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Mechanism of small heat shock protein client sequestration and induced polydispersity

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34 **ABSTRACT**

35 Small heat shock proteins (sHSPs) act as first responders during cellular stress by recognizing and
36 sequestering destabilized proteins (clients), preventing their aggregation and facilitating downstream
37 refolding or degradation¹⁻³. This chaperone function is critically important to proteostasis, conserved across
38 all kingdoms of life, and associated with various protein misfolding diseases in humans^{4,5}. Mechanistic
39 insights into how sHSPs sequester destabilized clients have been limited due to the extreme molecular
40 plasticity and client-induced polydispersity of sHSP/client complexes⁶⁻⁸. Here, we present high-resolution
41 cryo-EM structures of the sHSP from *Methanocaldococcus jannaschii* (*mj*HSP16.5) in both the apo-state
42 and in an ensemble of client-bound states. The ensemble not only reveals key molecular mechanisms by
43 which sHSPs respond to and sequester client proteins, but also provides insights into the cooperative
44 nature of chaperone-client interactions. Engagement with destabilized client induces a polarization of
45 stability across the *mj*HSP16.5 scaffold, proposed to facilitate higher-order assembly and enhance client
46 sequestration capacity. Some higher-order sHSP oligomers appear to form through simple insertion of
47 dimeric subunits into new geometrical features, while other higher-order states suggest multiple
48 sHSP/client assembly pathways. Together, these results provide long-sought insights into the chaperone
49 function of sHSPs and highlight the relationship between polydispersity and client sequestration under
50 stress conditions.

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54 INTRODUCTION

55 Protein aggregation can result from chemical or environmental stressors (e.g., heat or cold, oxidative
56 damage, pH changes) and is a hallmark of many age-related and neurodegenerative diseases such as
57 Alzheimer's disease (β -amyloid and tau aggregation), Parkinson's disease (huntingtin aggregation), as
58 well as age-related cataract (lens crystallin aggregation) and cancers^{9–16}. Prevention of irreversible protein
59 aggregation in cells is mediated by a proteostasis network comprising chaperones, proteases, and other
60 co-chaperones and regulatory proteins. During cellular stress, small heat shock proteins (sHSPs) act as a
61 front line defense to prevent proteotoxic aggregation by detecting and sequestering partially unfolded,
62 aggregation-prone proteins (aka, clients) through an ATP-independent chaperone 'holdase' function^{17–19}.
63 During stress recovery, sHSP/client complexes can interact with and deliver clients to ATP-dependent
64 refolding chaperones such as the HSP70 system^{3,20,21}. In addition to stress-induced activation or
65 upregulation, mutations in human sHSPs are also associated with multiple protein aggregation diseases,
66 making them intriguing pharmacological targets^{9–13}. However, the large and heterogeneous nature of
67 these complexes, along with the promiscuous client interactions typical of sHSPs, has limited mechanistic
68 insights into their 'holdase' function.

69

70 Many sHSPs possess a high-degree of structural plasticity and undergo mechanisms of client-induced
71 polydispersity characterized by variable sHSP-to-client stoichiometries^{7,22}. At the subunit level, sHSPs are
72 relatively small proteins (~12–43 kDa) with a conserved tripartite domain organization, composed of a
73 central alpha-crystallin domain (ACD) that is well-conserved and flanked by a variable N-terminal domain
74 (NTD) implicated in client-interactions and a flexible C-terminal domain (CTD) involved in sHSP
75 oligomerization²³. Many sHSPs form dimers that may further assemble into large oligomeric structures
76 (200–800+ kDa) through multivalent intra- and inter-protomer domain interactions. The resulting cage-like
77 sHSP oligomeric assemblies are conserved across all kingdoms of life, along with other morphologies such
78 as disc-like oligomers, fibrillar assemblies, as well as low-order dimer/tetramer forms—showcasing the
79 diversity of the sHSP structural landscape^{24–36}.

80

81 The first high-resolution structure of an oligomeric sHSP was that of *mj*HSP16.5, from the thermophilic
82 archaeon *Methanocaldococcus jannaschii*, which forms an octahedrally symmetric cage (~12 nm in
83 diameter) composed of twelve ACD dimers tethered by canonical ACD–CTD interactions²⁴. In this
84 structure, the NTD (residues 1–32) implicated in oligomer assembly and client-interaction was completely
85 unresolved, attributed to the flexibility or disorder of this region. However, the structure suggested that the
86 NTD resides inside the caged assembly. Subsequent studies have proposed multiple conformations of the
87 NTD—including α -helical regions—and have resolved a portion of the NTD of *mj*HSP16.5^{37,38}. These
88 observations reflect the broader underlying structural plasticity of sHSPs that supports their efficient

89 'holdase' function, that is further mediated by subunit exchange dynamics and oligomeric polydispersity,
90 effectively coupling these structural adaptations to functional demands during stress responses^{35,39,40}.

91

92 Here, we used single-particle cryo-electron microscopy (cryo-EM) to unveil structural details of both the
93 underlying molecular plasticity of *mjHSP16.5* in the apo-state, as well as capturing an ensemble of higher-
94 order oligomeric states displaying a variety of configurational features that are induced by the sequestration
95 of heat-destabilized lysozyme, a model client. Structural and mutational analysis, coupled with biophysical
96 and functional characterizations, suggests that multimodal interactions involving the NTD, particularly
97 conserved hydrophobic regions, along with flexible CTD tethering, play critical roles in sHSP assembly,
98 plasticity, and client sequestration. The cryo-EM data suggest a 'polarized assembly' mechanism, enabling
99 the sequential recruitment of additional dimer/client units facilitated by a localized destabilization of the
00 sHSP scaffold that is proposed to enable cooperative mechanisms of client sequestration. Together, these
01 findings provide new structural models for sHSP-mediated proteostasis under stress conditions, shedding
02 a critical light on how these complexes could be targeted in diseases associated with protein misfolding.

03

04 **RESULTS AND DISCUSSION**

05 *Structural plasticity of the mjHSP16.5 apo-state and the NTD*

06 For structural and functional characterization, *mjHSP16.5* was recombinantly expressed in bacterial cells
07 and purified to homogeneity without genetic tags or other modifications (**Extended Data Fig. 1** and
08 **Methods**). To assess structural effects of temperature-induced activation of thermophilic *mjHSP16.5*, the
09 purified apo-state oligomers were incubated at either 37° C (apo-37, inactive state) or 75° C (apo-75,
10 activated state) prior to vitrification for cryo-EM studies. Both conditions yielded consensus 3D
11 reconstructions with similar overall features, exhibiting a ~12 nm cage-like assembly of 24 subunits,
12 consistent with previous studies^{24,37,41,42} (**Fig. 1a-e** and **Extended Data Fig. 2-4**).

13

14 The twelve dimeric building blocks exhibit the canonical $\beta 5$ - $\beta 7$ loop exchange architecture characteristic
15 of non-metazoan sHSPs, along with ACD-groove/CTD-IXI tethering interactions between neighboring
16 dimers, supporting an overall octahedral symmetry. Asymmetric reconstructions revealed a mix of
17 disordered and helical densities within the cage cavity and lining the inner ACD surface attributed to the
18 NTD. Using multi-class *ab initio* model generation and heterogeneous 3D classification, we identified two
19 similar yet distinct cage morphologies in the apo-37 dataset. Three-dimensional variability analysis (3DVA)
20 revealed principal component modes indicating stretching and expansion of the outer cage-like scaffolding,
21 accompanied by rearrangement of the NTD (**Fig. 1a** and **Extended Data Fig. 3**). Further classification and
22 refinement with octahedral symmetry yielded a contracted-state at 2.50 Å resolution and an expanded-
23 state at 2.35 Å resolution (**Extended Data Fig. 3**; **Extended Data Table 1**; **Supplemental Movies 1 and 2**).
24 For both states, strong NTD density was resolved inside the cage and lining the inner ACD surface (**Fig.**

25 1b). The apo-75 dataset showed a similar octahedral 24-meric caged assembly resolved at 2.86 Å
26 resolution, with NTD density buried within the cage interior and displayed similar stretching and expansion
27 variability modes (Extended Data Fig. 4).

28

29 Atomic models were constructed for each of these states, encompassing the complete ACD, CTD, and
30 most of the NTD (residues 11–32) (Fig. 1b-e; Extended Data Fig. 3-4; Extended Data Table 1;
31 Supplemental Movie 1). Although some differences in the NTDs were observed among the apo-state
32 models (Fig. 2b-d), the overall topology is shared, consisting of a helix-turn-helix-turn-β-sheet structure,
33 denoted as α1 (residues 11–19), α2 (residues 21–24), and β0 (residues 30–32). The NTD forms extensive
34 inter- and intra-domain interactions. Dimeric building blocks exhibit domain-swapped interactions involving
35 α1, with stabilizing salt bridges formed between charged residues Glu12 and Lys16 (Fig. 1c,d). The semi-
36 helical α2 region exhibits intra-dimer hydrogen-bonding potential between Thr21 residues of opposing
37 subunits (Cβ distance 3.1 Å in the apo-37 expanded state) (Fig. 1d). Pro22 is adjacent (< 5 Å) to the inner
38 surface of the ACD, lining an "ACD/NTD pocket" formed by Phe42, Pro44, and Pro100 at the ACD dimer
39 interface (Fig. 1e). Additional stabilizing Van der Waals contacts occur between Met28 (chain A) and Phe19
40 (chain B) (Cγ distance 4.5 Å in the apo-37 expanded state, Extended Data Fig. 3).

41

42 At the subunit level, the expanded and contracted states from the apo-37 dataset are highly similar over
43 the ACD and CTD domains (Cα root-mean-square deviation (r.m.s.d.) = 0.3 Å; Fig. 2a), with the most
44 significant variation localized to the NTD (Cα r.m.s.d. reaching 1.5 Å). The domain-swapped α1 segment
45 maintains regular helical structure in both states, but intra-molecular distances are displaced ~0.8 Å in the
46 expanded state compared to the contracted state (Fig. 2b). The apo-75 consensus model is also similar to
47 the apo-37 states but exhibits a distinct NTD arrangement (Fig. 2c,d). The α1 separation at Lys16 of apo-
48 75 is ~9.3 Å versus 9.5–10.3 for the contracted and expanded apo-75 states, respectively (Fig. 2c) The
49 density for the α1 segment, particularly around Phe18 and Phe19, is notably weaker in the apo-75 model,
50 likely reflecting enhanced flexibility at this site (Extended Data Fig. 4).

51

52 Assessment of asymmetric 3D reconstructions showed the α1 domain-swap and 'ACD/NTD pocket'
53 interactions are uniformly adopted throughout the oligomer. However, 3D reconstructions extracted from
54 3DVA identified additional asymmetric NTD interactions involving the unmodeled distal region (residues 1–
55 10). These interactions are dynamically remodeled within the inner cavity during oligomer expansion and
56 contraction, suggesting multiple transient interactions between NTDs (Extended Data Fig. 3). Together,
57 these NTD interactions appear to support both dimer stability and higher-order oligomer assembly, further
58 supporting the notion that sHSP NTDs are highly dynamic and facilitate sHSP oligomer plasticity. This
59 concept is reinforced by the structure of the plant HSP16.9, where 6 of 12 NTDs were resolved, which also
60 implicate this domain in facilitating long-range stabilization across the oligomeric structure²⁵.

61

62 Interestingly, temperature-dependent activation of *mj*HSP16.5 at 75° C resulted in relatively minor
63 structural rearrangements compared to the apo-37 models, in contrast to other higher-order sHSPs that
64 undergo gross morphological changes in response to temperature^{7,40,43}. This suggests *mj*HSP16.5
65 activation at higher temperatures is primarily facilitated by enhanced subunit exchange dynamics⁴⁴, which
66 were too transient to be captured in our cryo-EM dataset.

67

68 *Conserved phenylalanine-rich regions of the NTD mediate oligomeric assembly and stability*

69 The distal NTD region of *mj*HSP16.5 (residues 1-11) forms the so-called ‘aromatic region’, rich in Phe
70 residues. The α 1 motif corresponds to the ‘conserved region’, where several Phe residues are also
71 localized and spaced 3-4 residues apart. These Phe residues (F2, F7, F11, and F15, F18, F19) are highly
72 conserved across sHSPs from diverse species, including humans (Fig. 2e). It has been proposed that
73 these Phe-rich regions provide a favorable environment for non-specific transient interactions, enhancing
74 heat stability and supporting the structural plasticity necessary for chaperone function^{45,46}.

75

76 To interrogate the role of these conserved Phe residues, three variants were generated to replace Phe
77 with Ala: F15A (*mj*-1x), F15/18/19A (*mj*-3x), and F2/7/11/15/18/19A (*mj*-6x). The F15A variant (*mj*-1x)
78 targets the middle of the NTD α 1 helical region, positioned between potential π -stacking partners F11,
79 F18, and F19. The *mj*-3x and *mj*-6x variants eliminate Phe residues throughout the ‘conserved’ region and
80 all NTD Phe residues, including both the unresolved ‘aromatic’ region and the α 1 ‘conserved’ region,
81 respectively (Fig. 2e). The *mj*-1x and *mj*-3x variants were purified in high-yields; however, the *mj*-6x variant
82 exhibited diminished solubility and stability, resulting in low yields and limiting some analysis. Deletion
83 constructs truncating the NTD at positions 20 and 32 (*mj*-NTD Δ 20 and *mj*-NTD Δ 32) were also attempted
84 but did not yield soluble protein during expression and were therefore not characterized.

85

86 Structurally, *mj*-1x and *mj*-3x variants formed oligomers approximately the same size as wildtype, as
87 determined by dynamic light scattering (DLS) at 75° C. The measured hydrodynamic radii (R_h ,
88 average \pm sem with n independent experiments) were 6.3 ± 0.2 nm (n = 3) and 5.6 ± 0.2 nm (n = 3),
89 respectively, compared to 6.0 ± 0.04 nm for the wild type (n = 3) (p = 0.18 and 0.11, respectively) (Fig. 2f).
90 In contrast, *mj*-6x exhibited a significantly larger R_h of 8.9 ± 0.6 nm (n = 3; p < 0.01; Fig. 2g) compared to
91 *mj*-wt. Of note, *mj*-6x R_h values were measured at 25° C due to the diminished heat stability, showing an
92 aggregation temperature (T_{agg}) \sim 60° C. In comparison, *mj*-1x and *mj*-3x remained soluble up to 85° C, the
93 highest temperature tested (Extended Data Fig. 1).

94

95 To gain more detailed morphological insights, negative stain EM (NS-EM) was performed on each of the
96 variants (Fig. 2g,h and Extended Data Fig. 1). Individual complexes were analyzed directly from raw

97 micrographs by extracting Feret diameters (D_{Feret}), describing the largest diameter of a particle⁸ (Fig. 2i).
98 The primary modes in the distribution of D_{Feret} measurements agree with R_h values measured by DLS,
99 corresponding to 11.9 nm for wildtype apo-state (wt-apo), 12.2 nm for *mj-1x*, and 10.7 nm for *mj-3x*.
:00 Additionally, significantly different degrees of polydispersity related to minor populations of larger
:01 complexes or clusters were detected ($p < 0.0005$, K-S test, Fig. 2i). The *mj-1x* and *mj-3x* particles exhibited
:02 the canonical spherical cage-like morphology of wt-apo, appearing as isolated particles and clustered
:03 oligomers. Oligomer clusters formed by *mj-wt*, *mj-1x*, and *mj-3x* reached sizes greater than 100 nm, while
:04 the constituent oligomers appeared to retain normal morphology, suggesting minimal disruption to the
:05 cage-like structure upon clustering (Fig. 2h). Intriguingly, *mj-6x* displayed ring-like structures with diameter
:06 of 21.3 ± 2.3 nm (average \pm sem), as well as smaller spherical particles and thin filamentous structures
:07 approximately 2 nm wide that formed extensive networks (Fig. 2g, inset and Extended Data Fig. 1),
:08 indicating a substantial impact on the native quaternary structure.

:09
:10 These results suggest the conserved Phe regions within the intrinsically disordered ‘aromatic region’ and
:11 ‘conserved region’ are critical for mediating canonical 24-mer assembly and oligomeric stability. The Phe-
:12 rich character of the ‘aromatic’ and ‘conserved’ regions in the NTD of sHSPs may support oligomeric
:13 condensation through the hydrophobic-effect, while facilitating oligomer plasticity through formation of
:14 multiple quasi-equivalent interactions. This is consistent with previous studies that show truncation of the
:15 ‘aromatic region’ of *mjHSP16.5* resulted in smaller oligomers⁴⁷, and mutation of the ‘conserved region’ of
:16 human α -crystallins (HSPB4 and HSPB5) alters oligomeric size, thermal stability, and chaperone
:17 activity^{48,49}.

:18 :19 *Client-induced polydispersity of mjHSP16.5*

:20 To investigate the chaperone function of *mjHSP16.5*, we established binding conditions that mimic early
:21 cellular stress conditions, where the client protein is destabilized (enhanced sampling partially unfolded
:22 states) but not yet aggregating. Hen egg lysozyme (14.3 kDa) was chosen as a suitable binding partner
:23 because its melting temperature (T_m) of approximately 75° C is well above the activation temperature of
:24 *mjHSP16.5* (~60° C), necessary for initiating the subunit exchange dynamics required for chaperone
:25 function^{44,50}. As controls, *mjHSP16.5* showed no chaperone protection against reduction-induced
:26 aggregation of lysozyme at 37° C, and lysozyme exhibited no observable heat-induced aggregation by
:27 DLS up to ~80° C (Extended Data Fig. 5). Binding assays were performed at chaperone-to-client ratios of
:28 12:1 and 2:1, with *mjHSP16.5* (wildtype and variants) with the client lysozyme held at 10 μ M. Mixed
:29 components were incubated at 75° C for 2 hours (*i.e.*, near the T_m of lysozyme but below T_{agg}), and R_h was
:30 monitored by DLS.

:31

:32 Wildtype chaperone/client (*mj-wt/lyso*) complexes displayed increased R_H values by DLS with exhibited
:33 expanded and elongated morphological features visualized by NS-EM, compared to the apo-state, that
:34 were more pronounced at the more saturating 2:1 chaperone-to-client ratio (Fig. 2f and Extended Data
:35 Fig. 5). The *mj-1x/lyso* and *mj-3x/lyso* 12:1 reactions yielded complexes of similar size to *mj-wt/lyso*, with
:36 R_h values of 6.2 ± 0.2 nm for *mj-wt/lyso* (n=4), 6.3 ± 0.2 nm for *mj-1x/lyso* (n=5), and 6.8 ± 0.4 for *mj-*
:37 *3x/lyso* (n=4) measured by DLS (Fig. 2f and Extended Data Fig. 5). At the more saturating 2:1 ratio, the
:38 formed complexes all displayed larger R_h values, including for *mj-wt/lyso* complexes (7.2 ± 0.4 , n=3), *mj-*
:39 *1x/lyso* (7.2 ± 0.4 nm, n=3) and *mj-3x/lyso* (8.5 ± 0.6 nm, n=3), although the differences between these
:40 variants were not significant ($p = 0.49$ and 0.07 , respectively). Stable complex formation was confirmed for
:41 all reactions by size-exclusion chromatography (SEC) and SDS-PAGE, which also demonstrated
:42 increased size and polydispersity following complex formation with lysozyme (Extended Data Fig. 5).

:43

:44 Single-particle size analysis by NS-EM allowed for direct visualization and more detailed quantitative
:45 analysis of the size and polydispersity of chaperone complexes induced by the sequestered client (Fig.
:46 2h,i and Extended Data Fig. 6). For *mj-wt* the primary D_{Ferret} mode increased from 11.9 nm in the apo-state
:47 to 13.9 nm and 14.4 nm at 12:1 and 2:1 chaperone:client ratios, respectively, consistent with R_h values by
:48 DLS. The *mj-1x* and *mj-3x* variants exhibited similar increases in D_{Ferret} upon lysozyme binding, reaching
:49 14.5 nm for *mj-1x* at both ratios, and 14.0 nm and 14.5 nm for *mj-3x* at 12:1 and 2:1 ratios, respectively. In
:50 all cases, the degree of polydispersity, reflected in the variation of size distributions for the lysozyme-bound
:51 complexes, was significantly different from that of the apo-states ($p < 0.0005$, KS test). Morphologically,
:52 client-bound states of *mj-wt*, *mj-1x*, and *mj-3x* exhibited expansion, elongation, and oligomer clustering,
:53 where clusters formed by *mj-3x* appeared larger and more amorphous, potentially reflecting the loss of
:54 stable NTD interactions.

:55

:56 *Cryo-EM resolved structures of polydispersed chaperone/client complexes*

:57 We next sought to characterize the client-bound states for wildtype *mjHSP16.5* formed with lysozyme at a
:58 12:1 chaperone-to-client ratio using cryo-EM. Under these conditions, the formed complexes were closer
:59 in size to the apo-state, suggesting a more tractable target for high-resolution analysis. After incubating
:60 the mixture at 75° C for 2 hours, the specimen was vitrified and subjected to cryo-EM data collection and
:61 single-particle analysis (Extended Data Fig. 7). Two-dimensional classification and multi-class *ab initio*
:62 model generation revealed the presence of a range of client-induced *mjHSP16.5* oligomeric states
:63 (Extended Data Fig. 7). Ultimately, five different structures were resolved at sufficient resolution to model
:64 the ACD and CTD regions, corresponding to the octahedral 24-mer (2.6 Å), asymmetric assemblies of a
:65 26-mer (3.7 Å), 32-mer (4.4 Å) and 34-mer (4.7 Å), as well as a symmetric 36-mer (4.0 Å, D3-symmetry)
:66 (Fig. 1f; Extended Data Fig. 7; Supplemental Movie 3). Notably, there was no evidence of monomer

:67 insertion/removal between the resolved oligomers, consistent with the dimer functioning as the primary
:68 unit of the *mj*HSP16.5 chaperone.

:69

:70 These client-bound states showcase the intrinsic ability of sHSPs to form diverse oligomeric assemblies
:71 using fundamental building blocks, where coupling through the flexible CTD facilitates formation of
:72 structurally distinct features (windows and axes) compared to the canonical 24-mer. The internal volume
:73 of the cage assemblies increases from the 24-mer to the 36-mer, presumably reflecting enhanced client
:74 sequestration capacity in higher-order oligomeric states (Fig. 1f). In all client-bound states, the internal
:75 density exhibited lower local resolution with unresolvable features, consistent with either extensive client
:76 unfolding in the sHSP-bound state or multiple transient binding interactions that prevented alignment for
:77 3D reconstruction.

:78

:79 The resolved 24-mer under these conditions closely resembled the apo-states, with comparable NTD
:80 densities and stretching/expansion modes of variability (Extended Data Fig. 7). Notably, the internal cavity
:81 of the 24-mer is nearly filled with density belonging to the NTD (~19 nm³ of non-NTD density), and thus
:82 appears incapable of sequestering clients as large as lysozyme (~14 kDa, ~22 nm³). It is therefore likely
:83 that this state represents an unbound population of *mj*HSP16.5 particles. This notion is further supported
:84 by the observation that the NTD in the higher-order oligomers becomes unresolved (Fig. 1f), consistent
:85 with an order to disorder transition that accompanies client sequestration, likely due to complex forms of
:86 client-interactions. However, even a modest transition to a 26-mer induces a dramatic increase of the
:87 internal non-NTD volume to ~100 nm³, sufficient to sequester this client. The concomitant increase in the
:88 cavity volume established by the formation of higher-order assemblies (34-mer and 36-mer) would be
:89 expected to facilitate higher capacity client binding, enabling a single sHSP complex to sequester multiple
:90 clients or clients of variable size. Indeed, the non-NTD volume inside the 36-mer (~140 nm³) would be
:91 sufficient for sequestering up to six lysozyme molecules (Fig. 1f).

:92

:93 *CTD flexibility enables client-induced sHSP polydispersity*

:94 Superimposing the component subunits from each of the assemblies obtained in the presence of client
:95 reveals the flexibility of the CTD, which facilitates the tethering of ACD dimers within the various oligomeric
:96 states (Fig. 3a). C α r.m.s.d. analysis showed minimal variability within the core ACD region across all
:97 states, appearing similar to the apo-state. In contrast, the CTD adopts an apparent continuum of states
:98 within the various assemblies, with two primary modes of CTD extension (up and down) that are most
:99 distinctly separated in the symmetric 36-mer, resulting in ~10 Å C α deviation of the CTD IXI motif in these
:00 two modes (Fig. 3a, far right denoted by * and †).

:01

i02 The flexible CTD interactions facilitate the formation of novel structural features in higher-order oligomeric
i03 assemblies of *mjHSP16.5*. The apo-state 24-mer forms eight canonical 3-fold windows ($\sim 530 \text{ \AA}^2$) and six
i04 4-fold axes (Fig. 3b). In comparison, the 26-mer establishes two large 4-fold windows ($\sim 3000 \text{ \AA}^2$) and two
i05 3-fold axes; the 32-mer and 34-mer also display large 4-fold windows ($\sim 2700 \text{ \AA}^2$, two and one each,
i06 respectively) and 5-fold axes (one and two each, respectively); and the 36-mer exhibits three smaller 4-
i07 fold windows ($\sim 2000 \text{ \AA}^2$) and six 4-fold axes (Fig. 3b). The increased exposure of the internal cavity along
i08 the expansive 4-fold windows could provide fenestrations that enable NTDs from within the cage to form
i09 hydrophobic interactions with exchanging or unbound NTDs/clients. Indeed, previous studies have shown
i10 that larger complexes formed by engineered variants of *mjHSP16.5* exhibit increased affinity toward
i11 clientele, proposed to be attributed to increased hydrophobic surface area^{51,52}.

i12
i13 The conformations of the CTD correlate with these new geometric features of the caged assemblies.
i14 Specifically, the canonical 3-fold windows and newly identified 5-fold axes are facilitated by the CTD in the
i15 downward conformation (Fig. 3a, †), whereas the 4-fold windows in the higher-order states require an
i16 upward CTD conformation (Fig. 3a, *). The 26-, 32-, and 34-mer structures all exhibit weak or absent
i17 density corresponding to the CTD region of some monomers and were not modeled, presumably reflecting
i18 intrinsic dynamics at these sites (Fig. 3b, circled). The dimer connecting two 3-fold axes of the 26-mer was
i19 of considerably low local resolution ($\sim 10 \text{ \AA}$) and exhibited weak density throughout the ACD region,
i20 specifically for the $\beta 5$ - $\beta 7$ loop. Similarly, $\beta 5$ - $\beta 7$ loop regions in the 32-mer and 34-mer show weak density
i21 in the cryo-EM maps and display higher C α r.m.s.d.'s in the corresponding models (Fig. 3a).

i22
i23 The expanded and contracted models of apo-state 24-mer exhibit inter-dimer interfaces involving the NTDs
i24 with surface areas of $133 \pm 0.2 \text{ \AA}^2$ and $112 \pm 0.3 \text{ \AA}^2$, respectively. The inter-dimer interface is comprised of
i25 contacts between the proximal NTDs (residues 29, 30, 33, and 36), $\beta 5$ and $\beta 7$ (residues 78, 80, and 106)
i26 with the $\beta 5$ - $\beta 7$ loop (residue 93) and establishes the corners of 3-fold and 4-fold cage windows (Fig. 3c,
i27 left). The canonical ACD/CTD tethering interface of the expanded and contracted 24-mer models establish
i28 a contact surface area of $644 \pm 0.2 \text{ \AA}^2$ and $669 \pm 0.1 \text{ \AA}^2$, respectively. This interface is characterized by
i29 tongue-and-groove interactions formed between the CTD-IXI motif of one protomer (residues 138, 141-
i30 144, 146, and 147) and the $\beta 4/8$ -groove on the ACD of a neighboring protomer (residues 65, 70-73, 76,
i31 78, 80, 117, and 120-123) (Fig. 3c, right). Surprisingly, despite the profound display of geometric
i32 reconfigurations in the higher-order client-bound oligomers, there was minimal disruption to either the inter-
i33 dimer or the ACD/CTD tethering interfaces, with standard deviations of 12 \AA^2 (9.5 %) and 78 \AA^2 (13 %),
i34 respectively, across all oligomeric states. Together, these observations mechanistically rationalize how
i35 sHSPs can achieve such high-degree of polydispersity from the modular ACD dimer building blocks.

i36
i37 *Client-induced sHSP polarized destabilization directs chaperone elongation*

i38 To gain further insights into the regional stability of the various assembly states, local resolution analysis
i39 was performed on cryo-EM maps generated from asymmetric 3D reconstructions (Fig. 4a). The 24-mer
i40 reconstruction obtained in the presence of lysozyme showed minimal variation in resolution over the
i41 exterior scaffold, composed by the ACD and CTD, with local resolution of ~2.5–3.0 Å. The internal NTD
i42 (or potentially NTD–client) density exhibited lower resolution (~4.5 Å) with similar features to that of the
i43 apo-state, including symmetric NTD helices and unassigned NTD elements. In contrast, the intrinsically
i44 asymmetric 26-mer, 32-mer, and 34-mer assemblies showed extensive resolution variation across the
i45 caged scaffold, and a loss of defined NTD features. Their most well-defined regions exhibited relatively
i46 high local resolutions (~3–4 Å), centered around a single canonical 4-fold axis. Moving away from this
i47 vertex along the axis of the elongated cage morphologies, the local resolution continuously decreased,
i48 reaching ~9–10 Å. The 36-mer reconstruction had an overall lower resolution, likely due in part to the
i49 limited number of particles in this class, yet this map displayed a more uniform resolution distribution across
i50 the elongated scaffold (~7–8 Å) reflecting the internal symmetry of this assembly state.

i51

i52 By aligning the most ordered regions of the caged assemblies, we can attempt to infer aspects of the
i53 chaperone/client assembly pathway based on shared geometrical features between complexes, the
i54 polarized nature of local resolution, and variability analysis at the subunit interfaces (Fig. 4a, *outlined*
i55 *dimers*). Notably, the initial step of higher-order oligomeric assembly remains elusive because the apo-
i56 state 24-mer would need to undergo significant structural deformation of a canonical 4-fold axis to
i57 accommodate the insertion of an additional dimer (or dimer/client complex). Our cryo-EM variability
i58 analyses of the 24-mer and 26-mer did not detect any conformational states showing such dramatic
i59 rearrangements, possibly due to the transient nature of this proposed transition state. Once initial client
i60 sequestration occurs, inducing formation of the 26-mer, it creates two larger 4-fold windows and two
i61 destabilized 3-fold axes into which new dimers (or dimer/client complexes) can be inserted or "recruited"
i62 onto the complex. Variability analysis of the 26-mer revealed extensive dynamics at the site of the recruited
i63 dimer, with a high degree of flexibility between the two new 3-fold axes (Supplemental Movie 4).

i64

i65 Following formation of the 26-mer, further subunit and client recruitment may be facilitated by the polarized
i66 destabilization of the sHSP scaffold. This destabilized region would likely promote subunit exchange and
i67 direct the formation of higher-order states that can sequester more client proteins (Fig. 4b). This proposed
i68 'polarized assembly' model would lead to an effective elongation of the sHSP scaffold, similar to
i69 observations in human α -crystallins, as well as murine HSP25 and yeast HSP26^{8,53}. The concept of
i70 polarized sHSP stability contributing to chaperone activation has also been suggested in the context of
i71 HSP26 phosphorylation⁵⁴. Such localized destabilization may be critical for the chaperone mechanism by
i72 providing "hot spots" for cooperative subunit recruitment and client insertion enhancing client capacity, or
i73 by enabling access and/or reversible release of clients to downstream refolding machinery⁵⁵.

174

175 Notably, we did not identify any *bona fide* 28-mer or 30-mer populations in the cryo-EM dataset, suggesting
176 these states either do not form or are intrinsically unstable. A plausible transition from a 32-mer to a 34-
177 mer was uncovered by 3DVA, suggesting that a simple insertion, or recruitment, of a dimer into a large 4-
178 fold window of the 32-mer could readily occur, resulting in the formation of two 3-fold windows and an
179 additional 5-fold axis (Supplemental Movie 4).

180

181 While this 'polarized assembly' model may account for many of these observations, it also raises several
182 questions. Notably, there appears to be no clear pathway to transition directly from the 34-mer to the 36-
183 mer through simple dimer insertion. Such a transition would apparently require disassembling two 5-fold
184 axes and rearranging multiple dimers to accommodate an additional dimer or dimer/client unit. Therefore,
185 it is possible that the 36-mer, and other sHSP/client states, assemble via an alternative pathway(s). For
186 example, initial complexes formed between a destabilized client and exchanging sHSP dimers could seed
187 *de novo* oligomeric assembly by recruiting other exchanging dimers or dimer/client complexes to form
188 higher-order assemblies. In this scenario, the most energetically favorable sHSP scaffold architecture may
189 be determined by client characteristics, such as aggregation state/size and degree of unfolding, that
190 seeded the interaction.

191

192 This alternative "seeded assembly" model is supported by recent single-molecule fluorescence studies on
193 human α B-crystallin and HSP27, which showed that initial binding is followed by additional subunit
194 recruitment, with variations between the two sHSPs indicating chaperone-specific mechanisms^{22,56}.
195 Notably, the "polarized assembly" and "seeded assembly" models are not mutually exclusive and may both
196 contribute to the complexity of sHSP sequestration mechanisms. Future studies aimed at capturing the
197 transition states involved in client sequestration are needed to fully elucidate these mechanistic details.
198 Interestingly, despite the potential for multiple higher-order assembly pathways, the formation of
199 directionally elongated cage-like assemblies appears to be an energetically favored aspect of the
200 chaperone sequestration mechanism.

201

202 *Concluding remarks*

203 A major hurdle in understanding sHSP chaperone mechanisms has been the polydispersed nature of
204 sHSP/client complexes. This study demonstrates that *mj*HSP16.5 can be harnessed as a model system
205 to explore sHSP polydispersity in relation to chaperone function, guiding future research on the structure
206 and function of other enigmatic sHSP systems. Future efforts to investigate sHSP/client complexation in
207 the cellular context may provide additional insights for targeting co-aggregation pathways in sHSP-related
208 diseases such as cataract, neurodegenerative disease, cardiomyopathies, and cancer treatment
209 resistance^{13,14,57}.

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.19 **AUTHOR CONTRIBUTIONS**

.20 A.P.M. performed the cryo-EM studies, biophysical characterizations, functional experiments, and
.21 analyzed these data; S.L.R. and A.P.M. conceived of the study and prepared the manuscript.

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.23 **CONFLICT OF INTERESTS**

.24 Authors declare no competing interests.

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.28 **METHODS**

.29 *Construction of expression plasmids*

.30 The gene sequence of wildtype *mjHSP16.5* (GENID: 1451140) was codon-optimized for bacterial
.31 expression and encoded into a pET23a(+) expression vector and sequences encoding variants within the
.32 N-terminal domain of *mjHSP16.5*: F15A (*mj-1x*), F15/18/19A (*mj-3x*), F2/5/11/15/18/19 (*mj-6x*), deletion of
.33 residues 1-32 (*mj-Δ32*, with Met1 at position 32), and deletion of residues 1-20 (*mj-Δ20*, with Met1 at
.34 position 20) were encoded into the pET21a(+) vector (Genscript). Protein expression constructs used in
.35 this study did not include solubility or purification tags. Full plasmid sequencing (Plasmidsaurus, Eugene,
.36 OR) confirmed the correct gene sequence, insertion site, and placement of mutations/truncations.

.37 .38 *Expression and purification of mjHSP16.5 wildtype and variants*

.39 Wildtype (*mj-wt*) and variant constructs (*mj-1x*, *mj-3x*, *mj-6x*, *mj-Δ32*, and *mj-Δ20*) of *mjHSP16.5* were
.40 expressed in bacteria and purified using the same protocols (modified from Quinlan, *et al.*⁴¹). Briefly, *E.*
.41 *coli* BL21(DE3) was used as an expression host for all constructs and the growth media (LB) was
.42 supplemented with ampicillin (0.5 mM). Cells were grown at 37° C to an optical density (A.U. at 600 nm)
.43 of 0.6 – 1.0 and expression was induced with 1 mM isopropyl β-D-1-thiogalactosidase (IPTG). Cells were
.44 harvested by centrifugation (4,000 r.c.f for 15 min at 4° C) after 3-4 hours post-induction at 37° C. Pelleted
.45 cells were resuspended in 20 mM Tris-HCl (pH 8.0), aliquoted, and frozen at -80° C for further use.

.46
.47 For purification of each protein construct, cell suspensions were thawed and supplemented with 1,4-
.48 dithioereitol (DTT, 0.5 mM final concentration) and phenylmethylsulfonyl fluoride (PMSF, 0.1 mM final
.49 concentration), lysed by sonication on ice (70% amplitude, 6 rounds of 30s on/off), supplemented with
.50 additional PMSF (0.2 mM final concentration), and cellular debris cleared by ultracentrifugation at 165,000
.51 r.c.f for 30 minutes at 4° C. The supernatant was supplemented with NaCl (1M final concentration) and 20
.52 mM Tris-HCl (pH 8.0) and incubated in an 80° C water bath for 30 minutes (V = 20 mL). The heated lysate
.53 was recovered on ice for 5 minutes and denatured protein was pelleted by ultracentrifugation at 165,000
.54 r.c.f for 30 minutes at 4° C. The supernatant containing the thermo-stable *mjHSP16.5* was collected,
.55 DNase I (~400 units, Thermo Scientific) was added and incubated for 30 minutes on ice before being filter
.56 at 0.22 μm prior to chromatography.

.57
.58 The clarified lysate was applied to a gel filtration chromatography column (S300 resin; Pharmacia)
.59 equilibrated with 20 mM Tris-HCl (pH 8.0), 1 mM EDTA and 0.5 mM DTT. Fractions from gel filtration were
.60 assessed via SDS-PAGE and fractions containing *mjHSP16.5* (wildtype or mutants) were pooled and
.61 supplemented with DTT (0.5 mM final concentration). The pooled fractions were loaded onto a MonoQ
.62 anion exchange column (GE Healthcare) equilibrated with buffer A (20 mM Tris-HCl (pH 8.0), 0.16 mM
.63 EDTA, and 1 mM EGTA) and eluted with a NaCl gradient (buffer A with 1 M NaCl). Eluted fractions

.64 containing the target *mjHSP16.5* construct were pooled, concentrated to a volume of ~2 mL with a 100,000
.65 kDa cutoff spin concentrator (Vivaspin), and loaded onto a Superose 6 size-exclusion chromatography
.66 (SEC) column equilibrated with 20 mM HEPES (pH 7.4), 2 mM EDTA, 2 mM EGTA, and 100 mM NaCl.
.67 Fractions containing purified *mjHSP16.5* constructs were pooled, aliquoted and either flash frozen in liquid
.68 nitrogen and stored at -80° C for later use or incubated at 37° C (wildtype) or 4° C (mutants) for immediate
.69 use. Nucleic acid contamination was assessed by monitoring UV absorbance ratio of 280/260 nm with all
.70 purified protein having ratios >1.5, indicating minimal co-purification of nucleic acids. The concentration of
.71 purified protein was determined by UV absorbance at 280 nm using the extinction coefficient $8,257 \text{ M}^{-1}\text{cm}^{-1}$
.72 ⁵⁸. The variants *mj-Δ20* and *mj-Δ32* resulted in insoluble protein and was not purified for downstream
.73 analyses.

.74

.75 *Aggregation assays of reduced lysozyme at 37° C*

.76 All aggregation assays were performed in reaction buffer containing 20 mM HEPES (pH 7.4), 2 mM EDTA,
.77 2 mM EGTA, and 100 mM NaCl. Aggregation of hen egg-white lysozyme (Fisher, MS grade) at 37° C was
.78 induced with the addition of 2 mM tris(2-carboxyethyl)phosphine (TCEP). Lysozyme (10 μM) aggregation
.79 by TCEP was monitored in the presence of 120 μM (12:1 ratio) and 20 μM (2:1 ratio) or absence of *mj*-
.80 wt. Chaperone/client mixtures were allowed to equilibrate at 37° C for 15 minutes prior to the addition of
.81 TCEP (2 mM final concentration). Turbidity measurements were monitored by absorption at 360 nm,
.82 collected in 384-well plates (Nucleon, flat black) on a Tecan Infinite M NANO+ for 2 hours at 37° C.

.83

.84 *Heat induced aggregation and binding assays with lysozyme at 75° C*

.85 Dynamic light scattering (DLS) measurements were performed in an Aurora 384 well plate on a Wyatt
.86 DynaPro plate reader III (Wyatt Technology, Santa Barbara, USA) operating with an 830 nm laser and
.87 150° DLS detector angle. All measurements were acquired with five reads and 10s acquisition time in the
.88 Dynamics software v7.10.1 (Wyatt). To determine the aggregation temperature of lysozyme, the
.89 hydrodynamic radius in solution was monitored using DLS while ramping temperature from 25° to 85° C
.90 at 0.91° C/min (n = 3). Due to the small size of lysozyme (~2 nm radius) the working concentration of 10
.91 μM was not detectable and 100 μM was used to determine the aggregation temperature. Likewise,
.92 lysozyme at 50 and 100 μM was monitored by DLS at a constant 75° C for 2 hours to show no immediate
.93 (< 1 hour) aggregation at these temperatures.

.94

.95 For binding assays, lysozyme (10 μM) was incubated in the presence and absence of *mjHSP16.5* wildtype,
.96 *mj-1x*, and *mj-3x* at 120 μM (12:1 ratio) and 20 μM (2:1 ratio) at 75° C for 2 hours in 20 mM HEPES (pH
.97 7.4), 2 mM EDTA, 2 mM EGTA, and 100 mM NaCl. Prior to incubation at 75° C the mixed samples were
.98 incubated at 25° C for 30 minutes. Additionally, samples of *mjHSP16.5* were measured by DLS in the
.99 absence of lysozyme at 25° C (*mj-6x*), or 37° C, 75° C, or through a temperature ramp from 25–85° C at

i00 a rate of $0.49^{\circ} \text{C min}^{-1}$ (*mj*-wt, *mj*-1x, *mj*-3x: $120 \mu\text{M}$). The aggregation temperature of *mj*-6x was determined
i01 using a heat ramp of $0.3^{\circ} \text{C min}^{-1}$ from 25 – 85°C . Replicates of DLS readings were pooled for downstream
i02 analyses (SEC/SDS-PAGE, NS-EM, cryo-EM). The average \pm sem was calculated for the hydrodynamic
i03 radius of ($n = 2$ – 3) technical replicates across ($n = 3$ – 5) independent experiments for each sample.
i04 Statistical significance was assessed by completing a F-test for variability followed by a Student's two-
i05 sided T-test (equal/unequal variance depending on F-test results).

i06

i07 *Size-exclusion chromatography*

i08 Following binding assays performed at 75°C , pooled DLS replicates obtained from binding reactions were
i09 loaded ($125 \mu\text{L}$ injection) onto a Superose 6 SEC column equilibrated with 20 mM HEPES (pH 7.4), 2 mM
i10 EDTA, 2 mM EGTA, and 100 mM NaCl. Elution peaks were monitored by SDS-PAGE (17.5% acrylamide)
i11 and protein bands were visualized by silver staining.

i12

i13 *Negative stain EM and single-particle morphology analysis*

i14 Negative stain EM was performed on purified apo-state *mj*HSP16.5 that was incubated at 37°C for
i15 approximately 16 hr and then diluted to $\sim 3 \mu\text{M}$ in dilution buffer containing 20 mM HEPES (pH 7.4), 100
i16 mM NaCl, 2 mM EDTA, and 2 mM EGTA. Chaperone assay reaction products of *mj*-wt, *mj*-1x, and *mj*-3x
i17 in the absence (apo) and presence of lysozyme ($12:1$ and $2:1$ chaperone:client ratios) prepared at 75°C
i18 were recovered on ice and diluted to $\sim 3 \mu\text{M}$ (*mj*HSP16.5 concentration) with dilution buffer. Carbon coated
i19 400 mesh copper EM grid (Ted Pella) were glow discharged at 15 mA for 1 min prior to sample application.
i20 For each condition, $3 \mu\text{L}$ of sample was applied to the grid and excess protein/buffer was blotted with filter
i21 paper, washed twice with ultrapure water, stained with freshly prepared (0.75% wt vol $^{-1}$) uranyl formate
i22 (SPI-Chem), blotted with filter paper, and dried with laminar air flow. Grids of chaperone reactions were set
i23 within 30 minutes following ice recovery to quench subunit exchange dynamics following complex
i24 formation at 75°C . Negatively stained specimens were imaged on a 120 kV TEM (Tecnai T12, FEI)
i25 equipped with either a $2\text{K} \times 2\text{K}$ CCD camera (Eagle, FEI) at a nominal magnification of $49,000$ and a
i26 calibrated pixel size of $4.4 \text{ \AA pixel}^{-1}$ (*mj*-wt apo, $12:1$, $2:1$) or an AMT camera (model XR16) using the AMT
i27 Image Capture Engine (v602.591j) at a nominal magnification of $30,000$ with a calibrated pixel size of 4.0
i28 \AA pixel^{-1} . Micrographs were collected with a defocus range from 1.5 – $2.2 \mu\text{m}$.

i29

i30 Single-particle morphology analysis was performed as previously described⁸. Briefly, unprocessed
i31 micrographs were imported into FIJI⁵⁹ and the scale was set based on the calibrated pixel size of the
i32 micrograph. Micrographs were processed using the fast-Fourier transform based bandpass filter with
i33 default settings (filter large structures at 40 pixels, filter small structures at 3 pixels, 5% tolerance, auto
i34 scale after filtering, saturate image when autoscaling) followed by a maximum filter (radius of 2 pixels) and
i35 background subtraction (rolling ball radius of 25 – 50 pixels). The filtered and background subtracted

i36 micrographs were binarized (dark background) and segmentation was optimized using the Remove
i37 Outliers tool and erosion/dilation of binary segments tools. Processed micrographs were compared to the
i38 raw micrograph during optimization of binary segments. The Analyze Particles tool was used for automated
i39 determination of Feret diameter of each segment within a minimum particle area of 50 nm². Feret diameters
i40 are presented as raincloud plots generated in R Studio (v4.0.5). Statistical analysis was done in Excel
i41 (average ± sem) and Scipy⁶⁰ (Kolmogorov-Smirnov test).

i42

i43 *Cryo-electron microscopy data collection*

i44 Prior to vitrification, samples were incubated for >16 hr at 37° C (apo-37C) or for 2 hours at 75° C (reaction
i45 products from DLS experiments) in the absence and presence of lysozyme (apo-75C and *mj*:lyso-75C at
i46 a 12:1 ratio). 3 µL of each sample (~1 mg/mL) was applied to a freshly glow discharged (15mA, 1 min)
i47 holey carbon copper grid (apo-37C: Cflat (EMS) R1.2/1.3, apo-75C and *mj*:lyso-75C samples: Quantifoil
i48 R2/1, 400 mesh). Grids were blotted (1.0–1.5 s) at room temperature and 90% humidity and plunge froze
i49 into liquid ethane on a Vitrobot Mark IV (FEI, Thermo Fisher Scientific). Image datasets were collected at
i50 the Pacific Northwest Cryo-EM Center (OHSU, Portland, OR) on a 300 kV Titan Krios equipped with a K3
i51 detector (Gatan) using SerialEM⁶¹. Movies were collected in super-resolution mode at a calibrated
i52 physical/super-resolution pixel size of 0.788/0.394 Å pixel⁻¹ (apo-37C sample), 1.013/0.506 Å pixel⁻¹ (apo-
i53 75C sample), and 1.066/0.533 Å pixel⁻¹ (*mj*:lyso-75C) with a total dose rate of ~40 e⁻ per Å² over 70 frames
i54 for the apo-37C sample and ~50 e⁻ per Å² over 50 frames for apo-75C and *mj*:lyso-75C. Movies were
i55 collected over a defocus range of 1.0–2.5 µm. The apo-75C and *mj*:lyso-75C samples were collected using
i56 a GIF energy filter (Gatan) with a 10 eV slit width.

i57

i58 *Cryo-EM image processing of apo-state mjHSP16.5 (37° C)*

i59 All steps of cryo-EM image processing were performed in CryoSPARC v3.3.1⁶². A dataset of 16,214
i60 micrographs for *mj*HSP16.5 (apo-37C) was preprocessed with Patch Motion Correction (micrographs
i61 binned 2x, 0.788 Å pixel⁻¹) and Patch CTF estimation. Low quality micrographs were removed based on
i62 CTF resolution fit. A subset of 100 micrographs was subjected to blob picking (120-160 Å diameter) to yield
i63 a particle set of ~3.4 million particles extracted with binning (2.46 Å pixel⁻¹). Noisy particles and low
i64 occupancy classes were removed by 2D classification to give a set of 1,170,772 particles used for multi-
i65 class *ab initio* model generation with 4 classes and maximum resolution of 6 Å. Multi-class *ab initio*
i66 generation yielded 2 good classes (1,060,133 total particles) corresponding to 24-mer caged assemblies
i67 with slightly different diameters. Further rounds of 2D classification yielded 968,458 particles that were
i68 again subjected multi-class *ab initio* reconstruction (3 classes), yielding two distinct classes of 24-meric
i69 cages with 441,253 particles in class 1 (expanded state) and 421,283 particles in class 2 (contracted state).
i70 A consensus refinement of re-extracted particles (1.05 Å pixel⁻¹) of the combined classes (862,436
i71 particles) without symmetry (C1) yielded a consensus reconstruction at 2.99 Å resolution. 2D classification

i72 and heterogeneous refinement (C1, 6 classes) and removal of low occupancy classes yielded 630,757
i73 particles which refined with octahedral (O) symmetry to 2.44 Å.

i74

i75 The C1 consensus refinement of the 630,757 particle stack was used as input for 3D Variability analysis
i76 with 3 orthogonal principal modes and a filter resolution of 5 Å⁶³. Additionally, this particle set was expanded
i77 with octahedral (O) symmetry (15,136,968 expanded particles) and used for 3D Variability analysis with 3
i78 orthogonal principal modes and a filter resolution of 5 Å. These particles were subjected to heterogeneous
i79 refinement with four classes which gave two high occupancy classes at ~2.7 Å resolution (expanded state:
i80 257,168 particles; contracted state: 205,181 particles). Separate cleanup of the two particle sets was done
i81 by 2D classification yielding final non-uniform refinements (O symmetry) of 2.35 Å for the expanded state
i82 (256,929 particles) and 2.50 Å for the contracted state (186,720 particles).

i83

i84 *Cryo-EM image processing of apo-state mjHSP16.5 (75° C)*

i85 All steps of cryo-EM image processing were performed in CryoSPARC 4.4.1⁶². The full dataset of 6,460
i86 micrographs for *mjHSP16.5 apo-75C* was preprocessed with Patch Motion Correction (micrographs
i87 binned by 2x, 1.0125 Å pixel⁻¹) and Patch CTF estimation. The resulting micrographs were culled based
i88 on CTF estimation resolution, relative ice thickness, and average intensity to yield 6,186 micrographs
i89 carried forward for particle picking. Blob particle picking on the full micrograph stack generated ~2.4 million
i90 picks that were extracted at 2.373 Å pixel⁻¹ and cleaned up by two rounds of 2D classification to yield
i91 185,806 particles for further analysis. Results from multi-class *ab initio* generation (4 classes) were input
i92 into a heterogeneous refinement (C1 symmetry, 4 classes) which yielded two cage-like maps at 5.69 Å
i93 (54,739 particles, set 1) and 4.92 Å (99,715 particles, set 2) resolution. Particles from these two classes
i94 were combined and re-extracted at 1.187 Å pixel⁻¹ and the pooled particle set (153,807 particles) were
i95 reconstructed with O symmetry to 2.86 Å. Results from a C1 consensus non-uniform refinement were input
i96 into a 3D Variability analysis with three orthogonal principal modes and a filter resolution of 5 Å⁶³.

i97

i98 *Cryo-EM image processing of mjHSP16.5/lysozyme complexes (12:1 ratio)*

i99 All steps of cryo-EM image processing were performed in CryoSPARC v4.2.1-4.4.1⁶². The full dataset of
i00 13,276 movies for *mj:lyso-75C* was preprocessed with Patch Motion correction (micrographs binned by
i01 2x, 1.0655 Å pixel⁻¹) and Patch CTF estimation. The micrographs were culled based on CTF estimation
i02 resolution, relative ice thickness, and average intensity to yield 12,704 micrographs carried forward for
i03 particle picking. Blob picking (120–220 Å diameter) on a subset of 500 micrographs to yield 197,163
i04 particles. Particles were extracted at 2.5 Å pixel⁻¹, submitted to 2D classification, and the resulting good
i05 classes were used as 2D templates for particle picking. Inspection of template-based picks resulted in ~8.4
i06 million particles that were subjected to two rounds of 2D classification to yield ~3 million good particles
i07 which were then extracted at 3.33 Å pixel⁻¹. This particle set was used as input for a multi-class *ab initio*

i08 job (8 classes, initial resolution 80 Å). The resulting eight *ab initio* models along with the full good particle
i09 stack were input into a heterogeneous refinement job which gave six good classes (2,826,578 total
i10 particles) and two noisy classes. A second round of heterogeneous refinement was performed using the
i11 six good maps/particles and the two noisy maps (to assist removing noisy particles) which generated four
i12 good classes identified as a 24-mer (985,163 particles), 26-mer (450,391 particles), 32-mer (653,689
i13 particles), and a 36-mer oligomeric states (242,970 particles) that were used for further analysis.

i14

i15 The initial particle set pertaining to the 24-mer oligomeric state (985,163 particles) was cleaned up by 2D
i16 classification to produce a particle set of 960,040 that was extracted at 1.25 Å pixel⁻¹ and input into 3D
i17 variability analysis with three orthogonal principal modes and filter resolution of 5 Å⁶³. Intermediate mode
i18 analysis of the first component was done with five intermediate maps and particles were used as inputs
i19 for heterogeneous refinement which produced two classes below 4 Å resolution. These two classes were
i20 pooled and refined (non-uniform refinement) without applied symmetry to 2.60 Å resolution.

i21

i22 The particle set associated with the 26-mer oligomeric state class (450,391 particles) was extracted at
i23 ~1.2x binning (1.25 Å pixel⁻¹) followed by global CTF refinement and non-uniform refinement without
i24 symmetry (C1) to 3.65 Å. 3D variability analysis was performed with three orthogonal principal modes and
i25 intermediates analysis of the three components generated five intermediates states that refined to ~4–8.5
i26 Å resolution without imposed symmetry (C1).

i27

i28 The 32-mer oligomeric state particle set (653,689 particles) was extracted at 1.25 Å pixel⁻¹ and cleaned up
i29 by 2D classification to give 645,384 particles that refined without applied symmetry to 4.80 Å resolution.
i30 3D variability analysis was performed with three orthogonal principal modes at a filter resolution of 5 Å,
i31 followed by intermediates analysis to generate five intermediates states that were used as input for
i32 heterogeneous refinement⁶³. Heterogeneous refinement produced⁶³ two classes below 7 Å resolution that
i33 corresponded to a 32-mer state (244,887) and a 34-mer state (202,648) that refined (non-uniform
i34 refinement) without symmetry (C1) to 4.37 Å and 4.79 Å, respectively. The 34-mer particles went through
i35 global CTF refinement and a final non-uniform refinement (C1) to yield a 4.71 Å final map.

i36

i37 The 3x binned particle set for the 36-mer class (242,970) was refined with (D3) and without symmetry (C1)
i38 and the resulting maps were used as input for a heterogeneous refinement (C1) with two classes (40 Å
i39 initial lowpass filter) and generated one class displaying D3 symmetric features at 8.27 Å resolution with
i40 111,271 particles. Low quality particles were removed by 2D classification resulting in 85,180 particles that
i41 refined to 4.50 Å resolution with D3 symmetry. This particle set was expanded with D3 symmetry to yield
i42 511,080 particles that were subjected to local refinement (C1) resulting in a 4.30 Å reconstruction. Output
i43 from local refinement was used as input for 3D Variability with three orthogonal principal modes and a filter

i44 resolution of 5 Å⁶³. Intermediate mode analysis (five intermediates) of the principal components resulted
i45 in five intermediate maps with corresponding particle sets that were input for 3D classification without
i46 alignment (5 classes). A highly populated class containing 144,177 particles was used for local refinement
i47 (C1) resulting in a final reconstruction of the 36-subunit cage structure at 4.01 Å resolution. A reconstruction
i48 without symmetry (C1) of the expanded particles was refined to 6.39 Å and used for local resolution
i49 analysis. Local resolution estimation of the final refined maps of each oligomeric state was performed in
i50 CryoSPARC using default parameters and resolution-based coloring of each map was done in ChimeraX
i51 (v1.7)⁶⁴.

i52

i53 *Atomic Modeling, Validation, and Analysis*

i54 Atomic model building into the *mj*HSP16.5 apo-state (37° C) in both contracted and expanded states was
i55 initiated using a dimer model from the previously published crystal structure of *mj*HSP16.5 (PDB ID:
i56 1SHS²⁴). Final maps (O symmetric) corresponding to the contracted and expanded cages were sharpened
i57 using Phenix AutoSharpen⁶⁵. Dimers were initially fit as rigid bodies into each map using in ChimeraX to
i58 produce a 24-meric model and refinement was done using phenix real space refinement with secondary
i59 structure and NCS restraints⁶⁶. Atomic model building of NTD residues 11-32 for the contracted and
i60 expanded states were built in COOT as a polyalanine chain, refined, and side chains added before further
i61 refinement and side chain adjustment. Iterative manual and automatic model refinement was done in
i62 COOT and Phenix (real space refinement) using secondary structure and NCS restraints, and in Isolde
i63 without NCS restraints.

i64

i65 The final 24-mer map (C1) from the *mj*HSP16.5/lysozyme (75° C) dataset was sharpened using Phenix
i66 AutoSharpen and model building was initiated by rigidly fitting the expanded model from the apo-37C
i67 dataset with deletion of residues 11-26^{65,66}. Real-space refinement was performed in Phenix using
i68 reference model and secondary structure restraints. The final 24-mer model contained residues 27-147.
i69 All subsequent model building was initiated using a dimer model from the 24-mer rigidly fit into the final
i70 26-mer, 32-mer, 34-mer, and 36-mer maps. For each oligomeric state various deletions of NTD and CTD
i71 residues of the monomers were done to agree with resolved map density and iterative manual remodeling
i72 of CTDs to fit the map density was performed in COOT and ISOLDE along with real-space refinement in
i73 Phenix⁶⁶⁻⁶⁸. Model building of the 26-mer state rigidly fit 13 dimers into the unsharpened map with
i74 truncations yielding 22 chains with residues 34-147 and 4 chains with residues 34-139. Sixteen dimers
i75 were refined in the sharpened (Phenix local anisotropic sharpening) 32-mer map, resulting in 31 chains
i76 with residues 31-147 and one chain with residues 35-143. For the 34-mer state, 17 dimers refined into a
i77 Phenix auto-sharpened map, resulting in 33 chains covering residues 33-147 and one chain with residues
i78 35-143. The 36-mer state was built with 18 refined dimers fit into a Phenix AutoSharpened map with 36

i79 chains covering residues 31-147. For all models, validation of model refinement and map-to-model fit was
i80 done using Phenix validation and the PDB validation server⁶⁵.

i81

i82 For visualization of unmodeled density, final maps were low-pass filtered at 7 Å resolution and density
i83 corresponding to the atomic models of each state were generated using the molmap (7 Å) function in
i84 ChimeraX v1.17.1. Density corresponding to the 7 Å molmaps were subtracted from the respective 7 Å
i85 low-pass filtered Cryo-EM maps to generate a subtracted map containing the unmodeled internal density.
i86 Ca r.m.s.d. calculations were generated in ChimeraX using only chains with full CTDs (through residue
i87 147) resulting in 24 chains for the 24mer, 22 chains for the 26mer, 31 chains for the 32mer, 33 chains for
i88 the 34mer, and 36 chains for the 36mer for this comparative analysis. Coloring based on Ca r.m.s.d. and
i89 local resolution was done in ChimeraX with the color by attributes and surface color utilities, respectively.
i90 Buried surface areas for the ACD-dimer interface, inter-dimer interface, and the canonical CTD/ACD-
i91 groove interface were calculated using the Interfaces function in ChimeraX with default settings except
i92 areaCutoff set to 100 Å². For visualization, modeling of NTD residues 1-10 was (Fig 2e) was done by
i93 extension of residues distally from residue 11 of the contracted model and subsequent addition of side
i94 chains and refinement in COOT. Measurement of the internal volume density (at $\sim 2\sigma$) was performed in
i95 ChimeraX (v1.7) by subtracting cage density using molmaps generated at 7 Å and the volume subtraction
i96 tool. PDB 1DPX was used for measurement of lysozyme volume. Assessment of non-NTD internal density
i97 volume was performed by calculating the volume of residues 1-32 from a 7 Å molmap, multiplying by the
i98 number of subunits to determine the total volume occupied by NTDs, and subtracting this from the total
i99 internal volume density of the cryo-EM map (Supplemental Movie 4).

'00

'01 *Figure Preparation*

'02 Structural models and cryo-EM density maps were visualized and prepared for presentation using
'03 ChimeraX. Final figures were composed in Photoshop.

'04

'05 *AI-assisted technologies*

'06 During the preparation of this work the authors used ChatGPT to help revise portions of the text to improve
'07 readability. After using this tool, the authors reviewed and edited the content as needed and take full
'08 responsibility for the content of the publication.

'09

'10

'11 **DATA AVAILABILITY**

'12 Cryo-EM density maps have been deposited to the Electron Microscopy Data Bank (EMD-XXXXX).

'13 Coordinates for atomic models have been deposited to the Protein Data Bank (XXXX). The original multi-

'14 frame micrographs have been deposited to EMPIAR (EMPIAR-XXXXX). Plasmids used for protein

'15 expression are available upon request. Raw data from DLS and NS-EM are provided on zenodo doi: xxxx.

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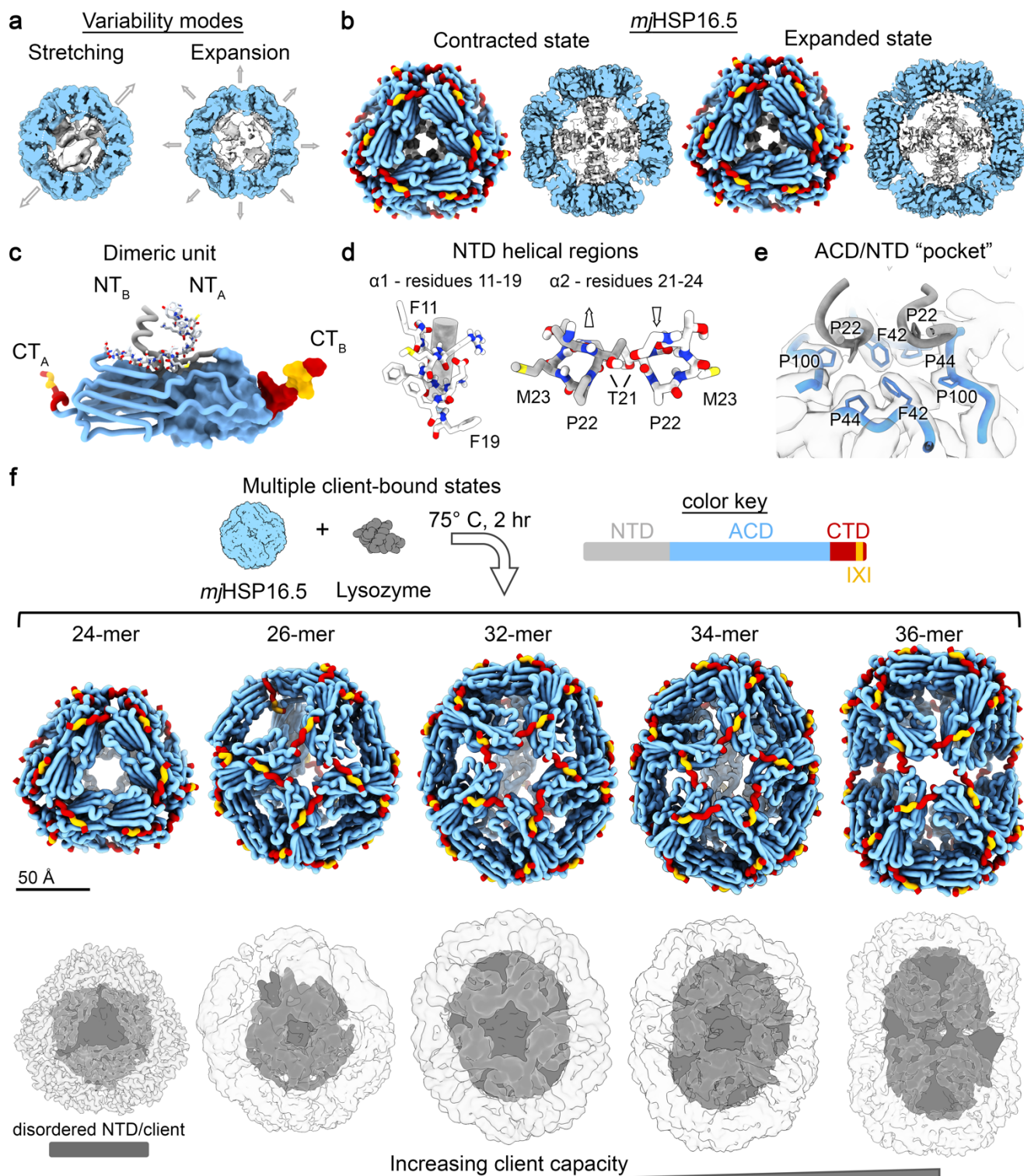
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166 **MAIN FIGURES AND LEGENDS**

167

168 **Figure 1**



169

170 **Figure 1. Single-particle cryo-EM analysis of *mjHSP16.5* in the absence and presence of**

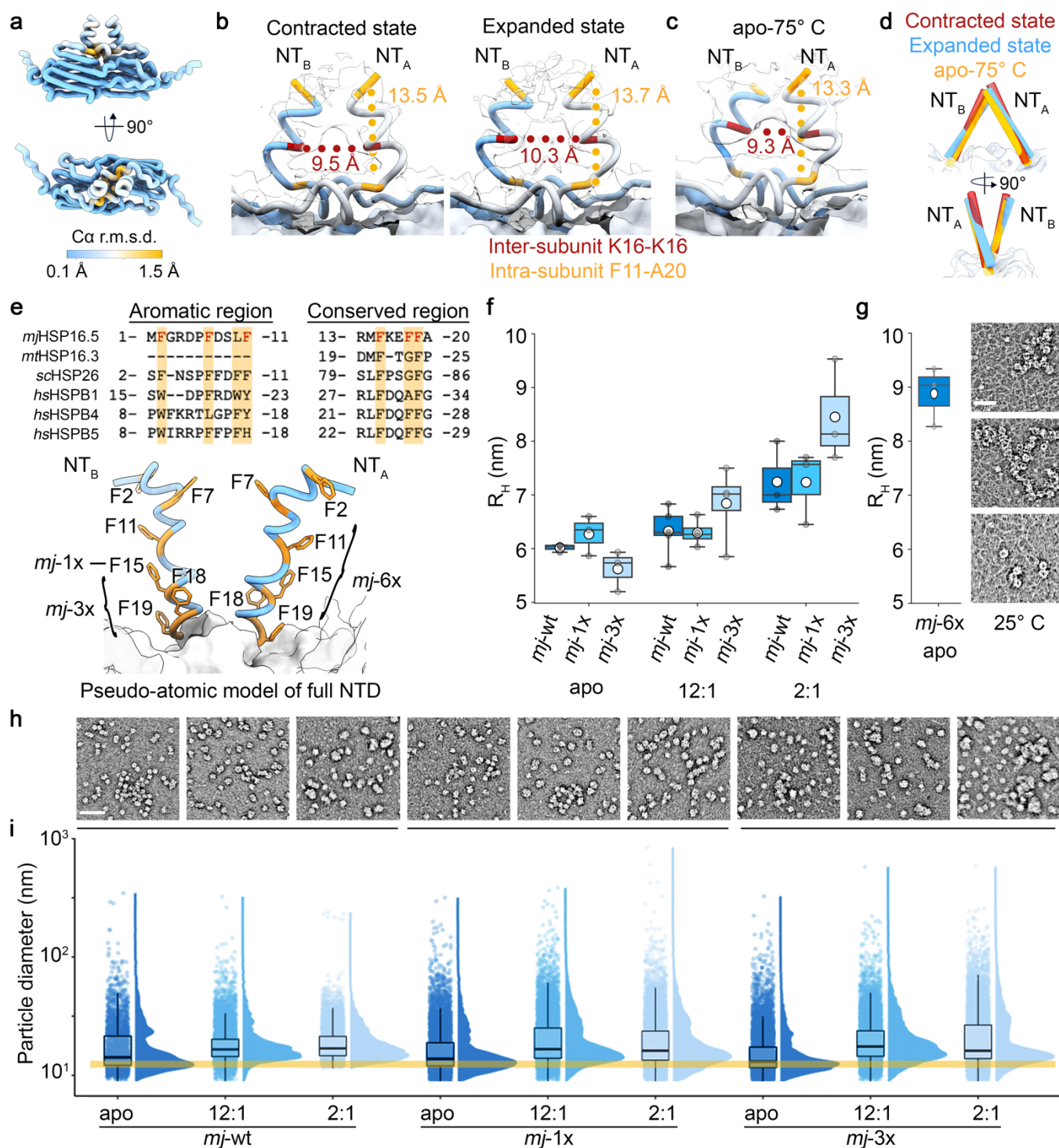
171 **destabilized client. a, *mjHSP16.5* apo-state displayed conformational dynamics, described by principal**

172 component modes of stretching (left) and expansion (right) identified by cryo-EM 3D variability analysis.
173 Asymmetric cryo-EM density maps are displayed in slice view to show the central cavity, with ACDs colored
174 in blue and internal density belonging to the NTD colored in gray. **b**, Resolved contracted (left) and
175 expanded (right) states of *mj*HSP16.5 apo-state (37° C). (left) Atomic models depicted in cartoon
176 representation (ACD:blue, CTD:red, CT-IXI motif:yellow). (right) Central slice of the corresponding cryo-
177 EM density map, colored as in (a). **c**, sHSP dimeric unit of the expanded state with NTD region colored as
178 in (b) and throughout Fig.1 (see color key). **d**, Atomic models of NTD helical regions α 1 (residues 11-19,
179 left) and α 2 (residues 21-24, right) with various residues labeled for orientation purposes. **e**, Atomic model
180 and cryo-EM map (semi-transparent) showing the ACD/NTD pocket pertaining to ACD residues (Phe42,
181 Pro44, and Pro100) and Pro22 region of the NTD that fits into the ACD 'pocket'. **f**, Atomic models (top) and
182 cryo-EM density maps (bottom) of the 24-mer, 26-mer, 32-mer, 34-mer, and 36-mer states of *mj*HSP16.5
183 obtained in the presence of destabilized lysozyme (75° C incubation for 2 hours). Cryo-EM density maps
184 for the ACD/CTD scaffold shown in transparency, with internal density corresponding to disordered
185 NTD/client shown in gray.

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88 **Figure 2**



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90 **Figure 2. Conserved Phe residues within the NTD are critical to sHSP assembly, stability and**

91 **chaperone function.** **a**, Ca root-mean square standard deviation (r.m.s.d., colored) comparison between

92 the contracted and expanded states of the apo-37 dataset. **b-c**, Distance measurements between NTDs

93 from neighboring chains within a dimer (NT_A and NT_B) for the contracted and expanded states of apo-37

94 (b) and apo-75 (c). Measurements made between C α atoms of Lys16 of each chain, and between Phe11

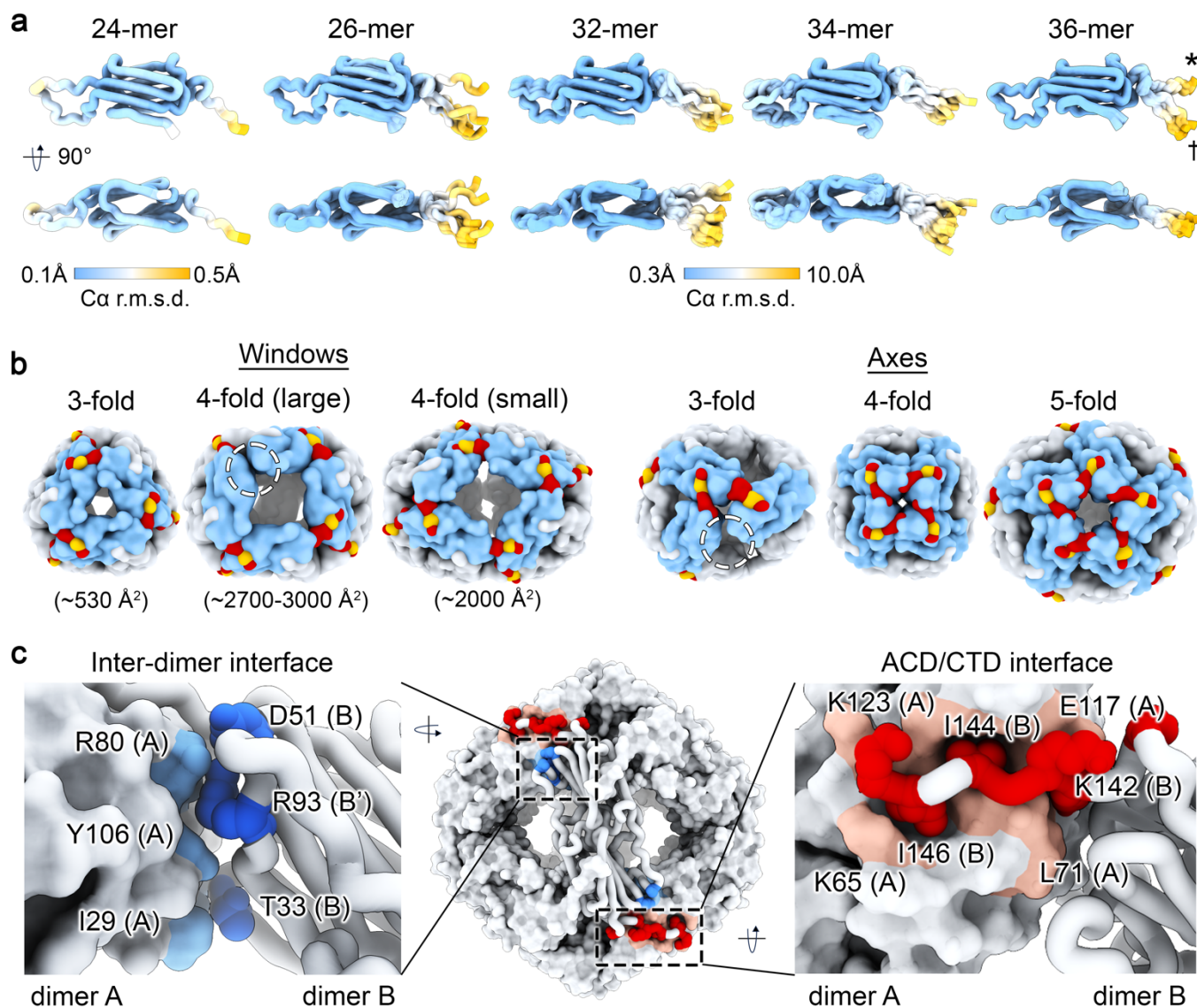
95 with Ala20 within a chain. **d**, Views of α 1 helical region of the contracted (red) and expanded (blue) states

096 of apo-37 and apo-75 (yellow). **e**, Sequence alignment (top) of ‘aromatic’ and ‘conserved’ regions within
097 the NTD of various small heat shock proteins (*mj*HSP16.5, *M. tuberculosis* *mt*HSP16.3, *S. cerevisiae*
098 *sc*HSP26, and human *hs*HSPB1 (HSP27), *hs*HSPB4 (α A-crystallin), and *hs*HSPB5 (α B-crystallin). Phe
099 residues of *mj*HSP16.5 mutated in this study are highlighted. Pseudo-atomic model (bottom) of full
100 *mj*HSP16.5 NTDs within a dimer (NT_A and NT_B) with each Phe residue labeled. **f**, Hydrodynamic radii of
101 major populations detected by DLS for *mj*HSP16.5 wildtype (*mj*-wt) and NTD variants (F15A;*mj*-1x and
102 F15/18/19A;*mj*-3x) in the absence (apo) or presence of lysozyme (12:1 and 2:1, chaperone:client ratios)
103 after incubation at 75° C for 2 hours (n = 3–5 independent experiments). **g**, Hydrodynamic radius (n = 3
104 independent experiments) and representative NS-EM micrographs of the *mj*-6x variant (at 25° C) in the
105 apo-state (scale bar = 50 nm). **h-i**, NS-EM micrographs (scale bar = 50 nm) and associated Feret diameters
106 obtained from single-particle measurements for *mj*-wt and the *mj*-1x and *mj*-3x variants in the absence
107 (apo) and presence (12:1 and 2:1) of lysozyme after incubation at 75°C for 2 hours displayed as rain cloud
108 plots. Yellow bar indicates the Feret diameter for the major population of particles in the *mj*-wt apo-state
109 dataset. Box plots (panels f,g) show the central 50% of the data, spanning from the first quartile (Q1) to
110 the third quartile (Q3), with a line at the median. Whiskers extend to the minimum and maximum values.

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113 **Figure 3**



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115 **Figure 3. Flexible CTD interactions facilitate multiple oligomeric states that correlate with adopted**

116 **non-canonical geometrical features.** **a**, Comparison of Ca r.m.s.d. values for protomers within each

117 oligomer state obtained in the presence of lysozyme (24-mer, 26-mer, 32-mer, 34-mer, and 36-mer shown

118 left to right). Color keys show range of r.m.s.d. values for the 24-mer (left) and other higher-order oligomers

119 (center). **b**, Windows and axes adopted by the 24-mer (canonical 3-fold window/4-fold axis), and non-

120 canonical states formed by the 26-mer (4-fold window/3-fold axis), 32- and 34-mer (4-fold window/5-fold

121 axis), and 36-mer (4-fold window/4-fold axis). sHSP models displayed as low-pass filtered surfaces with

122 subunits colored to highlight the specified features. Circled areas highlight unresolved/unmodeled CTD

123 regions, presumably reflecting intrinsic flexibility. **c**, Interfaces corresponding to the inter-dimer interfaces

124 formed between ACDs (blue/left) and ACD/CTD interaction (red, right). Zoom views, depict residues

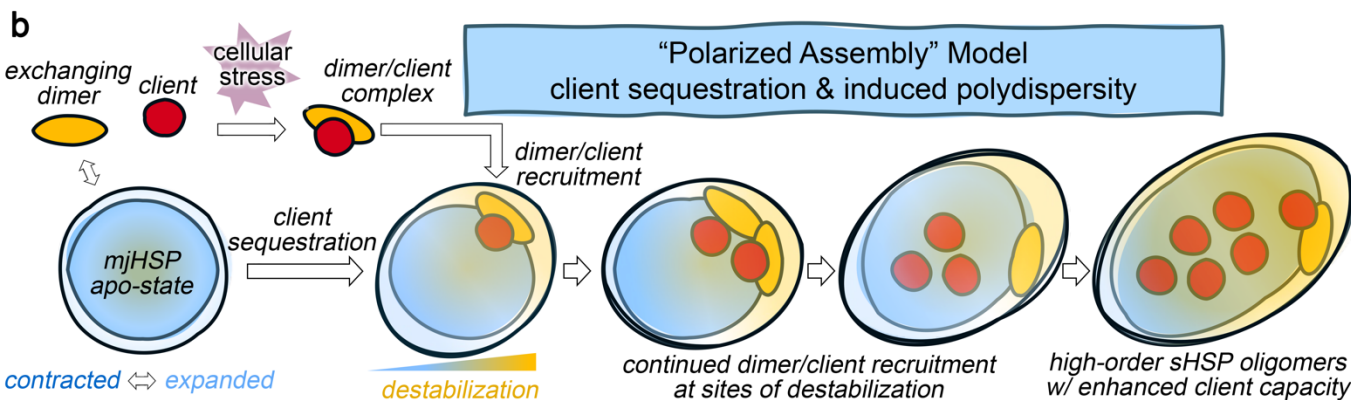
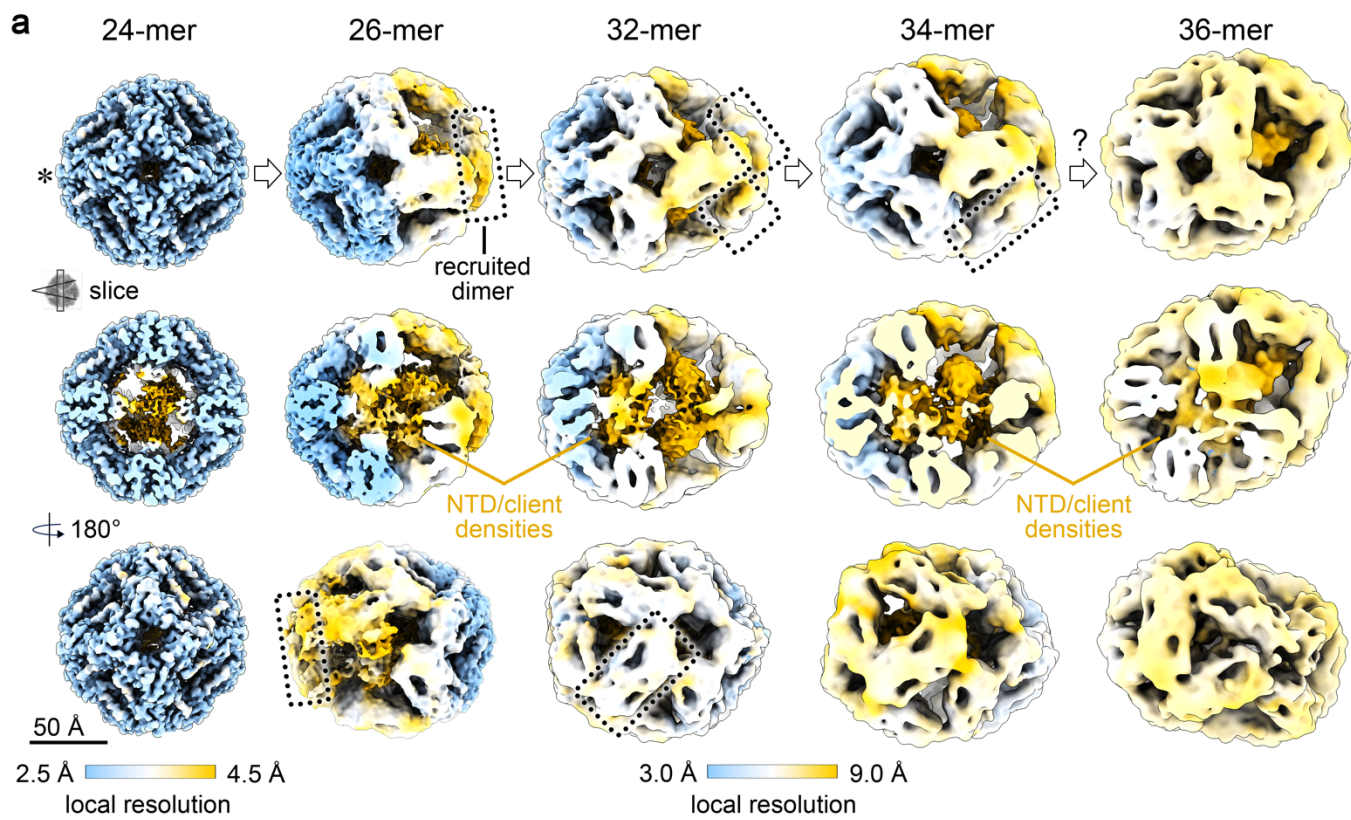
125 involved in these interfaces.

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Figure 4



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Figure 4. Polarized destabilization induced by client sequestration correlates with sites of recruited dimers to form higher-order sHSP oligomers. **a**, Asymmetric cryo-EM density maps of *mjHSP16.5/lysozyme* oligomeric state depicted along a 4-fold axis (top), an internal slice view (middle) showing NTD/client densities, and a 180° rotation (bottom). Map densities colored according to local resolution. Color keys show range of resolution values for the 24-mer (left) and the other higher-order oligomers (center). Asterisk indicates site of common axis for alignment. Dotted rectangles indicate sites of dimer recruitment to form higher-order oligomers. Scale bar = 50 Å. **b**, Illustration summarizing the proposed “polarized assembly” model of sHSP client sequestration and induced polydispersity, as described in the main text.

139 **EXTENDED DATA (Tables, Figure and Legends)**

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141 **Extended Data Table 1**

	Apo-state 37°C		Apo-state 75° C	+Lysozyme dataset (12:1 <i>mj:lyso</i>) 75°C				
	Expanded	Contracted		24mer	26mer	32mer	34mer	36mer
Data collection and processing								
Magnification	29,000	29,000	81,000	81,000	81,000	81,000	81,000	81,000
Voltage (kV)	300	300	300	300	300	300	300	300
Electron exposure (e-/Å ²)	40	40	50	50	50	50	50	50
Defocus range (µm)	0.8 – 2.5	0.8 – 2.5	0.6 – 2.5	0.6 – 2.5	0.6 – 2.5	0.6 – 2.5	0.6 – 2.5	0.6 – 2.5
Micrographs (No.)	16,214	16,214	6,460	13,276	13,276	13,276	13,276	13,276
Pixel size (super-resolution (Å))	0.788 (0.394)	0.788 (0.394)	1.0125 (0.50625)	1.0655 (0.53275)	1.0655 (0.53275)	1.0655 (0.53275)	1.0655 (0.53275)	1.0655 (0.53275)
Symmetry imposed	O	O	O	C1	C1	C1	C1	D3 (expanded)
Initial particle images (no.)	1,170,722	1,170,722	2,444,082	3,368,583	3,368,583	3,368,583	3,368,583	3,368,583
Final particle images (No.)	256,929	187,720	153,807	780,906	449,770	244,887	202,648	36,346
Map resolution (Å)	2.35	2.50	2.86	2.60	3.67	4.37	4.71	4.01
FSC threshold	0.143	0.143	0.143	0.143	0.143	0.143	0.143	0.143
Map resolution range (Å)	2.4 – 4.8	2.4 - 21	2.7 – 24	2.9 - 30	3.0 - 55	2.7 - 30	3.0 - 30	2.7 - 52
Model Refinement								
Initial model used	1SHS (PDB)	1SHS (PDB)	apo37- expanded	apo37- expanded	24mer	24mer	24mer	24mer
Model resolution (Å)	2.35	2.50	2.86	2.60	3.67	4.37	4.71	4.01
FSC threshold	0.143	0.143	0.143	0.143	0.143	0.143	0.143	0.143
Map sharpening <i>B</i> factor (Å ²)	13.95	-5.550	-138.9	43.35	-118.2	-138.2	210.0	-112.5
Model composition								
Non-hydrogen atoms	25,752	25,752	25,752	22,488	22,621	28,085	30,313	32,610
Protein residues	3288	3288	3288	2904	2908	3594	3899	4211
Ligands	0	0	0	0	0	0	0	0
<i>B</i> factors (Å²)								
Protein	73.18	78.95	130.82	59.45	200.37	56.78	232.1	157.8
Ligand								
R.M.S. deviations								
Bond lengths (Å)	0.004	0.014	0.003	0.004	0.004	0.005	0.004	0.004
Bond angles (°)	0.711	0.697	0.749	0.637	0.519	0.675	0.604	0.721
Validation								
Molprobrity score	1.76	1.36	1.60	1.20	1.52	1.95	1.70	1.33
Clash score	4.09	4.11	7.75	4.11	5.85	9.55	9.47	2.33
Poor rotamers (%)	1.67	0.83	0.00	0.00	0.00	0.00	0.29	0.00
Ramachandran plot								
Favored (%)	94.07	97.04	97.01	98.32	96.74	92.92	96.76	95.39
Allowed (%)	5.93	2.96	2.99	1.68	3.26	7.08	3.11	4.32
Disallowed (%)	0.00	0.00	0.00	0.00	0.00	0.00	0.13	0.29

142

143 **Extended Data Table 1. Summary of single-particle cryo-EM data collection, processing, model**
 144 **building, and validation for maps and models.**

145 **Extended Data Table 2**

	Area (avg ± sem) [nm ²]	Area range [nm ²]	Feret Diameter (avg ± sem) [nm]	Feret Diameter Range [nm]	Feret mode [nm]	N _{particles} (N _{mg})	DLS R _H – peak 1 (avg ± sem) [nm] (n ind./tech. replicates)	DLS % mass - peak 1 (avg ± sem)
<i>mj-wt ± lysozyme (post 75° C, 2hr)</i>								
apo	209.3 ± 15.6	50.2 – 36634.3	18.8 ± 0.3	8.9 - 347.0	11.9	3178 (7)	6.0 ± 0.1 (3/8)	99.5% ± 0.5
12:1	215.5 ± 4.9	50.2 – 19224.2	19.51 ± 0.1	8.9 – 324.0	13.9	6207 (9)	6.3 ± 0.1 (5/15)	99.5 ± 0.2
2:1	184.1 ± 2.5	90.1 – 8270.6	19.3 ± 0.1	8.8 – 238.3	14.4	8311 (7)	7.2 ± 0.2 (3/9)	97.2 ± 1.4
<i>mj-1x ± lysozyme (post 75° C, 2hr)</i>								
apo	181.0 ± 5.7	50.2 – 34049.0	17.8 ± 0.1	8.6 – 317.2	12.3	7873 (6)	6.1 ± 0.1 (3/8)	100 ± 0.03
12:1	267.3 ± 8.3	50.0 – 33411.1	22.2 ± 0.2	8.9 – 384.3	14.5	8723 (6)	6.3 ± 0.1 (4/11)	99.3 ± 0.2
2:1	268.5 ± 18.0	50.0 – 138788.9	21.2 ± 0.2	8.6 - 844	14.5	9556 (7)	7.6 ± 0.6 (3/7)	90.3 ± 7.2
<i>mj-3x ± lysozyme (post 75° C, 2hr)</i>								
apo	153.5 ± 4.4	50.0 – 30767.5	16.6 ± 0.1	8.8 – 325.9	10.7	9268 (5)	5.6 ± 0.2 (3/8)	99.5 ± 0.1
12:1	267.4 ± 7.6	50.0 – 42640.4	21.8 ± 0.2	8.8 – 576.1	14.0	7616 (7)	6.8 ± 0.4 (4/9)	93.7 ± 4.4
2:1	293.8 ±	50.0 – 71722.6	23.0 ±	8.6 – 583.0	14.5	11305 (7)	8.5 ± 0.6 (3/8)	62.2 ± 9.6
<i>mj-6x (25° C)</i>								
apo	--	--	--	--	--	--	8.5 ± 0.6 (3/9)	99.8 ± 0.1

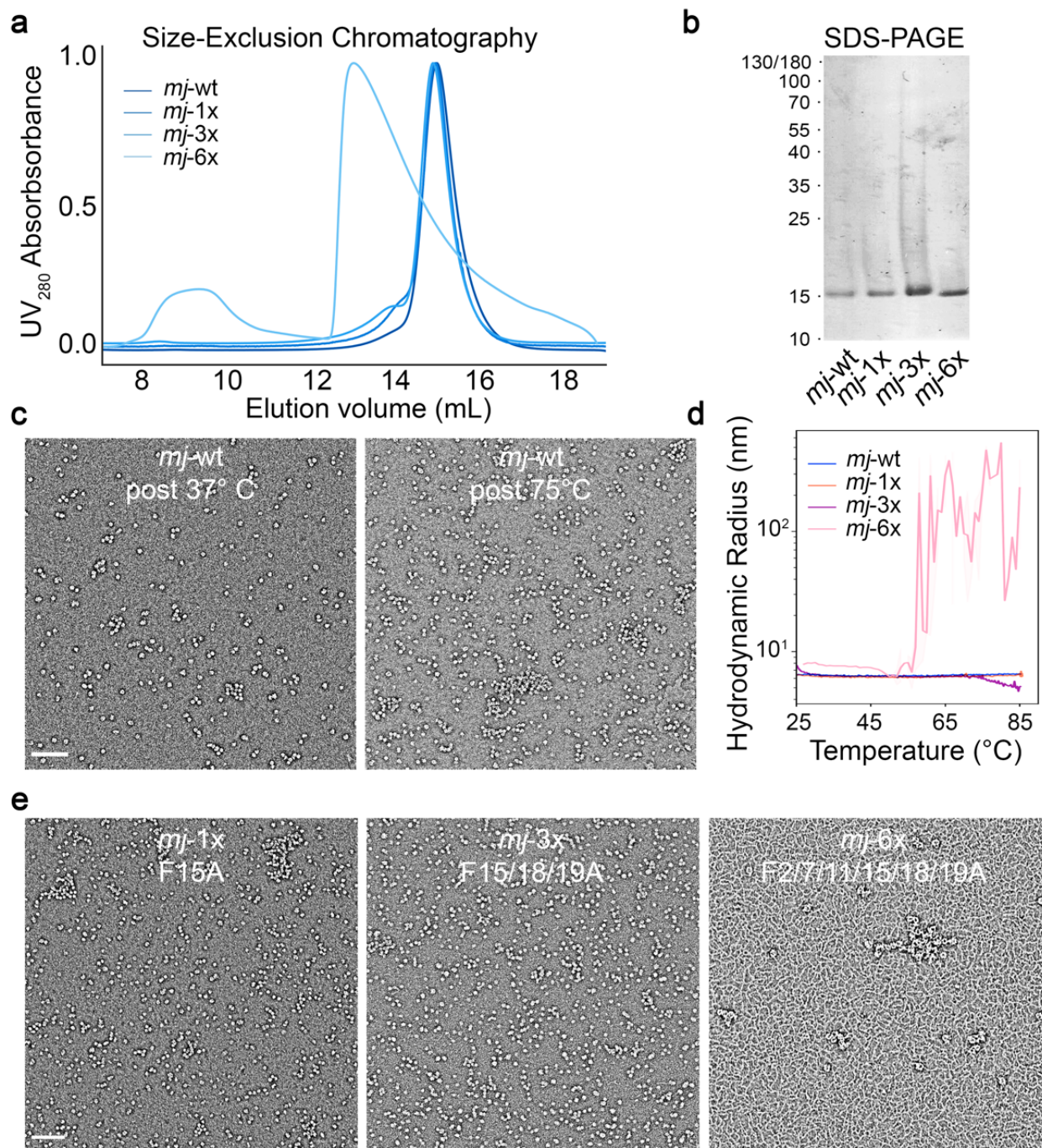
146

147 **Extended Data Table 2.** Single-particle analysis from the NS-EM datasets (Area and Feret diameter) and
148 DLS measurements (R_h; hydrodynamic radius and corresponding percent mass) of *mjHSP16.5* wildtype
149 and NTD variants obtained in the presence and absence of client lysozyme. NS-EM grids were prepared
150 following two hour incubation at 75° C. The exception is for the *mj-6x* variant which was done at 25° C due
151 to reduced thermal stability and measurements obtained by hand (n=100). Measurements are presented
152 as average ± s.e.m.

153

154

155 **Extended Data Figure 1**



156

157 **Extended Data Figure 1. Purification, negative-stain EM and thermostability of *mjHSP16.5***

158 **constructs.** **a**, Size-exclusion chromatography traces for apo-states of *mjHSP16.5* wildtype (*mj-wt*) and

159 NTD variants (*mj-1x*, *mj-3x*, and *mj-6x*). **b**, SDS-PAGE visualized by silver staining of purified *mj-wt*, *mj-*

160 *1x*, *mj-3x*, and *mj-6x*. **c**, Negative-stain electron microscopy (NS-EM) of apo-state *mjHSP16.5* after heating

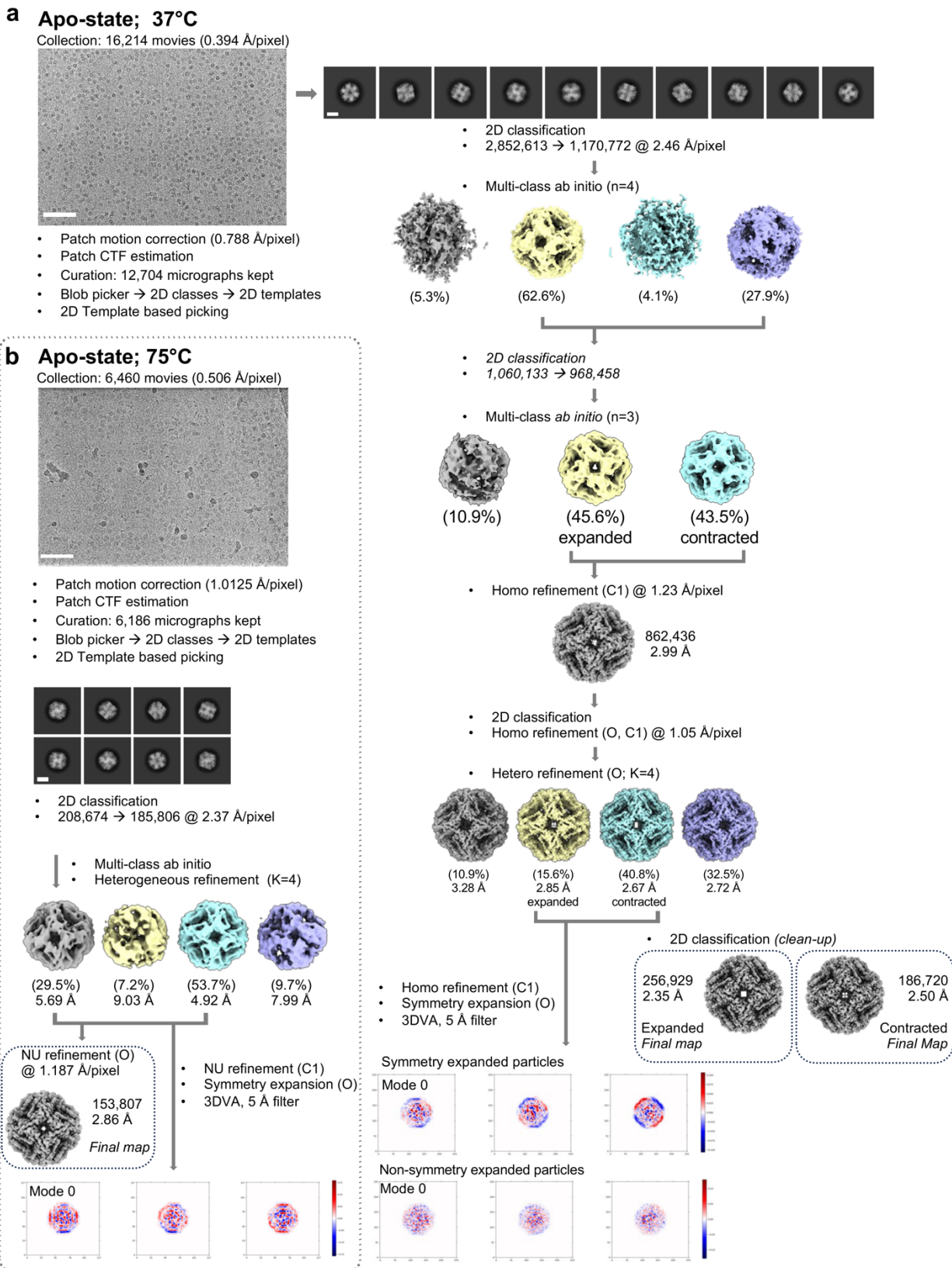
161 at 37° C (~16 hours) and 75° C (2 hours). **d**, Temperature ramp from 25-85° C for *mj-1x*, *mj-3x*, and *mj-6x*

162 and corresponding hydrodynamic radius showing *mj-6x* aggregation around 55-60° C. *mj-wt* and other

163 variants were stable up to 85° C. **e**, NS-EM of apo-state *mj-1x* (75° C) and *mj-3x* (75° C) and *mj-6x* (25°

164 C) *mj-6x* at two dilutions displayed background fibers (~2 nm wide) and circular assemblies. Micrograph
165 scale bars = 100 nm.
166

167 **Extended Data Figure 2**

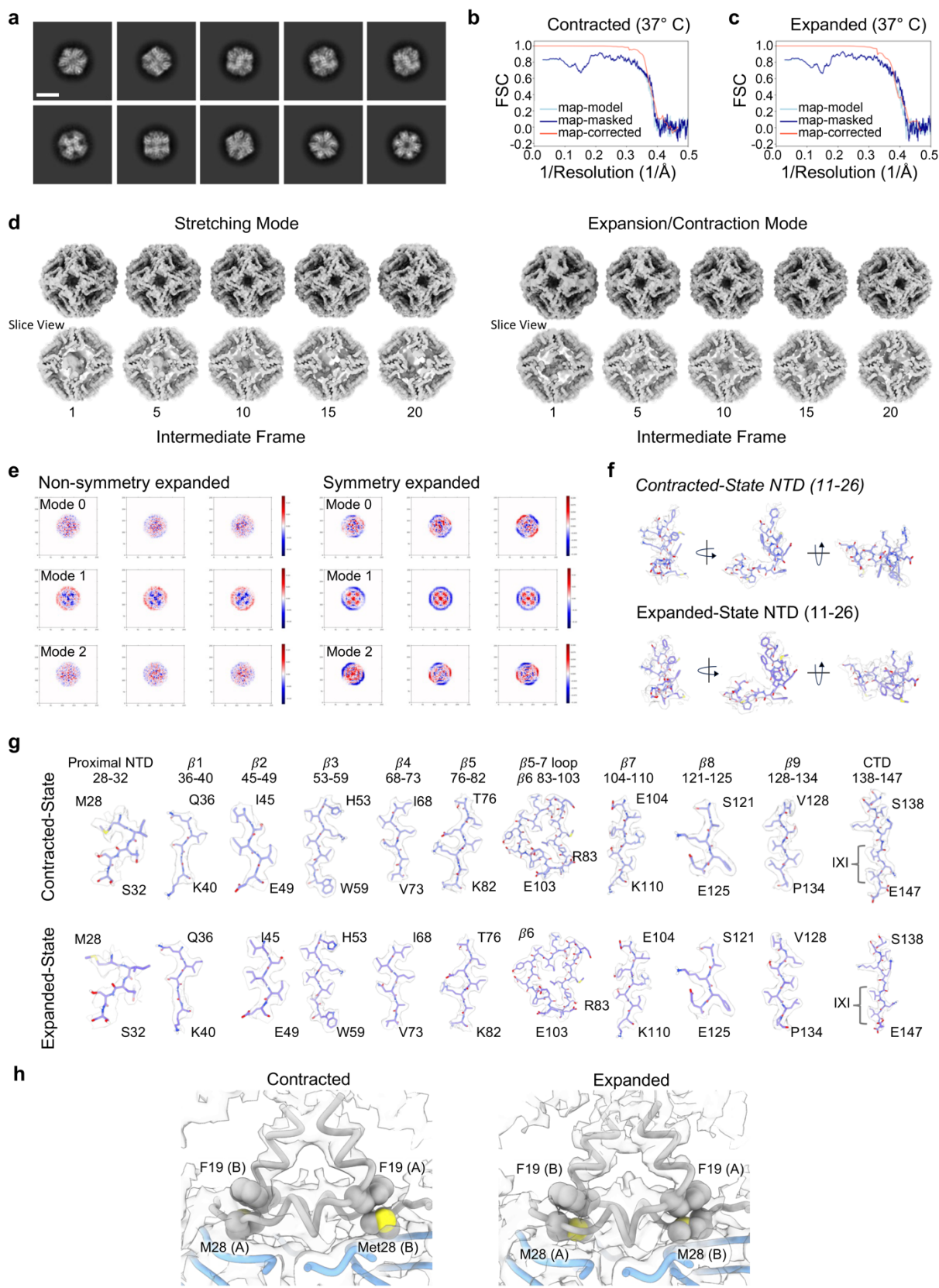


168

169 **Extended Data Figure 2. Single-particle cryo-EM image processing workflows for the *mjHSP16.5***
170 ***apo-37* and *apo-75* datasets.** Overview of preprocessing, 2D/3D classification, *ab initio* model generation,

171 3D refinement, and 3D variability analysis steps for **a**, apo-state 37° and **b**, apo-state 75° datasets. Particle
172 count numbers, pixel sizes, symmetries, and resolutions are noted where appropriate. Scale bars for
173 micrographs = 100 nm, and for 2D classes = 5 nm.
174

175 **Extended Data Figure 3**



176

177 **Extended Data Figure 3. Resolution assessment and 3D variability analysis of the apo-37 cryo-EM**

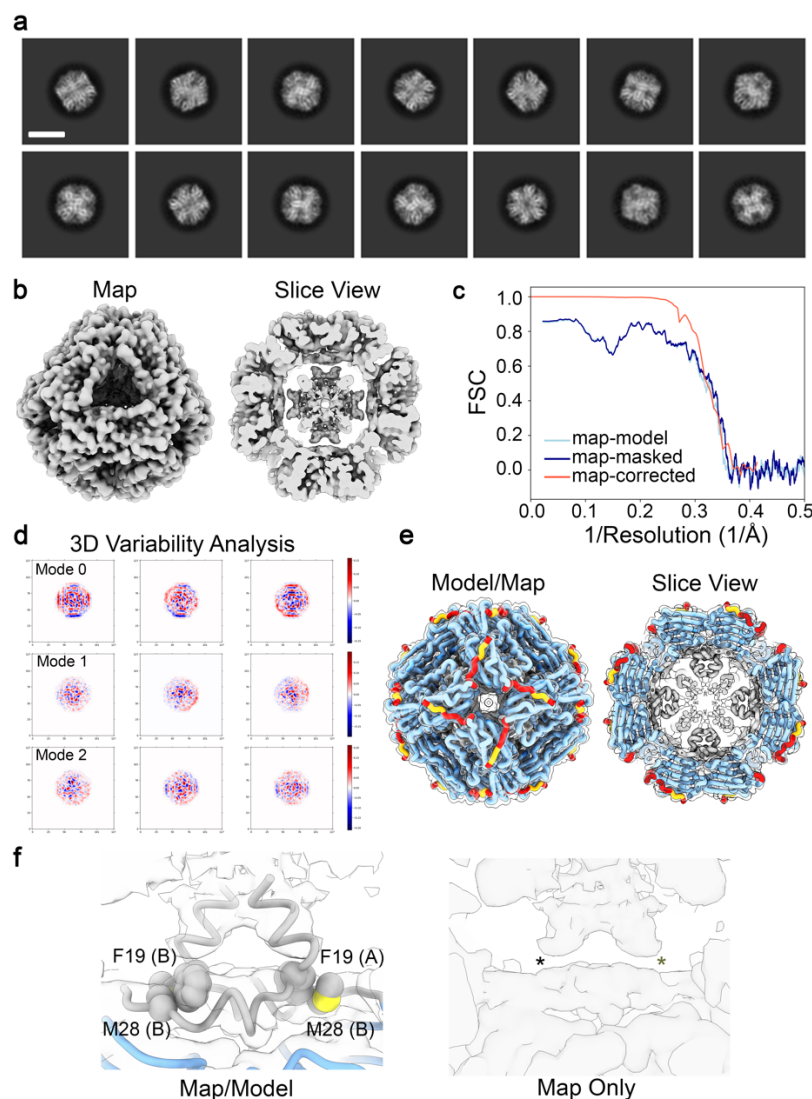
178 **dataset.** **a**, Representative 2D classes showing multiple views of the canonical 24-mer caged assembly.

179 Scale bar = 10 nm. **b-c**, Fourier Shell Correlation (FSC) plots of the contracted and expanded states
180 displaying the CryoSPARC generated FSC plot of the corrected map (red), the unmasked map to model
181 FSC (light blue) and masked map to model FSC (dark blue) generated by Phenix. **d**, Intermediate
182 reconstructions for the stretching and expansion principal component modes identified from 3D variability
183 analysis in CryoSPARC, shown along 4-fold axis (top) and internal slice view (bottom). **e**, Principal
184 component modes from 3D variability analysis with and without symmetry expansion of the consensus
185 particle set, displayed as heat maps of density variability across each mode. **f-g**, Segmented views of the
186 model-to-map fit for the contracted and expanded atomic models. **h**, Interaction of Phe19 and Met28
187 (shown as space-filling model) between dimeric protomers (A and B).

188

189

190 **Extended Data Figure 4**



191

192 **Extended Data Figure 4. Resolution assessment and 3D variability analysis of the apo-75 cryo-EM**

193 **dataset.** **a**, Representative 2D classes showing multiple views of the canonical 24mer caged assembly.

194 Scale bar = 10 nm. **b**, Consensus 3D reconstruction of apo-75 with octahedral (O) symmetry imposed,

195 shown from the canonical 3-fold axis of the 24-mer (left) and slice view displaying internal density (right)

196 showing helical density from the NTD protruding toward the center of the cage. **c**, Fourier shell correlation

197 (FSC) plot of apo-75 reconstruction show in (b), displayed for the corrected map (red) from CryoSPARC,

198 the unmasked map to model FSC (light blue) and masked map to model FSC (dark blue) from Phenix. **d**,

199 Principal component modes from 3D variability analysis displayed as heat maps of density variability

200 across each mode. **e**, Atomic model for residues 11-147 fit into sharpened cryo-EM density map. **f**, *Left*,

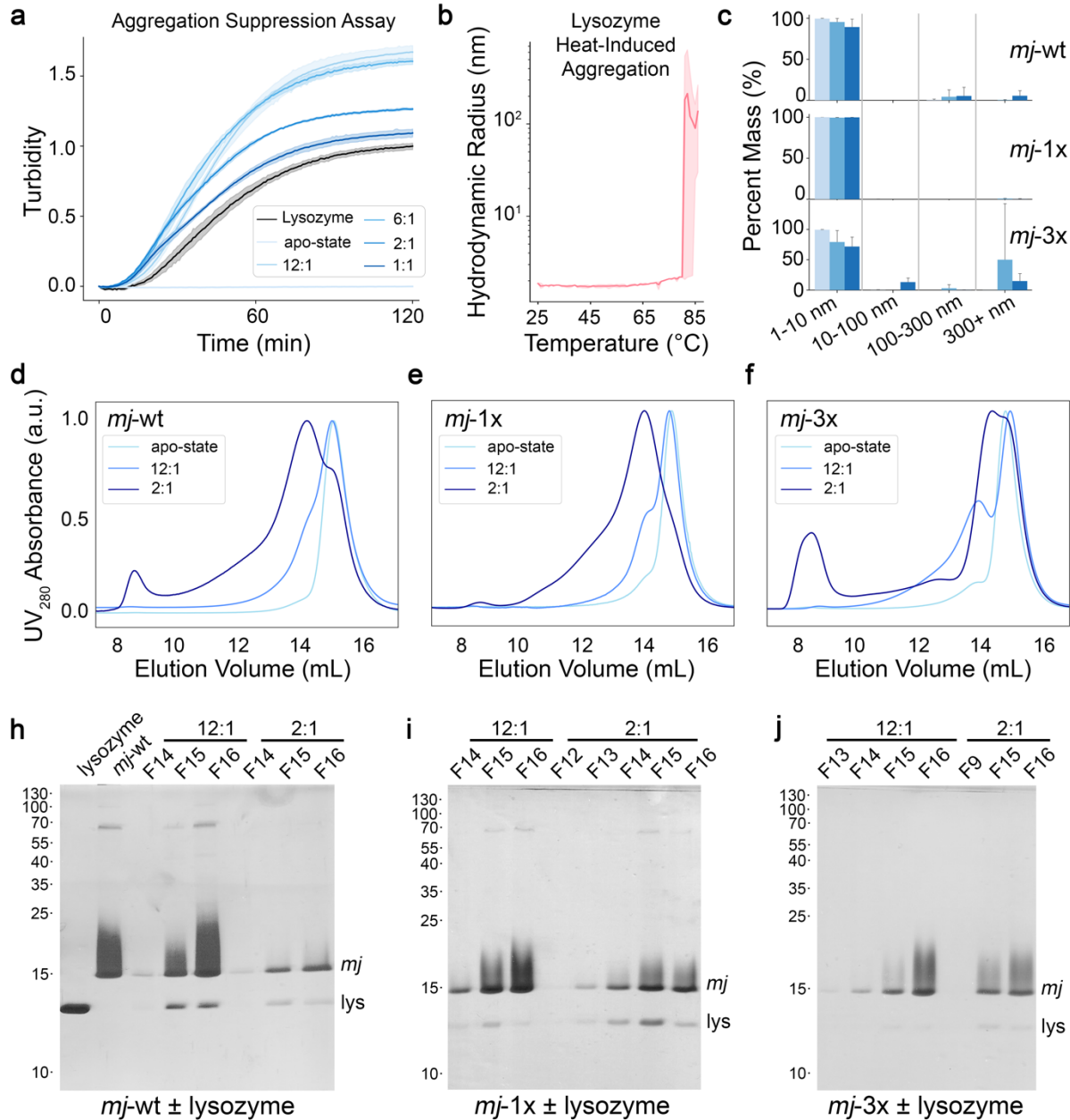
201 Map and model showing putative competition between Phe18 and Phe19 with Met28 between dimeric

202 protomer chains (A and B). *Right*, Map without model showing weakened density at base of NTD helix α 1,

203 as compared to the apo-37 maps.

204

105 **Extended Data Figure 5**



106

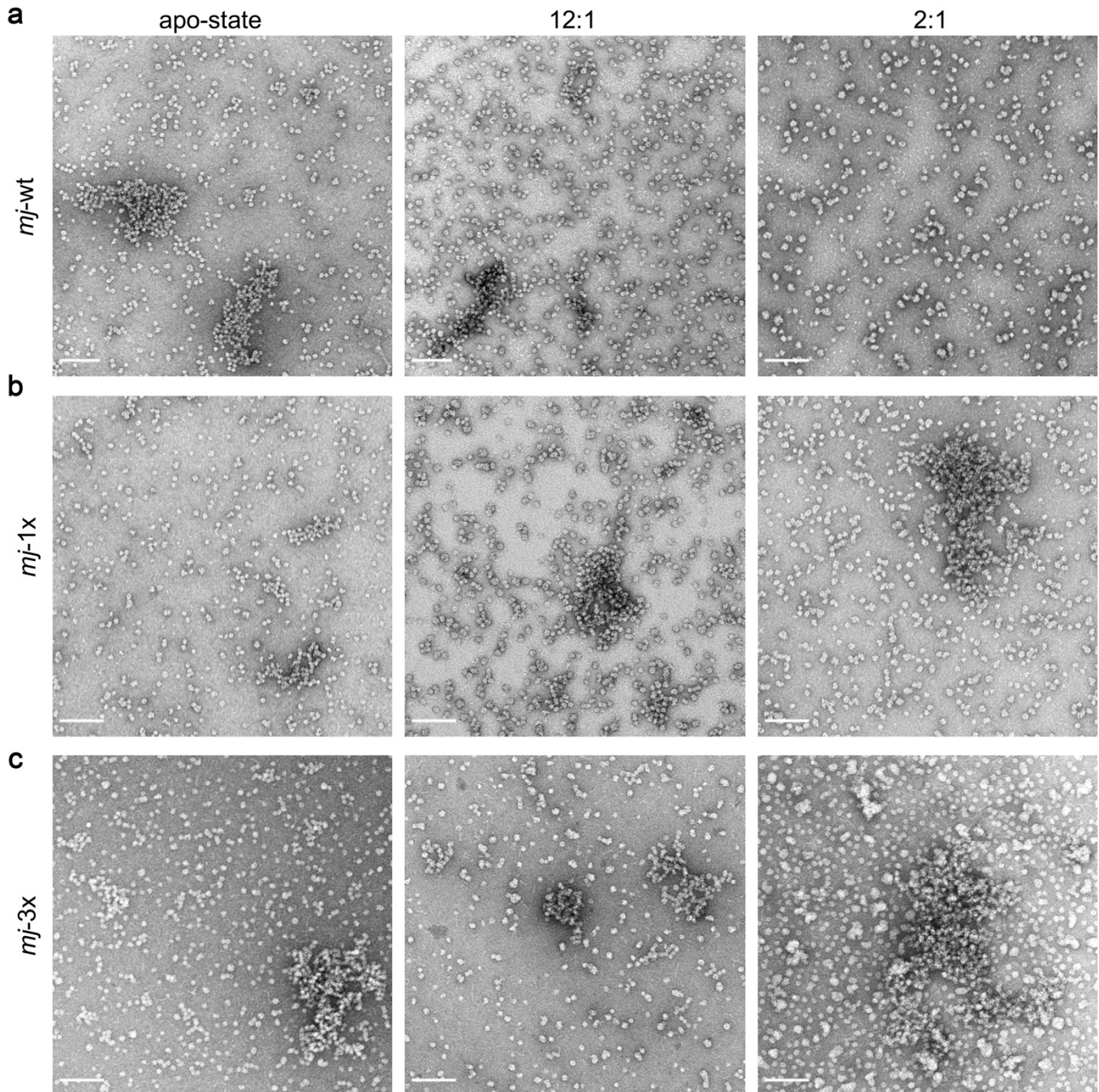
107 **Extended Data Figure 5. Chaperone-client binding assays for *mjHSP16.5* wildtype (*mj-wt*) and NTD**
 108 **variants (*mj-1x*: F15A; *mj-3x*: F15/18/19A).** **a**, Overlay of turbidity traces obtained at 37° C for ratios of
 109 *mj-wt* to lysozyme (12:1, 6:1, 2:1, and 1:1), *mj-wt* alone (light blue), and lysozyme alone (gray). For these
 110 conditions, lysozyme unfolding was initiated with reducing agent. Trace shows average and s.d. of n=4
 111 technical replicates. **b**, Bulk hydrodynamic radius of lysozyme, under non-reducing conditions, measured
 112 from 25°–85° C by dynamic light scattering showing heat-induced aggregation occurring at temperatures
 113 above ~80° C. Trace shows average and s.d. of n=3 replicates. **c**, Histograms showing binned
 114 hydrodynamic radii (1-10 nm, 10-100 nm, 100-300 nm, and 300+ nm bins) and associated percent mass
 115 for *mj-wt*, *mj-1x*, and *mj-3x* in the presence and absence of lysozyme, under non-reducing conditions, after
 116 incubation at 75° C for two hours. Error bars represent 95% confidence interval; n = 3-5 independent

117 experiments. **d-f**, Representative size-exclusion chromatography (SEC) traces for *mj*-wt (d), *mj*-1x (e), and
118 *mj*-3x (f) with lysozyme (12:1 and 2:1 chaperone:client ratios) and in the apo-state after incubation at 75°
119 C for two hours. **h-j**, SDS-PAGE analysis of fractions collected from SEC runs of *mj*-wt, *mj*-1x, and *mj*-3x
120 with lysozyme (12:1 and 2:1 ratios), respectively. Position of molecular weight markers indicated (left) and
121 protein bands corresponding the *mj*HSP16.5 (*mj*) and lysozyme (lys) indicated (right).

122

123

124 **Extended Data Figure 6**



125

126 **Extended Data Figure 6. NS-EM analysis of lysozyme chaperone assays.** Representative unfiltered
127 NS-EM micrographs of **a**, *mj-wt*, **b**, *mj-1x*, and **c**, *mj-3x* in the apo-state (*left*), and for the 12:1 (*middle*) and
128 2:1 (*right*) chaperone:client ratios. Scale bar = 100 nm.

129

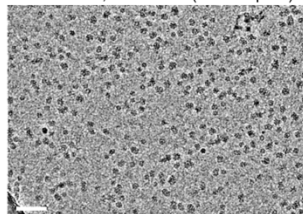
130

131

132 **Extended Data Figure 7**

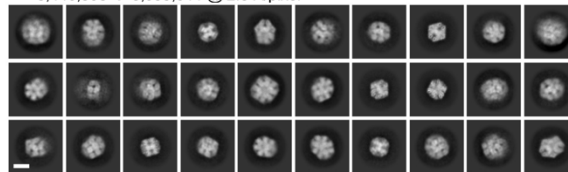
***mj*-wt + lysozyme (12:1)**

Collection: 13,276 movies (0.533 Å/pixel)

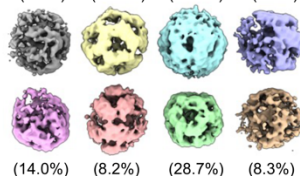


- Patch motion correction (1.066 Å/pixel)
- Patch CTF estimation
- Curation: 12,704 micrographs kept
- Blob picker → 2D classes → 2D templates
- 2D Template based picking

- 2D classification
- 8,446,808 → 3,055,644 @ 2.5 Å/pixel

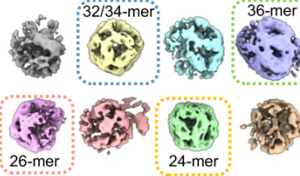


(7.7%) (14.5%) (11.0%) (7.5%)



(14.0%) (8.2%) (28.7%) (8.3%)

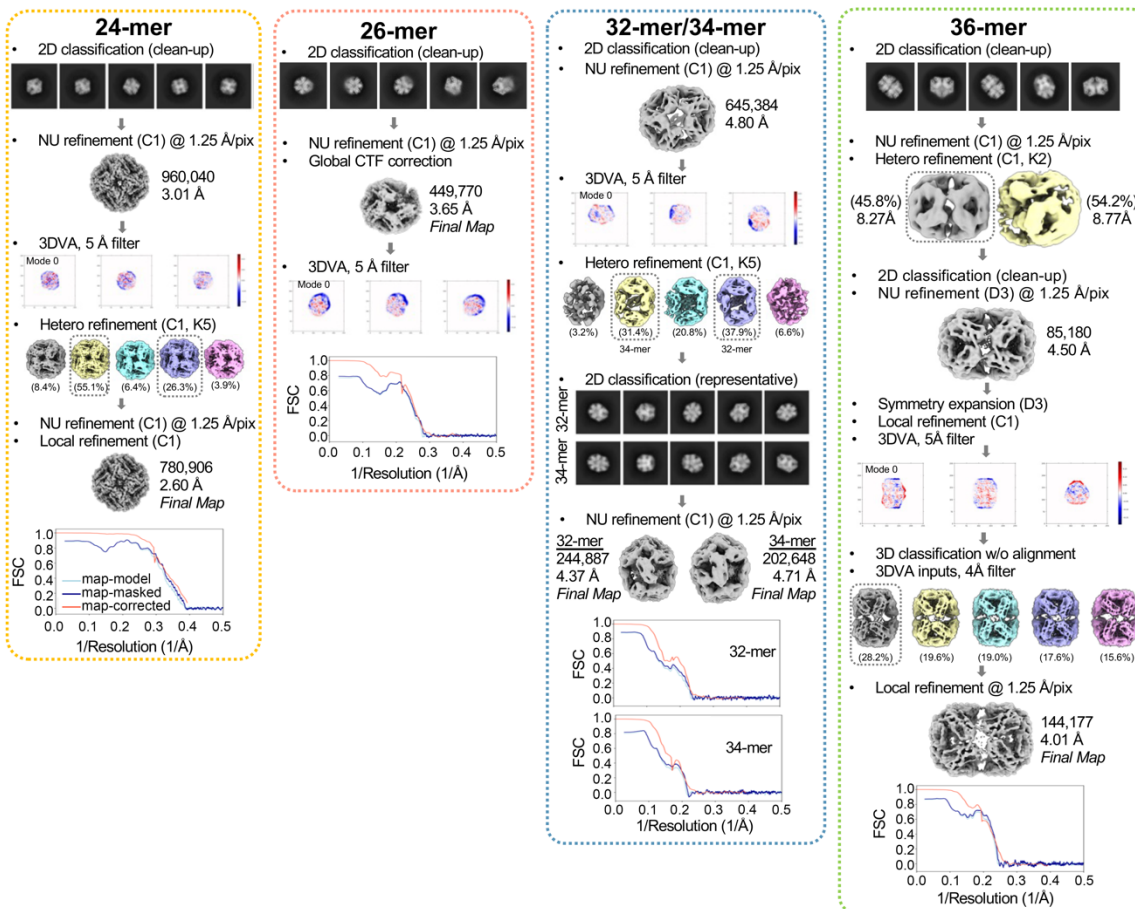
(3.3%) (23.1%) (6.6%) (8.6%)



(15.9%) (3.1%) (34.9%) (4.4%)

- Multiclass ab initio (n=8)

- Hetero refinement (C1) @ 3.33 Å/pixel
- 3,045,518 → 2,826,578



133

134 **Extended Data Figure 7. Single-particle cryo-EM processing workflow for the *mj*HSP16.5/lysozyme**
135 **dataset.** Overview of preprocessing, 2D/3D classification, *ab initio* model generation, 3D refinement, and

136 3D variability analysis steps. Particle count numbers, pixel sizes, symmetries, and resolutions are noted
137 were appropriate. Outlined boxes are color coded to match *ab initio* models and corresponding
138 downstream processing for each oligomeric state. Final maps used for model building are noted along with
139 the corresponding CryoSPARC generated Fourier Shell Correlation (FSC) plot for the corrected map (red),
140 and unmasked map to model FSC (light blue) and masked map to model FSC (dark blue) generated by
141 Phenix. Scale bars for micrograph = 100 nm, and for 2D classes = 10 nm.

142

143

144 **SUPPLEMENTAL MOVIE LEGENDS**

145

146 **Supplemental Movie 1. Cryo-EM maps and atomic models of apo-state *mj*HSP16.5.**

147 **Supplemental Movie 2. 3D variability analysis of the apo-37 and apo-75 datasets.**

148 **Supplemental Movie 3. Cryo-EM maps and models of *mj*HSP16.5 with lysozyme.**

149 **Supplemental Movie 4. 3D variability analysis of the *mj*HSP16.5/lysozyme dataset.**

150

151

152