

# Myeloid-derived suppressor cell infiltration is associated with a poor prognosis in patients with hepatocellular carcinoma

TAKAHIRO TOMIYAMA<sup>1</sup>, SHINJI ITOH<sup>1</sup>, NORIFUMI ISEDA<sup>1</sup>, KATUYA TOSHIDA<sup>1</sup>, AKINARI MORINAGA<sup>1</sup>, KYOHEI YUGAWA<sup>1</sup>, YUKIKO KOSAI FUJIMOTO<sup>1</sup>, TAKAHIRO TOMINO<sup>1</sup>, TAKESHI KURIHARA<sup>1</sup>, YOSHIHIRO NAGAO<sup>1</sup>, KAZUTOYO MORITA<sup>1</sup>, NOBORU HARADA<sup>1</sup>, KENICHI KOHASHI<sup>2</sup>, YOSHINAO ODA<sup>2</sup>, MASAKI MORI<sup>1,3</sup> and TOMOHARU YOSHIZUMI<sup>1</sup>

Departments of <sup>1</sup>Surgery and Sciences, and <sup>2</sup>Anatomic Pathology, Graduate School of Medical Sciences, Kyushu University, Fukuoka, Fukuoka 812-8582; <sup>3</sup>Tokai University School of Medicine, Isehara, Kanagawa 259-1193, Japan

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**Abstract.** The clinicopathological features of myeloid-derived suppressor cell (MDSC) and CD8<sup>+</sup> T-cell infiltration in hepatocellular carcinoma (HCC) are poorly understood. The present study examined MDSC and CD8<sup>+</sup> T-cell infiltration in surgically resected primary HCC specimens and investigated the association of MDSC and CD8<sup>+</sup> T-cell infiltration with clinicopathological features and patient outcomes. Using a database of 466 patients who underwent hepatic resection for HCC, immunohistochemical staining of CD33 (an MDSC marker) and CD8 was performed. High infiltration of MDSCs within the tumor was observed in patients with a poorer Barcelona Clinic Liver Cancer stage, larger tumor size, more poorly differentiated HCC, and greater presence of portal venous thrombosis, microscopic vascular thrombosis and macroscopic intrahepatic metastasis. MDSC infiltration and CD8<sup>+</sup> T-cell infiltration were independent predictors of recurrence-free survival and overall survival, respectively. Stratification based on the MDSC and CD8<sup>+</sup> T-cell status of the tumors was also associated with recurrence-free survival (10 year-recurrence-free survival; MDSC<sup>high</sup>CD8<sup>+</sup> T-cell<sup>Low</sup>, 3.68%; others, 25.7%) and overall survival (10 year-overall survival; MDSC<sup>high</sup>CD8<sup>+</sup> T-cell<sup>Low</sup>, 12.0%; others, 56.7%). In conclusion, the present large cohort study revealed that high MDSC infiltration was associated with a poor clinical

outcome in patients with HCC. Furthermore, the combination of the MDSC and tumor-infiltrating CD8<sup>+</sup> T-cell status enabled further classification of patients based on their outcomes.

## Introduction

Primary liver cancer is the fourth most common cancer worldwide. HCC occurs due to liver cirrhosis, hepatitis B/C virus infection, and alcoholic or nonalcoholic steatohepatitis. Although liver resection has been performed as an effective and safe treatment in patients with HCC, the possibility of recurrence remains high (1,2). The use of combination immune checkpoint inhibitors for unresectable HCC was recently approved.

Tumor-infiltrating lymphocytes are a major component of the host anti-tumor immune response. Cluster of differentiation (CD)3<sup>+</sup>, CD8<sup>+</sup>, CD4<sup>+</sup>, and FoxP3<sup>+</sup> T lymphocytes are the representative subsets of tumor-infiltrating lymphocytes. With the growing interest in tumor-infiltrating lymphocytes, an increase in the number of activated cytotoxic T lymphocytes has been reported to correlate with better survival in some malignant tumors, including HCC (3-5). CD8<sup>+</sup> T-cell infiltration in tumors plays an important role in host immunity against tumor progression. Phase III clinical trials for various immune checkpoint inhibitors and multikinase inhibitors have been conducted since the approval of sorafenib for hepatocellular carcinoma in 2009, all of them failed for 10 years until the emergence of Lenvatinib. The tumor microenvironment in HCC is complex, due to crosstalk with tumor components, such as cancer cells, stromal cells, and immune cells. Phenotypic changes in cancer cell by genetic and epigenetic alternations affect anti-cancer immunity and cancer-stromal cell interaction, through the expression of immune checkpoint molecule, cytokines, and growth factors, which may affect immune system in the tumor (6).

Although dysregulation of the immune system and uncontrolled inflammatory responses may also contribute to disease pathology, immune responses are necessary for clearance of malignant cells, pathogens, and virus-infected cells (7). Myeloid-derived suppressor cells (MDSCs), which are immature cells, reportedly play important roles in tumor

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*Correspondence to:* Dr Shinji Itoh, Department of Surgery and Science, Graduate School of Medical Sciences, Kyushu University, 3-1-1 Maidashi, Higashi, Fukuoka, Fukuoka 812-8582, Japan  
E-mail: itoh.shinji.453@m.kyushu-u.ac.jp

*Abbreviations:* BCLC, Barcelona Clinic Liver Cancer; CI, confidence interval; HR, hazard ratio; iNOS, inducible nitric oxide synthase; MDSC, myeloid-derived suppressor cells; NO, nitric oxide; OS, overall survival; PD-1, programmed death 1; PD-L1, programmed death ligand 1; RFS, recurrence-free survival

*Key words:* tumor infiltrating lymphocyte, CD8<sup>+</sup> T cell, tumor microenvironment, immune checkpoint inhibitor, T cell exhaustion

immune invasion and have a remarkable ability to suppress T-cell responses (8). Major factors in MDSCs-mediated immune suppression include expression of arginase, inducible nitric oxide synthase (iNOS), transforming growth factor- $\beta$ , interleukin-10, and cyclooxygenase 2 (9). In particular, the suppressive activity has been reported to be associated with the metabolism of L-arginine. L-arginine is a substrate for two enzymes: iNOS, which generates nitric oxide (NO) and arginase, which converts L-arginine into urea and L-ornithine (8). Both of these enzymes has an ability of direct inhabitation for T cell function (10,11). In addition, vascular endothelial growth factor (VEGF) is secreted from tumors and causes MDSCs to accumulate into tumors. VEGF is produced from MDSCs themselves and is involved in promoting growth of tumor and MDSCs themselves (12). The attention for therapeutic strategies for MDSC is increasing. All-trans retinoic acid induces the differentiation of MDSCs into functional macrophages and dendritic cells (13,14). Induction of differentiation into functional macrophage and dendritic cells, as antigen-presenting cells, stimulates effector T cells and enhance the anti-tumor immune response. In the phase IB study, the treatment with 25-hydroxyvitamin D3 decrease the ratio of CD34-positive MDSCs in patients with head and neck cancer (15).

In this study, we investigated the tumor-infiltrating MDSC and CD8<sup>+</sup> T-cell status by immunohistochemistry and evaluated the prognostic impact of tumor-infiltrating MDSCs and CD8<sup>+</sup> T cells in patients with HCC. Additionally, we clustered the patients with HCC showing MDSCs and CD8<sup>+</sup> T cells and investigated the prognostic impact of the clustering.

## Patients and methods

**Patients.** In total, 466 patients with HCC who underwent initial liver resection at the Department of Surgery and Science, Kyushu University Hospital from January 2004 to November 2018 were enrolled in this study. The details of our surgical techniques and patient selection criteria for liver resection in HCC have been previously reported (16). The patients were followed up as outpatients every 1 to 3 months after discharge. Dynamic computed tomography was performed if recurrence was suspected. Clinical information and follow-up data were obtained from the medical records. No patients underwent immune checkpoint inhibitor treatment for recurrence. Informed consents were obtained. This study was approved by the Ethics Committee of Kyushu University (approval code 2020-180). An opt-out approach was employed to obtain informed consent from our patients and personal information was protected during data collection.

**Immunohistochemical staining.** Immunohistochemical staining for CD8 was performed as previously reported (4). The samples were fixed with 3.7% formaldehyde solution (Sigma-Aldrich) in room temperature for 24-48 h. Immunohistochemical examinations were performed on 4- $\mu$ m formalin-fixed and paraffin-embedded sections. The sections were first deparaffinized. After inhibition of endogenous peroxidase activity for 30 min with 3% hydrogen peroxidase in methanol, in room temperature, the sections were pretreated with Target Retrieval Solution (Dako) in a microwave oven at 99°C for 20 or 10 min for CD33 or CD8, respectively, and

then incubated with monoclonal antibodies at 4°C overnight. Immune complexes were detected with an EnVision Detection System (Dako), anti-mouse secondary antibody, for 60 min, in room temperature. The sections were finally incubated in 3,3'-diaminobenzidine, for 7 min (CD33) and 4 min (CD8), in room temperature, counterstained with hematoxylin, and mounted. The primary antibodies used were a CD33 mouse antibody (PA0555, no dilution; Leica Biosystems) and a CD8 mouse antibody (ab75129, 1:50; Abcam). Stained slides were scanned using the NanoZoomer digital slide scanner (Hamamatsu Photonics K.K.). Immunohistochemical data for CD33 and CD8 staining were evaluated by three experienced researchers (T.T., S.I. and K.Y.), who were blinded to the clinical status of the patients. The final assessments were achieved by consensus. The cells exhibited plasma membranous staining for CD33.

The number of cells with cytoplasm or membrane staining in three high-power fields was counted, and we used the receiver operative characteristic analysis for overall survival (OS) as the cutoff value of CD33<sup>+</sup> infiltrating cells in tumors. The cutoff value for CD8<sup>+</sup> infiltrating cells in tumors was previously reported (4). CD33<sup>+</sup> cells in tumors were defined as MDSCs in accordance with previous reports (17).

**Statistical analysis.** Standard statistical analyses were used to evaluate descriptive statistics, such as medians, frequencies, and percentages. Continuous variables without a normal distribution and variables were compared with the Mann-Whitney U test. A logistic regression analysis was performed to identify variables associated with MDSC infiltration. Categorical variables were compared using the  $\chi^2$  test or Fisher's exact test. Survival data were used to establish a univariate Cox proportional hazards model. Covariates that were significant at  $P < 0.05$  were included in the multivariate Cox proportional hazards model. The cumulative OS and recurrence-free survival (RFS) rates were calculated using the Kaplan-Meier method, and differences between the curves were evaluated using the log-rank test. Differences were considered statistically significant at  $P < 0.05$ . All statistical analyses were performed using JMP15 software (SAS Institute Inc.).

## Results

**MDSCs, CD8<sup>+</sup> T cells and clinicopathological factors.** In our cohort of 466 patients with HCC, 344 (73.8%) patients were male. The median age of the patients was 69 years (25-75% quantile, 63-76 years). Among all 466 patients, 73 (15.7%) and 239 (51.3%) showed positive hepatitis B surface antigen and hepatitis C virus antibody expression, respectively. The median observation period was 3.69 years (25-75% quantile, 1.99-6.62 years).

Fig. 1A and B shows representative immunohistochemical staining of CD33 in HCC tissues. CD33 expression was observed in the cytoplasm or plasma membrane of mononuclear cells. The median number of invading CD33<sup>+</sup> cells was 73.6 per field (25-75% quantile, 39.6-121 per field). According to the cut-off value of 108, 144 (30.9%) of 466 patients had high infiltration of MDSCs. The association between MDSC infiltration and the patients' clinicopathological characteristics

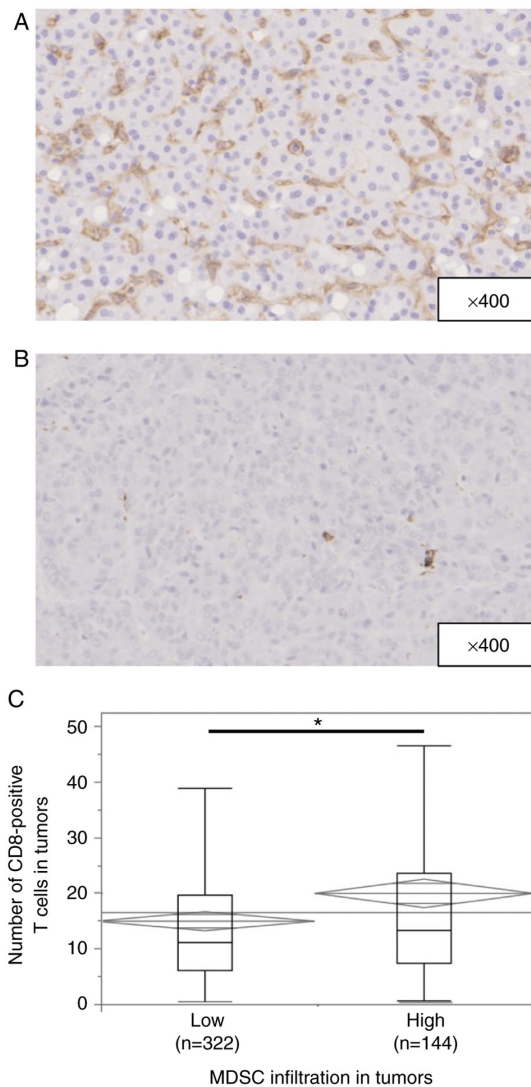


Figure 1. Immunohistochemical staining of MDSCs in patients with hepatocellular carcinoma. (A) Image of a high MDSC infiltration pattern. Magnification,  $\times 400$ . (B) Image of a low MDSC infiltration pattern. Magnification,  $\times 400$ . (C) Median numbers of intra-tumor CD8<sup>+</sup> T cells in the high and low MDSC groups were 13.3 (range, 0.667-114) and 11.0 (range, 0.333-85.3), respectively ( $P=0.0015$ ). MDSC, myeloid-derived suppressor cell.

is shown in Table I. High MDSC infiltration was observed in patients with larger tumors ( $P=0.0216$ ), a poorer Barcelona Clinic Liver Cancer (BCLC) stage ( $P=0.0002$ ), more poorly differentiated HCC ( $P<0.0001$ ), and a greater presence of microscopic vascular invasion ( $P=0.0003$ ) and macroscopic intrahepatic metastasis ( $P=0.0087$ ).

Fig. S1A and B shows representative immunohistochemical staining of CD8 in HCC tissues. CD8 expression was observed in the cytoplasm or plasma membrane of mononuclear cells. The median number of invading CD8<sup>+</sup> T cells was 12.0 per field (25-75% quantile, 6.33-21.0 per field). Low CD8<sup>+</sup> T-cell infiltration was observed in male patients and in patients with larger tumors ( $P=0.0045$ ), multiple tumors ( $P=0.0129$ ), a poorer BCLC stage ( $P=0.0363$ ), and a greater presence of microscopic vascular invasion ( $P=0.0011$ ) and microscopic intrahepatic metastasis ( $P<0.0001$ ) (Table SI). The number of CD8<sup>+</sup> T cells in tumors was greater in patients with high than low MDSC infiltration ( $P=0.0015$ ) (Fig. 1C).

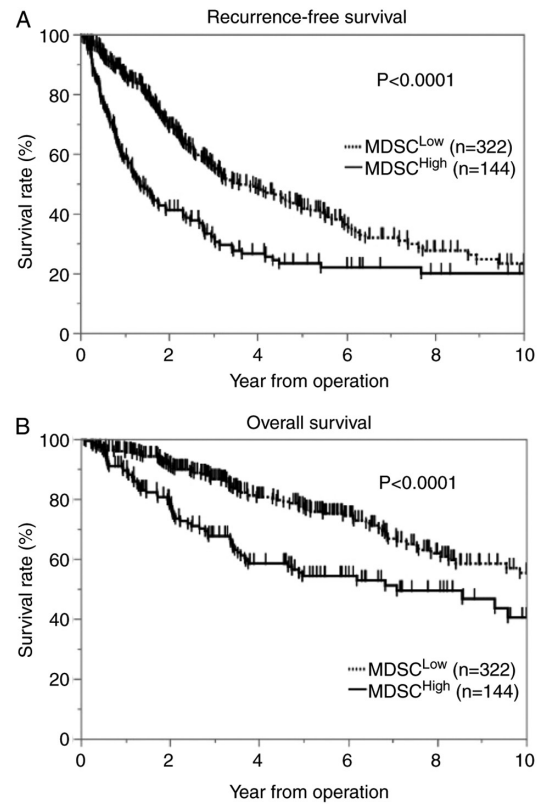


Figure 2. Kaplan-Meier curves showing the survival of patients with hepatocellular carcinoma according to the number of intra-tumoral MDSCs. (A) Recurrence-free survival and (B) overall survival in all patients according to high and low numbers of intra-tumoral MDSCs. MDSC, myeloid-derived suppressor cell.

We also examined the association between MDSC infiltration and the tumor characteristics. Multivariate analysis showed that high MDSC infiltration in HCC was significantly associated with CD8<sup>+</sup> T-cell infiltration ( $P=0.0003$ ) in addition to poor differentiation ( $P=0.0068$ ) and microscopic vascular invasion ( $P=0.0370$ ) (Table II).

*Univariate and multivariate analyses of prognostic factors for RFS and OS.* We assessed the association between MDSC infiltration and patient postoperative survival using the Kaplan-Meier method. The results showed that patients with high MDSC infiltration in tumors had significantly shorter RFS (log-rank  $P<0.0001$ ) and OS (log-rank  $P<0.0001$ ) after surgery than patients with low MDSC infiltration (Fig. 2A and B). Next, we assessed the association between CD8<sup>+</sup> T-cell infiltration and patient postoperative survival using the Kaplan-Meier method. The results showed that patients with CD8<sup>+</sup> T-cell infiltration in tumors had significantly shorter RFS (log-rank  $P<0.0001$ ) and OS (log-rank  $P<0.0001$ ) after surgery than patients with high infiltration (Fig. S2A and B).

Tables III and IV list the univariate and multivariate analysis results associated with RFS and OS in patients with surgically resected HCC. Cox proportional hazard regression models with multivariate analysis showed that high MDSC infiltration in tumors was associated with significantly worse RFS and OS (hazard ratio [HR], 1.98; 95% confidence interval [CI], 1.51-2.60;  $P<0.0001$  and HR, 1.82; 95% CI, 1.27-2.62;  $P=0.0012$ ) and that low CD8<sup>+</sup> T-cell infiltration in tumors was associated with

Table I. Association between background characteristics of patients and intra-tumoral CD33 expression.

Variable	CD33 low (n=322)	CD33 high (n=144)	P-value
Age, years	69 (17-87)	70 (34-86)	0.5311
Sex (male), n (%)	235 (73.0)	109 (75.7)	0.5382
BMI, kg/m <sup>2</sup>	23.0 (16.0-37.9)	22.5 (14.2-32.6)	0.8539
HBs-Ag positive, n (%)	47 (14.6)	26 (18.1)	0.3495
HCV-Ab positive, n (%)	166 (51.6)	73 (50.7)	0.8640
Child-Pugh classification, grade B, n (%)	11 (3.4)	5 (3.5)	0.9755
Albumin, g/dl	4.0 (2.1-5.1)	3.9 (1.8-4.8)	0.1193
DCP, mAU/ml	77 (2-250400)	109 (9-89477)	0.9493
AFP, ng/ml	7.4 (1-693700)	28.1 (1-994600)	0.1632
Performing preoperative TACE or TAE, n (%)	8 (2.5)	6 (4.2)	0.3256
Tumor size, cm	3.2 (0.9-30)	3.7 (1-20)	0.0216
Multiple tumors, n (%)	64 (19.9)	36 (25.0)	0.2131
BCLC staging (B or C), n (%)	45 (14.0)	42 (29.2)	0.0001
Gross classification, single nodular type, n (%)	208 (65.0)	80 (55.9)	0.0633
Poorly differentiation, n (%)	80 (24.9)	63 (43.8)	<0.0001
Microscopic vascular invasion, n (%)	82 (25.5)	61 (42.4)	0.0003
Microscopic intrahepatic metastasis, n (%)	47 (14.6)	36 (25.0)	0.0070
F3 or F4, n (%)	141 (43.8)	55 (38.5)	0.2830

Data are presented as n (%) or median (range). BMI, body mass index; HBs-Ag, hepatitis B surface antigen; HCV-Ab, hepatitis C virus antibody; DCP, des- $\gamma$ -carboxy prothrombin; AFP,  $\alpha$ -fetoprotein; TA(C)E, transcatheter arterial (chemo)embolization; BCLC, Barcelona Clinic Liver Cancer.

significantly worse RFS and OS (HR, 2.31; 95% CI, 1.77-3.01;  $P < 0.0001$  and HR, 3.28; 95% CI, 2.20-4.48;  $P < 0.0001$ ). Age was the only OS factor, but CD8-positive cell infiltration, microscopic serum albumin, AFP, tumor size, and intrahepatic metastasis were independent prognostic factors for both RFS and OS.

#### Stratification of MDSC and CD8<sup>+</sup> T-cell infiltration in HCC.

Next, we evaluated the significance of MDSC and CD8<sup>+</sup> T-cell infiltration in predicting OS and RFS. The patients were divided into the following four groups: MDSC-Low/CD8-High (n=143), MDSC-High/CD8-High (n=76), MDSC-Low/CD8-Low (n=179), and MDSC-High/CD8-Low (n=68). We found that both RFS (log-rank  $P < 0.0001$ ) and OS (log-rank  $P < 0.0001$ ) were significantly different among the four groups [RFS: MDSC-Low/CD8-High; 25.9%, MDSC-High/CD8-High; 33.9%, MDSC-Low/CD8-Low; 22.2%, MDSC-High/CD8-Low 3.68%, OS; MDSC-Low/CD8-High 77.3%, MDSC-High/CD8-High 61.3%, MDSC-Low/CD8-Low 36.0%, MDSC-High/CD8-Low 12.0%] (Fig. 3A and B). Among the patients with high MDSC infiltration, those with low CD8<sup>+</sup> T-cell infiltration had significantly poorer RFS (log-rank  $P < 0.0001$ ) and OS (log-rank  $P < 0.0001$ ) compared with patients with high CD8<sup>+</sup> T-cell infiltration. Similarly, among the patients with low MDSC infiltration, those with low CD8<sup>+</sup> T-cell infiltration had significantly poorer RFS (log-rank  $P < 0.0001$ ) and OS (log-rank  $P < 0.0001$ ) compared with patients with high CD8<sup>+</sup> T-cell infiltration. The differences in the clinicopathological characteristics between patients with high MDSC infiltration and low CD8<sup>+</sup> T-cell infiltration are shown in Table SII. High MDSC infiltration and low

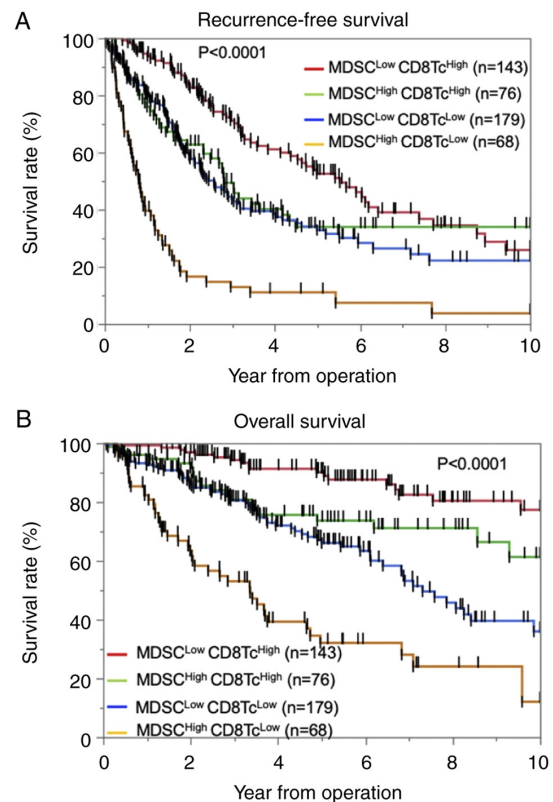


Figure 3. Kaplan-Meier curves for patients classified according to the numbers of intra-tumoral MDSCs and CD8<sup>+</sup> T cells. Kaplan-Meier curves for (A) recurrence-free survival and (B) overall survival in patients with hepatocellular carcinoma according to the numbers of intra-tumoral MDSCs and CD8<sup>+</sup> T cells. CD8<sup>+</sup> T-cell; MDSC, myeloid-derived suppressor cell.

Table II. Univariate and multivariate analyses of high CD33<sup>+</sup> cell infiltration and clinicopathological factors in patients who underwent hepatic resection for hepatocellular carcinoma.

Variable	Univariate analysis			Multivariate analysis		
	HR	95% CI	P-value	HR	95% CI	P-value
Age	0.99	0.98-1.01	0.5317			
Sex						
Male (n=344)	1.15	0.73-1.81				
Female (n=122)	1.00	(ref.)	0.5363			
HBsAg						
Positivity (n=73)	1.28	0.76-2.17				
Negativity (n=392)	1.00	(ref.)	0.3544			
HCVAb						
Positive (n=239)	0.96	0.65-1.43				
Negative (n=229)	1.00	(ref.)	0.8640			
Alb	0.71	0.46-1.09	0.1210			
DCP	1.00	1.00-1.00	0.9493			
AFP	1.00	1.00-1.00	0.1820			
Tumor size	1.06	1.01-1.12	0.0247	1.00	0.94-1.07	0.9683
Macroscopic tumor numbers						
Multiple (n=100)	1.94	1.48-2.55		0.97	0.95-1.87	
Single (n=366)	1.00	(ref.)	<0.0001	1.00	(ref.)	0.9347
Poorly differentiated						
Present (n=143)	2.34	1.55-3.55		1.88	1.19-2.97	
Absent (n=322)	1.00	(ref.)	<0.0001	1.00	(ref.)	0.0068
Tumor types						
Boundary type (n=288)	1.00	(ref.)				
Nonboundary type (n=175)	1.46	0.98-2.19	0.0646			
Microscopic vascular invasion						
Present (n=143)	2.15	1.42-3.26		1.67	1.03-2.70	
Absent (n=323)	1.00	(ref.)	0.0003	1.00	(ref.)	0.0370
Microscopic intrahepatic metastasis						
Present (n=83)	1.94	1.19-3.17		1.73	0.896-3.34	
Absent (n=382)	1.00	(ref.)	0.0076	1.00	(ref.)	0.1022
Fibrosis						
F0-2 (n=269)	1.00	(ref.)				
F3, 4 (n=196)	0.80	0.54-1.20	0.2818			
CD8-positive cell infiltration	1.02	1.01-1.03	0.0021	1.02	1.01-1.04	0.0003

Parameters without subcategories are evaluated using continuous variables. HR, hazard ratio; CI, confidence interval; HBs-Ag, hepatitis B surface antigen; HCV-Ab, hepatitis C virus antibody; Alb, albumin; DCP, des- $\gamma$ -carboxy prothrombin; AFP,  $\alpha$ -fetoprotein; ref., reference.

CD8<sup>+</sup> T-cell infiltration were observed in patients with larger tumors (P=0.0001), high serum AFP level (P=0.0070), low rate of single nodular type (P=0.0181), a poorer BCLC stage (P=0.0004), more poorly differentiated HCC (P<0.0001), and greater presence of microscopic vascular invasion (P<0.0001) and macroscopic intrahepatic metastasis (P<0.0001).

## Discussion

In the present study, we analyzed the CD33<sup>+</sup> cells in patients with HCC who had undergone hepatic resection. We

demonstrated that high numbers of tumor-infiltrating CD33<sup>+</sup> cells and CD8<sup>+</sup> cells were correlated with a poor prognosis, and we were able to stratify the prognosis based on the number of tumor-infiltrating CD33<sup>+</sup> cells and CD8<sup>+</sup> cells.

MDSCs are the major immunosuppressive population existing only in pathological conditions such as malignancy and chronic inflammation (18). Malignant cells regulate distant sites, such as the bone marrow and spleen, by secreting soluble factors that cause the accumulation of myeloid cells; these myeloid cells subsequently promote tumor metastasis and neovascularization (18). Patients with HCC exhibiting

Table III. Results of univariate and multivariate analyses of recurrence-free survival.

Variable	Univariate analysis			Multivariate analysis		
	HR	95% CI	P-value	HR	95% CI	P-value
Age	1.01	0.97-1.02	0.2481			
Sex						
Male (n=344)	1.28	0.96-1.71				
Female (n=122)	1.00	(ref.)	0.0870			
HBsAg						
Positivity (n=73)	0.83	0.59-1.16				
Negativity (n=392)	1.00	(ref.)	0.2709			
HCVAb						
Positive (n=239)	0.98	0.77-1.25				
Negative (n=229)	1.00	(ref.)	0.8607			
Alb	0.55	0.42-0.72	<0.0001	0.59	0.45-0.78	0.0001
DCP	1.00	1.00-1.00	0.0001	1.00	0.99-1.00	0.6709
AFP	1.00	1.00-1.00	<0.0001	1.00	1.00-1.00	<0.0001
Tumor size	1.09	1.06-1.12	<0.0001	1.05	1.01-1.09	0.0133
Macroscopic tumor numbers						
Multiple (n=100)	1.94	1.48-2.55		1.33	0.952-1.87	
Solitary (n=366)	1.00	(ref.)	<0.0001	1.00	(ref.)	0.0941
Poorly differentiated						
Present (n=143)	1.81	1.41-2.33		1.23	0.925-1.65	
Absent (n=322)	1.00	(ref.)	<0.0001	1.00	(ref.)	0.1524
Tumor types						
Boundary type (n=288)	1.00	(ref.)		1.00	(ref.)	
Nonboundary type (n=175)	1.50	1.17-1.90	0.0012	1.02	0.78-1.33	0.9106
Microscopic vascular invasion						
Present (n=143)	1.90	1.48-2.44		1.19	0.89-1.60	
Absent (n=323)	1.00	(ref.)	<0.0001	1.00	(ref.)	0.2480
Microscopic intrahepatic metastasis						
Present (n=83)	3.11	2.33-4.17		1.72	1.17-2.55	
Absent (n=382)	1.00	(ref.)	<0.0001	1.00	(ref.)	0.0060
Fibrosis						
F0-2 (n=269)	1.00	(ref.)				
F3, 4 (n=196)	1.11	0.87-1.41	0.4139			
CD33-positive cell infiltration						
High (n=144)	1.87	1.46-2.40		1.98	1.51-2.60	
Low (n=322)	1.00	(ref.)	<0.0001	1.00	(ref.)	<0.0001
CD8-positive cell infiltration						
High (n=219)	1.00	(ref.)		1.00	(ref.)	
Low (n=247)	2.00	1.56-2.56	<0.0001	2.31	1.77-3.01	<0.0001

Parameters without subcategories are evaluated using continuous variables. HR, hazard ratio; CI, confidence interval; HBs-Ag, hepatitis B surface antigen; HCV-Ab, hepatitis C virus antibody; Alb, albumin; DCP, des- $\gamma$ -carboxy prothrombin; AFP,  $\alpha$ -fetoprotein; ref., reference.

high numbers of MDSCs reportedly have more vascular invasion than patients with low numbers of MDSCs (19). In the present study, patients with high numbers of MDSCs had a significantly higher frequency of microscopic intrahepatic metastasis and vascular invasion as well as shorter RFS.

Human MDSCs are phenotypically characterized as CD11b<sup>+</sup> or CD33<sup>+</sup> (8,20,21), and the CD33 myeloid marker can be used instead of CD11b because the number of CD15<sup>+</sup> cells is very low (20). Hence, in this study, we used only CD33 as an MDSC marker, and the effects of CD33<sup>+</sup> cells other than

Table IV. Results of univariate and multivariate analyses of overall survival.

Variable	Univariate analysis			Multivariate analysis		
	HR	95% CI	P-value	HR	95% CI	P-value
Age	1.02	1.00-1.04	0.0160	1.02	1.00-1.04	0.0161
Sex						
Male (n=344)	0.99	0.69-1.44				
Female (n=122)	1.00	(ref.)	0.9763			
HBsAg						
Positivity (n=73)	0.94	0.60-1.45				
Negativity (n=392)	1.00	(ref.)	0.7657			
HCVAb						
Positive (n=239)	1.13	0.81-1.57				
Negative (n=229)	1.00	(ref.)	0.4783			
Alb	0.36	0.27-0.51	<0.0001	0.40	0.28-0.59	<0.0001
DCP	1.00	1.00-1.00	0.0001	1.00	0.999-1.00	0.9713
AFP	1.00	1.00-1.00	<0.0001	1.00	1.00-1.00	0.0257
Tumor size	1.11	1.07-1.15	<0.0001	1.04	0.98-1.09	0.1847
Macroscopic tumor numbers						
Multiple (n=100)	1.74	1.22-2.50		0.76	0.47-1.23	
Solitary (n=366)	1.00	(ref.)	0.0025	1.00	(ref.)	0.2656
Poorly differentiated						
Present (n=143)	2.20	1.58-3.06		1.45	0.98-2.15	
Absent (n=322)	1.00	(ref.)	<0.0001	1.00	(ref.)	0.0606
Tumor types						
Boundary type (n=288)	1.00	(ref.)		1.00	(ref.)	
Nonboundary type (n=175)	1.48	1.07-2.05	0.0193	0.83	0.57-1.20	0.3182
Microscopic vascular invasion						
Present (n=143)	2.89	1.65-3.18		1.27	0.86-1.87	
Absent (n=323)	1.00	(ref.)	<0.0001	1.00	(ref.)	0.2360
Microscopic intrahepatic metastasis						
Present (n=83)	3.32	2.32-4.74		2.07	1.23-3.49	
Absent (n=382)	1.00	(ref.)	<0.0001	1.00	(ref.)	0.0063
Fibrosis						
F0-2 (n=269)	1.00	(ref.)				
F3, 4 (n=196)	1.14	0.82-1.59	0.4250			
CD33-positive cell infiltration						
High (n=144)	1.94	1.40-2.70		1.82	1.27-2.62	
Low (n=322)	1.00	(ref.)	<0.0001	1.00	(ref.)	0.0012
CD8-positive cell infiltration						
High (n=219)	1.00	(ref.)		1.00	(ref.)	
Low (n=247)	3.37	2.33-4.09	<0.0001	3.28	2.20-4.88	<0.0001

Parameters without subcategories are evaluated using continuous variables. HR, hazard ratio; CI, confidence interval; HBs-Ag, hepatitis B surface antigen; HCV-Ab, hepatitis C virus antibody; Alb, albumin; DCP, des- $\gamma$ -carboxy prothrombin; AFP,  $\alpha$ -fetoprotein; ref., reference.

MDSCs was considered to be very small. Although some small studies have focused on MDSCs and the prognosis of HCC, we examined a large number of patients (nearly 500).

Cytotoxic CD8<sup>+</sup> T cells play a pivotal role in anti-tumor immunity. However, in the context of a suppressive tumor

microenvironment and prolonged antigen exposure, tumor-specific effector CD8<sup>+</sup> T cells tend to differentiate into a condition called T-cell exhaustion (22). Such exhausted CD8<sup>+</sup> T cells are distinguished from functional and memory T cells by their hierarchical loss of cytokine production ability and killing

capacity (23). Hepatocellular CCRK/EZH2/NH-kB/IL-6 signaling deteriorates anti-tumor T-cell responses by induction of MDSC immunosuppression, and HCC with high mRNA CD11b/CD33/CCRK expression is significantly correlated with shorter OS and disease-free survival rates (24). In the present study, the MDSC-High/CD8-Low group had the poorest RFS and OS, although there were more CD8<sup>+</sup> T cells in the tumors in the high than low MDSC group. In our study, MDSC high group had significantly poor OS and RFS than MDSC low ones, in CD8<sup>+</sup> high group. MDSC has been reported to decrease mTOR activity in CD8 positive cells, inhibit T cell differentiation into effector cells, and reduce the efficacy of immunotherapy (25). Clinical trial in head and neck cancer have shown that the PI3K $\delta/\gamma$ , a selective inhibitor of MDSCs, can enhance the effect of anti-PD-L1 (26). Hence, the stratification of the patient with HCC by MDSC and CD8<sup>+</sup> T cells may predict the therapeutic effect of immune checkpoint inhibitor, and addition of anti-MDSCs drugs may bring therapeutic effects to the group that has not response to immune checkpoint inhibitors. Our analysis shows that the number of MDSC infiltration is a prognostic factor independent of CD8<sup>+</sup> T cells, and further investigation is needed.

After encountering tumor antigen, T cells acquire effector function and traffic to the tumor site to mount an attack on the tumor (17). Infiltration of T cells into the tumor microenvironment is the pivotal obstacle for T cells to initiate an effective anti-tumor response. However, once T cells have infiltrated the tumor, their success in killing the tumor is determined by their ability to overcome additional obstacles and counter-defense mechanisms that they encounter from the tumor cells, MDSCs, regulatory T cells, stromal cells, inhibitory cytokines, and other cells in the complex tumor microenvironment, which act to deteriorate the anti-tumor immune response (27). Immunotherapy focusing on immune checkpoint inhibitors has been approved for the treatment of various cancers (27-30). Immune checkpoint blockage using anti-programmed death-1 (PD-1)/programmed death ligand 1 (PD-L1) antibodies in HCC has recently shown favorable results (31,32). Although a strong prognostic effect of PD-1/PD-L1 expression in HCC has been reported (4,22,33-36), the response rate appears to be much lower than that of immunogenic tumors such as Hodgkin's lymphoma and melanoma, which are characterized by higher tumorous PD-L1 expression and intratumor CD8<sup>+</sup> cells and a less immunosuppressive microenvironment in most responding patients (24,27,28). These clinical observations emphasize the importance of the compelling need to reverse the non-immunogenic liver tumor microenvironment for more effective therapeutic responses to immune checkpoint therapy (24). Although effective treatment for the non-immunogenic microenvironment in HCC, such as MDSCs, has not been established, atezolizumab + bevacizumab may be useful. A recent large phase III study called IMbrave150 compared atezolizumab + bevacizumab with sorafenib as the first treatment for patients with unresectable HCC. The study demonstrated statistically significant and clinically dramatic improvements in both OS and RFS for atezolizumab + bevacizumab compared with sorafenib. Bevacizumab, an anti-vascular endothelial growth factor antibody, has the capability of promoting vascular normalization, increasing the infiltration of lymphocytes into tumor tissues, and decreasing

the amount and function of MDSCs, tumor-associated macrophages, and regulatory T cells, thus leading to synergistic efficacy after combination with PD-1/PD-L1 inhibitors (37). In addition, a variety of therapeutic agents in other cancers have been reported for MDSCs. In model mouse, administration of docetaxel decrease MDSCs and increase the expression of macrophage differentiation markers (38). Fluorouracil also selectively damaged MDSCs, which led to an increase response of tumor specific CD8<sup>+</sup> T cell (39).

In conclusion, high infiltration of MDSCs in HCC was found to be an independent prognostic factor for OS and RFS, and patients with high infiltration of MDSCs and low infiltration of T cells in HCC showed a worse prognosis than other patients. Therefore, MDSC and T-cell infiltration in HCC may be a clinical biomarker for selection of patients for anti-PD-1/PD-L1 and anti-vascular endothelial growth factor therapy.

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### Availability of data and materials

The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

### Authors' contributions

TTomiy, SI and TY conceived and designed the study. TTomiy, SI, KY, KK, NI, AM, KM, MM and YO developed the methodology. TTomiy, SI, NI, AM, KT, YKF, TTomin, TK, YN, KM and NH acquired data. TTomiy, SI, KT, KY, KK and YO analyzed and interpreted data. TTomiy, SI, MM and TY wrote, reviewed and/or revised the manuscript. MM, YO and TY supervised the study. TTomiy, SI and NI confirm the authenticity of all the raw data. All authors read and approved the final manuscript.

### Ethics approval and consent to participate

The present project received ethical approval from Kyushu University Hospital (approval no. 2020-180; Fukuoka, Japan). An opt-out approach was employed to obtain informed consent from our patients and personal information was protected during data collection.

### Patient consent for publication

Not applicable.

### Competing interests

The authors declare that they have no competing interests.



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