

REVIEW

## Effect and Molecular Mechanisms of Traditional Chinese Medicine on Tumor Targeting Tumor-Associated Macrophages

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Abstract: Traditional Chinese medicine (TCM) has been used as a significant cancer treatment method for many years in China. It has been demonstrated that TCM could assist in inhibiting the growth of tumors and prolonging the survival rates of cancer patients. Although the mechanism of TCM are still not clear, accumulating evidence has shown that they may be related to the tumor microenvironment (TME). Tumor-associated macrophages (TAMs) play a significant role in TME and are polarized to two phenotypes, M1 (classically activated) and M2 (alternatively activated) TAMs. The two different phenotypes of TAMs play converse roles in the TME and M2-polarized tumor-associated macrophages (M2-TAMs) always lead to poor prognosis in cancer patients compared to M1-polarized tumorassociated macrophages (M1-TAMs). In this review, the potential correlation between TCM and TAMs (especially the M2 phenotype) in tumor progression and promising TCM strategies targeting TAMs in cancer are discussed.

**Keywords:** traditional Chinese medicine, TCM, tumor-associated macrophages, TAMs, tumor microenvironment

#### Introduction

Traditional Chinese medicine (TCM) has been used as a very important tumor treatment strategy for many years in China. TCMs and their active ingredients have been shown to enhance the antitumor effects and reduce the toxicity of chemotherapy and radiotherapy, alleviate the symptoms of cancer, and prolong the survival rates of cancer patients in many clinical and preclinical studies.<sup>2–11</sup> Although it is not clear how TCM plays a role in tumors, increasing evidence has shown that the mechanism of TCM may be related to its synergistic effect on regulating the tumor microenvironment (TME). 12,13

Among tumor-infiltrating immune cells, tumor-associated macrophages (TAMs) constitute an important population, and considerable data have indicated that TAM infiltration into tumors always leads to poor prognosis. 14-17 In addition, TAMs are stimulated by different molecules to polarize into two phenotypes, classically activated phenotype (M1) and alternatively activated phenotype (M2) TAMs. The two phenotypes of TAMs, M1-polarized tumor-associated macrophages (M1-TAMs) and M2-polarized tumor-associated macrophages (M2-TAMs), play important roles in the TME by functioning as immune cells and playing different roles in cancer cells. Furthermore, M2-TAMs could diminish effective antitumor immune

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responses, promote angiogenesis and cause vascular permeability to support tumor growth. Therefore, TAM-targeted therapy, including the functional suppression of M2-TAMs and repolarization of M2-like TAMs towards the M1-like phenotype, has emerged as a novel and promising strategy for cancer treatment.<sup>18</sup>

In this review, we suggest a hypothesis that the synergistic effect and molecular mechanisms of TCM in cancer treatment are related to TAMs (especially M2-TAMs) in the TME, and we hope to find promising strategies targeting TAMs for tumor treatment using TCMs and their active ingredients.

## The Mechanisms of TAMs in Tumors

Tumor microenvironment (TME), composed of tumor cells and surrounding stroma, is related to the progression and immunosuppression.<sup>19</sup> of tumor, and metastasis Additionally, one of cancer therapies is remodeling TME.<sup>18</sup> TAMs are a major component of the tumor microenvironment (TME) as immune regulators and potential targets. 14,20,21 TAMs are known to be polarized into two phenotypes, M1 (classically activated) and M2 (alternatively activated) TAMs, and these two types play different roles in the TME. 18,22 Increasing studies have shown that the M1 phenotype exerts tumor resistance effects, while the M2 phenotype promotes tumors in the TME; 18,23 both of these functions are related to their roles as immune cells.

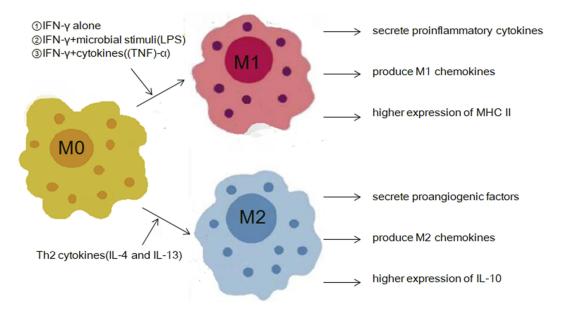
# The Roles of MI-TAMs and M2-TAMs as Pivotal Immune Cells

Considering different stages of tumor development, TAMs could enable a dual role by switching two phenotypes as M1-like phenotype and M2-like phenotype. When in earlystage of tumor progression, TAMs mostly display M1 phenotype to cause tumor cell disruption. Conversely, the majority of TAMs show M2 phenotype in tumoral late-stage, followed the decreased antitumoral capacity. During various stages, there is not only one phenotype of TAMs but M1-polarized TAMs and M2-polarized TAMs coexisting.<sup>24</sup> And the balance of M1 and M2 phenotype determines patients' outcome. The difference between stages is the occupancy rate of two phenotypes TAMs and this rate could be changed by the changeable environment or components, added medicants and various kinds of targets. For example, the removal of apoptotic neutrophils could reverse M1-TAMs to M2-TAMs;<sup>25</sup> the polarization of TAMs to M2 phenotype could be promoted by tumor hypoxia;<sup>26</sup> targeting CSF1/CSF1R axis could repolarize the phenotype of TAMs of M2-like to M1-like.<sup>27</sup> M1-TAMs and M2-TAMs are coexisting and functioning differently in TME, and could repolarize to each other.

The antitumoral capacity of M1-TAMs is always related to the inflammatory response and the activation of specific lymphocytes. Interferon-γ (IFN-γ) could induce M1-TAMs alone or cooperate with cytokines (tumor necrosis factor (TNF)-α) or microbial stimuli (lipopolysaccharide (LPS)) to secrete proinflammatory cytokines, such as (C-X-C motif) ligand 9 (Cxcl9), Cxcl10, Cxcl5, TNF-α, interleukin (IL)-1, IL-6, IL-12, or IL-23, and exert great phagocytic and microbicidal ability. 23,28-34 In addition, M1-TAMs contribute to the higher expression levels of major histocompatibility complex class II (MHC II) molecules and higher secretion levels of IL-12; these changes could induce an antiangiogenic effect by increasing the expression of Cxcl10 or IP-10, which is chemokine inducible protein-10, and promote the bactericidal activity of phagocytes through naïve T cells differentiating into Th1 cells to stimulate the growth of both natural killer (NK) cells and T cells<sup>23,24,28,34</sup> (Figure 1).

In contrast, M2-TAMs play a role in supporting tumor growth by upregulating anti-inflammatory cytokine expression and suppressing inflammation in the tumor immunosuppressive microenvironment (TIM). M2-TAMs are activated by IL-4 and IL-13, known as Th2 cytokines, to downregulate IL-12 and IL-23 and increase the expression level of IL-10, which is an anti-inflammatory cytokine, and conversely, IL-10 expressed by M2 macrophages stimulates Th2 cells to produce IL-4 and IL-13. 23,34-37 The activation of IL-4 or IL-13 accompanied with Fizz-1's high expression, which is an indicator of the polarization from TAMs to M2 phenotype. The functions of Fizz-1 in TME include inflammation, angiogenesis, and cell proliferation. 38-40 In addition, IL-10 could suppress proinflammatory cytokine (including IFN-y, IL-2, IL-3, and tumor necrosis factor-α (TNF-α)) synthesis and inhibit antigen-presenting cells from presenting antigens. Furthermore, M2-TAMs can produce ccl17, ccl22, ccl24, and ccl22 and inhibit CD4+ and CD8+ T cell effector functions and recruit regulatory T cells (Tregs) to the TME<sup>18,28,41-44</sup> (Figure 1).

M1-TAMs secrete proinflammatory cytokines (TNF-α, interleukin (IL)-1, IL-6, IL-12, or IL-23) to exert great phagocytic and microbicidal ability. Additionally, M1-TAMs could promote the bactericidal activity of phagocytes by differentiating naïve T cells into Th1 cells to stimulate the growth of both T and NK cells. M2-TAMs secrete



**Figure 1** Polarization of TAMs and the immune roles of the M1 phenotype and M2 phenotype in the TME. **Abbreviations:** TME, tumor microenvironment; TAMs, tumor-associated macrophages.

proangiogenic factors, such as vascular endothelial growth factor (VEGF) and matrix metallopeptidase 9 (MMP9), to support metastasis and tumor growth. M2 cytokines, such as ccl17, ccl22, and ccl24, could inhibit CD4+ and CD8+ T cell effector functions and recruit regulatory T cells (Tregs) to the TME. In addition, a high level of IL-10 expression suppresses the synthesis of proinflammatory cytokines and inhibits antigen-presenting cells from presenting antigens.

# Direct Effects of M1-TAMs and M2-TAMs on Cancer Cells

As mentioned above, the polarization of TAMs to the M1 phenotype is related to the inflammatory response and the

activation of specific lymphocytes, both of which are methods for attempting to eliminate tumor cells.<sup>29,33,34</sup> Additionally, in the early stage of tumor progression, M1-like phenotype TAMs exert tumor resistance effects by causing tumor cell disruption by expressing high levels of IL-12<sup>34</sup> (Figure 2).

Studies have shown that M2-TAMs could promote cancer progression by supporting the proliferation, migration, and invasion of cancer stem cells. Huang et al<sup>45</sup> found that after co-incubating M2-TAMs with A549 and H441 cells, which are non-small cell lung cancer (NSCLC) cell lines, the progression of cancer stem cells was promoted by increased CD133-positive cell populations, increased mRNA levels of Muc-1, CD133 (stemness), and NF-κB (inflammation),

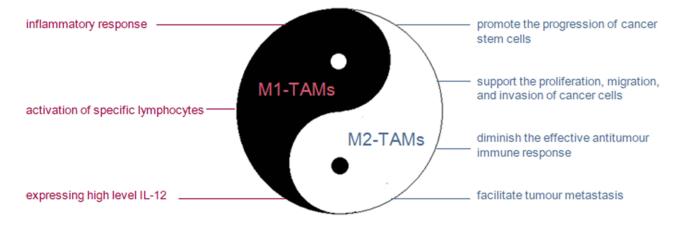


Figure 2 Antitumoral effects of MI-TAMs and protumoral effects of M2-TAMs in the TME.

Abbreviations: TME, tumor microenvironment; M2-TAMs, M2-polarized tumor-associated macrophages; MI-TAMs, MI-polarized tumor-associated macrophages.

and enhanced self-renewal ability of both NSCLC cell lines. As cancer stem-like cells (CSCs) show resistance to apoptosis and have continuous self-renewal and proliferation capacity, M2-TAMs involved in regulating CSC function could indirectly promote tumor progression. In addition, accumulating evidence has suggested that the polarized-M2 phenotype could increase the proliferation, migration and invasion abilities of cancer cells. <sup>18,23,46</sup> Zhao et al <sup>47–49</sup> found that M2-TAMs enhanced metastasis by improving the migration capacity of breast cancer 4T1 cells. In addition, Pang et al <sup>46</sup> found that culturing NSCLC cells with M2-polarized TAM-conditioned medium (TAM-CM) ultimately led to stronger proliferation, migration, and invasion than culturing these cells in routine medium (Figure 2).

M1-TAMs are involved in the inflammatory response and the activation of specific lymphocytes to eliminate tumor cells. In addition, M1-TAMs could cause tumor cell disruption by inducing high levels of IL-12 expression.

M2-TAMs could promote the development of tumors by promoting the progression of cancer stem cells, supporting the proliferation, migration, invasion of cancer cells, diminishing effective antitumor immune responses, and facilitating tumor metastasis.

# M2-TAMs Diminish the Effective Antitumor Immune Response

Compared to the antitumor effects of M1-TAMs via the inflammatory response, the protumoral roles of M2-TAMs are related to downregulating the effective antitumor immune response by releasing chemokines that play basic roles in immunosuppression and secreting immune suppression molecules, such as transforming growth factor-β (TGF-β), IL-10, and arginase-1 (Arg-1), <sup>23,25,46,50–53</sup> Which enable M2-polarized TAMs to block immune responses against tumor antigens of T cell. <sup>25</sup>

Chemokines, for example, Ccl13/18/22, are considerable chemoattractants of immune suppressor cells (such as Tregs) that inhibit antitumor immunity to promote the growth of tumors and decrease the survival rate of patients.<sup>23,28</sup> In addition, Ccl2 and Ccl5 have the capacity to suppress T-cell responses.<sup>23,28</sup>

TGF- $\beta$  could block the stimulation, proliferation, differentiation, and effector function of conventional CD4+ and CD8+ T cells to inhibit the antitumor response directly. Moreover, TGF- $\beta$  blocks the immune function of conventional CD4+ and CD8+ T cells by

promoting the induction of CD4+CD25+FoxP3+ regulatory T cells.  $^{58-60}$  TGF- $\beta$  also inhibits the cytolytic activity of NK cells  $^{56,61}$  and maintains Treg cell differentiation  $^{57}$  to suppress the antitumor response.

Like TGF-β, IL-10 also has the ability to block the function of conventional CD4+ and CD8+ T cells to significantly reduce the development of effector T cells. 62-64 In addition, IL-10 inhibits antitumor immunity by impeding the production of IL-12, releasing the cytokine IFN-γ, and blocking epidermal antigen presenting cells (APCs) from presenting tumor-associated antigens. 65,66

Furthermore, IL-10 and TGF- $\beta$  could revert immune cells to immunosuppressive phenotypes, such as regulatory T cells, regulatory B cells, and even TAMs. These cells express immunosuppression and protumor cytokines, consume proinflammatory factors (IL-2, TNF $\alpha$ ), and promote angiogenesis and tumor invasion by producing matrix metalloproteinases (MMPs) and vascular endothelial growth factor (VEGF). The vicious cycle of TAMs, IL-10 and TGF-  $\beta$  results in poor prognosis in cancer patients.  $^{67-70}$ 

Arg-1 suppresses antitumor immunity with its immunosuppressive catabolic products<sup>71–74</sup> and blocks the ability of T cells to generate immune effector cells by exhausting arginine from the environment of conventional T cells.<sup>71–74</sup> In addition, high Arg-1 expression might downregulate NO-regulated tumor cytotoxicity, increase the proliferation of cells, dysregulate T cell receptor (TCR) signaling, and subsequently induce CD8+ T cell unresponsiveness to promote tumor growth.<sup>75,76</sup>

### M2-TAMs Facilitate Tumor Metastasis

To function in tumor metastasis, M2-like TAMs produce VEGF and type IV collagenases MMP2 and MMP9, which promote not only angiogenesis in tumor progression but migration also tumor by causing vascular permeability. 18,77-86 Additionally, TAMs indirectly upregulate the expression of proangiogenic factors (such as Cxcl12), and Cxcl12 could promote M2 macrophage polarization as a chemoattractant of macrophages in prostate cancer. 18,23 However, the correlation between M2-TAMs and proangiogenic factors requires more investigation. In addition to promote angiogenesis, TAMs also promote lymphangiogenesis in tumor by transdifferentiate as endothelial progenitor cells.87

Besides, epithelial-mesenchymal transition (EMT) is a pivotal step in tumor invasion and migration. M2-like TAMs upregulate IL-10 production by activating Toll-like

receptor 4 (TLR4) to enhance EMT in pancreatic cancer cells, <sup>88</sup> and they activate the epidermal growth factor receptor (EGFR) pathway by inducing epidermal growth factor-like (EGF-like) ligand secretion in lung cancer cells. <sup>89</sup> Both of these factors could ultimately promote EMT and result in facilitating tumor metastasis. <sup>18</sup>

Studies have shown that TAMs secrete MMPs (such as MMP-2, 7, and 9) and subsequently induce the expression of Vascular endothelial growth factor C (VEGF-C), which stimulates the formation of lymphatic vessels to promote tumor metastasis.<sup>90</sup>

## Correlation Between TCM and TAMs

The significant advantage of Western medicine in cancer treatment is its focus on definite targets, such as monoclonal antibodies against cancer cells or immunosuppressive factors, to suppress tumor progression. However, cixutumumab-associated dermatologic events suggest underlying problems and side effects of Western medicine. Introducing traditional Chinese medicine (TCM) not only counteracts the shortcomings of Western medicine but also helps prolong the survival rates of cancer patients. TCM treatment has been demonstrated to increase the cancer survival rate, 91 and the effects of TCM treatment on tumors could be observed in the development of tumors, the activation of cancer cells and the expression levels of tumor-associated molecules. At the oncological level, TCM could inhibit the progression of tumors to increase the survival rate of tumor patients by decreasing tumor weights and volumes and suppressing tumor generation. 45,46,92,93 From the perspective of cancer cells, TCM has the ability to promote cancer cells to apoptosis and suppress the actions of cancer cells, such as proliferation, migration, invasion, and even viability. 46,49,91-96 An increasing number of investigations have suggested that TCMs can reduce the expression level of oncogenic proteins and tumorrelated mRNAs at the molecular level. 45,46,91,94,95,97-100

In addition, evidence has shown the important role of TAMs in the tumor immunosuppressive microenvironment (TIM), and the two polarized phenotypes are related to different effects on tumors. Here, we describe the potential roles of TCM in the TIM and suggest a hypothesis that the antitumor ability of TCM treatment is related to M1-polarized TAMs and M2-polarized TAMs.

# The Effects of TCM Treatment on the TIM

Many studies have demonstrated that chronic inflammation has an important functional effect on the tumor microenvironment. Immune cells constitute a part of the tumor immunosuppressive microenvironment (TIM), which is related to immunologic function, angiogenesis and lymphangiogenesis in tumors, and could promote tumor progression. Therefore, researchers have regarded the TIM as a promising target for tumor treatment and have thought TCM treatment could potentially enhance tumor immune responses in the TIM. 101 MHC class I molecules have the capacity to kill tumor cells by activating cytotoxic lymphocytes (CTLs) and launching a sequence of cytolysis reactions; MHC class II molecules have the ability to induce a cellular-mediated immune response by presenting tumor antigens to CD4+T helper cells. However, immune cells and malignant cells in the TIM downregulate the expression of MHC class I molecules and upregulate the expression of nonclassical human leukocyte antigen (HLA), which is related to poor prognosis in cancer patients. Studies have proven that TCM, eg, Invigorating Spleen and Detoxification Decoction (ISD), can enhance the expression of both MHC class I and MHC class II molecules to promote the immune response. 102 TCM induces apoptosis in tumor cells via the Fas/FasL pathway, which is known as an important immune regulatory pathway. FasL overexpression is related to the promotion of tumor cell immune escape, and tumor cells rarely express Fas or express nonfunctional Fas. 103 The TCM treatment Yang Wei Kang Liu Granule (YWKL) has the ability to increase the expression level of FasL and reduce the expression level of Fas, which results in tumor cell apoptosis. Cancer stem-like cells (CSCs) participate in promoting the formation of the TIM and prevent antitumor responses because they express low quantities of immune recognition molecules and costimulatory molecules. Investigations have shown that TCM may attenuate the oncogenicity of CSCs; for example, bufalin could inhibit the proliferation of CSCs, 104 and Huaier (Trametes robiniophila Murr.) aqueous extract could downregulate the Wnt/βcatenin pathway to inhibit the self-renewal of CSCs. 105

## Effect of TCM Treatment on MI-TAMs

It is widely known that M1-polarized TAMs play an antitumoral role in the TME, while TCM treatment inhibits the progression of tumors. Furthermore, TCMs have the ability to enhance the anti-tumor effects of M1-polarized TAMs by increasing M1 polarization (Figure 3), which could be detected by the upregulated expression of M1-specific markers or the mRNA expression of M1-related molecules.

Polyporus polysaccharide (PPS) is extracted from Polyporus, a TCM anti-tumoral and immunoregulatory medicinal fungus. Liu et al used reverse transcription polymerase chain reaction (RT-PCR) and found that PPS increased the mRNA levels of inducible nitric oxide synthase (iNOS), TNF-α and IL-6, which were related to M1-TAMs. Additionally, compared with that in untreated RAW264.7 cells, the expression of CD86 in PPS-treated cells was increased by 66.0%, which suggested that PPS could promote the polarization of the M1 phenotype of TAMs.

The Qing-Re-Huo-Xue (QRHX) formula is a traditional Chinese formulation consisting of Scutellaria baicalensis and Radix Paeoniae Rubra. An investigation found that QRHX treatment in a Lewis lung cancer (LLC) mouse model resulted in increased mRNA expression levels of

iNOS, an M1 marker, and decreased mRNA expression levels of Arg-1, an M2 marker. In addition, many TCMs, such as berberine and G-Rh2, have the same effect as QRHX on tumor cells, but whether the mechanism of TCM is reversing the M2 phenotype to the M1 phenotype or blocking polarization into the M2 phenotype while promoting M1 polarization remains unclear.<sup>107</sup>

# TCM Treatment Decreases the Population of M2-TAMs and Blocks the Polarization of TAMs into the M2 Phenotype

TCM treatment has four methods for reducing M2-TAMs: decreasing the population of M2-TAMs, blocking the polarization of TAMs into M2-TAMs, suppressing the functional roles of M2-TAMs, and converting the M2 phenotype to the M1 phenotype (Figure 3).

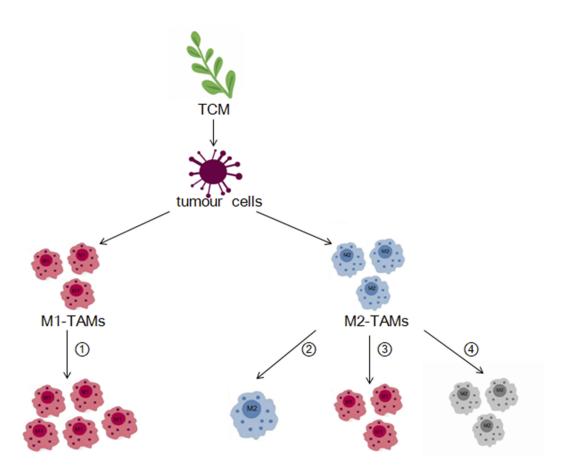


Figure 3 Roles of TCM in MI-TAMs and M2-TAMs.

Notes: TCM have effects on tumor cells by targeting MI phenotype and M2 phenotype TAMs. ① TCM treatment could increase the population of MI-TAMs through increasing MI polarization. ② Number of M2-TAMs decrease because TCM block the polarization of TAMs to M2 phenotype. ③ TCM reverse the phenotype of TAMs from M2 to MI. ④ Monochrome M2-TAMs means the protumoral capacities of M2-TAMs are suppressed.

Abbreviations: TCM, traditional Chinese medicine; TAMs, tumor-associated macrophages; M2-TAMs, M2-polarized tumor-associated macrophages; M1-TAMs, M1-polarized tumor-associated macrophages.

Total flavonoids from TFRG Glycyrrhiza Radix et Rhizoma (Glycyrrhiza Radix et Rhizoma), a vital extract from Gancao (Glycyrrhiza Radix et Rhizoma), have been demonstrated to block signal transducer and activator of transcription 6 (STAT6) activation induced by IL-4/IL-13 by reducing the level of phosphorylated STAT6. Additionally, studies have shown that miR-155 is related to the polarization of TAMs to the M2 phenotype. <sup>108</sup> The induction of IL-4/IL-13 led to the downregulation of miR-155, and TFRG pretreatment significantly increased the level of miR-155. This evidence suggests that TFRG may block M2 polarization by the STAT6 signaling pathway and miR-155. <sup>48</sup>

Wang et al found obvious decreases in the population of M2-TAMs in the spleens of mice treated with osthole. <sup>93</sup> Using a Bayesian model to analyze the mRNA expression levels of M1- or M2-associated genes, the results proved that PHY906 could promote the polarization of TAMs into the M1 phenotype, improving sorafenib (So) tumor cell treatment, which means that the number of M2-TAMs was lower in the So + PHY906 treatment group than in the sorafenib only treatment group. <sup>92</sup>

Decreased expression levels of specific mRNAs associated with the polarization of TAMs into the M2 phenotype reveals that the polarization process is blocked indirectly. A previous investigation process is blocked indirectly. A previous investigation has shown that osthole not only decreases the number of M2-TAMs but also reduces the mRNA expression of TGF-b, CCL22, and MRC1 in the spleens of mice with pancreatic cancer; F4/80bCD206b CD11bb cells were also decreased according to flow cytometry, and these results indicate that osthole treatment could inhibit M2 polarization.

Pretreatment with pterostilbene in the NSCLC cell line THP-1-H441 co-incubation system decreased the mRNA levels of MUC-1 and NF-κB, both of which are key molecules in promoting the polarization of M2 macrophages. 45,109,110 Therefore, pterostilbene has the ability to prevent macrophages from differentiating into the M2 subtype.

CD206 is a marker of M2 macrophages, and the expression of CD206 is significantly decreased by G-RH2 compared with control conditions, which suggests that G-Rh2 could block the polarization of TAMs into the M2 phenotype. 94

Because reactive oxygen species (ROS) could influence the polarization of TAMs into the M2 phenotype, berberine treatment affected the M2 polarization process

by reducing the mRNA levels of NADPH oxidase2 (NOX2) in Apc (min/+) mice. 111,112

Five TCM herbs, including Gancao (Glycyrrhiza Radix et Rhizoma), Renshen (Ginseng Radix et Rhizoma), Dongchongxiacao (Cordyceps), and Ciwujia (Acanthopanacis senticosi Radix et Rhizoma Seu caulis), have the capacity to decrease the expression of arg-1 mRNA, a known M2 marker. 48

This evidence shows that TCM treatment could reduce the M2-TAM phenotype by decreasing the expression levels of associated markers in tumor cells. The reduced M2-TAM phenotype may induce apoptosis or polarization to the M1-TAM phenotype, which is accompanied by increased expression of the M1-TAM phenotype marker.

# TCM Suppresses the Abilities and Functions of M2-TAMs

M2-TAMs are an important TME component related to its protumoral effects, such as increased proliferation, invasion, and migration of carcinoma cells. TCM treatment inhibits the progression of tumors by suppressing the effects and functions of M2-TAMs in tumors (Figure 3).

Lin et al used flow cytometric analysis and found that the population of tumor-infiltrating macrophages was reduced in the tumors of mice treated with osthole, which indicated that osthole could block the infiltration of M2-TAMs.<sup>95</sup>

Zhao et al showed that the IC50 of baicalein for M2-TAMs at 24 h, 48 h and 72 h was  $191.5/107.1/41.78 \mu mol/L$ , which indicated that baicalein could inhibit the viability of TAMs dose-dependently and time-dependently.<sup>49</sup>

As mentioned above, Huang et al used flow cytometric analysis 45 to observe that CD133-positive cell populations were increased in the NSCLC cell lines A549 and H441 after co-culture with M2-TAMs. In addition, the expression level of CD133, which is related to stemness, was increased after co-culture with M2-TAMs, which indicated that M2-TAMs could promote the generation of NSCLC stem cells. However, flow cytometric analysis also found that pterostilbene treatment inhibited the percentage of CD133-positive H441 cells in a dose-dependent manner when co-incubated with M2-polarized macrophages. Additionally, pterostilbene could decrease the self-renewal ability of cancer cells when co-incubated with M2-TAMs.

Co-incubation with M2-TAMs significantly increased the expression level of TGF- $\beta$ 1, an active EMT inducer, in

MDA-MB-231 cells. However, pretreatment with baicalein could reverse this effect, demonstrating that baicalein suppresses increased EMT induced by co-incubation with M2-TAMs in breast cancer cells. In addition, the breast cancer cell line MDA-MB-231 can promote tumor growth and lung metastasis better after co-culture with M2-TAMs, but this effect is inhibited by baicalein, which subsequently exerts an anti-tumor effect.<sup>49</sup>

To prove the role of berberine in the invasion and migration of cancer cells, Piao et al induced M2 polarization by berberine. Finally, the migration of HT-29 cells was inhibited after co-incubation with berberine-induced M2 macrophages compared with that after co-incubation with IL-4-induced M2-TAMs.<sup>111</sup>

# TCM Reverses the M2 Phenotype to the M1 Phenotype

TCM could regulate the expression level of M1 phenotype- or M2 phenotype-specific markers to induce the polarization of macrophages from the M2 phenotype to the M1 phenotype (Figure 3). Baicalein treatment was demonstrated to decrease the expression level of an M2-TAM phenotype-specific marker (CD206) and increase the expression level of an M1-TAM phenotype-specific marker (CD86).

Moreover, baicalein treatment decreased the mRNA expression of M2-associated cytokines (such as TGF- $\beta$ 1, Arg1, and IL-10) and increased the mRNA expression of M1-associated cytokines (such as IL-12 and TNF- $\alpha$ ). In summary, baicalein not only reversed the M2 phenotype to the M1 phenotype but also led to a functional change in TAMs.

Li et al found that treating M2 macrophages with G-Rh2 resulted in downregulation of the expression of the M2 marker CD206 and upregulation of the expression of the M1 marker CD16/32. Additionally, G-Rh2 treatment of human THP-1 cell-differentiated M2 macrophages resulted in the same finding. <sup>94</sup> These results suggest that G-Rh2 has the promising ability to change the phenotype of TAMs from M2 to M1.

Piao et al used real-time PCR to observe that berberine could induce macrophage polarization from the M2 to M1 phenotype, as it was observed that the mRNA level of an M2-TAM phenotype-associated marker (IL-12) was downregulated, while IFN (a marker of the M1-TAM phenotype) was remarkably upregulated in intestinal tumors.<sup>111</sup> In addition, berberine treatment decreased the expression

of COX-2 pathway molecules to polarize the M2 phenotype to the M1 phenotype during inflammation.  $^{111,113,114}$  Evidence has shown that berberine can induce M2 to M1 phenotype switching and consequently affect the biological roles of TAMs. Not only extracts of TCM, but also formulae could reverse the phenotype of TAMs from M2 to M1, such as Fuzheng Jiedu Formula (FZJD), which decrease the expression of IL-10 and TGF-  $\beta$  and increase the ratio of M1/M2.  $^{87}$ 

Previous investigations have shown a correlation between the IL-4-STAT6 axis and M2 macrophages, while C/EBPb promotes M2 polarization as an intracellular signaling molecule. Osthole has been shown to decrease C/EBPb expression and suppress IL-4-mediated STAT6 phosphorylation to inhibit M2 macrophage activation and block M2 polarization.<sup>93</sup>

This evidence demonstrates that TCM treatment may reverse the M2-TAM phenotype to the M1-TAM phenotype by inhibiting the expression of related signaling pathways or suppressing significant phosphorylation. TCM treatment is promising for changing the functional effects of TAMs by reversing the M2 phenotype to the M1 phenotype. The overall effects of TCM treatment on both cancer cells and TAMs are listed in Tables 1 and 2.

## Summary

Previous studies have shown that traditional Chinese medicine (TCM) could help inhibit the progression of tumors, but the cells or pathways involved remain uncertain. Recent investigations suggest that tumor-associated macrophages (TAMs), particularly M2-polarized TAMs, might be potential targets for TCM treatment in cancer. TCMs have the capacity to increase the polarization of M1-TAMs, reduce the expression level of M2-TAM phenotype markers, suppress the function of the M2-TAM phenotype, block M2-TAM phenotype polarization and convert the M2 phenotype of TAMs to the M1 phenotype of TAMs in the TME, which lead to the suppression of M2-TAM function. Above all, it is worth further investigation to determine the correlation and biochemical mechanism of different TCM herb catalogues in regulating TAM polarization phenotypes and to examine the effects of multiple components in different TCM herbs that contribute to their anti-tumor effects. Although studies have shown that TCM has the potential to suppress tumor growth and reverse the polarized phenotype of TAMs, the interplay between TCM treatment and the polarization of TAMs in tumors is not fully clear. Promising and novel

**Table I** Traditional Chinese Medicine (TCM) Bioactive Ingredients Have Effects on Both Cancer Cells and Tumor-Associated Macrophages (TAMs)

Bioactive Ingredient of TCM	Effects on Cancer Cells	Effects on TAMs	Reference
Baicalein	Inhibits growth and metastasis of breast cancer cells	Induces phenotype and function skewing of M2 macrophages to M1 macrophages	49
Berberine	Suppresses invasion and migration of tumor cells	Induces M2 to M1 phenotype switching	111
	Inhibits the proliferation of tumor cells		112
Ginsenoside Rh2 (G-Rh2)	Inhibits the proliferation and migration of lung cancer cells	Reverses the phenotype of M2 macrophages to M1 macrophages	94
Osthole	Inhibits proliferation and migration and induces apoptosis in pancreatic cancer cells	Blocks the infiltration of M2 macrophages, decreases the population of M2 macrophages, inhibits polarization into M2 macrophages	93
Pterostilbene	Decreases stemness and self-renewal ability and increases the apoptosis of NSCLC cells	Reduces the induction of cancer cell stemness by M2-TAMs and prevents M2-TAM polarization	45

cancer therapies in which TCMs target polarized TAMs could be generated after understanding the mechanisms through which TCMs affect tumors.

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**Table 2** Classical Formulae Have Effects on Both Cancer Cells and Tumor-Associated Macrophages (TAMs)

Classic Formula	Effects on Cancer Cells	Effects on TAMs	Reference
Bu-Fei Decoction (BFD)	Suppresses the proliferation, migration and invasion of NSCLC cells	Suppresses the function of M2-polarized TAMs in increasing the proliferation, migration and invasion of NSCLC cells	46
PHY906	Increases apoptosis in HepG2 tumors treated with sorafenib	Assists sorafenib in increasing both macrophage infiltration and the M1/M2 (tumor rejection) signature expression pattern in tumor cells	92

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### **Disclosure**

The authors report no conflicts of interest in this work.

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