



## Prevalence and drug susceptibility of clinical *Candida* species in nasopharyngeal cancer patients in Vietnam

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### ABSTRACT

In the nature, *Candida* species are normal inhabitants and can be observed in a wide variety of vertebrates. In humans, especially for cancer patients who fall prey to opportunistic pathogens, this group of susceptible multi-drug resistant and biofilm-forming yeasts, are among the commonest ones. In this study, *Candida* species in 76 oral lesion samples from Vietnamese nasopharyngeal-cancer patients were isolated, morphologically identified using CHROMagar™, germ tube formation, and chlamyospore formation tests, and molecularly confirmed by PCR-RFLP. The drug susceptibility of these isolates was then tested, and the gene *ERG11* was DNA sequenced to investigate the mechanism of resistance.

The results showed that *Candida albicans* remained the most prevalent species (63.16% of the cases), followed by *Candida glabrata*, *Candida tropicalis*, and *Candida krusei*. The rates of resistance of *non-albicans Candida* for tested drugs were 85.71%, 53.57%, and 57.14% to fluconazole, clotrimazole, and miconazole, respectively. Although the drug-resistance rate of *Candida albicans* was lower than that of *non-albicans Candida*, it was higher than expected, suggesting an emerging drug-resistance phenomenon. Furthermore, *ERG11* DNA sequencing revealed different mutations (especially K128T), implying the presence of multiple resistance mechanisms. Altogether, the results indicate an alarming drug-resistance situation in *Candida* species in Vietnamese cancer patients and emphasize the importance of species identification and their drug susceptibility prior to treatment.

### 1. Introduction

Oral fungal infection is a common opportunistic disease in the human oral cavity caused by the overgrowth of different kinds of fungi. Most of them belong to the family of *Candida* species with the commonest being *Candida albicans*, which is responsible for over 50% infection cases [1,2]. Except *Candida albicans*, other *Candida* species can be also detected and termed *non-albicans Candida*, such as *Candida glabrata* (*Nakaseomyces glabrata*), *Candida tropicalis* or *Candida krusei* (*Pichia kudriavzevii*) [3]. These *non-albicans Candida* are more frequent in old patients than in other groups and are widely recognized as harder to treat than *Candida albicans* (> 80 years old) [4,5]. In addition, these

two types of pathogenic yeast appear in the list of fungal priority pathogens according to the World Health Organization [6].

Pathologically, oral candidiasis is considered the commonest infection caused by fungi and normally presented as white, soft, slightly elevated plaques on the tongue and buccal mucosa [7]. In terms of available treatments for oral candidiasis, there are four main groups of antifungal agents that are usually used, including polyene, azole, alkylamines thiocarbamates, and new drugs such as caspofungin [8]. Among these antifungal groups, the azole group is the most widely used in oral candidiasis. Its mechanism of action is to inhibit the synthesis of ergosterol, thereby disrupting fungal cell membrane. Within this group, fluconazole is preferably used due to its higher concentration in saliva

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compared to other members [9,10]. Although there are available effective treatment strategies for oral candidiasis, the emergence of drug resistance, especially for that of the azole group, is lowering treatment effectiveness and has drawn the attention of the scientific community [11]. Multiple mechanisms of azole resistance in *C. albicans*, especially in the case of fluconazole, are being studied. Firstly, the point mutations in the encoding gene *ERG11* reduce the affinity between the target enzyme 14 $\alpha$ -demethylase and azoles, increasing their minimum inhibitory concentration (MIC) [12]. Secondly, *Candida* drug resistance genes (CDR1 and CDR2) and multiple drug resistance ones (MDR1) were also deemed culprits of such resistance in the literature [13,14].

In particular, the rates of drug resistance are particularly pronounced in immunodeficient patients, such as people living with HIV/AIDS or cancer, due to long-term use of preventative azole [15,16]. For instance, an Indian study has pointed out that the rate of fluconazole and itraconazole resistance in cancer patients undergoing chemo/radiotherapy can be up to 14% [17]. This fungal infection decreases dramatically the quality of life of cancer patients due to the pain caused by lesions in the oral cavity, leading to malnutrition, systematic infections and significantly increasing the mortality rate [17–19]. Besides, candidiasis has also been proven significantly associated with a higher risk of COVID-19 [20]. Furthermore, the azole-resistance phenomenon has also been recorded in the nature, especially in birds population. For instance, a study in France in 2016 pointed out that the seagulls may be a natural reservoir for *C. glabrata*, which can distribute both directly and indirectly this pathogen to humans via animal hosts or environmental contamination [21]. In another study of Castelo-Branco DSCM et al., authors reconfirmed the connection between animals and humans in the development of azole resistance via efflux pumps and emphasized the necessity of one health solutions to tackle this problem [22].

Considering the alarming situation of drug resistance in candidiasis, this study is carried out to investigate the prevalence of different *Candida* species, the resistance rate of azole antifungal drugs in cancer patients undergoing radio/chemotherapy in Vietnam and the molecular characteristics of the *ERG11* gene of some high-level fluconazole-resistant *C. albicans* in order to identify mutations of fluconazole resistance that may play the main role in Vietnamese patients.

## 2. Materials and methods

### 2.1. Materials

CHROMagar™ *Candida*, Muller-Hinton Agar (MHA), Sabouraud dextrose agar (SDA), Corn Meal Agar (CMA), RPMI 1640 were purchased from HiMedia (Kelton, PA, USA). Tween 80, sodium hydrocarbonate, sodium hydroxide were purchased from Merck (New Jersey, USA).

Fluconazole, clotrimazole, miconazole and nystatin, fluconazole powder were provided by an EU-GMP pharmaceutical factory in Vietnam with a purity superior to 99.99% (Boston Pharma., Ho Chi Minh, Vietnam).

### 2.2. Sampling method

Seventy-six samples of oral cancerous lesion in cancer patients (cohort characteristics detailed in Table S1.) undergoing radiotherapy and/or chemotherapy and without any fungal infection's symptoms at the Ho Chi Minh City Oncological Hospital were sampled using the swab method. The samples were stored in a physiological buffer at 20 °C prior to subsequent tests. The storage time is not over 24 h.

### 2.3. Isolation and morphological identification of *Candida* species

*Candida* species were isolated and identified using CHROMagar™ and conventional methods (germ tube test and chlamyospore formation).

**CHROMagar™ method:** Samples were directly streaked on CHROMagar™ *Candida* agar plates and incubated at 37 °C for 48 h. The results were observed, and the type of *Candida* was identified according to the manufacturer's instructions. In each test, the reference strain *Candida albicans* ATCC 10231, *Candida tropicalis* ATCC 13803, *Candida glabrata* ATCC 2001, *Candida parapsilosis* ATCC 22019, *Candida krusei* ATCC 14014 were used for quality control. Colonies were inoculated on Sabouraud Dextrose Agar plates (Peptone Casein 10 g/L, Dextrose 20 g/L, Agar 15 g/L) at 37 °C for 48 h before storing in 50% glycerol at –80 °C for further experiments.

**Germ Tube Formation test:** One colony of *Candida* spp. was dispersed in an Eppendorf containing 500  $\mu$ L human serum, incubated at 37 °C for 2–4 h. After incubation time, a drop of yeast was transferred on a slide and stained with Fuchsin reactive for 10 min. The sample was finally checked with an optical microscope to determine whether a germ tube was formed (Positive) or not (Negative).

**Chlamyospore formation test:** one colony of *Candida* spp. was taken by an inoculating needle and then a straight line was drawn through the CMA petri dish (corn meal infusion 2 g/L, tween 80 7 mL/L, agar 15 g/L). The coverslip at the top of the line was flamed to reduce oxygen tension, this condition stimulates chlamyospore production. After being sealed with a paraffin tape, the plates were incubated aerobically at ambient temperature for 48–72 h. The chlamyospores formation was checked with an optical microscope.

### 2.4. Fungal culture conditions

The yeasts were grown by streaking frozen stocks, stored at –80 °C on SDA plates, followed by 36–48 h of incubation at 37 °C. One or two colonies were subsequently selected and used to inoculate a test tube containing 5 mL of SBD, which was then incubated overnight at 37 °C until the stationary phase was reached. The cell density was measured by a spectrophotometer at 530 nm. The OD of the tested samples was in a range of 1–3.

### 2.5. Fungal classification by PCR-RFLP

DNA from each obtained isolate was extracted using a modified method which was previously described by Retno Wahyuningsih et al. [23]. Briefly, one loop of yeast was harvested from SDA plate and diluted into 100  $\mu$ L de-ionized water; then 455  $\mu$ L lysis buffer (240 mM NaOH, 2.7 mM EDTA, 74% EtOH) was added into the mixture. The mixture was heated to 80 °C for 10 min and centrifuged at 13200 rpm for 10 min. The supernatant was discarded, and the pellet was collected and dispersed in 100  $\mu$ L of Tris solution (0.1 mM EDTA, 50 mM Tris-HCl pH 8.0, 1% Triton-X100, 0.5% Tween 20). The final suspension was homogeneously mixed and centrifuged at 12000 rpm for 15 min. The aqueous layer in the eppendorf tube was transferred into a fresh tube. DNA concentration of the sample was determined using UV-Vis spectrophotometer (Genequant 1300, Biochrom, USA). DNA of each *Candida* isolate was diluted to obtain a working concentration of 200 ng/ $\mu$ L.

The internal transcribed spacer (ITS) 1,4 was amplified by PCR reaction solution, consisting 17.3  $\mu$ L NF-Q1, 2.5  $\mu$ L *iTag* buffer, 1 mM dNTPs, 250  $\mu$ M of each primer, 100 ng of target genomic DNA, and 1.0 U Taq polymerase. PCR reactions were performed with ITS1 fungal primers (5'- TTC GTA GGT GAA CCT GCG G - 3') and ITS4 primers (5' – TCC TCC GCT TAT TGA TAT GC - 3') for forward and reverse primers,

respectively. The PCR reactions were performed in SimpliAmp Thermal Cycler (Thermo Fisher Scientific, MA, USA). The system was programmed for 30 cycles. The PCR products were digested by restriction enzyme *HpaII* at 37 °C for 15 min. Afterwards, all obtained samples were analyzed with the 100 bp Opti-DNA (Applied Biological Materials Inc., Canada) by gel electrophoresis through a 10% polyacrylamide gel and revealed by a ultraviolet camera (TFX-20 LC, Vilber Lourmat, France). The isolates are classified according to the size of ITS band and products after digestion with *HpaII* (shown in Table S2 and Figure S1).

#### 2.6. Determination of antifungal drug susceptibility of isolated *Candida* species

The drug susceptibility of nystatin and three azoles, including clotrimazole, fluconazole, and miconazole was determined for these isolated strains using the dish diffusion test (according to the M27 protocol), according to the reference method of the National Committee for Clinical Laboratory Standards (CLSI) [24,25]. After the incubation time of 48 h at 37 °C, the antifungal zones were analyzed and determined by the Fiji software [26].

#### 2.7. Determine the fluconazole susceptibility of isolated *Candida* species

The rate of fluconazole resistance of the obtained strains was determined through their minimum inhibitory concentrations (MIC). To do so, the MIC of each fluconazole-resistant *Candida albicans* strain, which was identified by the dish diffusion test (Section 2.6), was determined using the microdilution method (according to the M27 protocol) in accordance with the reference method of the National Committee for Clinical Laboratory Standards (CLSI) to determine the susceptibility. The culture medium was RPMI 1640 with MOPS and 0.2% glucose and the results were performed after an incubation period of 48 h at 37 °C. The MIC of fluconazole is determined as the lowest concentration of fluconazole where there is no detected growth of *Candida* species [24,25].

#### 2.8. Polymerase chain reaction amplification and gene sequences of the *ERG11* gene

The *ERG11* genes of all high fluconazole resistant (MIC >128 µL/mL) were amplified using PCR method. The PCR reaction solution consisted 17.3 µL NF-Q1, 2.5 µL *iTag* buffer, 1 mM dNTPs, 250 µM of each primer, 100 ng of target genomic DNA, and 0.5 U Taq polymerase. PCR reactions were performed using *ERG11* primers: 5'- TTA GTG TTT TAT TGG ATT CCT TGG TT- 3' and 5'-TTA AAA CAT ACA AGT TTC TCT TTT TTC CCA AAT G- 3', as forward and reverse ones, respectively. The PCR results were then analyzed with the 1000 bp DNA ladder (iNTRON Biotechnology Inc., Korea) by the gel electrophoresis method using 1% agarose gel, and results were revealed by a ultraviolet camera (TFX-20 LC, Vilber Lourmat, France). *ERG11* DNA sequences were analyzed by SANGER sequencing on ABI3500 system (Thermalfisher, USA). The data of DNA sequences were treated and verified by Lasergene v7.0 software. The

data of obtained *ERG11* DNA sequences were verified by MEGA software v11.0 to detect eventual mutations.

#### 2.9. Ethical aspects

All sampling procedures constructed in the current study were reviewed and accepted by the ethics committee of the Ho Chi Minh City Oncological Hospital.

### 3. Results

#### 3.1. Identification of clinical *Candida* species in Vietnamese nasopharyngeal-cancer patients

A total of 76 samples were successfully collected from oral lesions in Vietnamese cancer patients undergoing radiotherapy and/or chemotherapy at the Ho Chi Minh City Oncological Hospital. CHROMagar™ *Candida*, a selective chromogenic culture medium for the direct qualitative detection and identification of *Candida* spp. based on the color of colonies, was used in this study [27]. As shown in Fig. 1, each fungal colony was presented with a specific color, revealing its type. Indeed, *Candida albicans* was detected by green colonies and those of *Candida tropicalis*, *Candida glabrata* and *Candida krusei* were in metallic blue, brown, and pink, respectively. In total, five types of *Candida* spp. were identified in our samples. In addition, other kinds of *Candida* spp., which were usually present in a negligible proportion of *Candida* spp. in public, were also detected in our samples and presented as white colonies.

In the second step, the proportion of each type of *Candida* spp. was determined and presented in.

Table 1. The results revealed that *C. albicans* remained the most prominent species with 63.16%, followed by *C. krusei* (11.84%), *C. glabrata* (9.21%), *C. tropicalis* (6.58%) and other species (9.21%). The total proportion of *non-albicans Candida* was determined to be 36.84%.

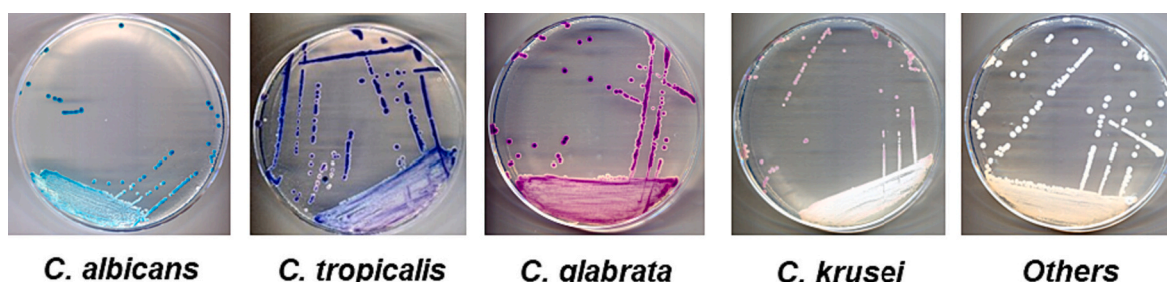
To further confirm the results obtained with the CHROMagar™ method, germ tube formation and chlamydoconidia tests, the two most conventional methods, were deployed, mainly to differentiate *Candida albicans* from *non-albicans Candida*.

In the germ tube formation test, the results were interpreted as positive when the filamentous outgrowth extending from yeast cells was

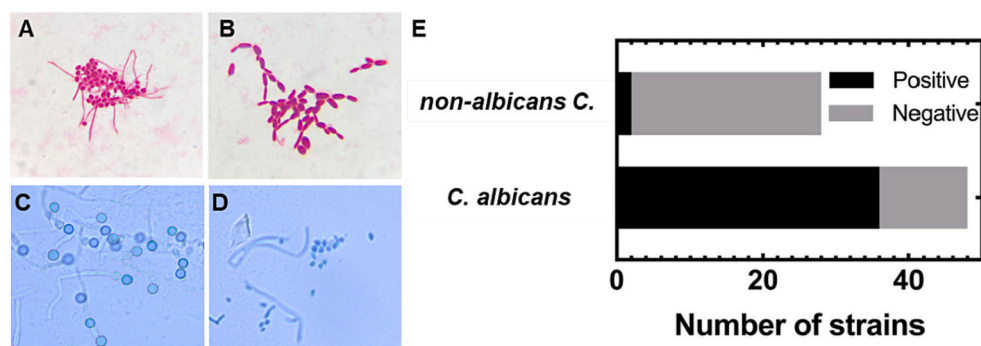
**Table 1**

Color of colonies for each kind of *Candida* spp. on CHROMagar™ *Candida* and its proportion.

Type of <i>Candida</i> spp.	Number of samples
<i>Candida albicans</i>	48 (63.16%)
<i>Candida krusei</i>	9 (11.84%)
<i>Candida glabrata</i>	7 (9.21%)
<i>Candida tropicalis</i>	5 (6.58%)
Other species	7 (9.21%)



**Fig. 1.** *Candida* spp. colonies color on CHROMagar™ *Candida* plates.



**Fig. 2.** Morphological identification of *Candida* species using the germ tube formation method. (A) Image of a positive germ tube of *Candida albicans*. (B) Image of a negative germ tube of *non-albicans Candida*. (C) Image of chlamydospores of *Candida albicans*. (D) Image of yeast and hyphae, lacking chlamydospores. (E) Numbers of isolated stains showing positive and negative germ tubes when compared with results on CHROMagar™ *Candida*.

detected (Fig. 2A). In that case, the main type of yeast present in the tested sample was *Candida albicans* or *Candida dubliniensis*. Otherwise, if there was no filamentous extension or only short filamentous extensions limited at the central point were detected, the result was interpreted as negative (as shown in Fig. 2B), and the corresponding type of yeast was *C. tropicalis*, *C. glabrata* or other *non-albicans Candida* spp. [28]. For a total of 76 tested samples, the rate of positive-negative for this test was 1:1 (38 positive results and 38 negative results). In comparison of these results to that of the CHROMagar™ method, a difference in the rate of *Candida albicans* was detected. Specifically, among the strains that had green colonies on CHROMagar™, there were 12 out of 36 strains (33.33%) that had a negative result in the germ tube formation test.

As a third method of identification, the capacity of producing chlamydospores of the obtained clinical strains was studied. Indeed, the chlamydospores (as shown in Fig. 2C and D) are thick-wall cells that arise on elongated suspensor cells, situated on pseudohyphae or hyphae by pathogen *Candida albicans* [29]. To efficiently induce chlamydospores, cells were distributed at low cell concentrations on nutrient-poor media supplemented with detergents, such as Tween 80, and incubated at a low temperature (25 to 30 °C) for 48 h. Chlamydospore formation was especially abundant on solid media under glass coverslips, providing microaerophilic conditions (Dalmau inoculation technique) [29,30]. Herein, Corn Meal Agar with Tween 80 to support *Candida albicans* producing chlamydospores was used as culture medium. As shown in Fig. 2E, the results indicated that all *Candida albicans* samples (producing green colonies on CHROMagar™) produced chlamydospores after 48 h at ambient temperature and all *non-albicans Candida* samples detected by the CHROMagar™ method did not produce chlamydospores.

Finally, to confirm the identification of 76 strains of *Candida* spp., the PCR-RFLP method using the ITS gene and the *HpaII* enzyme was used. The results were shown in Table 2. Indeed, all *C. albicans* samples detected with CHROMagar™ were reconfirmed in the PCR-RFLP method. Whereas only 38 were identified by the germ tube formation test. Furthermore, a good specificity of CHROMagar™ for *non-albicans*

*Candida* was also demonstrated, especially in the case of *C. glabrata* (seven strains).

### 3.2. Evaluation of antifungal drug-resistance rate of clinical *Candida* species in Vietnamese cancer patients

The M44 method, an antifungal disc diffusion test for yeasts, was used in this study to evaluate the drug susceptibility of the obtained samples with four main antifungal drugs which are widely used in oral candidiasis treatment, including nystatin, fluconazole, clotrimazole, and miconazole [24,25]. The susceptibility level of each drug in our samples was identified in accordance with the reference method of the National Committee for Clinical Laboratory Standards (CLSI) and divided into three levels, including sensitive, intermediate and resistant depending on the antifungal zone diameter (Fig. 3) [24,25].

As shown in Table 3, in both kinds of yeasts (*C. albicans* or *non-albicans Candida*), the resistance rate was the most pronounced for fluconazole (52.08% and 85.71%), followed by clotrimazole (6.25% and 53.57%) and miconazole (2.08% and 57.14%), respectively. Nystatin remained the only antifungal drug not resisted by both kinds of yeasts. However, the intermediate level was relatively high (33.33% and 42.86% for *C. albicans* and *non-albicans Candida*, respectively), revealing a shift from the sensitive state to the resistant state. The results also clearly indicated a difference in drug susceptibility between *C. albicans* and *non-albicans Candida*. Indeed, a higher resistance rate for *non-albicans Candida* than that of *C. albicans* was recorded for all drugs in the azole group, including fluconazole (85.71% vs 52.08%), clotrimazole (53.57% vs 6.25%), and miconazole (57.14% vs 2.08%), respectively.

### 3.3. Molecular characteristic of the *ERG11* gene of clinical *Candida albicans*

Among the tested antifungal agents, fluconazole showed the highest resistant rate on both *Candida albicans* and *non-albicans Candida*

**Table 2**

Number of *Candida* strains identified by CHROMagar™ *Candida*, germ tube formation and PCR-RFLP methods.

Name	CHROMagar™ <i>Candida</i>	Germ tube formation test	PCR-RFLP
<i>C. albicans</i>	48	38	48
<i>C. tropicalis</i>	5		8
<i>C. krusei</i>	9		4
<i>C. glabrata</i>	7	38	8
<i>C. parasilosis</i>	0		8
Others	7		0

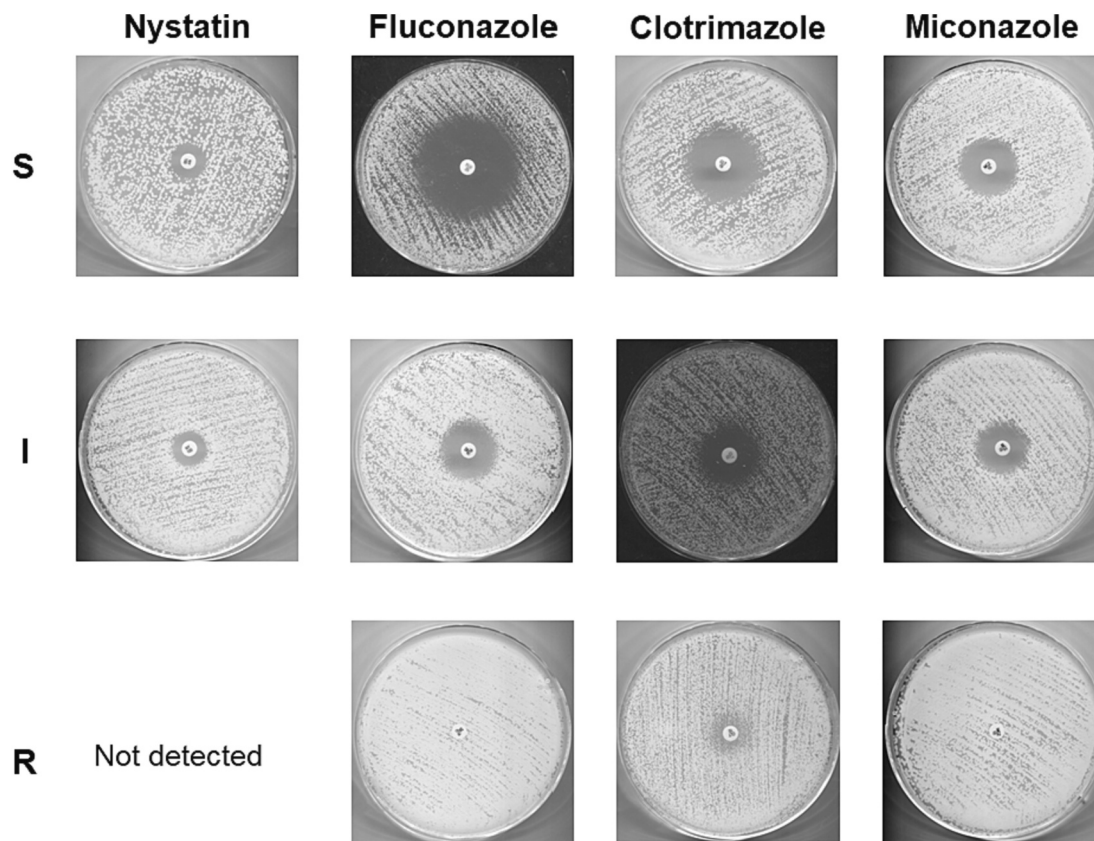


Fig. 3. Determination of drug susceptibility of the tested strains with nystain, fluconazole, clotrimazole, and miconazole using the M27 method.

Table 3

Drug susceptibility of the tested clinical strains with nystatin, fluconazole, clotrimazole and miconazole using the M27 method (S: Sensitive, I: Intermediate, R: Resistant).

Drug	Test Conc./ disk	Percentage of susceptibility <i>C. albicans</i> (%)			Percentage of susceptibility <i>non-albicans Candida</i> (%)		
		S	I	R	S	I	R
Nystatin	100 IU	66.67% (32/48)	33.33% (16/48)	0	57.14% (16/28)	42.86% (12/28)	0
Fluconazole	25 µg	43.75% (21/48)	4.17% (2/48)	52.08% (25/48)	7.14% (2/28)	7.14% (2/28)	85.71% (24/28)
Clotrimazole	10 µg	45.83% (22/48)	47.92% (23/48)	6.25% (3/48)	28.57% (8/28)	17.86% (5/28)	53.57% (15/28)
Miconazole	10 µg	18.75% (9/48)	79.17% (38/48)	2.08% (1/48)	0	42.86% (12/28)	57.14% (16/28)

Table 4

The drug susceptibility pattern of isolated *Candida* spp. according to their MIC with fluconazole (S: Sensitive, I: Intermediate, R: Resistant).

MIC of fluconazole (µg/mL)	Number of samples		Total
	<i>C. albicans</i>	<i>non-albicans Candida</i>	
≤ 0.25	4	0	30
S 0.5–1	16	0	
2	8	2	6
I 4	4	2	
8	4	0	
16	0	0	40
R 32	1	0	
64	0	0	
≥ 128	11	24	

(52.08% and 85.71%, respectively). Since fluconazole is the most widely used drug in clinical settings, the following section focuses more on the resistance mechanism of this drug in the tested samples. We used the microdilution method that is in accordance with the M27 guidance to determine the minimum inhibitory concentration (MIC) of fluconazole on 76 strains and to determine their drug susceptibility (Table 4). Indeed, the numbers of sensitive, intermediate and resistant strains were 30, 6, and 40, respectively. For sensitive strains, most of them were *C. albicans* (28 versus 2 of *non-albicans Candida*). For resistant strains, 11 were *C. albicans*, whereas 24 samples were *non-albicans Candida*, revealing a more alarming resistant situation for *non-albicans Candida*. Especially, all *non-albicans Candida* resistant samples had an MIC superior to 128 µg/mL.

According to the level of resistance, 11 strains of *Candida albicans* which had an MIC superior to 128 µL/mL were analyzed for their *ERG11* sequencing in an attempt to understand their mechanism of resistance (Fig. 4). In comparison with the two sequences of fluconazole-sensitive *Candida albicans* strains, one from ATCC 10231 of the NCBI genbank and the other from our clinical strains, two point mutations were detected.

DNA Sequences	Translated Protein Sequences
Species/Abbrv	*****
1. 26	RQKYGDVFSFMLLGGKIMTVYLGPKGHEFVFN AKLSDVSAEADAYKHLTTPVFFGKGVIYD
2. 94	RQKYGDVFSFMLLGGKIMTVYLGPKGHEFVFN AKLSDVSAEADAYKHLTTPVFFGKGVIYD
3. 128	RQKYGDVFSFMLLGGKIMTVYLGPKGHEFVFN AKLSDVSAEADAYKHLTTPVFFGKGVIYD
4. 53	RQKYGDVFSFMLLGGKIMTVYLGPKGHEFVFN AKLSDVSAEADAYKHLTTPVFFGKGVIYD
5. 55	RQKYGDVFSFMLLGGKIMTVYLGPKGHEFVFN AKLSDVSAEADAYKHLTTPVFFGKGVIYD
6. 92-2	RQKYGDVFSFMLLGGKIMTVYLGPKGHEFVFN AKLSDVSAEADAYKHLTTPVFFGKGVIYD
7. 38	RQKYGDVFSFMLLGGKIMTVYLGPKGHEFVFN AKLSDVSAEADAYKHLTTPVFFGKGVIYD
8. 99	RQKYGDVFSFMLLGGKIMTVYLGPKGHEFVFN AKLSDVSAEADAYKHLTTPVFFGKGVIYD
9. 109	RQKYGDVFSFMLLGGKIMTVYLGPKGHEFVFN AKLSDVSAEADAYKHLTTPVFFGKGVIYD
10. 15XL	RQKYGDVFSFMLLGGKIMTVYLGPKGHEFVFN AKLSDVSAEADAYKHLTTPVFFGKGVIYD
11. 32	RQKYGDVFSFMLLGGKIMTVYLGPKGHEFVFN AKLSDVSAEADAYKHLTTPVFFGKGVIYD
12. 27	RQKYGDVFSFMLLGGKIMTVYLGPKGHEFVFN AKLSDVSAEADAYKHLTTPVFFGKGVIYD
13. KM881482.1	RQKYGDVFSFMLLGGKIMTVYLGPKGHEFVFN AKLSDVSAEADAYKHLTTPVFFGKGVIYD

DNA Sequences	Translated Protein Sequences
Species/Abbrv	*****
1. 26	VPKGYHVLVSPGYAHTSERYFDN PEDFDPTRWDTAAAKANSVSNSSDEVDYGF GKV
2. 94	VPKGYHVLVSPGYAHTSERYFDN PEDFDPTRWDTAAAKANSVSNSSDEVDYGF GKV
3. 128	VPKGYHVLVSPGYAHTSERYFDN PEDFDPTRWDTAAAKANSVSNSSDEVDYGF GKV
4. 53	VPKGYHVLVSPGYAHTSERYFDN PEDFDPTRWDTAAAKANSVSNSSDEVDYGF GKV
5. 55	VPKGYHVLVSPGYAHTSERYFDN PEDFDPTRWDTAAAKANSVSNSSDEVDYGF GKV
6. 92-2	VPKGYHVLVSPGYAHTSERYFDN PEDFDPTRWDTAAAKANSVSNSSDEVDYGF GKV
7. 38	VPKGYHVLVSPGYAHTSERYFDN PEDFDPTRWDTAAAKANSVSNSSDEVDYGF GKV
8. 99	VPKGYHVLVSPGYAHTSERYFDN PEDFDPTRWDTAAAKANSVSNSSDEVDYGF GKV
9. 109	VPKGYHVLVSPGYAHTSERYFDN PEDFDPTRWDTAAAKANSVSNSSDEVDYGF GKV
10. 15XL	VPKGYHVLVSPGYAHTSERYFDN PEDFDPTRWDTAAAKANSVSNSSDEVDYGF GKV
11. 32	VPKGYHVLVSPGYAHTSERYFDN PEDFDPTRWDTAAAKANSVSNSSDEVDYGF GKV
12. 27	VPKGYHVLVSPGYAHTSERYFDN PEDFDPTRWDTAAAKANSVSNSSDEVDYGF GKV
13. KM881482.1	VPKGYHVLVSPGYAHTSERYFDN PEDFDPTRWDTAAAKANSVSNSSDEVDYGF GKV

Fig. 4. ERG11 DNA sequences of fluconazole-sensitive and fluconazole-resistant *Candida albicans* strains. KM881482.1: standard *Candida albicans* ATCC 10231 from the NCBI genbank. Species 27: clinical fluconazole-sensitive *C. albicans* strain. Species 94, 53, 26, 55, 92–2, 109, 32, 128, 15XL, 99, 38: clinical fluconazole-resistant *C. albicans* strains with MIC >128 µg/mL.

The first mutation is K128T (K128 changed to T128), corresponding to a codon change (at 382–384) from AAA to ACA. The second one is E448G (E448 changed to G448), corresponding to a codon change (at 1342–1344) from GAG to GGG. However, the mutaion E448G happened not only on resistant strains but also on sensitive strains, and is, therefore, not likely to be involved in the fluconazole-resistant mechanism. Only the mutation K128T seemed to be linked to azole resistance, as also reported in past studies. Among 11 resistant strains, there were only three (15XL, 109, and 128) where the K128T mutation was observed.

4. Discussion

4.1. The population distribution of *Candida* spp. in the oral lesion of Vietnamese cancer patients undergoing chemotherapy/radiotherapy

*Candida* spp. are the leading opportunistic agents of fungal infections. Currently, it is widely recognized that the most prevalent *Candida* species in both healthy and infected human mouths is *C. albicans*, which is present in >80% of oral fungal isolates [31]. *C. albicans* is followed in descending order by *C. glabrata*, *C. krusei*, *C. tropicalis*, *C. guilliermondii*, *C. kefyr* and *C. parapsilosis* [31]. Although the symptoms of infections by non-*albicans* *Candida* are smiliar to those of *C. albicans*, non-*albicans* *Candida* species show high virulence factors and a higher rate of drug resistance. Therefore, it is important to determine the population of clinical *Candida* strains in order to decide the most appropriate treatment strategy. Recently, there is a shift in the methods used for classifying *Candida* clinical strains: from classic methods to DNA amplification-based ones. Nevertheless, conventional diagnostic methods still remain the most widely used thanks to their ease of use and low costs [32]. In contrary, these conventional methods are labor-intensive and time-consuming. In addition, they cannot

identify precisely all species of *Candida* and do not have a high accuracy level like molecular methods [33]. In traditional clinical laboratories, the presumptive identification of isolates, the germ tube test is more frequent than the CHROMagar™ due to its quite good sensitivity, rapidity, and low cost [34]. However, the sensitivity and specificity of CHROMagar™ was shown to be better [34]. The only weakness of the CHROMagar™ method was that at earlier times, *C. tropicalis* isolates can give false-positive results [34]. Other methods for the identification of pathogenic yeasts such as MALDI-ToF MS analysis can also be used [35]. However, due to its non-availability in Vietnam, this method was not applied in our research. In this study, only CHROMagar™ and the germ tube test were applied and their difference was detected, suggesting that an appropriate combination of different methods or more sensitive ones is needed to further confirm the results obtained with these methods. As a result, two conventional methods (CHROMagar and chladyspore reproduction) and a rapid method (germ tube test) were firstly used to identify the population proportion of clinical *Candida* strains. Afterwards, PCR-RFLP, a molecular method, was used to reconfirm the results obtained with the conventional methods.

As shown in the results of CHROMagar™ (Table 1) and further confirmed by the germ tube formation method (Fig. 2), although a similar order of the population distribution of *Candida* spp. has been found, there was a drastic decrease in the proportion of *C. albicans* (from >80% to 63.16% or 46.05%) and a significant increase of non-*albicans* *Candida* proportion (from <20% to 36.84% or 53.95%) in our samples. On the one hand, this result revealed that there was a change in the population distribution of *Candida* spp. in cancer patients, and the population of non-*albicans* *Candida* tends to increase in such immune-compromised patients. In the literature, a similar phenomenon was observed around the world. For instance, in a study in 2007 in children and adolescents with cancer in Venezuela, *C. albicans* was also present in

only 42.55% of oral candidiasis cases [36]. In another similar study carried out in India and published in 2016, whose objects were cancer patients undergoing chemo/radiotherapy, a proportion of 58% of *C. albicans* was recorded [17]. In other studies, this proportion was also found to be around 50% [37]. All these results suggest that there is an increase in the proportion of *non-albicans Candida* in cancer patients. This situation may be explained by the daily use of antifungal agents by these patients as a prevention method to candidiasis [38]. However, this trend is highly alarming as the drug-resistance rate of *non-albicans Candida* is higher than that of *C. albicans* [39]. Moreover, as *non-albicans Candida* is intrinsically resistant to azole agents, the only choice of treatment is to use another group of drugs, which is more expensive and more toxic. In particular, *C. krusei* and *C. glabrata*, which are resistant to fluconazole (a global resistance rate of 78.3%), were found to be present in >20% of our clinical samples [39]. Furthermore, upon comparing our results with those of a Tanzanian study published in 2006 on HIV-infected patients with oral candidiasis, we observed a significant increase in the population of *non-albicans Candida*. To be specific, in that study, *C. albicans* was present in 84.5% of patients but was only around 50% in our study or in the aforementioned studies for cancer patients [40]. This remark may indicate that the population distribution of *Candida* spp. is different between HIV/AIDS patients and cancer patients, and may be useful for physicians to decide the prevention method for oral candidiasis. In another study in 2018 of Narges Aslani et al., a lower proportion of *C. albicans* (50.6%) and a higher proportion of *non-albicans Candida* (47.3%) were observed for Iranian cancer patients [41]. This difference may be explained by the divergence in geological and environmental parameters. To the best of our knowledge, there is no current publication on the population distribution of *Candida* spp. in oral candidiasis in Vietnamese cancer patients. Therefore, our study may be useful for Vietnamese physicians to better manage oral candidiasis in cancer patients in Vietnam.

#### 4.2. Drug susceptibility of *C. albicans* and *non-albicans Candida* in clinical Vietnamese cancer patients

Nowadays, the emergence of antifungal resistance is highly alarming and considered one of the biggest concerns in the fight against fungal infections in humans [42]. Among available antifungal drugs for the treatment of candidiasis, the azole group is the most used but also the most resisted. Therefore, a thorough understanding of the drug resistance rate of this group of drugs is indispensable. In the current study, no isolated strain was resistant to nystatin, which is a good sign. The rate of drug resistance is ascending in the following order: nystatin < miconazole < clotrimazole < fluconazole. As a result, the resistance rate of fluconazole, which is also the most used drug for oral candidiasis, is the highest (51% for *C. albicans* and 86% for *non-albicans Candida*). In a recent study in India and in Iran, this rate was only around 20% [37,43]. This result demonstrates that fluconazole resistance in Vietnamese patients was relatively high compared to other countries. Our study also suggests that it is important to identify the *Candida* species before determining the treatment. For example, if the main source of oral infections is *Candida non-albicans*, it would be better to use nystatin than azoles. Besides, a higher resistance rate to azole drugs of *non-albicans Candida* than that of *C. albicans* was also shown in the current study. These results suggest the necessity of *Candida* species determination before deciding the treatment strategy.

#### 4.3. Mechanism of fluconazole resistance of *Candida albicans* in Vietnamese cancer patients

In order to better understand the mechanism of resistance of *C. albicans* in Vietnamese cancer patients, the *ERG11* gene of our clinical fluconazole-resistant *C. albicans* strains was sequenced and compared to that of clinical fluconazole-sensitive *C. albicans* strains and the standard from the NCBI genbank. In total, two point mutations, including K128T

(at codon 382–384) and E448G (at codon 1342–1344), were detected in our clinical samples in comparison with the standard strain. Only the K128T mutation was shown to be connected with the resistance phenomenon and it was observed in three out of 11 tested samples. On the one hand, this finding was quite typical for the Vietnamese population, as K128T was not listed as a mutation related directly to fluconazole resistance in a study of Urbanek et al. [44]. In the literature, several mutations have been proven to be directly linked to fluconazole resistance in *C. albicans*, such as K143R, G464S, G465S, V488I, S412T, R469K, G487T, T916C, Y18D, E243D, L370S, P375H [40,44–47]. This finding suggests that the K128T mutation may play also a role in the development of fluconazole resistance, especially for the Vietnamese cancer patients. On the other hand, the presence of this mutation in only three out of a total of 11 resistant strains suggests that other unknown mechanisms of resistance might play the main role in fluconazole resistance in Vietnamese cancer patients and need further clarification.

## 5. Conclusion

As both *Candida albicans* and *non-albicans Candida* species are currently listed in the WHO fungal priority pathogens list, the current study is the first study in Vietnam that has focused on the population distribution of *Candida* spp. in Vietnamese cancer patients as well as their drug susceptibility and its potential mechanism. Several results have been pointed out in this study, as follows.

Firstly, the study has reconfirmed the significant increase of *non-albicans Candida* in oral candidiasis in Vietnamese cancer patients, which threatens the treatment effectiveness of the azole group, currently the first choice of treatment for oral candidiasis in Vietnam. Besides, the effectiveness of CHROMagar™ *Candida*, a conventional method for *Candida* identification, was reconfirmed by PCR-RFLP. However, a combination of these methods remains necessary in order to enhance the outcomes of the treatment.

Secondly, the drug resistance rate of these clinical samples highlighted the importance of identifying *Candida* strains before the treatment strategy is decided, especially when azole drugs are prescribed.

Eventually, using the molecular method, our study revealed for the first time in Vietnam that the K128T mutation was connected with the fluconazole resistance in *C. albicans* in Vietnamese cancer patients but further confirmation is still needed.

## Declaration of Competing Interest

The authors declare no conflicts of interest. All the experiments undertaken in this study comply with the current laws of the country where they were performed.

## Data availability

Data will be made available on request.

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## Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.onehlt.2023.100659>.

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