



Microstructure and Genetic Polymorphisms: Role in Motor Rehabilitation After Subcortical Stroke

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Background and Purpose: Motor deficits are the most common disability after stroke, and early prediction of motor outcomes is critical for guiding the choice of early interventions. Two main factors that may impact the response to rehabilitation are variations in the microstructure of the affected corticospinal tract (CST) and genetic polymorphisms in brain-derived neurotrophic factor (BDNF). The purpose of this article was to review the role of these factors in stroke recovery, which will be useful for constructing a predictive model of rehabilitation outcomes.

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Liu J and Wang C (2022) Microstructure and Genetic Polymorphisms: Role in Motor Rehabilitation After Subcortical Stroke. Front. Aging Neurosci. 14:813756. doi: 10.3389/fnagi.2022.813756 **Summary of Review:** We review the microstructure of the CST, including its origins in the primary motor area (M1), primary sensory area (S1), premotor cortex (PMC), and supplementary motor area (SMA). Damage to these fibers is disease-causing and can directly affect rehabilitation after subcortical stroke. BDNF polymorphisms are not disease-causing but can indirectly affect neuroplasticity and thus motor recovery. Both factors are known to be correlated with motor recovery. Further work is needed using large longitudinal patient samples and animal experiments to better establish the role of these two factors in stroke rehabilitation.

Conclusions: Microstructure and genetic polymorphisms should be considered possible predictors or covariates in studies investigating motor recovery after subcortical stroke. Future predictive models of stroke recovery will likely include a combination of structural and genetic factors to allow precise individualization of stroke rehabilitation strategies.

Keywords: cerebral infarction, corticospinal tract (CST), genetic polymorphisms, motor, rehabilitation

INTRODUCTION

Most stroke patients experience motor deficits (Johnson and Westlake, 2021) that adversely affect clinical outcomes and impair activities of daily living (Patel et al., 2020). The early prediction of motor outcomes is of great significance for improving prognosis and designing interventions. Many factors impact motor rehabilitation, including individual factors (e.g., age and sex) and disease severity (e.g., lesion location, lesion size, and white-matter tract integrity; Sterr et al., 2014). However, these traditional factors have many limitations in predicting rehabilitation outcomes

1

following subcortical stroke. The white-matter tract integrity of motor pathway in traditional factors is an important factor in directly assessing the prognosis of patient motor function (Liu et al., 2020). However, there are still some challenges in using a single white-matter tract integrity indicator to predict the recovery of motor function in clinical applications. Increasing evidence suggests that genetic polymorphisms may partially explain the frequently reported variability in individual responses to interventions for rehabilitation (Shiner et al., 2016). However, the relationship between genetic polymorphisms and the degree of motor rehabilitation is complex, therefore the single genetic factor also cannot comprehensively predict rehabilitation outcomes after subcortical stroke. Currently, several studies have found that a predictive model combining the microstructural integrity of the motor pathway in traditional factors and gene polymorphisms is significantly better than for models with only one factor for stroke patients (Chang et al., 2017).

The integrity of motor pathways (e.g., the corticospinal and cortico-rubro-spinal tracts) plays an important role in motor dysfunction and recovery after stroke (Kim et al., 2018; Guo et al., 2019; Zolkefley et al., 2021). The corticospinal tract (CST) is the most important motor output pathway and has been deemed the most common locus of motor deficits in subcortical stroke. CST fibers arise from the primary motor area (M1), the premotor cortex (PMC), the supplementary motor area (SMA), and the primary somatosensory area (S1; Schieber, 2007; Welniarz et al., 2017). Differential involvement of the CST plays an important role in determining the outcomes of motor rehabilitation following subcortical stroke.

Genetic polymorphisms that can affect neuroplasticity include those of brain-derived neurotrophic factor (BDNF), the dopamine neurotransmitter system, and apolipoprotein E (Stewart and Cramer, 2017). Since BDNF is the most important neurotrophin, BDNF polymorphisms play a key role in neuroprotection and motor recovery after subcortical stroke (Berretta et al., 2014; Balkaya and Cho, 2019).

The purpose of this article was to review the role of CST microstructure and BDNF polymorphisms in motor recovery after subcortical stroke, which will be useful for constructing predictive models of rehabilitation outcomes and thus for precise individualization of rehabilitation strategies.

MICROSTRUCTURE OF THE CST AFFECTS MOTOR RECOVERY

The CST is the most important motor output tract, and studies have found that its impairment affects motor rehabilitation (Schaechter et al., 2009; Radlinska et al., 2010). The CST can be reconstructed using diffusion tensor tractography (Mori and van Zijl, 2002), which can visualize the spatial relationship between the CST and infarct sites and the extent of CST damage. Studies have shown that if the CST is mostly or completely impaired by the infarct, nerve fiber tract damage is more serious, usually with partial or complete interruption, which correlates with poor recovery of motor function after treatment. In patients with infarcts near the CST, nerve fiber tract compression and deformation but no obvious damage is observed, and good motor function recovery is achieved after treatment. With no obvious relationship between the CST and the lesion, motor function involvement is not observed (Liu et al., 2020). Changes in diffusion tensor imaging (DTI) parameters can be used to observe the spatial relationship between the CST and infarct lesions, and the degree of CST damage observed predicts the prognosis of patients with subcortical stroke. DTI responds to the pathophysiological changes in the infarcted tissue, evaluates the degree of lesion tissue damage, and predicts clinical prognosis. One of the parameters accessed by DTI is fractional anisotropy (FA), a measure of microstructural integrity. A longitudinal study showed that the FA value in the affected CST changes dynamically, decreasing rapidly within 1 month after stroke, slowly decreasing from 1 to 3 months after stroke, and showing little change after three months (Yu et al., 2009). A significant correlation has been reported between FA in the CST and motor outcomes in patients with stroke (Stinear et al., 2007; Xue et al., 2021). Although M1 is thought to be the main origin of CST fibers, CST fibers also arise from the PMC, SMA, and S1 (Schieber, 2007; Welniarz et al., 2017). Considering the functional differences between these brain regions, CST fibers originating from these cortical regions may be responsible for different functions, and impairments of these fibers may result in distinct functional deficits. Therefore, some DTI studies have attempted to reconstruct the trajectories of CST fibers with different origins (Newton et al., 2006; Seo and Jang, 2013).

Considering the CST as a whole tract, cross-sectional studies have shown that decreases in the FA value of the CST (Liu et al., 2015) are related to motor recovery in subcortical stroke (Schaechter et al., 2009). In addition, the CST injury was also evaluated by other methods, involving the percentage of damage of CST fibers (Riley et al., 2011; Liu et al., 2020), raw- and weighted-CST lesion loads (Zhu et al., 2010). Liu et al. further found that the isotropy of M1 fibers was correlated with walking endurance and that of SMA fibers with motor dexterity in healthy adults. They also found that the early damage of CST originating from the M1 and SMA is closely associated with motor outcomes and brain structural changes in chronic stroke patients (Liu et al., 2020). These results suggest that the microstructures of the CST affect motor rehabilitation. Therefore, CST microstructure could be used to predict long-term motor outcomes, which is clinically important because the early prediction of motor outcomes is critical for designing suitable interventions for patients with subcortical stroke. Kim et al. (2021) indicated that CST microstructure was a significant predictor of improvement in chronic stroke survivors with mildto-moderate motor impairment. Lin et al. (2019) compared the prediction performance of four different CST injury methods and showed that CST injury explained $\sim 20\%$ of the variance in the magnitude of upper extremity recovery even after controlling for the severity of the initial impairment. Moreover, Burke Quinlan et al. evaluated CST injury by probing FA and the percentage of damage of CST fibers. They found that the best prediction in multivariate modeling was achieved using CST injury, and Lasso regression confirmed this result (Burke Quinlan et al., 2015).

However, at present, DTI research in cerebral infarction disease is still in the initial stage, mostly limited to small sample sizes and short- or medium-term research, thus lacking large sample sizes and long-term studies. Moreover, the data from related animal trials and clinical trials are still very limited. Therefore, some challenges remain in using a single CST indicator to predict the recovery of motor function in clinical applications.

BDNF POLYMORPHISMS AFFECT MOTOR RECOVERY

Cerebral infarction is a class of diseases in which braintissue ischemia and hypoxia cause cellular metabolic disorders, ultimately leading to neuronal degeneration and death. It has been shown that endogenous BDNF and its receptor, tyrosine kinase (Trk) B, are increased under conditions of pathological change after stroke (Endres et al., 2000). BDNF is a member of the neurotrophin family and the most abundant neurotrophin in the body. It is synthesized in the central and peripheral nervous systems and is important in neuronal survival, differentiation, metabolism, damage repair, and regeneration (McTigue et al., 1998). BDNF promotes the repair and regeneration of neurons, accelerates the release of synaptic transmitters, strengthens synaptic transduction, promotes axonal growth, and promotes sensory neuron development, all of great value in the play of nerve-cell function (Lu et al., 2003; Fletcher et al., 2018). Therefore, BDNF plays a key role in neuroplasticity and motor recovery after subcortical stroke. It has been reported that local cerebral ischemia and hypoxia in acute stroke increase the expression of BDNF-specific receptors to protect nerve cells from damage (Tejeda et al., 2019). These studies demonstrate that BDNF levels can be used as an important indicator of treatment effect and prognosis after subcortical stroke.

Furthermore, it has been shown that BDNF can cross the blood-brain barrier; BDNF levels in the serum can thus reflect the level of BDNF in the brain. Testing the serum BDNF levels of patients can objectively and effectively reveal the severity of acute stroke. Early studies reported that an increase in serum BDNF levels correlated with motor recovery (Luo et al., 2019). Salinas et al. (2017) found that lower serum BDNF levels were associated with an increased risk of incident stroke. Some studies have also found that reduced BDNF levels are associated with severe functional impairment during the acute phase of ischemic stroke (Lasek-Bal et al., 2015; Stanne et al., 2016). Further studies also showed a reverse relationship between brain tissue damage and BDNF levels in the serum. For example, Qiao et al. (2017) found that BDNF levels decreased with increased infarct volumes; that is, the lower the level of BDNF, the higher the degree of damage to brain tissue. This showed that BDNF was protective against cerebral infarction and involved in the occurrence and development of cerebral infarction. In addition, greater levels of BDNF are associated with lesser white matter hyperintensity and better visual memory (Pikula et al., 2013). Serum BDNF has also been associated with post-stroke depression, and low serum BDNF levels may indicate the development of depression in patients with acute ischemic stroke (Yang et al., 2011).

BDNF is widely expressed in the central nervous system (CNS) and plays an important role in neural differentiation and synaptic plasticity (Binder and Scharfman, 2004). Moreover, BDNF polymorphisms affect the process of neuroplasticity by affecting the expression levels of neurotrophic factors in the brain (Stewart and Cramer, 2017). Several studies have shown that the single-nucleotide polymorphism (SNP) val⁶⁶met (G189A or rs6265) of BDNF (Akbarian et al., 2018) is associated with stroke recovery (Shiner et al., 2016). Compared with stroke patients with the Val/Val gene, those with the Met gene had lower motor scores in the acute phase (7 days after stroke). However, the difference was not statistically significant 1 month after stroke (Mirowska-Guzel et al., 2012). Kim et al. (2012) found that stroke patients with the Met gene had lower motor scores than did those with the Val/Val gene in the subacute phase (4 months after stroke) but did not find similar results at 2 months after stroke. Some current findings have found that val⁶⁶met SNPs do not predict long-term functional outcomes in stroke patients (French et al., 2018). Liepert et al. (2015) observed 67 patients with ischemic stroke, and their results indicated that polymorphic BDNF was closely related to the recovery of function after ischemic stroke. These findings indicate that BDNF contributes to the recovery and maintenance of brain function following stroke.

Animal experiments can provide a deeper understanding of complex physiological and pathological phenomena. Animal studies have shown that BDNF levels dynamically change, gradually increasing after birth, reaching a stable level in adulthood (Silhol et al., 2005), and gradually decreasing with advancing age (Wang et al., 2019). In a rat cerebral infarction model, BDNF and TrkB were permanently reduced at the center of cerebral infarcts, while the immune response in the ischemic penumbra was increased (Ferrer et al., 2001). Some researchers have found that the neuronal survival rate decreases significantly when BDNF activity is inhibited by the TrkB-Fc fusion protein (Larsson et al., 1999). These studies suggest that endogenous BDNF may protect neurons from ischemic damage and function as an endogenous neuroprotective agent. The neuroprotective role of BDNF in stroke has been demonstrated in subsequent intervention studies using exogenous BDNF. Zhang and Pardridge (2001) treated a mouse model of cerebral infarction with exogenous BDNF and observed reduced infarct volume at both 24 h and 7 days after treatment vs. control groups. Kiprianova et al. (1999) showed that intravenous infusion of BDNF in a continuous mouse model of stroke prevented the death of hippocampal CA1 neurons. Jiang et al. (2011) found no significant reduction in infarct volume in a mouse model of stroke after nasal BDNF administration but did find improvement in neurological recovery compared with control groups. These findings confirm that exogenous BDNF is meaningful in the treatment of cerebral infarction and revealed that BDNF also plays an active role in the treatment of stroke. In addition, rat models with knockout of the BDNF val⁶⁶met single-nucleotide homolog (BDNF^{met/met}) showed

phenotypic characteristics similar o hose of humans (Chen et al., 2006). Compared with wild-type mice, *BDNF^{met/met}* mice exhibited severe motor impairment only at 7 days after stroke, but not at 2 weeks or 1 month (Qin et al., 2011). Additionally, *BDNF^{met/met}* mice showed reduced angiogenesis and elevated expression of thrombospondin-1 (TSP-1) and its receptor CD36, which are antiangiogenic factors. It has been suggested that the *Met* allele is associated with angiogenic deficits after stroke (Qin et al., 2011). These findings indicate that the relationship between BDNF polymorphisms and the degree of motor rehabilitation is complex and dependent on post-stroke stage. Therefore, combining brain microstructure findings with those from genetics can predict motor rehabilitation in stroke patients better than using a single index.

RELATIONSHIP AMONG CST INTEGRITY, GENETICS, AND PREDICTION MODELS OF MOTOR RECOVERY

From what has been discussed above, both CST integrity and BDNF genotype were shown to significantly influence to motor recovery of patients with stroke. Exploring the main factors that affect the efficacy of high-frequency repetitive transcranial magnetic stimulation to improve motor function in subacute stroke patients with moderate to severe upper extremity motor involvement, Chang et al. (2016) also found that the functional integrity of CST and BDNF genotype had the greatest impact on the improvement of upper extremity motor recovery. As well as, BDNF levels play a key role in white-matter microstructural plasticity (Lu et al., 2005; Jickling and Sharp, 2015). Luo et al. (2019) found that serum BDNF was positively correlated with FA in the CST in stroke patients with good motor recovery, whereas no such results were found in stroke patients with poor motor recovery. Park et al. found that in patients with stroke, FA in the ipsilesional CST was positively correlated with the motor outcome at 3 months in the presence of the Met genotype. These researchers concluded that the microstructural integrity of the intra-hemispheric tracts might be related to different processes of motor recovery dependent on the BDNF genotype (Park et al., 2020). Kim et al. (2016) also showed that poorer motor function was associated with higher radial diffusivity values in the CST for the Val/Met and Met/Met genotype groups in the early chronic stroke phase, which demonstrated that motor recovery in stroke patients might be affected by the BDNF val⁶⁶met polymorphism, possibly through its effects on the distinct pathological processes underlying CST degeneration. In general, direct and/or indirect relationships between microstructure and genes are suggested by these findings, but causal relationships of these two factors have not been established. Exploring the microstructure of the CST as a potential mediator between gene expression and motor recovery is an urgent priority for understanding the rehabilitation mechanisms operating after subcortical stroke.

Few studies have combined data on CST microstructure with data on BDNF polymorphisms for the prediction of motor rehabilitation after stroke. Chang et al. predicted motor outcomes at 3 months using patient characteristics, integrity of the CST, and BDNF genotype. They found that in all stroke patients, the independent predictors of motor outcome at 3 months were baseline upper-extremity motor impairment, age, stroke type, and CST integrity. Further, in the group with severe motor impairment at baseline, the number of Met alleles in the BDNF genotype was an independent predictor of stroke (Chang et al., 2017). These results indicate that in patients with subacute stroke, the prediction of post-stroke motor recovery using CST integrity could be improved by the addition of the BDNF genotype factor. Therefore, prediction models combining CST with BDNF genotype are better than models with only one factor for stroke patients with severe motor impairment. Although using this combination strategy in patients at different stroke stages requires further validation in clinical applications, this idea provides new perspectives on how to establish a prediction model of post-stroke motor rehabilitation in the future.

CONCLUSIONS

The microstructure of the CST and BDNF polymorphism status plays a key role in motor recovery after subcortical stroke. Future predictive models of stroke recovery are likely to include a combination of structural and genetic factors to determine patient-specific interventions for rehabilitation. These two factors are dynamic changes across different stages of stroke rehabilitation and may change depending on the amount of rehabilitation in patients with subcortical stroke. Moreover, their relationships and their predictive effect on stroke rehabilitation remain unclear. Linear mixed-effects model could be used to investigate the evolution of these two factors in a longitudinal dataset. Therefore, future studies should focus on verifying the roles of microstructure and genetic polymorphisms in motor rehabilitation in longitudinal designs with large samples, as well as on gene-knockout effects in mouse models of stroke to explore the relevant neurological mechanisms. These approaches will help to realize personalized rehabilitation strategies for stroke patients.

AUTHOR CONTRIBUTIONS

JL and CW contributed to conception and design of the study. JL wrote the first draft. All authors contributed to the article and approved the submitted version.

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