# ORIGINAL RESEARCH SPUR: A Patient-Reported Medication Adherence Model as a Predictor of Admission and Early Readmission in Patients Living with Type 2 Diabetes

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Purpose: Poor medication adherence (MA) is linked to an increased likelihood of hospital admission. Early interventions to address MA may reduce this risk and associated health-care costs. This study aimed to evaluate a holistic Patient Reported Outcome Measure (PROM) of MA, known as SPUR, as a predictor of general admission and early readmission in patients living with Type 2 Diabetes. Patients and Methods: An observational study design was used to assess data collected over a 12-month period including 6-month retrospective and 6-month prospective monitoring of the number of admissions and early readmissions (admissions occurring within 30 days of discharge) across the cohort. Patients (n = 200) were recruited from a large South London NHS Trust. Covariates of interest included: age, ethnicity, gender, level of education, income, the number of medicines and medical conditions, and a Covid-19 diagnosis. A Poisson or negative binomial model was employed for count outcomes, with the exponentiated coefficient indicating incident ratios (IR) [95% CI]. For binary outcomes (Coefficient, [95% CI]), a logistic regression model was developed.

**Results:** Higher SPUR scores (increased adherence) were significantly associated with a lower number of admissions (IR = 0.98, [0.96, 1.00]). The number of medical conditions (IR = 1.07, [1.01, 1.13]), age  $\geq 80$  years (IR = 5.18, [1.01, 26.55]), a positive Covid-19 diagnosis during follow-up (IR = 1.83, [1.11, 3.02]) and GCSE education (IR = 2.11, [1.15, 3.87]) were factors associated with a greater risk of admission. When modelled as a binary variable, only the SPUR score (-0.051, [-0.094, -0.007]) was significantly predictive of an early readmission, with patients reporting higher SPUR scores being less likely to experience an early readmission. **Conclusion:** Higher levels of MA, as determined by SPUR, were significantly associated with a lower risk of general admissions and early readmissions among patients living with Type 2 Diabetes.

**Keywords:** predictive model, logistic regression, patient reported outcome measure, type 2 diabetes

### Introduction

In 1997, a systematic review of interventions to support patients with prescribed medicines was conducted by Haynes et al.<sup>1</sup> The review concluded that the potential benefits of such interventions, which were often complex and difficult to deliver, were limited by the current level of medication adherence achieved by patients. The World Health Organisation (WHO) later quoted Haynes et al in  $2001^2$  with a call to action for a systems approach to improving the management of chronic conditions, stating that increasing the effectiveness of adherence interventions may have a far greater impact on the health of the population than any improvement in specific medical treatments.

Despite this call to action, over two decades later conservative estimates suggest that the cost of non-adherence to health-care systems sits somewhere between €1.25Bn to \$290Bn per year across parts of Europe and the US.<sup>2,3</sup>

The staggering costs associated with non-adherence are somewhat less surprising when we begin to quantify the extent of the issue. Although adherence rates are highly dependent on factors such as the clinical condition, type and/or complexity of drug therapy, many studies cite within the range of approximately only 30-75% of patients taking their medications as prescribed.<sup>4-11</sup> Poor adherence is associated with worsening clinical outcomes in the hospital setting,

which include an increased risk of admission,<sup>12</sup> mortality,<sup>13</sup> and length of stay.<sup>14</sup> These cases are often preventable<sup>12</sup> and present significant economic implications, particularly in the event of early readmissions (admissions occurring within 30 days of discharge) whereby financial penalties have been brought against hospitals in the UK since 2011 for these events.<sup>15</sup> Conversely, interventions specifically designed to support patients with their medicines have shown encouraging improvements in mortality, readmission risk and primary medication adherence in areas such as cardiovascular disease,<sup>16</sup> mental health,<sup>17</sup> general medicine,<sup>18</sup> and post-surgical care.<sup>19</sup> The positive effects associated with these interventions have also extended into the post-discharge phase, particularly when led by pharmacists, with improvements to not only readmission rates, but also general attendance to emergency departments and reduced health-care costs in secondary care settings.<sup>18,20,21</sup>

The desire for effective medication adherence interventions is clear, with significant evidence available to support their role in improving patient care and reducing health-care utilisation. Such interventions have seen particular development and advancements through the lens of digital, mobile, and technological health innovation in more recent years such as automated medication reminders, electronic cap monitors, and applications designed for patient education.<sup>22–24</sup> However, as emphasised by Pal et al,<sup>24</sup> such advancements are not a technological panacea given the complex relationship between adherence and socio-clinical factors such as the impact of interpersonal relationships, communication with health-care professionals, and patient motivation/self-efficacy as a few examples of factors that impact adherence outcomes. Furthermore, as discussed by Granger and Bosworth,<sup>22</sup> although many factors have been associated with poor adherence, their use in predictive application is limited by the absence of an available strong predictive model.

Previous work by Rosen et al<sup>25</sup> sought to develop a predictive model of 30-day readmission using retrospective medication adherence data derived from the 4-item Morisky Green Levine Scale (MGL Scale)<sup>26</sup> completed by 385 inpatients from a tertiary care centre in Los Angeles. Although widely used as a quasi-gold-standard patient-reported outcome measure (PROM) of medication adherence, the MGL Scale has previously demonstrated low internal consistency estimates of reliability (<0.7) and poor predictive validity in some populations.<sup>26</sup> Furthermore, despite its simple 4-item design and ease of implementation, the MGL Scale fails to address the specific drivers of poor medication adherence behaviour that are both numerous and complex.<sup>27</sup> To this extent, development of a predictive model that integrates data derived from a PROM with such a specific scope of adherence reporting may fail to fully address the holistic nature of medication adherence behaviour. Notably, both the validity and reporting of PROMs of medication adherence, particularly in Type 2 diabetes, have seen scrutiny in recent years despite the wide variety of PROMs currently available.<sup>28,29</sup> These findings were in part due to poor uptake of standardised validation guidance, such as the COSMIN<sup>30</sup> criteria, that supports the development and validation of PROMs. Through the design and delivery of an international multi-arm series of studies in line with the COSMIN criteria, the SPUR tool has been developed as a holistic PROM to assess medication adherence behaviour in patients with long-term conditions. The proposed SPUR model framework<sup>31</sup> and initial model development<sup>32</sup> process have been reported previously. Furthermore, psychometric properties for populations based in the US<sup>33</sup> and France<sup>34</sup> have been reported, in addition to a UK-based cohort of patients living with type 2 diabetes (VMATT2)<sup>35</sup> that produced a more concise SPUR tool – SPUR-27. This study sought to develop a predictive model of early readmission and general admission risk using the SPUR-27 tool as a holistic PROM of medication adherence.

# **Materials and Methods**

### Study Design

Data for this study were derived from a cohort of previously recruited participants (n = 200) from Kingston NHS Foundation Trust in the cross-sectional phase of the preceding VMATT2 study, which sought to demonstrate the psychometric properties of SPUR-27 in patients living with type 2 diabetes. Subsequently, observational cohort data for recruited participants were collected over a 12-month period that comprised hospital admission data both 6 months before and after participation in the VMATT2 study. Hence, this study followed an observational design in line with the STROBE<sup>36</sup> cohort guidelines and is reported as such. The study (VMATT2) protocol and documentation were submitted

via IRAS (ID:270768) for review with approval received from the NHS Health Research Authority (HRA) research ethics committee (Ref:19/NW/0685) in December 2019. The recruitment of the first and final participants for the VMATT2 study took place in January 2020 and October 2021, respectively, hence the period of observational data collected ranged between July 2019 and March 2022. Participants were provided with a patient information sheet and written informed consent was obtained prior involvement in the study. Observational data were derived from the Trust's Electronic Health Record (EHR) and handled in accordance with the Trust's data protection and patient confidentiality policies. The present study was conducted in compliance with the ethical principles for research documented in the Declaration of Helsinki.

### Sample Characteristics

Participants eligible for the VMATT2 study were  $\geq 18$  years of age, prescribed  $\geq 1$  antiglycaemic agent and able to speak English. Participants had a minimum 6-month history of prescribed medications. Excluding factors included participants with significant co-morbidities that may affect adherence, eg, active cancer, severe psychiatric illness, or registration with another study at the time of recruitment that involved an investigational medicinal product. More specifically, the research team considered the unique impact and experience of cancer diagnoses and treatment on drivers of medication adherence behaviour, such as interpersonal relationships and health-care utilisation, that led to the exclusion of this patient group at this stage of development for SPUR-27.<sup>37</sup> Severe psychiatric illness was defined as those experiencing significant and debilitating psychological impairment or cognitive deficits that limited occupational or functional activity. Therefore, this would also prevent individuals from completing the consent form/survey independently or with adequate capacity and hence was an exclusion criteria for this study. All participants included in the observational analysis had completed the SPUR-27 tool in addition to providing socio-clinical data included in the questionnaire.

### Variables of Interest

The outcome variables of interest included the number of admissions 6 months before and after completing the SPUR-27 tool, as well as number of early readmissions (admissions occurring within 30 days of discharge) within the observational period. The count outcomes were further dichotomized to indicate whether the patient was admitted or re-admitted early, which is useful clinically when considering those patients who may benefit most from any targeted intervention. Clinical data, which included admission data during the observational period, were derived from the EHR. The main variable of interest assessed as part of the model was medication adherence (derived from the SPUR-27 tool). The Medication Adherence Rating Scale (MARS),<sup>38</sup> Beliefs about Medicines Questionnaire (General/Specific) (BMQ-G/BMQ-S)<sup>39</sup> and Diabetes Treatment Satisfaction Questionnaire (DTSQ)<sup>40</sup> were validated PROMs previously implemented in the VMATT2 study as comparators for the new SPUR-27 tool. The results for the MARS, BMQ-G/S and DTSQ were used as comparators in this model to examine other PROMs of medication adherence behaviour and treatment satisfaction with respect to predicting admission risk. Two objective measures of adherence, the Medication Possession Ratio (MPR) and HbA1c, were also included. Covariates of interest included: age, ethnicity, gender, level of education, income, Body Mass Index (BMI), the Index of Multiple Deprivation (IMD), the number of prescribed medicines for type 2 diabetes and the number of medical conditions. This study was conducted during the Covid-19 pandemic, therefore any positive diagnosis of Covid-19 during the 12-month observational period was also recorded and included in this model.

# Statistical Analysis

All descriptively reported data were analysed using SPSS version 26.0 for Windows.

For modelling count outcomes, a Poisson or negative binomial model was employed. Akaike's information criterion (AIC) was used to select the appropriate type of model. Potential non-linear effects were modelled as fractional polynomial or restricted cubic spline terms. A link test was used to further check the final model specifications. For the binary version of the outcomes, the same set of covariates were used in logistic regression models. Given the relatively small sample size used in this study,<sup>41</sup> Firth's penalized maximum likelihood estimator was employed for its "remarkably stable performance".<sup>42</sup>

Pseudo  $R^2$  was used for measuring model goodness-of-fit (McFadden's for count outcome and Tjur's for binary outcome models). In addition, for binary outcome models, the area under the receiver operating characteristic curve (AUC) and calibration plots were produced to assess models' discrimination ability and calibration.

### Results

Sociodemographic data were available for the entire cohort previously recruited during the VMATT2 cross-sectional study (n = 200). All participants provided their consent to participate in the follow-up observational study (100% response rate). Participant age, education, and income were collected as ordinal data and are reported as such. The modal age was 70–79 years (n = 74, 37.0%), education was reported predominantly at GCSE level or equivalent (n = 85, 42.5%) and almost three-quarters of participants indicated that they were retired (n = 146, 73.0%). Participants identifying as female represented 36.0% (n=72/200) of the cohort. Most participants were White (n = 152, 76.0%). BMI data were available for all participants (mean  $\pm$  SD, 28.4  $\pm$  5.5) and indicated that a large proportion of participants were above their recommended weight. Almost two-thirds (n=124/200, 62.0%) of participants did not meet a HbA<sub>1c</sub> target of  $\leq$ 7.0% (53mmol/mol). The mean  $\pm$  SD number of antiglycaemic agents and comorbidities were 1.9  $\pm$  0.9 and 6.6  $\pm$  2.7, respectively. The modal IMD rank by decile was 9 indicating (mean  $\pm$  SD, 7.3  $\pm$  2.2) that the cohort was broadly affluent based on the level of deprivation attributed to their postcode during the observational period (Table 1).

Retrospective admission data were available for all participants (n = 200, 100%); however, the complete prospective data set (6-month period following completion of SPUR-27) were limited to a sample of 190 participants as a result of mortality prior to discharge or missing data from the EHR (n = 10) (Table 2). In total, 425 admissions were recorded during the observational period. Of those admissions, 254 (59.7%, n=254/425) were recorded as early readmissions. These early readmissions were reported in 98 participants, providing an early readmission rate of 48% (n=98/200) for this sample. Over half (51.5%, n=103/200) of the participants had  $\geq$ 1 recorded admission in the 6 months prior to completion of the SPUR-27 questionnaire. The figure was similar for admissions in the 6-month follow-up period (56.8%, n=108/190). In total, 71% (n=142/200) of the cohort experienced a minimum of one admission within the observational period with  $\geq$ 1 early readmission being recorded in 69% of cases (n=98/142).

Data for average PROM scores were converted to percentages to assess against the crude cut-off score of 80% that is commonly assigned to the calculation of MPRs to determine whether patients are adherent to their medicines (Table 2).<sup>43</sup> The starkest difference in adherence reporting was observed between scores for MPR and BMQ-G, with 80% (n=160/200) and 22% (n=44/200) of participants recording scores  $\geq$ 80%, respectively. In relation to SPUR-27 as a multifactorial PROM of adherence, 57% (n=116/200) of the participants observed scores  $\geq$ 80% which was reflective of adherence scores reported broadly in the literature for those living with a long-term condition, including type 2 diabetes.

The main variable of interest was the SPUR-27 Score. Other factors were adjusted in regression models to reduce confounding and as such, are exploratory in nature. The modelling results (coefficients and their 95% confidence intervals) are presented in the following two tables (Tables 3 and 4) for the two different types of outcomes (admission within 6-month post-study completion and early readmission within the observational period). For each type of outcome, two models were estimated: outcome as a count or binary variable. For count models, the exponentiated coefficient indicates incident ratios (IR). For example, as shown in Table 3, a one unit increase in SPUR-27 (Coefficient = -0.024, 95% CI, [-0.045, -0.003] is associated with a decrease in the number of admissions by a factor of 0.98 (IR = 0.98, 95%) CI, [0.96, 1.00]). In other words, a higher SPUR-27 score (increased adherence) was associated with a lower number of admissions in the follow-up period. In addition to SPUR-27, the following factors were significantly associated (positive coefficient) with the number of admissions in the 6-month follow-up period as a count variable (IR, 95% CI): age  $\geq 80$ years (IR = 5.18, 95% CI, [1.01, 26.55]), GCSE level education or equivalent (IR = 2.11, 95% CI, [1.15, 3.87]), number of medical conditions (IR = 1.07, 95% CI, [1.01, 1.13]), a positive Covid-19 diagnosis in the 6-month follow-up period (IR = 1.83, 95% CI, [1.11, 3.02]), BMQ-S score (IR = 1.02, 95% CI, [1.00, 1.04]), and HbA1c (IR = 1.02, 95% CI, 1.04)[1.01, 1.03]) (Table 3). However, two factors were MPR score (IR = 0.99, 95% CI, [0.98, 1.00]), and a positive Covid-19 diagnosis within the 6-month period before study completion (IR = 0.65, 95% CI, [0.43, 1.00]) demonstrated significant negative coefficients. When modelled as a binary outcome (Coefficient, 95% CI), a higher HbA1c (0.027, 95% CI, [0.003, 0.050]), a lower SPUR-27 score (-0.048, 95% CI, [-0.094, -0.003]), a lower MPR score (-0.026, 95% CI,

Parameter	Number (n,%)	Mean ± SD	Range	Mode	
Age (n=200)				70–79	
18–29	0, 0%				
30–39	5, 2.5%				
40-49	5, 2.5%				
50–59	19, 9.5%				
60–69	28, 14.0%				
70–79	74, 37.0%				
80+	69, 34.5%				
Gender (n=200)				Male	
Male	128, 64.0%				
Female	72, 36.0%				
Other	0, 0%				
Ethnicity (n=200)				White	
White	152, 76.0%				
Black	6, 3.0%				
Asian	30, 15.0%				
Mixed	3, 1.5%				
Other	9, 4.5%				
Income (n=200)				Retired	
<£14,999	7, 3.5%				
£15,000-£24,999	7, 3.5%				
£25,000-£34,999	7, 3.5%				
£35,000-£44,999	4, 2.0%				
£45,000-£54,999	0, 0%				
£55,000-£64,999	0, 0%				
£65,000-£74,999	I, 0.5%				
>£75,000	6, 3.0%				
Unemployed	22, 11.0%				
Retired	146, 51.9%				
Education (n=200)				GSCE or equivalent	
No formal education	22, 11.0%				
GCSE or equivalent	85, 42.5%				
A-Level or equivalent	34, 17.0%				
Bachelors degree or equivalent	43, 21.5%				
Post-grad degree or equivalent	11, 5.5%				

### Table I Study Sample Characteristics

(Continued)

### Table I (Continued).

Parameter	Number (n,%)	Mean ± SD	Range	Mode
Other	5, 2.5%			
Socio-Clinical Factors (n=200)				
BMI (kg/m <sup>2</sup> )	200, 100%	28.4 ± 5.5	14.7-47.8	
HbA <sub>1c</sub> (%, mmol/mol)	200, 100%	200, 100% 7.7% ± 2.1%, 60.2 ± 16.3 28		
Number of antiglycaemics	200, 100%	1.91 ± 0.9	I-4	I
Number of conditions	200, 100%	6.6 ± 2.7	2–15	4
IMD (Decile) <sup>a</sup>	200, 100%	7.3 ± 2.2	2–10	9

Note:  ${}^{a}IMD$  deciles range I–10, with I being the most deprived and 10 being the least deprived.

Abbreviations: GCSE, General Certificate of Secondary Education; BMI, body mass index; IMD, Index of Multiple Deprivation.

Variable (n)	Range	Mean ± SD	Sum
Number of admissions in the previous 6 months (n=200)	0-12	1.1 ± 1.7	214
Number of admissions in the following 6 months (n=190)	0–11	1.1 ± 1.3	211
Number of early readmissions during the observational period (n=200)	0–22	1.3 ± 2.5	254
MARS Score (% <sup>a</sup> , n=200)	20.0-100	78.3 ± 16.8	
BMQ-S Score (%, n=200)	40.0-100	77.8 ± 12.1	
BMQ-G Score (%, n=200)	22.5-100	66.2 ± 16.4	
DTSQ Score (%, n=200)	0–100	81.2 ± 16.8	
SPUR-27 Score (%, n=200)	55.1–98.2	80.9 ± 9.4	
MPR Score (%, n=200)	15.6-100	88.7 ± 19.8	

#### Table 2 Admissions & Adherence

**Note**: <sup>a</sup>PROM scores were converted to percentages for ease of comparison when discussing relative levels of adherence between the different tools.

**Abbreviations:** SD, standard deviations; MARS, medication adherence rating scale; BMQ-S, beliefs about medicines questionnairespecific; BMQ-G, beliefs about medicines questionnaire-general; DTSQ, diabetes treatment satisfaction questionnaire; SPUR-27, social, psychographic, usage, rationale 27-item questionnaire version; MPR, medication possession ratio.

[-0.049, -0.004]), and a higher number of medical conditions (0.193, 95% CI, [0.061, 0.324]), remained significantly associated with an admission in the follow-up observational period. The IMD decile (higher scores reflecting lower deprivation) was an additional factor that was positively and significantly (0.181, 95% CI, [0.023, 0.339]) associated with an admission.

With respect to early readmissions as a count outcome, only one factor, Covid-19 diagnosis in the 6-month follow-up period, was positively and significantly (IR = 2.90, 95% CI, [1.62, 5.16]) associated with the outcome (Table 4). Inverse associations were observed for patients identifying as female (IR = 0.52, 95% CI, [0.33, 0.83]), and those with an annual income of £65,000-£74,999. The coefficient of income £65,000-£74,999 is very large (-16.248) for the count outcome, suggesting an unrealistically large effect. As explained earlier, the main variable of interest in this study is SPUR-27 score and the purpose of adjusting other factors is to avoid confounding, so their effects should be treated as exploratory in nature. It is worth noting that the number of patients in each income category is very low: for example, there is only one patient with income of £65,000-£74,999 (Table 1), – this lower sample size (n = 1) makes the estimated effect highly

### Table 3 Regression Model Results for Admissions in the 6-Month Follow-Up Period

		Count Model	Binary Model		
	Number of Admissions in Following 6 Months		Did the Patient Have an Admission within the Following 6 Months?		
Variable	Coefficient	95% Confidence Intervals	Coefficient	95% Confidence Intervals	
Age (30–39)	Ref.		Ref.		
4049	1.544	[-0.168, 3.256]	1.613	[-1.727, 4.952]	
50–59	1.158	[-0.470, 2.786]	1.114	[-1.895, 4.124]	
60–69	0.685	[-0.868, 2.239]	0.735	[-2.156, 3.625]	
70–79	1.15	[-0.529, 2.829]	1.088	[-1.918, 4.094]	
80+	l.645*	[0.012, 3.279]	1.777	[-1.301, 4.856]	
Education (No formal education)	Ref.		Ref.		
GCSE or equivalent	0.747*	[0.141, 1.354]	0.822	[-0.322, 1.966]	
A-level or equivalent	0.138	[-0.590, 0.865]	-0.066	[-1.369, 1.237]	
Bachelors degree or equivalent	0.533	[-0.200, 1.266]	0.516	[-0.742, 1.774]	
Post-graduate degree or equivalent	0.578	[-0.306, 1.463]	1.005	[-0.762, 2.771]	
Other	0.298	[-0.761, 1.358]	0.53	[-1.768, 2.829]	
Income ≤£14,999	Ref.		Ref.		
£15,000-£24,999	-1.188	[-3.086, 0.711]	0.282	[-2.905, 3.469]	
£25,000-£34,999	-0.397	[-2.074, 1.279]	0.847	[-1.535, 3.230]	
£35,000-£44,999	0.45	[-1.386, 2.287]	1.186	[-1.204, 3.577]	
£65,000-£74,999	-1.262	[-2.897, 0.373]	-1.648	[-5.859, 2.563]	
≥£75,000	0.632	[-1.146, 2.410]	1.041	[-1.561, 3.644]	
Unemployed	-0.067	[-1.574, 1.439]	0.383	[-1.673, 2.438]	
Retired	-0.149	[-1.639, 1.341]	0.393	[-1.363, 2.149]	
Gender (male)	Ref.		Ref.		
Female	-0.215	[-0.559, 0.130]	-0.155	[-0.854, 0.545]	
Ethnicity (White)	Ref.		Ref.		
Mixed/Multiple Ethnic Groups	-0.261	[-1.227, 0.705]	1.876	[-1.426, 5.179]	
Asian/Asian British	0.447	[-0.021, 0.914]	0.452	[-0.573, 1.477]	
Black/African/Caribbean/Black British	0.793	[-0.727, 2.312]	-0.228	[-2.778, 2.322]	
Other Ethnic Groups - Please Specify	-0.108	[-0.810, 0.595]	-0.452	[-2.060, 1.156]	
Socio-clinical/PROMs	1				
BMI	-0.019	[-0.051, 0.013]	-0.024	[-0.088, 0.039]	
Number of Antidiabetic Medications	0.069	[-0.141, 0.279]	-0.106	[-0.522, 0.309]	
Number of Medical Conditions	0.071*	[0.014, 0.128]	0.193**	[0.061, 0.324]	

(Continued)

	Count Model Number of Admissions in Following 6 Months		Binary Model		
Variable			Did the Patient Have an Admission within the Following 6 Months?		
	Coefficient	95% Confidence Intervals	Coefficient	95% Confidence Intervals	
IMD Decile	0.068	[-0.020, 0.156]	0.181*	[0.023, 0.339]	
Covid within 6 months pre-admission?	-0.425*	[-0.847, -0.004]	-0.333	[-1.340, 0.674]	
Covid within 6 months post-admission?	0.605*	[0.106, 1.105]	1.47	[-0.194, 3.134]	
MARS Score (%)	0.022	[-0.116, 0.159]	0.161	[-0.104, 0.426]	
BMQS Score (%)	0.021*	[0.001, 0.040]	0.019	[-0.017, 0.054]	
BMQG Score (%)	-0.005	[-0.019, 0.009]	-0.004	[-0.029, 0.021]	
DTSQ Score (%)	0.001	[-0.010, 0.011]	-0.003	[-0.028, 0.022]	
HbAlc	0.017**	[0.006, 0.028]	0.027*	[0.003, 0.050]	
Medication Possession Ratio (%)	-0.013***	[-0.021, -0.005]	-0.026*	[-0.049, -0.004]	
SPUR-27 Score (%)	-0.024*	[-0.045, -0.003]	-0.048*	[-0.094, -0.003]	
Constant	-1.706	[-4.080, 0.668]	-1.264	[-6.176, 3.647]	
Observations	190		190		
<sup>a</sup> Pseudo R <sup>2</sup>	0.139		0.234		
AIC	544.65		185.179		
AUC			0.798		

#### Table 3 (Continued).

Notes: \*p < 0.05, \*\*p < 0.01, \*\*\*p < 0.001; \*Pseudo R<sup>2</sup>: McFadden's for count outcomes and Tjur's for binary outcomes.

Abbreviations: GCSE, General Certificate of Secondary Education; PROM, patient-reported outcome measure; BMI, body mass index; IMD, Index of Multiple Deprivation; MARS, medication adherence rating scale; BMQ-S, beliefs about medicines questionnaire-specific; BMQ-G, beliefs about medicines questionnaire-general; DTSQ, diabetes treatment satisfaction questionnaire; HbA1c, glycosylated haemoglobin; MPR, medication possession ratio; SPUR-27, social, psychographic, usage, rationale 27-item questionnaire version; AIC, Akaike information criterion; AUC, area under the curve.

unreliable (this participant happened to have zero early readmissions) so an artificially high effect. A larger sample size is required to better understand the impact of income. Both BMQ-S and HbA1c are found to have a statistically significant and non-linear relationship with the number of early readmissions. A Covid-19 diagnosis in the 6-month follow-up period continued to be a significant (1.692, 95% CI, [0.464, 2.919]) factor associated with early readmission in the binary model, in addition to patients reporting either a GCSE (1.257, 95% CI, [0.054, 2.460]) or A-level (or equivalent) education (1.445, 95% CI, [0.126, 2.763]). The SPUR-27 score was the only factor inversely associated with the binary outcome (-0.051, 95% CI, [-0.094, -0.007]), indicating that patients with a higher SPUR-27 score that were more adherent to their medicines were less likely to experience an early readmission in the observational period.

The calibration plots for the binary outcome models are presented in Figures 1 and 2. Both plots demonstrate that the model is reasonably well calibrated. However, further data external to the population for this study are required to validate the models.

# Discussion

This study sought to develop a predictive model of both general admission and early readmission in patients living with type 2 diabetes using SPUR-27, a novel holistic PROM of medication adherence, in addition to other socio-clinical

### Table 4 Regression Model Results for Early Readmissions in the Observational Period

	Count Model Number of Early Readmissions in the Observational Period		Binary Model Did the Patient Have an Early Readmission During the Observational Period?		
Variable	Coefficient	95% Confidence Intervals	Coefficient	95% Confidence Intervals	
Age (30–39)	Ref.		Ref.		
40-49	0.82	[-1.223, 2.862]	-0.03	[-3.512, 3.452]	
50–59	1.066	[-0.879, 3.011]	-0.317	[-3.002, 2.368]	
60–69	0.046	[-1.752, 1.845]	-0.85	[-3.747, 2.047]	
70–79	0.862	[-1.064, 2.787]	-0.207	[-3.117, 2.703]	
80+	0.993	[-0.967, 2.953]	0.112	[-2.844, 3.068]	
Education (No formal education)	Ref.		Ref.		
GCSE or equivalent	0.328	[-0.502, 1.157]	I.257*	[0.054, 2.460]	
A-level or equivalent	0.428	[-0.416, 1.273]	I.445*	[0.126, 2.763]	
Bachelors degree or equivalent	-0.265	[-1.189, 0.659]	1.263	[-0.042, 2.569]	
Post-graduate degree or equivalent	0.282	[-1.042, 1.607]	0.995	[-0.714, 2.704]	
Other	-0.036	[-1.658, 1.587]	1.531	[-0.739, 3.801]	
Income ≤£14,999	Ref.		Ref.		
£15,000-£24,999	-0.503	[-2.378, 1.372]	-0.541	[-3.406, 2.323]	
£25,000-£34,999	-1.288	[-3.313, 0.737]	-0.622	[-3.108, 1.865]	
£35,000-£44,999	-0.324	[-1.819, 1.170]	0.592	[-1.799, 2.982]	
£65,000-£74,999	-16.248***	[-18.866, -13.630]	-3.813	[-8.240, 0.613]	
≥£75,000	-0.043	[-1.518, 1.432]	0.924	[-1.562, 3.410]	
Unemployed	-0.02	[-1.423, 1.383]	-0.284	[-2.455, 1.888]	
Retired	-0.486	[-1.642, 0.669]	-0.405	[-2.221, 1.412]	
Gender (male)	Ref.		Ref.		
Female	-0.648**	[-1.107, -0.189]	-0.291	[-0.962, 0.380]	
Ethnicity (White)	Ref.		Ref.		
Mixed/Multiple Ethnic Groups	-1.296	[-3.401, 0.808]	-1.387	[-4.137, 1.362]	
Asian/Asian British	0.027	[-0.638, 0.693]	0.28	[-0.720, 1.281]	
Black/African/Caribbean/Black British	0.221	[-1.581, 2.022]	0.451	[-1.698, 2.600]	
Other Ethnic Groups - Please Specify	0.097	[-0.654, 0.847]	0.544	[-1.078, 2.166]	
Socio-clinical/PROMs					
BMI	-0.003	[-0.041, 0.034]	-0.013	[-0.073, 0.046]	
Number of Antidiabetic Medications	-0.151	[-0.413, 0.112]	0.073	[-0.322, 0.468]	
Number of Medical Conditions	0.038	[-0.038, 0.113]	0.096	[-0.027, 0.218]	

(Continued)

	Count Model Number of Early Readmissions in the Observational Period		Binary Model		
			Did the Patient Have an Early Readmission During the Observational Period?		
Variable	Coefficient	95% Confidence Intervals	Coefficient	95% Confidence Intervals	
IMD Decile	0.065	[-0.023, 0.152]	0.088	[-0.063, 0.239]	
Covid within 6 months preadmission?	-0.04	[-0.593, 0.513]	-0.032	[-0.916, 0.852]	
Covid within 6 months post admission?	1.063***	[0.484, 1.641]	1.692**	[0.464, 2.919]	
MARS Score (%)	0.061	[-0.118, 0.240]	0.045	[-0.204, 0.295]	
BMQS Score (%)	Non-linear		0.22	[-0.012, 0.057]	
BMQG Score (%)	0.014	[-0.002, 0.029]	0.007	[-0.017, 0.031]	
DTSQ Score (%)	-0.001	[-0.015, 0.014]	0.011	[-0.013, 0.035]	
HbAlc	Non-linear		0.22	[-0.001, 0.045]	
Medication Possession Ratio (%)	-0.005	[-0.015, 0.006]	Non-linear		
SPUR-27 Score (%)	-0.027	[-0.057, 0.003]	-0.051*	[-0.094, -0.007]	
Constant	-5.684*		-3.378		
Overdispersion parameter	-0.029	[-0.454,0.397]			
Observations	200		200		
Pseudo R <sup>2 a</sup>	0.101		0.13		
AIC	631.302		239.686		
AUC			0.79		

#### Table 4 (Continued).

Notes: \*p < 0.05, \*\*p < 0.01, \*\*\*p < 0.001;  $^a$ Pseudo R<sup>2</sup>: McFadden's for count outcomes and Tjur's for binary outcomes.

Abbreviations: GCSE, General Certificate of Secondary Education; PROM, patient-reported outcome measure; BMI, body mass index; IMD, Index of Multiple Deprivation; MARS, medication adherence rating scale; BMQ-S, beliefs about medicines questionnaire-specific; BMQ-G, beliefs about medicines questionnaire-general; DTSQ, diabetes treatment satisfaction questionnaire; HbA1c, glycosylated haemoglobin; MPR, medication possession ratio; SPUR-27, social, psychographic, usage, rationale 27-item questionnaire version; AIC, Akaike information criterion; AUC, area under the curve.

factors associated with admission risk. The results provide early evidence of the predictive model, with SPUR-27 identified as a significant predictor of both general admission and early readmission.

In total, 425 admissions were recorded during the observational period. Over half of all recorded admissions were classified as early readmissions across a sample of 98 participants, providing an early readmission rate of 48% for this sample. This finding appears to be disproportionately high when compared to early readmission rates in similar sample populations of acute surgical or medical patients, including those with cardiometabolic disease with figures ranging from 7.6% to 19%.<sup>44–48</sup> Covid-19 was considered as a potential factor that may explain this discrepancy given that 22% (n=44/ 200) of the sample reported a positive diagnosis within the observational period. However, similar samples of patients diagnosed with Covid-19 have reported readmission rates closer to ~7.5%.<sup>49,50</sup> Participants for this study were broadly older (>70 years), observed poor adherence to HbA<sub>1c</sub> targets and their medicines, and reported a relatively high mean number of comorbidities ( $6.6 \pm 2.7$ ), hence one might justify some increase in readmission rates on these confounding factors. Conversely, this cohort of patients was found to be affluent in respect to their socio-economic status, therefore one could also argue that a lower readmission rate would be expected in this sample, particularly given the evidence that older patients (>65 years) from deprived areas are more prone to readmission.<sup>47</sup> The very high proportion of early

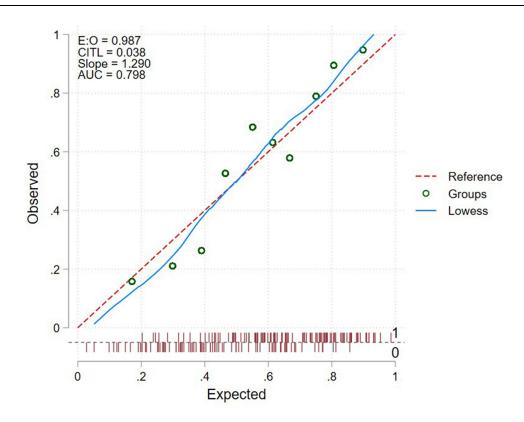


Figure 1 SPUR-27 Binary Outcome Model Calibration Plot: Did the patient have an admission within 6 months post-discharge?. Notes: E:O (Best = 1); CITL (Best = 0); All circles refer to the probability groups (Best = closer to the reference line). Abbreviations: E:O, expected: observed ratio; CITL, calibration in the large index; AUC, area under the curve; LOWESS, locally weight scatterplot smoothing.

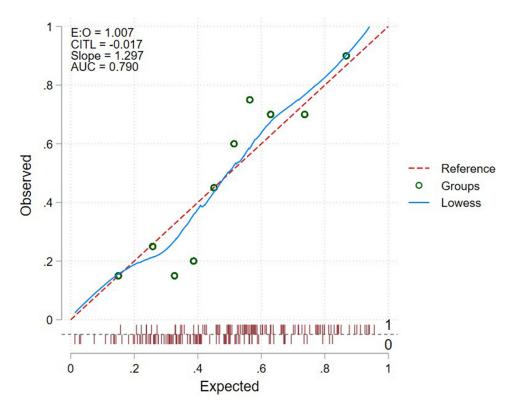


Figure 2 SPUR-27 Binary Outcome Model Calibration Plot: Did the patient have an early readmission within 6 months post-discharge?. Notes: E:O (Best = 1); CITL (Best = 0); All circles refer to the probability groups (Best = closer to the reference line). Abbreviations: E:O, expected:observed ratio; CITL, calibration in the large index; AUC, area under the curve; LOWESS, locally weight scatterplot smoothing.

readmissions identified in this study certainly warrants further investigation given that the case for causality can neither be attributed to poor medication adherence alone, as identified by SPUR-27, nor any other obvious predictive factor.

Although this study was unable to provide a more definitive answer to explain the high proportion of early readmissions, it did successfully describe several factors associated with this outcome as part of the predictive model. Notably, the only factor positively associated with an early readmission in both the binary and count models was a Covid-19 diagnosis in the 6-month follow-up period. Several factors including type 2 diabetes, obesity, male gender, and being over the age of 65 have been linked to an increased risk of Covid-19 morbidity and mortality.<sup>51-54</sup> Aside from type 2 diabetes as an inclusion criterion for this study, the sample was predominantly male (64%), >65 years of age, and overweight when classified using BMI. It is therefore not unexpected that this cohort would be more vulnerable to Covid-19 associated morbidity and mortality that might warrant additional admissions or readmissions within the observational period. Participants who reported their gender as female were significantly less likely than male participants to have an early readmission. This result is reaffirmed by the wider literature which demonstrates that male participants living with type 2 diabetes are more likely to be hospitalised and experience early readmissions.<sup>55,56</sup> Although poorly explored in type 2 diabetes specifically, a few studies have highlighted that participants with lower levels of education have an increased risk of early readmission.<sup>57,58</sup> When compared to participants without a formal education, patients with a Bachelor's degree or higher did not observe a significantly increased risk of early readmission, however those who either reported a GCSE or A-level equivalent education were significantly more likely to experience this outcome. Finally, SPUR-27 was the only PROM to significantly predict the likelihood of an early readmission in the model. Similarly to Rosen et al,<sup>25</sup> patients with higher levels of medication adherence were less likely to experience an early hospital readmission. Neither objective measure of adherence, MPR and HbA<sub>1c</sub> demonstrated any significant association with the outcome variable. Holistic measures, such as SPUR-27, may therefore have an increasingly important role to play in identifying and tackling early readmission risk when compared to other standard PROMs and objective clinical measures.

As with the model of early readmission, a higher SPUR-27 score was also predictive of a lower general admission risk in this population. However, both MPR and HbA<sub>1c</sub> were also significant predictors of general admission in this model, in addition to one PROM, BMQ-S. Surprisingly, the BMQ-S score, which indicates that a patient recognises the necessity of their medicines as well as having low concerns about their potential long-term effects, was associated with an admission. To our knowledge, the BMQ-S has not been used as part of any similar predictive model for admission risk, hence no direct comparison could be drawn from the wider literature. It was hypothesised that the inverse relationship, if any, would be significant, especially given that high necessity and low concern with medicines have been associated with both improved adherence and lower health-care utilisation.<sup>59</sup> Future studies with a larger sample are required to determine the validity of this result. Increasing age (>80 years), comorbidities, and a GCSE level of education were predictive of an admission in this model, however an unexpected factor of interest was a Covid-19 diagnosis in the 6-month prior to study participation, which was associated with a lower risk of admission. The authors previously outlined the role of Covid-19 diagnoses in admission and early readmission risk, particularly for this cohort of patients living with type 2 diabetes.<sup>52,53</sup> One possible explanation may come from Nyland et al<sup>60</sup> and their recent study investigating the initiation of type 2 diabetes treatments, including GLP-1R agonists, pioglitazone, and DPP-4 inhibitors in patients prior to a Covid-19 diagnosis. Most notably, those patients that received a GLP-1R agonist 6 months prior to their Covid-19 diagnosis observed a 33% reduction in hospitalisation. This study did not specifically investigate the initiation of novel therapies for participants either before or during the observational period and is therefore unable to comment on whether medicines such as GLP-1R agonists were prescribed retrospectively in this cohort. However, during the pandemic a "vulnerable" status was applied to people living with type 2 diabetes with respect to Covid-19. Patients may have been more cognizant of their risk and therefore increasingly likely to access healthcare and improve their medication adherence as a result, particularly both during or following a Covid-19 diagnosis, that may have inadvertently reduced the likelihood of a future hospital admission.

Models may be subject to unreliable risk estimates even when they report good discrimination.<sup>61</sup> Furthermore, as emphasized by Van Calster and Vickers,<sup>62</sup> a model with a lower AUC but better calibration may be more useful, particularly in the context of clinical decision-making. Both models observed an AUC close to ~0.8 as well as reasonable calibration. Further data are required for external validation; however, this early evidence of discrimination and

calibration is encouraging for the development of a clinically relevant predictive model in patients living with type 2 diabetes that encompasses a holistic PROM of MA.

Although Firth's penalized maximum likelihood estimator was employed in this study, the sample size was relatively small. Future external validation with a larger sample will help to address this potential limitation. Moreover, based on IMD, there was an overrepresentation of affluent patients within the sample, with 78.5% (n=157/200) reporting an IMD decile  $\geq 6$ . In the binary general admission model, patients with a higher IMD decile (less deprivation) were more likely to experience an admission. This result contradicts the early readmission model that observed lower readmission risk in more affluent patients, as well as the wider literature.<sup>47</sup> Therefore, although the sample was reflective of the local population, further evaluation with patients from a broader range of socioeconomic backgrounds and levels of deprivation should be conducted.

### Conclusion

In summary, this study successfully developed a predictive model for both general admission and early readmissions in patients living with type 2 diabetes. The model also provided early evidence for SPUR-27, a holistic PROM of medication adherence, as a reliable predictor of both outcomes in the study population when compared to other PROMs and objective measures of medication adherence. This finding may have relevance for future work that can look to address the development of tailored intervention pathways based on admission risk among patients with poor medication adherence identified using SPUR-27 both in and out of hospital settings, such as community pharmacies, GP surgeries, nursing homes and domiciliary settings. Several other notable predictors of admission risk that have been previously associated with type 2 diabetes were also identified in the model including age, gender, multimorbidity and perhaps the most novel factor, Covid-19 diagnoses pre- and post-admission. These results add to the existing evidence base as well as highlighting the potential relationship between pre-Covid treatment initiation and reduced admission risk that warrants future exploration. Despite a small sample size, this study employed a number of statistical methods to improve both the performance and clinical relevance of the model, such as the Firth's penalized estimator. Future work is required to conduct external validation of the model and to understand its applicability to broader and more diverse samples of patients living with type 2 diabetes.

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# Disclosure

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