



# Engagement of TRAIL triggers degranulation and IFN $\gamma$ production in human natural killer cells

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## Abstract

NK cells utilize a large array of receptors to screen their surroundings for aberrant or virus-infected cells. Given the vast diversity of receptors expressed on NK cells we seek to identify receptors involved in the recognition of HIV-1-infected cells. By combining an unbiased large-scale screening approach with a functional assay, we identify TRAIL to be associated with NK cell degranulation against HIV-1-infected target cells. Further investigating the underlying mechanisms, we demonstrate that TRAIL is able to elicit multiple effector functions in human NK cells independent of receptor-mediated induction of apoptosis. Direct engagement of TRAIL not only results in degranulation but also IFN $\gamma$  production. Moreover, TRAIL-mediated NK cell activation is not limited to its cognate death receptors but also decoy receptor I, adding a new perspective to the perceived regulatory role of decoy receptors in TRAIL-mediated cytotoxicity. Based on these findings, we propose that TRAIL not only contributes to the anti-HIV-1 activity of NK cells but also possesses a multifunctional role beyond receptor-mediated induction of apoptosis, acting as a regulator for the induction of different effector functions.

**Keywords** death receptor; degranulation; HIV-1; NK cell; TRAIL

**Subject Categories** Autophagy & Cell Death; Immunology; Microbiology, Virology & Host Pathogen Interaction

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## Introduction

Natural killer (NK) cells are innate immune cells which play a pivotal role in antiviral immunity and tumor surveillance (Jost & Altfeld, 2013; Malmberg *et al.*, 2017). NK cells exhibit various effector functions, including direct cellular cytotoxicity and production of pro-inflammatory cytokines, to eliminate potential target cells and to shape adaptive immune responses (Vivier *et al.*, 2008; Prager & Watzl, 2019). NK cells utilize a large array of germline-encoded surface receptors to interact with their environment and to recognize virus-infected or transformed cells (Bianconi & Malnati, 2018). Multiple factors, including host genetics and differentiation stage, impact the receptor repertoire of NK cells, ultimately leading to a remarkable diversity of NK cells within and across individuals (Horowitz *et al.*, 2013; Schwane *et al.*, 2020). The integration of activating and inhibitory signaling through these receptors tightly regulates NK cell activity (Long *et al.*, 2013). The specific receptor profile of an individual NK cell therefore determines the activation threshold of a given cell and impacts the ability to recognize potential target cells.

Given the importance of NK cells in the early control of viral infections, our group has been investigating the contribution of receptors to an effective antiviral response of NK cells, in particular in HIV-1 infection (Fadda *et al.*, 2012; Körner *et al.*, 2014, 2017). NK cells contribute to the intrinsic control of HIV-1 infection through NK-cell-mediated immune pressure (Alter *et al.*, 2011; Hölzemer *et al.*, 2015). Several NK cell receptors have been attributed to promote NK-cell-mediated control of HIV-1 infection and delay progression to AIDS, most prominent receptors that bind to specific HLA class I molecules (Martin *et al.*, 2002, 2007). Yet, our understanding about receptor profiles that enable NK cells to effectively recognize HIV-1-infected cells independent of the host's

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HLA class I background is incomplete. We developed an unbiased and comprehensive screening approach that combined a functional readout (degranulation; Alter *et al*, 2004) and the individual assessment of 327 surface antigens on NK cells in co-culture with autologous HIV-1-infected cells. As a result, we identified the Tumor Necrosis Factor-Related Apoptosis-Inducing Ligand (TRAIL) to be associated with increased degranulation of NK cells after exposure to infected cells.

TRAIL is well-known for its role in receptor-mediated cytotoxicity, directly inducing apoptosis on target cells upon receptor engagement (Wiley *et al*, 1995; Pitti *et al*, 1996). TRAIL has multiple soluble and cell surface interaction partners that differ in their subsequent signaling. The death receptors 4 (DR4, TRAIL-R1) and 5 (DR5, TRAIL-R2) contain cytoplasmic death domains that are capable of initiating cell death through the extrinsic apoptotic pathway (Pan *et al*, 1997b; Sheridan *et al*, 1997). In contrast, two so-called decoy receptors, DcR1 and DcR2, lack functional death domains and are therefore not able to trigger the apoptotic cascade (Degli-Esposti *et al*, 1997a; Pan *et al*, 1997a; Sheridan *et al*, 1997). Finally, TRAIL is able to bind osteoprotegerin (OPG), a soluble protein that has been attributed to inhibit osteoclastogenesis and to increase bone density *in vivo* (Emery *et al*, 1998). TRAIL is expressed on a fraction of peripheral blood NK cells and other immune cells and can be induced through various cytokines (Fanger *et al*, 1999; Griffith *et al*, 1999; Kayagaki *et al*, 1999a; Sato *et al*, 2001). TRAIL-induced apoptosis has been implicated to play a role in HIV-1 pathogenesis (Gougeon & Herbeuval, 2012). In this study, we identified TRAIL to be involved in the induction of NK cell degranulation, therefore independent of receptor-mediated cytotoxicity, and further investigated the underlying mechanisms.

## Results

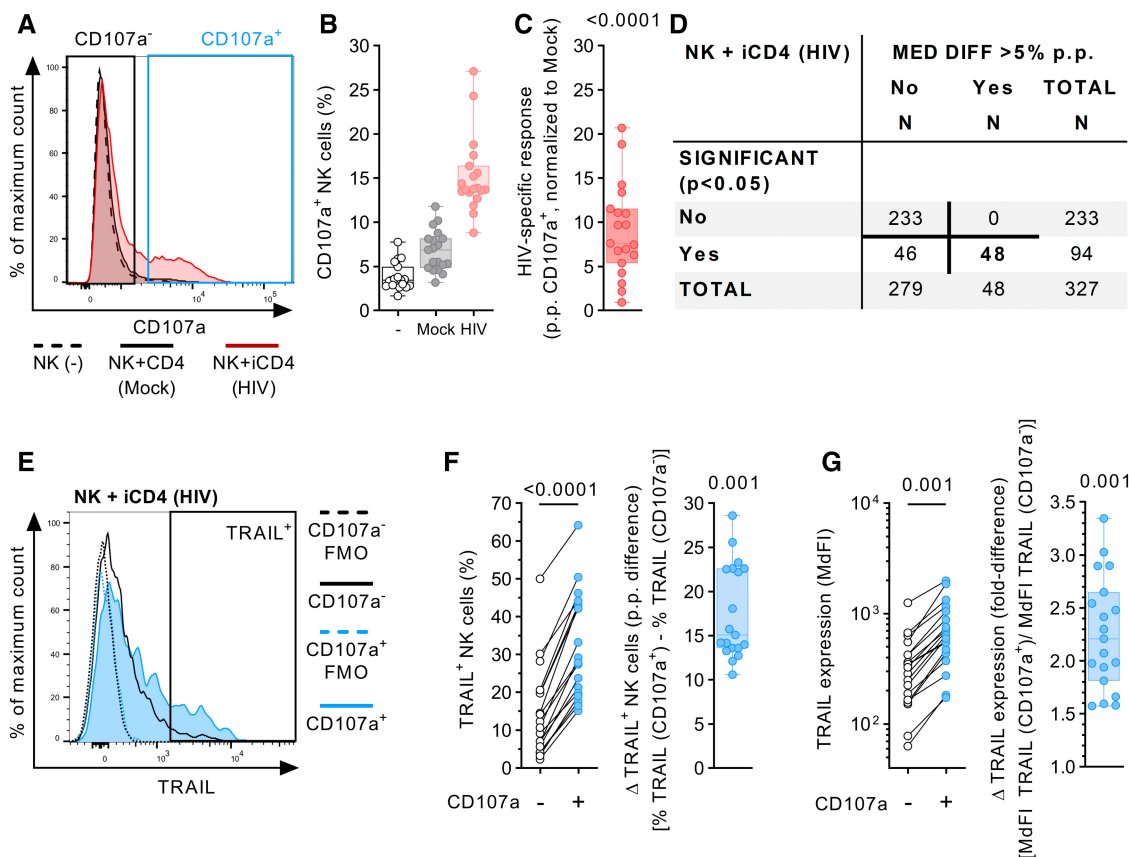
### NK cells recognizing HIV-1-infected CD4 T cells display elevated TRAIL surface expression

We initially sought to identify NK cell receptors that are involved in the recognition of HIV-1-infected CD4 T cells. For this, we used a large-scale, flow cytometry-based screening approach to simultaneously assess NK cell degranulation [expression of CD107a (Alter *et al*, 2004)] and 327 additional individual surface antigens on primary human NK cells after incubating them with autologous *in vitro* HIV-1-infected CD4 T cells. For subsequent analyses, two subsets were defined, responsive CD107a<sup>+</sup> NK cells and non-responsive CD107a<sup>-</sup> NK cells (Fig 1A). As shown in Fig 1B, median percentage of CD107a<sup>+</sup> NK cells was higher after exposure to HIV-1-infected CD4 T cells (13.8%) than in the presence of mock-infected CD4 T cells (6.9%) or in the absence of target cells (3.4%). Normalization of the individual values to their corresponding mock control showed an HIV-1-specific response for all tested donors ( $n = 19$ ,  $P < 0.0001$ ), ranging from 0.9 percentage points (p.p.) up to 20.7 p.p. (Fig 1C). Analyzing 327 surface antigens, TRAIL was one of 48 molecules that were differentially expressed between the CD107a<sup>+</sup> and CD107a<sup>-</sup> NK cell subsets (TRAIL:  $P < 0.0001$ ; Fig 1D). The relative frequency of TRAIL<sup>+</sup> NK cells was consistently higher in CD107a<sup>+</sup> NK cells

than in their CD107a<sup>-</sup> counterparts, with a median intra-donor difference of 15.1 p.p. ( $P = 0.001$ , Fig 1E and F). Similar results were observed when median fluorescence intensity (MdFI) was used as an additional metric for TRAIL expression (Fig 1G), with a 2.2-fold higher MdFI in the responsive NK cell subset ( $P = 0.001$ ). These data demonstrated that degranulation of NK cells in response to autologous HIV-1-infected CD4 T cells was associated with increased TRAIL expression. Based on this observation, we further investigated possible underlying causes and postulated the following three hypotheses: (i) TRAIL acts as an activation marker, being upregulated during or after degranulation; (ii) TRAIL is simply co-expressed on NK cell subsets with inherently higher antiviral activity but not involved in the induction of degranulation; and (iii) TRAIL is either directly or indirectly involved in degranulation.

### TRAIL is neither an activation marker nor simply co-expressed on antiviral NK cells

In order to investigate whether TRAIL acted as an activation marker or was independently co-expressed in degranulating NK cells, we repeated the previous experimental setup with 13 different healthy donors. In this workflow, we additionally measured TRAIL surface expression on NK cells in response to the presence of mock-infected CD4 T cells or in the absence of target cells. Furthermore, we acquired an increased number of cells for each condition to obtain sufficient cell numbers for high resolution of NK cell subsets. As shown in the left panel of Fig 2A, exposure to HIV-1-infected CD4 T cells yielded in the highest response rate (median 16.4% CD107a<sup>+</sup> cells), followed by the condition with mock-infected CD4 T cells (8.1%). In the absence of target cells, only 2.2% of bulk NK cells were CD107a<sup>+</sup>. Stratification of bulk NK cells into CD56Dim and CD56Bright cells (middle panel) or into un-, KIR-, or NKG2A-educated NK cells (right panel) showed a similar hierarchy of NK cell degranulation for each subset. Comparison of these predefined groups in terms of their antiviral capacity revealed a differential ability to degranulate in response to HIV-1-infected target cells (Fig 2B). CD56Bright NK cells exhibited a higher HIV-1-specific response (15.1 p.p., median) than CD56Dim NK cells (9.4 p.p.,  $P = 0.002$ ). Stratification based on education status, showed that HIV-1-specific responses were highest in NKG2A-educated NK cells (14.5 p.p., median). In contrast, KIR-educated NK cells (4.8 p.p.) performed rather poorly compared to NKG2A-educated ( $P < 0.001$ ) or uneducated NK cells (9.7 p.p.,  $P = 0.005$ ). Next, we investigated whether TRAIL was upregulated after exposure to target cells (Fig 2C). For all tested subsets, surface density of TRAIL on NK cells exposed to HIV-1-infected CD4 T cells remained unchanged compared to NK cells cultured in the absence of target cells (Bulk:  $P = 0.5$ ; Dim:  $P > 0.99$ ; Bright:  $P = 0.1$ ; Uneducated:  $P > 0.99$ ; KIR-edu.:  $P > 0.99$ ; NKG2A-edu.:  $P > 0.99$ ). Finally, we compared TRAIL surface expression between CD107a<sup>+</sup> and CD107a<sup>-</sup> NK cells across the previously defined subsets. As shown in Fig 2D, in all the investigated subsets, expression of TRAIL was significantly higher on CD107a<sup>+</sup> NK cells (Bulk:  $P < 0.001$ ; Dim:  $P < 0.001$ ; Bright:  $P < 0.001$ ; Uneducated:  $P < 0.001$ ; KIR-edu.:  $P < 0.01$ ; NKG2A-edu.:  $P < 0.001$ ). The fold difference in TRAIL expression between responsive and non-responsive NK cells was not different between



**Figure 1. NK cell degranulation is associated with increased TRAIL surface expression after co-incubation with autologous HIV-1-infected CD4 T cells.**

Primary human NK cells ( $n = 19$  different donors per condition) were co-incubated with either no target cells (NK(-)), autologous CD4 T cells (Mock), or enriched *in vitro* HIV-1-infected (NL4-3) CD4 T cells (HIV) at an effector:target cell ratio of 1:2. NK cell degranulation was quantified by measuring CD107a surface expression using flow cytometry.

**A** Representative histograms (overlay) displaying CD107a expression on NK cells after culture with the described culture conditions (NK(-), Mock, HIV). For the HIV-1 co-culture condition, subsequent analyses of antigen expression were conducted by gating non-responsive (CD107a<sup>-</sup>) and responsive (CD107a<sup>+</sup>) NK cell subsets.

**B** Relative frequency of CD107a<sup>+</sup> NK cells (y-axis) after culture in the described conditions (x-axis) ( $n = 19$  different donors).

**C** HIV-specific response of bulk NK cells displayed as percentage points (p.p.) CD107a<sup>+</sup> NK cells after normalization to mock CD4 T cells (y-axis) ( $n = 19$  different donors).

**D** Summary table showing numeric results of the 327 surface antigens analyzed. A total of 48 surface molecules showed statistically significant intra-donor differences > 5 p.p. in expression between CD107a<sup>+</sup> and CD107a<sup>-</sup> NK cells after exposure to HIV-1-infected CD4 T cells (HIV).

**E** Representative histograms (overlay) showing TRAIL surface expression as fluorescence intensity on NK cells after co-incubation with HIV-1-infected CD4 T cells. Histograms show TRAIL expression for CD107a<sup>+</sup> and CD107a<sup>-</sup> NK cell subsets (solid lines) as well as their corresponding FMO controls (dotted lines). Gate defining TRAIL<sup>+</sup> cells is indicated as well.

**F** Left panel: Relative frequency of TRAIL<sup>+</sup> cells (y-axis) of CD107a<sup>+</sup> and CD107a<sup>-</sup> NK cell subsets (x-axis) after co-incubation with HIV-1-infected CD4 T cells. Right panel: Difference in relative frequency of TRAIL<sup>+</sup> cells (y-axis) between CD107a<sup>+</sup> and CD107a<sup>-</sup> cells displayed as p.p. ( $n = 19$  different donors).

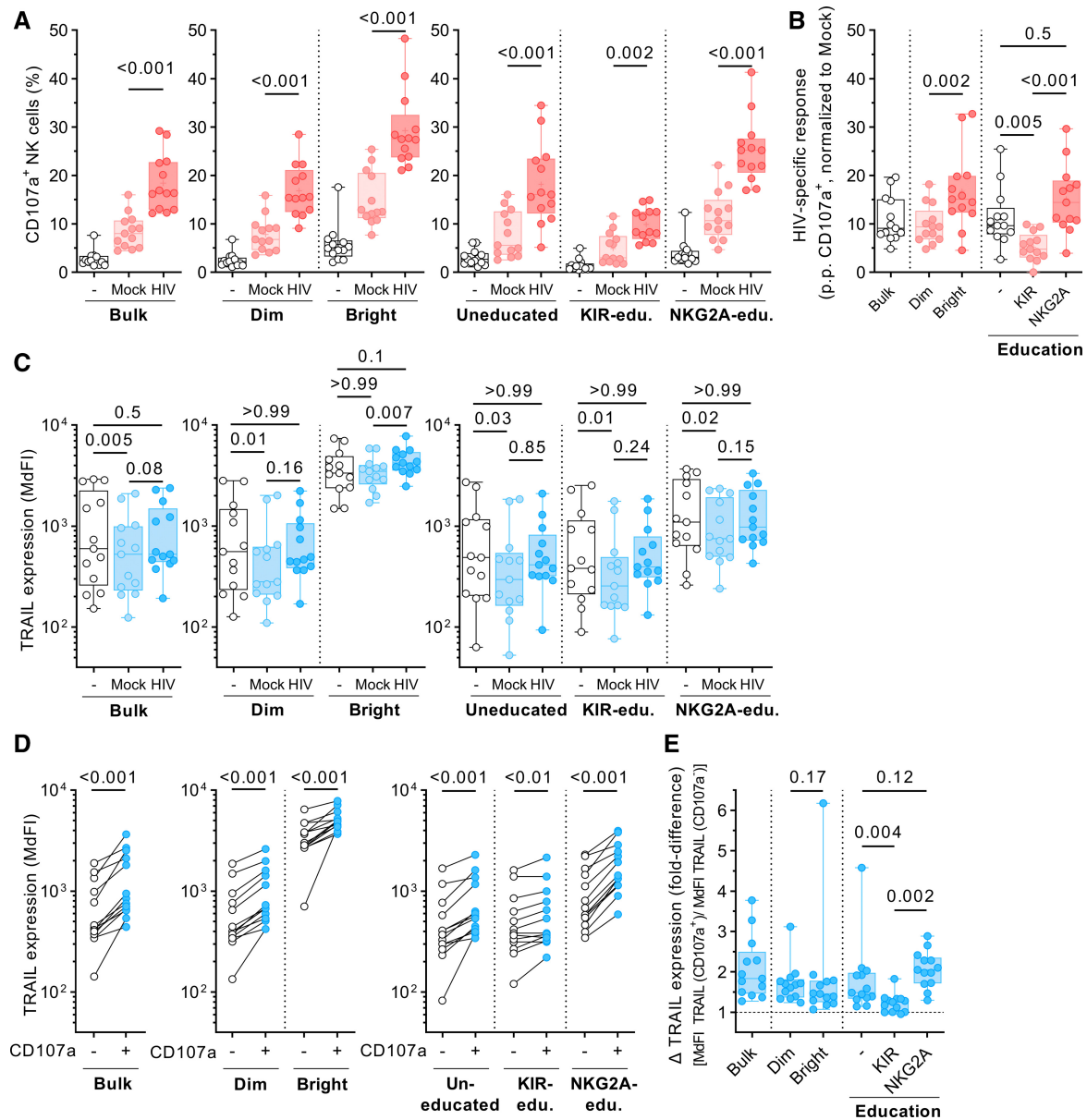
**G** Left panel: TRAIL surface expression (y-axis) of CD107a<sup>+</sup> and CD107a<sup>-</sup> NK cell subsets (x-axis) after co-incubation with HIV-1-infected CD4 T cells displayed as median fluorescence intensity. Right panel: Differences in TRAIL surface expression (y-axis) between CD107a<sup>+</sup> and CD107a<sup>-</sup> cells displayed as fold difference ( $n = 19$  different donors).

Data information: Wilcoxon signed-rank test. Adjustment for multiplicity: Benjamini and Hochberg false discovery rate (FDR) (D; F, left panel); Bonferroni (F, right panel; G). Box plots represent the median and 25%/75% percentile. Whiskers indicate the minimum and maximum data points. Lines connecting CD107a<sup>-</sup> and CD107a<sup>+</sup> cells refer to measured values of the same donor.

Source data are available online for this figure.

CD56Bright (1.46) and CD56Dim NK cells (1.68,  $P = 0.17$ ; Fig 2E). For the KIR-educated subset, however, median fold difference was significantly lower (1.25) compared to the uneducated (1.49,  $P = 0.004$ ) or NKG2A-educated subsets (2.05,  $P = 0.002$ ). Taken together, our data indicated that TRAIL is neither an activation

marker in this experimental setting nor simply co-expressed in subsets with inherently higher anti-HIV activity. Instead, in all investigated subsets, NK cell degranulation was associated with increased TRAIL expression, indicating a potential role for the induction of degranulation.



**Figure 2. NK cell degranulation is associated with increased TRAIL expression across multiple NK cell subsets.**

Comparison of CD107a and TRAIL expression levels between various NK cell subsets after co-incubation with no target cells present (–), autologous CD4 T cells (Mock), or enriched *in vitro* HIV-1-infected (NL4-3) CD4 T cells (HIV) ( $n = 13$  different donors per condition). Predefined NK cell subsets comprise CD56Dim, CD56Bright, and KIR-educated cells, defined as expressing at least one self-inhibitory KIR (2DL1/L2/L3, 3DL1), and negative for NKG2A, NKG2A-educated cells, expressing NKG2A, but lacking self-inhibitory KIR, and uneducated cells, lacking self-inhibitory KIR and NKG2A altogether.

**A** Relative frequency of CD107a<sup>+</sup> cells (y-axis) of predefined NK cell subsets (x-axis). Left panel displays data for bulk NK cells, middle panel for CD56Dim and CD56Bright NK cells, right panel for uneducated, KIR-educated, and NKG2A-educated cells ( $n = 13$  different donors).

**B** HIV-specific responses of predefined NK cell sub-populations (x-axis) displayed as percentage points (p.p.) CD107a<sup>+</sup> NK cells after normalization to mock CD4 T cells (y-axis) ( $n = 13$  different donors).

**C** TRAIL expression levels (y-axis) of predefined NK cell subsets (x-axis). Left panel displays data for bulk NK cells, middle panel for CD56Dim and CD56Bright NK cells, and right panel for uneducated, KIR-educated, and NKG2A-educated cells. Expression is displayed as median fluorescence intensity (MdfI) ( $n = 13$  different donors).

**D** Comparison of TRAIL expression levels (y-axis) between CD107a<sup>-</sup> and CD107a<sup>+</sup> cells of predefined NK cell subsets. Left panel displays data for bulk NK cells, middle panel for CD56Dim and CD56Bright NK cells, right panel for uneducated, KIR-educated, and NKG2A-educated cells. Expression is displayed as MdfI ( $n = 13$  different donors).

**E** Fold differences in TRAIL surface expression (y-axis) between CD107a<sup>+</sup> and CD107a<sup>-</sup> cells of predefined NK cell sub-populations (x-axis) ( $n = 13$  different donors).

Data information: Wilcoxon signed-rank test adjusted for multiple comparisons (Bonferroni). Box plots represent the median and 25%/75% percentile. Whiskers indicate the minimum and maximum data points. Lines connecting CD107a<sup>-</sup> and CD107a<sup>+</sup> cells refer to measured values of the same donor.

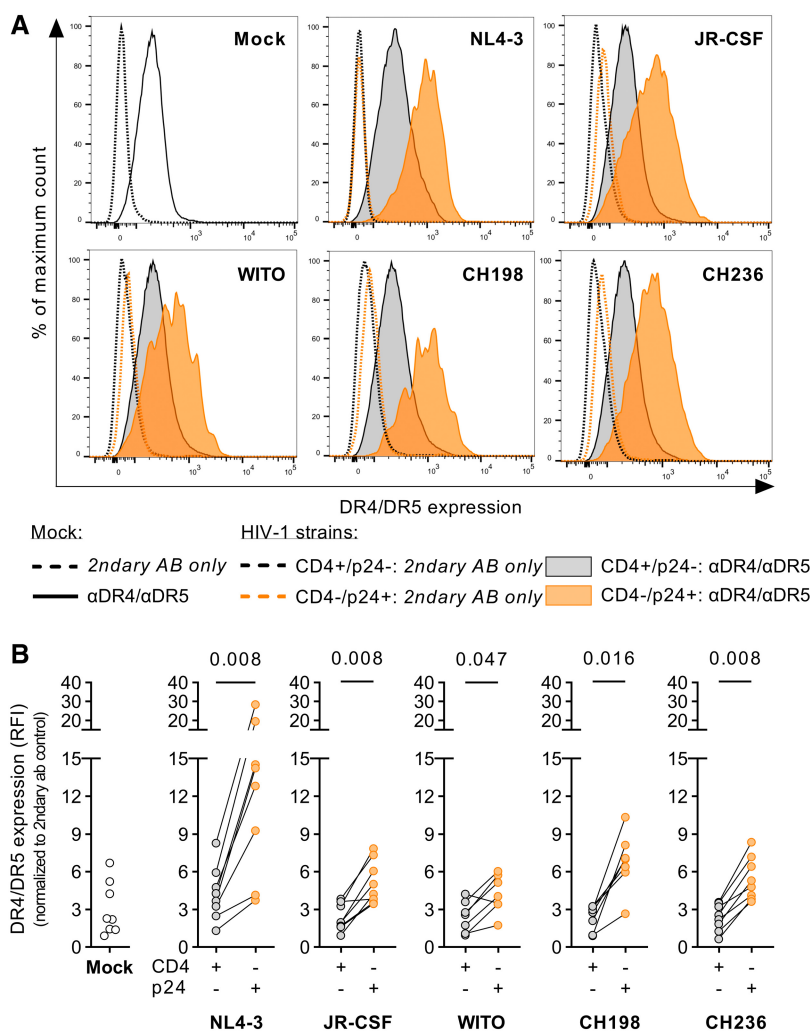
Source data are available online for this figure.



**In vitro HIV-1-infected CD4 T cells display increased surface expression of the TRAIL receptors DR4/DR5**

Next, we investigated whether TRAIL was directly and/or indirectly involved in the induction of NK cell degranulation. A prerequisite for this hypothesis is the presence of TRAIL receptors on HIV-1-infected target cells that would engage TRAIL on NK cells and subsequently lead to degranulation. Therefore, we assessed the surface expression levels of two interaction partners of TRAIL, the death receptors 4 and 5 (DR4/5) on CD4 T cells. Exemplary

histograms in Fig 3A show the combined expression of DR4/5 on mock CD4 T cells or after infection with five different HIV-1 strains (NL4-3, JR-CSF, CH198, CH236, and WITO). Death receptors 4 and 5 are expressed on uninfected CD4 T cells (Mock) as well as on CD4 T cells that are positive for CD4 and negative for the intracellular HIV protein p24 (CD4<sup>+</sup>/p24<sup>-</sup>). DR4/5 expression was increased on HIV-1-infected CD4 T cells (CD4<sup>-</sup>/p24<sup>+</sup>) compared to their CD4<sup>+</sup>/p24<sup>-</sup> counterparts. As summarized in Fig 3B, exposure to all tested HIV-1 strains resulted in an increase in DR4/5 expression on infected cells, measured as relative fluorescence intensity (RFI).



**Figure 3. In vitro HIV-1-infected CD4 T cells display increased surface expression of the TRAIL receptors DR4/5.**

Primary human CD4 T cells from eight different donors ( $n = 8$ ) were separately infected with lab-adapted and primary HIV-1 strains. Combined expression of DR4 and DR5 was assessed by flow cytometry 4 days after infection. Uninfected CD4 T cells were determined as CD4-positive and HIV-1 p24-negative, infected cells as CD4-negative and p24-positive.

**A** Histograms (overlay) of one representative donor displaying combined DR4/5 surface expression on CD4 T cells previously incubated with NL4-3, JR-CSF, WITO, CH198, or CH236. Expression is displayed as fluorescence intensity (x-axis).

**B** Comparison of the combined surface expression of DR4/5 between mock (white circles), uninfected (grey circles), and infected CD4 T cells (orange circles), from left to right: Mock:  $n = 8$ ; NL4-3:  $n = 8$ ; JR-CSF:  $n = 8$ ; WITO:  $n = 7$ ; CH198:  $n = 7$ ; CH236:  $n = 8$  (7–8 different donors per condition). Expression is displayed as relative fluorescence intensity (RFI) after normalization to the respective secondary AB-only control (y-axis).

Data information: Wilcoxon signed-rank test. Values for non-infected and infected cells of the same donor are connected with lines.

Source data are available online for this figure.

Upregulation was independent of the tropism (X4 vs. R5), subtype (clade B vs. C of group M) or origin (cell culture-adapted vs. primary strains). Of note, uninfected as well as infected cells also showed expression of TRAIL-R3, which is thought to serve as a decoy receptor (DcR1) for TRAIL (Fig EV1). These results showed that interaction partners for TRAIL were present on CD4 T cells and that DR4 and DR5 were even further upregulated on HIV-1-infected target cells.

**Antibody-mediated blocking of TRAIL inhibits NK cell degranulation**

Then, we investigated whether blocking the interaction between TRAIL and its receptors would affect NK cell degranulation. For this,

we co-cultured NK cells with the MHC-class I devoid target cell line 721.221 or autologous HIV-1-infected CD4 T cells, either in the absence or presence of a mouse anti-human TRAIL antibody or the respective antibody isotype. As displayed in Fig 4A, co-culture with 721.221 induced degranulation in up to 41% of NK cells (median, 25.7%). Presence of the isotype antibody did not affect levels of degranulation (26.2%,  $P = 0.84$  vs. .221 alone; median inhibition, 1.4%), while pre-incubation with  $\alpha$ TRAIL led to a reduced number of CD107a<sup>+</sup> NK cells (15.5%,  $P = 0.004$  vs. .221 alone) with a median inhibition of 35.4% ( $P = 0.002$  vs. isotype, Fig 4B). Similar results, but less pronounced, were observed when we used autologous HIV-1-infected CD4 T cells as target cells (Fig 4C). Here again, presence of the isotype antibody did not affect levels of degranulation ( $P > 0.99$  vs. HIV alone; median inhibition 1.7%), while pre-

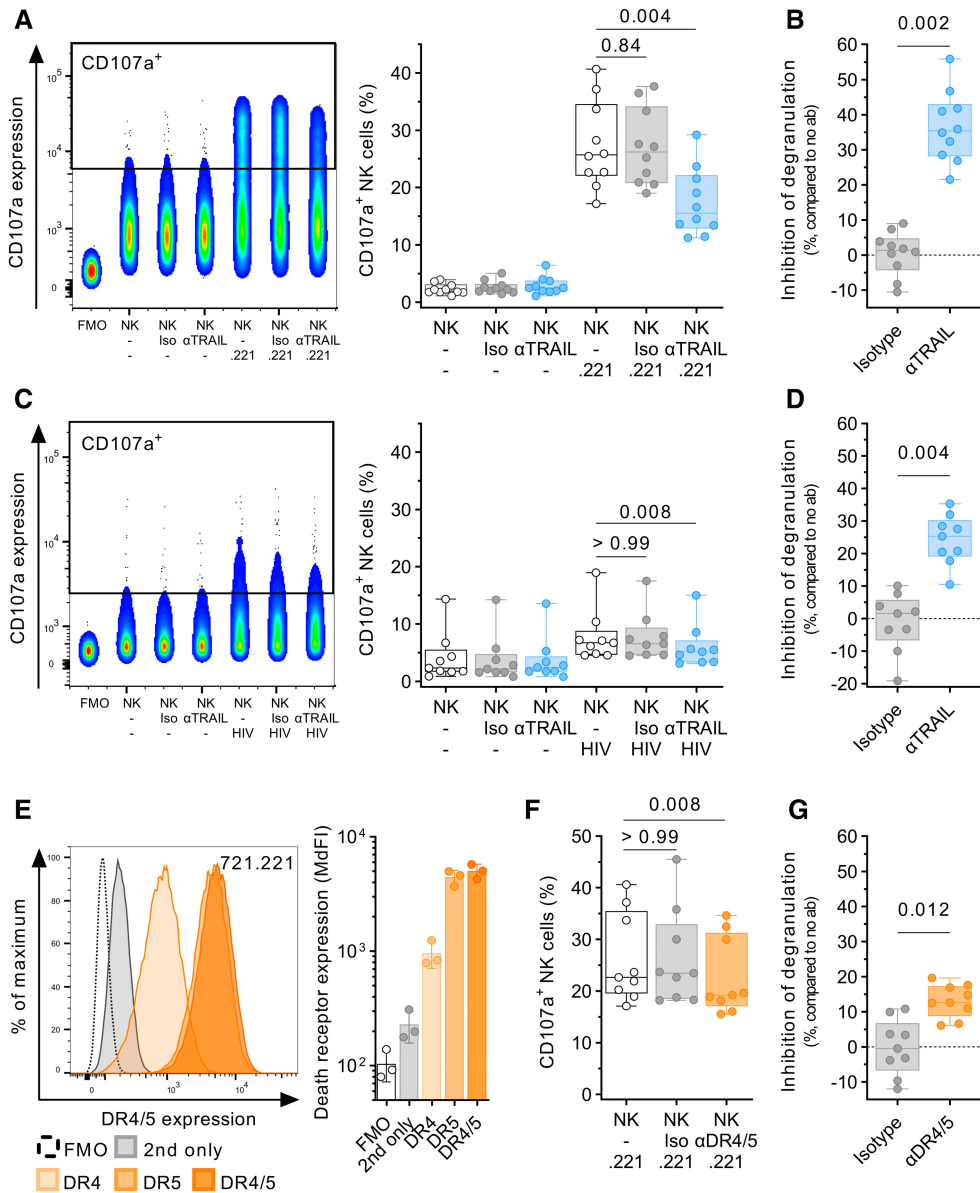


Figure 4.

**Figure 4. Antibody-mediated blocking of TRAIL inhibits NK cell degranulation.**

Degranulation of primary human NK cells after co-culture with various target cells in the presence or absence of  $\alpha$ TRAIL or  $\alpha$ DR4/5.

- A Comparison of CD107a expression after co-culture with 721.221 target cells with either 10  $\mu$ g/ml  $\alpha$ TRAIL or isotype control (Iso) using flow cytometry ( $n = 10$  different donors per condition). Each data point represents the mean of two technical replicates. Effector:target ratio was 1:1. Left panel: Concatenated density plot depicting CD107a expression as fluorescence intensity for one representative donor. Right panel: Box plots showing relative frequency of CD107a<sup>+</sup> NK cells ( $y$ -axis).
- B Box plots display inhibition of degranulation ( $y$ -axis) after co-culture with 721.221 target cells in presence of either isotype or  $\alpha$ TRAIL as relative reduction compared to no antibody ( $n = 10$  different donors per condition).
- C Comparison of CD107a expression after co-culture with autologous HIV-1-infected CD4 T cells with either 10  $\mu$ g/ml  $\alpha$ TRAIL or isotype control (Iso) using flow cytometry ( $n = 9$  different donors per condition). Each data point represents the mean of two technical replicates. Left panel: Concatenated density plot depicting CD107a expression as fluorescence intensity for one representative donor. Right panel: Box plots showing relative frequency of CD107a<sup>+</sup> NK cells ( $y$ -axis).
- D Box plots display inhibition of degranulation ( $y$ -axis) after co-culture with autologous HIV-1-infected CD4 T cells in the presence of either isotype or  $\alpha$ TRAIL as relative reduction compared to no antibody ( $n = 9$  different donors per condition).
- E Representative histograms (overlay, left panel) and bar graphs ( $n = 3$  independent experiments, right panel) showing the individual and combined surface expression of DR4 and DR5 on 721.221 cells. Each data point represents the mean of three technical replicates.
- F Comparison of CD107a expression after co-culture with 721.221 target cells in the presence of either  $\alpha$ DR4/5 (10  $\mu$ g/ml each) or 20  $\mu$ g/ml isotype control (Iso) using flow cytometry ( $n = 9$  different donors per condition). Effector:target ratio was 1:1. Box plots showing relative frequency of CD107a<sup>+</sup> NK cells ( $y$ -axis).
- G Box plots display inhibition of degranulation after co-culture with 721.221 target cells in the presence of either isotype or  $\alpha$ DR4/5 as relative reduction compared to no antibody ( $n = 9$  different donors per condition).

Data information: Wilcoxon signed-rank test. Adjustment for multiple comparisons was performed using Bonferroni. Box plots represent the median and 25%/75% percentile. Whiskers indicate minimum and maximum data points. Bar graphs represent the mean and the associated whiskers display the SD. Source data are available online for this figure.

incubation with  $\alpha$ TRAIL led to a median inhibition of 25.4% ( $P = 0.008$  vs. HIV alone;  $P = 0.004$  vs. isotype, Fig 4D). Next, we tested whether blocking of the TRAIL receptors DR4/5 on target cells would impact NK cell degranulation. 721.221 cells express both DR4 and DR5 to a measurable degree on the cell surface (Fig 4E). Pre-incubation of 721.221 with DR4/5 antibodies and subsequent co-culture with NK cells led to reduced degranulation in NK cells from all nine tested donors (median, 22.7% vs. 19.2%,  $P = 0.008$  vs. .221 alone; Fig 4F), while pre-incubation with the respective isotype control (median, 23.5%,  $P > 0.99$  vs. .221 alone) had no effect on the frequency of CD107a<sup>+</sup> NK cells (median inhibition, -0.4% vs. 12.6%,  $P = 0.012$ ; Fig 4G). These results showed that abrogation of TRAIL binding to two of its receptors negatively impacted NK cell degranulation, indicating that TRAIL is involved in the degranulation process.

**TRAIL engagement directly induces NK cell degranulation**

Next, we sought to induce NK cell degranulation through direct engagement of TRAIL. For this, we immobilized (coated) TRAIL

antibodies as well as proteins of the different TRAIL receptors on non-tissue culture-treated plates, and then cultured NK cells in the coated wells. Plate-coated  $\alpha$ NKG2D and  $\alpha$ NKp46 served as positive controls and readily induced degranulation of NK cells in a dose-dependent manner. Similarly, incubation in  $\alpha$ TRAIL-coated wells also resulted in a significant increase in CD107a<sup>+</sup> NK cells compared to the isotype control ( $P < 0.001$  for all concentrations; Fig 5A). To mimic more physiological conditions, we used proteins of the TRAIL receptors DR4 and DR5 to trigger NK cell degranulation. Human IgG served as positive control through crosslinking of CD16 and strongly induced degranulation (10  $\mu$ g/ml: median 77.9% CD107a<sup>+</sup> cells). Both, DR4 and DR5 likewise induced degranulation of NK cells compared to the uncoated negative control (PBS), although DR5 to a lesser extent (PBS: 1.7%, DR4: 1  $\mu$ g/ml: 4.2%, 5  $\mu$ g/ml: 14.3%, 10  $\mu$ g/ml: 13.9%; DR5: 1  $\mu$ g/ml: 4.6%, 5  $\mu$ g/ml: 6.7%, and 10  $\mu$ g/ml: 5.7%,  $P = 0.002$  for all conditions; Fig 5B). In addition to measuring the percentage of CD107a<sup>+</sup> NK cells, we also quantified the release of granzyme B into the supernatant (Fig 5C). NK cell cultures in  $\alpha$ TRAIL and  $\alpha$ NKp46-coated wells (10  $\mu$ g/ml) showed increased concentrations of granzyme B in the supernatant

**Figure 5. TRAIL engagement directly induces NK cell degranulation.**

Degranulation of primary human NK cells after incubation with plate-coated antibodies or whole proteins.

- A Comparison of CD107a expression after incubation in either uncoated wells (PBS) or wells coated with  $\alpha$ TRAIL,  $\alpha$ NKG2D,  $\alpha$ NKp46, or isotype using flow cytometry ( $n = 12$  different donors per condition). Left panel: Concatenated density plot depicting CD107a expression as fluorescence intensity ( $y$ -axis) for one representative donor and 10  $\mu$ g/ml antibody concentration. Right panel: Box plots showing relative frequency of CD107a<sup>+</sup> NK cells ( $y$ -axis) after incubation with plate-coated antibodies of different concentrations ( $x$ -axis).
- B Comparison of CD107a expression after incubation with plate-coated DR4 protein, DR5 protein, or human IgG using flow cytometry ( $n = 11$  different donors per condition). Left panel: Concatenated density plot depicting CD107a expression as fluorescence intensity ( $y$ -axis) for one representative donor and 10  $\mu$ g/ml protein concentration. Right panel: Box plots showing relative frequency of CD107a<sup>+</sup> NK cells ( $y$ -axis) after incubation with plate-coated proteins of different concentrations ( $x$ -axis).
- C Comparison of granzyme B release after incubation with various stimuli (10  $\mu$ g/ml each). Box plots showing granzyme B concentration in the supernatant as determined by ELISA (left panel:  $n = 8$  different donors per condition, right panel:  $n = 9$  different donors per condition).
- D Correlation analysis between relative frequency of CD107a<sup>+</sup> NK cells and granzyme B concentration ( $n = 53$ , data points obtained from A, B, and C, 11 different donors).
- E Comparison of CD107a expression after incubation with plate-coated DcR1 protein, osteoprotegerin (OPG), or human IgG using flow cytometry ( $n = 9$  different donors). Box plots showing relative frequency of CD107a<sup>+</sup> NK cells ( $y$ -axis) after incubation with plate-coated proteins of different concentrations ( $x$ -axis).

Data information: Wilcoxon signed-rank test adjusted for multiple comparisons (Bonferroni). Spearman rank analysis. (A, B, C, E) Each data point represents the mean of two technical replicates. Box plots represent the median and 25%/75% percentile. Whiskers indicate minimum and maximum data points. Source data are available online for this figure.

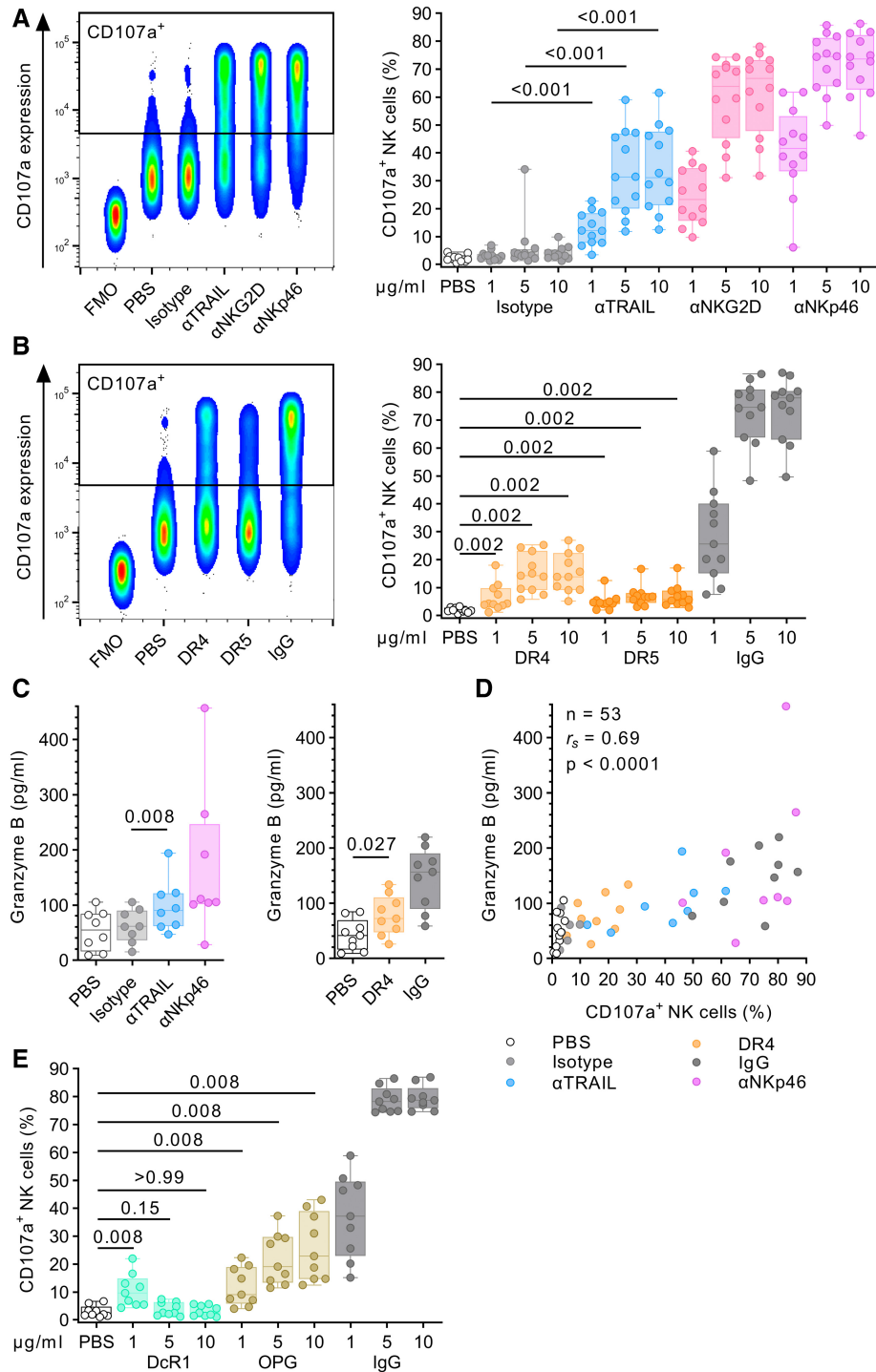


Figure 5.

compared to the controls ( $\alpha$ TRAIL vs. Isotype:  $P = 0.008$ ). Increased granzyme B levels were also observed for DR4-coated wells (DR4 vs. PBS:  $P = 0.027$ ). Spearman rank analyses showed a significant correlation between the frequency of CD107a<sup>+</sup> NK cells and granzyme B levels in the respective culture supernatants ( $r_s = 0.69$ ,  $P < 0.0001$ , Fig 5D). Lastly, we were interested whether other

known interaction partners of TRAIL are able to trigger NK cell degranulation. NK cells cultured in wells coated with either decoy receptor 1 (DcR1) or OPG induced degranulation in a significant number of NK cells (DcR1 vs. PBS:  $P = 0.008$  for 1  $\mu$ g/ml; OPG vs. PBS:  $P = 0.008$  for all tested concentrations; Fig 5E). Of note, DcR1 was the only TRAIL receptor that showed a dose-dependent

decrease in degranulation, in contrast to OPG, DR4, or DR5. Altogether, our data demonstrated that all tested interaction partners of TRAIL, including decoy receptor I and OPG, triggered degranulation in NK cells; providing further evidence that engagement of TRAIL is able to elicit effector functions in NK cells.

### TRAIL engagement induces IFN $\gamma$ production

Effector functions of NK cells not only comprise granule-mediated cytotoxicity through degranulation but also the production of pro-inflammatory cytokines. Using supernatants collected from plate-coating and TRAIL blocking experiments, we analyzed whether TRAIL interactions may also impact the production of IFN $\gamma$ . Indeed, NK cells cultured in wells coated with either  $\alpha$ TRAIL or DR4 both induced production and release of IFN $\gamma$  ( $\alpha$ TRAIL vs. isotype:  $P = 0.008$ ; DR4 vs. PBS:  $P = 0.004$ ; Fig 6A). In turn, blocking of TRAIL in NK cell: 721.221 co-cultures resulted in reduced concentrations of IFN $\gamma$  in the supernatant ( $\alpha$ TRAIL:  $P = 0.016$ ), while pre-treatment with an isotype antibody had no significant effect on IFN $\gamma$  production (isotype:  $P > 0.99$ , median inhibition,  $-8.2\%$  vs.  $32.3\%$ ,  $P = 0.008$  vs. isotype; Fig 6B). Next, we tested whether the induction of degranulation and IFN $\gamma$  production was due to an increased ability to adhere to target cells. Quantifying the ability of NK cells to form conjugates with 721.221 cells in the presence of  $\alpha$ TRAIL, we did not observe a significant inhibition of conjugate formation ( $-0.6\%$  vs.  $1.9\%$ ,  $P = 0.148$  vs. Isotype; Fig 6C and D). Finally, we sought to investigate intracellular signaling pathways that may be activated after direct TRAIL engagement and thus may provide evidence for reverse signaling of TRAIL. We examined changes in phosphorylation of the kinases p38 MAPK, Akt, Syk, and the phospholipase PLC- $\gamma$ 2 after direct engagement of TRAIL through immobilized  $\alpha$ TRAIL (Fig 6E). All selected molecules are known to be involved in signaling of NKp46, NKG2D, or CD16, which served as positive controls. Phosphorylation occurs rapidly and transiently after receptor engagement with dephosphorylation following shortly thereafter. After an extended incubation time of

30 min, we assessed the changes in phosphorylation of p38 MAPK, Akt, Syk, and PLC- $\gamma$ 2. First, we observed a high baseline phosphorylation of the examined molecules in the majority of NK cells in the unstimulated conditions, PBS (p-Syk: 71.3%, p-p38 MAPK: 71.7%, p-Akt: 69.2%, p-PLC- $\gamma$ 2: 71.1%), and isotype control (p-Syk: 72.6%, p-p38 MAPK: 77.8%, p-Akt: 69.2%, p-PLC- $\gamma$ 2: 65.7%). Second, either engagement of the activating receptors NKp46, NKG2D, or CD16 by immobilized antibodies led to a marked reduction in NK cells expressing phosphorylated signaling proteins after the prolonged incubation time indicating the return of the signaling molecules into their dephosphorylated state ( $\alpha$ NKp46: p-Syk: 34.8%, p-p38 MAPK: 56.1%, p-Akt: 33.0%, p-PLC- $\gamma$ 2: 26.6%;  $\alpha$ NKG2D: p-Syk: 25.5%, p-p38 MAPK: 31.3%, p-Akt: 21.5%, p-PLC- $\gamma$ 2: 18.3%;  $\alpha$ CD16: p-Syk: 28.5%, p-p38 MAPK: 36.8%, p-Akt: 23.1%, and p-PLC- $\gamma$ 2: 22.8%). Observed effects of dephosphorylation after the engagement of TRAIL through immobilized  $\alpha$ TRAIL were less pronounced. NK cells co-cultured with  $\alpha$ TRAIL did exhibit a noticeable but smaller reduction in phosphorylated Syk<sup>+</sup>, Akt<sup>+</sup>, and PLC- $\gamma$ 2<sup>+</sup> but not p38 MAPK<sup>+</sup> NK cells (p-Syk: 60.5%, p-p38 MAPK: 75.4%, p-Akt: 62.0%, and p-PLC- $\gamma$ 2: 56.3%). These results seem to reflect the hierarchy of NK degranulation levels we observed after NK cell receptor cross-linking in Fig 5.

Altogether, our results demonstrated that the direct engagement of TRAIL elicits multiple effector functions in NK cells, including IFN $\gamma$  production. Investigation of the underlying mechanisms was less conclusive but did not rule out intracellular signaling at least for some of the signaling molecules we analyzed. In addition, in our experimental setup, TRAIL engagement had limited effects on cell adhesion.

### TRAIL contributes to NK-cell-mediated killing of target cells

Lastly, we investigated the contribution of TRAIL to NK cell cytotoxicity. For this, we conducted multiple killing assays with various target cells (Fig 7). First, we co-cultured 722.221 target cells with NK cells in the presence of  $\alpha$ TRAIL or an isotype control and

**Figure 6. TRAIL engagement induces Interferon  $\gamma$  production but does not promote cell adhesion.**

- A Comparison of IFN $\gamma$  production (y-axis) after incubation with plate-coated antibodies or proteins (10  $\mu$ g/ml each) (x-axis). Box plots showing IFN $\gamma$  concentration in the supernatant as determined by ELISA (left panel:  $n = 8$  different donors per condition, right panel:  $n = 9$  different donors per condition).
- B Comparison of IFN $\gamma$  levels in the supernatant after co-culture of NK cells with 721.221 target cells in the presence or absence of either 10  $\mu$ g/ml  $\alpha$ TRAIL or isotype control (Iso) using ELISA ( $n = 8$  different donors per condition). Effector:target ratio was 1:1. Left panel: Box plots showing IFN $\gamma$  levels (y-axis) for the described culture conditions (x-axis). Right panel: Box plots display inhibition of IFN $\gamma$  production by NK cells (y-axis) in presence of either isotype or  $\alpha$ TRAIL as relative reduction compared to no antibody.
- C Representative contour plots showing conjugate formation between NK cells and 721.221 target cells as determined by flow cytometry. Plots showing three distinct populations at the beginning of the co-culture (0 min) and after 50 min: single 721.221 cells (CT Orange positive), single NK cells (CT Violet positive), and conjugates (double positive). Relative frequency of NK cells in conjugates is calculated based on the total number of gated NK cells.
- D Comparison of conjugate formation after co-culture between NK cells and 722.221 cells after 0 min and after 50 min in the presence or absence of 10  $\mu$ g/ml  $\alpha$ TRAIL or isotype control ( $n = 8$  different donors). Left panel: Box plots showing relative frequency of NK cells in conjugates (y-axis) for different time points and conditions (x-axis). Right panel: Box plots display inhibition of conjugate formation (y-axis) in the presence of either isotype or  $\alpha$ TRAIL as relative reduction compared to no antibody.
- E Expression levels of phosphorylated signaling proteins. Upper panel: Concatenated contour plots of one donor depicting the expression of phosphorylated signaling proteins Syk, p38 MAPK, Akt, and PLC- $\gamma$ 2 for the following culture conditions and controls (x-axis: left to right): FMO, PBS, isotype,  $\alpha$ TRAIL,  $\alpha$ NKp46,  $\alpha$ NKG2D, and  $\alpha$ CD16. Lower panel: Bar graphs showing the percentage of p-Syk<sup>+</sup>, p-p38 MAPK<sup>+</sup>, p-Akt<sup>+</sup>, and p-PLC- $\gamma$ 2<sup>+</sup> NK cells after 30 min of stimulation ( $n = 3$  different donors). Bar graphs represent the median, whiskers display minimum and maximum data points.

Data information: Wilcoxon signed-rank test. (A, B, D) Samples were acquired in duplicate and the mean was calculated for each donor and condition. Box plots represent the median and 25%/75% percentile. Whiskers indicate minimum and maximum data points.

Source data are available online for this figure.



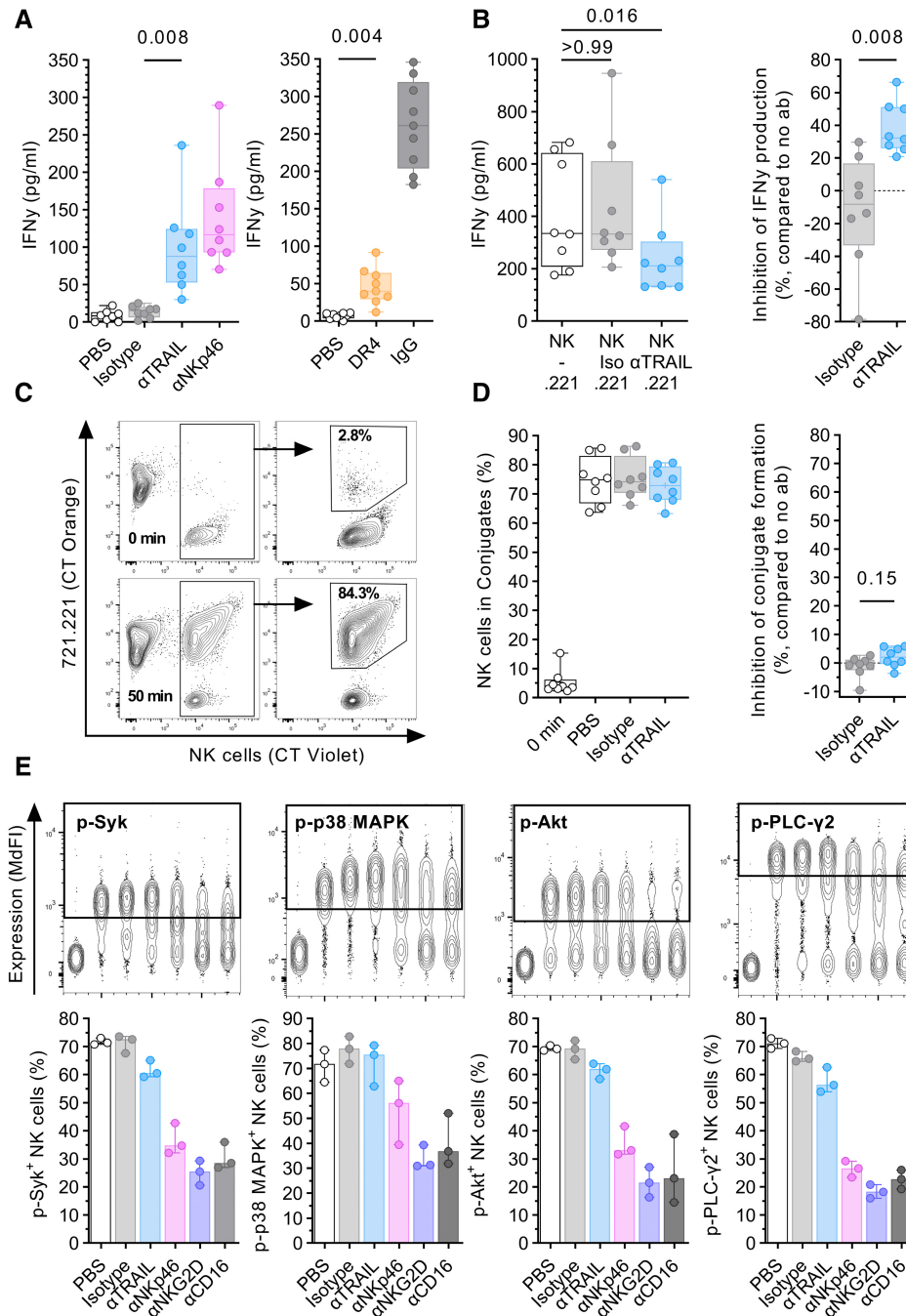
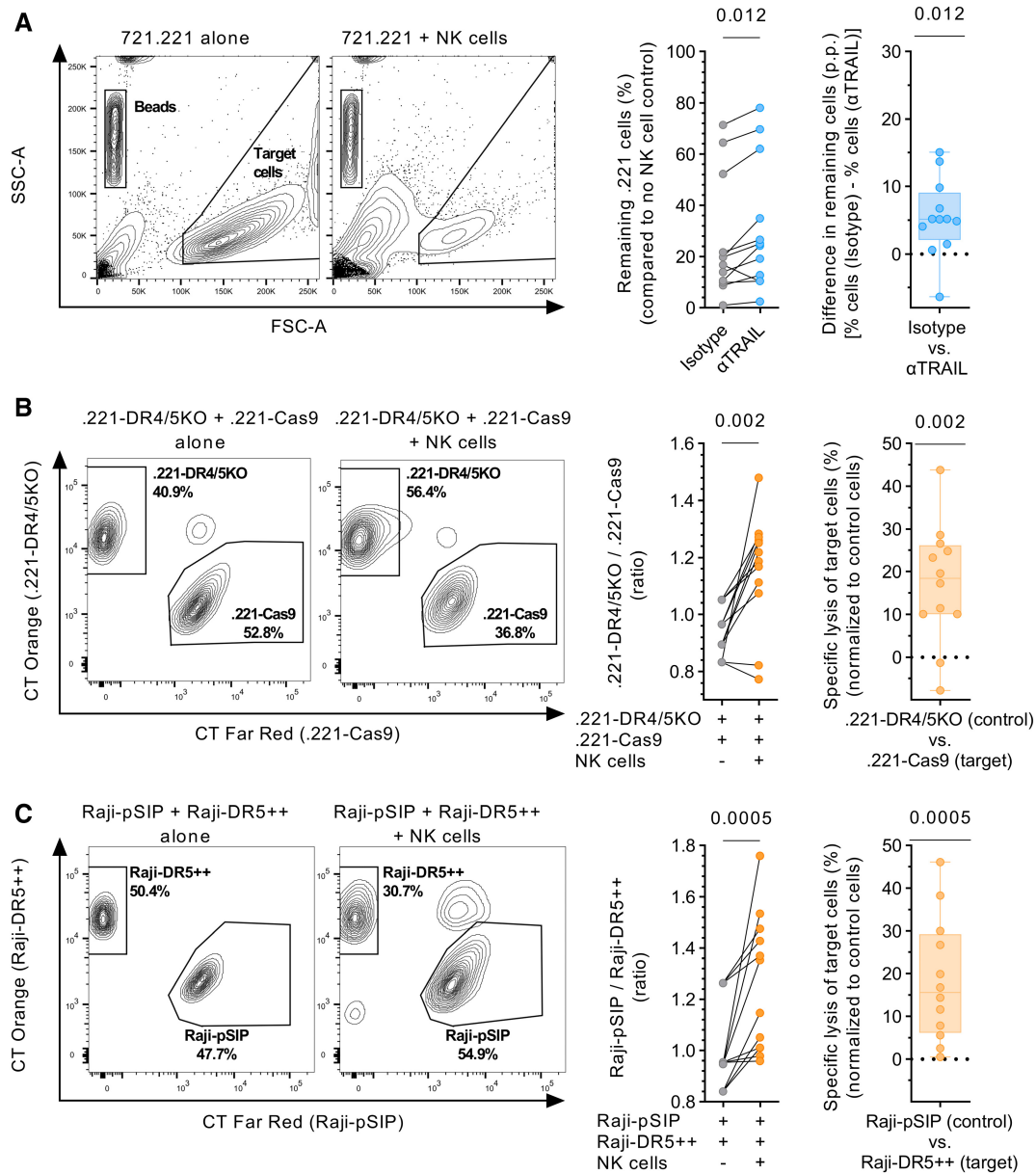


Figure 6.

quantified the remaining cells relative to the number of target cells alone (Fig 7A). NK cells pre-treated with soluble  $\alpha$ TRAIL showed a significant reduction in their ability to lyse .221 cells compared to isotype-treated NK cells (median, 18.3% vs. 24.6% remaining cells,  $P = 0.012$  vs. isotype). Next, we quantified the preferential killing of target cells by NK cells compared to control cells in competitive killing assays. .221-Cas9 (DR4/5 positive) and Raji cells overexpressing DR5 (Raji-DR5 $^{++}$ ) served as target cells. The respective control cells were transduced .221 with a DR4 and

DR5 gene knockout (.221-DR4/5KO) or Raji-pSIP expressing a lower amount of DR5. Calculation of target:control ratios and the specific lysis of target cells showed that .221-Cas9 cells were preferentially depleted by NK cells (Fig 7B,  $P = 0.002$ ). A similar outcome was observed for Raji cells with elevated DR5 expression (Fig 7C  $P = 0.0005$ ). The results of these experiments showed that the target cells expressing death receptors or higher amounts of death receptors are increasingly sensitive to NK-cell-mediated cytotoxicity.



**Figure 7. TRAIL contributes to NK-cell-mediated killing of target cells.**

Lysis of different target cells in co-culture with NK cells was quantified in various cytotoxicity assays.

**A** Left panel: Representative contour plots showing depletion of 721.221 target cells in the presence of NK cells. Middle panel: Percentage of target cells remaining (y-axis) after co-culture with NK cells in the presence of either  $\alpha$ TRAIL or isotype control, in reference to target cells kept alone. Right panel: Box plots displaying difference in target cells remaining (y-axis) between  $\alpha$ TRAIL and isotype conditions displayed as p.p. ( $n = 12$  different donors). Each data point represents the mean of at least two technical replicates.

**B** Left panel: Representative contour plots showing the percentage of .221-DR4/5KO (control) and .221-Cas9 cells (target) in the presence or absence of NK cells. Middle panel: Ratio between .221-DR4/5KO and .221-Cas9 cells (y-axis) in the presence or absence of NK cells. Right panel: Specific lysis of .221-Cas9 cells displayed as percent ( $n = 12$  different donors). Each data point represents the mean of at least three technical replicates.

**C** Left panel: Representative contour plots showing the percentage of Raji-pSIP (control) and Raji-DR5<sup>++</sup> (target) in the presence or absence of NK cells. Middle panel: Ratio between Raji-pSIP and Raji-DR5<sup>++</sup> (y-axis) in the presence or absence of NK cells. Right panel: Specific lysis of Raji-DR5<sup>++</sup> cells displayed as % ( $n = 12$  different donors). Each data point represents the mean of at least three technical replicates.

Data information: Wilcoxon signed-rank test. Experiments were performed in four batches with three different donors each. "No NK" control samples served as a reference for all donors in each batch. Lines connect each data value of the NK cell condition with their designated "No NK" control. Box plots represent the median and 25%/75% percentile. Whiskers indicate minimum and maximum data points.

Source data are available online for this figure.

## Discussion

In this study, we provide evidence that TRAIL contributes to the anti-HIV-1 activity of NK cells beyond receptor-mediated cytotoxicity through induction of degranulation. Direct engagement of TRAIL elicited multiple effector functions in human NK cells. Both degranulation and IFN $\gamma$  production in NK cells were triggered by cross-linking TRAIL with either its cognate receptors or  $\alpha$ TRAIL, as well as inhibited through antibody-mediated blockade of TRAIL interactions.

The ability of TRAIL to transduce signals after receptor engagement has been debated for a long time with scattered reports on that matter in different species and cell types. In 2001, Chou and colleagues described enhanced proliferation and increased IFN $\gamma$  production in murine T cells after cross-linking TRAIL through DR4-Fc fusion proteins (Chou *et al*, 2001). However, TRAIL engagement alone was not sufficient to induce proliferation or cytokine production, rather acting as a co-stimulatory molecule in combination with T cell receptor engagement. In a subsequent study, the group conveyed similar findings in human CD4 T cells but not in cytotoxic CD8 T cells (Tsai *et al*, 2004). In TRAIL-deficient mice, murine NK cells showed reduced cytotoxicity but unchanged expression of CD107a after exposure to YAC-1 target cells (Cardoso Alves *et al*, 2020). The same study also reported increased numbers and relative frequencies of IFN $\gamma$ <sup>+</sup> NK cells upon LCMV infection. This and observations by Diehl *et al*. indicated that TRAIL may have an inhibitory role in murine viral infections (Diehl *et al*, 2004). However, these effects may not be linked to reverse signaling but rather caused by other indirect mechanisms during the antiviral immune response, such as altered NK cell–DC cross-talk (Iyori *et al*, 2011). These studies hinted at the ability of TRAIL to induce additional effector functions on the expressing immune cells but remain altogether puzzling; TRAIL only acted as a co-stimulatory molecule in CD4 T cells and not in cytotoxic T cells which are more closely related to NK cells in their role as cytotoxic effectors. Unaltered levels of degranulation in NK cells from TRAIL-deficient mice may indicate differences in TRAIL signaling between mice and humans.

In this study, we showed that blockade of TRAIL interactions resulted in significantly reduced levels of degranulation after exposure to the MHC class I devoid cell line 721.221 and autologous HIV-1-infected CD4 T cells. Similar results were recently described for IL-18/poly I:C-treated NK cells after co-culture with the human hepatic stellate cell (HSC) line LX2 and primary HSCs (Li *et al*, 2019). The authors observed that blockade of TRAIL inhibited the interaction between NK cells and LX2 cells. Our results, however, did not show a significant contribution of TRAIL in conjugate formation between NK cells and 721.221 cells. Nevertheless, our data also demonstrated that blockade of either side of the interaction between TRAIL and its receptors impacts NK cell degranulation. These observations do not rule out the contribution of TRAIL in target cell adhesion and in the formation of an immunological synapse but indicate that its specific contribution may be target cell specific. The presence of TRAIL receptors on the surface of the respective target cell and their ratio to the expression of ligands for other NK cell receptors may be a determining factor.

Cross-linking of TRAIL with proteins of the death receptors 4 and 5 induced degranulation and IFN $\gamma$  production in NK cells. Beyond its potential role in facilitating target cell adhesion, these observations indicate that TRAIL elicits effector functions in NK cells.

Interestingly, this was also the case with other interaction partners of TRAIL, the decoy receptor 1 (DcR1), and osteoprotegerin (OPG). DcR1 (TRAIL-R3) does not contain a death domain and is thus not able to induce apoptosis (Degli-Esposti *et al*, 1997b). Hence, DcR1 as well as the related DcR2 (TRAIL-R4) have been attributed to regulate TRAIL sensitivity by sequestering TRAIL in lipid rafts or forming heteromeric complexes with DR5, thus inhibiting caspase-8 activation (Clancy *et al*, 2005; Mérimo *et al*, 2006). OPG has been shown to inhibit TRAIL-mediated apoptosis (Emery *et al*, 1998) and has been subsequently implicated to play a role in various malignancies (Zauli *et al*, 2009). In light of our findings, OPG may serve as a double-edged sword, shielding tumor cells from TRAIL-induced apoptosis on the one hand (Holen *et al*, 2002, 2005; Shipman & Croucher, 2003; De Toni *et al*, 2008) and stimulating TRAIL-mediated anti-tumor activity of NK cells (Berg *et al*, 2009) by triggering degranulation on the other. Overall, our results may add a new perspective on the perceived roles of DcR1 and OPG in the context of NK-cell-mediated tumor surveillance.

Although the accumulating evidence of our and previous studies indicates bidirectional signaling of TRAIL, little is known about the underlying signaling pathways. TRAIL is a member of the TNF $\alpha$  superfamily and reverse signaling has been described for some other members (Sun & Fink, 2007; Lee *et al*, 2019). However, TRAIL contains neither an immunoreceptor tyrosine-based activation motif (ITAM) in the short cytoplasmic tail or a positively charged amino acid (lysine or arginine) in the transmembrane domain that could confer association with adapter molecules such as DNAX activation protein 12 (DAP12) or CD3 $\zeta$  (Humphrey *et al*, 2005; Lanier, 2009). Therefore, the potential signaling pathway may differ from activating receptors like NKG2D, NKp46, or certain KIRs (Campbell *et al*, 1998; Meza Guzman *et al*, 2020). Syk, Akt, and PLC- $\gamma$ 2 play important roles in the signaling pathways of activating NK cell receptors (Spaggiari *et al*, 2001; Jiang *et al*, 2002; Sutherland *et al*, 2002; Upshaw *et al*, 2005). Our assessment of the phosphorylation of these signaling molecules indicated an increased baseline phosphorylation likely associated with prolonged IL-2 and IL-15 treatment, similar to observations in murine NK cells (Luu *et al*, 2021). Further investigations into the participation of these signaling molecules in TRAIL signaling did not yield conclusive results in our experimental setup, which aimed to measure the dephosphorylation after an elapsed signaling cascade. Since Syk and PLC- $\gamma$ 2 enable the activation of signaling pathways leading to the activation of the MAP kinases ERK and JNK (Jiang *et al*, 2002; Chen *et al*, 2007), TRAIL may also utilize one of these MAPKs for signal transduction. In an earlier study, activation of p38 MAPK after TRAIL-induced co-stimulation of CD4 T cells was reported (Tsai *et al*, 2004). Subsequent investigations in CD4 T cells observed phosphorylation of the upstream TCR-proximal tyrosine kinases, Lck, and ZAP70 and activation of the NF- $\kappa$ B pathway after TRAIL co-stimulation (Huang *et al*, 2011). ZAP70 binds to phosphorylated ITAMs in the cytoplasmic domain of activating adapter proteins (Vivier *et al*, 1993; Ting *et al*, 1995; Lanier *et al*, 1998; Augugliaro *et al*, 2003), therefore TRAIL may utilize ITAM-mediated signaling in an indirect manner. Co-localization of TRAIL in nanoscale clusters with other immune receptors and DAP12 is therefore one possibility to hijack adapter molecules for downstream signaling (Oszmiana *et al*, 2016). In this context, it has been shown that CD59 acts as a co-receptor for the activating receptor NKp46 through physical association, promoting

signaling and subsequent cytotoxicity, despite lacking a signaling domain on its own (Marcenaro *et al*, 2003). So far, the exact mechanism of how TRAIL engagement induces effector functions eventually remains obscure and will require more focused investigations.

We initially observed increased TRAIL expression in NK cells degranulating against HIV-infected cells indicating the involvement of TRAIL in the anti-HIV-1 activity of NK cells. Multiple studies have previously investigated the role of TRAIL and its receptors in immune control and pathogenesis of HIV-1, reviewed in Gougeon and Herbeuval (2012). TRAIL was upregulated in multiple types of immune cells, in HIV-1 infection *in vivo*, *in vitro*, and in animal models (Herbeuval *et al*, 2005a, 2005c, 2009; Hardy *et al*, 2007; Stary *et al*, 2009; Cheng *et al*, 2020). Serum levels of soluble TRAIL were elevated in HIV-1<sup>+</sup> individuals and correlated with viral load (Herbeuval *et al*, 2005a). In turn, increased expression of TRAIL receptors on CD4 T cells was observed in HIV-1<sup>+</sup> donors (Herbeuval *et al*, 2005b, 2009). In our *in vitro* infection models, we demonstrated that upregulation of DR4/5 was independent of the tested HIV-1 strains. This indicates that upregulation of death receptors is a cellular response of the infected cell which HIV-1 may not be able to prevent through immune evasion mechanisms. Therefore, DR4/5 on infected cells may serve as target molecules for immunotherapeutic HIV-1 cure approaches (Deeks, 2012), enabling elimination of infected cells through TRAIL<sup>+</sup> effector cells after reversal of HIV-1 latency. Nevertheless, little is known about TRAIL and NK-cell-mediated induction of apoptosis in HIV-1 infection. One study showed that IL-15-stimulated NK cells from HIV-1-infected donors

displayed improved killing of K562 target cells in a TRAIL-dependent manner (Lum *et al*, 2004). In addition, in other viral infections, such as HCV infection, *in vitro* studies indicated that TRAIL<sup>+</sup> NK cells may play a crucial part in viral immunity by eliminating virus-replicating cells by TRAIL-mediated cytotoxicity (Stegmann *et al*, 2010). Enhanced TRAIL-mediated cytotoxic activity by NK cells against murine cells infected with EMCV was observed as well (Sato *et al*, 2001). Our data confirmed that TRAIL contributes to NK-cell-mediated cytotoxicity against target cells expressing death receptors recognized by TRAIL, but did not allow the distinction between granule- or death receptor-mediated target cell lysis. Additional experimental models are therefore needed to determine the overall contribution of TRAIL-induced degranulation to NK cell cytotoxicity and in comparison to TRAIL-induced apoptosis via death receptors.

Altogether, our study indicates that TRAIL is involved in NK-cell-mediated anti-HIV-1 activity by directly triggering degranulation. These observations now raise additional questions related to the underlying mechanisms, including the signaling pathway that links initial TRAIL engagement to downstream effector functions. Based on our findings we propose a multifunctional role for TRAIL beyond receptor-mediated cytotoxicity, acting as a regulator for the induction of different effector functions in human NK cells. Hence, TRAIL may remain a target of interest for NK-cell-based immunotherapeutic approaches in cancer or antiviral therapies that would harness the ability of TRAIL to induce NK-cell-mediated target cell killing through multiple avenues.

## Materials and methods

### Reagents and Tools table

Reagent/Resource	Reference or Source	Identifier or Catalog Number
<b>Experimental Models</b>		
Citrate-treated peripheral blood ( <i>H. sapiens</i> )	Institute of Transfusion Medicine, University Medical Center Hamburg-Eppendorf, Hamburg, Germany	N/A
EDTA-treated peripheral blood ( <i>H. sapiens</i> )	Hamburger Gesundheitskohorte University Medical Center Hamburg-Eppendorf, Hamburg, Germany	N/A
HEK293T/17 ( <i>H. sapiens</i> )	ATCC	Cat#CRL-11268; RRID:CVCL_1926
LCL 721.221 ( <i>H. sapiens</i> )	ATCC	Cat#CRL-1855; RRID:CVCL_6263
.221-Cas9	Generated in this study	N/A
.221-DR4/SKO	Generated in this study	N/A
Raji ( <i>H. sapiens</i> )	Ragon Institute of MGH, MIT and Harvard, Cambridge, MA, USA	RRID:CVCL_0511
Raji-pSIP	Generated in this study	N/A
Raji-DR5 <sup>++</sup>	Generated in this study	N/A
pNL4-3	NIH HIV Reagent Program	Cat#ARP-114
pYK-JRCSF	NIH HIV Reagent Program	Cat#ARP-2708
HIV-1 WITO	Mary Carrington (National Cancer Institute); Ochsenauber <i>et al</i> , 2012	N/A
HIV-1 CH198	Beatrice Hahn (University of Pennsylvania); Parrish <i>et al</i> , 2013	N/A
HIV-1 CH236	Beatrice Hahn (University of Pennsylvania); Fenton-May <i>et al</i> , 2013	N/A

## Reagents and Tools table (continued)

Reagent/Resource	Reference or Source	Identifier or Catalog Number
<b>Recombinant DNA</b>		
pLVX-SIP	Ragon Institute of MGH, MIT and Harvard, Cambridge, MA, USA; Dr. Thomas Pertel	N/A
pCMV-VSV-G	Addgene	Cat#8454; RRID:Addgene_8454
psPAX2	NIH HIV Reagent Program	Cat#ARP-11348; RRID:Addgene_12260
plentiCas9-Blast	Addgene	Cat#52962; RRID:Addgene_52962
plentiGuide-Puro encoding gRNA sequences	GenScript	Cat#SC1678
<b>Antibodies</b>		
Mouse $\alpha$ -human CD3 PerCP-Cy5.5 (clone UCHT1)	BioLegend	Cat#300430; RRID:AB_893299
Mouse $\alpha$ -human CD3 PE/Dazzle594 (clone UCHT1)	BioLegend	Cat#300450; RRID:AB_2563618
Mouse $\alpha$ -human CD3 BV421 (clone UCHT1)	BioLegend	Cat#300434; RRID:AB_10962690
Mouse $\alpha$ -human CD3 Pacific blue (clone UCHT1)	BD Biosciences	Cat#558117; RRID:AB_397038
Mouse $\alpha$ -human CD4 APC (clone RPA-T4)	BD Biosciences	Cat#555349; RRID:AB_398593
Mouse $\alpha$ -human CD4 APC (clone OKT4)	BioLegend	Cat#317416; RRID:AB_571945
Mouse $\alpha$ -human CD4 BV711 (clone RPA-T4)	BioLegend	Cat#300558; RRID:AB_2564393
Mouse $\alpha$ -human CD14 PerCP-Cy5.5 (clone HCD14)	BioLegend	Cat#325622; RRID:AB_893250
Mouse $\alpha$ -human CD16 BV785 (clone 3G8)	BioLegend	Cat#302046; RRID:AB_2563803
Mouse $\alpha$ -human CD16 (clone 3G8)	BioLegend	Cat#302014; RRID:AB_314214
Mouse $\alpha$ -human CD19 PerCP-Cy5.5 (clone HIB19)	BioLegend	Cat#302230; RRID:AB_2073119
Mouse $\alpha$ -human CD45 AF700 (clone 2D1)	BioLegend	Cat#368514; RRID:AB_2566374
Mouse $\alpha$ -human CD45 BV605 (clone 2D1)	BioLegend	Cat#368524; RRID:AB_2715826
Mouse $\alpha$ -human CD45 PerCP-Cy5.5 (clone 2D1)	BioLegend	Cat#368503; RRID:AB_2566351
Mouse $\alpha$ -human CD56 BVU395 (clone NCAM16.2)	BD Biosciences	Cat#563554; RRID:AB_2687886
Mouse $\alpha$ -human CD57 PE/Dazzle594 (clone HNK-1)	BioLegend	Cat#359620; RRID:AB_2564063
Mouse $\alpha$ -human CD107a BV510 (clone H4A3)	BioLegend	Cat#328632; RRID:AB_2562648
Mouse $\alpha$ -human KIR2DL1/S5 FITC (clone 143211)	R&D Systems	Cat#FAB1844F-100; RRID:AB_2130402
Mouse $\alpha$ -human KIR2DL2/L3/S2 BV711 (clone DX27)	BD Biosciences	Cat#745442; RRID:AB_2742987
Human $\alpha$ -human NKG2C PE (clone REA205)	Miltenyi Biotec	Cat#130-103-635; RRID:AB_2655394
Mouse $\alpha$ -human KIR3DL1 BV421 (clone DX9)	BioLegend	Cat#312714; RRID:AB_2561652
Mouse $\alpha$ -human NKG2A PE-Cy7 (clone Z199)	Beckman Coulter	Cat#B10246; RRID:AB_2687887
Mouse $\alpha$ -human CD253 (TRAIL) APC (clone RIK-2.1)	Miltenyi Biotec	Cat#130-097-314; RRID:AB_2656681
Mouse $\alpha$ -human CD253 (TRAIL) (clone RIK-2)	BioLegend	Cat#308214; RRID:AB_2814155
Mouse $\alpha$ -human TRAIL-R1 (DR4) (clone HS101)	AdipoGen	Cat#AG-20B-0022PF; RRID:AB_2490215
Mouse $\alpha$ -human CD261 (DR4) Biotin (clone DJR1)	Miltenyi Biotec	Cat#130-109-084; RRID:AB_2656741
Mouse $\alpha$ -human TRAIL-R2 (DR5) (clone HS201)	AdipoGen	Cat#AG-20B-0023; RRID:AB_2490218
Mouse $\alpha$ -human TRAIL-R2 (DR5) (clone HS201)	AdipoGen	Cat#AG-20B-0023PF; RRID:AB_2490221
Mouse $\alpha$ -human CD262 (DR5) Biotin (clone DJR2-4)	Miltenyi Biotec	Cat#130-097-303; RRID:AB_2656745
$\alpha$ -human TRAIL-R3 (DcR1, CD263) (polyclonal Goat IgG)	R&D Systems	Cat#AF630; RRID:AB_355488
Mouse $\alpha$ -human CD314 (NKG2D) (clone 1D11)	BioLegend	Cat#320814; RRID:AB_2561488



**Reagents and Tools table** (continued)

Reagent/Resource	Reference or Source	Identifier or Catalog Number
Mouse $\alpha$ -human CD314 (NKG2D) PE (clone 1D11)	BioLegend	Cat#320806; RRID:AB_492960
Mouse $\alpha$ -human CD335 (Nkp46) (clone 9E2)	Miltenyi Biotec	Cat#130-094-271; RRID:AB_10828456
Mouse $\alpha$ -human CD335 (Nkp46) FITC (clone 9E2)	BioLegend	Cat#331922; RRID:AB_2561965
Mouse $\alpha$ -human HIV-1 core antigen FITC (clone KC57)	Beckman Coulter	Cat#6604665
Mouse $\alpha$ -human p38 MAPK PE (clone 36/p38)	BD Biosciences	Cat#612565; RRID:AB_399856
Mouse $\alpha$ -human PLC- $\gamma$ 2 PE (clone K86-689.37)	BD Biosciences	Cat#558490; RRID:AB_647226
Mouse $\alpha$ -human Syk PE (clone I120-722)	BD Biosciences	Cat#558529; RRID:AB_647247
Mouse $\alpha$ -human Akt PE (clone M89-61)	BD Biosciences	Cat#560378; RRID:AB_1645328
Mouse IgG1 Isotype Control (clone 15H6)	AdipoGen	Cat#AG-35B-0003PF
Mouse IgG1, $\kappa$ Isotype Ctrl (clone MOPC-21)	BioLegend	Cat#400153
$\alpha$ -goat IgG PE (polyclonal donkey IgG)	R&D Systems	Cat#F0107; RRID:AB_573123
Human Gamma Globulin	ThermoFisher Scientific	Cat#31879; RRID:AB_2532171
<b>Oligonucleotides and other sequence-based reagents</b>		
gRNA 5'ATAGTCCTGTCCATATTTGC3'	GenScript	Cat# SC1678
gRNA 5'GCGGGGAGGATTGAACCACG3'	GenScript	Cat# SC1678
<b>Chemicals, Enzymes and other reagents</b>		
BD CellFIX (10x concentrate)	BD Biosciences	Cat#340181; RRID: AB_2868724
BD Phosflow Fix Buffer I	BD Biosciences	Cat#557870; RRID: AB_2869102
BD Phosflow Perm Buffer III	BD Biosciences	Cat#558050; RRID: AB_2869118
Benzonase Nuclease, Purity >90%	Merck-Millipore	Cat#71205-3
Benzonase Nuclease, Purity > 99%	Merck-Millipore	Cat#70664-3
Blasticidin	InvivoGen	Cat#ant-bl-1
CellTracker Violet BMQC Dye	Invitrogen	Cat#C10094
CellTracker Orange CMTMR Dye	Invitrogen	Cat#C2927
CellTrace Far Red	Invitrogen	Cat#C34564
Immunocult Human CD3/CD28 T Cell Activator	Stemcell	Cat#10971; RRID:AB_2827806
Lenti-X Concentrator	Clontech Laboratories Inc.	Cat#631232
Lipofectamine 3000	Invitrogen	Cat#L3000-150
LIVE/DEAD Fixable Blue Dead Cell Stain Kit	Invitrogen	Cat#L34962
LIVE/DEAD Fixable Near-IR Dead Cell Stain Kit	Invitrogen	Cat#L34976
Precision Count Beads	BioLegend	Cat#424902
Puromycin dihydrochloride	Sigma-Aldrich	Cat#P7255
Recombinant human DcR1 protein	Abcam	Cat#ab276266
Recombinant human DR4 protein	Abcam	Cat#ab641
Recombinant human DR5 protein	Abcam	Cat#ab243777
Recombinant human IL-2	PeproTech GmbH	Cat#200-02
Recombinant human IL-15	PeproTech GmbH	Cat#200-15
Recombinant human Osteoprotegerin protein	Abcam	Cat#ab182688
Streptavidin BV421	BioLegend	Cat#405226
Streptavidin PE	BioLegend	Cat#405204
<b>Software</b>		
Flowjo v10	BD Life Sciences	RRID:SCR_008520; <a href="https://www.flowjo.com/">https://www.flowjo.com/</a>
GraphPad Prism 9	GraphPad Software	RRID:SCR_002798; <a href="https://www.graphpad.com/">https://www.graphpad.com/</a>

Reagents and Tools table (continued)

Reagent/Resource	Reference or Source	Identifier or Catalog Number
<b>Other</b>		
BD Cytotfix/Cytoperm Fixation/Permeabilization Kit	BD Biosciences	Cat#554714; RRID:AB_2869008
DNeasy Blood and Tissue Kit	QIAGEN	Cat#69506
EasySep Human CD4 Positive Selection Kit II	Stemcell	Cat#17852
EasySep Human CD4 <sup>+</sup> T Cell Enrichment Kit	Stemcell	Cat#19052
EasySep Human NK Cell Enrichment Kit	Stemcell	Cat#19055
Granzyme B Human ELISA Kit	ThermoFisher Scientific	Cat#BMS2027
IFN gamma Human ELISA Kit	ThermoFisher Scientific	Cat#EHIFNG
MACS Marker Screen, human	Miltenyi Biotec	Cat#130-110-055

## Methods and Protocols

### Materials availability

This study did not generate new unique reagents.

### Experimental model and subject details

#### Human subjects

Peripheral blood was obtained from anonymized healthy human donors ( $n = 71$ ) at the Institute for Transfusion Medicine, University Medical Center Hamburg-Eppendorf, Hamburg, Germany. Blood donors gave their general written consent to the usage of their blood samples for scientific studies in an anonymized form. The anonymized use of human material complies with a vote by the ethics committee of the German Medical Association. In addition, peripheral blood samples were obtained from healthy blood donors ( $n = 27$ ) recruited at the University Medical Center Hamburg-Eppendorf, Hamburg, Germany. These donors provided written informed consent and studies were approved by the ethical committee of the Ärztekammer Hamburg (PV4780). Information on age and sex was not available for all subjects; however, was not relevant for the purpose of the study.

#### Cell lines

The HEK293T/17 cell line (ATCC, RRID:CVCL\_1926) was used to generate infectious replication-competent HIV-1 virions. Cells were maintained in Dulbecco's modified Eagle medium, high-glucose, GlutaMAX Supplement, pyruvate (DMEM, Life Technologies) supplemented with 10% (v/v) heat-inactivated FBS (Biochrom) and 100 U/ml penicillin and 100 µg/ml streptomycin (Sigma-Aldrich). The sex of the cell line is female.

Raji cells (RRID: CVCL\_0511) were used to overexpress DR5. Therefore, the sequence of the receptor was obtained from GeneArt GeneSynthesis (Thermo Fisher) and cloned into a lentiviral transfer vector (pLVX-SIP) with puromycin resistance. Lipofectamine 3000 (Invitrogen) was used to transfect HEK293T/17 cells with the lentiviral transfer vector encoding the gene of interest, a VSV-G envelope vector (pCMV-VSV-G; Addgene) and a HIV-1 Gag-Pol packaging vector (psPAX2; NIH HIV Reagent Program). The supernatant containing the lentivirus was harvested 48 h after transfection and used for transduction of Raji cells. DR5<sup>+</sup> Raji cells were selected with 1 µg/ml puromycin (Sigma-Aldrich) 3 days post-transduction and later sorted for high DR5 expression with fluorescence-activated cell sorting. The generated cell line was

maintained in complete medium (RPMI-1640 medium, Life Technologies) supplemented with 10% (v/v) heat-inactivated FBS (Biochrom), 100 U/ml penicillin, 100 µg/ml streptomycin (Sigma-Aldrich), and 1 µg/ml puromycin (Sigma-Aldrich) and cultured at 37°C and 5% CO<sub>2</sub>. The sex of the cell lines is male.

The cell line B-LCL 721.221 (.221) (RRID: CVCL\_6263) (Shimizu & DeMars, 1989) was used to assess the function of NK cells. The cell line was maintained in complete medium [RPMI-1640 medium (Life Technologies) supplemented with 10% (v/v) heat-inactivated FBS (Biochrom), 100 U/ml penicillin, and 100 µg/ml streptomycin (Sigma-Aldrich)]. The sex of the cell line is female. 721.221 DR4/DR5 knockout cells were generated by CRISPR/Cas9-based gene targeting. First, 721.221 cells were transduced with lentiviral particles containing plentiCas9-Blast, a lentiviral plasmid construct that delivers hSpCas9 and blasticidin resistance. The lentiviral particles required for this purpose were produced in HEK293/17 cells as described for the transduction of Raji cells. Three days post-transduction, Cas9<sup>+</sup> cells were selected with 5 µg/ml blasticidin (InvivoGen). In the next step, Cas9<sup>+</sup> 721.221 cells were transduced with lentivirus containing lentiGuide-Puro plasmid constructs encoding a puromycin resistance and either a specific gRNA sequence to knockout DR4 or DR5. These plasmid constructs were generated by GenScript Biotech. The gRNA sequences used were as follows: 5'GCGGGGAGGATTGAACCACG3' (knockout of DR4) and 5'ATAGTCCTGTCCATATTTGC3' (knockout of DR5). Lentiviral particles were produced by transfection of HEK293/17 cells as described above. Transduced cells were selected with 0.5 µg/ml puromycin (Sigma-Aldrich) 3 days post-transduction and were later sorted for the absence of DR4 and DR5 expression. The generated 721.221 DR4/DR5 knockout cell line was maintained in complete medium (RPMI-1640 medium, Life Technologies) supplemented with 10% (v/v) heat-inactivated FBS (Biochrom), 100 U/ml penicillin, 100 µg/ml streptomycin (Sigma-Aldrich), 0.5 µg/ml puromycin (Sigma-Aldrich), and 5 µg/ml blasticidin (InvivoGen) and cultured at 37°C and 5% CO<sub>2</sub>. Expression levels of DR4 and DR5 on untransduced and transduced cell lines are displayed in Fig EV2. All cell lines are regularly tested for mycoplasma contamination.

### Method details

#### Sample processing

Peripheral blood mononuclear cells (PBMCs) were isolated by density gradient centrifugation within a few hours after phlebotomy from peripheral blood of healthy human donors and washed before

resuspension in complete medium (RPMI-1640 medium (Life Technologies) supplemented with 10% (v/v) heat-inactivated FBS (Biochrom), 100 U/ml penicillin, and 100 µg/ml streptomycin (Sigma-Aldrich)). PBMCs were directly used for experiments or cryopreserved in FBS supplemented with 10% (v/v) dimethyl sulfoxide (Sigma-Aldrich) and stored in liquid nitrogen for future experimental applications. Thawing of previously cryopreserved PBMCs was performed by drop-wise addition of complete medium supplemented with 25 U/ml Benzoylase Nuclease (Merck Millipore Novagen), washing and resting of cells at 37°C, 5% (v/v) CO<sub>2</sub> for app. 4 h before further use.

#### Enrichment of CD4 T Cells and NK Cells

Primary human CD4 T cells and NK cells were enriched from PBMCs through negative-selection strategy using EasySep Human CD4<sup>+</sup> T Cell Enrichment Kit (Stemcell) and EasySep Human NK Cell Enrichment Kit (Stemcell), respectively. Isolated CD4 T cells and NK cells were washed and then resuspended in appropriate complete medium for downstream applications. Purity of the enriched cell populations was verified by flow cytometry. Purity of CD4 T cells was 96.8% ± 2.1 (Mean ± SD); purity of NK cells was 86.0% ± 11.8% (Mean ± SD).

#### Primary infectious molecular clones of HIV-1

The following reagents were obtained through the NIH HIV Reagent Program, Division of AIDS, NIAID, NIH: Human Immunodeficiency Virus 1 (HIV-1), Strain NL4-3 Infectious Molecular Clone (pNL4-3), ARP-2852, contributed by Dr. M. Martin (Adachi *et al*, 1986); Human Immunodeficiency Virus 1 (HIV-1), Strain JR-CSF Infectious Molecular Clone (pYK-JRCSF), ARP-2708, contributed by Dr. Irvin SY Chen and Dr. Yoshio Koyanagi. Plasmids harboring the proviral genome of infectious molecular clones representing the HIV-1 primary strains CH198 (Parrish *et al*, 2013) and CH236 (Fenton-May *et al*, 2013) were kindly provided by the Beatrice Hahn Laboratory (University of Pennsylvania). The primary transmitted founder HIV-1 clone WITO was inferred from plasma viral sequences as reported previously (Ochsenbauer *et al*, 2012) and kindly provided by Mary Carrington (National Cancer Institute). Infectious viral particles were produced by transfecting HEK293T/17 cells with the respective plasmids using Lipofectamine 3000 (Invitrogen) according to the manufacturer's protocol. Cell culture supernatants were harvested through centrifugation 72–96 h after transfection and then sterile filtered through either PES-based 0.45 µl or 0.22 µl Filtropur (Sarstedt) or 0.45 µl Steriflip-PVDF filter (Merck-Millipore). Subsequently, Lenti-X Concentrator (Clontech Laboratories Inc.) was used to increase viral titers according to the manufacturer's protocol. Lentiviral particles were aliquoted in DMEM (Life Technologies) and stored at –80°C until further use.

#### Infection of autologous CD4 T cells

After the enrichment of primary CD4 T cells, cells were washed and resuspended in complete medium supplemented with 100 U/ml IL-2 (PeproTech). Cells were then stimulated for 3 days with αCD3/αCD28 antibodies (Stemcell) at a concentration of 25 µl/ml at 37°C, 5% (v/v) CO<sub>2</sub>. *In vitro* infection with various HIV-1 strains was performed through spinoculation of cells (1,200 rcf, 2 h, 37°C) with infectious viral particles at an MOI between 0.005 and 0.01 (O'Doherty *et al*, 2000). After centrifugation, cells were incubated at

37°C, 5% (v/v) CO<sub>2</sub> for 72–96 h, at 3.5–4 × 10<sup>6</sup> cells/ml. Cell culture supernatant of HIV-1-infected CD4 T cells and Mock CD4 T cells was replaced with fresh complete medium supplemented with 100 U/ml IL-2 (PeproTech) after 48 h.

#### Enrichment of HIV-1-infected CD4 T cells

Enrichment of HIV-infected cells (HIV-1 p24 positive, CD4 negative) was conducted based on previously described protocols (Davis *et al*, 2011). In brief, HIV-1-exposed CD4 T cells were incubated with 25 U/ml Benzoylase Nuclease (Merck Millipore Novagen) for 30 min at 37°C, 5% (v/v) CO<sub>2</sub>, and then washed. Infected cells were subsequently enriched through depletion of CD4-expressing T cells using EasySep Human CD4 Positive Selection Kit II (Stemcell). CD4 (–) cells in the supernatant were collected and used for downstream applications.

#### High-throughput functional analysis and TRAIL expression in NK cell subsets

NK cells were enriched from previously cryopreserved PBMCs and cultured overnight in complete medium supplemented with 50 U/ml IL-2 and 5 ng/ml IL-15 (PeproTech) at 37°C, 5% (v/v) CO<sub>2</sub>. The next day, NK cells were co-incubated with autologous enriched HIV-1-infected (NL4-3, MOI = 0.01) CD4(–) T cells in complete-medium supplemented with 50 U/ml IL-2 and 5 ng/ml IL-15 (PeproTech) at an effector:target ratio of 1:2 for 5 h at 37°C, 5% (v/v) CO<sub>2</sub>, in the presence of αCD107a-BV510 (BioLegend, clone H4A3). Cells were washed and then incubated with LIVE/DEAD Fixable Blue Dead Cell Stain (Invitrogen) in staining buffer (Dulbecco's Phosphate Buffered Saline (DPBS, Sigma Aldrich) + 2% (v/v) FBS + 1 mM EDTA (Sigma Aldrich)). Cells from up to four donors were then barcoded using αCD45 (BioLegend, clone 2D1) conjugated to AF700 or BV605: donor A: none; donor B: AF700; donor C: BV605; and donor D: AF700 + BV605 (Akkaya *et al*, 2016). Multiplexed cells were additionally labeled with αCD3-PerCP-Cy5.5 (BioLegend, clone UCHT1), αCD14-PerCP-Cy5.5 (BioLegend, clone HCD14), αCD16-BV785 (BioLegend, clone 3G8), αCD19-PerCP-Cy5.5 (BioLegend, clone HIB19), αCD56-BUV395 (BD Biosciences, clone NCAM16.2), αCD57-PE-Dazzle594 (BioLegend, clone HNK-1), αKIR2DL2/L3/S2-BV711 (BD Biosciences, clone DX27), αKIR3DL1-BV421 (BioLegend, clone DX9), αKIR2DL1/KIR2DS5-FITC (R&D Systems, clone 143211), αNKG2A-PC7 (Beckman Coulter, clone Z199), and αNKG2C-PE (Miltenyi Biotec, clone REA205). Cells of each donor were washed, merged together, and then individually stained with pre-titrated APC-conjugated antibodies for up to 327 selected surface antigens (Table EV1) and additional controls present in the human MACS marker screen (Miltenyi Biotec, Germany) following the manufacturer's instructions. Cells were fixed using 1× CellFIX (BD Biosciences) and stored in staining buffer until flow cytometric data acquisition. FMO controls were used to define gates and assess relative frequency of cells positive for each marker.

For independent validation and further in-depth analyses of the results obtained at the MACS marker screen, the experimental setup was repeated with the following modifications: HIV-1 *in vitro* infection of CD4 T cells was performed at an MOI of 0.005. Culture conditions with no target cells and Mock CD4 T cells were added. Antibody labeling for multiplexing, identification of NK cell subsets, and assessment of CD107a expression were performed as described above. LIVE/DEAD Fixable Near-IR Dead Cell Stain (Invitrogen) was

used for discrimination of viable and dead cells. Cells were not distributed for antibody labeling of the MACS marker screen but only labeled with  $\alpha$ TRAIL-APC (Miltenyi Biotec, clone RIK-2.1). NK cell subsets subject to further analyses comprised CD56Dim, CD56Bright, KIR-educated, NKG2A-educated, and uneducated NK cells. Definition of the education status of NK cells was based on the expression of the inhibitory receptors KIR2DL1/L2/L3, KIR3DL1, and NKG2A and the underlying HLA class I genotype. KIR-educated cells were defined as expressing at least one self-inhibitory KIR (2DL1/L2/L3, 3DL1) and being negative for NKG2A and NKG2A-educated cells as expressing NKG2A but lacking self-inhibitory KIR, and uneducated cells as lacking self-inhibitory KIR and NKG2A altogether.

#### Assessment of expression levels of death and decoy receptors

Ninety-six hours post-infection with NL4-3, WITO, CH198, CH236, JR-CSF, or no virus (Mock) CD4 T cells were treated with 25 U/ml Benzonase Nuclease (Merck Millipore Novagen) for 30 min at 37°C, 5% (v/v) CO<sub>2</sub>. Cells were washed and then incubated separately in the presence of  $\alpha$ CD45 (BioLegend, clone 2D1) conjugated to different fluorochromes for multiplexing (Akkaya *et al*, 2016). Cells were washed again, the different virus conditions of each donor were merged and stained with LIVE/DEAD Fixable Near-IR Dead Cell Stain (Invitrogen) as well as  $\alpha$ CD3 (BioLegend, clone UCHT1) and  $\alpha$ CD4 (BD Biosciences, clone RPA-T4) at 4°C. Cells were washed and then stained for 30 min with  $\alpha$ DR4-Biotin (Miltenyi Biotec, clone DJR1) and  $\alpha$ DR5-Biotin (Miltenyi Biotec, clone DJR2-4). Cells were washed before incubation with 0.2  $\mu$ g/ml Streptavidin-PE (BioLegend) for 20 min at 4°C. Intracellular staining of HIV gag was performed using BD Cytotfix/Cytoperm Fixation/Permeabilization kit (BD Biosciences) and  $\alpha$ p24-FITC (Beckman Coulter, clone KC57). Cells were fixed with 1 $\times$  CellFIX (BD Biosciences) and stored at 4°C until flow cytometric data acquisition. For the assessment of decoy receptor expression, CD4 T cells were infected with NL4-3 or no virus (mock). Four days post-infection, cells were treated with 25 U/ml Benzonase Nuclease (Merck Millipore Novagen) for 30 min at 37°C, 5% (v/v) CO<sub>2</sub>. Cells were washed and then incubated with LIVE/DEAD Fixable Near-IR Dead Cell Stain (Invitrogen),  $\alpha$ CD3 (BioLegend, clone UCHT1),  $\alpha$ CD4 (BD Biosciences, clone RPA-T4), and goat  $\alpha$ DcR1 (R&D Systems, polyclonal) at 4°C for 20 min. Cells were washed again and incubated with  $\alpha$ goat-IgG-PE (R&D Systems, polyclonal) for additional 20 min at 4°C. Cells were permeabilized and stained for intracellular HIV gag using BD Cytotfix/Cytoperm Fixation/Permeabilization kit (BD Biosciences) and  $\alpha$ p24-FITC (Beckman Coulter, clone KC57). Cells were fixed with 1 $\times$  CellFIX (BD Biosciences) and stored at 4°C until flow cytometric data acquisition.

#### Antibody-mediated blocking of TRAIL

Blocking of TRAIL interactions was conducted using a soluble TRAIL antibody (BioLegend, clone RIK-2). For co-incubation and blocking experiments with 721.221, isolated NK cells were resuspended in complete medium supplemented with 100 U/ml IL-2 and 10 ng/ml IL-15 (PeproTech) and incubated for 3 days to induce TRAIL expression (Kayagaki *et al*, 1999b; Balzarolo *et al*, 2013). TRAIL expression was monitored by flow cytometry using  $\alpha$ TRAIL-APC (Miltenyi Biotec, clone RIK-2.1). TRAIL expression after 3 days was 81.3%  $\pm$  8.6% (Mean  $\pm$  SD). For TRAIL-blocking experiments, NK cells were resuspended at a concentration of 5  $\times$  10<sup>5</sup> cells/ml

and were pre-incubated with 20  $\mu$ g/ml purified  $\alpha$ TRAIL or 20  $\mu$ g/ml purified IgG1,  $\kappa$  isotype control antibody (BioLegend, clone MOPC-21) in complete medium supplemented with 100 U/ml IL-2 and 10 ng/ml IL-15 for 30 min at 37°C, 5% (v/v) CO<sub>2</sub>. For DR4/DR5-blocking experiments, 721.221 were pre-incubated with 20  $\mu$ g/ml purified  $\alpha$ DR4 (AdipoGen, clone HS101) and 20  $\mu$ g/ml purified  $\alpha$ DR5 (AdipoGen, clone HS201) or the same amount of purified IgG1 isotype control antibody (AdipoGen, clone 15H6) for 30 min at 37°C, 5% (v/v) CO<sub>2</sub>. After pre-incubation NK cells and 721.221 were co-incubated at an effector:target ratio of 1:1 in the presence of  $\alpha$ CD107a-BV510 (BioLegend, Clone H4A3) for 5 h at 37°C, 5% (v/v) CO<sub>2</sub>. During co-incubation, the respective blocking antibodies also remained present (final concentration per blocking antibody: 10  $\mu$ g/ml). At the end of the co-incubation, cells were spun down and supernatants were stored at  $-20^{\circ}\text{C}$  for further analysis by ELISA. Cells were stained with the viability dye LIVE/DEAD Fixable Near-IR (Invitrogen),  $\alpha$ CD3-PerCPCy5.5 (BioLegend, clone UCHT1),  $\alpha$ CD14-PerCPCy5.5 (BioLegend, clone HCD14),  $\alpha$ CD16-BV785 (BioLegend, clone 3G8),  $\alpha$ CD19-PerCPCy5.5 (BioLegend, clone HIB19), and  $\alpha$ CD56-BUV395 (BD Biosciences, NCAM16.2). Thereafter, cells were fixed with 1 $\times$  CellFIX (BD Biosciences) and analyzed using a BD LSRFortessa (BD Biosciences).

For co-incubation with autologous HIV-1-infected (NL4-3) CD4 T cells, NK cells, isolated from cryopreserved PBMCs, were stimulated overnight in complete medium supplemented with 100 U/ml IL-2 and 10 ng/ml IL-15 (PeproTech) to induce TRAIL expression. This modification was necessary due to the different workflow to generate autologous HIV-1-infected CD4 T cells. TRAIL expression was monitored by flow cytometry using  $\alpha$ TRAIL-APC (Miltenyi Biotec, clone RIK-2.1). TRAIL expression after overnight incubation was 69.8%  $\pm$  8.3% (Mean  $\pm$  SD). According to the previous description, NK cells were resuspended at a concentration of 5  $\times$  10<sup>5</sup> cells/ml and were pre-incubated with 20  $\mu$ g/ml purified  $\alpha$ TRAIL or 20  $\mu$ g/ml purified IgG1,  $\kappa$  isotype control antibody for 30 min at 37°C, 5% (v/v) CO<sub>2</sub>. Then, NK cells and HIV-1-infected CD4 T cells were co-incubated at an effector:target ratio of 1:2 in the presence of  $\alpha$ CD107a-BV510 (BioLegend, Clone H4A3) for 5 h at 37°C, 5% (v/v) CO<sub>2</sub>. During co-incubation, the respective blocking antibodies also remained present (final concentration per blocking antibody: 10  $\mu$ g/ml). Subsequent antibody labeling and analysis were carried out as described above.

#### TRAIL cross-linking

Immobilized (plate-coated) antibodies and proteins were used to induce clustering of various NK cell receptors and subsequent degranulation. Isolated NK cells were washed, resuspended in complete medium supplemented with 100 U/ml IL-2 and 10 ng/ml IL-15 (PeproTech), and incubated for 3 days to induce TRAIL expression (Kayagaki *et al*, 1999b; Balzarolo *et al*, 2013). TRAIL expression was monitored by flow cytometry using  $\alpha$ TRAIL-APC (Miltenyi Biotec, clone RIK-2.1). Mean TRAIL expression after 3 days was 87%  $\pm$  6.9% (Mean  $\pm$  SD). Sterile non-tissue culture-treated flat-bottom 96-well plates (Corning) were coated with purified  $\alpha$ TRAIL (BioLegend, clone RIK-2), purified  $\alpha$ NKG2D (BioLegend, clone 1D11), purified  $\alpha$ NKp46 (Miltenyi Biotec, clone 9E2), purified IgG1,  $\kappa$  Isotype control antibody (BioLegend, clone MOPC-21), human DR4 protein (Abcam), human DR5 protein (Abcam), or human IgG (ThermoFisher Scientific) diluted in PBS at three

different concentrations (1, 5, and 10  $\mu\text{g/ml}$ ) or were left uncoated (PBS). Coated plates were incubated at 4°C for at least 24 h. Before use, plates were washed six times with PBS. Directly thereafter, isolated NK cells were distributed ( $2 \times 10^4$  cells/well) on coated plates and incubated for 5 h at 37°C, 5% (v/v)  $\text{CO}_2$  in the presence of  $\alpha\text{CD107a-BV510}$  (BioLegend, Clone H4A3). After incubation, supernatants were collected and stored at  $-20^\circ\text{C}$  for further analysis. Cells were stained with the viability dye LIVE/DEAD Fixable Near-IR (Invitrogen),  $\alpha\text{CD3-PerCP-Cy5.5}$  (BioLegend, clone UCHT1),  $\alpha\text{CD14-PerCP-Cy5.5}$  (BioLegend, clone HCD14),  $\alpha\text{CD16-BV785}$  (BioLegend, clone 3G8),  $\alpha\text{CD19-PerCP-Cy5.5}$  (BioLegend, clone HIB19), and  $\alpha\text{CD56-BUV395}$  (BD Biosciences, NCAM16.2). For analysis by flow cytometry, cells were subsequently fixed with  $1 \times$  CellFIX (BD Biosciences). Acquisition was carried out using a BD LSRFortessa (BD Biosciences).

#### Conjugate assay

To assess the impact of TRAIL interactions on the ability of NK cells to attach to target cells, we performed a conjugate assay as previously described (Burshtyn *et al*, 2000). In brief, enriched primary NK cells were incubated for 3 days in complete medium supplemented with 100 U/ml IL-2 and 10 ng/ml IL-15 (PeproTech) to induce TRAIL expression. TRAIL expression was assessed by flow cytometry using  $\alpha\text{TRAIL-APC}$  (Miltenyi Biotec, clone RIK-2.1). Effector and target cells were individually labeled with a viability dye (LIVE/DEAD Fixable Near-IR, Invitrogen) and fluorescent dyes (NK cells: 2 mM CellTracker Violet BMQC, Invitrogen; 721.221: 1 mM CellTracker Orange CMTMR, Invitrogen). After exclusion of dead cells, NK cells were identified as events positive for CellTracker Violet. Conjugates of NK cells and 721.221s were determined as events positive for both, CellTracker Violet and Orange. The relative frequency (%) of NK cells in conjugates was determined as events in conjugates in relation to the total number of NK cells.

#### Enzyme-linked immunosorbent assay (ELISA)

Release of granzyme B and the production of  $\text{IFN}\gamma$  in *in vitro* NK cell assays were determined through commercially available ELISA kits. The limit of detection was 0.2 pg/ml for the Granzyme B ELISA kit (Invitrogen) and 2 pg/ml for the Human  $\text{IFN}\gamma$  ELISA kit (Invitrogen), respectively.

#### Phospho-Epitope staining

Immobilized (plate-coated) antibodies were used to induce clustering of various NK cell receptors and subsequent signaling cascades. Enriched NK cells were cultured in complete medium supplemented with 100 U/ml IL-2 and 10 ng/ml IL-15 (PeproTech) for 4 days to induce TRAIL expression. Sterile non-tissue culture-treated flat-bottom 96-well plates (Corning) were coated with either purified  $\alpha\text{TRAIL}$  (BioLegend, clone RIK-2), purified  $\alpha\text{NKG2D}$  (BioLegend, clone 1D11), purified  $\alpha\text{NKp46}$  (Miltenyi Biotec, clone 9E2), and purified  $\text{IgG}_1$   $\kappa$  Isotype control antibody (BioLegend, clone MOPC-21), diluted in PBS at 10  $\mu\text{g/ml}$  or were left uncoated (PBS). Coated plates were incubated at 4°C for at least 24 h. Before use, plates were washed six times with PBS. Directly thereafter, isolated NK cells were distributed ( $1 \times 10^5$  cells/well) on coated plates, and incubated for 30 min at 37°C, 5% (v/v)  $\text{CO}_2$ . Cells were immediately fixed in BD Phosflow Fix Buffer I (BD Biosciences) for 10 min at 37°C, washed, and then permeabilized by incubating cells in BD

Phosflow Perm Buffer III (BD Biosciences) for 30 min on ice. Cells were then washed and individually labeled with the following phospho-epitope-specific antibodies: PE mouse  $\alpha\text{PLC-}\gamma 2$  (pY759), PE mouse  $\alpha\text{Syk}$  (pY348), PE mouse  $\alpha\text{p38 MAPK}$  (pT180/pY182), and PE mouse  $\alpha\text{Akt}$  (pS473) (all BD Biosciences). Afterwards, cells were washed and fixed in  $1 \times$  CellFIX (BD Biosciences). Acquisition of cells was carried out using a BD LSRFortessa (BD Biosciences).

#### Cytotoxicity assays

Three cytotoxicity assays were performed to confirm the impact of TRAIL on NK-cell-mediated cytotoxicity. Enriched NK cells were cultured in complete medium supplemented with 100 U/ml IL-2 and 10 ng/ml IL-15 (PeproTech) for 3 days to induce TRAIL expression. 721.221 cells (DR4/5 positive), .221-Cas9 (DR4/5 positive), and Raji-DR5<sup>++</sup> (overexpression of DR5) were used as target cells; and .221-DR4/5KO (DR4/5 knockout) and Raji-pSIP (baseline expression of DR5) cells served as control cells for their respective counterparts. Effector, control, and target cells were individually labeled with the following fluorescent dyes: CellTracker Violet BMQC, CellTracker Orange CMTMR, and Celltrace Far Red (all Invitrogen). TRAIL blocking condition:  $1 \times 10^5$  NK cells were pretreated with either  $\alpha\text{TRAIL}$  or isotype control (20  $\mu\text{g/ml}$ ) for 30 min and then co-cultured with 721.221 cells at an E:T ratio of 1:1. DR4/5KO condition:  $1 \times 10^5$  NK cells were co-cultured with .221-DR4/5-KO and .221-Cas9 cells at an E:C:T ratio of 1:0.5:0.5. DR5 overexpression condition:  $1 \times 10^5$  NK cells were co-cultured with Raji-pSIP and Raji-DR5<sup>++</sup> at an E:C:T ratio of 1:0.5:0.5. Conditions without the addition of NK cells served as references (“No NK” control). Cell suspensions were cultured for 5 h in 5-ml Polystyrene round-bottom tubes (Corning) at a final volume of 200  $\mu\text{l}$  at 37°C, 5% (v/v)  $\text{CO}_2$ . After incubation, 25  $\mu\text{l}$  of precision counting beads (BioLegend) were added and cells were immediately counted at a BD LSRFortessa (BD Biosciences). Data analysis: Cell counts were normalized to 10,000 counting beads. For the TRAIL blocking condition, relative frequency of remaining cells compared to the “No NK” control was used as a readout. For the DR5 overexpression and DR4/5KO conditions, specific lysis of designated target cells was calculated as previously described (Stary *et al*, 2020) with the following equation:  $[1 - (\# \text{control cells} / \# \text{target cells})_{\text{no NK cells}} / (\# \text{control cells} / \# \text{target cells})_{\text{with NK cells}}] \times 100$ .

#### HLA class I genotyping

DNA was extracted from previously cryopreserved PBMCs using DNeasy Blood and Tissue kit (QIAGEN). Samples were sent to DKMS Life Science Lab (Dresden, Germany) where genotyping for HLA class I alleles was performed. Genotypic data of donors displayed in Fig 2 are stated in Table EV2.

#### Data acquisition and statistical analysis

Acquisition of flow cytometry data was performed on a BD LSRFortessa (BD Biosciences) and further analyzed using FlowJo software v10.7 (FlowJo, LLC, BD Life Sciences). Quantification of granzyme B and  $\text{IFN}\gamma$  through ELISAs was performed on a Safire2 microplate reader (Tecan Austria, GmbH). Statistical analyses and graphical display of the data were conducted using GraphPad Prism, version 9 (GraphPad Software, La Jolla, CA, USA). Data of samples, for which processing and technical errors could not be excluded, as well as data of cell populations (% of positive cells), for which the parent



population (denominator of the %) was < 50 cells, were set as missing, and analyses performed on available (non-missing) data. Hence, the total number of individuals analyzed in the high-throughput screen ( $n = 19$ ) might not represent the number of individuals analyzed for each single of the 327 surface markers investigated (ranging from  $n = 13$  to  $n = 19$ ). Non-parametric statistical tests were applied to test for differences between groups. Wilcoxon signed-rank test was used for two groups with paired values. For the MACS marker screen, paired comparisons of CD107a<sup>+</sup> and CD107a<sup>-</sup> NK cell subsets were adjusted for multiplicity using the Benjamini and Hochberg false discovery rate (FDR) (Benjamini & Hochberg, 1995). Differential expression was assumed if a median intra-donor difference of > 5% p.p. between CD107a<sup>+</sup> and CD107a<sup>-</sup> NK cells and simultaneously FDR-adjusted  $P$ -values < 0.05 were present. For all other subsequent specific analyses, Bonferroni correction was used and applied to comparisons of interest. Spearman's  $\rho$  was used to test for association between two parameters. Calculation of HIV-I-specific responses was carried out as follows: HIV-I-specific response = [%CD107a<sup>+</sup> NK cells (HIV) - %CD107a<sup>+</sup> NK cells (Mock)]/[100 - %CD107a<sup>+</sup> NK cells (Mock)] \* 100. Calculation of relative fluorescence intensity (RFI) was conducted as follows: RFI = [MdfI DR4/5/MdfI secondary ab control] - 1. Statistical parameters are stated in the results section as well as in the figure legends.

## Data availability

This study includes no data deposited in external repositories. Raw data storage is performed by the Leibniz Institute of Virology on an internal server. Raw data will be made available upon request and can be shared after confirming that data will be used within the scope of the originally provided informed consent.

**Expanded View** for this article is available online.

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## Author contributions

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## Disclosure and competing interests statement

The authors declare that they have no conflict of interest.

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