-specific transcriptional programs**

**a)** Hallmark pathway variability across genomically defined clones in scRNAseq data. Each data point represent the maximal pathway score difference between clones in each patient. Data from 20 patients included. **b)** From left to right, clone frequencies inferred from cfDNA at baseline (B) and recurrence (R) for OV-107. UMAPs labelled by sites and clone mapping (inferred using TreeAlign). Distribution of NOTCH3 expression, VEGF pathway, hypoxia and HIF1A across clones **c)** Clone frequencies inferred from cfDNA at baseline (B) and recurrence (R) for OV-009 UMAPs labelled by sites and clone mapping (inferred using TreeAlign). Distribution of EMT pathway, VIM expression, JAK-STAT pathway and fraction of cells in each cell cycle phase.



**Figure 6 Wright-Fisher modeling**

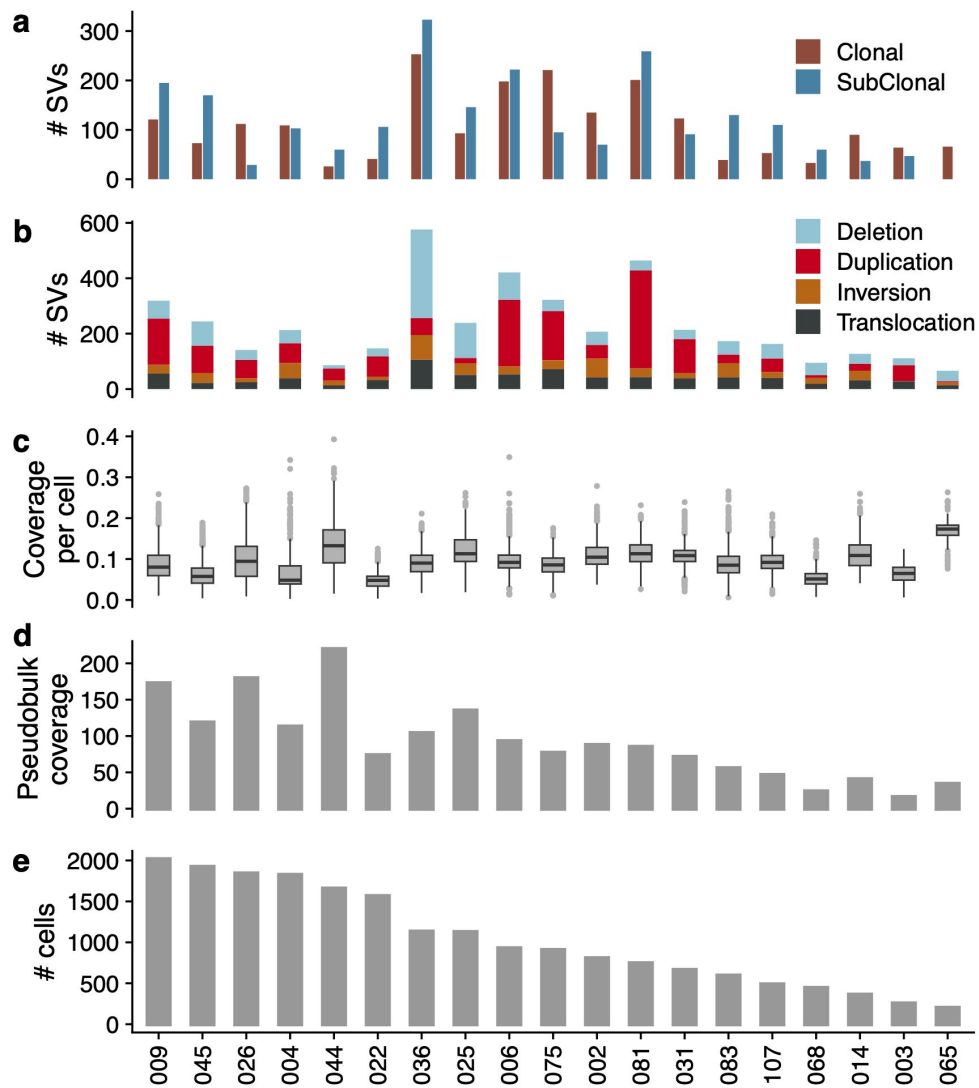
**a)** Summary of approach used to accept/reject neutrality. Frequency of clones at baseline and changes in cancer cell population informed by CA-125 levels are used as input to a neutral wright-fisher model with varying population sizes. For each sample, 1000 simulations are generated and then the distribution of frequencies at the final time point are compared to observed values. **b)** Example simulated trajectories and observed frequencies for 3 patients: 009, 014 and 045. 009 and 045 have clones that deviate from the expectations in a neutral model, while clones in 014 are consistent with a neutral model. **c)** Summary of the results of the Wright-Fisher simulation based test in 10 patients. From bottom to top: change in clone frequencies between baseline and the final timepoint which had evidence of ctDNA (in most cases the final timepoint samples), p-values per clone, neutral/non-neutral classification based on a cutoff of  $p(\text{adjusted}) < 0.05$ .



**Supplementary Figure 1**

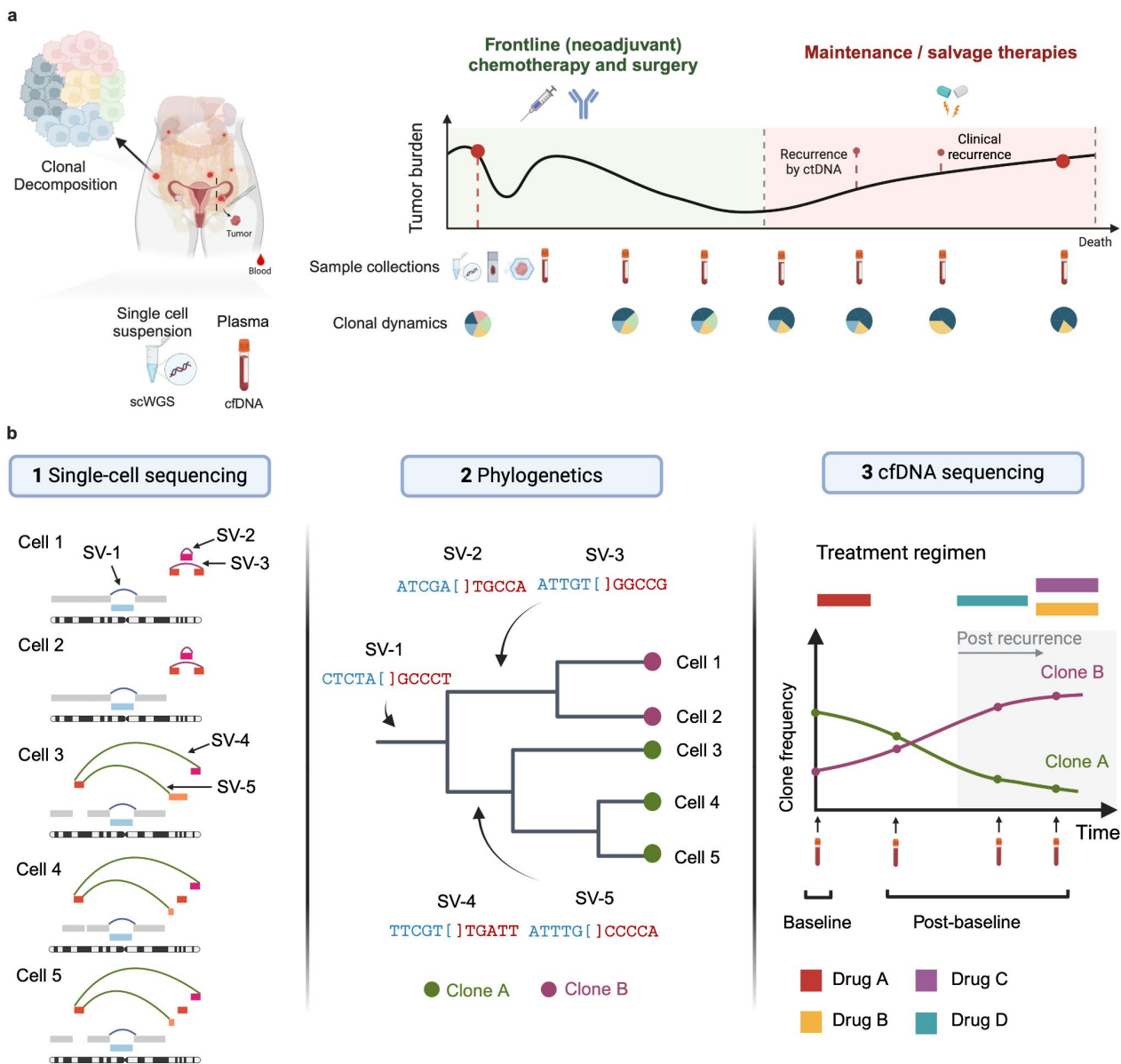
Swimmer plot showing clinical history of all 19 patients included in the study. Shown are survival status, therapies, surgeries time of first clinical recurrence and data generation timepoints. Days are relative to day of first surgery, ie Day 0 is the date of primary debulking or laparoscopic biopsy.





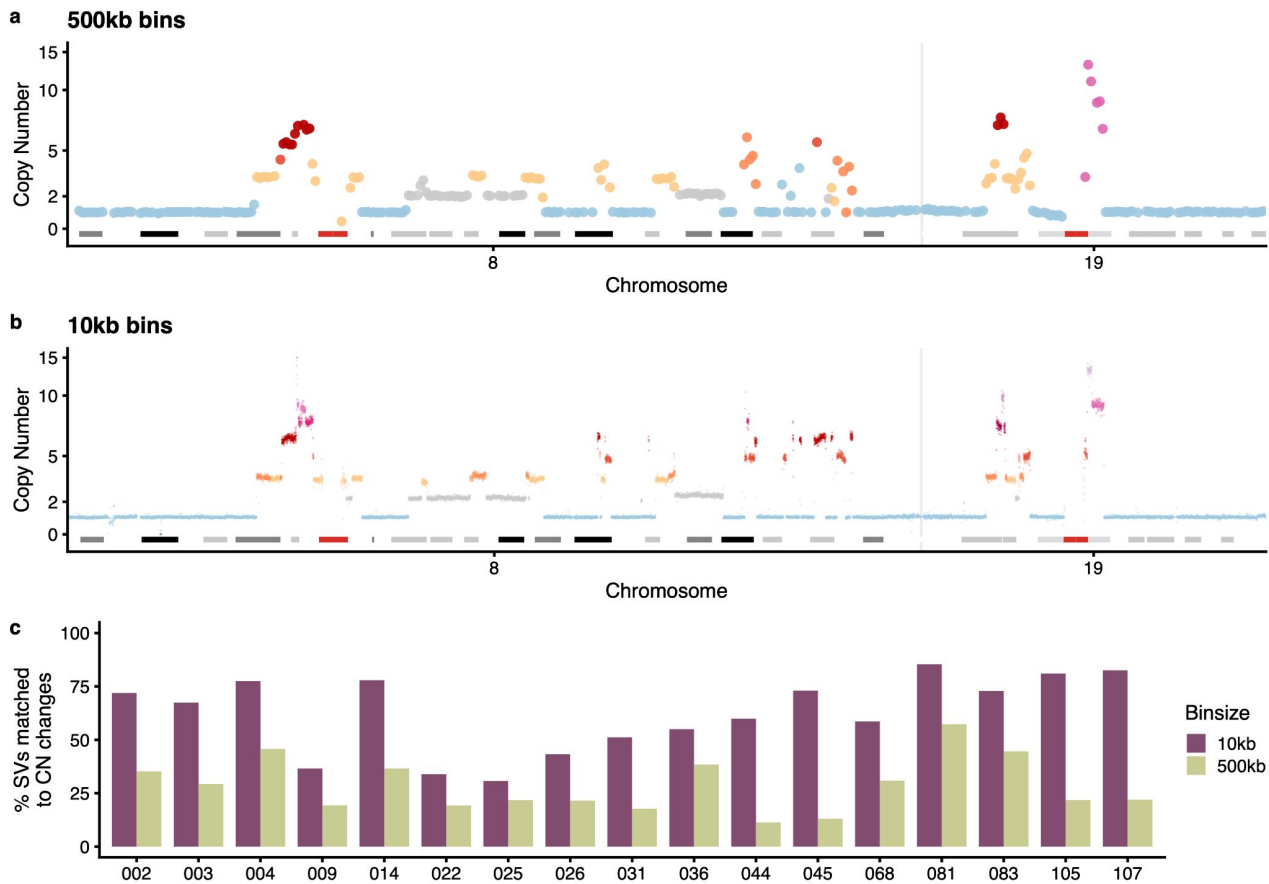
**Supplementary Figure 2**

a) Number of clonal and subclonal SVs per patient b) Total number of SVs called per patient by SV type c) Distribution of coverage per cell per patient d) Pseudobulk coverage per cell (summed coverage across all cells) e) Number of high quality cells per patient



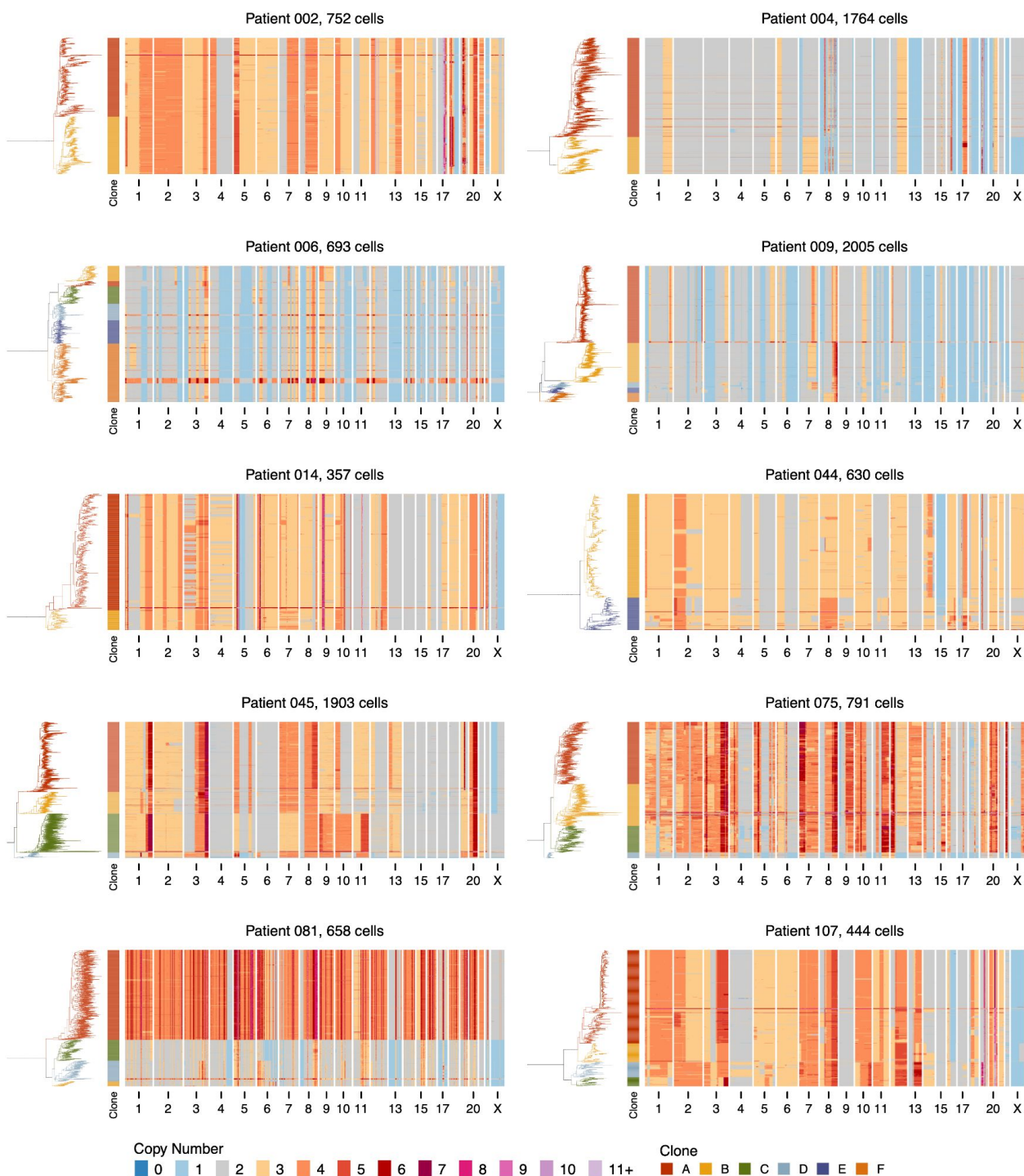
**Supplementary Figure 3**

a) Study summary, showing typical clinical history of HGSOc patient, specimen sample collection protocol. b) Workflow showing clonal evolution tracking using structural variants identified in single-cell whole genome sequencing and assigned to clones using single-cell phylogenetics. These clone specific SVs are then followed in cfDNA using deep duplex error corrected sequencing.

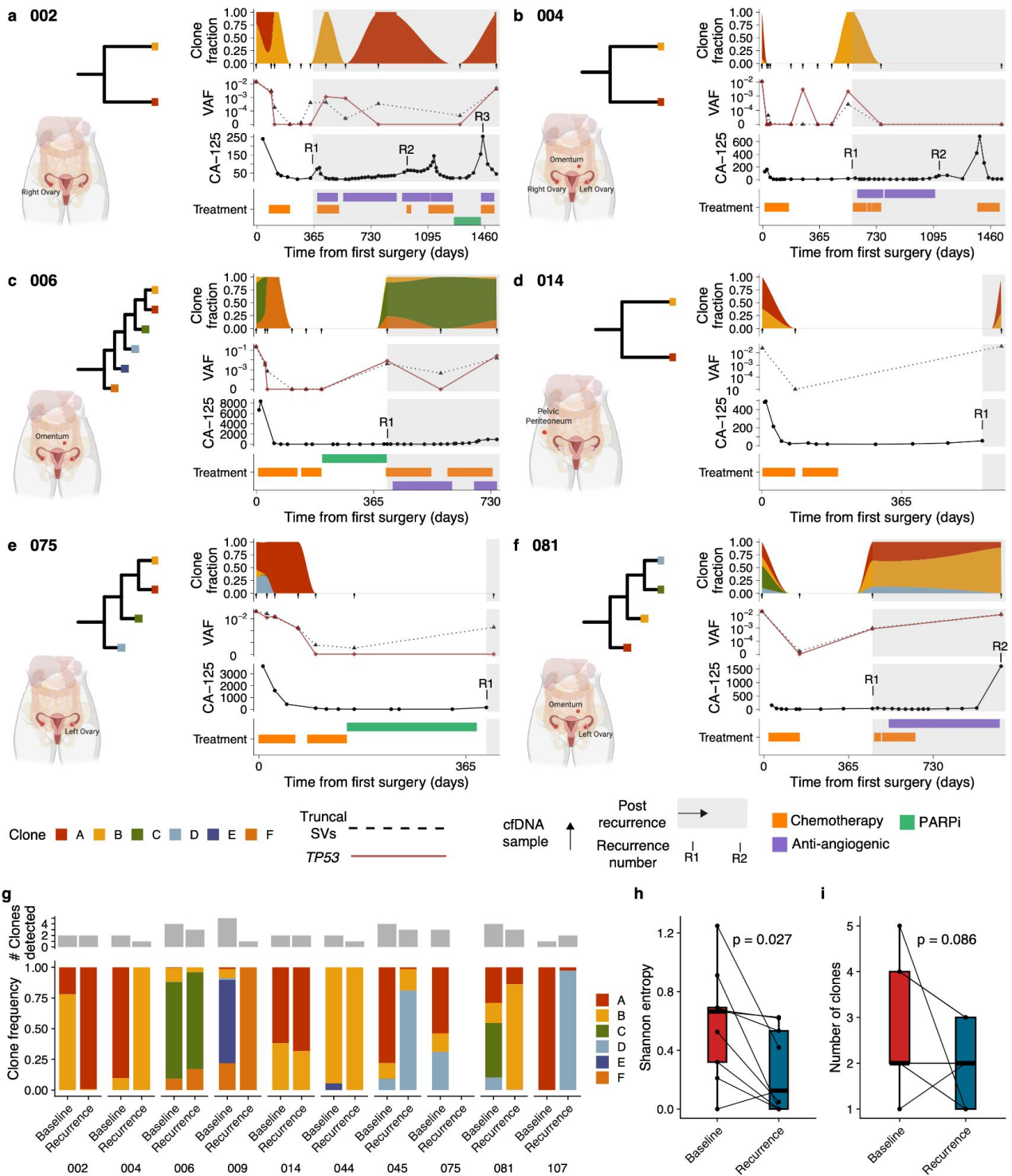


**Supplementary Figure 4**

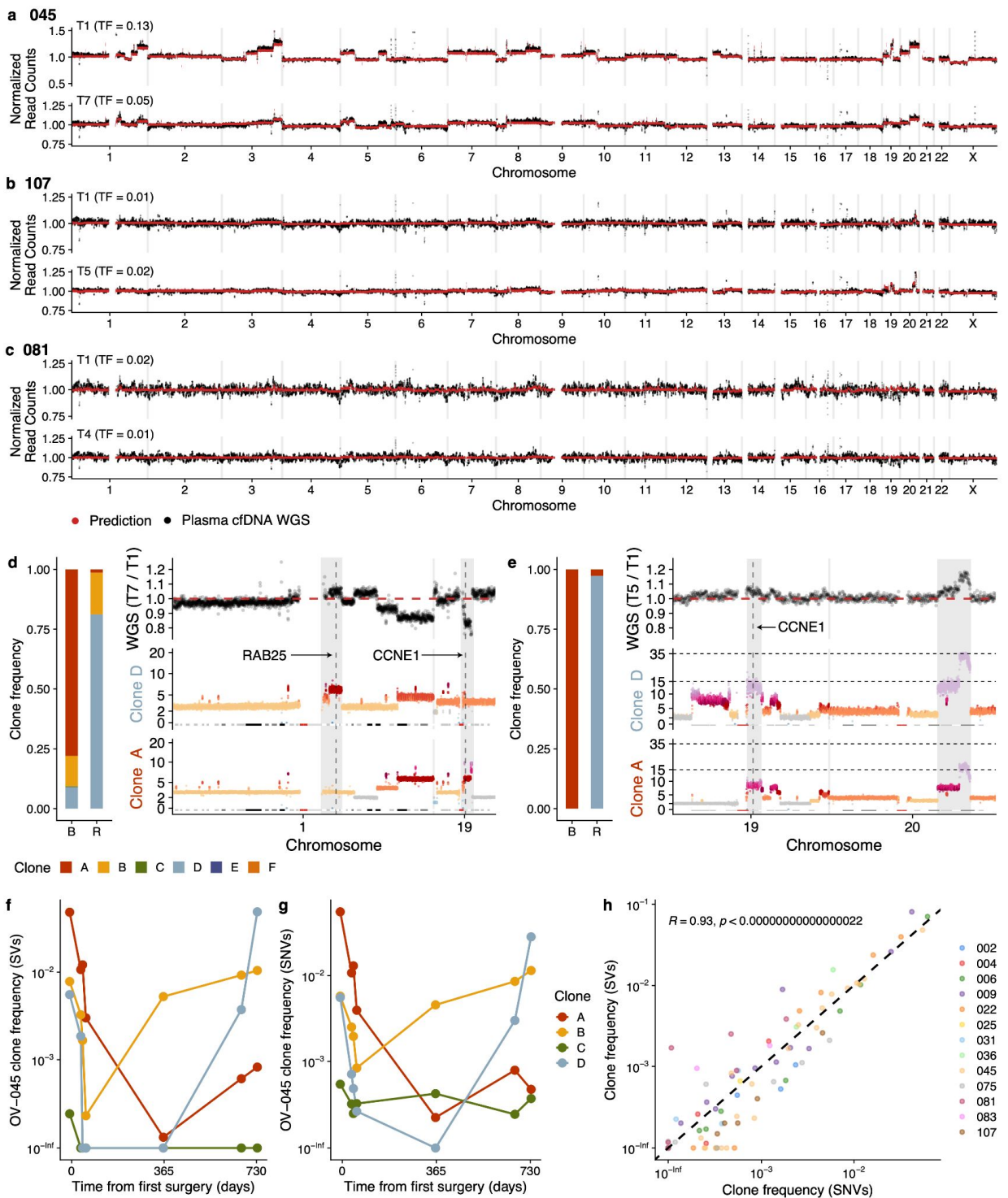
Copy number plots of chromosome 8 and 19 from OV-004 using 500kb bins a) and 10kb bins b). c) proportion of SVs that could be matched to copy number transitions at 10kb and 500kb bins



**Supplementary Figure 5** scWGS copy number heatmaps and phylogenetic trees for the 10 patients with longitudinal tracking data. The title of each plot gives the patient ID and the total number of cells. Each row shows the copy number profile of a cells, rows are ordered by the MEDICC2 derived phylogenetic tree shown on the left of each plot. Trees are coloured by clone assignments.



**Supplementary Figure 6** Clonal evolution tracking in 6 patients a-f). For each patient we show the anatomical sites sequenced with DLP, a phylogenetic tree of the clones, then clonal fractions, mean truncal SV VAF and TP53 VAF, CA-125 and treatment history over time. g) Summary of the clonal composition at baseline and recurrence (final time point if more than one post-recurrence time point) for 9 patients. h) Distribution of Shannon entropy at baseline and recurrence i) Number of clones detected at baseline and recurrence.



**Supplementary Figure 7** Normalized read counts at baseline and recurrence from whole-genome sequencing of cfDNA from 3 patients a)-c). Black dots are the data, red dots are predictions based on copy number profiles from DLP and inferred tumor and clone fractions from targeted sequencing. The text above each plot denotes the time point and the tumor fraction (TF) based on TP53 mutation. d) Zoom in on regions with high level amplifications in patients 045, left hand bar plots show the clone fractions at T1 and T7 then right hand side show copy number profiles of 2 most abundant clones from DLP at the bottom and ratio of normalized read counts of plasma WGS at T7 vs T1. Shaded areas highlight copy number amplification specific to one of the clones. e) Zoom in on regions with high level amplifications in patient 107. Clone frequencies over time calculated from SVs (f) and SNVs (g) for patient OV-045. c) Scatter plot of all clone frequencies calculated using SNVs and SVs, dashed line indicates y=x line. Included in this plot are clone frequency estimates from samples with purity > 0.1% and clones with at least 4 SVs and SNVs.