### **ORIGINAL ARTICLE**

OPEN ACCESS

Taylor & Francis

Taylor & Francis Group

# An analysis of perinatal factors of low T3 syndrome in preterm neonates with a gestational age of 28–35 weeks

Xin Lin<sup>a</sup> (), Xian Chen<sup>b</sup> and Chang-yi Yang<sup>a</sup> ()

<sup>a</sup>Department of Neonatal, Fujian Maternity and Child Health Hospital, Affiliated Hospital of Fujian Medical University, Fuzhou, China; <sup>b</sup>Department of Obstetrics, Fujian Maternity and Child Health Hospital, Affiliated Hospital of Fujian Medical University, Fuzhou, China

#### ABSTRACT

**Objective:** Low triiodothyronine syndrome (LT3S) is a common endocrine disease in preterm neonates. Various serious acute or chronic diseases result in LT3S. Few studies have investigated the causal relationship between perinatal factors and LT3S in preterm neonates with a gestational age (GA) of 28–35 weeks. The present study comprehensively analyzed the perinatal factors of LT3S in preterm neonates.

**Methods:** This was a retrospective study of neonates with and without LT3S from January 2018 to November 2019. Compared to 206 preterm neonates without LT3S, 158 neonates were diagnosed with LT3S, excluding neonates with congenital malformations, other endocrine diseases, genetic diseases and inherited metabolic diseases.

**Results:** Five perinatal risk factors for LT3S were confirmed using univariate and multivariate analyses: smaller gestational age, lower birth weight, respiratory distress syndrome (RDS), neonatal sepsis, and dopamine use.

**Conclusions:** LT3S in preterm neonates was associated with multiple perinatal factors, including smaller gestational age, lower birth weight, RDS, sepsis, and dopamine use. Preterm neonates with a GA of 28–35 weeks who are exposed to a series of high-risk perinatal factors must be closely observed, diagnosed early and treated for primary diseases promptly to reduce the occurrence of LT3S and improve the outcomes.

#### **KEY MESSAGE:**

- 1. Few studies have investigated the relationship between perinatal factors and Low triiodothyronine syndrome (LT3S) in preterm neonates with a gestational age (GA) of 28-35 weeks.
- 2. LT3S was associated with multiple perinatal factors, including smaller gestational age, lower birth weight, respiratory distress syndrome (RDS), sepsis, and dopamine use.

### **ARTICLE HISTORY**

Received 8 February 2021 Revised 16 September 2021 Accepted 21 September 2021

#### **KEYWORDS**

Low T3 syndrome; analysis; perinatal factor; preterm neonates; 28–35 weeks

# Introduction

Low triiodothyronine syndrome (LT3S) is a common endocrine disease in preterm neonates. Reichlin [1] first discovered LT3S in the serum of patients with advanced non-thyroid diseases. Various serious acute and chronic diseases result in LT3S [2,3]. It is become increasingly important to trace the perinatal factors of LT3S in preterm neonates. Because placental monocarboxylic acid transporter eight (MCT8) mRNA is significantly increased in intrauterine growth restriction (IUGR), and neonates with IUGR have foetal hypothyroidism and varying degrees of decreased FT3 and FT4 during the catch-up period of child growth and development [4,5]. Kobayashi found that children with severe asphyxia at birth had transient low thyroid hormone levels 24–48 h after birth. Serum FT3 and FT4 between 72 and 96 h after birth predicted the degree of asphyxia-induced brain damage in neonates [6]. A prospective experimental study confirmed that preterm neonates with respiratory distress syndrome (RDS) showed decreased T3 values on the fifth day after birth but no significant change in thyroid-stimulating hormone (TSH) values [3]. The relationship between perinatal factors, such as neonatal sepsis and prenatal dexamethasone (DXM) use, and LT3S in preterm neonates was discussed in some articles [7,8].

CONTACT Chang-yi Yang 😡 cyyang998@126.com 🝙 Department of Neonatal, Fujian Maternity and Child Health Hospital, Affiliated Hospital of Fujian Medical University, Dao Shan Road 18th, Gulou District, Fuzhou, China

B Supplemental data for this article can be accessed here.

© 2021 The Author(s). Published by Informa UK Limited, trading as Taylor & Francis Group

This is an Open Access article distributed under the terms of the Creative Commons Attribution License (http://creativecommons.org/licenses/by/4.0/), which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited.

However, whether these risk factors result in LT3S in preterm neonates with a gestational age (GA) of 28–35 weeks requires further comprehensive retrospective study.

Some studies have reported that a lack of sufficient thyroxine in preterm neonates could result in several adverse neonatal outcomes, including dyspnoea [9], feeding intolerance, hypocalcaemia, anaemia, poor neurodevelopment and cerebral palsy [10,11]. Therefore, a comprehensive investigation of the relationship between perinatal factors and preterm infants with and without LT3 syndrome was necessary.

### **Materials and methods**

# **Subjects**

A total of 364 preterm neonates at a GA of 28-35 weeks who were born in the Neonatal Department of Fujian Maternal and Child Health Hospital were included. The inclusion criteria were a GA less than 36 weeks and a diagnosis of LT3S between January 2018 and November 2019. All of the neonates in the LT3S group received levothyroxine treatment. Physiological doses of dexamethasone were used in some preterm neonates a few days before the start of labour to promote lung maturity and avoid the occurrence of RDS. Low T3 syndrome in preterm neonates was diagnosed as low T3 levels, low or normal T4 levels and normal TSH [12]. The GA of preterm neonates in the study was between 28 and 35 weeks. The exclusion criteria were preterm neonates with congenital hypothyroidism (who has high TSH, low free T4 or T3), congenital malformations, other endocrine diseases, genetic diseases and inherited metabolic diseases. All mothers were checked during pregnancy and delivered at our hospital, and mothers with incomplete pregnancy data were excluded. Mothers with Grave's disease and chronic thyroiditis were excluded in the study as well. For each neonate with LT3S, we selected 1 or 2 neonates without LT3S who were born at our hospital simultaneously and were matched in some demographic and neonatal characteristics (control group). Neonates in both groups were examined for thyroid function for the first time 5th-7th days after birth.

# Methods

The tested items were free triiodothyronine (FT3), free thyroxine (FT4), and TSH, and complete follow-up data were collected. FT3, FT4 and TSH levels were measured in peripheral venous blood samples (1–2 ml) using a chemiluminescence immunoassay (CLIA) (Siemens ADVIA Centaur XP) according to the manufacturer's protocol. LT3S in preterm neonates with a GA of 28–35 weeks was diagnosed according to an FT3 level below 2.30 pg/ml, an FT4 level between 0.89 ng/dl and 1.76 ng/dl, and a TSH level between 0.350 µIU/ml and 4.940 µIU/ml.

We collected data on maternal and neonatal factors. (I) Mother's characteristics were including maternal age, parity, occurrence of premature rupture of membrane, turbid amniotic fluid, and placental abruption and postpartum haemorrhage. Gestational diabetes mellitus (GDM), hypertension in pregnancy, anaemia in pregnancy, and thyroid diseases in pregnancy were collected as maternal factors. (II) Neonatal characteristics: neonate sex and the occurrence of small for GA and foetal distress were collected. Pneumonia, GA, birth weight, RDS, and sepsis as factors of the neonatal neonates, and RDS, pneumonia and sepsis were diagnosed in the first week. The use of dopamine before the first examination of thyroid function and the use of dextromethorphan (DXM) before delivery were considered drug factors. Mothers who are at risk of preterm birth before GA of 35 weeks were given dexamethasone sodium phosphate injection at a dose of 5 mg/12h, and the usage was intramuscular injection with a course of 4 times in total.

# Statistical analyses

Statistical analyses were performed using SPSS Statistic version 26.0 (IBM Corp, Armonk, NY). Continuous data were analyzed using *t*-tests, and cateqorical variables were analyzed using the  $x^2$  test or binary logistic regression analysis. Chi-squared tests were used to determine the association between LT3S in preterm neonates with a GA of 28-35 weeks and possible perinatal risk factors in univariate analysis. Fisher's exact test was used when the variable was only found in a small number of preterm neonates (n < 5). Binary logistic regression analysis was performed to estimate the relationship between LT3S in preterm neonates with a GA of 28-35 weeks and perinatal risk factors found on univariate factor analysis. Odds ratios (ORs) were used to measure the risk factors for LT3S in preterm neonates with a GA of 28–35 weeks, and bivariate correlation coefficients were used to estimate whether there was a positive or negative relationship. Results with p values <.05 were considered statistically significant.

# Results

We included 364 preterm neonates with a GA of 28–35 weeks, including 158 neonates with LT3S (LT3S group) and 206 neonates without LT3S (NO-LT3S group). The demographic characteristics of the neonates and mothers in both groups were not significantly different (p > .05), as shown in Table 1. The mean GA was  $30.8 \pm 1.8$  weeks in the LT3S group, the mean birth weight was  $1.451 \pm 282.5$  g, and the mean FT3 value was 1.89 ng/dl. The mean GA was  $33.3 \pm 1.6$  weeks in the NO-LT3S group, the mean birth weight was 1.89 ng/dl. The mean birth weight was 2.72 ng/dl. Most cases of LT3S occurred in neonates with a GA between 28 and 32 weeks (72.15%) and a birth weight of <1500 g (63.29%).

Chi-squared tests showed that only seven perinatal factors were significantly different (p < .05). Analyses of maternal factors revealed that GDM, hypertension in pregnancy, anaemia in pregnancy, and thyroid diseases were not related with LT3S in neonates (p > .05). Neonatal factors, including smaller gestational age, lower birth weight, RDS, sepsis, and pneumonia, showed significant differences (p < .05). Preterm

**Table 1.** Relationship between perinatal factors and low T3syndrome in prematurity (28–35weeks).

Variables	LT3S	NO-LT3S	p Value	
	( <i>n</i> = 158)	( <i>n</i> = 206)		
Mother's characteristics				
Mothers' age				
$\leq$ 35 years	119	154	.903*	
> 35 years	39	52		
Multiple pregnancy (Y/N)	57/101	61/145	.192	
Premature rupture of membranes (Y/N)	55/103	81/125	.378	
Turbid amniotic fluid (Y/N)	32/126	35/171	.426	
Placental abruption (Y/N)	24/134	25/181	.397	
Postpartum haemorrhage (Y/N)	5/153	4/202	.686	
Neonatal characteristics				
Male/female	86/72	111/95	.917*	
Small for gestational age (Y/N)	31/127	26/180	.069	
Foetal distress (Y/N)	34/124	39/167	.541	
Mother's factors				
GDM (Y/N)	37/121	49/157	.935	
Hypertension in pregnancy (Y/N)	20/138	39/167	.107	
Anaemia in pregnancy (Y/N)	66/92	77/129	.395	
Thyroid diseases in pregnancy (Y/N)	13/145	24/182	.284	
Neonatal factors				
Gestational age				
28–32 weeks	114	35	<.01	
32–33 weeks	32	83		
34–35 weeks	12	88		
Birth weight				
<1500 g	100	19	<.01	
1500–2499 g	57	167		
≥ 2500 g	1	20		
RDS (Y/N)	43/115	18/188	<.01	
Pneumonia (Y/N)	146/12	162/44	<.01	
Sepsis (Y/N)	36/122	15/191	<.01	
DXM (Y/N)	154/4	180/26	<.01	
Dopamine (Y/N)	52/106	24/182	<.01	

GDM: gestational diabetes mellitus; RDS: respiratory distress syndrome; DXM: dexamethasone; Y: YES; N: NO.

\*Overall x<sup>2</sup>test.

neonates with a GA of 28–35 weeks exposed to DXM and dopamine had a high probability of LT3S (Table 1).

We combined multiple perinatal factors and performed a collinearity analysis (VIF < 3) to determine definite independent risk factors. ORs were used to measure the positive or negative correlations among risk factors and the occurrence of LT3S in preterm neonates with a GA of 28-35 weeks. Binary logistic regression analyses revealed a positive relationship between LT3S in preterm neonates with a GA of 28-35 weeks and perinatal factors, such as smaller gestational age, lower birth weight, RDS, sepsis, and dopamine use (Table 2). The use of DXM before delivery and pneumonia was not different in the binary logistic regression analyses (p > .05). Comparison of the ORs of the perinatal factors revealed that the OR of sepsis-induced LT3S was the highest (OR = 2.50, p = .04), and the OR for lower birth weight-induced LT3S was the lowest (OR = 0.03, p < .01). The explanatory power of the model was 81.9% (Table 2).

# Discussion

T3 supports central nervous system development by regulating gene expression, neuron migration and differentiation, axon growth, dendrite development and synapse formation during the formation of cerebral nerves [13]. Inadequate thyroid hormone secretion is closely related to growth defects, poor neurological outcomes in preterm neonates [14], and cerebral palsy in adults [16]. Previous studies examined perinatal factors for congenital hypothyroidism [15] or only a few risk factors for LT3S in preterm neonates [7,8]. We found a relationship between LT3S in preterm neonates with a GA of 28–35 weeks and multiple perinatal factors, including factors involving the mother, neonatal neonates and drugs.

The immaturity of the thyrotropic axis adds complexity to its interpretation particularly in very preterm

**Table 2.** Binary logistic regression models investigating the relationship between exposure to multiple perinatal factors and low T3 syndrome in premature neonates.

						95% CI for OR	
Variables	В	S. E	Waldx <sup>2</sup>	p Value	OR	Lower	Upper
RDS	0.902	0.41	4.80	.029	2.46	1.10	5.52
Pneumonia	-0.197	0.44	0.20	.656	0.82	0.35	1.96
Sepsis	0.918	0.45	4.23	.040	2.50	1.04	6.00
Dopamine	0.894	0.37	5.85	.016	2.44	1.19	5.04
DXM	0.108	0.71	0.02	.880	1.11	0.28	4.48
Gestational age	-0.286	0.11	6.81	.009	0.75	0.61	0.93
Birth weight	-3.578	0.65	30.78	<.01	0.03	0.01	0.10
Constant	14.516	3.37	18.59	.000			

RDS: respiratory distress syndrome; DXM: dexamethasone.

neonates with a GA of less than 28 weeks. This phenomenon relates to the initial adaptation to critical illness, which is a decrease body temperature and decrease metabolism. Preterm neonates with GA less than 28 weeks were not included in this study. We did not routinely examine thyroid functions in preterm neonates with a GA of more than 36 weeks in the neonatal department, and preterm neonates with a GA of 36–37 weeks were not included in the study.

Because of the immature hypothalamic-pituitary-thyroid axis of preterm neonates, who exhibit a smaller gestational age and lower birth weight, the extent of TSH increases, and the peak point reached by T4 and T3 decreases accordingly [4]. We also found that neonates with smaller gestational age and lower birth weights had increased incidences of LT3S in preterm neonates with a GA of 28-35 weeks. Preterm neonates who were smaller gestational age and had lower birth weight were more likely to have multiple severe diseases, and changes in the iodine-removing pathway caused decreased serum T3 and T4 levels [2]. We confirmed that low total T3 levels were associated with multiple serious illnesses. Although there were no differences between the mother factors and the incidence of LT3S in preterm neonates, a series of neonatal factors were identified. Neonates with sepsis may have intracellular sugar utilization disorders, and neonates with increased cortisol levels showed reduced 5' deiodinase activity and a reduced conversion rate of T4 to T3 in peripheral tissues [7]. These preterm neonates were also less likely to have LT3S. We found that pneumonia was not different between the two groups because pneumonia was less likely to increase cortisol levels and reduce the conversion rate of T4 to T3 in peripheral tissues, except severe pneumonia. We did not include severe pneumonia. RDS positively correlated with the incidence of LT3S and resulted in increased serum interleukin-6 (IL-6) and tumour necrosis factor alpha (TNF- $\alpha$ ) levels [17,18]. Filippiha found that the use of dopamine in very low birth weight neonates led to a reduction in the levels of TSH, FT3, and FT4 [19]. A mouse model [20] showed that the reduction in thyroid hormone levels affected the central dopaminergic nervous system activity of mice, reduced the D1 dopamine function of the nigrostriatal pathway, inhibited neurotransmitter transmission, and caused the mice to appear indifferent to a new environment. We confirmed the positive risk (OR = 2.44) of dopamine use resulting in LT3S in preterm neonates with a GA of 28-35 weeks, and we suggest that the use of dopamine be reduced in preterm neonates at risk of LT3S. The use of DXM to treat postpartum lung diseases in preterm neonates with a GA less than 28 weeks inhibited the release of TSH and reduced the conversion of T4 to T3 in peripheral tissues. The FT3 level dropped significantly [8], the FT4 level increased [21], and these levels returned to normal once DXM treatment was stopped. In our study, although univariate analysis found a significant difference in the use of DXM before delivery between the two groups, there were no differences in binary logistic regression analysis after correction for confounding factors (p = .88) in preterm neonates with a GA of 28–35 weeks.

FT3 promotes the movements of the intestine and functions of the digestive glands. Previous research showed that intestinal peristalsis was reduced with insufficient secretion of FT3, and excessive bacterial growth occurs, which increases the likelihood of NEC [7,22]. FT3 participates in the regulation of substance metabolism and promotes the decomposition of bone matrix proteins [10]. The reduction in FT3 also affected haemoglobin synthesis in the neonatal period [11,22] and may result in anaemia.

One of the advantages of our study is that it involved a large number of neonates, including neonates with a GA of 28–35 weeks over a period of almost 2 years. Another advantage is that this study was a single-centre study, which minimizes differences between the groups. The primary diseases of all preterm neonates diagnosed with LT3S were treated in a timely manner, and the FT3 levels eventually returned to normal.

One limitation of our study is that it was a retrospective study of preterm neonates with a GA of 28–35 weeks over a period of 2 years. Therefore, we will make efforts to perform prospective research to determine definite perinatal factors for LT3S in preterm neonates (GA  $\geq$  36 weeks or GA < 28 weeks) and full-term neonates in the future. Long-term follow-up and comparisons of neurological outcomes of neonates in the LT3S and NO-LT3S groups throughout childhood are necessary, and we will track these data continuously.

# Conclusions

LT3S in preterm neonates is a complex syndrome associated with multiple perinatal factors, including smaller gestational age, lower birth weight, RDS, sepsis, and dopamine use. Therefore, preterm neonates with a GA of 28–35 weeks exposed to a series of highrisk perinatal factors must be closely observed, diagnosed early and treated for primary diseases promptly to reduce the occurrence of LT3S and improve the outcomes.

# Acknowledgement

The authors want to thank the hospital Head of the Neonatal Department, Fujian Maternity and Child Health Hospital for their permission and also the nurses and medical officers who were working during the study period in the NICU Fujian Maternity and Child Health Hospital for their support. This study was carried out without grant.

## **Ethics approval**

Ethics Review Board: Fujian Maternity and Child Health Hospital, Approval Number: 2020YJ191

#### **Disclosure statement**

The authors declare that they have no conflict of interest.

### Funding

This study was supported by the 2020 Provincial Special Subsidy Funds for Health of Fujian Province (Mincaizhi [2020] No. 467(2019Y0101)). The funding sources had no role in the study design, data collection, data interpretation, data analysis, or writing of this manuscript. None of the authors received any form of payment.

### ORCID

Xin Lin (b) http://orcid.org/0000-0002-8294-3154 Chang-yi Yang (b) http://orcid.org/0000-0002-1614-9809

# Data availability statement

Access to data is regulated by Chinese law. Data are available from the Fujian Maternity and Child Health Hospital for researchers who meet the criteria as required by the Chinese law for access to confidential data. The authors confirm that the data supporting the findings of this study are available within the article and its supplementary materials.

# References

- Reichlin S, Bollinger J, Nejad I, et al. Tissue thyroid hormone concentration of rat and man determined by radiommunoassay: biologic significance. Mt Sinai J Med. 1973;40(3):502–510.
- [2] Maiden MJ, Torpy DJ. Thyroid hormones in critical illness. Crit Care Clin. 2019;35(2):375–388.
- [3] Korkmaz G, Özçetin M, Çağ Y, et al. Thyroid function in healthy and unhealthy preterm newborns. Afr Health Sci. 2018;18(2):378–383.
- [4] Kratzsch J, Pulzer F. Thyroid gland development and defects. Best Pract Res Clin Endocrinol Metab. 2008; 22(1):57–75.
- [5] Chan SY, Franklyn JA, Pemberton HN, et al. Monocarboxylate transporter 8 expression in the

human placenta: the effects of severe intrauterine growth restriction. J Endocrinol. 2006;189(3):465–471.

- [6] Kobayashi A, Usuda T, Wada M, et al. Thyroid function in asphyxiated newborns who received hypothermia therapy. Pediatr Int. 2018;60(5):433–437.
- [7] Kurt A, Aygun AD, Sengul I, et al. Serum thyroid hormones levels are significantly decreased in septic neonates with poor outcome. J Endocrinol Invest. 2011; 34(4):e92–e96.
- [8] Arai H, Goto R, Matsuda T, et al. Relationship between free T4 levels and postnatal steroid therapy in preterm infants. Pediatr Int. 2009;51(6):800–803.
- [9] Lencu C, Alexescu T, Petrulea M, et al. Respiratory manifestations in endocrine diseases. Clujul Med. 2016;89(4):459–463.
- [10] Wassner AJ. Congenital hypothyroidism. Clin Perinatol. 2018;45(1):1-18.
- [11] Bernal J, Guadaño-Ferraz A, Morte B. Perspectives in the study of thyroid hormone action on brain development and function. Thyroid. 2003;13(11): 1005–1012.
- [12] Farwell AP. Nonthyroidal illness syndrome. Curr Opin Endocrinol Diabetes Obes. 2013;20(5):478–484.
- [13] Ares S, Quero J, de Escobar GM. lodine balance, iatrogenic excess, and thyroid dysfunction in premature newborns. Semin Perinatol. 2008;32(6):407–412.
- [14] van Wassenaer AG, Westera J, Houtzager BA, et al. Ten-year follow-up of children born at <30 weeks' gestational age supplemented with thyroxine in the neonatal period in a randomized, controlled trial. Pediatrics. 2005;116(5):e613–e618.
- [15] Abdelmoktader AM. Risk factors for congenital hypothyroidism in Egypt: results of a population case-control study (2003–2010). Ann Saudi Med. 2013;33(3): 273–276.
- [16] Langouche L, Jacobs A, Van den Berghe G. Nonthyroidal illness syndrome across the ages. J Endocr Soc. 2019;3(12):2313–2325.
- [17] Varvarigou AA, Thomas I, Rodi M, et al. Respiratory distress syndrome (RDS) in premature infants is underscored by the magnitude of Th1 cytokine polar-ization. Cytokine. 2012;58(3):355–360.
- [18] Bacci MR, Leme RC, Zing NP, et al. IL-6 and TNF- $\alpha$  serum levels are associated with early death in community-acquired pneumonia patients. Braz J Med Biol Res. 2015;48(5):427–432.
- [19] Bacci MR, Leme RC, Zing NP, et al. Dopamine infusion and hypothyroxinaemia in very low birth weight preterm infants. Eur J Pediatr. 2004;163(1):7–13.
- [20] Amer T, David R, Oberfield SE. Necrotizing enterocolitis and hypothyroidism in a newborn infant: treatment with intravenous L-thyroxine. Am J Perinatol. 1994;11(1):30–32.
- [21] Martin CR, Van Marter LJ, Allred EN, et al. Developmental epidemiology network. Antenatal glucocorticoids increase early total thyroxine levels in premature infants. Biol Neonate. 2005;87(4):273–280.
- [22] Hou MQ, Wang ZJ, Hou KZ. Influence of hypothyroidism on pregnancy outcome and fetus during pregnancy. Zhonghua Liu Xing Bing Xue Za Zhi. 2016; 37(5):722–724.