

# Sex and Race Differences in the Utilization and Outcomes of Coronary Artery Bypass Grafting Among Medicare Beneficiaries, 1999–2014

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**Background**—With over a decade of directed efforts to reduce sex and racial differences in coronary artery bypass grafting (CABG) utilization, and post-CABG outcomes, we sought to evaluate how the use of CABG and its outcomes have evolved in different sex and racial subgroups.

**Methods and Results**—Using data on all fee-for-service Medicare beneficiaries undergoing CABG in the United States from 1999 to 2014, we examined differences by sex and race in calendar-year trends for CABG utilization and post-CABG outcomes (in-hospital, 30-day, and 1-year mortality and 30-day readmission). A total of 1 863 719 Medicare fee-for-service beneficiaries (33.6% women, 4.6% black) underwent CABG from 1999 to 2014, with a decrease from 611 to 245 CABG procedures per 100 000 person-years. Men compared with women and whites compared with blacks had higher CABG utilization, with declines in all subgroups. Higher post-CABG annual declines in mortality (95% confidence interval) were observed in women (in-hospital,  $-2.70\%$  [ $-2.97, -2.44$ ]; 30-day,  $-2.29\%$  [ $-2.54, -2.04$ ]; and 1-year mortality,  $-1.67\%$  [ $-1.88, -1.46$ ]) and blacks (in-hospital,  $-3.31\%$  [ $-4.02, -2.60$ ]; 30-day,  $-2.80\%$  [ $-3.49, -2.12$ ]; and 1-year mortality,  $-2.38\%$  [ $-2.92, -1.84$ ]), compared with men and whites, respectively. Mortality rates remained higher in women and blacks, but differences narrowed over time. Annual adjusted 30-day readmission rates remained unchanged for all patient groups.

**Conclusions**—Women and black patients had persistently higher CABG mortality than men and white patients, respectively, despite greater declines over the time period. These findings indicate progress, but also the need for further progress. (*J Am Heart Assoc.* 2018;7:e009014. DOI: 10.1161/JAHA.118.009014.)

**Key Words:** bypass graft • mortality • race • readmission • sex

Quality improvement initiatives for coronary artery bypass grafting (CABG) have paralleled improved postoperative outcomes, despite declining CABG volumes in the United States.<sup>1,2</sup> However, several studies have highlighted lower CABG utilization rates and worse post-CABG mortality among women and racial/ethnic minorities, particularly blacks.<sup>3–7</sup> This awareness has spurred multifaceted local and national interventions aimed at narrowing sex- and race-related differences in CABG use and outcomes.<sup>8–11</sup> However, it is not clear whether there has been a concomitant change in the

differences in outcomes by sex and race over time. Given that previous studies have focused primarily on overall CABG utilization and outcomes,<sup>12–16</sup> a contemporary assessment of relative trends in CABG utilization, post-CABG mortality, and readmission rates, across subgroups of race and sex, is urgently needed. An understanding of how these outcomes have evolved across sex and racial divides may provide valuable insight into the effects of various efforts undertaken by different authorities to narrow the sex and race gap.

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Accompanying Figures S1 through S5 are available at <http://jaha.ahajournals.org/content/7/14/e009014/DC1/embed/inline-supplementary-material-1.pdf>

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## Clinical Perspective

### What Is New?

- The utilization of coronary artery bypass grafting (CABG) decreased over the past 2 decades, with concurrent decrease in post-CABG mortality.
- Women and black patients experienced higher declines in post-CABG mortality than men and white patients, respectively.
- In spite of higher declines in mortality rates, women and black patients continued to have higher post-CABG mortality at the end of the study.

### What Are the Clinical Implications?

- Further efforts are required to harmonize the care of women and black patients undergoing CABG, to narrow the gap in the outcomes.

Accordingly, we examined national fee-for-service Medicare data to determine sex- and race-based differences in CABG utilization, and post-CABG mortality and 30-day readmission rates over the past 2 decades in Medicare beneficiaries aged  $\geq 65$  years. Specifically, we evaluated trends in CABG utilization and early, as well as 1-year, postoperative outcomes after CABG among fee-for-service Medicare beneficiaries during the period from 1999 through 2014.

## Methods

Analytical methods can be made available to other researchers for purposes of reproducing the results or replicating the procedure through the corresponding author. The data themselves are available through the Centers for Medicare & Medicaid Services.

## Study Sample

We used the Medicare beneficiary denominator file from the Centers for Medicare & Medicaid Services to identify beneficiaries aged  $\geq 65$  years who were enrolled in the fee-for-service plan for at least 1 month from January 1999 through December 2014. We calculated beneficiary-years for each beneficiary to account for new enrollment, disenrollment, or death for each year of the study. We then linked the beneficiary-years data to the Medicare fee-for-service inpatient claims data to identify fee-for-service patients who underwent CABG during an acute care hospitalization in the United States from January 1, 1999 through December 31, 2014, based on the *International Classification of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM)* procedure codes 36.10 through 36.36.

## Patient Characteristics

Patient demographic information included age, sex, and race (white, black, or other race). We identified comorbidities included in the Centers for Medicare & Medicaid Services 30-day mortality and readmission measures for acute myocardial infarction and heart failure,<sup>17,18</sup> including cardiovascular risk factors (hypertension, diabetes mellitus, atherosclerotic disease, unstable angina, previous myocardial infarction, previous heart failure, peripheral vascular disease, stroke, and other cerebrovascular diseases), geriatric conditions (dementia, functional disability, and malnutrition), and other conditions (renal failure, chronic obstructive pulmonary disease, pneumonia, respiratory failure, liver disease, cancer, major psychiatric disorders, depression, and trauma). We determined comorbidities from a combination of secondary diagnosis codes for the index hospitalization and principal and secondary diagnosis codes for all hospitalizations over 12 months preceding the index hospitalization.

## Outcomes

CABG procedures were defined as undergoing CABG during a hospitalization. Rates of CABG procedures were calculated by dividing the total number of procedures in each year by the corresponding person-years of fee-for-service beneficiaries for that year. We also determined rates of mortality, readmission, and length of hospital stay. We defined rates of all-cause in-hospital, 30-day, and 1-year mortality as the proportion of CABG procedures resulting in death, regardless of cause, during the index hospital stay, within 30 days, and within 1 year, respectively, from the date of the CABG procedure. We defined 30-day readmission as a hospitalization at any acute-care facility within 30 days after the date of discharge from the index hospitalization for CABG.

## Statistical Analysis

Rates of hospitalizations for CABG are expressed per 100 000 person-years; mortality and readmission rates as percentages; and lengths of stay as median days and the corresponding interquartile ranges. We fit a mixed-effects model with a Poisson-link function and random county-specific intercepts to determine the annual changes in CABG hospitalization rate, adjusted for age, sex, and race. We included a spherical covariate structure in models to account for spatial autocorrelation. Next, to assess in-hospital, 30-day, and 1-year mortality risk, we fit a mixed model with a logit-link function and hospital random intercepts to determine the change in in-hospital, 30-day, and 1-year mortality rates, adjusted for patient age, sex, race, and comorbidities. We evaluated for changes in 30-day readmission rates using a Cox proportional-hazards model that adjusted for age, sex, race, and

comorbidities, censored for deaths. All models included an ordinal time variable 0 to 16, representing the years 1999–2014, after the visual inspection of crude rates revealed a linear pattern. We included an interaction term, between the time variable and each of the sex and race subgroups, in the model to assess whether the change in outcomes was statistically significant across the subgroups. To permit complete follow-up, we restricted the 1-year mortality model to 2013 discharges and the 30-day readmission model to November 30, 2014 discharges. After testing the adequacy of the model, the proportional hazards assumption was satisfied.<sup>19</sup> All statistical tests were 2-sided with a significance level of 0.05. We conducted the analyses with SAS software (version 9.4; SAS Institute Inc, Cary, NC). The Yale University Institutional Review Board reviewed the study protocol and granted a waiver of informed consent for the use of this de-identified database.

## Results

### Patient Characteristics

A total of 1 863 719 fee-for-service Medicare beneficiaries underwent CABG from 1999 through 2014 (mean age, 74.5; 33.6% women, 4.6% black). CABG procedure rates (per 100 000 person-years) decreased from 611 in 1999 to 245 in 2014. Characteristics of patients undergoing CABG are shown in Table 1. Figure S1 shows the annual change in baseline characteristics for sex and racial groups over the study period. During the study period, comorbidity burden of patients undergoing CABG increased with prevalence of hypertension increasing from 59.6% to 64.9%, diabetes mellitus from 29.2% to 34.6%, and renal failure from 2.1% to 8.2%.

### Trends in CABG Utilization by Sex and Race

The proportion of women, among all those undergoing CABG, decreased linearly from 35.9% to 29.1% and experienced lower rates of CABG, but a greater relative decline than men. Women experienced a 65.7% relative decline in CABG procedures, from 370 per 100 000 person-years in 1999 to 127 per 100 000 in 2014; the decline among men was 59.5%, from 967 per 100 000 person-years in 1999 to 392 per 100 000 person-years in 2014 (Figure 1). CABG utilization decreased in all racial subgroups as well as in all subgroups defined by different combinations of sex and race. For black patients, CABG procedure rates decreased by 51.4%, from 311 per 100 000 person-years in 1999 to 151 per 100 000 person-years; for white patients, it decreased by 59.8%, from 650 per 100 000 person-years in 1999 to 261 per 100 000 person-years (Figure 1). CABG procedure rates were highest

in white men throughout the study period, compared with other sex- and race-stratified subgroups.

### Trends in Mortality Outcomes

From 1999 to 2014, there were absolute declines in the mortality rates in all subgroups. The overall in-hospital mortality rate declined by 36.5% (5.2% [95% confidence interval {CI}, 5.0–5.3] in 1999 and 3.3% [95% CI, 3.2–3.4] in 2014; 30-day mortality declined by 29.8% (5.7% [95% CI, 5.6–5.8] to 4.0% [95% CI, 3.9–4.2]) over the study period. However, 1-year mortality showed an initial rise from 4.8% (95% CI, 4.7–5.0) in 1999 to 5.7% (95% CI, 5.5–5.8) in 2005, followed by a decline to 4.7% (95% CI, 4.5–4.8) in 2013, a nearly 20% change in each direction.

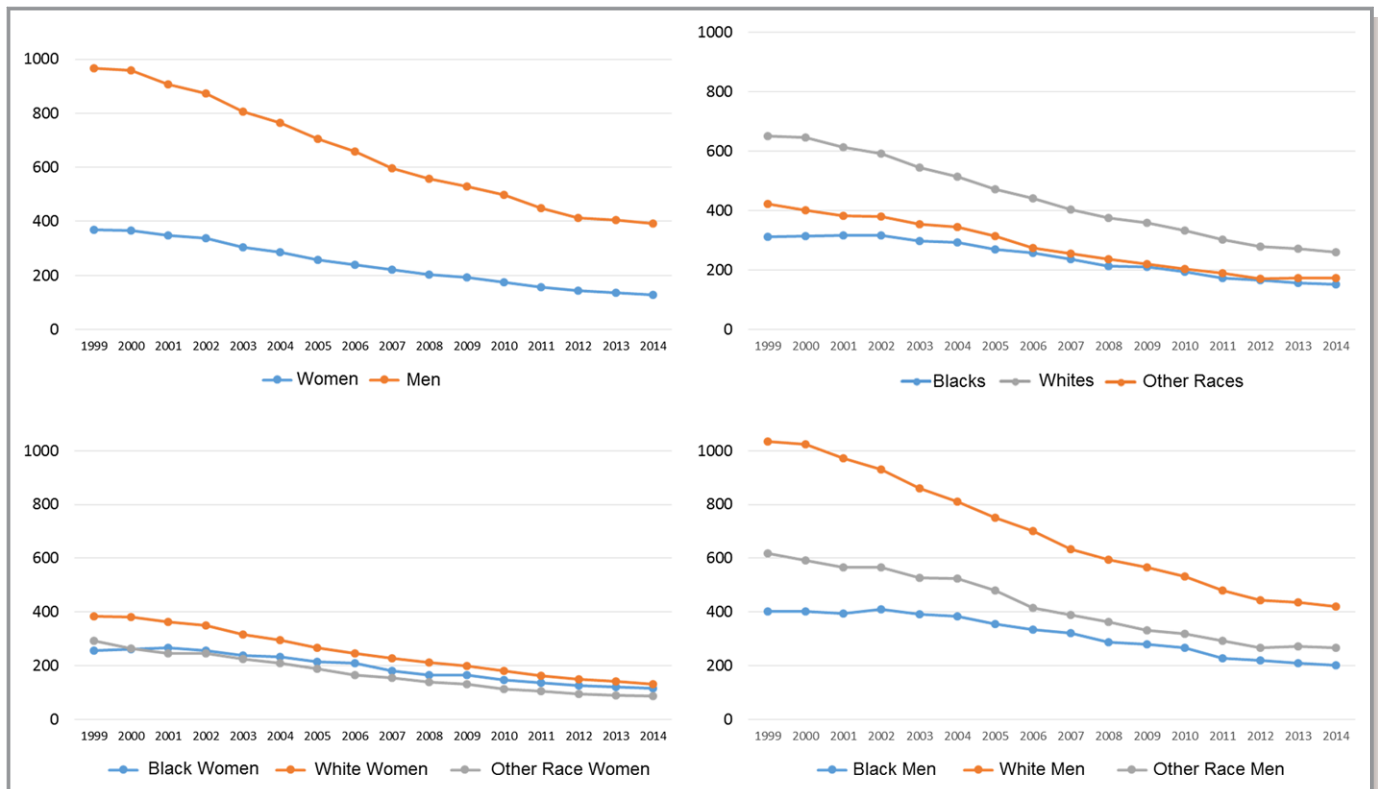
In-hospital mortality decreased for both sexes and all racial groups (Table 2; Figure S2). Women experienced higher in-hospital mortality than men throughout the study period. Their in-hospital mortality decreased by 27.3% (6.6% [95% CI, 6.4–6.8] in 1999 to 4.8% [95% CI, 4.5–5.1] in 2014), whereas in men it decreased by 37.2% (4.3% [95% CI, 4.2–4.5] in 1999 to 2.7% [95% CI, 2.6–2.9] in 2014). The adjusted annual decline in the in-hospital mortality was slightly higher in women (–2.70% [95% CI, –2.97 to –2.44]) compared with men (–2.44% [95% CI, –2.67 to –2.21]). Black patients compared with white patients experienced higher in-hospital mortality, with a 30% decline during the study period (6.0% [95% CI, 5.4–6.6] in 1999 to 4.2% [95% CI, 3.5–4.9] in 2014) compared with a 23.3% decline for white patients (5.1% [95% CI, 5.0–5.2] in 1999 to 3.3% [95% CI, 3.1–3.4] in 2014). After adjusting for comorbidities, there was a larger annual decline of –3.31% in black patients (95% CI, –4.02 to –2.60) compared with –2.51% in white patients (95% CI, –2.69 to –2.33; Figure 2).

We also found differences in temporal trends in 30-day and 1-year mortality for both sex and racial subgroups. Observed 30-day mortality rates decreased in both men and women, and all racial subgroups, but mortality rates remained higher in women and black patients (Table 2; Figure S3). Adjusted 30-day mortality showed a higher annual decline for women (–2.29%; 95% CI, –2.54 to –2.04) than men (–1.89%; 95% CI, –2.11 to –1.68), and for black patients (–2.80%; 95% CI, –3.49 to –2.12) than white patients (–2.00%; 95% CI, –2.17 to –1.82). For 1-year mortality, there were higher rates within a year of undergoing CABG in women compared with men; 5.6% (95% CI, 5.3–5.9) versus 4.3% (95% CI, 4.1–4.5) in 2013, and in black patients compared with white patients; 5.7% (95% CI, 4.9–6.5) versus 4.7% (95% CI, 4.5–4.8) in 2013. However, in contrast with in-hospital and 30-day mortality, the observed rates of 1-year mortality did not decline during the study period (Table 2; Figure S4). There were no differences in temporal trends in 1-year mortality by sex. Observed 1-year

**Table 1.** Characteristics of Patients Hospitalized for Coronary Artery Bypass Grafting Surgery

Patient Characteristics	No. (%) of Patients									
	1999–2000	2001–2002	2003–2004	2005–2006	2007–2008	2009–2010	2011–2012	2013–2014		
No. of patients	324 579	317 699	289 713	248 573	204 689	179 583	153 820	145 063		
<b>Demographics</b>										
Age, mean (SD), y	74.4 (5.5)	74.5 (5.6)	74.6 (5.7)	74.6 (5.8)	74.6 (6.0)	74.5 (6.1)	74.5 (6.1)	74.3 (6.1)		
Women	116 400 (35.9)	112 489 (35.4)	99 771 (34.4)	82 766 (33.3)	67 363 (32.9)	57 222 (31.9)	47 469 (30.9)	42 188 (29.1)		
White	299 445 (92.3)	291 096 (91.6)	263 502 (91.0)	225 572 (90.7)	186 002 (90.9)	162 853 (90.7)	138 912 (90.3)	130 165 (89.7)		
Black	12 911 (4.0)	13 943 (4.4)	13 556 (4.7)	11 991 (4.8)	9644 (4.7)	8779 (4.9)	7639 (5.0)	7108 (4.9)		
Other race*	12 223 (3.8)	12 660 (4.0)	12 655 (4.4)	11 010 (4.4)	9043 (4.4)	7951 (4.4)	7269 (4.7)	7790 (5.4)		
<b>Risk factors and cardiovascular conditions</b>										
Hypertension	193 444 (59.6)	198 951 (62.6)	184 127 (63.6)	155 230 (62.4)	133 451 (65.2)	116 721 (65.0)	104 896 (68.2)	94 218 (64.9)		
Diabetes mellitus	94 821 (29.2)	96 595 (30.4)	89 670 (31.0)	77 213 (31.1)	65 131 (31.8)	56 633 (31.5)	51 997 (33.8)	50 239 (34.6)		
Unstable angina	28 520 (8.8)	22 995 (7.2)	16 162 (5.6)	10 748 (4.3)	8213 (4.0)	6524 (3.6)	5213 (3.4)	4522 (3.1)		
Previous myocardial infarction	23 225 (7.2)	21 519 (6.8)	17 387 (6.0)	12 956 (5.2)	11 652 (5.7)	10 753 (6.0)	9623 (6.3)	9426 (6.5)		
Previous heart failure	33 467 (10.3)	31 361 (9.9)	28 163 (9.7)	23 196 (9.3)	19 091 (9.3)	16 861 (9.4)	15 222 (9.9)	13 581 (9.4)		
Peripheral vascular disease	17 299 (5.3)	17 516 (5.5)	16 006 (5.5)	13 857 (5.6)	11 847 (5.8)	9727 (5.4)	8189 (5.3)	6767 (4.7)		
Stroke	3534 (1.1)	3401 (1.1)	3017 (1.0)	2626 (1.1)	2282 (1.1)	2003 (1.1)	1856 (1.2)	1728 (1.2)		
Cerebrovascular disease other than stroke	14 755 (4.5)	13 998 (4.4)	12 017 (4.1)	9954 (4.0)	8014 (3.9)	6725 (3.7)	5840 (3.8)	4914 (3.4)		
<b>Geriatric conditions</b>										
Dementia	3218 (1.0)	3603 (1.1)	3686 (1.3)	3438 (1.4)	2949 (1.4)	2916 (1.6)	2253 (1.5)	1311 (0.9)		
Functional disability	3396 (1.0)	3187 (1.0)	2872 (1.0)	2168 (0.9)	2107 (1.0)	1887 (1.1)	1907 (1.2)	1710 (1.2)		
Malnutrition	3416 (1.1)	3665 (1.2)	4070 (1.4)	4572 (1.8)	5466 (2.7)	6445 (3.6)	6474 (4.2)	6139 (4.2)		
<b>Other conditions</b>										
Renal failure	6822 (2.1)	7857 (2.5)	8489 (2.9)	10 578 (4.3)	12 644 (6.2)	12 829 (7.1)	12 515 (8.1)	11 919 (8.2)		
Chronic obstructive pulmonary disease	61 177 (18.8)	64 493 (20.3)	61 583 (21.3)	55 571 (22.4)	39 291 (19.2)	28 366 (15.8)	24 681 (16.0)	22 133 (15.3)		
Pneumonia	16 986 (5.2)	17 320 (5.5)	17 392 (6.0)	16 164 (6.5)	15 356 (7.5)	14 726 (8.2)	13 022 (8.5)	11 856 (8.2)		
Respiratory failure	5658 (1.7)	5315 (1.7)	4895 (1.7)	4724 (1.9)	5403 (2.6)	5152 (2.9)	5190 (3.4)	5080 (3.5)		
Liver disease	1136 (0.3)	1290 (0.4)	1304 (0.5)	1174 (0.5)	943 (0.5)	865 (0.5)	908 (0.6)	971 (0.7)		
Cancer	12 957 (4.0)	13 199 (4.2)	12 006 (4.1)	10 537 (4.2)	8770 (4.3)	7567 (4.2)	6544 (4.3)	5841 (4.0)		

\*Other races include Asian, Hispanic, North American Native, or other not specified.



**Figure 1.** Rates of coronary artery bypass grafting procedures per 100 000 person-years, by sex and race from 1999 to 2014.

mortality also did not decrease among white patients, but there was a 17.4% decline in 1-year mortality in black patients (6.9% [95% CI, 6.2–7.5] in 1999 to 5.7% [95% CI, 4.9–6.5] in 2013), predominantly after the year 2011. The adjusted 1-year mortality showed a temporal decline and was different among the subgroups (Figure 2). After adjusting for changes in comorbidities over time, the annual decline in 1-year mortality was higher in women (−1.67%; 95% CI, −1.88 to −1.46) compared with men (−1.20%; 95% CI, −1.37 to −1.03), and in black patients (−2.38%; 95% CI, −2.92 to −1.84) compared with white patients (−1.33%; 95% CI, −1.47 to −1.19). C-indices for the in-hospital, 30-day, and 1-year mortality models were 0.71, 0.72, and 0.72, respectively. For the outcome of in-hospital mortality, the interaction term was significant for time and subgroup of men ( $P=0.02$ ), as well as for time and white patients ( $P<0.001$ ). Similarly, the interaction term for 30-day and 1-year mortality was statistically significant for time and men ( $P<0.001$ ), and time and white patients ( $P<0.001$ ).

### Trends in Post-CABG Readmissions

Observed 30-day readmission rates also showed marked differences across subgroups of sex and race. The observed 30-day readmission rate was higher in women than men, and in black patients than white patients, throughout the study

period (Table 3; Figure S5). For women, it decreased by 22.0% (21.8% [95% CI, 21.5–22.2] in 1999 to 17.0% [95% CI, 16.5–17.5] in 2014), whereas for men it decreased by 24.6% (17.5% [95% CI, 17.3–17.7] to 13.2% [95% CI, 12.9–13.5]). For black patients, the observed 30-day readmission rate decreased by 19.6% (21.4% [95% CI, 20.3–22.4] in 1999 to 17.2% [95% CI, 15.9–18.4] in 2014), whereas for white patients it decreased by 25.4% (18.9% [95% CI, 18.7–19.1] in 1999 to 14.1% [95% CI, 13.8–14.4] in 2014). Adjusted annual change in 30-day readmission was insignificant for all the subgroups (Figure 2). The adjusted annual change of 30-day readmission was similar for women (0.09% [95% CI, −0.05–0.22]) and men (0.09% [95% CI, −0.02–0.19]). Similarly, there was no significant change in the adjusted annual 30-day readmission rates for black patients (0.06% per year; 95% CI, −0.30–0.42) and white patients (0.08% per year; 95% CI, −0.01–0.17). The C-index for the readmission model was 0.58, with no significant interaction between subgroups.

### Discussion

Between 1999 and 2014, overall CABG utilization in fee-for-service Medicare beneficiaries decreased by more than 50%, with concurrent decreases in post-CABG mortality in all sex and race groups. Despite narrowing of the differences in post-CABG mortality over the study period, women and black



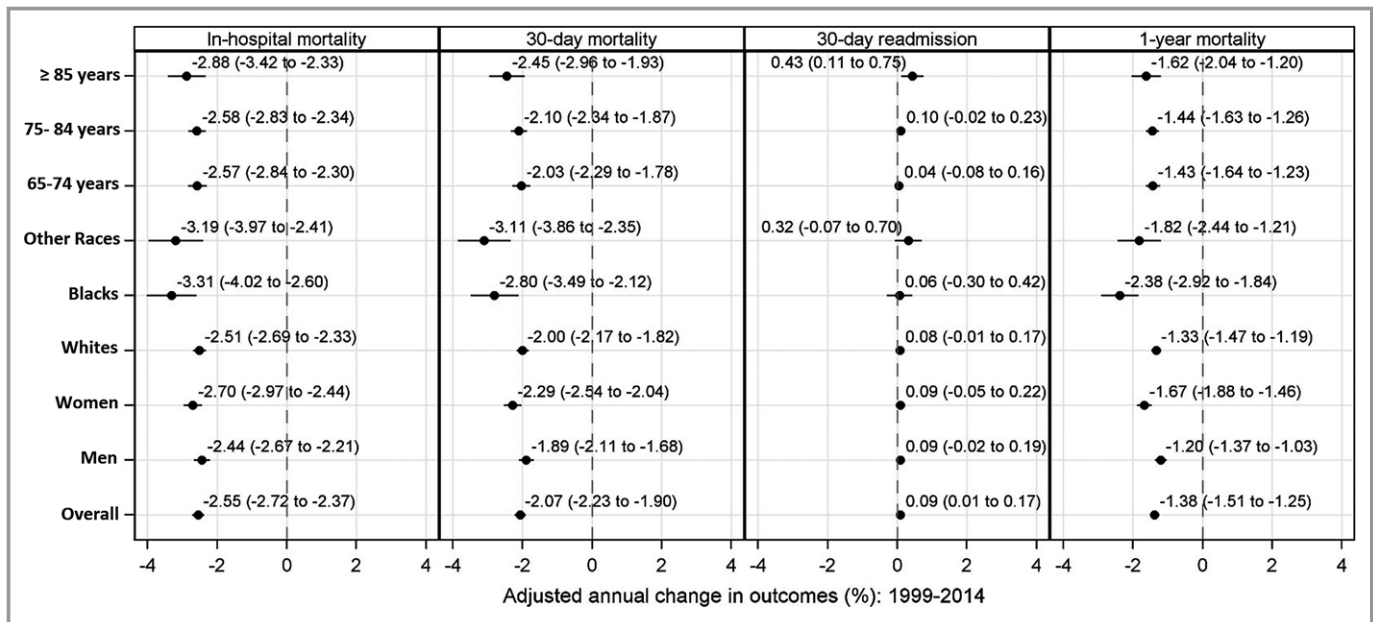
**Table 2.** Post-Coronary Artery Bypass Grafting Mortality Rates

	% (95% CI)					
	1999	2002	2005	2008	2011	2014*
<b>In-hospital mortality</b>						
No. of patients	161 860	158 384	130 078	98 352	79 600	71 307
Overall	5.2 (5.0–5.3)	4.7 (4.6–4.8)	4.4 (4.3–4.5)	3.8 (3.7–4.0)	3.4 (3.3–3.6)	3.3 (3.2–3.4)
<b>Sex</b>						
Men	4.3 (4.2–4.5)	3.9 (3.8–4.0)	3.7 (3.5–3.8)	3.2 (3.1–3.3)	2.9 (2.7–3.0)	2.7 (2.6–2.9)
Women	6.6 (6.4–6.8)	6.0 (5.8–6.2)	5.9 (5.7–6.2)	5.2 (4.9–5.4)	4.6 (4.4–4.9)	4.8 (4.5–5.1)
<b>Race</b>						
White	5.1 (5.0–5.2)	4.7 (4.6–4.8)	4.4 (4.3–4.5)	3.8 (3.7–3.9)	3.4 (3.2–3.6)	3.3 (3.1–3.4)
Black	6.0 (5.4–6.6)	5.0 (4.5–5.5)	5.3 (4.7–5.9)	4.9 (4.3–5.5)	4.0 (3.4–4.6)	4.2 (3.5–4.9)
Other race <sup>†</sup>	5.9 (5.3–6.5)	4.6 (4.1–5.2)	4.6 (4.1–5.1)	4.0 (3.5–4.6)	3.0 (2.5–3.6)	3.4 (2.9–4.0)
White men	4.3 (4.2–4.4)	3.9 (3.8–4.1)	3.6 (3.5–3.7)	3.1 (3.0–3.3)	2.9 (2.7–3.0)	2.7 (2.6–2.9)
White women	6.6 (6.3–6.8)	6.0 (5.8–6.2)	6.0 (5.7–6.2)	5.2 (4.9–5.4)	4.7 (4.5–5.0)	4.7 (4.2–5.0)
Black men	5.3 (4.6–6.2)	4.2 (3.6–4.9)	4.8 (4.0–5.6)	4.5 (3.7–5.4)	3.5 (2.8–4.4)	3.3 (2.5–4.2)
Black women	6.7 (5.8–7.4)	5.7 (4.9–6.5)	5.8 (5.0–6.7)	5.3 (4.4–6.4)	4.5 (3.6–5.5)	5.3 (4.2–6.5)
<b>30-d mortality</b>						
Overall	5.7 (5.6–5.8)	5.2 (5.1–5.3)	4.8 (4.7–5.0)	4.4 (4.2–4.5)	3.8 (3.7–3.9)	4.0 (3.9–4.2)
<b>Sex</b>						
Men	4.8 (4.7–5.0)	4.5 (4.4–4.6)	4.1 (3.9–4.2)	3.7 (3.6–3.9)	3.2 (3.1–3.4)	3.4 (3.3–3.6)
Women	7.2 (7.0–7.5)	6.6 (6.4–6.8)	6.4 (6.1–6.6)	5.7 (5.4–5.9)	5.1 (4.8–5.4)	5.5 (5.2–5.9)
<b>Race</b>						
White	5.6 (5.5–5.8)	5.2 (5.1–5.3)	4.8 (4.7–4.9)	4.3 (4.2–4.4)	3.8 (3.7–3.9)	4.0 (3.9–4.2)
Black	6.5 (6.0–7.2)	5.8 (5.3–6.4)	5.4 (4.9–6.0)	5.4 (4.8–6.1)	4.3 (3.7–5.0)	4.8 (4.1–5.5)
Other race <sup>†</sup>	6.4 (5.8–7.1)	5.1 (4.6–5.7)	4.8 (4.2–5.3)	4.2 (3.6–4.8)	3.4 (2.9–4.1)	3.8 (3.2–4.4)
White men	4.8 (4.7–4.9)	4.5 (4.3–4.6)	4.0 (3.9–4.2)	3.7 (3.5–3.8)	3.2 (3.0–3.4)	3.4 (3.3–3.6)
White women	7.2 (7.0–7.4)	6.6 (6.2–6.8)	6.5 (6.2–6.7)	5.7 (5.4–5.9)	5.2 (4.9–5.5)	5.5 (5.1–5.8)
Black men	5.5 (4.7–6.3)	4.8 (4.1–5.6)	5.0 (4.3–5.8)	5.0 (4.2–6.0)	4.0 (3.2–5.0)	3.9 (3.0–4.8)
Black women	7.6 (6.7–8.5)	6.8 (6.0–7.7)	5.9 (5.1–6.8)	5.9 (4.9–6.9)	4.6 (3.7–5.6)	5.9 (4.8–7.2)
<b>1-y mortality</b>						
Overall	4.8 (4.7–5.0)	5.1 (5.0–5.2)	5.7 (5.5–5.8)	5.4 (5.2–5.5)	5.0 (4.8–5.1)	4.7 (4.5–4.8)
<b>Sex</b>						
Men	4.5 (4.4–4.6)	4.7 (4.6–4.8)	5.2 (5.1–5.4)	5.0 (4.8–5.2)	4.6 (4.4–4.8)	4.3 (4.1–4.5)
Women	5.5 (5.3–5.7)	5.9 (5.7–6.1)	6.6 (6.3–6.8)	6.2 (5.9–6.4)	5.9 (5.6–6.2)	5.6 (5.3–5.9)
<b>Race</b>						
White	4.7 (4.6–4.9)	5.0 (4.9–5.1)	5.5 (5.4–5.7)	5.3 (5.1–5.4)	4.8 (4.7–5.0)	4.7 (4.5–4.8)
Black	6.9 (6.2–7.5)	7.2 (6.6–7.8)	7.7 (7.1–8.4)	6.8 (6.1–7.6)	7.5 (6.7–8.4)	5.7 (4.9–6.5)
Other race <sup>†</sup>	5.3 (4.8–6.0)	4.7 (4.2–5.2)	6.0 (5.3–6.6)	5.9 (5.2–6.6)	5.1 (4.5–5.9)	3.9 (3.3–4.6)
White men	4.4 (4.3–4.6)	4.6 (4.5–4.8)	5.1 (4.9–5.3)	4.9 (4.8–5.1)	4.5 (4.3–4.7)	4.3 (4.1–4.5)
White women	5.4 (5.2–5.6)	5.7 (5.5–5.9)	6.4 (6.2–6.7)	6.0 (5.7–6.3)	5.6 (5.3–5.9)	5.6 (5.3–6.0)
Black men	6.9 (6.0–7.9)	8.0 (7.1–9.0)	8.3 (7.3–9.3)	7.5 (6.4–8.7)	8.8 (7.5–10.2)	5.7 (4.7–6.8)
Black women	7.6 (6.7–8.5)	6.8 (6.0–7.7)	5.9 (5.1–6.8)	5.9 (4.9–6.9)	4.6 (3.7–5.6)	5.9 (4.8–7.2)

CI indicates confidence interval.

\*To permit complete follow-up, we restricted the 1-year mortality model to 2013 discharges.

<sup>†</sup>Other races include Asian, Hispanic, North American Native, or other not specified.



**Figure 2.** Adjusted annual change in coronary artery bypass grafting outcomes from 1999 to 2014.

patients continued to have worse outcomes. Furthermore, the burden of hospitalizations in the post-CABG period was high throughout the period, with 1 in 5 patients undergoing CABG readmitted within 30 days of discharge.

Previous studies evaluating trends of sex- and race-related differences in outcomes are dated, focus on CABG utilization over a shorter period of time, and have not adequately addressed differences in outcomes between these subgroups.<sup>12–14</sup> Furthermore, recent studies have not specifically evaluated sex- and race-related differences in outcomes and utilization.<sup>15,16</sup> By using estimates spanning 2 decades, we report contemporary national patterns of CABG utilization, which can be used to adjudicate the priority of post-CABG care as a priority for health policy interventions. Furthermore, our study highlights the care needs of the fee-for-service Medicare community, especially for women and black patients, and can guide the restructuring of care delivery that is necessary for the shrinking patient population undergoing CABG.

Despite narrowing of sex differences in mortality over the past 2 decades, women continued to experience worse in-hospital and 1-year mortality relative to men. This is in the context of continued lower rates of CABG use in women relative to men, which may be attributed to fewer surgical referrals in women.<sup>20</sup> Whereas the limited clinical detail captured in administrative data sets precludes the complete assessment of factors that underlie these differences, previous studies have demonstrated that women have a more challenging coronary anatomy than men,<sup>21–24</sup> are less likely to receive complete revascularization,<sup>24,25</sup> and have higher rates of graft failure,<sup>21,22</sup> resulting in higher mortality rates. Dedicated studies that can evaluate patterns of referral, treatment

decisions, and surgical outcomes are essential to close the sex-based gaps in post-CABG mortality among women.

Similarly, racial differences in utilization and mortality narrowed over the study period, but disparities persisted at the end of the study period. In contrast to sex differences, previous studies have suggested that biological differences between racial groups contribute little to the differences in outcomes, which are driven largely by differences in socioeconomic well-being and quality of care at hospitals where black patients seek care.<sup>6,26–32</sup> The continued high post-CABG mortality in black patients merits further investments in targeting hospital-level quality improvement interventions, as well as in strengthening systems for transitions of care outside the hospital and developing community support interventions that support this unique sociocultural group.

We made other important observations that merit consideration. First, after adjusting for changes in patient risk over time, rates of 30-day readmission did not decrease over the past 2 decades across all patient groups. To date, the temporal trends in 30-day readmission rate following CABG were largely unknown. Given that the improvements in mortality have not translated into improved readmission rates, it could be argued that measures to lower mortality may be insufficient in improving this outcome, and interventions would need to target specific outcomes. With the addition of 30-day readmission rates following CABG into the Hospital Readmissions Reduction Program in 2015,<sup>33</sup> future studies are warranted to assess the impact of this program on post-CABG 30-day readmissions. Second, we observed that the overall CABG utilization decreased throughout the study period. Given that the rate of percutaneous coronary

**Table 3.** Post–Coronary Artery Bypass Grafting 30-Day Readmission Rates

	% (95% CI)					
	1999	2002	2005	2008	2011	2014
No. of patients	161 860	158 384	130 078	98 352	79 600	71 307
Overall	19.0 (18.8–19.2)	19.3 (19.1–19.5)	19.2 (19.0–19.4)	18.7 (18.4–18.9)	17.6 (17.3–17.9)	14.3 (14.0–14.5)
Sex						
Men	17.5 (17.3–17.7)	17.6 (17.4–17.8)	17.6 (17.3–17.8)	17.1 (16.8–17.4)	15.9 (15.6–16.2)	13.2 (12.9–13.5)
Women	21.8 (21.5–22.2)	22.5 (22.2–22.9)	22.5 (22.1–22.9)	22.0 (21.5–22.5)	21.4 (20.9–22.0)	17.0 (16.5–17.5)
Race						
White	18.9 (18.7–19.1)	19.1 (18.9–19.3)	19.0 (18.7–19.2)	18.5 (18.2–18.7)	17.4 (17.1–17.7)	14.1 (13.8–14.4)
Black	21.4 (20.3–22.4)	22.0 (21.0–23.0)	22.3 (21.3–23.4)	22.0 (20.7–23.2)	21.0 (19.7–22.4)	17.2 (15.9–18.4)
Other race*	20.7 (19.7–21.8)	20.6 (19.6–21.6)	20.4 (19.4–21.5)	19.9 (18.7–21.1)	17.9 (16.6–19.2)	14.5 (13.4–15.6)
White men	17.4 (17.2–17.6)	17.5 (17.2–17.7)	17.5 (17.2–17.7)	17.0 (16.7–17.3)	15.8 (15.5–16.1)	13.1 (12.8–13.4)
White women	21.7 (21.3–22.0)	22.4 (22.0–22.8)	22.2 (21.8–22.6)	21.7 (21.2–22.2)	21.2 (20.6–21.8)	16.8 (16.2–17.3)
Black men	19.3 (17.8–20.7)	20.0 (18.6–21.4)	19.2 (17.8–20.6)	19.1 (17.5–20.7)	18.2 (16.5–19.9)	15.2 (13.6–16.8)
Black women	23.4 (21.9–25.0)	24.0 (22.5–25.4)	25.6 (24.0–27.2)	25.3 (23.4–27.2)	24.2 (22.2–26.2)	19.7 (17.7–21.7)

CI indicates confidence interval.

\*Other races include Asian, Hispanic, North American Native, or other not specified.

intervention has remained relatively stable over the past few years,<sup>15</sup> this declining trend of CABG may be attributed to better primary and secondary prevention in the older population.

The results of this study should be interpreted in light of the following limitations. First, it has an observational design and uses administrative claims data. Therefore, we cannot definitively identify factors that drive changes in CABG utilization and outcomes over time. However, the design of the study is consistent with its goal—to describe important sex and race differences in outcomes to appraise real-world effects of quality improvement interventions on reducing disparities in post-CABG outcomes. Second, the procedure and presence of comorbidities were identified from administrative codes and not clinical diagnoses, which can be prone to error. However, we used a combination of administrative codes that account for institutional and temporal variation in coding practices over time. In addition, the administrative codes have been shown to be highly specific for cardiovascular diagnosis and risk factors.<sup>34</sup> Third, the study focused on fee-for-service Medicare beneficiaries aged  $\geq 65$  years and did not include the Medicare Advantage population; this may not reflect trends in other patient populations. However, all-payer studies have also shown reduced use of CABG and improved outcomes,<sup>35</sup> suggesting that our findings may be relevant outside of the fee-for-service population. Nevertheless, we cannot make a direct assessment of patients not enrolled in fee-for-service Medicare. Fourth, we did not account for observational stays in our study design. However, studies have shown that the observational stays do not correlate with

change in readmission rates in Medicare beneficiaries for both targeted and nontargeted conditions under the Hospital Readmissions Reduction Program.<sup>36</sup> Finally, we used claims data, which lack clinical detail. However, the claims-based Centers for Medicare & Medicaid Services model for hospital-level 30-day all-cause mortality following CABG has performed well with a C-statistic of 0.74, which is similar to the model developed from New York Cardiac Surgery Reporting System Registry data (C-statistic, 0.75).<sup>37</sup> Both the claims-based and clinical model for all-cause CABG mortality have shown strong agreement with a correlation of 0.90.

## Conclusion

During the past decade and a half, sex and racial differences in CABG utilization have narrowed over time. Concurrently, there have been improvements in mortality following CABG, with narrowing of sex and racial differences over time. However, women and black patients continue to experience higher mortality than men and white patients, respectively.

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## Disclosures

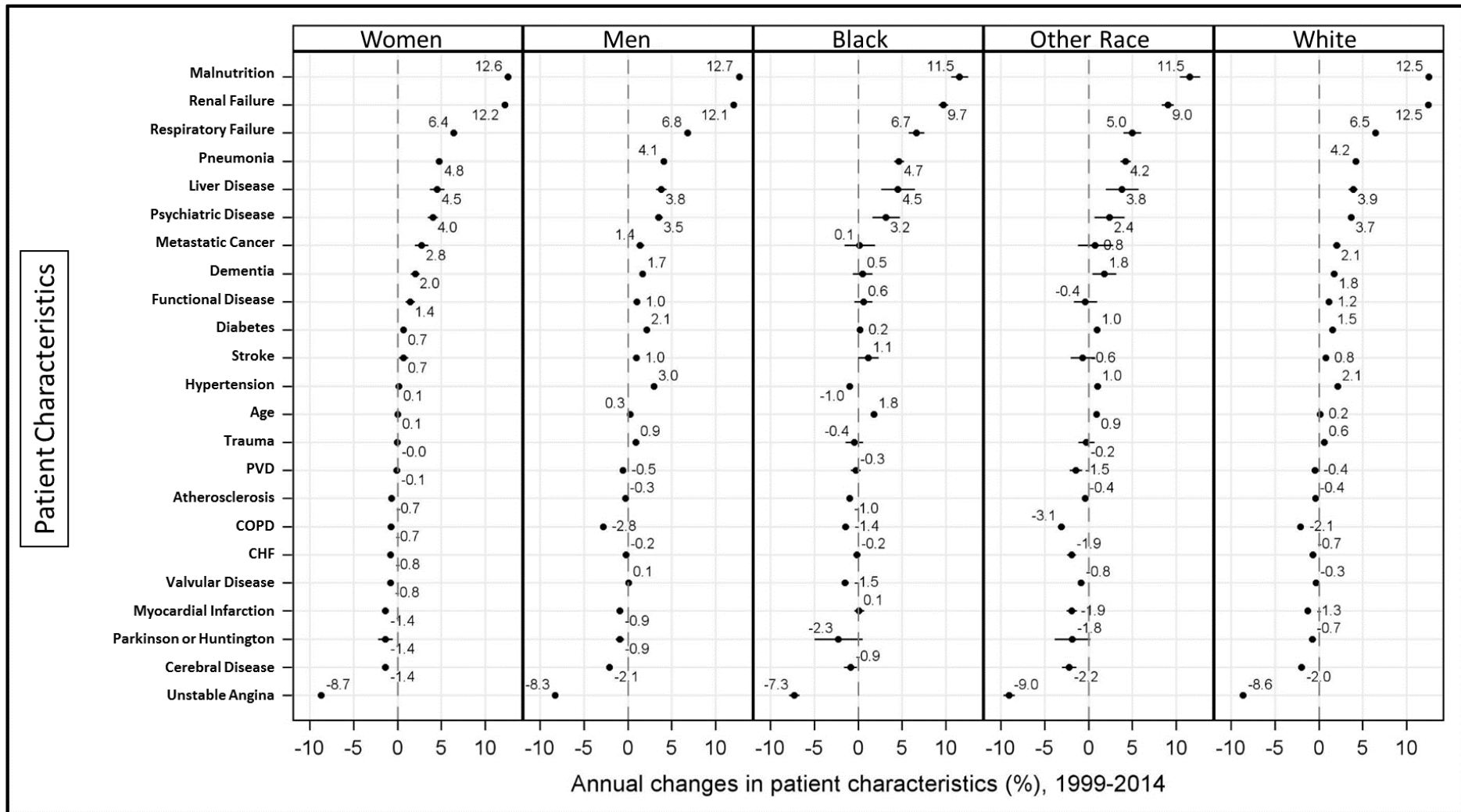
Dr Desai and Dr Krumholz work under contract with the Centers for Medicare & Medicaid Services to develop and maintain performance measures that are publicly reported, and are recipients of research agreements from Johnson & Johnson (Janssen), through Yale, to develop methods of clinical trial data sharing. Dr Krumholz was a recipient of a grant from Medtronic and the US Food and Drug Administration, through Yale, to develop methods for post-market surveillance of medical devices; chairs a cardiac scientific advisory board for UnitedHealth; is a participant/participant representative of the IBM Watson Health Life Sciences Board; is a member of the advisory board for Element Science and the physician advisory board for Aetna; and is the founder of Hugo, a personal health information platform. The remaining authors have no disclosures to report.

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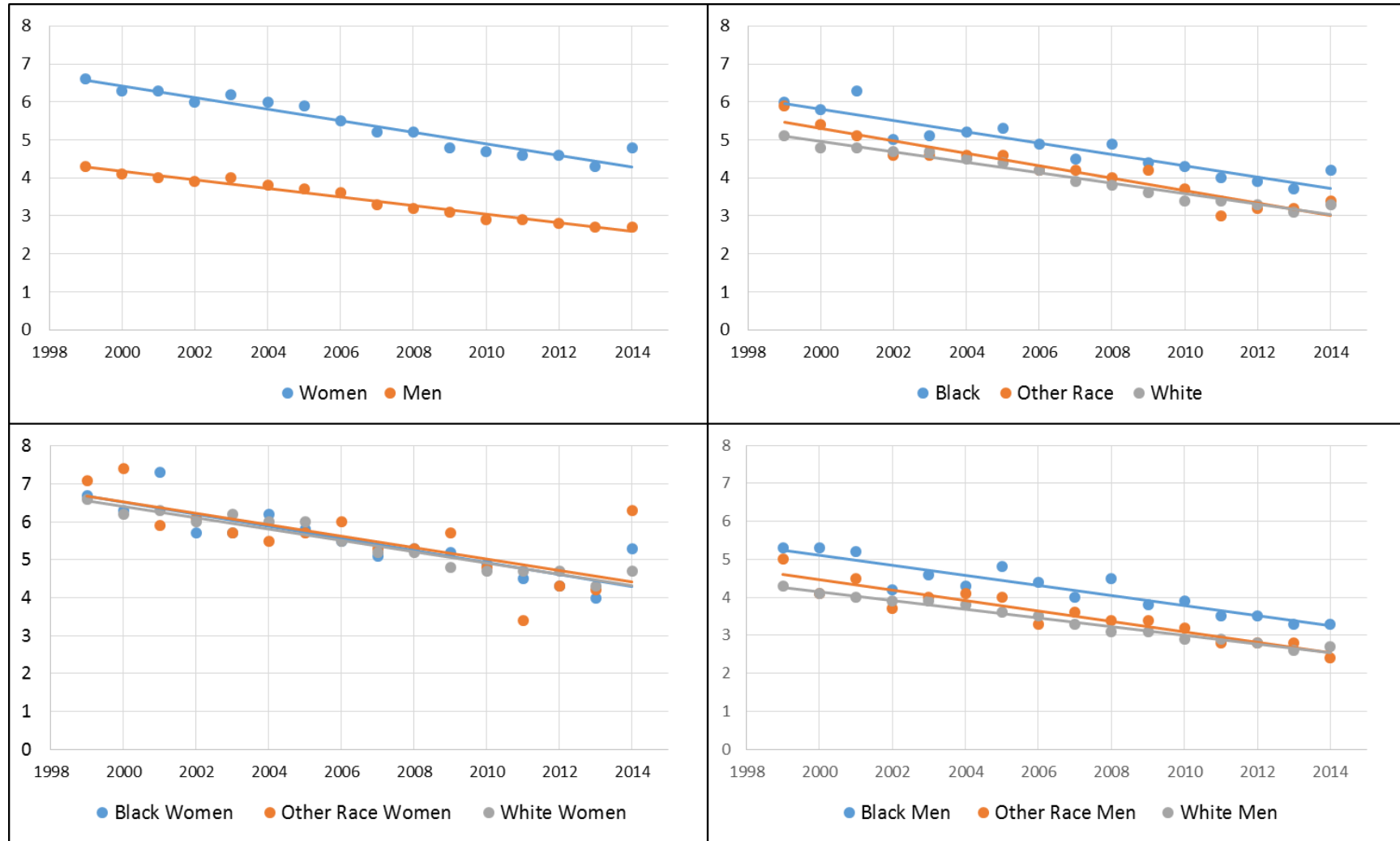
# **SUPPLEMENTAL MATERIAL**

**Figure S1.** Annual change in the baseline characteristics of women, men, black patients, white patients and patients of other races.

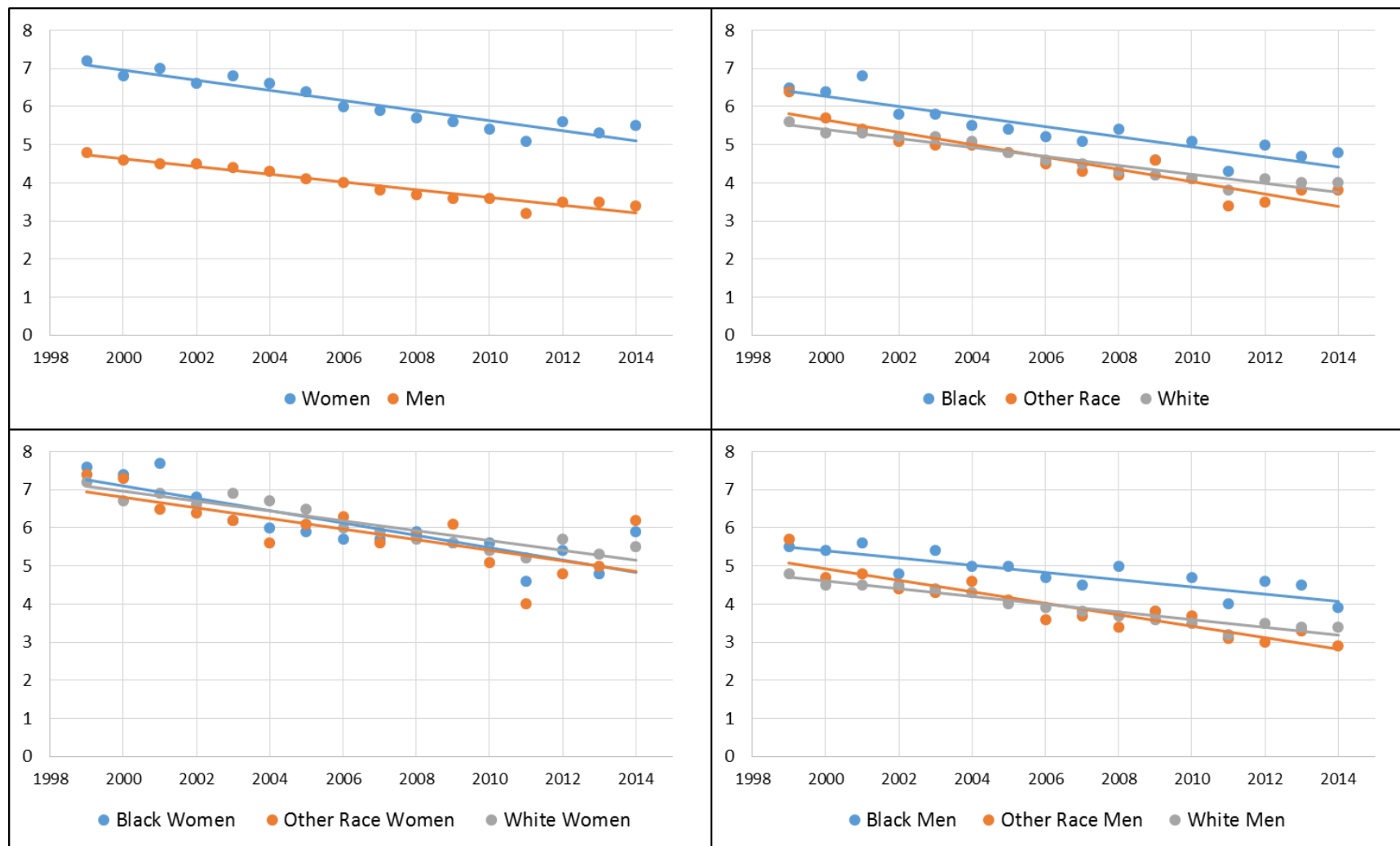


PVD, Peripheral Vascular Disease; COPD, Chronic Obstructive Pulmonary Disease; CHF, Congestive Heart Failure

**Figure S2.** In-hospital Mortality Rates in Patients undergoing CABG by Sex and Race from 1999 to 2014.

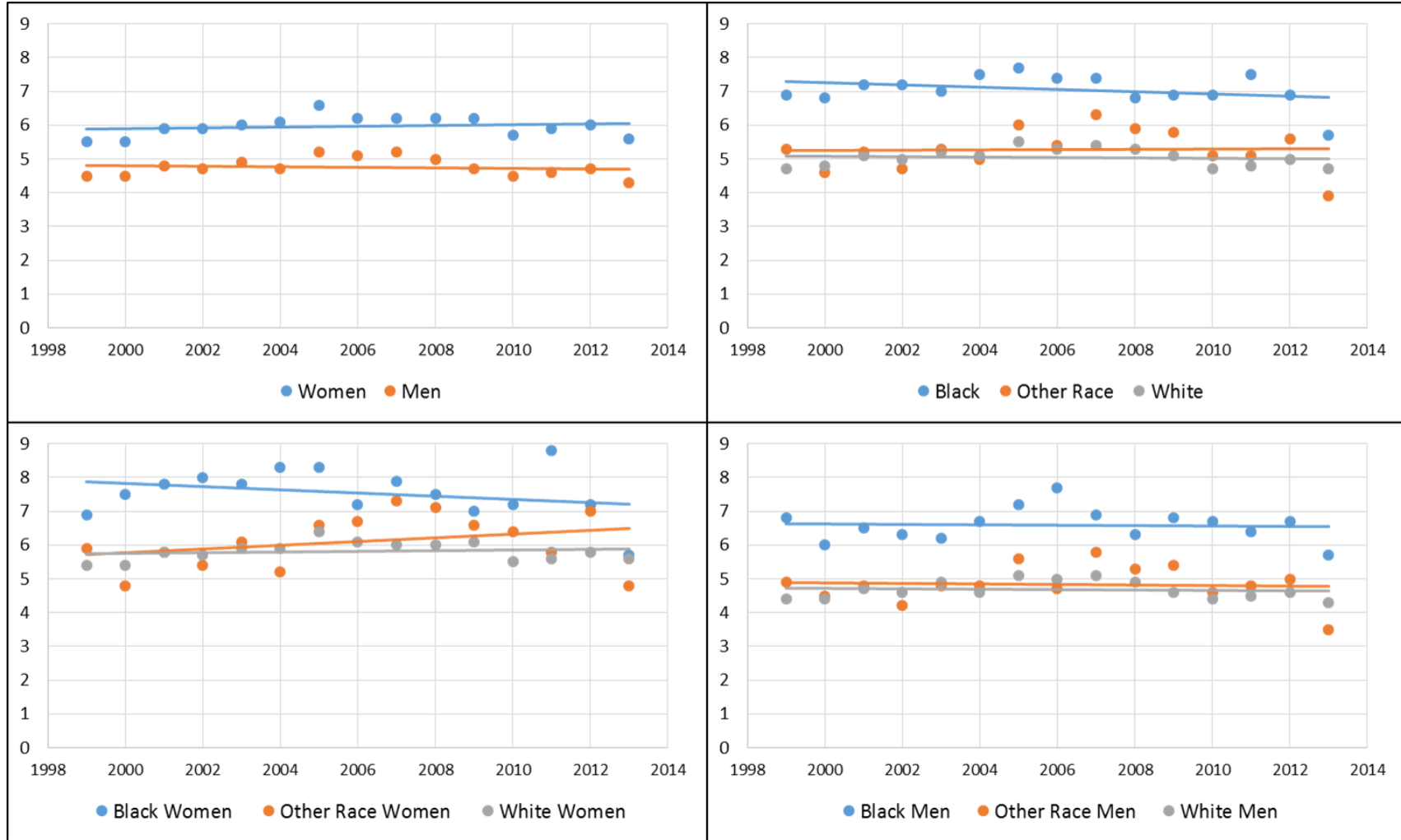


**Figure S3.** 30-day Mortality Rates in Patients undergoing CABG by Sex and Race from 1999 to 2014.





**Figure S4.** 1-year Mortality Rates in Patients undergoing CABG by Sex and Race from 1999 to 2014.



**Figure S5.** 30-day Readmission Rates in Patients undergoing CABG by Sex and Race from 1999 to 2014.

