Bioprocessing of Cryopreservation for Large-Scale Banking of Human Pluripotent Stem Cells

Yan Li and Teng Ma

Abstract

Human pluripotent stem cell (hPSC)-derived cell therapy requires production of therapeutic cells in large quantity, which starts from thawing the cryopreserved cells from a working cell bank or a master cell bank. An optimal cryopreservation and thaw process determines the efficiency of hPSC expansion and plays a significant role in the subsequent lineage-specific differentiation. However, cryopreservation in hPSC bioprocessing has been a challenge due to the unique growth requirements of hPSC, the sensitivity to cryoinjury, and the unscalable cryopreservation procedures commonly used in the laboratory. Tremendous progress has been made to identify the regulatory pathways regulating hPSC responses during cryopreservation and the development of small molecule interventions that effectively improves the efficiency of cryopreservation. The adaption of these methods in current good manufacturing practices (cGMP)-compliant cryopreservation processes not only improves cell survival, but also their therapeutic potency. This review summarizes the advances in these areas and discusses the technical requirements in the development of cGMP-compliant hPSC cryopreservation process.

Key words: cell banking; cryopreservation; human pluripotent stem cell

Introduction

UMAN PLURIPOTENT STEM CELLS (hPSCs), including Human embryonic stem cells (hESCs) and human induced pluripotent stem cells (hiPSCs), can be expanded indefinitely and differentiated into any cell type in three-germ layers.^{1–3} These unique characteristics make hPSCs attractive as the cell source for tissue engineering, regenerative medicine, and drug screening. Recently, hESC-derived oligodendrocyte progenitor cells (OPC) and hESC-derived retinal pigment epithelial cells have been investigated in Phase I/II clinical trials.^{4–6} More clinical trials are expected for treatment of other diseases, such as amyotrophic lateral sclerosis and diabetes.⁷ A critical requirement for hPSC's clinical applications is the supply of a large quantity of hPSC-derived therapeutic cells. Production of these therapeutic cells starts from thawing cells from qualified cell banks, such as the master cell bank (MCB) or the working cell bank (WCB), under current good manufacturing practices (cGMP). The quality of the cell bank plays an important role in hPSC bioprocessing as it impacts post-thaw hPSC expansion, the efficiency of the subsequent lineage-specific differentiation, and process reproducibility. A robust cryopreservation and thaw process in generating MCB and WCB thus becomes the crucial first steps that ensure the quality of hPSC-based cell production.

Cryopreservation has been a routine practice for hematopoietic stem cells (HSCs) for bone marrow transplantation and cord blood banking since 1990s.8 Other adult stem cell banks, especially mesenchymal stem cells (MSCs), are also being established for clinical application.⁹ Besides the increased flexibility and cell availability in laboratory practices, cryopreservation has been an important bioprocessing step to create large-scale cell banks that provide uniform cell populations for clinical study. Different from adult stem cell banking, the unlimited proliferation capacity of hPSCs enables the banking scale in the order of greater than 10⁹ cells.¹⁰ However, maintaining hPSC survival after cryopreservation and thaw has been a significant challenge, and thus the main focus of research efforts. The traditional cryopreservation and thaw methods led to the diminishing Oct-4 expression and poor hPSC survival.¹¹ Due to the high sensitivity to cryoinjury, various groups have been working on optimizing the protocols to efficiently cryopreserve hPSCs with different formulations and procedures.¹² Although cell viability postthaw has been significantly improved, the feasibility of these methods for large-scale banking has not been adequately addressed. Some methods based on vitrification may only be suitable for laboratory practices, while the scalability of other methods, such as slow cooling, needs to be further improved for large-scale cell banking.

Department of Chemical and Biomedical Engineering, Florida State University, Tallahassee, Florida.

This review started from cryopreservation principles and adult stem cell banking that are currently used in clinical practice. The recent progress of hPSC cryopreservation bioprocess was then summarized with an emphasis on slow cooling methods due to their potential in large-scale application. The regulatory mechanisms of apoptosis, reaction oxygen species (ROS), and cell–cell and cell–matrix interaction on hPSC viability and recovery were then discussed to highlight the role of small molecule intervention in improving cryopreservation efficiency. Finally, the current status and challenges of largescale hPSC banking under cGMP are discussed, and the importance of integrated cell expansion and cryopreservation process in cGMP cell banking is emphasized.

Cryopreservation Principles

During cryopreservation and thaw, the main cause of cell death usually is not the long-term storage at low temperature, but rather the process that the cells travel across -15° C to -60° C, which happens once during cooling and once during warming.^{13,14} During slow cooling, ice formed in the extracellular environment causes an increase of solution osmolality. To compensate the osmolality imbalance, the intracellular water passes through cell membrane to the extracellular solution, causing cellular dehydration, which may damage cell membrane and organelles. During rapid cooling, however, cellular damages can be resulted from intracellular ice formation due to insufficient water outflow and super cooling. As both events are detrimental to the cells, the cell cryopreservation requires an optimal cooling rate and the presence of cryoprotectant (CPA), which reduces cell shrinkage during dehydration and inhibits intracellular ice formation until the intracellular water achieves a "glassy state."¹⁵ The typical CPAs are low molecular weight organic compounds that effectively penetrate into the cells and prevent the intracellular ice formation, which include glycerol, dimethyl sulfoxide (DMSO), ethylene glycol (EG), and propylene glycol (PG).¹⁶ During warming, a rapid warming rate is preferred to prevent ice recrystallization and the effective removal of CPA is required to minimize the cytotoxicity.

Although the cooling mechanism is universal for all types of cells, cell type-dependent responses have long been observed due to variations in several attributes: (1) cell surface to volume ratio or cell size; (2) cell membrane permeability to water and CPA and Arrhenius activation energy that affects the temperature dependence of cell membrane permeability; (3) osmotic limit of cells.¹³ In general, a slower cooling rate is necessary as cell size increases to avoid intracellular freezing, because the fractional water loss during cooling is proportional to the cell surface to volume ratio. For ESCs $(10-15 \,\mu\text{m})$, the optimal cooling rate is usually less than 3°C/min. In addition, the osmotically inactive cell volume (V_b) , which is occupied by inert materials with no osmotic pressure, such as fats and proteins, is cell type-dependent. ESCs have high nucleus-to-cytoplasm ratio and V_b was found to be 49.8% of isosmotic cell volume, compared to 32% for cord blood CD34 $^{\rm +}$ cells and 20.5% for bone marrow $\mathrm{HSC.}^{17-19}$ The considerable variation in V_b is another factor that influences the cooling rate. The cell membrane permeability, including hydraulic conductivity and CPA permeability coefficient, is a function of temperature and can be used to predict the cell water change during cryopreservation in twoparameter mass transport model.²⁰ However, the main limitation of this type of theoretical analysis is the significant variation in permeability and osmotic limit among different cell types, donors, and CPA formulations. For the emerging cell populations, such as hPSC, there is still lack of quantitative information and analysis for cryobiological parameters and the cryopreservation is mainly based on the empirical data and experimental optimization.

Clinical application of adult stem cells over the last decades has accumulated valuable knowledge for optimizing the cryopreservation process and provides valuable experience and prospects for future hPSC clinical application. In the following sections, the current status of adult stem cell cryopreservation will be briefly summarized followed by the discussion of the advancements and challenges in large-scale hPSC cryopreservation.

Cryopreservation of Adult Stem Cells

Cryopreservation has played an important role for HSC collection and clinical transplantation. For example, more than 250,000 human leukocyte antigen-typed cord blood units are stored in public banks worldwide.²¹ With the optimal cooling rate and cryopreservation formulation, cryopreservation of HSCs has become a routine practice in clinics.²¹ Recently, bone marrow-derived MSCs have emerged as an important cell source for cell therapy and tissue regeneration.²² The standard MSC cyopresevation solution is 10% DMSO plus 90% fetal bovine serum (FBS) with the recovery efficiency around 80-90% after cryopreservation.²³ With good cell recovery, the focus of adult stem cell cryopreservation has been on clinical safety. The two major research areas are (1) elimination of animal serum in cell processing and storage; (2) reducing the toxic DMSO level or developing its nontoxic replacement. Serum residue after washing can trigger adverse reactions in patients and DMSO residue has side effects, including headache, nausea, and vomiting.⁹ To reduce animal serum, a defined serum-free freezing solution has been developed, where FBS was replaced by serum albumin.²³ Recently, an animal product-free formulation CryoStor has been used for adult stem cell cryopreservation with good recovery.^{24,25} In an effort to reduce DMSO, high molecular weight polymers, such as polyvinylpyrrolidone (PVP) and hydroxyethyl starch (HES) have been included in CPAs.^{26,27} Recently, ectoine, a natural osmoprotectant from microorganisms, has been tested as an alternative CPA to replace DMSO in a serum-free cryomedium.²⁸ The clinical scale cryopreservation of adult stem cells usually requires large cryocontainers (i.e., scale up) rather than hundreds of cryo units (i.e., scale out).²⁹ The cryo unit for cord blood was around 15-30 mL and 150 mL for bone marrow, so cryobags in the range of 50-250 mL are sufficient for individual patients and typically used in the banks.^{10,30,31} Compared to unassociated adult stem cells, a unique aspect of hPSC is the intimate cell-cell and cell-matrix interactions, which possess new challenges in preserving hPSC viability and therapeutic potency post-cryopreservation.

Cryopreservation of hPSCs

hPSCs usually grow as colonies of highly associated adherent cells, which require tight gap junctions and adhesion to extracellular matrix (ECM)-coated surface. hPSCs are sensitive to traditional cryopreservation and thaw method in large part due to the disruption of this cellular organization. In addition to necrosis caused by ice crystallization or osmotic shock, several other cryopreservation-induced events that affect the hPSC survival include activated apoptosis, disruption of cell-cell and cell-matrix adhesions, and the elevated ROS production.

Induction of apoptosis and anoikis

Apoptosis was found to be the major cause of cell loss after hESC cryopreservation rather than necrosis.³² Apoptosis may not happen immediately post-thaw, but 12-24 h later. The main inducers of apoptosis during hESC cryopreservation include caspase activation and cytokine interaction. Analysis revealed that progression of apoptosis coincides with upregulation of caspase-8 and -10 genes, which activate caspase-3 and lead to apoptosis.33 Caspase-8 and -10, components of mitogen-activated protein kinase family, are activated through the transforming growth factor (TGF)- β and interleukin (IL)- 1β pathways, in which TGF- β and its receptor ACVR1C along with IL-1 β and its receptor IL-1R are upregulated following cryopreservation.³³ Because of the roles of TGF- β and IL-1 β in initiating the apoptotic events,^{34,35} Rho-associated kinase (ROCK) inhibitor Y-27632, which suppresses the caspase activation through IL-1 β /IL-1R and TGF- β /ACVR1C interactions, has been used to reduce the apoptosis.^{33,36} Other apoptosis inhibitors, including caspase inhibitor Z-VAD-VMK and p53 inhibitor pifithrin- μ have also been shown to improve hPSC cryopreservation.37,38

Cell detachment and dissociation during hPSC cryopreservation also contribute to cell death through a process known as "anoikis," meaning "homelessness," which is a subtype of apoptosis induced by the loss of cell adhesion or inappropriate cell adhesion.³⁹ In the cascade of cellular events leading to anoikis, Bcl-2 family, caspase-3, and Fas signaling play the key roles.⁴⁰ For example, loss of anchorage to ECM leads to an increase in Fas expression, which then activates caspase activity.⁴⁰ While, in general, the adherence on ECM proteins prevents hPSC to enter anoikis, addition of a growth factor, such as the basic fibroblast growth factor (bFGF), has been found to prevent anoikis by activation of extracellular signal-regulated kinase and inhibition of Bcl-2-interacting mediator of cell death and the caspase-ROCK1-myosin signaling.⁴¹ Anoikis can be avoided by preserving ECM attachment and intact cell-cell interactions during cryopreservation. Anoikis induced by detachment and dissociation of hPSC can also be protected by ROCK inhibitor, which disrupts extracellular cues that would normally induce apoptosis and increases the cell-cell interactions through modulating cadherins and gap junctions to enable the cell reaggregation.⁴

Disruption of cell-cell adhesion and cell-matrix adhesion

Recent investigations indicate that disruptions of E-cadherin and F-actin through the G13 signaling pathway and functional cell-to-cell gap junctions, such as connexin 43 and connexin 45 caused hESC-death after freeze–thawing.⁴³⁻⁴⁵ E-cadherin was found to be essential for hESC survival because E-cadherinmediated cell–cell adhesion regulates Rho activity and enhances cell attachment.⁴⁶ As such, small molecules that target Rho-ROCK signaling and stabilize E-cadherin, such as Thiazovivin and Tyrintegin have been used to promote cell survival by enhancing cell–ECM adhesion, activating integrin signaling, and synergizing with growth factors, such as bFGF and insulin-like growth factor.⁴⁶ hPSCs treated by ROCK inhibitor Y27632 clustered rapidly and maintained E-cadherin and F-actin distribution, improving the recovery.⁴⁵ Similarly, preserving endogenous ECM during cryopreservation has also been shown to improve cell survival by maintaining cell–ECM and cell–cell adhesion, supporting the strategy of *in situ* hPSC cryopreservation.⁴⁷

Production of ROS

ROS is typically resulted from the transfer of one, two, or three electrons to O₂ to form, respectively, a superoxide radical (O_2^{-}) , hydrogen peroxide (H_2O_2) , or a hydroxyl radical (HO⁻) in mitochondria.⁴⁸ Cell cryopreservation is associated with elevated intracellular ROS as a result of mitochondrial leakage and damage. 49 The high levels of $\mathrm{O_2}^-$ and $\mathrm{H_2O_2}$ after cryopreservation lead to the release of cytochrome C from mitochondria to cytosol that activates caspase activity. Production of HO⁻ can also be induced via Fenton reaction (Fe/H_2O_2) as a consequence of elevated iron release from ferritin by NADPH or from iron-sulfur clusters by O₂ under cold temperature.^{50,51} Since the scavengers for the free radicals and oxidative stress became less active at low temperature, the elevated ROS is more potent for inducing apoptosis. The approach to reduce the oxidative stress and prevent ROS production is to add antioxidants and radical scavengers in the CPAs, such as glutathione, mannitol, vitamin E, or its analog Trolox, and polyethylene glycol (PEG).^{38,52,53} The intracellular preservation solutions that contain these compounds have been shown to prevent injury from free radicals and improve the storage of islet cells, MDCK cells, and hepatocytes.⁵³⁻⁵⁵ Adding glutathione to the CPA and the postthaw solution also improved the survival of mouse ESC.56

Unknown events that impact the lineage-specific differentiation

To date, the main efforts in hPSC cryopreservation have focused on improving hPSC survival and the maintenance of pluripotency markers, but the impact of different freezethawing methods on hPSC lineage-specific differentiation has been inadequately investigated.⁵⁷ In the development of hESC-derived OPC and cardiomyocyte production process at Geron, the author (Y.L.) has observed a large variation of differentiation potential from different hESC banks, although they all demonstrated comparable survival and pluripotency (unpublished results). One hypothesis is that cryopreservation and thaw generate selective pressure that influences the lineage-specific propensity during hPSC differentiation. Although the prediction and detection of cryopreservationinduced differentiation preference have been challenging, elucidating the mechanism involved in the lineage-specific differentiation post-cryopreservation beyond the cell survival is crucial in refining hPSC cryopreservation methods.

Methods of hPSC Cryopreservation

With the goal of preserving cell viability and multilineage potential, strategies that reduce ROS production and preserve cell-cell and cell-matrix contacts during cryopreservation have been actively pursued together with the traditional cryobiological parameters. In general, there are two types of cryopreservation method: vitrification and slow cooling.⁵⁸

Vitrification

Vitrification has been extensively applied in the cryopreservation of embryos for animal reproduction, including sheep, mouse, and bovine.^{59–61} Some early studies have suggested that vitrification could also be beneficial for the cryopreservation of human blastocysts,⁶² the stage at which hESCs were derived. In the subsequent studies, vitrification has been shown to be effective for hESC cryopreservation with significantly improved recovery compared to the slow cooling method (100% vs. 16% colony recovery).^{63,64} The initial vitrification method was performed in open pulled straw, which drew small volume of cell clusters resuspended in viscous CPA (20% DMSO, 20% EG, and sucrose). The straw was then quickly immersed into the liquid nitrogen (N₂) to achieve the vitrification and avoid intracellular ice formation. The thawing of vitrified hESCs was also very rapid to avoid ice recrystallization. Table 1 summarizes the modifications that have been made for ease of operation, fast processing, and safe clinical applications.

Improvements to avoid contamination. Closed-straw vitrification, where the straw was closed by heat-sealing after the cells were taken, has been developed to avoid direct contact with liquid N₂ as occurred in the open pull straw vitrification.⁶⁵ In addition, human serum albumin has been used to replace FBS to achieve serum-free cryopreservation. Toward xeno-free formulation, a DMSO-free vitrification solution using 40% EG, 10% PEG, and Eurocollins solution (dextrose and salts) has been tested for hPSC cryopreservation.⁶⁶ This solution improved the recovery rate to about 30% compared to 18–19% with the DMSO and serum replacement medium. Although the cooling rate was about -150° C/min in this study, it was sufficient to achieve vitrification.

Improvement of process efficiency. A bulk vitrification method has been developed using a cell strainer to hold hESC clusters instead of straw.⁶⁷ In this method, each cell strainer was able to hold about 100–150 clumps compared to 5–10 clumps in one open pulled straw. Later, this bulk vitrification method was modified using the custom-made vitri-

fication cryovials.⁶⁸ The stainless-steel mesh with 70- μ m mesh size was assembled to the upper half of the cryovial. hESC clumps were transferred to the modified cryovial with the vitrification solution and immersed into liquid N₂.

Adherent cell vitrification. Vitrification of adherent cells was proposed to achieve rapid cooling and long-term storage in liquid N₂ by using the cell culture plates with detachable culture wells.⁶⁹ Recently, a surface vitrification method has been developed to vitrify the adherent cell colonies.⁷⁰ The cells were immobilized on modified Thermanox[®] coverslips with feeder cells before the vitrification, and the vitrified colonies showed better vital residual area compared with slow frozen colonies (89% vs. 51%).

Low scalability. The inherent problems of vitrification methods include the difficulty to scale up and the possibility of contamination in liquid nitrogen. Vitrification is a tedious and operator-dependent process, and requires strict timing with a very low capacity. The bulk vitrification method has increased the processing capacity with about 100–150 cell clumps loaded in each cryocarrier. However, the cryocarriers need to be individually handled, which is a significant limit in large-scale cell banking. Additionally, direct exposure to the liquid phase of liquid nitrogen for rapid cooling and longterm storage raises the concern of pathogen transmission. Closed-straw vitrification has been developed to address this issue, but this method is operator-dependent and the heat-sealing process is not scalable.

Slow cooling

Despite the poor initial performance, advances in slow cooling of hPSC have improved its scalability. Cryobiological parameters and CPAs have been extensively studied for freezing hPSC clusters and the new strategies to freeze hPSC as either adherent or single cells have also improved the recovery and efficiency. Currently hPSCs can be frozen in three different organizations: cell clusters, adherent cells, and single cells (Table 2).

Cryopreservation of hPSCs as cell clusters. In general, hPSCs are not amenable to be passaged and cryopreserved as a single-cell suspension because failure to maintain

TABLE 1. SUMMARY OF DIFFERENT VITRIFICATION METHODS FOR HUMAN PLURIPOTENT STEM CELL CRYOPRESERVATI	ION
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Method description	Cryoprotectant	hESC/hiPSC	Colony recovery	References
Open pulled straw vitrification	20% DMSO, 20% EG, sucrose, SR medium	HES-1, HES-2 H1	All colonies recovered	Reubinoff et al.; ⁶³ Li et al. ⁶⁴
Closed sealed straw, xeno-free vitrification	20% DMSO, 20% EG, sucrose, HSA	HES-2, HES-3, HES-4	75–88%	Richards et al. ⁶⁵
Bulk vitrification	20% DMSO, 20% EG, sucrose, SR medium	α-ES-C	95–99% of frozen clumps	Li et al. ⁶⁷
Modified bulk vitrification	20% DMSO, 20% EG, sucrose, SR medium	β -HES-2, α -ES-C	95–99% of frozen clumps	Li et al. ⁶⁸
DMSO-free, xeno-free vitrification	40% EG, 10% PEG, Euro-Collins solution	hiPSC 253G4	30%	Nishigaki et al. ⁶⁶
Surface vitrification	20% DMSO, 20% EG, sucrose, SR medium	H1	184% vital residual area at 24 h	Beier et al.; ⁷⁰ Heng et al. ⁶⁹

hESC, human embryonic stem cell; hiPSC, human induced pluripotent stem cell; DMSO, dimethyl sulfoxide; EG, ethylene glycol; SR, serum replacement; HES, hydroxyethyl starch; HSA, human serum albumin; PEG, polyethylene glycol.

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Method description	Cryoprotectant	hESC/hiPSC	Recovery	References
Frozen as cell clumps				
Cooling program and seeding	10% DMSO, 25% FBS in DMEM	H1	79%, 55%	Ware et al.; ⁷¹ Yang et al. ⁷²
Synthetic serum and step-wise equilibrium plus seeding	10% DMSO, 90% SR, 2 M DMSO, SR medium	H9, CHA-hES3, VAL-3, VAL-5, H9	51% relative survival; 41–68%	Lee et al.; ⁷³ Valbuena et al. ⁷⁴
Adding EG as cryoprotectant	5% DMSO, 10% EG, 50% FBS	SNUhES-3	30%	Ha et al. ⁷⁵
Xeno-free formulation with polymer	DMSO, dextrose, polymer (not disclosed)	HS293, HS306	About 90% ^a	Holm et al. ⁷⁸
Formulation with polymer	5% DMSO, 5% HES	VUB01, H1, H9, 181, UGent2	45.5–168.2% recovery ratio	T'joen et al. ⁷⁷
Frozen as adherent cells hESCs grown on matrigel-coated well plate	10% DMSO, FBS, conditioned medium	H1 and H9	About 80% recovered	Ji et al. ⁴⁷
hESCs grown on clinical	10% DMSO, 90% FBS	Shef 4, Shef 5, Shef 6, Shef 7	Proliferation ratio 8–195	Amps et al. ⁷⁹
hESCs grown on matrigel-coated microcarriers	10% DMSO, 30% FBS, 60% conditioned medium	H1 and H9	1.5–1.9-fold compared to free colonies	Nie et al. ⁸⁰
Alginate-encapsulated hESC immobilized on microcarriers	10% DMSO, 90% SR, 5 μ M ROCKi	SCED TM 461	71% at day 1	Serra et al. ⁸²
Frozen as dissociated single	e cells		1	00.05
Dissociated hESCs on feeders or feeder-free	10% DMSO, 90% SR	СА1, СА2, Н1, Н9	About 50% [₽]	Li et al. ^{83,87}
Dissociated hESCs on feeders	10% DMSO, 90% SR medium, 10 μM ROCKi	HS207, HS401	About 50% ^a	Martin-Ibanez et al. ⁸⁵
Dissociated hESCs/ hiPSCs in feeder-free culture	10% DMSO, 90% FBS, 10 μM ROCKi; 20% DMSO, 80% SR medium, 10 μM ROCKi	Royan H5, H6, hiPSC1, hiPSC4; H9, BG01V, hiPSC	About 85% ^a ; Use number of colonies	Mollamohammadi et al.; ⁸⁸ Claassen et al. ⁸⁹
Dissociated hESCs, reduced DMSO	7.5% DMSO, 2.5% PEG, 90% SR medium	HUES2	About 80% ^a	Xu et al. ³⁸
Dissociated hiPSCs, DMSO-free	10% EG, 90% SR medium, 10 μM ROCKi	hiPSC derived from hESC-fibroblasts	63% ^c	Katkov et al. ⁹¹
Dissociated hESCs, alternative to ROCKi	10% DMSO, 90% FBS, 100 μM Pinacidil	Shef 4, Shef 5, Shef 7, H7	About 85% ^a	Barbaric et al. ⁹⁰

Table 2. Summary of Different Slow Cooling Methods for Human Pluripotent Stem Cell Cryopreservation

^aViability determined by Trypan blue immediately post-thaw.

^bViability determined by PI/Annexin staining.

^cViability determined by 7AAD staining.

The methods using PI/Annexin or 7AAD staining are more sensitive than Trypan blue method.

FBS, fetal bovine serum; DMEM, Dulbecco's modified Eagle's medium; PI, propidium iodide.

cell–cell contact usually leads to significant cell death and spontaneous differentiation.¹² The cells are usually harvested by mechanical scraping and cryopreserved as cell clusters in the slow cooling process. Since the initial attempt to freeze hESC clusters by the slow cooling method, various improvements have been made with emphasis on two aspects: (1) cryobiological variables, including the seeding process, the cooling rate, and step-wise loading and unloading CPA; (2) CPA formulations.

Seeding, a process to introduce ice crystals in a super cool solution, causes quick formation of extracellular ice that minimizes the detrimental effect of phase change from liquid to ice in the course of cooling and preserves adhesive ECM. Seeding should be performed at the temperature above spontaneous intracellular ice formation, which is in the range between -7° C and -12° C. Introducing seeding during hESC cryopreservation has achieved about 80% survival rate.⁷¹ A similar study has been performed to optimize the cooling rate with seeding at -10° C and the optimal cooling rate at 0.5° C/min.⁷² As another cryobiological variable, step-wise CPA addition can minimize the mechanical damage due to osmotic shock.⁷³ More than 50% hESC recovery has been observed with a two-step equilibration compared to 20% using one-step equilibration. A four-step CPA addition

has also been tested with the improved post-thaw survival comparable to the vitrification method.⁷⁴

CPA formulation has been extensively studied in hPSC cryopreservation during the past 10 years. Similar to adult stem cell cryopreservation, eliminating DMSO and animal serum without compromising cell recovery become two major tasks. To reduce DMSO level, EG was tested and the most favorable combination was found to be 5% DMSO, 5% EG, and 50% FBS.⁷⁵ Disaccharide trehalose has also been added into the formulation and showed beneficial effect in the elution buffer and freezing medium.⁷⁶ However, the beneficial effect of trehalose was small in a separate study and only observed when serum concentration exceeded 50%.47 Adding nonpenetrating polymers accelerates the vitrification of extracellular solution by reducing the water diffusion out of cells and preventing extracellular ice propagation.¹⁶ High molecular weight HES has recently been tested in hESC cryopreservation and a combination of 5% DMSO and 5% HES was found to be optimal.⁷⁷ To eliminate animal serum, a commercially available xeno-free cryopreservation solution STEM-CELLBANKER, containing a high molecular weight polymer (not disclosed), was used for hPSC cryopreservation.⁷⁸ More than 90% cells remained alive after thawing compared with 50% for control condition.

Cryopreservation of hPSCs as adherent cells. Because anoikis can be minimized when cell-cell gap junctions and cell-ECM interactions are preserved, cryopresevation of adherent hESC colonies has been investigated.⁴⁷ The hESCs were cryopreserved on a tissue culture plate directly with a thin layer of CPA covering the cell layer. The post-thaw survival was significantly improved compared to the cell clusters frozen in a suspension (80% vs. 2% colony recovery). Another in situ cryopreservation of hESCs has been performed in a gas permeable culture cassette.⁷⁹ A range of 8–115-fold higher post-thaw proliferation ratio was achieved compared to a tissue culture flask. However, freezing hESCs in the tissue culture plate or cassette is not feasible for future scale up. To address this issue, the method of freezing adherent hPSC has been adapted on microcarriers to increase the scalability.⁸⁰ The recovery of the microcarriers with cells, however, was still performed on the tissue culture surface with mouse embryonic fibroblasts. The future development of this method should allow the direct recovery of hESC-microcarrier constructs in a suspension, which has been demonstrated for bone marrow MSC.⁸¹ Cells grown on microcarriers have also been encapsulated by alginate and cryopreserved in comparison with encapsulated cell suspension.⁸² Similarly, hESCs immobilized on microcarriers had high viability and cell recovery compared to the suspended cells.

Cryopreservation of hPSCs as single cells. hPSC cryopreservation as single cells has become possible in the presence of ROCK inhibitor. Addition of ROCK inhibitor Y27632 in the post-thaw medium has been shown to increase hESC survival from 5% to 53%, because Y27632 enhances cell–cell adhesion and cell aggregation by modulating gap junctions, thereby blocking the pathway to apoptosis.^{83,84} The mechanism that ROCK inhibitor improved cell survival may not only be due to blocking the apoptotic pathway, but also the ability to avoid anoikis.⁴² Cryopreservation of single cells has been further optimized by adding Y27632 in

the preservation solution or MatrigelTM in addition to the post-thaw medium.^{85,86} The effect of Y27632 on feeder-free culture is similar to feeder culture both for hESCs and hiPSCs.^{87–89} However, adding Y27632 during cryopreservation did not change the survival of CHiPS-A cells, which may be due to the effect of the solution STEM-CELLBANKER or a cell line-dependent response.⁷⁸ An alternative chemical Pinacidil has recently been found to have similar effect compared to Y-27632.⁹⁰ Since Y-27632 has a higher cost and is associated with patent issues, Pinacidil has been suggested to be more suitable for commercial applications.

The CPA of single hPSC has been optimized at reduced DMSO concentration. A combination of 7.5% DMSO and 2.5% PEG has improved hESC recovery by 30% compared to 10% DMSO alone.³⁸ Introducing PEG in the preservation solution could inhibit ROS production and reduce apoptosis. The presence of Y27632 and p53 inhibitor in the subsequent culture medium further improved cell recovery. Another study completely replaced DMSO by EG during hiPSC cryopreservation because DMSO was found substantially more toxic to hPSC than EG.⁹¹ In this study, similar recovery of freezing dissociated single cells and adherent cells with EG has been reported.

Large Scale hPSC Banking Under cGMP

For therapeutic cell production, large-scale hPSC banks provide the starting materials for a new bank (in the case of MCB) or the differentiated therapeutic cell products (in the case of WCB). A general MCB or WCB should contain at least 300–400 vials with each vial containing $5-10 \times 10^6$ cells. In practice, the banking process requires the capacity to handle up to 4×10^9 cells in a single day, in which the prolonged exposure to CPA must be minimized. This scale out approach requires efficient cell processing, where the vitrification methods appear unfeasible. The alternative approach of hESC lyophilization for long-term storage has been proposed, but still requires proof-of-concept data.10 To date, the slow cooling method for dissociated single cells appears to be the best choice for making MCB and/or WCB. With the development of bioreactor systems and the integration of cryopreservation and hPSC expansion, freezing adherent cells on microcarriers or as aggregates is another important development that has the potential to address the limitations for large-scale cryopreservation.^{92,93} The further development of microcarrier or aggregate-based cell preservation depends on understanding the role of cell-cell and cellmatrix interactions and the impact of spatial temperature and cryogen gradients on cell properties.

Different from laboratory protocols, a production process should be a well-defined procedure with controlled inputs, outputs, and operational parameters that ensure reproducibility and regulatory compliance.⁹⁴ For large cGMP banking, the process should be simple, robust, and easily performed by different operators. However, many current cryopreservation methods have been developed using a variety of hPSC lines with large variations in procedure, making it difficult to evaluate their feasibility for MCB/WCB production. In future development, both process efficiency and regulatory compliance should be considered and implemented in the early stage of development. At a minimum, a desired process for cGMP hPSC banking must meet the following criteria: (1)

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Cell recovery and expansion post-thaw is fast enough to achieve $\sim 4 \times 10^9$ cells within five passages; (2) Xeno-free formulation is preferred with a simple procedure capable of processing a large number of cells in one operational day; (3) The thawed cells maintain pluripotency; (4) The thawed cells maintain the ability to produce high purity of the targeted cell product; (5) vial-to-vial variability is minimized for process consistency.

Successful production of MCB/WCB under cGMP requires the integration of expansion and cryopreservation process. On the cryopreservation day, all the expanded cells need be harvested, formulated, and distributed into hundreds of freezing containers, such as cryovials, which are frozen in the controlled-rate freezer with a traceable record. To make this operation successful, thawing, expansion, and final cryopreservation process need to be streamlined and validated for cGMP production. Well-trained personnel, the detailed documentation, such as batch production record, the qualified raw materials, and the well-monitored cGMP facility are required to ensure the successful MCB/WCB production.95 Quality assurance and quality control also need to be implemented to meet the regulatory requirement for cell bank release. Figure 1A illustrates the overall process flow for cell banking, demonstrating the need for process integration by various functional groups. Integrated cell expansion and cryopreservation process would improve efficiency and facilitate automation.⁸

Due to the rapid progress in hPSC expansion, MCB/WCB are expected to be thawed and expanded in different culture conditions, which could potentially impact cell properties post-cryopreservation (Fig. 1B). From the feeder cell culture to feeder-free culture, from the conditioned medium to the defined medium with known essential components, such as the E8 medium, $^{96-98}$ from the undefined ECM to the synthetic polymerase substrate,⁹⁹ the research cell bank made years back may need to be thawed into a completely different culture system to make cGMP banks.¹⁰⁰ Recent advances of hPSC expansion on microcarriers and as aggregates also require the compatible cryopreservation process with the culture process.^{82,92,101} During the culture process transition from bank to bank, care needs to be taken for validating the banking process and maintaining the bank history. To address these issues, a bridging or compatibility study is required to use different MCB/WCB for therapeutic cell production. In particular, the impact of expansion and cryopreservation process on lineage-specific differentiation is critical and needs to be addressed before clinical applications.

To date, the primary focus of hPSC characterization postcryopreservation remains on the pluripotency rather than the lineage-specific differentiation. Cryopreservation and thaw procedure may lead to a selection process and affect the differentiation capacity to the desired lineage even



FIG. 1. (A) Illustration of bioprocess for hPSC MCB manufacturing. The cells are thawed from the research cell bank, expanded, and cryopreserved as MCB in current good manufacturing practices facility. This operation requires the cooperation of materials management, facility monitoring, personnel training, and documentation, quality control, and quality assurance. **(B)** Example of different hPSC banks expanded in different growth conditions, including feeder-culture and feeder-free cultures in a conditioned medium on ECM, a defined medium on ECM, and a defined medium on a synthetic polymer. The cells are thawed from the research cell bank to manufacture MCB and the cells are thawed from MCB to make WCB. Thus, the cells in WCB may have been histologically cultured in different growth conditions. hPSC, human pluripotent stem cell; MCB, master cell bank; WCB, working cell bank; ECM, extracellular matrix.

when they maintain the pluripotent markers. Thus, the capacity for lineage-specific differentiation is another set of criteria for choosing the cell banking process in clinical application. While studies have led to the development of ROCK inhibitor with significantly improved cell survival and maintenance of pluripotency, mechanistic insight is required to develop scalable and GMP-compatible strategy that better preserves hPSC's capacity for lineage-specific differentiation. In addition to optimizing traditional cryobiological and process parameters, identifying the regulatory pathways associated with cryopreservation will play a critical role to improve process efficiency. Although the focus of this article is the cryopreservation of undifferentiated hPSC banks, cryopreservation of hPSC-derived cells for final product storage is another important task in hPSC therapy.¹⁰²

Conclusions

Significant progress has been made in hPSC cryopreservation during recent years. Strategies to reduce ROS production and apoptosis and to preserve cell-cell and cell-matrix adhesions have played important roles to improve the large-scale hPSC banking process. Experience in hPSC cryopreservation as cell clusters, adherent cells, and single cells using the slow cooling method suggests its suitability for large-scale bioprocessing. To translate the protocols to industrial bioprocess, detailed characterization of cryopreservation and thaw process using lineage-specific differentiation as output criteria will be a necessary next step. Identification of novel markers and assays to predict the ability of MCB/WCB to produce high purity of therapeutic cell products will also play an important role in improving process efficiency and ensuring quality.

Author Disclosure Statement

No competing financial interests exist.

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Address correspondence to: Yan Li, PhD Department of Chemical and Biomedical Engineering Florida State University 2525 Pottsdamer Street Tallahassee 32310, FL

E-mail: yli@eng.fsu.edu