

Body Composition Features Predict Overall Survival in Patients With Hepatocellular Carcinoma

Amit G. Singal, MD, MS^{1,9}, Peng Zhang, PhD^{2,9}, Akbar K. Waljee, MD, MS^{3,4,5}, Lakshmi Ananthkrishnan⁶, Neehar D. Parikh, MD, MS^{3,5}, Pratima Sharma, MD, MS³, Pranab Barman, MD^{3,5}, Venkataramu Krishnamurthy, MD^{5,7}, Lu Wang, PhD⁸, Stewart C. Wang, MD, PhD² and Grace L. Su, MD^{2,3,5}

OBJECTIVES: Existing prognostic models for patients with hepatocellular carcinoma (HCC) have limitations. Analytic morphomics, a novel process to measure body composition using computational image-processing algorithms, may offer further prognostic information. The aim of this study was to develop and validate a prognostic model for HCC patients using body composition features and objective clinical information.

METHODS: Using computed tomography scans from a cohort of HCC patients at the VA Ann Arbor Healthcare System between January 2006 and December 2013, we developed a prognostic model using analytic morphomics and routine clinical data based on multivariate Cox regression and regularization methods. We assessed model performance using C-statistics and validated predicted survival probabilities. We validated model performance in an external cohort of HCC patients from Parkland Hospital, a safety-net health system in Dallas County.

RESULTS: The derivation cohort consisted of 204 HCC patients (20.1% Barcelona Clinic Liver Cancer classification (BCLC) 0/A), and the validation cohort had 225 patients (22.2% BCLC 0/A). The analytic morphomics model had good prognostic accuracy in the derivation cohort (C-statistic 0.80, 95% confidence interval (CI) 0.71–0.89) and external validation cohort (C-statistic 0.75, 95% CI 0.68–0.82). The accuracy of the analytic morphomics model was significantly higher than that of TNM and BCLC staging systems in derivation ($P < 0.001$ for both) and validation ($P < 0.001$ for both) cohorts. For calibration, mean absolute errors in predicted 1-year survival probabilities were 5.3% (90% quantile of 7.5%) and 7.6% (90% quantile of 12.5%) in the derivation and validation cohorts, respectively.

CONCLUSION: Body composition features, combined with readily available clinical data, can provide valuable prognostic information for patients with newly diagnosed HCC.

Clinical and Translational Gastroenterology (2016) 7, e172; doi:10.1038/ctg.2016.31; published online 26 May 2016

Subject Category: Liver

INTRODUCTION

Hepatocellular carcinoma (HCC) is the third leading cause of cancer-related death worldwide and one of the leading causes of death in patients with cirrhosis.¹ The incidence of HCC is rapidly rising in the United States, related to large numbers of patients with advanced hepatitis C virus infection and non-alcoholic steatohepatitis.² Prognosis for patients with HCC remains poor with 3-year survival rates below 30%, largely driven by advanced tumor burden at the time of diagnosis. Despite improvement over time, the majority of HCCs in the United States are still diagnosed beyond an early stage.³

Accurate tumor staging is not only important for prognostication but also for determining appropriate treatment options. HCC prognosis and treatment decisions are often determined by a combination of tumor burden, degree of hepatic dysfunction, and patient performance status. Several staging systems have been proposed, without any one system being

universally accepted.⁴ The Barcelona Clinic Liver Cancer (BCLC) classification may offer the most prognostic information, has been validated in several populations, and is endorsed by the American Association for the Study of Liver Diseases (AASLD).^{4,5} However, there are potential shortcomings with the BCLC, including the subjective nature and low inter-observer reliability of assessing functional status.⁶ Prior studies have suggested additional prognostic information from a 5-gene score; however, the widespread applicability of this marker has been limited by low rates of biopsy among patients with HCC.⁷

In contrast, the wide availability of computed tomography (CT) imaging—abdominal or chest CT—in patients with HCC makes this an ideal platform for biomarker discovery. Analytic morphomics is a novel approach that uses high throughput semi-automated image-processing techniques to assess body composition, such as body dimensions, visceral fat,

¹Department of Internal Medicine, UT Southwestern Medical Center and Parkland Health and Hospital System, Dallas, Texas, USA; ²Department of Surgery, University of Michigan, Ann Arbor, Michigan, USA; ³Department of Medicine, University of Michigan, Ann Arbor, Michigan, USA; ⁴Center For Clinical Management Research, VA Ann Arbor Healthcare System, Ann Arbor, Michigan, USA; ⁵VA Ann Arbor Healthcare System, Ann Arbor, Michigan, USA; ⁶Department of Radiology, UT Southwestern Medical Center and Parkland Health and Hospital System, Dallas, Texas, USA; ⁷Department of Radiology, University of Michigan, Ann Arbor, Michigan, USA and ⁸Department of Biostatistics, University of Michigan, Ann Arbor, Michigan, USA

Correspondence: Grace L. Su, MD, Department of Medicine, University of Michigan and VA Ann Arbor Healthcare System, 2215 Fuller Road, Ann Arbor, MI 48105, USA. E-mail: gsu@med.umich.edu

⁹These authors contributed equally to this work and are co-first authors.

Received 13 February 2016; accepted 7 April 2016

and muscle mass, and link it to clinical outcomes.^{8,9} We have previously demonstrated that morphomics offers improved prognostic accuracy over standard clinical assessment in patients after liver transplantation as well as those in motor vehicle accidents.^{9–12} We hypothesize that analytic morphomics can identify body factor biomarkers that may improve our ability to prognosticate in patients with HCC. The aims of our study were (i) to develop and validate a prognostic model for patients with HCC using analytic morphomics and pre-treatment objective clinical/tumor information and (ii) to compare the performance of this model to more widely accepted prognostic models, including the BCLC.

METHODS

Study populations. Our derivation cohort (Ann Arbor Cohort) consisted of all male patients with a new diagnosis of HCC at the VA Ann Arbor Healthcare System between January 2006 and December 2013 ($n=306$). Female patients were excluded from the cohort because only two patients were female. Patients were identified through liver tumor conference lists and administrative databases were searched using ICD-9 codes (155.0 and 155.2) as previously described.¹³ More than 90% of all HCC patients at the VA Ann Arbor Healthcare system were presented at the liver tumor conference.

Our validation cohort consisted of all patients with newly diagnosed HCC at Parkland Health and Hospital System (Parkland cohort) between January 2005 and March 2012.¹⁴ Similar to the VA system, Parkland is an integrated health-care system, so patients often receive their continuity of care through the Parkland health system. However, as the sole safety-net hospital system for Dallas County, Parkland cares for a socioeconomically disadvantaged, racially diverse cohort of patients. Patients in the validation cohort were initially identified using ICD-9 codes for HCC (155.0 and 155.2), tumor conference presentation lists, and prior databases as previously described.^{15,16}

All HCC cases in both cohorts were adjudicated by two authors (A.S. and G.S.) to confirm they met the diagnostic criteria based on AASLD guidelines.⁴ For tumors larger than 1 cm, HCC diagnosis required a typical vascular pattern on dynamic imaging (arterial enhancement and delayed wash-out) or histology. Patients were excluded if they lacked CT imaging prior to HCC-directed treatment, had technical issues with CT imaging precluding analytic morphomics, had incomplete measurements at thoracic vertebral level 11 (T11), or had incomplete clinical data. Of the 306 HCC patients seen at the Ann Arbor VA during the study period, 229 (74.8%) had an abdominal or chest CT scan prior to treatment, with the remainder only having magnetic resonance imaging. All patients in both cohorts were discussed in multidisciplinary Liver Tumor Boards for management decisions, and curative treatments (liver transplantation, resection, and radiofrequency ablation) were recommended for patients with early stage HCC as applicable. Liver transplantation was available to a minority of patients in both cohorts given financial, social, and medical barriers to transplantation in these patient populations.¹⁷ This study was approved by the Institutional

Review Boards at the Ann Arbor VA Healthcare System and UT Southwestern Medical Center.

Analytic morphomics. Pretreatment CT studies were analyzed using analytic morphomics as previously described.^{8,12,18,19} Briefly, all available CT scan DICOM (Digital Imaging and Communications in Medicine) files were loaded into the analytic morphomics server in a de-identified manner. Given the method of processing and required data, both contrast and non-contrast scans could be used. A semi-automated high throughput methodology with algorithms programmed in MATLAB (MathWorks, Natick, MA) enabled image processing and analysis. All algorithms involved a combination of user-defined points, automated image processing, and user editing and verification. All imaging studies were first anatomically indexed using semi-automated identification of spinal vertebral levels to allow for accurate and standardized measurements of the same area in each patient. In this paper, we chose to derive all measurements at the bottom of T11. This anatomic landmark was chosen because this was felt to have the highest likelihood of being available on all abdominal and chest CT scans. A single slice was chosen, as this would include all required body composition features while minimizing processing time and potential radiation exposure for future prospective studies. After anatomic indexing, the fascial envelope and skin outline were automatically defined using key points within the linea alba, dorsal muscles groups, and paraspinus lateral seams at specified vertebra points (**Figure 1a–d**). In addition to direct measurements of features, we also included measurements standardized to patient body size. We used two surrogates of patient size: (i) the distance between the anterior edge of the vertebra to the anterior edge of the fascial envelope and (ii) the area of the fascial envelope (**Figure 1e, f**). All geometries were saved in a STL (stereolithography) format in the analytic morphomics database with PostgreSQL and subsequently retrieved to calculate several shape and pixel-based measurements.

Clinical data collection. Patient demographics, clinical history, laboratory data, and imaging results for both cohorts were obtained through review of computerized medical records and extracted using standardized forms. Given our goal was to develop a prognostic model to be used at HCC diagnosis, we only included pre-treatment patient and tumor characteristics. Age, gender, race/ethnicity, liver disease etiology, and presence of hepatic decompensation (ascites or encephalopathy) were recorded for each patient. Laboratory data of interest included platelet count, creatinine, bilirubin, albumin, international normalized ratio, and alpha fetoprotein. Tumor characteristics at diagnosis including number of HCC nodules, presence of portal vein thrombosis, and TNM staging were determined by review of CT imaging.

Statistical analysis. Overall survival distribution was estimated by Kaplan–Meier analysis, with patients' outcomes defined from time of CT scans to death, censored at the time of liver transplantation or date of last follow-up. Prognostic models of survival were developed by the Cox proportional hazard regression models. Because analysis of CT scans with analytic morphomics leads to high dimensional data

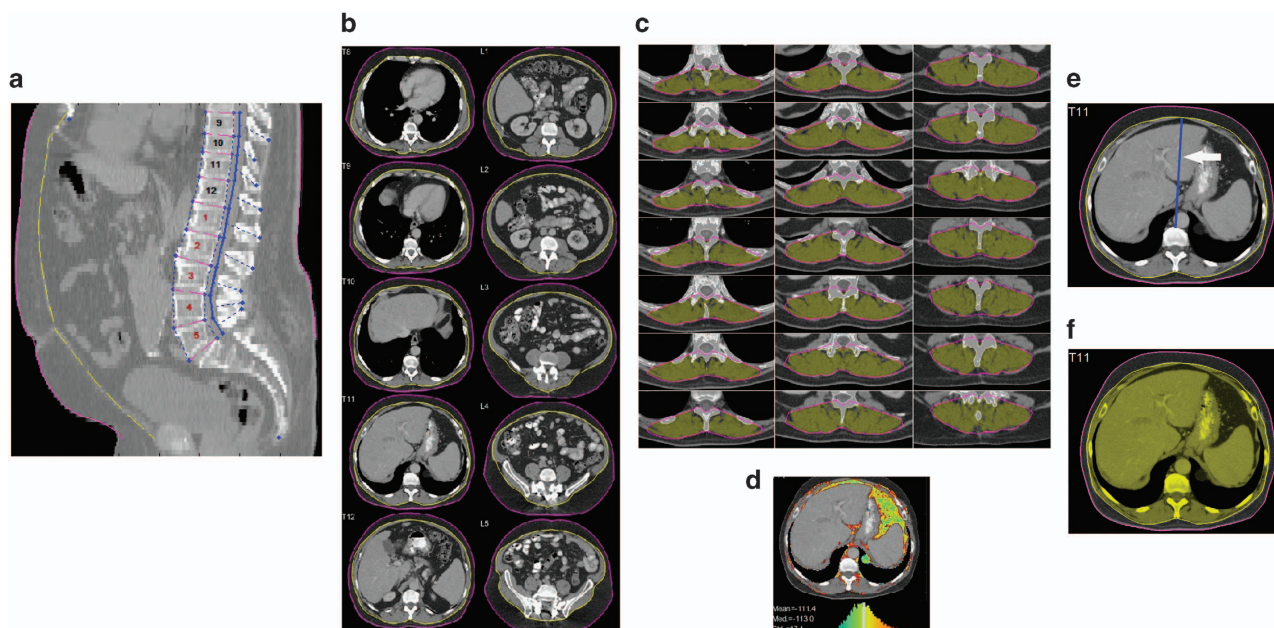


Figure 1 Body composition features as determined by analytic morphomics. (a) Example of identification of spinal vertebral levels that serve as anatomic reference point. (b) Example of fascial envelope (yellow line) and skin outline (red line). (c) Example of the dorsal group muscles (outlined in yellow) defined automatically after delineation of paraspinus lateral seams at specified vertebra points. (d) Example of MATLAB-based 3D image viewer graphical user interface showing the pixel densities which was used to measure the interstitial hounsfield units at T11 (ITHU). (e) Example of VB2FASCIA or distance between the vertebra to the fascial envelope. (f) Example of FASCIAAREA or fascial area.

similar to what occurs with microarray analysis or genome-wide association data, we chose to utilize regularization methods to address the vast number of measurements and multi-collinearity of variables.²⁰ We used elastic net regularization with cross-validation for variable selection to build prognostic models. The elastic net method utilizes a linear combination of L1 (lasso) and L2 (ridge) penalty in minimizing the partial likelihood function. This method addresses model over-fitting and variable co-linearity through the lasso penalty and ridge penalty, respectively. Tuning parameters were optimized through 10-fold cross-validation minimizing the deviance of partial likelihood for the Cox model. With this type of approach for variable selection, all morphomics variables were included in addition to clinical variables. The performance of the model was assessed with C-statistics in the derivation (Ann Arbor VA Healthcare system) cohort and the independent external validation (Parkland Health and Hospital) cohort. C-statistics were also assessed for prognosis based on the TNM and BCLC staging systems, the two most widely used systems in the United States.²¹ The C-statistics may range from 0 to 1, with 1 indicating perfect prediction and 0.5 indicating prediction by chance alone, with values greater than 0.7 generally being considered a useful model. For prognostic models, values greater than 0.9 are rare.²² To compare the discriminatory validity of the models, we used a modification of the net reclassification improvement methodology that can accommodate survival data to assess discrimination, comparing the fraction of concordant pairs for which one model is more impressive than the other.^{23,24} To validate predicted probabilities, we calculated the mean absolute error in predicted probabilities for 1-year survival,

and its upper 90% quantile.^{25–28} The mean absolute error in predicted probabilities is the average difference in survival between actual survival probabilities and those predicted by the model, and the 90% quantile is the 90% upper quantile for these absolute errors. A lower value suggests a smaller difference in predicted survival probabilities vs. actual survival probabilities, and thereby better calibration. All statistical analyses were performed using R 3.1.0 with packages glmnet, Hmisc, rms.

RESULTS

Patient characteristics. The Ann Arbor VA derivation cohort consisted of 204 patients with HCC, with baseline characteristics shown in **Table 1**. The median age of the patients was 61 (interquartile range 58–66) years. More than 44% of patients were Caucasian and 100% were male. The most common etiologies of liver disease were hepatitis C (73%), alcohol-induced (7%), and nonalcoholic steatohepatitis/cryptogenic (5%). A total of 57% had Child Pugh class A cirrhosis, 31% Child Pugh B cirrhosis, and 12% Child Pugh C cirrhosis. HCC was multifocal in 50% of patients, and nearly 10% had lymph node involvement and/or distant metastases.

The Parkland Health and Hospital System validation cohort consisted of 225 patients with HCC, with baseline characteristics shown in **Table 1**. The median age of the patients was 57 (interquartile range 52–62) years. More than one-fourth of patients were Caucasian and 79% were male. The most common etiologies of liver disease were hepatitis C (64%), alcohol-induced (14%), and nonalcoholic steatohepatitis/

Table 1 Patient demographics

Variable	Ann Arbor cohort (n = 204)	Parkland cohort (n = 225)
Age at diagnosis (years)	61 (58–66)	57 (52–62)
Gender (% male)	204 (100%)	178 (79%)
Race (% Caucasian)	89 (44%)	60 (27%)
<i>Etiology of liver disease</i>		
Hepatitis C	148 (73%)	145 (64%)
Alcohol-induced	14 (7%)	32 (14%)
NASH/cryptogenic	11 (5%)	17 (8%)
Multifocal HCC	102 (50%)	123 (55%)
Portal vein thrombosis	43 (21%)	76 (34%)
<i>Child pugh class</i>		
Child pugh A	117 (57%)	83 (37%)
Child pugh B	63 (31%)	86 (38%)
Child pugh C	24 (12%)	56 (25%)
MELD score	9 (8–12)	11 (8–15)
Alpha fetoprotein (ng/ml)	34.9 (9.6–283.2)	116.0 (13.8–4260.0)
ECOG performance status	1 (0–2)	2 (1–2)
TNM stage (I/II/III/IV)	86/47/51/20	7/109/51/58
BCLC stage (0/A/B/C/D)	5/36/26/101/36	3/47/51/49/75
<i>Treatment</i>		
Resection	23	12
RFA	23	8
TACE	76	70
Sorafenib	22	17
Supportive	60	118

BCLC, Barcelona Clinic Liver Cancer; ECOG, Eastern Cooperative Oncology Group; HCC, hepatocellular carcinoma; MELD, Model for End Stage Liver Disease; NASH, nonalcoholic steatohepatitis; RFA, radiofrequency ablation; TACE, transarterial chemoembolization; TNM, tumor node metastases. All values are expressed as n (%) or median (interquartile range).

cryptogenic (8%). A total of 37% had Child Pugh class A cirrhosis, 38% Child Pugh B cirrhosis, and 25% Child Pugh C cirrhosis. HCC was multifocal in 55% of patients, and nearly 26% had lymph node involvement and/or distant metastases.

Despite both centers being in the United States and serving similar socioeconomic levels, there were significant differences between the two populations. The Parkland cohort had higher rates of liver dysfunction, with a lower proportion of patients with Child Pugh A cirrhosis (37 vs. 57%, $P < 0.001$) and higher proportion of Child Pugh C patients (25 vs. 12%, $P < 0.001$). The Parkland cohort also had a higher proportion of patients with lymph node involvement and/or distant metastases (26 vs. 10%, $P < 0.001$). Although the proportions of BCLC stage A patients were similar between the two cohorts (20.9% vs. 17.6%, $P = 0.47$), there were significantly more BCLC stage D patients (33.3 vs. 17.6%, $P < 0.001$) compared with the Ann Arbor cohort. Accordingly, there were lower rates of curative treatment and a higher rate of best supportive care in the Parkland cohort, leading to worse overall survival (median 5.4 vs. 16.8 months).

Model description. To assess the ability of morphomics variables to predict survival in HCC, we built three models. First, we built a model using only morphomics variables (Model 1). Recognizing that tumor factors and liver function are important in determining outcome in HCC, we examined the benefit of adding these factors to morphomics variables for model performance. Model 2 included morphomics variables and pre-treatment clinical factors known to affect survival, whereas Model 3 included morphomics variables, pre-treatment clinical factors, and TNM tumor stage. Only clinical and tumor variables readily available at the time of treatment decisions were included in the models. Variables

included in the models and the descriptions of their measurements are detailed in **Table 2**.

Model performance. Median transplant-free survival of the derivation cohort was 16.8 months, with 1-year and 3-year survival rates of 59% and 21%, respectively. In the derivation cohort, morphomics variables when used alone had a C-statistic of 0.72 (95% confidence interval (CI) 0.63–0.82) for predicting survival. Adding routine clinical information to the analytic morphomics model increased the C-statistic to 0.76 (95% CI 0.67–0.85). Finally, a model combining analytic morphomics, TNM tumor stage, and routine clinical information achieved the highest C-statistic of 0.80 (95% CI 0.71–0.89) when combined with routine clinical information ($P < 0.001$ compared with both prior models). In a *post hoc* subgroup analysis, the analytic morphomics model, there appeared to be a trend towards better C index in patients with Child Pugh B or C cirrhosis compared with those with Child Pugh A cirrhosis (C-statistics 0.82, 95% CI 0.73–0.91 vs. 0.73, 95% CI 0.58–0.88). The final analytic morphomics model had a significantly higher C-statistic compared with the TNM (0.71, 95% CI 0.61–0.80) and BCLC (0.66, 95% CI 0.56–0.76) staging systems ($P < 0.001$) (**Table 3**). For calibration, the mean absolute error in predicted 1-year survival probabilities was 5.3%, with a 90% quantile of 7.5%.

To examine the generalizability of our model, we examined the validity of the model using an external cohort from Parkland Health and Hospital System. Median transplant-free survival of the validation cohort was 5.4 months, with 1-year and 3-year survival rates of 34 and 16%, respectively. The analytic morphomics model performed well with a C-statistic of 0.75 (95% CI 0.68–0.82), which was significantly higher than C-statistics for the TNM (0.67, 95% CI 0.60–0.74)

Table 2 Variables in final models

Variable name	Description	Type of measurement
<i>Model 1: Morphomics alone</i>		
FASCIACIRCUMFERENCE BY VB2FASCIA	Circumference of the facial envelope divided by the distance between the vertebra to the facial envelope	Body dimension
VISCERALFATAREA BY VB2FASCIA	Area of the visceral fat divided by the distance between the vertebra to the facial envelope	Fat
PSPXSECAREA BY VB2FASCIA	Area of the dorsal muscle group divided by distance between the vertebra to the facial envelope	Muscle
PSPVOLOFVB BY VB2FASCIA	Volume of the dorsal muscle group divided by the distance between the vertebra to the facial envelope	Muscle
TOTALBODYAREA BY VB2FASCIA	Total body area divided by the distance between the vertebra to the facial envelope	Body dimension
TOTALBODYCIRCUMFERENCE BY VB2FASCIA	Circumference of the body divided by the distance between the vertebra to the facial envelope	Body dimension
VB2FASCIA BY FASCIAAREA	The distance between the vertebra to the facial envelope divided by the fascial area	Body dimension
VISCERALFATAREA BY FASCIAAREA	Visceral fat area divided by the fascial area	Fat
SUBCUTFATAREA BY FASCIAAREA	Subcutaneous fat area divided by the fascial area	Fat
PSPXSECAREA BY FASCIAAREA	Dorsal muscle group area divided by the fascial area	Muscle
PSPVOLOFVB BY FASCIAAREA	Dorsal muscle group volume divided by fascial area	Muscle
TOTALBODYAREA BY FASCIAAREA	Total body area divided by fascial area	Body dimension
TOTALBODYCIRCUMFERENCE BY FASCIAAREA	Body circumference divided by the fascial area	Body dimension
ITHU NORMALIZED	Density of the interstitial area normalized	Relative interstitial density
<i>Model 2: Morphomics and TNM stage</i>		
FASCIACIRCUMFERENCE BY VB2FASCIA	Circumference of the facial envelope divided by the distance between the vertebra to the facial envelope	Body dimension
VISCERALFATAREA BY VB2FASCIA	Area of the visceral fat divided by the distance between the vertebra to the facial envelope	Fat
PSPXSECAREA BY VB2FASCIA	Area of the dorsal muscle group divided by distance between the vertebra to the facial envelope	Muscle
PSPVOLOFVB BY VB2FASCIA	Volume of the dorsal muscle group divided by the distance between the vertebra to the facial envelope	Muscle
TOTALBODYCIRCUMFERENCE BY VB2FASCIA	Circumference of the body divided by the distance between the vertebra to the facial envelope	Body dimension
VISCERALFATAREA BY FASCIAAREA	Visceral fat area divided by the fascial area	Fat
ITHU NORMALIZED	Density of the interstitial area normalized	Relative interstitial density
TNM stage III	TNM stage III	Tumor factors
TNM stage IV	TNM stage IV	Tumor factors
<i>Model 3: Morphomics, TNM stage, and clinical factors</i>		
PSPXSECAREA BY VB2FASCIA	Area of the dorsal muscle group divided by distance between the vertebra to the facial envelope	Muscle
PSPVOLOFVB BY VB2FASCIA	Volume of the dorsal muscle group divided by the distance between the vertebra to the facial envelope	Muscle
TOTALBODYAREA BY VB2FASCIA	Total body area divided by the distance between the vertebra to the facial envelope	Body dimension
TOTALBODYCIRCUMFERENCE BY VB2FASCIA	Circumference of the body divided by the distance between the vertebra to the facial envelope	Body dimension
VB2FASCIA BY FASCIAAREA	The distance between the vertebra to the facial envelope divided by the fascial area	Body dimension
PSPXSECAREA BY FASCIAAREA	Dorsal muscle group area divided by the fascial area	Muscle
PSPVOLOFVB BY FASCIAAREA	Dorsal muscle group volume divided by fascial area	Muscle
TOTALBODYAREA BY FASCIAAREA	Total body area divided by fascial area	Body dimension
ITHU NORMALIZED	Density of the interstitial area normalized	Relative interstitial density
Multifocal	Presence of multifocal tumor	Tumor factor
PVT Y NY	Presence of portal vein thrombosis	Clinical factor
LogTBili	Log of total bilirubin	Clinical factor
LogINR	Log of INR	Clinical factor
Albumin	Albumin	Clinical factor
Hepatic encephalopathy	Presence of hepatic encephalopathy	Clinical factor
Ascites	Presence of ascites	Clinical factor
TNM stage III	TNM stage III	Tumor factors
TNM stage IV	TNM stage IV	Tumor factors

INR, international normalized ratio; TNM, tumor node metastases.

Table 3 Performance of model in derivation and validation cohorts

	Derivation cohort		Validation cohort	
	C-statistic	Mean absolute error in predicted probability of 1-year survival	C-statistic	Mean absolute error in predicted probability of 1-year survival
Model 1 ^a	0.72 95% CI 0.63–0.82			
Model 2 ^a	0.76 95% CI 0.67–0.85			
Model 3 ^a	0.80 95% CI 0.71–0.89	5.3% 90% quantile 7.5%	0.75 95% CI 0.68–0.82	7.6% 90% quantile 12.5%
TNM System	0.71 95% CI 0.61–0.80		0.67 95% CI 0.60–0.74	
BCLC System	0.66 95% CI 0.56–0.76		0.70 95% CI 0.62–0.78	

BCLC, Barcelona Clinic Liver Cancer; CI, confidence interval; TNM, tumor node metastases.

^aModel variables are detailed in **Table 2**. Model 1 includes analytic morphomics variables alone. Model 2 includes analytic morphomics variables and TNM tumor stage. Model 3 includes analytic morphomics variables, TNM tumor stage, and pre-treatment clinical variables.

and BCLC (0.70, 95% CI 0.62–0.78) staging systems ($P < 0.001$) (**Table 3**). As our derivation cohort only had males and the external cohort had males and females, we re-examined C-statistics of the model after excluding females and data were similar to those above (data not shown). For calibration, the mean absolute error in predicted 1-year survival probabilities was 7.6%, with a 90% quantile of 12.5%.

DISCUSSION

We found using analytic morphomics, we can build an accurate prognostic model for patients with HCC. In order to ascertain the relative accuracy of our prognostic model, we sought to compare it with the most prognostic or widely used staging systems, BCLC and TNM, respectively.²¹ Our model achieved a C-statistic of 0.80 in the derivation cohort, which was significantly better than that of the TNM and BCLC staging systems. We validated our model on an external cohort of patients from a non-VA setting located in a geographically and ethnically distinct region of the United States. Despite these differences in the two cohorts, our model continued to perform well in the validation cohort with a C-statistic of 0.75. The analytic morphomics model demonstrated good calibration in both derivation and validation cohorts, with a mean absolute error in predicted 1-year survival probability of 5.3% and 7.6%, respectively.

Analytic morphomics takes advantage of the wide availability of cross-sectional imaging in patients with HCC and offers a potential source for prognostic information. Our analytic morphomics model includes three components: tumor burden, liver function, and analytic morphomics data. These measures parallel the inclusion of tumor burden, liver function, and performance status included in other staging systems, such as the BCLC. However, our model was able to achieve higher C-statistics for survival in both the derivation and validation cohorts. Prior studies have suggested high inter-observer variability in assessing performance status as well as unclear cutoffs for discriminating ECOG performance status.^{6,29} The use of analytic morphomics data may allow more objective, reliable, and continuous measures of patient performance status over a provider's subjective assessment of ECOG status.³⁰

Using analytic morphomics, we are able to quantify features of body composition that may be an important biomarker for

prognosticating clinical outcomes. This information can be important for describing prognosis for patients in clinic, risk stratification for clinical trials, and potentially for treatment decisions. For example, it may be possible to define a subgroup of patients who derive less benefit or are at an increased risk of harms from palliative treatment such as TACE and sorafenib. Our previous work showed that analytic morphomics can be used to predict the presence of cirrhosis among patients with liver disease with very high accuracy (Area Under Receiver Operating Characteristics, AUROC > 0.90), which was significantly better than other serum-based methods.⁹ Similarly, we have shown that analytic morphomics can predict mortality after transplantation in patients with chronic liver disease.¹⁰ The ability of analytic morphomics to predict outcome in such a diverse population of patients supports the hypothesis that understanding underlying patient features (phenotype) is a very important first step to personalizing care. This is particularly important in a disease such as HCC, in which it is not only the aggressive nature of the tumor but also underlying patient characteristics, such as functional status and liver status, which are critical in determining prognosis. Analytic morphomics can provide an accurate method to quantitate these features.

Our morphomics model incorporates several characteristics, including dorsal muscle area and volume, total body circumference, and interstitial tissue density (Figure 1). It is possible that, if not likely, dorsal muscle area and volume serve as objective surrogates for sarcopenia and/or frailty.^{8,10,30} This hypothesis is further supported by the fact that adding performance status to the analytic morphomics model did not change the predictive accuracy in the derivation cohort (C-statistic 0.793 vs. 0.796). There has been increasing literature on the prognostic importance of these features in patients with cirrhosis and early data suggest exercise programs to reverse frailty and/or sarcopenia may improve prognosis in patients with cirrhosis;^{31,32} however, it is unclear whether this would be equally true among patients with HCC. Interstitial tissue density may serve as an early marker for portal hypertension, as it has moderate correlation with the presence of ascites (data not shown).

The performance of prognostic models is often lower in validation cohorts than derivation cohorts given possible overfitting of the model, measurement error related to inter-

observer variability of predictors, and/or differences in patient case mix.^{33,34} However, the prognostic accuracy of our analytic morphomics was similar in our independent external validation cohort despite large differences in patient characteristics. Our derivation cohort sampled from a VA population and consisted primarily of Caucasian elderly males, whereas our validation cohort sampled from a safety-net population and included a large number of Hispanics and Blacks, younger patients, and both sexes. Furthermore, our derivation cohort was sampled from Dallas County and included a large number of overweight, obese, and even morbidly obese patients.

Some limitations of our study warrant further discussion. Although analytic morphomics is versatile, at the present time, we can only perform image analysis on CT data files, and for patients who only had an magnetic resonance imaging, we were unable to include them in the study. Nevertheless, this is proof of concept to demonstrate image analysis software that quantifies morphologic features in patients may provide valuable prognostic information in patients with newly diagnosed HCC. Furthermore, we increased the versatility of our tool by focusing on the anatomic level that is often present on both abdominal and chest CT scans and included all protocol scans. Although magnetic resonance imaging is becoming more preferred in some centers for diagnosis of HCC, it is not always widely available especially in rural and underserved areas. Even in patients who only received magnetic resonance imaging for diagnosis of HCC, there is often accompanying CT of the chest to rule out metastasis that can be used for analysis. A second concern may be that analysis of the data requires specialized expertise and software that is not readily available. We are currently in the process of developing stand-alone software packages that can be distributed to academic sites as well as developing web portals and other modalities for easy acquisition of the standard DICOM data files available in any CT scan. We have shown the feasibility of this process by acquiring CT scan data from completely different health-care systems such as the Veterans Administration Health Systems and Parkland Health Systems. The analytic software required for image analysis was not available at Parkland Health Systems, demonstrating the capability of performing this analysis remotely. Thus, we feel that this process can be easily generalized for different types of practices given time and development. Because of its retrospective nature, our study was also limited by missing data and the potential for measurement bias. The possibility of measurement bias is particularly applicable to variables, such as performance status, which are subjective and were not consistently reported in clinical notes. Finally, the majority of patients in both cohorts underwent palliative therapies with TACE and/or sorafenib, so larger studies are needed to determine whether our results are equally valid in patients undergoing curative treatments.

In summary, we found proof of principle that analytic morphomics may offer prognostic information in diverse cohorts of patients with HCC. Incorporation of body composition features from CT imaging can likely provide objective data regarding sarcopenia as a potential marker of performance status and interstitial edema as a potential marker of

early portal hypertension. Further research is needed to prospectively validate these findings in large cohorts of patients with HCC.

CONFLICT OF INTEREST

Guarantor of the article: Grace L. Su, MD.

Specific author contributions: Singal AG: data collection, interpretation of data, drafting of manuscript, and critical revision for intellectual concept; Zhang P: data analysis, interpretation of data, drafting of manuscript, and critical revision for intellectual concept; Waljee AK: data analysis, interpretation of data, and critical revision for intellectual concept; Ananthakrishnan L: data collection and critical revision for intellectual concept; Parikh ND: interpretation of data and critical revision for intellectual concept; Sharma P: interpretation of data and critical revision for intellectual concept; Barman P: data collection and critical revision for intellectual concept; Krishnamurthy V: data collection and critical revision for intellectual concept; Wang L: data analysis and critical revision for intellectual concept; Wang SC: study concept and critical revision for intellectual concept; Su GL: study concept, data collection, data analysis, interpretation of data, drafting of manuscript, and critical revision for intellectual concept.

Financial support: Singal was supported in part by the AHRQ Center for Patient-Centered Outcomes Research (R24 HS022418). Waljee's research is funded by a VA HSR&D CDA-2 Career Development Award 1K2HX000775. The content is solely the responsibility of the authors and does not necessarily represent the official views of the Agency for Healthcare Research and Quality or the VA.

Potential competing interests: None.

Study Highlights

WHAT IS CURRENT KNOWLEDGE

- ✓ Accurate tumor staging is important for prognostication and determining appropriate treatment options.
- ✓ Several staging systems have been proposed for hepatocellular carcinoma (HCC), without any one system being universally accepted.
- ✓ Analytic morphomics, a novel approach using semi-automated image-processing techniques to assess body composition features, has been linked to prognosis in other diseases.

WHAT IS NEW HERE

- ✓ Analytic morphomics can provide prognostic information in patients with HCC.
- ✓ Our analytic morphomics model offers improved prognostic accuracy over currently available staging systems.

1. Jemal A, Bray F, Center MM et al. Global cancer statistics. *CA Cancer J Clin* 2011; **61**: 69–90.
2. El-Serag HB. Epidemiology of viral hepatitis and hepatocellular carcinoma. *Gastroenterology* 2012; **142**: 1264–1273 e1.
3. Altekruse SF, McGlynn KA, Reichman ME. Hepatocellular carcinoma incidence, mortality, and survival trends in the United States from 1975 to 2005. *J Clin Oncol* 2009; **27**: 1485–1491.

4. Bruix J, Sherman M. Management of hepatocellular carcinoma: an update. *Hepatology* 2010; **53**: 1–35.
5. Cillo U, Vitale A, Grigoletto F et al. Prospective validation of the Barcelona Clinic Liver Cancer staging system. *J Hepatol* 2006; **44**: 723–731.
6. Ando M, Ando Y, Hasegawa Y et al. Prognostic value of performance status assessed by patients themselves, nurses, and oncologists in advanced non-small cell lung cancer. *Br J Cancer* 2001; **85**: 1634–1639.
7. Nault JC, De Reynies A, Villanueva A et al. A hepatocellular carcinoma 5-gene score associated with survival of patients after liver resection. *Gastroenterology* 2013; **145**: 176–187.
8. Englesbe MJ, Lee JS, He K et al. Analytic morphomics, core muscle size, and surgical outcomes. *Ann Surg* 2012; **256**: 255–261.
9. Krishnamurthy V, Zhang P, Ethiraj S et al. Use of analytic morphomics of liver, spleen, and body composition to identify patients at risk for cirrhosis. *Clin Gastroenterol Hepatol* 2014; **13**: 360–368 e5.
10. Englesbe MJ. Quantifying the eyeball test: sarcopenia, analytic morphomics, and liver transplantation. *Liver Transpl* 2012; **18**: 1136–1137.
11. Parenteau CS, Zhang P, Holcombe S et al. Can anatomical morphomic variables help predict abdominal injury rates in frontal vehicle crashes? *Traffic Inj Prev* 2014; **15**: 619–626.
12. Zhang P, Parenteau C, Wang L et al. Prediction of thoracic injury severity in frontal impacts by selected anatomical morphomic variables through model-averaged logistic regression approach. *Accid Anal Prev* 2013; **60**: 172–180.
13. Barman PM, Sharma P, Krishnamurthy V et al. Predictors of mortality in patients with hepatocellular carcinoma undergoing transarterial chemoembolization. *Dig Dis Sci* 2014; **59**: 2821–2825.
14. Yopp AC, Mansour JC, Beg MS et al. Establishment of a multidisciplinary hepatocellular carcinoma clinic is associated with improved clinical outcome. *Ann Surg Oncol* 2014; **21**: 1287–1295.
15. Patel N, Yopp AC, Singal AG. Diagnostic delays are common among patients with hepatocellular carcinoma. *J Natl Compr Canc Netw* 2014; **13**: 543–549.
16. Singal AG, Yopp AC, Gupta S et al. Failure rates in the hepatocellular carcinoma surveillance process. *Cancer Prev Res (Phila)* 2012; **5**: 1124–1130.
17. Singal AG, Chan V, Getachew Y et al. Predictors of liver transplant eligibility for patients with hepatocellular carcinoma in a safety net hospital. *Dig Dis Sci* 2012; **57**: 580–586.
18. Huhdanpaa H, Douville C, Baum K et al. Development of a quantitative method for the diagnosis of cirrhosis. *Scand J Gastroenterol* 2011; **46**: 1468–1477.
19. Harbaugh CM, Terjimanian MN, Lee JS et al. Abdominal aortic calcification and surgical outcomes in patients with no known cardiovascular risk factors. *Ann Surg* 2013; **257**: 774–781.
20. Waldmann P, Meszaros G, Gredler B et al. Evaluation of the lasso and the elastic net in genome-wide association studies. *Front Genet* 2013; **4**: 270.
21. Marrero JA, Fontana RJ, Barrat A et al. Prognosis of hepatocellular carcinoma: comparison of 7 staging systems in an American cohort. *Hepatology* 2005; **41**: 707–716.
22. Kamath PS, Wiesner RH, Malinchoc M et al. A model to predict survival in patients with end-stage liver disease. *Hepatology* 2001; **33**: 464–470.
23. Pencina MJ, D'Agostino RB Sr, Steyerberg EW. Extensions of net reclassification improvement calculations to measure usefulness of new biomarkers. *Stat Med* 2011; **30**: 11–21.
24. Pencina MJ, D'Agostino RB Sr, D'Agostino RB Jr et al. Evaluating the added predictive ability of a new marker: from area under the ROC curve to reclassification and beyond. *Stat Med* 2008; **27**: 157–172; discussion 207–212.
25. May M, Royston P, Egger M et al. Development and validation of a prognostic model for survival time data: application to prognosis of HIV positive patients treated with antiretroviral therapy. *Stat Med* 2004; **23**: 2375–2398.
26. Stallard N. Simple tests for the external validation of mortality prediction scores. *Stat Med* 2009; **28**: 377–388.
27. Cox DR, Snell EJ. A general definition of residuals (with discussion). *JRSSB* 1968; **30**: 248–275.
28. Kooperberg C, Stone CJ, Truong YK. Hazard regression. *J Am Stat Assoc* 1995; **90**: 78–94.
29. Hsu CY, Lee YH, Hsia CY et al. Performance status in patients with hepatocellular carcinoma: determinants, prognostic impact, and ability to improve the Barcelona Clinic Liver Cancer system. *Hepatology* 2013; **57**: 112–119.
30. Miller AL, Min LC, Diehl KM et al. Analytic morphomics corresponds to functional status in older patients. *J Surg Res* 2014; **192**: 19–26.
31. Hanai T, Shiraki M, Nishimura K et al. Sarcopenia impairs prognosis of patients with liver cirrhosis. *Nutrition* 2015; **31**: 193–199.
32. Montano-Loza AJ. Clinical relevance of sarcopenia in patients with cirrhosis. *World J Gastroenterol* 2014; **20**: 8061–8071.
33. Singal AG, Mukherjee A, Joseph Elmunzer B et al. Machine learning algorithms outperform conventional regression models in predicting development of hepatocellular carcinoma. *Am J Gastroenterol* 2013; **108**: 1723–1730.
34. Waljee AK, Higgins PD, Singal AG. A primer on predictive models. *Clin Transl Gastroenterol* 2014; **5**: e44.



Clinical and Translational Gastroenterology is an open-access journal published by **Nature Publishing Group**.

This work is licensed under a Creative Commons Attribution-NonCommercial-NoDerivs 4.0 International License. The images or other third party material in this article are included in the article's Creative Commons license, unless indicated otherwise in the credit line; if the material is not included under the Creative Commons license, users will need to obtain permission from the license holder to reproduce the material. To view a copy of this license, visit <http://creativecommons.org/licenses/by-nc-nd/4.0/>