# Determinants of left ventricular function improvement for cardiac resynchronization therapy candidates

Jung Ae Hong<sup>1,2</sup>, Sang Eun Lee<sup>1\*</sup>, Seon-Ok Kim<sup>3</sup>, Min-Seok Kim<sup>1</sup>, Hae-Young Lee<sup>4</sup>, Hyun-Jai Cho<sup>4</sup>, Jin Oh. Choi<sup>5</sup>, Eun-Seok Jeon<sup>5</sup>, Kyung-Kuk Hwang<sup>6</sup>, Shung Chull Chae<sup>7</sup>, Sang Hong Baek<sup>8</sup>, Seok-Min Kang<sup>9</sup>, Dong-Ju Choi<sup>10</sup>, Byung-Su Yoo<sup>11</sup>, Kye Hun Kim<sup>12</sup>, Myeong-Chan Cho<sup>6</sup>, Byung-Hee Oh<sup>13</sup> and Jae-Joong Kim<sup>1</sup>

<sup>1</sup>Department of Cardiology, Asan Medical Center, University of Ulsan College of Medicine, 88 Olympic-ro 43-gil, Songpa-gu, Seoul, 05505, South Korea; <sup>2</sup>Department of Cardiovascular Surgery, Asan Medical Center, University of Ulsan College of Medicine, Seoul, South Korea; <sup>3</sup>Department of Clinical Epidemiology and Biostatistics, Asan Medical Center, University of Ulsan College of Medicine, Seoul, South Korea; <sup>4</sup>Department of Internal Medicine, Seoul National University Hospital, Seoul, South Korea; <sup>5</sup>Sungkyunkwan University College of Medicine, Seoul, South Korea; <sup>6</sup>Chungbuk National University College of Medicine, Cheongju, South Korea; <sup>7</sup>Kyungpook National University College of Medicine, Daegu, South Korea; <sup>8</sup>The Catholic University of Korea, Seoul, South Korea; <sup>9</sup>Yonsei University College of Medicine, Seoul, South Korea; <sup>10</sup>Seoul National University Bundang Hospital, Seongnam, South Korea; <sup>11</sup>Yonsei University Wonju College of Medicine, Wonju, South Korea; <sup>12</sup>Heart Research Center of Chonnam National University, Gwangju, South Korea; and <sup>13</sup>Division of Cardiology, Cardiovascular Center, Mediplex Sejong Hospital, Incheon, South Korea

# Abstract

Aims A waiting period of more than 3 months is recommended for patients before undergoing cardiac resynchronization therapy (CRT). However, due to an anticipated high mortality rate, early implementation of CRT might be beneficial for some patients. We aimed to evaluate the rate and the probability of left ventricular (LV) function improvement and their predictors in patients with heart failure (HF) with indications for CRT.

Methods and results From March 2011 to February 2014, a total of 5625 hospitalized patients for acute HF were consecutively enrolled in 10 tertiary hospitals. Among them, we analysed 1792 patients (mean age 63.96 ± 15.42 years, female 63.1%) with left ventricular ejection fraction (LVEF)  $\leq$  35% at the baseline echocardiography and divided them into three groups: 144 with left bundle branch block (LBBB), 136 with wide QRS complexes without LBBB, and 1512 not having these findings (control). We compared and analysed these three groups for improvement of LV function at follow-up echocardiography. In patients who met CRT indications (patients with LBBB or wide QRS complexes without LBBB), logistic regression was performed to identify risk factors for no improvement of LV. No improvement of LV was defined as LVEF  $\leq$  35% at follow-up echocardiography or the composite adverse outcomes: death, heart transplantation, extracorporeal membrane oxygenation, or use of a ventricular assist device before follow-up echocardiography. A classification tree was established using the binary recursive partitioning method to predict the outcome of patients who met CRT indications. In a median follow-up of 11 months, LVEF improvement was observed in 24.3%, 15.4%, and 40.5% of patients with LBBB, wide QRS complexes without LBBB, and control, respectively. Patients meeting CRT indications had higher 3 month mortality rates than the control (24.6% vs. 17.7%, P = 0.002). Multivariable logistic regression analysis revealed that large LV end-systolic dimension [odds ratio (OR) 1.10, 95% confidence interval (CI) 1.05–1.15, P < 0.001], low LVEF (OR 0.92, 95% CI 0.87–0.98, P = 0.006), diabetes requiring insulin (OR 6.49, 95% CI 2.53–19.33, P < 0.001), and suboptimal medical therapy (OR 6.85, 95% CI 3.21–15.87, P < 0.001) were significant factors predictive of no improvement. A decision tree analysis was consistent with these results.

Conclusions Patients with CRT indications had higher mortality during their follow-up compared with control. LV function improvement was rare in this population, especially when they had some risk factors. These results suggest that the uniform waiting period before CRT implantation could be reconsidered and individualized.

**Keywords** Heart failure; Reduced ejection fraction; Cardiac resynchronization therapy; Waiting period

Received: 17 March 2021; Revised: 24 September 2021; Accepted: 2 December 2021

\*Correspondence to: Sang Eun Lee, Department of Cardiology, Asan Medical Center, University of Ulsan College of Medicine, 88 Olympic-ro 43-gil, Songpa-gu, Seoul 05505, South Korea. Tel: 82-2-3010-3148; Fax: 82-2-3010-3113. Email: sangeunlee.md@gmail.com; sangeunlee.md@amc.seoul.kr

© 2021 The Authors. ESC Heart Failure published by John Wiley & Sons Ltd on behalf of European Society of Cardiology.

This is an open access article under the terms of the Creative Commons Attribution-NonCommercial-NoDerivs License, which permits use and distribution in any medium, provided the original work is properly cited, the use is non-commercial and no modifications or adaptations are made.

# Introduction

Cardiac resynchronization therapy (CRT) is an effective therapeutic option for patients with heart failure (HF) with a reduced ejection fraction (EF) (≤35%) and a wide QRS complex. Studies have reported that CRT improves symptoms,<sup>1-3</sup> reduce death from any cause, and decrease unplanned hospitalization for major cardiovascular events<sup>4,5</sup> in patients with symptomatic HF, impaired left ventricular (LV) function, and a wide QRS complex. However, even when a patient with HF has been found to have appropriate indications for CRT, a waiting period of more than 3 months with optimal medical therapy (OMT) before CRT implantation is generally recommended.<sup>6,7</sup> During this period, OMT should be provided and correctable causes of illness should be treated to improve LV function.<sup>8–10</sup> However, during the first few months following the index hospitalization, the rate of rehospitalization and mortality due to aggravation of HF are relatively high.<sup>11–13</sup> In addition, OMT is not always possible due to low blood pressure, marginal kidney function, or other causes.<sup>14</sup> Furthermore, a recent retrospective cohort study described left bundle branch block (LBBB) is associated with a smaller chance of LVEF improvement than other QRS morphologies, even with OMT.15

Therefore, it might be advantageous to individualize the waiting time before performing CRT by considering the likelihood of LV systolic function recovery and the risk of an adverse outcome. In the present study, we compared the rate of adverse outcomes and LV function improvement following medical therapy in patients with severe LV dysfunction based on QRS duration and morphology. We then identified the predictors associated with impaired recovery of LV function or with the occurrence of adverse events in patients initially meeting the criteria for CRT. Finally, we developed a decision-making tree for selecting patients who could receive CRT earlier, without waiting for 3 months, to improve symptoms and decrease HF events.

# Methods

### Study population and data collection

The study population was selected from the Korean Acute Heart Failure (KorAHF) registry, a prospective multicentre cohort study. Patients hospitalized for acute HF from 10 tertiary university hospitals throughout the country were enrolled from March 2011 to February 2014 (NCT01389843). The demographic characteristics, comorbidities, clinical presentation, medical history, laboratory tests, electrocardiographic findings, transthoracic echocardiographic findings, additional treatments, and outcomes of the patients were collected at admission and during the follow-up period. Follow-up echocardiography was encouraged at 12 months after discharge, but if it was necessary to determine the patient's treatment during follow-up, the echocardiography could proceed before the 12 month period based on the physician's discretion. Detailed information of the study design and its results have been previously reported.<sup>16,17</sup> Among the patients enrolled in the KorAHF registry, those who met the following criteria were excluded in this analysis: (i) left ventricular ejection fraction (LVEF) unknown or >35% as assessed by echocardiography at registration, (ii) those who had already received CRT, (iii) follow-up LVEF data were unavailable despite the patient not having experienced any adverse event during the follow-up period, (iv) patients whose LVEF was ≤35% at follow-up echocardiography within 3 months, who had no further echocardiographic testing, and (v) patients who did not have an initial electrocardiogram (ECG) (Figure 1). The study protocol was approved by the ethics committee/institutional review board (IRB) of each hospital. Written informed consent was obtained from each patient in advance during this study; however, the IRBs of each hospital waived the requirement for informed consent as this study presented minimal risk for patients and was initiated and sponsored by the Korean Ministry of Health and Welfare to improve public health.

#### Study design, variables, and statistical analysis

The study population included the following three groups based on QRS duration and morphology on the ECG: (i) patients with LBBB and QRS duration  $\geq$  130 ms (LBBB group), (ii) patients with QRS duration  $\geq$  150 ms without LBBB (non-LBBB wide QRS group), and (iii) patients without either of these features (control group-no CRT indication). The degree of improvement of LV function (LVEF > 35%) and the mean change in LVEF were compared among the three groups. Among patients who met CRT indications except for the 3 month waiting period (LBBB group and non-LBBB wide QRS group), we analysed the determinants of adverse outcomes and lack of improvement of LVEF (follow-up LVEF  $\leq$  35% or receiving CRT implantation) after 3 months of medical treatment. OMT was defined as a prescription consisting of beta-blockers (BBs) and angiotensin-converting enzyme inhibitors (ACEIs) or angiotensin receptor blockers (ARBs). Although most patients included in this study were treated by HF specialists and significant attempts were made to ensure that patients received OMT, some patients underutilized HF medications due to those own adverse effects; for BBs, the common adverse effects were hypotension, orthostatic hypotension, and bradycardia while ARBs or ACEIs were not tolerated because of hypotension, aggravation of renal insufficiency, and electrolyte imbalance. Adverse outcomes were defined as death, heart transplantation, extracorporeal membrane oxygenation (ECMO), or use of a ventricular assist device.

Figure 1 Flow diagram of the study population. CRT, cardiac resynchronization therapy; ECG, electrocardiogram; HF, heart failure; HT, heart transplantation; KorAHF, Korean Acute Heart Failure; LBBB, left bundle branch block; LV, left ventricular; LVEF, left ventricular ejection fraction; VAD, ventricular assist device.



Continuous variables were compared by ANOVA and presented as mean ± standard deviation. Categorical variables were compared using the  $\chi^2$  test and presented as percentages. The time to all-cause mortality at 1 year according to CRT indication was estimated and plotted on a Kaplan-Meier curve. Univariable binary logistic regression analysis was used to determine significant factors associated with adverse outcomes or lack of improvement of LV function. A total of 26 variables, including demographics, clinical presentation, and laboratory findings, were included in this analysis (Supporting Information, Table S1). Variables with a significance of P < 0.100 in the univariable analysis were included in a multivariable logistic regression model using backward stepwise election method. Left ventricular end-diastolic dimension (LVEDD) was excluded from the candidate variables in the multivariable analysis due to multicollinearity. Statistical analysis was performed using the SAS statistical software, Version 9.4 (SAS Institute, Cary, NC, USA). A classification tree was established using the binary recursive partitioning method to predict the outcome of patients who met CRT indications at presentation. This analysis was performed using the rpart package in R (Version 3.5.2). The model was adjusted to avoid overfitting, that is, creating a tree that matched the peculiarities of this particular data set too closely. The tree was validated internally using 10-fold cross-validation to estimate the best splits. Statistical analyses were conducted by the Center for Medical Research and Information in Asan Medical Center.

## Results

## Baseline characteristics and clinical presentations of the study population

Among 5625 consecutive patients enrolled prospectively in the KorAHF registry. 2748 patients were identified with LVEF  $\leq$  35% at baseline echocardiography. An initial ECG was not available in 10 patients, 872 did not have follow-up echocardiography, and 74 continued to have an LVEF  $\leq$  35% at follow-up echocardiography within 3 months of enrolment and did not undergo any further echocardiographic testing. Thus, the remaining 1792 patients were included for analysis (Figure 1), among whom 144 had LBBB with QRS  $\geq$  130 ms (LBBB group), 136 had a wide QRS complex (≥150 ms) without LBBB (non-LBBB wide QRS group), and 1512 had neither finding (control group-no indication for CRT). Baseline characteristics were similar among the three groups, except that the LBBB group had older aged patients, greater percentage of females, and less incidence of atrial fibrillation. Those in the non-LBBB wide QRS group were predominantly male and had less de novo HF, lower blood pressure, higher rate of parenteral inotrope use during baseline hospitalization, and lower serum sodium levels. Patients in the control group, with no CRT indication, had a higher rate of de novo HF and a lower rate of parenteral inotrope use. The control group had the highest proportion of patients who received OMT consisting of BBs, ACEIs, or ARBs, followed by the LBBB group (Table 1).

Table 1 Baseline characteristics of the study population

	LBBB ( <i>n</i> = 144)	Non-LBBB wide QRS ( $n = 136$ )	Control ( <i>n</i> = 1512)	P value
Age	71.3 ± 11.8	64.3 ± 13.6	63.2 ± 15.7	< 0.001
Male	65 (45.1)	98 (72.1) 968 (64.0)		< 0.001
Body mass index	22.7 ± 3.6	$23.0 \pm 3.2$ $23.3 \pm 4.0$		0.137
De novo heart failure	56 (38.9)	27 (19.9) 836 (55.3)		< 0.001
Past medical history				
Hypertension	82 (56.9)	68 (50.0) 828 (54.8)		0.473
Diabetes	67 (46.5)	55 (40.4)	606 (40.1)	0.322
Diabetes requiring insulin	36 (25.0)	39 (28.7)	369 (24.4)	0.542
Ischaemic heart disease	41 (28.5)	37 (27.2) 515 (34.1		0.125
Atrial fibrillation	42 (29.2)	65 (47.8)	582 (38.5)	0.006
COPD	18 (12.5)	19 (14.0)	147 (9.7)	0.193
Stroke	16 (11.1)	21 (15.4)	183 (12.1)	0.475
Clinical findings	, , , , , , , , , , , , , , , , , , ,			
Systolic blood pressure	$126.2 \pm 27.0$	113.1 ± 26.3	125.5 ± 28.1	< 0.001
Lung congestion	114 (79.2)	102 (75.0)	1207 (79.8)	0.410
NYHA Fc III, IV	128 (88.9)	122 (89.7)	1299 (85.9)	0.312
Mechanical ventilator support	28 (19.4)	28 (20.6)	285 (18.8)	0.877
Parenteral inotropes	68 (47.2)	74 (54.4)	636 (42.1)	0.013
ECG				
QRS duration	159.5 ± 18.7	174.2 ± 27.2	102.2 ± 17.7	< 0.001
Medication				
ACEI	77 (53.5)	61 (44.9)	805 (53.2)	0.168
ARB	69 (47.9)	59 (43.4)	740 (48.9)	0.458
BB	92 (63.9)	75 (55.1)	1048 (69.3)	0.002
AA	96 (66.7)	103 (75.7)	994 (65.7)	0.061
OMT <sup>a</sup>	83 (57.6)	67 (49.3)	954 (63.1)	0.004
Laboratory findings				
Serum sodium	$137.0 \pm 4.9$	135.5 ± 5.4	$137.4 \pm 4.8$	< 0.001
Plasma haemoglobin	$12.5 \pm 2.0$	$12.8 \pm 2.0$	$12.9 \pm 2.3$	0.061
Serum creatinine	$1.50 \pm 1.14$	1.70 ± 1.50	$1.48 \pm 1.50$	0.271

AA, aldosterone antagonist; ACEI, angiotensin-converting enzyme inhibitor; ARB, angiotensin receptor blocker; BB, beta-blocker; COPD, chronic obstructive pulmonary disease; ECG, electrocardiogram; LBBB, left bundle branch block; NYHA Fc, New York Heart Association functional class; OMT, optimal medical therapy. Percentage in parentheses.

<sup>a</sup>ACEI/ARB + BB.

#### Table 2 Change in echocardiographic findings from baseline to follow-up

	LBBB ( <i>n</i> = 144)	Non-LBBB wide QRS ( $n = 136$ )	Control ( $n = 1512$ )	P value
Baseline				
LVEF	$23.3 \pm 6.3$	23.1 ± 7.0	$24.6 \pm 6.6$	0.004
LVEDD	$64.9 \pm 9.0$	$65.5 \pm 9.8$	$62.2 \pm 9.1$	< 0.001
LVESD	$56.2 \pm 9.7$	$56.8 \pm 10.3$	$53.4 \pm 9.6$	< 0.001
LA dimension	$46.7 \pm 8.9$	$50.3 \pm 8.7$	$47.4 \pm 8.9$	0.001
Follow-up				
LVEF	$33.0 \pm 13.4$	38.2 ± 17.3	$39.5 \pm 14.8$	< 0.001
LVEDD	$60.4 \pm 10.8$	$59.9 \pm 13.6$	$57.7 \pm 10.0$	0.005
LVESD	$49.6 \pm 13.5$	$47.8 \pm 16.8$	$44.9 \pm 12.3$	< 0.001
LA dimension	$43.4 \pm 8.8$	$48.0 \pm 8.0$	$44.1 \pm 8.7$	0.001
No improvement in LV function	109 (75.7)	115 (84.6)	900 (59.5)	< 0.001
Change in LVEF	$9.3 \pm 13.4$	14.1 ± 17.3	$14.7 \pm 15.2$	< 0.001

LA, left atrial; LBBB, left bundle branch block; LV, left ventricular; LVEDD, left ventricular end-diastolic dimension; LVEF, left ventricular ejection fraction; LVESD, left ventricular end-systolic dimension.

Percentage in parentheses.

## **Comparison of echocardiographic findings related** to left ventricular improvement among the groups

During the median follow-up of 11 months, all the three groups showed increased LVEF and decreased LVEDD, LV end-systolic dimension (LVESD), and left atrial (LA) diameter on the follow-up echocardiography compared with those at baseline (Table 2). Along with the decrease in chamber sizes, the LVEF was increased. However, in the LBBB and non-LBBB wide QRS groups, only 24.3% and 15.4% of patients showed improvement, respectively, compared with 40.5% in the control group (*Figure 2*). The mean change in LVEF were 9.3%, 14.1%, and 14.7% in each group, respectively (*Figure 3*, P < 0.001). When we performed an additional analysis of patients with de novo HF using stricter inclusion criteria, the results were relatively consistent with those of this study population. Among the 1792 patients, 919 had de novo HF: 56 with LBBB, 27 with a wide QRS complex without LBBB, and 836 without these findings. LV improvement was ob-

served in 33.9%, 22.2%, and 54.1%, respectively (P < 0.001) (Supporting Information, *Table S2*).

# Clinical outcomes and determinants of impaired left ventricular function recovery

Patients who met the CRT indications (LBBB and non-LBBB wide QRS groups) had a significantly higher mortality rate



Figure 3 Change in mean left ventricular ejection fraction (LVEF) from baseline to follow-up. LBBB, left bundle branch block.



#### Figure 4 Kaplan–Meier estimate of all-cause mortality according to cardiac resynchronization therapy (CRT) indication. LBBB, left bundle branch block.



1yr Survival of All cause of Death according to CRT indication

than those without CRT indications (24.6% vs. 17.7%, P = 0.002, *Figure 4*). In particular, the majority of deaths occurred within the first 90 days after hospitalization, the recommended waiting period for CRT.

In the univariable logistic regression analysis, the following 11 factors were significantly associated with adverse outcomes and lack of improvement of LVEF: the group, systolic blood pressure, de novo HF, serum sodium level, diabetes requiring insulin use, LVEDD, LVESD, LVEF, and LA diameters, appropriate OMT, use of parenteral inotropes, and mechanical ventilator support during the index hospital admission (Supporting Information, *Table S1*). In the multivariable logistic regression model, LVESD [odds ratio (OR) 1.10, 95% confidence interval (CI) 1.05–1.15, P < 0.001], LVEF (OR 0.92, 95% CI 0.87–0.98, P = 0.006), diabetes requiring insulin (OR 6.49, 95% CI 2.53–19.33, P < 0.001), and suboptimal medical therapy (OR 6.85, 95% CI 3.21–15.87, P < 0.001) were significantly associated with adverse outcomes and lack of improvement of LV function (*Table 3*).

The classification and regression tree (CART) model for identifying the parameters associated with adverse

 
 Table 3
 Multivariate binary logistic regression: factors related to adverse outcome or no improvement of left ventricular function in cardiac resynchronization therapy candidates

	OR	95% CI	P value
LVESD	1.10	1.05–1.15	< 0.001
LVEF	0.92	0.87-0.98	0.006
Suboptimal medical therapy <sup>a</sup>	6.85	3.21–15.87	< 0.001
Diabetes requiring insulin	6.49	2.53–19.33	< 0.001

CI, confidence interval; LVEF, left ventricular ejection fraction; LVESD, left ventricular end-systolic dimension; OR, odds ratio. "Not under angiotensin-converting enzyme inhibitor/angiotensin receptor blocker + beta-blocker. J.A. Hong et al.

outcomes and lack of improvement in LV function divided the study population into four different subgroups through three nodes as follows: LVEF (≥30.87%) at baseline, LA diameter < 56.6 mm, and under OMT or not (*Figure 5*). An LVEF value < 30.87% at baseline was identified as the first discriminator of adverse outcomes and lack of improvement. In patients with an EF  $\geq$  30.87%, LA diameter < 56.5 mm was found to be a useful discriminator. Patients with LVEF  $\geq$  30.87% with an LA diameter  $\geq$  56.5 mm had the least chance of improvement (0%), followed by those with LVEF < 30.87% (15%) and LVEF  $\geq$  30.87% with an LA diameter < 56.5 mm but not under treatment with ACEIs/ ARBs and BBs (28.6%). Those who had an LVEF  $\geq$  30.87% with an LA diameter < 56.5 mm and were receiving ACEIs/ARBs and BBs had the highest chance of improvement (70.8%). This analysis had an accuracy of 83.6% (95% CI 78.8-87.5), a sensitivity of 96.9% (95% CI 93.7-98.5), and a specificity of 30.4% (95% CI 19.9–43.3). The area under the receiver-operating characteristic curve (AUC) of this model was 0.666 (95% CI 0.600-0.732).

# Discussion

In the present study, we observed that patients with LBBB and a QRS complex  $\geq$  130 ms or with non-LBBB and a QRS complex  $\geq$  150 ms had significantly less chance of LV functional recovery compared with patients in the control group. The probability of LV improvement might differ according to the QRS morphology and duration, as the QRS complex reflects the pathological changes in LV components such as the conduction system, cardiomyopathy, and ventricular fibrosis.<sup>18,19</sup> Patients with an indication for CRT are more likely to experience poor LV recovery with medical treatment

Figure 5 Regression model for determining patients without improvement. LA, left atrial; LVEF, left ventricular ejection fraction; OMT, optimal medical therapy.



alone, as it is possible that an underlying structural change of LV would have already occurred. LBBB and prolongation of the QRS complex are well-known poor prognostic factors in patients with chronic HF.<sup>19,20</sup> Interestingly, although 84.6% of patients in the non-LBBB wide QRS group did not experience improvement in LV function, the mean EF change was 14.1%, which was almost identical to that in the control group. This implies that although patients in the non-LBBB wide QRS group generally tend to have less improvement in LV function, in case their LV function does improve, it will likely be to a large degree. Moreover, based on the Kaplan-Meier curves plotted for all-cause mortality according to CRT indication (Figure 4), an increasing gap can be found in the survival rate between the CRT candidate group and the non-CRT candidate group during the first 3 months after the index hospital admission, subsequently followed by a plateau. Given these findings, we should recognize that there is a special group of patients who need to be responded early against worsening LV function in the CRT candidates. It is essential to determine which patients will not improve, even after OMT, among these groups.

The results of the multivariable logistic regression model, as well as the decision tree analysis, revealed that decreased LVEF and suboptimal medical therapy were significant factors associated with adverse outcomes and lack of improvement of LV function. The OR increased by 7% for every 1% decrease in LVEF in the multivariable regression model. This result was also supported by the CART analysis, which showed that an LVEF < 30.87% was associated with an increased risk of adverse outcomes and lack of improvement of LV function during the follow-up. The importance of this finding is exemplified by the results of an individual meta-analysis of three double-blind, randomized trials that found that a lower LVEF is an independent predictor of a good early clinical response to CRT in patients with symptomatic chronic HF and reduced EF.<sup>21</sup> By combining these results, it can be suggested that patients with lower LVEF benefit the most from early implementation of CRT through both lowering the risk of adverse outcomes and improving HF.

Current guidelines for CRT, and previous large-scale, randomized controlled trials, have recommended prescribing OMT for at least 3 months before considering CRT implantation. This is based on evidence showing that LV function and HF could improve strictly with OMT.<sup>6,10</sup> However, under common clinical conditions, several patients cannot receive OMT. In a previous study on implantable cardioverter-defibrillator, only 61.1% of patients received OMT for 3 months before the initiation of device-based therapy.<sup>14</sup> In another registry, it was reported that only 30% of patients received OMT before CRT.<sup>22</sup> The majority of patients included in that study were treated by HF specialists, and significant attempts were made to ensure that patients received the maximum possible OMT. However, in our study, only 61.6% of the entire study population and 53.6% of patients for whom CRT was indicated were treated with OMT during their index hospitalization. Patients are often not able to receive treatment with these medicines due to marginal blood pressure, significant bradycardia, impaired renal function, pulmonary congestion, and other complications. They are required to spend 3 months without active interventions to improve LV function and hopefully clinical outcome, without being able to receive OMT. Consequently, the clinical status during OMT appears to be the primary determinant of adverse outcomes and lack of improvement of LV function.

Because the results of the logistic regression model are not intuitive, are difficult to apply directly in clinical practice, and do not provide a cut-off value, we adopted a CART model to help clinicians in the decision-making process in various complex situations for patients meeting the criteria for CRT. This analysis revealed that if the LVEF at baseline was  $\geq$  30.87% and the LA diameter was ≥56.5 mm, the probability of improvement was extremely low. Patients with an LVEF < 30.87% or LVEF  $\geq$  30.87% with an LA diameter < 56.5 mm but suboptimal medical therapy also had 15% and 29.2% probabilities of improvement, respectively. Only those whose LVEF was ≥30.87% with an LA diameter < 56.5 mm and were undergoing OMT had a significant chance of improvement of 70.8%. These results suggest that considering an earlier CRT implementation is beneficial for patients with a lower LVEF and a higher LA diameter who could not receive OMT for whatever reason.

The patients who would benefit the most after early consideration are those who would not improve even after waiting but would respond well to CRT. Thus, the ideal predictors should identify those patients who would not improve after OMT but would be CRT responders. Studies aiming to identify discriminant factors of CRT responders continue. Among them, the CRT response markers identified in relatively early published studies, such as LBBB, QRS duration, female sex, non-ischaemic aetiology, body mass index, and age,<sup>23,24</sup> were not descriptive factors of LV non-improvement in our study. However, LA diameter in the CART analysis and LVESD, which had strong correlations with LVEDD on the multivariable regression in the present study, were significant factors for LV non-improvement. LA volume index<sup>23</sup> and LVEDD<sup>25</sup> were predictive factors of a response to CRT in some studies. Therefore, LA and LV size might be a good marker of early implantation of CRT who might benefit the most. Meanwhile, recent studies identified these patient groups through scoring with reproducible variables that are relatively easy to apply clinically.<sup>26</sup> The studies tested sophisticated echocardiographic findings associated with dyssynchrony such as septal splash, apical rocking, interventricular mechanical delay, and septal to lateral delay.<sup>25,26</sup> These markers would be predictors of our purpose and should be tested in future studies.

Looking for these markers for early CRT implantation and response would be more important considering that a recent

pilot study named STOP-CRT demonstrated the feasibility of neurohumoral blocker withdrawal in patients with normalized EFs after CRT.<sup>27</sup> Successful discontinuation of neurohumoral blockers after CRT implantation would suggest that dyssynchrony plays a major pathologic role aside from neurohumoral activation in a certain patient group. In this case, it must be preferable to correct the main culprit directly to reduce the treatment period, economic burden, and the risks of HF medication-related side effects.

### **Study limitation**

First, this was not a randomized controlled trial specifically designed to evaluate the efficacy and safety of early CRT implementation. Therefore, we could not reach a conclusion regarding the appropriate waiting period before CRT. Moreover, we cannot rule out the possibility that confounding factors may have influenced our results. However, we believe that our analysis is still important as a stimulus for further study regarding the appropriate waiting period for CRT implementation. Second, the changes in medication during the follow-up were not reflected in this analysis. However, as there was no significant change or only a slight increase in the proportion of patients receiving OMT after discharge from HF treatment in previous reports,<sup>28–30</sup> this limitation may not be significant. Third, we implemented the CART model using relatively small populations, thus indicating the possibility of exaggerated or skewed results. Therefore, this model should be validated using a different cohort of patients. The AUC of this model was relatively small, but it was the most optimal model in terms of the aspect of stability. Finally, the early use of sacubitril-valsartan as an OMT has been shown to be related with better outcomes and reverse remodelling, which might affect the CRT consideration period.<sup>31</sup> However, this could not be evaluated in our analysis because the drug was not available at the time of registry enrolment.

Patients who met the criteria for CRT implementation had a higher mortality rate early in their follow-up after the index hospitalization than those who did not meet these criteria. Moreover, the probability of LV improvement was low in this population. In particular, LV improvement occurred rarely in those with lower LVEF and large cardiac chamber diameters who could not receive OMT. These results suggest that the current guideline of uniformly waiting for at least 3 months before CRT implementation should be reviewed. Also, further studies are mandatory to determine the appropriate timing for CRT and discriminant factors for early CRT responders

# **Conflict of interest**

Jung Ae Hong, Sang Eun Lee, Seon-Ok Kim, Min-Seok Kim, Hae-Young Lee, Hyun-Jai Cho, Jin Oh Choi, Eun-Seok Jeon, Kyung-Kuk Hwang, Shung Chull Chae, Sang Hong Baek, Seok-Min Kang, Dong-Ju Choi, Byung-Su Yoo, Kye Hun Kim, Myeong-Chan Cho, Byung-Hee Oh, and Jae-Joong Kim declare that they have no conflict of interest.

# Funding

This research was supported by grants from the Korea Health Technology R&D Project through the Korea Health Industry Development Institute(KHIDI), funded by the Ministry of Health & Welfare, Republic of Korea (HR21C0198), the Asan Institute for Life Sciences, Asan Medical Center, Seoul, Korea (2018IL0774-1), and the Korea Centers for Disease Control and Prevention (2016-ER6303-01)

# **Supporting information**

Additional supporting information may be found online in the Supporting Information section at the end of the article.

**Table S1.** Univariate binary logistic regression results: factorsrelated to adverse outcome or no improvement in LVfunction.

**Table S2.** Change in left ventricular ejection fraction and left ventricular improvement from baseline to follow-up.

# References

 Auricchio A, Stellbrink C, Sack S, Block M, Vogt J, Bakker P, Huth C, Schondube F, Wolfhard U, Bocker D, Krahnefeld O, Kirkels H, Pacing Therapies in Congestive Heart Failure Study G. Long-term clinical effect of hemodynamically optimized cardiac resynchronization therapy in patients with heart failure and ventricular conduction delay. J Am Coll Cardiol 2002; **39**: 2026–2033.

 Abraham WT, Fisher WG, Smith AL, Delurgio DB, Leon AR, Loh E, Kocovic DZ, Packer M, Clavell AL, Hayes DL, Ellestad M, Messenger J, Trupp RJ, Underwood J, Pickering F, Truex C, McAtee P, Grp MS. Cardiac resynchronization in chronic heart failure. *N Engl J Med* 2002; **346**: 1845–1853.

 Young JB, Abraham WT, Smith AL, Leon AR, Lieberman R, Wilkoff B, Canby RC, Schroeder JS, Liem LB, Hall S, Wheelan K, Multicenter InSync ICDRCETI. Combined cardiac resynchronization and implantable cardioversion defibrillation in advanced chronic heart failure: the MIRACLE ICD Trial. *JAMA* 2003; **289**: 2685–2694.

- Cleland JG, Daubert JC, Erdmann E, Freemantle N, Gras D, Kappenberger L, Tavazzi L, Cardiac Resynchronization-Heart Failure Study I. The effect of cardiac resynchronization on morbidity and mortality in heart failure. N Engl J Med 2005; 352: 1539–1549.
- Bristow MR, Saxon LA, Boehmer J, Krueger S, Kass DA, De Marco T, Carson P, DiCarlo L, DeMets D, White BG, DeVries DW, Feldman AM, Investigators C. Cardiac-resynchronization therapy with or without an implantable defibrillator in advanced chronic heart failure. N Engl J Med 2004; 350: 2140–2150.
- 6. Ponikowski P, Voors AA, Anker SD, Bueno H, Cleland JG, Coats AJ, Falk V, Gonzalez-Juanatey JR, Harjola VP, Jankowska EA, Jessup M, Linde C, Nihoyannopoulos P, Parissis JT, Pieske B, Riley JP, Rosano GM, Ruilope LM, Ruschitzka F, Rutten FH, van der Meer P, Authors/Task Force M, Document R. 2016 ESC Guidelines for the diagnosis and treatment of acute and chronic heart failure: the Task Force for the diagnosis and treatment of acute and chronic heart failure of the European Society of Cardiology (ESC). Developed with the special contribution of the Heart Failure Association (HFA) of the ESC. Eur J Heart Fail 2016; 18: 891-975.
- 7. Writing Committee M, Yancy CW, Jessup M, Bozkurt B, Butler J, Casey DE Jr, Drazner MH, Fonarow GC, Geraci SA, Horwich T, Januzzi JL, Johnson MR, Kasper EK, Levy WC, Masoudi FA, McBride PE, McMurray JJ, Mitchell JE, Peterson PN, Riegel B, Sam F, Stevenson LW, Tang WH, Tsai EJ, Wilkoff BL, American College of Cardiology Foundation/ American Heart Association Task Force on Practice G. ACCF/AHA guideline for the management of heart failure: a report of the American College of Cardiology Foundation/American Heart Association Task Force on practice guide-2013; 2013: lines. Circulation e240-e327.
- 8. Nishimura RA, Otto CM, Bonow RO, Carabello BA, Erwin JP 3rd, Fleisher LA, Jneid H, Mack MJ, McLeod CJ, O'Gara PT, Rigolin VH, Sundt TM 3rd, Thompson A. 2017 AHA/ACC focused update of the 2014 AHA/ACC guideline for the management of patients with valvular heart disease: a report of the American College of Cardiology/American Heart Association Task Force on Clinical Practice Guidelines. J Am Coll Cardiol 2017; 70: 252–289.
- Patel MR, Dehmer GJ, Hirshfeld JW, Smith PK, Spertus JA. ACCF/SCAI/ STS/AATS/AHA/ASNC 2009 appropriateness criteria for coronary revascularization: a report of the American College of Cardiology Foundation Appropriateness Criteria Task Force, Society for Cardiovascular Angiography

and Interventions, Society of Thoracic Surgeons, American Association for Thoracic Surgery, American Heart Association, and the American Society of Nuclear Cardiology: endorsed by the American Society of Echocardiography, the Heart Failure Society of America, and the Society of Cardiovascular Computed Tomography. *Circulation* 2009; **119**: 1330–1352.

- 10. Epstein AE, DiMarco JP, Ellenbogen KA, Estes NA 3rd, Freedman RA, Gettes LS, Gillinov AM, Gregoratos G, Hammill SC, Hayes DL, Hlatky MA, Newby LK, Page RL, Schoenfeld MH, Silka MJ, Stevenson LW, Sweeney MO, Tracy CM, Epstein AE, Darbar D, DiMarco JP, Dunbar SB, Estes NA 3rd, Ferguson TB Jr, Hammill SC, Karasik PE, Link MS, Marine JE, Schoenfeld MH, Shanker AJ, Silka MJ, Stevenson LW, Stevenson WG, Varosy PD, American College of Cardiology F, American Heart Association Task Force on Practice G, Heart Rhythm S. ACCF/AHA/HRS focused update incorporated into the ACCF/AHA/ HRS 2008 guidelines for device-based therapy of cardiac rhythm abnormalities: a report of the American College of Cardiology Foundation/American Heart Association Task Force on Practice Guidelines and the Heart Rhythm Society. J Am Coll Cardiol 2012; 2013: e6-e75.
- Chioncel O, Mebazaa A, Harjola VP, Coats AJ, Piepoli MF, Crespo-Leiro MG, Laroche C, Seferovic PM, Anker SD, Ferrari R, Ruschitzka F, Lopez-Fernandez S, Miani D, Filippatos G, Maggioni AP, Investigators ESCHFL-TR. Clinical phenotypes and outcome of patients hospitalized for acute heart failure: the ESC Heart Failure Long-Term Registry. *Eur J Heart Fail* 2017; 19: 1242–1254.
- 12. Fonarow GC, Adams KF Jr, Abraham WT, Yancy CW, Boscardin WJ, Adhere Scientific Advisory Committee SG, Investigators. Risk stratification for in-hospital mortality in acutely decompensated heart failure: classification and regression tree analysis. JAMA 2005; 293: 572–580.
- Vaz Perez A, Otawa K, Zimmermann AV, Stockburger M, Muller-Werdan U, Werdan K, Schmidt HB, Ince H, Rauchhaus M. The impact of impaired renal function on mortality in patients with acutely decompensated chronic heart failure. *Eur J Heart Fail* 2010; 12: 122–128.
- Roth GA, Poole JE, Zaha R, Zhou W, Skinner J, Morden NE. Use of guideline-directed medications for heart failure before cardioverter-defibrillator implantation. J Am Coll Cardiol 2016; 67: 1062–1069.
- 15. Sze E, Samad Z, Dunning A, Campbell KB, Loring Z, Atwater BD, Chiswell K, Kisslo JA, Velazquez EJ, Daubert JP. Impaired recovery of left ventricular function in patients with cardiomyopathy

and left bundle branch block. *J Am Coll Cardiol* 2018; **71**: 306–317.

- 16. Lee SE, Cho HJ, Lee HY, Yang HM, Choi JO, Jeon ES, Kim MS, Kim JJ, Hwang KK, Chae SC, Seo SM, Baek SH, Kang SM, Oh IY, Choi DJ, Yoo BS, Ahn Y, Park HY, Cho MC, Oh BH. A multicentre cohort study of acute heart failure syndromes in Korea: rationale, design, and interim observations of the Korean Acute Heart Failure (KorAHF) registry. Eur J Heart Fail 2014; 16: 700–708.
- 17. Lee SE, Lee HY, Cho HJ, Choe WS, Kim H, Choi JO, Jeon ES, Kim MS, Kim JJ, Hwang KK, Chae SC, Baek SH, Kang SM, Choi DJ, Yoo BS, Kim KH, Park HY, Cho MC, Oh BH. Clinical characteristics and outcome of acute heart failure in Korea: results from the Korean Acute Heart Failure Registry (KorAHF). *Korean Circ J* 2017; **47**: 341–353.
- Nada A, Gintant GA, Kleiman R, Gutstein DE, Gottfridsson C, Michelson EL, Strnadova C, Killeen M, Geiger MJ, Fiszman ML, Koplowitz LP, Carlson GF, Rodriguez I, Sager PT. The evaluation and management of drug effects on cardiac conduction (PR and QRS intervals) in clinical development. *Am Heart J* 2013; 165: 489–500.
- Shamim W, Francis DP, Yousufuddin M, Varney S, Pieopli MF, Anker SD, Coats AJ. Intraventricular conduction delay: a prognostic marker in chronic heart failure. *Int J Cardiol* 1999; 70: 171–178.
- Vernooy K, Verbeek XA, Peschar M, Crijns HJ, Arts T, Cornelussen RN, Prinzen FW. Left bundle branch block induces ventricular remodelling and functional septal hypoperfusion. *Eur Heart J* 2005; 26: 91–98.
- Linde C, Abraham WT, Gold MR, Daubert JC, Tang ASL, Young JB, Sherfesee L, Hudnall JH, Fagan DH, Cleland JG. Predictors of short-term clinical response to cardiac resynchronization therapy. *Eur J Heart Fail* 2017; 19: 1056–1063.
- 22. Pokharel Y, Wei J, Hira RS, Kalra A, Shore S, Kerkar PG, Kumar G, Risch S, Vicera V, Oetgen WJ, Deswal A, Turakhia MP, Glusenkamp N, Virani SS. Guideline-directed medication use in patients with heart failure with reduced ejection fraction in India: American College of Cardiology's PINNACLE India Quality Improvement Program. *Clin Cardiol* 2016; **39**: 145–149.
- 23. Hsu JC, Solomon SD, Bourgoun M, McNitt S, Goldenberg I, Klein H, Moss AJ, Foster E, Committee M-CE. Predictors of super-response to cardiac resynchronization therapy and associated improvement in clinical outcome: the MADIT-CRT (multicenter automatic defibrillator implantation trial with cardiac resynchronization therapy) study. J Am Coll Cardiol 2012; 59: 2366–2373.
- 24. van Bommel RJ, Bax JJ, Abraham WT, Chung ES, Pires LA, Tavazzi L,

Zimetbaum PJ, Gerritse B, Kristiansen N, Ghio S. Characteristics of heart failure patients associated with good and poor response to cardiac resynchronization therapy: a PROSPECT (Predictors of Response to CRT) sub-analysis. *Eur Heart J* 2009; **30**: 2470–2477.

- 25. Bernard A, Menet A, Marechaux S, Fournet M, Schnell F, Guyomar Y, Leclercq C, Mabo P, Fauchier L, Donal E. Predicting clinical and echocardiographic response after cardiac resynchronization therapy with a score combining clinical, electrocardiographic, and echocardiographic parameters. *Am J Cardiol* 2017; **119**: 1797–1802.
- 26. Maass AH, Vernooy K, Wijers SC, van 't Sant J, Cramer MJ, Meine M, Allaart CP, De Lange FJ, Prinzen FW, Gerritse B, Erdtsieck E, Scheerder COS, Hill MRS, Scholten M, Kloosterman M, Ter Horst IAH, Voors AA, Vos MA, Rienstra M, Van Gelder IC. Refining success of cardiac resynchronization therapy using

a simple score predicting the amount of reverse ventricular remodelling: results from the Markers and Response to CRT (MARC) study. *Europace* 2018; **20**: e1–e10.

- Nijst P, Martens P, Dauw J, Tang WHW, Bertrand PB, Penders J, Bruckers L, Voros G, Willems R, Vandervoort PM, Dupont M, Mullens W. Withdrawal of neurohumoral blockade after cardiac resynchronization therapy. J Am Coll Cardiol 2020; 75: 1426–1438.
- 28. Lamb DA, Eurich DT, McAlister FA, Tsuyuki RT, Semchuk WM, Wilson TW, Blackburn DF. Changes in adherence to evidence-based medications in the first year after initial hospitalization for heart failure: observational cohort study from 1994 to 2003. Circ Cardiovasc Qual Outcomes 2009; 2: 228–235.
- Chang HY, Wang CC, Wei J, Chang CY, Chuang YC, Huang CL, Chong E, Lin JL, Mar GY, Chan KC, Kuo JY, Wang

JH, Chen ZC, Tseng WK, Cherng WJ, Yin WH. Gap between guidelines and clinical practice in heart failure with reduced ejection fraction: results from TSOC-HFrEF registry. *J Chin Med Assoc* 2017: **80**: 750–757.

- 30. Cho MS, Kim MS, Lee SE, Choi HI, Lee JB, Cho HJ, Lee HY, Choi JO, Jeon ES, Hwang KK, Chae SC, Baek SH, Kang SM, Choi DJ, Yoo BS, Ahn Y, Kim KH, Park HY, Cho MC, Oh BH, Kim JJ. Outcomes after predischarge initiation of beta-blocker in patients hospitalized for severe decompensated heart failure requiring inotropic therapy. *Can J Cardiol* 2018; 34: 1145–1152.
- Velazquez EJ, Morrow DA, DeVore AD, Duffy CI, Ambrosy AP, McCague K, Rocha R, Braunwald E, Investigators P-H. Angiotensin-neprilysin inhibition in acute decompensated heart failure. N Engl J Med 2019; 380: 539–548.