# Protective Effect of the HLA-DRB1*13:02 Allele in Japanese Rheumatoid Arthritis Patients 

Shomi Oka ${ }^{1}$, Hiroshi Furukawa ${ }^{1 *}$, Aya Kawasaki ${ }^{2}$, Kota Shimada ${ }^{3,4}$, Shoji Sugii ${ }^{4}$, Atsushi Hashimoto ${ }^{3}$, Akiko Komiya ${ }^{1}$, Naoshi Fukui ${ }^{1}$, Satoshi Ito ${ }^{5}$, Tadashi Nakamura ${ }^{6}$, Koichiro Saisho ${ }^{7}$, Masao Katayama ${ }^{8}$, Shinichiro Tsunoda ${ }^{9}$, Hajime Sano ${ }^{9}$, Kiyoshi Migita ${ }^{10}$, Akiko Suda ${ }^{11,12}$, Shouhei Nagaoka ${ }^{11}$, Naoyuki Tsuchiya ${ }^{29}$, Shigeto Tohma ${ }^{19}$<br>1 Clinical Research Center for Allergy and Rheumatology, Sagamihara Hospital, National Hospital Organization, Sagamihara, Japan, 2 Molecular and Genetic Epidemiology Laboratory, Faculty of Medicine, University of Tsukuba, Tsukuba, Japan, 3 Department of Rheumatology, Sagamihara Hospital, National Hospital Organization, Sagamihara, Japan, 4 Department of Rheumatology, Tokyo Metropolitan Tama Medical Center, Fuchu, Japan, 5 Department of Rheumatology, Niigata Rheumatic Center, Shibata, Japan, 6 Department of Rheumatology, Kumamoto Shinto General Hospital, Kumamoto, Japan, 7 Department of Orthopedics/Rheumatology, Miyakonojo Hospital, National Hospital Organization, Miyakonojo, Japan, 8 Department of Internal Medicine, Nagoya Medical Center, National Hospital Organization, Nagoya, Japan, 9 Division of Rheumatology, Department of Internal Medicine, Hyogo College of Medicine, Nishinomiya, Japan, $\mathbf{1 0}$ Clinical Research Center, Nagasaki Medical Center, National Hospital Organization, Omura, Japan, 11 Department of Rheumatology, Yokohama Minami Kyosai Hospital, Yokohama, Japan, 12 Center for Rheumatic Diseases, Yokohama City University Medical Center, Yokohama, Japan


#### Abstract

Rheumatoid arthritis (RA) is a chronic systemic inflammatory disease. Certain HLA-DRB1 "shared-epitope" alleles are reported to be positively associated with increased RA susceptibility, whereas some of the other alleles may be negatively associated. However, studies on the latter are rare. Here, we focus on the protective effects of DRB1 alleles in Japanese RA patients in an association study. Relative predispositional effects (RPE) were analyzed by sequential elimination of carriers of each allele with the strongest association. The protective effects of DRB1 alleles were investigated in patients stratified according to whether they possessed anti-citrullinated peptide antibodies (ACPA). The DRB1*13:02 allele was found to be negatively associated with RA $\left(P=4.59 \times 10^{-10}\right.$, corrected $P(P C)=1.42 \times 10^{-8}$, odds ratio [OR] $0.42,95 \% \mathrm{Cl} 0.32-0.55, P$ $[\mathrm{RPE}]=1.27 \times 10^{-6}$ ); the genotypes $\operatorname{DRB1*} 04: 05 /{ }^{*} 13: 02$ and ${ }^{*} 09: 01 / * 13: 02$ were also negatively associated with RA. The protective effect of ${ }^{*} 13: 02$ was also present in ACPA-positive patients ( $P=3.95 \times 10^{-8}, P \mathrm{C}=1.22 \times 10^{-6}, \mathrm{OR} 0.42,95 \% \mathrm{CI} 0.31-$ 0.58 ) whereas *15:02 was negatively associated only with ACPA-negative RA $\left(P=8.87 \times 10^{-5}, P \mathrm{C}=0.0026, \mathrm{OR} 0.26,95 \% \mathrm{Cl}\right.$ $0.12-0.56$ ). Thus, this study identified a negative association of DRB1*13:02 with Japanese RA; our findings support the protective role of $D R B 1^{*} 13: 02$ in the pathogenesis of ACPA-positive RA.


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* E-mail: h-furukawa@sagamihara-hosp.gr.jp

9 These authors contributed equally to this work.

## Introduction

Rheumatoid arthritis (RA) is a chronic systemic inflammatory disease that affects about $1 \%$ of the population. Its pathogenesis is multifactorial and disease susceptibility is associated with genetic
and environmental factors $[1,2,3]$. Human Leukocyte Antigen (HLA) alleles are associated with RA in most ethnic groups and represent the strongest genetic risk factors for the disease. Most reports are of $H L A-D R B 1$ alleles positively associated with RA susceptibility. A conserved amino acid sequence at position 70-74
(QKRAA, RRRAA, or QRRAA) in the HLA-DR $\beta$ chain is shared between the RA susceptibility-associated DRB1 alleles; this was designated the "shared epitope" (SE) [4]. A gene dosage effect was noted in the associations of HLA-DRB1 alleles with susceptibility to RA in that homozygosity for susceptibility alleles does confer higher disease risk than heterozygosity for these alleles.

The presence of anti-citrullinated peptide antibodies (ACPA) is associated with RA with higher specificity than rheumatoid factor; thus, ACPA is thought to play some role in the pathogenesis of RA, especially as SE alleles are strongly associated with ACPApositive RA but only relatively weakly with ACPA-negative RA [5]. Several studies have found that $D R B 1 * 04: 01$ and *04:05, both SE alleles, were mainly associated with RA in European and East Asian populations, respectively.
As well as associations with disease susceptibility, some DRB1 alleles are reported to be negatively associated with RA. An amino acid sequence (DERAA) at position 70-74 [6], isoleucine at position 67 (I67) [7], aspartic acid at position 70 (D70) [8], or a conserved amino acid sequence at position 71-74 (Sl; ARAA or ERAA) $[9,10]$ in the HLA-DR $\beta$ chain seem to be protective in European populations. It was also reported that $\operatorname{DRB1*13}$ alleles are negatively associated with ACPA-positive and -negative RA in European populations [11]. A meta-analysis concluded that $D R B 1 * 13: 01$ was protective against ACPA-positive RA in European populations [12]. However, there are very few studies on the protective effects of $D R B 1$ alleles in Japanese patients, although reduced frequencies of some $D R B 1$ alleles have been reported in Asian RA [13,14,15,16,17]. In this study, we focus on the protective effects of HLA-DRB1 alleles in Japanese RA patients with or without ACPA.

## Materials and Methods

## Patients and controls

RA patients $(\mathrm{n}=1480)$ were recruited at Sagamihara Hospital, Tama Medical Genter, Nagoya Medical Center, Nagasaki Medical Center, Yokohama Minami Kyosai Hospital, Kumamoto Center for Arthritis and Rheumatology, Miyakonojo Hospital, Niigata Rheumatic Center, and Hyogo College of Medicine. Of these 1480 RA patients, 919 were ACPA-positive and 110 were ACPA-negative. ACPA data were not available for the remaining 451 patients. Healthy controls ( $\mathrm{n}=800$; mean age $\pm$ SD, $36.7 \pm 10.7$ years, 238 male [ $30.1 \%]$ ) were recruited at Sagamihara Hospital and University of Tokyo, or by the Pharma SNP Consortium (Tokyo, Japan) [18]. All patients and healthy individuals were native Japanese living in Japan. All patients with RA fulfilled the 1987 American College of Rheumatology criteria
for RA [19]. Rheumatoid factor and ACPA were detected using the N-latex RF kit (Siemens Healthcare Diagnostics, München, Germany) and the Mesacup-2 test CCP (Medical \& Biological Laboratories, Nagoya, Japan), respectively. This study was reviewed and approved by the Research Ethics Committees of each participating institute: Nagasaki Medical Center Research Ethics Committee, Yokohama Minami Kyosai Hospital Research Ethics Committee, Tama Medical Center Research Ethics Committee, University of Tsukuba Research Ethics Committee, Miyakonojo Hospital Research Ethics Committee, Kumamoto Center for Arthritis and Rheumatology Research Ethics Committee, Niigata Rheumatic Center Research Ethics Committee, Hyogo College of Medicine Research Ethics Committee, and the University of Tokyo Research Ethics Committee. Written informed consent was obtained from all study participants. This study was conducted in accordance with the principles expressed in the Declaration of Helsinki.

## Genotyping

Genotyping of HLA-DRB1 was performed by a polymerase chain reaction technique using sequence-specific oligonucleotide probes (WAKFlow HLA typing kits, Wakunaga, Hiroshima, Japan), using a Bio-Plex 200 system (Bio-Rad, Hercules, CA), or using MPH-2 High Resolution HLA typing kits (Wakunaga) for four-digit allele typing. The following $D R B 1$ alleles contain the SE [4]: *01:01, *04:01, *04:04, *04:05, *04:10, *10:01, *14:02, and *14:06. DRB1 allele groups, D70, I67, S1, and DERAA, were reported to be protective in European populations [6,7,8,9,10]; the protective effects of these allele groups in Japanese were validated in this study. $D R B 1$ alleles containing D70 [8] are *07:01, *08:02, *08:03, *08:09, *08:23, *11:01, *11:06, *12:01, *12:02, *12:05, *13:01, *13:02, *13:07, *14:03, *14:12, and *16:02. DRB1 alleles containing I67 [7] are *07:01, *08:03, *08:23, *12:01, *12:05, *13:01, *13:02, *14:45, *15:01, *15:02, and *15:11. DRB1 alleles containing DERAA [6] are the same as $D R B 1 * 13$ (i.e. ${ }^{* 13: 01}$, and *13:02). Finally, DRB1 alleles containing S1 [20] are *13:01, *13:02, *15:01, and *15:02. Results of DRB1 genotyping for some of the healthy controls were reported previously [14]. Some of the RA patients were also included in another study which reported on susceptibility effects for interstitial lung disease or positivity for autoantibodies [21,22,23]. HLA-DRB1 genotype of each subject was not deposited in publicly available resources.

## Statistical analysis

The exact tests for deviation from Hardy-Weinberg equilibrium were conducted by the Markov chain method under the condition

Table 1. Characteristics of the RA patients studied.

|  | RA | ACPA(+) RA | ACPA(-) RA | $P$ |
| :---: | :---: | :---: | :---: | :---: |
| Number | 1480 | 919 | 110 |  |
| Mean age, years (SD) | 63.9 (12.2) | 63.7 (12.2) | 63.4 (12.3) | 0.8582* |
| Male, n (\%) | 272 (19.0) | 171 (18.7) | 21 (19.3) | 0.8969 |
| Age at onset, years (SD) | 49.3 (14.4) | 49.2 (14.2) | 50.1 (16.7) | 0.6092* |
| Steinbrocker stage III and IV, n (\%) | 560 (37.8) | 521 (56.7) | 45 (40.9) | 0.0703 |
| Rheumatoid factor positive, n (\%) | 1002 (67.7) | 826 (89.9) | 40 (36.4) | $9.39 \times 10^{-37}$ |

Table 2. HLA-DRB1 allele carrier frequency in RA patients and controls.

|  | RA ( $\mathrm{n}=1480$ ) | Control ( $\mathrm{n}=800$ ) | $P$ | OR | Pc | 95\%CI | $P$ (RPE) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| DRB1*04 | 901 (60.9) | 315 (39.4) | $1.00 \times 10^{-22}$ | 2.40 |  | (2.01-2.86) |  |
| DRB1*08 | 188 (12.7) | 181 (22.6) | $2.02 \times 10^{-9}$ | 0.50 |  | (0.40-0.62) |  |
| DRB1*12 | 143 (9.7) | 87 (10.9) | 0.3820 | 0.88 |  | (0.66-1.16) |  |
| DRB1*13 | 112 (7.6) | 134 (16.8) | $4.69 \times 10^{-11}$ | 0.41 |  | (0.31-0.53) |  |
| DRB1*14 | 180 (12.2) | 143 (17.9) | 0.0003 | 0.64 |  | (0.50-0.81) |  |
| DRB1*15 | 405 (27.4) | 262 (32.8) | 0.0080 | 0.77 |  | (0.64-0.93) |  |
| SE | 1035 (69.9) | 315 (39.4) | $2.35 \times 10^{-45}$ | 3.58 |  | (2.99-4.29) |  |
| D70 | 503 (34.0) | 434 (54.3) | $1.15 \times 10^{-20}$ | 0.43 |  | (0.36-0.52) |  |
| 167 | 691 (46.7) | 501 (62.6) | $3.67 \times 10^{-13}$ | 0.52 |  | (0.44-0.62) |  |
| S1 | 504 (34.1) | 375 (46.9) | $2.51 \times 10^{-9}$ | 0.59 |  | (0.49-0.70) |  |
| DRB1*01:01 | 210 (14.2) | 83 (10.4) | 0.0104 | 1.43 | 0.3239 | (1.09-1.87) | $3.75 \times 10^{-5}$ |
| DRB1*03:01 | 2 (0.1) | 0 (0.0) | 0.5443 | 2.71 | NS | (0.13-56.46) |  |
| DRB1*04:01 | 84 (5.7) | 17 (2.1) | $4.30 \times 10^{-5}$ | 2.77 | 0.0013 | (1.63-4.70) | 0.0002 |
| DRB1*04:03 | 38 (2.6) | 42 (5.3) | 0.0012 | 0.48 | 0.0374 | (0.30-0.74) |  |
| DRB1*04:04 | 5 (0.3) | 4 (0.5) | 0.7280 | 0.67 | NS | (0.18-2.52) |  |
| DRB1*04:05 | 738 (49.9) | 185 (23.1) | $1.41 \times 10^{-36}$ | 3.31 | $4.37 \times 10^{-35}$ | (2.73-4.01) | $1.41 \times 10^{-36}$ |
| DRB1*04:06 | 58 (3.9) | 59 (7.4) | 0.0005 | 0.51 | 0.0148 | (0.35-0.74) |  |
| DRB1*04:07 | 4 (0.3) | 15 (1.9) | 0.0001 | 0.14 | 0.0035 | (0.05-0.43) |  |
| DRB1*04:10 | 70 (4.7) | 21 (2.6) | 0.0136 | 1.84 | 0.4224 | (1.12-3.02) | 0.0109 |
| DRB1*07:01 | 10 (0.7) | 7 (0.9) | 0.6155 | 0.77 | NS | (0.29-2.03) |  |
| DRB1*08:02 | 56 (3.8) | 61 (7.6) | 0.0001 | 0.48 | 0.0042 | (0.33-0.69) |  |
| DRB1*08:03 | 135 (9.1) | 124 (15.5) | $8.60 \times 10^{-6}$ | 0.55 | 0.0003 | (0.42-0.71) |  |
| DRB1*08:09 | 1 (0.1) | 2 (0.3) | 0.2829 | 0.27 | NS | (0.02-2.98) |  |
| DRB1*08:23 | 1 (0.1) | 0 (0.0) | 1.0000 | 1.62 | NS | (0.07-39.89) |  |
| DRB1*09:01 | 423 (28.6) | 213 (26.6) | 0.3282 | 1.10 | NS | (0.91-1.34) | $7.32 \times 10^{-5}$ |
| DRB1*10:01 | 25 (1.7) | 2 (0.3) | 0.0017 | 6.86 | 0.0536 | (1.62-29.02) | 0.0128 |
| DRB1*11:01 | 40 (2.7) | 33 (4.1) | 0.0803 | 0.65 | NS | (0.40-1.03) | 0.0236 |
| DRB1*12:01 | 95 (6.4) | 58 (7.3) | 0.4830 | 0.88 | NS | (0.63-1.23) |  |
| DRB1*12:02 | 50 (3.4) | 29 (3.6) | 0.8105 | 0.93 | NS | (0.58-1.48) |  |
| DRB1*13:01 | 5 (0.3) | 8 (1.0) | 0.0752 | 0.34 | NS | (0.11-1.03) |  |
| DRB1*13:02 | 107 (7.2) | 126 (15.8) | $4.59 \times 10^{-10}$ | 0.42 | $1.42 \times 10^{-8}$ | (0.32-0.55) | $1.27 \times 10^{-6}$ |
| DRB1*14:02 | 2 (0.1) | 0 (0.0) | 0.5443 | 2.71 | NS | (0.13-56.46) |  |
| DRB1*14:03 | 32 (2.2) | 38 (4.8) | 0.0009 | 0.44 | 0.0271 | (0.27-0.72) |  |
| DRB1*14:04 | 0 (0.0) | 3 (0.4) | 0.0431 | 0.08 | NS | (0.00-1.49) |  |
| DRB1*14:05 | 28 (1.9) | 35 (4.4) | 0.0011 | 0.42 | 0.0348 | (0.25-0.70) |  |

Table 2. Cont.


[^0]of 10000 each of dememorization, batches, and iterations per batch (Genepop on the web; http://genepop.curtin.edu.au/) [24]. Differences of allele carrier frequencies, genotype frequencies or amino acid residue carrier frequencies were analyzed by Fisher's exact test using $2 \times 2$ contingency tables. In order to estimate the protective effects of alleles in multi-allelic locus on individuals for RA, differences of allele carrier frequencies, or amino acid residue carrier frequencies were analyzed under the dominant model. Adjustment for multiple comparisons was performed using the Bonferroni method. Pc values were calculated by multiplying the $P$ value by the number of alleles or amino acid residues tested.

Alleles with low carrier frequencies in RA patients may not be detectably protective because predisposing SE alleles with higher carrier frequencies could obscure their influence. To investigate the protective effects of HLA alleles, relative predispositional effects (RPE) were analyzed by sequential elimination of carriers of each allele with the strongest association [25]. In order to obtain an accurate estimate of the effects of alleles other than SE, analyses of these alleles in RA patients were also stratified in the following manner: For SE-negative subjects, the effect in " $A / A$ " and " $A$ / other than SE or $A$ " genotype groups was investigated using "other than SE or $A /$ other than SE or $A$ " genotype group as the reference. For SE-positive subjects, the effect of "SE/A" genotype group was analyzed using "SE/other than $A$ " genotype group as the reference. The protective effects of the *13:02 allele were confirmed in the presence of predisposing allele " $B$ ". The effect in " $B / * 13: 02$ " genotype group was investigated using the " $B /$ other than *13:02" genotype group as the reference. The protective effects of the $* 15: 02$ allele were confirmed in the analysis of " $B /$ *15:02" using the " $B /$ other than *15:02" genotype group as the reference in the same manner.

## Results

## Characteristics of RA patients

Characteristics of ACPA-positive $[\mathrm{ACPA}(+)]$ and ACPA-negative $[\mathrm{ACPA}(-)]$ RA patients are given in Table 1. The proportion of rheumatoid factor-positive patients in the ACPA( + ) group was higher than in ACPA(-) RA. There were no significant differences in terms of mean age, percentage of males, age at onset, or Steinbrocker stage [26] between ACPA(+) and ACPA(-) patients.

## Reduced HLA-DRB1*13:02 allele carrier frequency in Japanese RA

HLA-DRB1 genotyping was performed in 1480 RA patients and 800 healthy controls to compare HLA allele carrier frequencies (Table 2). No deviation from Hardy-Weinberg equilibrium was observed in the controls $(P=0.6329)$, though a deviation was detected in the RA patients $(P<0.0001)$. A strong positive association between the frequency of $D R B 1 * 04$ and RA ( $P=1.00 \times 10^{-22}$, Corrected $P[P \mathrm{c}]=1.31 \times 10^{-21}$, odds ratio [OR] 2.40, $95 \%$ confidence interval [C] 2.01-2.86, Table 2) was confirmed. Additionally, $D R B 1 * 13$ (i.e. the DERAA allele group) was found to be negatively associated with RA $\left(P=4.69 \times 10^{-11}, \quad P_{\mathrm{C}}=6.10 \times 10^{-10}\right.$, OR $0.41,95 \%$ CI $0.31-$ 0.53 ). The D70, I67, and S1 allele groups were also negatively associated with RA (D70: $P=1.15 \times 10^{-20}$, OR $0.43,95 \%$ CI $0.36-0.52$; I67: $P=3.67 \times 10^{-13}$, OR $0.52,95 \% \mathrm{CI} 0.44-0.62$; S1: $P=2.51 \times 10^{-9}$, OR $0.59,95 \%$ CI $\left.0.49-0.70\right)$. Finally, a predisposing association was confirmed between SE and RA ( $P=2.35 \times 10^{-45}$, OR 3.58, 95\% CI 2.99-4.29).

We further explored associations between these $D R B 1$ alleles and RA by high-resolution typing, using RPE testing [25] (Table 2). RPE were analyzed by sequential elimination of carriers

Table 3. HLA-DRB1 allele carrier frequency in RA patients and controls in subjects stratified for the presence of SE.

|  |  | RA ( $\mathrm{n}=1480$ ) | Control ( $\mathrm{n}=800$ ) | $P$ | OR | 95\%CI |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| *03 | SE negative | 1 (0.2) | 0 (0.0) | 0.4785 | 3.28 | (0.13-80.65) |
|  | SE positive | 1 (0.1) | 0 (0.0) | 1.0000 | 0.91 | (0.04-22.52) |
| *04 other than SE | SE negative | 46 (10.3) | 81 (16.7) | 0.0055 | 0.58 | (0.39-0.85) |
|  | SE positive | 54 (5.2) | 34 (10.8) | 0.0010 | 0.45 | (0.29-0.71) |
| *07 | SE negative | 5 (1.1) | 5 (1.0) | 1.0000 | 1.09 | (0.31-3.79) |
|  | SE positive | 5 (0.5) | 2 (0.6) | 0.6680 | 0.76 | (0.15-3.93) |
| *08 | SE negative | 114 (25.6) | 140 (28.9) | 0.2703 | 0.85 | (0.64-1.13) |
|  | SE positive | 74 (7.1) | 41 (13.0) | 0.0018 | 0.51 | (0.34-0.77) |
| *09 | SE negative | 230 (51.7) | 161 (33.2) | $1.44 \times 10^{-8}$ | 2.15 | (1.65-2.81) |
|  | SE positive | 193 (18.6) | 52 (16.5) | 0.4051 | 1.16 | (0.83-1.62) |
| *11 | SE negative | 17 (3.8) | 29 (6.0) | 0.1336 | 0.62 | (0.34-1.15) |
|  | SE positive | 23 (2.2) | 4 (1.3) | 0.3635 | 1.77 | (0.61-5.15) |
| *12 | SE negative | 63 (14.2) | 66 (13.6) | 0.8496 | 1.05 | (0.72-1.52) |
|  | SE positive | 80 (7.7) | 21 (6.7) | 0.6248 | 1.17 | (0.71-1.93) |
| ${ }^{*} 13$ | SE negative | 48 (10.8) | 102 (21.0) | $2.43 \times 10^{-5}$ | 0.45 | (0.31-0.66) |
|  | SE positive | 64 (6.2) | 32 (10.2) | 0.0235 | 0.58 | (0.37-0.91) |
| *14 other than SE | SE negative | 63 (14.2) | 89 (18.4) | 0.0918 | 0.73 | (0.52-1.04) |
|  | SE positive | 72 (7.0) | 33 (10.5) | 0.0537 | 0.64 | (0.41-0.98) |
| *15 | SE negative | 182 (40.9) | 207 (42.7) | 0.5950 | 0.93 | (0.72-1.21) |
|  | SE positive | 223 (21.5) | 55 (17.5) | 0.1305 | 1.30 | (0.94-1.80) |
| *16 | SE negative | 10 (2.2) | 9 (1.9) | 0.8174 | 1.22 | (0.49-3.02) |
|  | SE positive | 7 (0.7) | 6 (1.9) | 0.0900 | 0.35 | (0.12-1.05) |
| *13:01 | SE negative | 1 (0.2) | 7 (1.4) | 0.0712 | 0.15 | (0.02-1.26) |
|  | SE positive | 4 (0.4) | 1 (0.3) | 1.0000 | 1.22 | (0.14-10.94) |
| *13:02 | SE negative | 47 (10.6) | 95 (19.6) | 0.0001 | 0.48 | (0.33-0.71) |
|  | SE positive | 60 (5.8) | 31 (9.8) | 0.0148 | 0.56 | (0.36-0.89) |
| D70 | SE negative | 242 (54.4) | 320 (66.0) | 0.0004 | 0.61 | (0.47-0.80) |
|  | SE positive | 261 (25.2) | 114 (36.2) | 0.0002 | 0.59 | (0.45-0.78) |
| 167 | SE negative | 301 (67.6) | 371 (76.5) | 0.0027 | 0.64 | (0.48-0.86) |
|  | SE positive | 390 (37.7) | 130 (41.3) | 0.2614 | 0.86 | (0.67-1.11) |
| S1 | SE negative | 217 (48.8) | 288 (59.4) | 0.0012 | 0.65 | (0.50-0.84) |
|  | SE positive | 287 (27.7) | 87 (27.6) | 1.0000 | 1.01 | (0.76-1.33) |
| D70 other than *13:02 | SE negative | 212 (47.6) | 253 (52.2) | 0.1892 | 0.83 | (0.64-1.08) |
|  | SE positive | 201 (19.4) | 83 (26.3) | 0.0092 | 0.67 | (0.50-0.90) |
| 167 other than *13:02 | SE negative | 280 (62.9) | 310 (63.9) | 0.7852 | 0.96 | (0.73-1.25) |
|  | SE positive | 330 (31.9) | 99 (31.4) | 0.8904 | 1.02 | (0.78-1.34) |
| S1 other than *13:02 | SE negative | 182 (40.9) | 213 (43.9) | 0.3536 | 0.88 | (0.68-1.15) |
|  | SE positive | 227 (21.9) | 56 (17.8) | 0.1147 | 1.30 | (0.94-1.80) |

RA: rheumatoid arthritis, SE: Shared epitope, OR: odds ratio, CI: confidence interval, Allele carrier frequencies are shown in parenthesis (\%). Association was tested by Fisher's exact test using $2 \times 2$ contingency tables. SE negative: " $A / A^{\prime \prime}$ or " $A$ /other than SE or $A$ " vs. "other than SE or $A /$ other than SE or $A$ ". SE positive: "SE/A" vs. "SE/ other than $A^{\prime \prime}$. Allele groups SE, D70, 167, and S1 were as defined in the Materials and Methods section.
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of each allele with the strongest association (Table 2, right column). The prime strongest association was between $D R B 1 * 04: 05$ and RA ( $P=1.41 \times 10^{-36}, P \mathrm{C}=4.37 \times 10^{-35}$, OR $3.31,95 \%$ CI $2.73-4.01$ ). Thus, a second round of comparisons was conducted after the elimination of $D R B 1 * 04: 05$ carriers, revealing the next strongest association to be between $D R B 1 * 13: 02$ and RA ( $\left.P=1.27 \times 10^{-6}, P \mathrm{c}=3.68 \times 10^{-5}\right)$. A third round after the elimination of both $D R B 1 * 04: 05$ or *13:02 carriers now showed
the strongest association of RA with $D R B 1 * 04: 01$ ( $P=0.0002$, $\left.P_{\mathrm{c}}=0.0065\right)$. Further rounds after elimination of $D R B 1 * 04: 05$, *13:02 and *04:01 carriers revealed associations between the remaining DRB1 alleles and RA, particularly for $D R B 1 * 09: 01$ $\left(P=7.32 \times 10^{-5}, \quad P_{\mathrm{C}}=0.0020\right), \quad * 01: 01 \quad\left(P=3.75 \times 10^{-5}\right.$, $\left.P_{\mathrm{c}}=0.0010\right), \quad * 14: 06 \quad\left(P=0.0041, \quad P_{\mathrm{c}}=0.0995\right), \quad{ }^{2} 10: 01$ $(P=0.0128, P \mathrm{c}=0.2936), * 04: 10 \quad(P=0.0109, P \mathrm{c}=0.2399)$, and *11:01 ( $P=0.0236, P \mathrm{c}=0.4948$ ). The results from association

Table 4. HLA-DRB1 genotype frequency in RA patients and controls.

|  |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- |
|  | RA $(\mathbf{n}=\mathbf{1 4 8 0})$ | Control $(\mathbf{n}=\mathbf{8 0 0})$ | $\boldsymbol{P}$ | OR | $\mathbf{9 5 \% C I}$ |
| $* 04: 05 / * 13: 02$ | $45(6.1)$ | $21(11.4)$ | 0.0168 | 0.51 | $(0.29-0.87)$ |
| $* 04: 01 / * 13: 02$ | $6(7.1)$ | $2(11.8)$ | 0.6190 | 0.58 | $(0.11-3.14)$ |
| $* 09: 01 / * 13: 02$ | $12(2.8)$ | $21(9.9)$ | 0.0004 | 0.27 | $(0.13-0.55)$ |
| $* 01: 01 / * 13: 02$ | $5(2.4)$ | $3(3.6)$ | 0.6917 | 0.65 | $(0.15-2.79)$ |

RA: rheumatoid arthritis, OR: odds ratio, Cl: confidence interval, Allele carrier frequencies are shown in parenthesis (\%). Association was tested by Fisher's exact test using $2 \times 2$ contingency tables. Comparison: " $B /{ }^{*} 13: 02$ " vs. B/other than *13:02".
doi:10.1371/journal.pone.0099453.t004
studies under the recessive and the allele models were represented in Table S1 and S2, respectively. Similar tendencies were observed in these analyses. We therefore focused on the DRB1 allele with the most significantly reduced allele carrier frequency, namely DRB1*13:02.

## Protective effects of the *13:02 allele against RA in both SE-positive and -negative subjects

In order to obtain an accurate estimate of the effects of alleles other than SE, associations were estimated in subjects stratified into those with or without SE (Table 3). Although DRB1*09 ( $P=1.44 \times 10^{-8}$, OR $2.15,95 \%$ CI $1.65-2.81$ ) predisposes to RA in SE-negative people, *04 other than SE (*04:03, *04:06, *04:07: SE negative, $P=0.0055$, OR $0.58,95 \%$ CI $0.39-0.85$; SE positive, $P=0.0010$, OR $0.45,95 \%$ CI $0.29-0.71$ ), ${ }^{*} 13$ (*13:01, *13:02: SE negative, $P=2.43 \times 10^{-5}$, OR $0.45,95 \%$ CI $0.31-0.66$; SE positive, $P=0.0235$, OR $0.58,95 \%$ CI $0.37-0.91$ ), and D70 (SE negative, $P=0.0004$, OR $0.61,95 \%$ CI $0.47-0.80$; SE positive, $P=0.0002$, OR $0.59,95 \%$ CI $0.45-0.78$ ) were negatively associated with RA in both SE-positive and -negative individuals. $D R B 1 * 08$ ( $P=0.0018$, OR $0.51,95 \%$ CI $0.34-0.77$ ) alleles were negatively associated with RA in SE-positive people. I67 ( $P=0.0027$, OR $0.64,95 \%$ CI $0.48-0.86$ ) and S1 ( $P=0.0012$, OR $0.65,95 \%$ CI $0.50-0.84$ ) alleles were negatively associated with RA in SE-negative subjects. However, D70 alleles other than *13:02 were negatively associated with RA in SE-positive ( $P=0.0092$, OR $0.67,95 \%$ CI $0.50-0.90$ ) but not in SE-negative individuals. I67 alleles other than *13:02 and S1 alleles other than *13:02 did not have any negative associations. These data suggest that the negative associations of D70, I67 and S1 alleles with RA in SE-negative subjects were mainly mediated by *13:02, although the negative association of D70 in SE-positive people was due to *08 alleles. Thus, *13:02 was negatively associated with RA in SEnegative people and relatively weakly also in SE-positive subjects.

The protective effects of the *13:02 allele were analyzed in the presence of predisposing alleles (Table 4). Although *04:05 and *09:01 are positively associated with RA in Japanese, the risk of disease in people carrying these alleles was decreased in heterozygotes also carrying *13:02 (*04:05: $P=0.0168$, $\mathrm{OR}=0.51,95 \%$ CI $0.29-0.87 ; * 09: 01: ~ P=0.0004$, $\mathrm{OR}=0.27$, 95\% CI 0.13-0.55).

Although the age at RA onset in *04:05 allele carriers was lower than in non-carriers (mean age $\pm$ standard deviation [SD] [years], carriers vs. non-carriers, $48.2 \pm 13.8$ vs. $50.5 \pm 14.9, P=0.0070$ ) the age at onset in people with *13:02 or *01:01 was higher than in non-carriers $(53.8 \pm 14.0$ vs. $48.9 \pm 14.4, \quad P=0.0027$, and $52.9 \pm 13.3$ vs. $48.7 \pm 14.5, P=0.0021$, respectively) (Table S3).

## Protective effects of *13:02 against ACPA(+) RA and *15:02 against $A C P A(-)$ RA

Predisposing effects of the *04:05 allele were confirmed in ACPA(+) RA (Table 5, $P=3.64 \times 10^{-35}, P \mathrm{C}=1.13 \times 10^{-33}$, OR $3.59,95 \%$ CI $2.91-4.42$ ), whereas $D R B 1 * 13: 02$ was negatively associated with $\mathrm{ACPA}(+) \mathrm{RA}\left(P=3.95 \times 10^{-8}, P \mathrm{c}=1.22 \times 10^{-6}\right.$, OR $0.42,95 \%$ CI $0.31-0.58)$. The DERAA allele group was still negatively associated with RA even when only ACPA(+) patients were considered ( $P=2.05 \times 10^{-9}$, OR $0.40,95 \%$ CI $0.29-0.54$ ). D70, I67, and S1 were also negatively associated with ACPA( + ) RA (D70: $P=5.78 \times 10^{-21}$, OR $0.39,95 \%$ CI $0.32-0.48$; I67: $P=3.66 \times 10^{-12}$, OR $0.50,95 \%$ CI $0.42-0.61$; S1: $P=2.31 \times 10^{-8}$, OR $0.57,95 \%$ CI $0.47-0.70$ ), and the predisposing association was confirmed between SE and ACPA( + ) RA ( $P=1.16 \times 10^{-48}$, OR 4.41, $95 \%$ CI 3.59-5.41).

The predisposing association was also confirmed between SE and ACPA $(-)$ RA ( $P=0.0229$, OR $1.60,95 \%$ CI $1.07-2.38$ ), albeit weakly. A tendency towards a positive association of *04:05 and ${ }^{*} 14: 54$ with ACPA( - ) RA was observed (*04:05: $P=0.0126$, $P_{\mathrm{c}}=0.3667$, OR $1.75,95 \%$ CI $1.15-2.69 ; * 14: 54: P=0.0202$, OR $2.25, P \mathrm{c}=0.5861,95 \%$ CI 1.17-4.32). On the other hand, $D R B 1 * 15: 02$ was negatively associated with $\operatorname{ACPA}(-)$ RA ( $P=8.87 \times 10^{-5}, P_{\mathrm{c}}=0.0026$, OR $0.26,95 \%$ CI $0.12-0.56$ ).

We next examined associations of alleles other than SE with $\mathrm{ACPA}(+)$ and $\mathrm{ACPA}(-)$ RA stratified by the presence or absence of SE (Table 6). This analysis showed that $D R B 1 * 13: 02$ and D70 were negatively associated with ACPA(+) RA in both SE-positive and -negative subjects (SE-negative: $P=0.0212$, OR $0.59,95 \%$ CI $0.38-0.92$; SE-positive: $P=0.0144$, OR $0.53,95 \%$ CI $0.32-0.87$ and SE-negative: $P=0.0011$, OR $0.59,95 \%$ CI $0.43-0.81$ : SEpositive, $P=0.0001$, OR $0.56,95 \%$ CI $0.42-0.75$, respectively). D70 alleles other than ${ }^{*} 13: 02$ were protectively associated with ACPA(+) RA in SE-positive ( $P=0.0076$, OR $0.65,95 \%$ CI $0.47-$ 0.89 ), but not in SE-negative subjects. These data suggest that the negative association of D70 alleles with ACPA (+) RA in SEnegative patients is mainly mediated by *13:02. Thus, the negative association of $* 13: 02$ with $\mathrm{ACPA}(+)$ RA was confirmed in SEnegative and -positive subjects.

The $D R B 1 * 15: 02$ allele was negatively associated with ACPA(-) RA in SE-negative people ( $P=0.0008$, OR $0.22,95 \%$ CI $0.08-$ 0.61 ). I67 and S 1 alleles were negatively associated with ACPA( - ) RA in SE-negative subjects ( $P=0.0080$, OR 0.45 , $95 \%$ CI $0.25-0.80$ and $P=0.0008$, OR $0.37,95 \%$ CI $0.21-$ 0.67 , respectively). However, I67 alleles other than *15:02 or S1 alleles other than ${ }^{*} 15: 02$ were not associated with ACPA(-) RA. These data suggest that the negative associations of I67 and S1 with $\mathrm{ACPA}(-) \mathrm{RA}$ in SE-negative subjects are mainly mediated by ${ }^{*} 15: 02$. Thus, the negative association of ${ }^{*} 15: 02$ with $\operatorname{ACPA}(-)$ RA was detected in SE-negative people.
Table 5. HLA-DRB1 allele carrier frequency in $\operatorname{ACPA}(+)$ and $A C P A(-)$ RA patients and controls.

|  | $\begin{aligned} & \text { ACPA(+) RA } \\ & (\mathrm{n}=919) \end{aligned}$ | $\frac{A C P A(-) R A}{(n=110)}$ | $\begin{aligned} & \text { Control } \\ & \hline(n=800) \end{aligned}$ | $\frac{A C P A(+) R A}{P}$ | OR | Pc | 95\%CI | $\frac{\mathrm{ACPA}(-) \mathrm{RA}}{P}$ | OR | Pc | 95\%CI |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |  |  |  |  |  |
| DRB1*01:01 | 146 (15.9) | 10 (9.1) | 83 (10.4) | 0.0008 | 1.63 | 0.0251 | (1.22-2.18) | 0.8664 | 0.86 | NS | (0.43-1.72) |
| DRB1*03:01 | 1 (0.1) | 1 (0.9) | 0 (0.0) | 1.0000 | 2.61 | NS | (0.11-64.28) | 0.1209 | 21.93 | NS | (0.89-541.76) |
| DRB1*04:01 | 65 (7.1) | 4 (3.6) | 17 (2.1) | $1.12 \times 10^{-6}$ | 3.51 | $3.48 \times 10^{-5}$ | (2.04-6.03) | 0.3069 | 1.74 | NS | (0.57-5.26) |
| DRB1*04:03 | 22 (2.4) | 1 (0.9) | 42 (5.3) | 0.0020 | 0.44 | 0.0632 | (0.26-0.75) | 0.0512 | 0.17 | NS | (0.02-1.22) |
| DRB1*04:04 | 4 (0.4) | 0 (0.0) | 4 (0.5) | 1.0000 | 0.87 | NS | (0.22-3.49) | 1.0000 | 0.80 | NS | (0.04-14.98) |
| DRB1*04:05 | 477 (51.9) | 38 (34.5) | 185 (23.1) | $3.64 \times 10^{-35}$ | 3.59 | $1.13 \times 10^{-33}$ | (2.91-4.42) | 0.0126 | 1.75 | 0.3667 | (1.15-2.69) |
| DRB1*04:06 | 32 (3.5) | 9 (8.2) | 59 (7.4) | 0.0003 | 0.45 | 0.0106 | (0.29-0.70) | 0.7014 | 1.12 | NS | (0.54-2.33) |
| DRB1*04:07 | 3 (0.3) | 0 (0.0) | 15 (1.9) | 0.0017 | 0.17 | 0.0514 | (0.05-0.59) | 0.2387 | 0.23 | NS | (0.01-3.86) |
| DRB1*04:10 | 46 (5.0) | 3 (2.7) | 21 (2.6) | 0.0122 | 1.95 | 0.3768 | (1.16-3.30) | 1.0000 | 1.04 | NS | (0.31-3.55) |
| DRB1*07:01 | 7 (0.8) | 0 (0.0) | 7 (0.9) | 0.7956 | 0.87 | NS | (0.30-2.49) | 1.0000 | 0.48 | NS | (0.03-8.44) |
| DRB1*08:02 | 27 (2.9) | 10 (9.1) | 61 (7.6) | $1.39 \times 10^{-5}$ | 0.37 | 0.0004 | (0.23-0.58) | 0.5703 | 1.21 | NS | (0.60-2.44) |
| DRB1*08:03 | 80 (8.7) | 13 (11.8) | 124 (15.5) | $1.83 \times 10^{-5}$ | 0.52 | 0.0006 | (0.39-0.70) | 0.3931 | 0.73 | NS | (0.40-1.34) |
| DRB1*08:09 | 0 (0.0) | 0 (0.0) | 2 (0.3) | 0.2164 | 0.17 | NS | (0.01-3.62) | 1.0000 | 1.45 | NS | (0.07-30.30) |
| DRB1*08:23 | 1 (0.1) | 0 (0.0) | 0 (0.0) | 1.0000 | 2.61 | NS | (0.11-64.28) |  |  |  |  |
| DRB1*09:01 | 252 (27.4) | 30 (27.3) | 213 (26.6) | 0.7440 | 1.04 | NS | (0.84-1.29) | 0.9086 | 1.03 | NS | (0.66-1.62) |
| DRB1*10:01 | 15 (1.6) | 0 (0.0) | 2 (0.3) | 0.0054 | 6.62 | 0.1669 | (1.51-29.04) | 1.0000 | 1.45 | NS | (0.07-30.30) |
| DRB1*11:01 | 26 (2.8) | 4 (3.6) | 33 (4.1) | 0.1463 | 0.68 | NS | (0.40-1.14) | 1.0000 | 0.88 | NS | (0.30-2.52) |
| DRB1*12:01 | 53 (5.8) | 14 (12.7) | 58 (7.3) | 0.2379 | 0.78 | NS | (0.53-1.15) | 0.0579 | 1.87 | NS | (1.00-3.47) |
| DRB1*12:02 | 27 (2.9) | 5 (4.5) | 29 (3.6) | 0.4963 | 0.80 | NS | (0.47-1.37) | 0.5922 | 1.27 | NS | (0.48-3.34) |
| DRB1*13:01 | 1 (0.1) | 1 (0.9) | 8 (1.0) | 0.0149 | 0.11 | 0.4632 | (0.01-0.86) | 1.0000 | 0.91 | NS | (0.11-7.33) |
| DRB1*13:02 | 67 (7.3) | 14 (12.7) | 126 (15.8) | $3.95 \times 10^{-8}$ | 0.42 | $1.22 \times 10^{-6}$ | (0.31-0.58) | 0.4819 | 0.78 | NS | (0.43-1.41) |
| DRB1*14:02 | 1 (0.1) | 0 (0.0) | 0 (0.0) | 1.0000 | 2.61 | NS | (0.11-64.28) |  |  |  |  |
| DRB1*14:03 | 17 (1.8) | 4 (3.6) | 38 (4.8) | 0.0008 | 0.38 | 0.0257 | (0.21-0.67) | 0.8088 | 0.76 | NS | (0.26-2.16) |
| DRB1*14:04 | 0 (0.0) | 0 (0.0) | 3 (0.4) | 0.1006 | 0.12 | NS | (0.01-2.40) | 1.0000 | 1.03 | NS | (0.05-20.09) |
| DRB1*14:05 | 18 (2.0) | 6 (5.5) | 35 (4.4) | 0.0048 | 0.44 | 0.1482 | (0.25-0.78) | 0.6219 | 1.26 | NS | (0.52-3.07) |
| DRB1*14:06 | 32 (3.5) | 3 (2.7) | 22 (2.8) | 0.4086 | 1.28 | NS | (0.74-2.21) | 1.0000 | 0.99 | NS | (0.29-3.37) |
| DRB1*14:07 | 1 (0.1) | 0 (0.0) | $2(0.3)$ | 0.6007 | 0.43 | NS | (0.04-4.80) | 1.0000 | 1.45 | NS | (0.07-30.30) |
| DRB1*14:54 | 43 (4.7) | 13 (11.8) | 45 (5.6) | 0.3824 | 0.82 | NS | (0.54-1.26) | 0.0202 | 2.25 | 0.5861 | (1.17-4.32) |
| DRB1*15:01 | 106 (11.5) | 15 (13.6) | 107 (13.4) | 0.2711 | 0.84 | NS | (0.63-1.13) | 0.8824 | 1.02 | NS | (0.57-1.83) |
| DRB1*15:02 | 145 (15.8) | 7 (6.4) | 168 (21.0) | 0.0058 | 0.70 | 0.1797 | (0.55-0.90) | $8.87 \times 10^{-5}$ | 0.26 | 0.0026 | (0.12-0.56) |
| DRB1*16:02 | 10 (1.1) | 2 (1.8) | 15 (1.9) | 0.2255 | 0.58 | NS | (0.26-1.29) | 1.0000 | 0.97 | NS | (0.22-4.30) |
| SE | 681 (74.1) | 56 (50.9) | 315 (39.4) | $1.16 \times 10^{-48}$ | 4.41 |  | (3.59-5.41) | 0.0229 | 1.60 |  | (1.07-2.38) |
| D70 | 292 (31.8) | 55 (50.0) | 434 (54.3) | $5.78 \times 10^{-21}$ | 0.39 |  | (0.32-0.48) | 0.4160 | 0.84 |  | (0.57-1.26) |
| 167 | 421 (45.8) | 57 (51.8) | 501 (62.6) | $3.66 \times 10^{-12}$ | 0.50 |  | (0.42-0.61) | 0.0364 | 0.64 |  | (0.43-0.96) |

Table 5. Cont.



Then we examined the protective effects of *13:02 against $\mathrm{ACPA}(+) \mathrm{RA}$ in the presence of predisposing alleles for ACPA( + ) RA, $D R B 1$ *04:05 and *09:01 (Table 5). As shown in Table 7, the risk for RA was decreased when these alleles were present together with *13:02 (*04:05: $P=0.0202$, OR $=0.49,95 \%$ CI $0.27-0.88$; *09:01: $P=0.0035$, OR $=0.30,95 \%$ CI $0.13-0.69$ ).

The protective effects of the *15:02 allele against ACPA(-) RA were also analyzed in the presence of predisposing alleles (Table 7). DRB1*04:05 and *14:54 are potentially risk alleles for ACPA(-) RA (Table 5). The risk for $\operatorname{ACPA}(-)$ RA showed tendency towards decrease when these alleles were present together with *15:02 (*04:05: $\quad P=0.2665, \quad$ OR $=0.39 ; \quad * 14: 54: \quad P=0.6686$, $\mathrm{OR}=0.45)$, but these differences were not statistically significant.

## Certain amino acid residues in the HLA-DR $\beta$ chain are associated with RA

Finally, we analyzed the association with RA with respect to each amino acid residue in the HLA-DR $\beta$ chain. Tyrosine at position $10\left(10 \mathrm{Y}, P=1.34 \times 10^{-20}, \mathrm{OR}=0.44, P_{\mathrm{c}}=4.59 \times 10^{-19}\right.$, $95 \%$ CI $0.37-0.52$ ), serine at position 11 ( $11 \mathrm{~S}, P=1.35 \times 10^{-20}$, $\mathrm{OR}=0.44, \mathrm{Pc}_{\mathrm{c}}=4.59 \times 10^{-19}, 95 \%$ CI $0.37-0.52$ ), threonine at position 12 (12T, $P=1.35 \times 10^{-20}, \mathrm{OR}=0.44, P \mathrm{P}=4.59 \times 10^{-19}$, $95 \%$ CI $0.37-0.52$ ), and aspartic acid at position 70 (70D, $P=1.15 \times 10^{-20}, \quad \mathrm{OR}=0.43, P_{\mathrm{C}}=3.91 \times 10^{-19}, 95 \%$ CI $0.36-$ 0.52 ) in the $\mathrm{DR} \beta$ chain showed strong protective associations with RA (Figure 1A, open circles). Similar associations were observed with ACPA(+) RA (Figure 1B), whereas aspartic acid at position 57 (57D $, P=0.0006, \mathrm{OR}=0.46, P_{\mathrm{c}}=0.0191,95 \%$ CI $0.30-0.71$ ) in the $\mathrm{DR} \beta$ chain showed a slight protective association with ACPA(-) RA (Figure 1C). Thus, association analysis suggested roles for specific amino acid residues in the HLA-DR $\beta$ chain.

## Discussion

Many groups have investigated associations between HLADRB1 alleles and RA disease susceptibility. However, few studies have focused on protective effects of DRB1 alleles against RA [11,12]. In the present study, we determined that the $D R B 1 * 13: 02$ allele plays a protective role in Japanese RA, especially in ACPA(+) RA, using RPE analysis (Table 2). A lower frequency of *13:02 alleles in Asian patients with RA has been reported before [13, 14, $15,16,17]$. In the genotype analysis, lower frequencies of the "HLA-DRB1*04:05/*13:02", or "*09:01/*13:02" genotypes in RA were observed (Table 4). Thus, the protective effects of *13:02 seem to overcome the predisposing effects of *04:05 or *09:01. Several studies have shown that certain DRB1 alleles are negatively associated with RA and also some negatively associated allele groups defined by amino acid sequences, such as D70, I67, Sl and DERAA (Table 3) [6,7,8,9,10]. Our results indicated that the protective effects of these allele groups were mainly attributable to *13:02 in Japanese RA, whereas they are attributable to ${ }^{*} 13: 01$ in European RA [12]. The age at onset of *13:02 allele carriers was higher than non-carriers (Table S3), suggesting that the allele carriers of *13:02 may be associated with RA subsets with higher age at onset, and/or the age at onset may be delayed in the presence of the *13:02 allele.
$D R B 1 * 13: 02$ commonly belongs to the haplotype $D R B 1 * 13: 02$ -DQB1*06:04-DPB1*04:01, which shows evidence for positive selection in Japanese in recent history [27]. The $D R B 1 * 13: 02$ allele is also a protective allele for cervical cancer [28], autoimmune hepatitis [29], and $D P B 1 * 04: 01$ is protective for hepatitis B infection [30]. Certain genes of this haplotype could be protective for these diseases, in addition to RA.
Table 6. HLA-DRB1 allele carrier frequency in $\operatorname{ACPA}(+)$ and $A C P A(-)$ RA patients and controls stratified for the presence of $S E$.

|  |  | $\begin{aligned} & \text { ACPA(t) RA } \\ & \hline(\mathrm{n}=919) \end{aligned}$ | $\frac{\text { ACPA( }- \text { ) RA }}{(\mathrm{n}=110)}$ | $\frac{\text { Control }}{(n=800)}$ | $\frac{\mathrm{ACPA}(+) \mathrm{RA}}{P}$ | OR | 95\%CI | $\frac{\mathrm{ACPA}(-) \mathrm{RA}}{P}$ | OR | 95\%CI |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |  |  |  |  |
| *03 | SE negative | 0 (0.0) | 1 (1.9) | 0 (0.0) | 1.0000 | 2.04 | (0.04-102.91) | 0.1002 | 27.22 | (1.10-676.68) |
|  | SE positive | 1 (0.1) | 0 (0.0) | 0 (0.0) | 1.0000 | 1.39 | (0.06-34.24) | 1.0000 | 5.58 | (0.11-284.33) |
| *04 other than SE | SE negative | 24 (10.1) | 5 (9.3) | 81 (16.7) | 0.0183 | 0.56 | (0.34-0.91) | 0.1755 | 0.51 | (0.20-1.32) |
|  | SE positive | 33 (4.8) | 5 (8.9) | 34 (10.8) | 0.0009 | 0.42 | (0.26-0.69) | 0.8156 | 0.81 | (0.30-2.17) |
| *07 | SE negative | 4 (1.7) | 0 (0.0) | 5 (1.0) | 0.4862 | 1.64 | (0.44-6.17) | 1.0000 | 0.80 | (0.04-14.69) |
|  | SE positive | 3 (0.4) | 0 (0.0) | 2 (0.6) | 0.6540 | 0.69 | (0.12-4.17) | 1.0000 | 1.11 | (0.05-23.42) |
| *08 | SE negative | 57 (23.9) | 17 (31.5) | 140 (28.9) | 0.1826 | 0.78 | (0.54-1.11) | 0.7523 | 1.13 | (0.62-2.08) |
|  | SE positive | 50 (7.3) | 4 (7.1) | 41 (13.0) | 0.0062 | 0.53 | (0.34-0.82) | 0.2704 | 0.51 | (0.18-1.50) |
| *09 | SE negative | 129 (54.2) | 20 (37.0) | 161 (33.2) | $8.63 \times 10^{-8}$ | 2.38 | (1.73-3.27) | 0.6488 | 1.18 | (0.66-2.12) |
|  | SE positive | 123 (18.1) | 10 (17.9) | 52 (16.5) | 0.5916 | 1.11 | (0.78-1.59) | 0.8459 | 1.10 | (0.52-2.32) |
| *11 | SE negative | 11 (4.6) | 3 (5.6) | 29 (6.0) | 0.4942 | 0.76 | (0.37-1.55) | 1.0000 | 0.92 | (0.27-3.14) |
|  | SE positive | 15 (2.2) | 1 (1.8) | 4 (1.3) | 0.4558 | 1.75 | (0.58-5.32) | 0.5609 | 1.41 | (0.16-12.89) |
| *12 | SE negative | 30 (12.6) | 11 (20.4) | 66 (13.6) | 0.8157 | 0.92 | (0.58-1.45) | 0.2158 | 1.62 | (0.80-3.31) |
|  | SE positive | 50 (7.3) | 6 (10.7) | 21 (6.7) | 0.7915 | 1.11 | (0.65-1.88) | 0.2699 | 1.68 | (0.65-4.37) |
| *13 | SE negative | 30 (12.6) | 8 (14.8) | 102 (21.0) | 0.0056 | 0.54 | (0.35-0.84) | 0.3732 | 0.65 | (0.30-1.43) |
|  | SE positive | 38 (5.6) | 7 (12.5) | 32 (10.2) | 0.0110 | 0.52 | (0.32-0.85) | 0.6357 | 1.26 | (0.53-3.02) |
| *14 other than SE | SE negative | 28 (11.8) | 15 (27.8) | 89 (18.4) | 0.0242 | 0.59 | (0.38-0.94) | 0.1031 | 1.71 | (0.90-3.24) |
|  | SE positive | 51 (7.5) | 6 (10.7) | 33 (10.5) | 0.1406 | 0.69 | (0.44-1.10) | 1.0000 | 1.03 | (0.41-2.57) |
| *15 | SE negative | 100 (42.0) | 13 (24.1) | 207 (42.7) | 0.8732 | 0.97 | (0.71-1.33) | 0.0085 | 0.43 | (0.22-0.82) |
|  | SE positive | 148 (21.7) | 9 (16.1) | 55 (17.5) | 0.1283 | 1.31 | (0.93-1.85) | 1.0000 | 0.91 | (0.42-1.96) |
| *16 | SE negative | 6 (2.5) | 0 (0.0) | 9 (1.9) | 0.5838 | 1.37 | (0.48-3.89) | 0.6090 | 0.46 | (0.03-8.02) |
|  | SE positive | 4 (0.6) | 2 (3.6) | 6 (1.9) | 0.0813 | 0.30 | (0.09-1.09) | 0.3460 | 1.91 | (0.38-9.70) |
| *13:01 | SE negative | 0 (0.0) | 0 (0.0) | 7 (1.4) | 0.1024 | 0.13 | (0.01-2.35) | 1.0000 | 0.59 | (0.03-10.39) |
|  | SE positive | 1 (0.1) | 1 (1.8) | 1 (0.3) | 0.5327 | 0.46 | (0.03-7.41) | 0.2794 | 5.71 | (0.35-92.64) |
| *13:02 | SE negative | 30 (12.6) | 8 (14.8) | 95 (19.6) | 0.0212 | 0.59 | (0.38-0.92) | 0.4690 | 0.71 | (0.33-1.56) |
|  | SE positive | 37 (5.4) | 6 (10.7) | 31 (9.8) | 0.0144 | 0.53 | (0.32-0.87) | 0.8102 | 1.10 | (0.44-2.77) |
| *15:01 | SE negative | 41 (17.2) | 9 (16.7) | 89 (18.4) | 0.7578 | 0.93 | (0.62-1.39) | 0.8542 | 0.89 | (0.42-1.89) |
|  | SE positive | 65 (9.5) | 6 (10.7) | 18 (5.7) | 0.0481 | 1.74 | (1.01-2.99) | 0.2315 | 1.98 | (0.75-5.23) |
| *15:02 | SE negative | 62 (26.1) | 4 (7.4) | 131 (27.0) | 0.8580 | 0.95 | (0.67-1.35) | 0.0008 | 0.22 | (0.08-0.61) |
|  | SE positive | 83 (12.2) | 3 (5.4) | 37 (11.7) | 0.9167 | 1.04 | (0.69-1.58) | 0.2395 | 0.43 | (0.13-1.43) |
| D70 | SE negative | 127 (53.4) | 35 (64.8) | 320 (66.0) | 0.0011 | 0.59 | (0.43-0.81) | 0.8804 | 0.95 | (0.53-1.71) |
|  | SE positive | 165 (24.2) | 20 (35.7) | 114 (36.2) | 0.0001 | 0.56 | (0.42-0.75) | 1.0000 | 0.98 | (0.54-1.77) |
| 167 | SE negative | 168 (70.6) | 32 (59.3) | 371 (76.5) | 0.1018 | 0.74 | (0.52-1.05) | 0.0080 | 0.45 | (0.25-0.80) |
|  | SE positive | 253 (37.2) | 25 (44.6) | 130 (41.3) | 0.2338 | 0.84 | (0.64-1.11) | 0.6610 | 1.15 | (0.65-2.03) |

Table 6. Cont.

|  |  | ACPA(t) RA | ACPA(-) RA | Control | ACPA(t) RA |  |  | ACPA(-) RA |  | 95\%CI |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | ( $\mathrm{n}=919$ ) | ( $\mathrm{n}=110$ ) | ( $\mathrm{n}=800$ ) | $P$ | OR | 95\%CI | $P$ | OR |  |
| S1 | SE negative | 123 (51.7) | 19 (35.2) | 288 (59.4) | 0.0552 | 0.73 | (0.54-1.00) | 0.0008 | 0.37 | (0.21-0.67) |
|  | SE positive | 186 (27.3) | 16 (28.6) | 87 (27.6) | 0.9392 | 0.98 | (0.73-1.33) | 0.8724 | 1.05 | (0.56-1.97) |
| D70 other than *13:02 | SE negative | 110 (46.2) | 29 (53.7) | 253 (52.2) | 0.1542 | 0.79 | (0.58-1.08) | 0.8863 | 1.06 | (0.61-1.87) |
|  | SE positive | 128 (18.8) | 14 (25.0) | 83 (26.3) | 0.0076 | 0.65 | (0.47-0.89) | 1.0000 | 0.93 | (0.48-1.79) |
| 167 other than *13:02 | SE negative | 156 (65.5) | 27 (50.0) | 310 (63.9) | 0.6802 | 1.07 | (0.78-1.49) | 0.0538 | 0.56 | (0.32-0.99) |
|  | SE positive | 216 (31.7) | 19 (33.9) | 99 (31.4) | 0.9417 | 1.01 | (0.76-1.35) | 0.7560 | 1.12 | (0.61-2.05) |
| S1 other than *13:02 | SE negative | 100 (42.0) | 13 (24.1) | 213 (43.9) | 0.6329 | 0.93 | (0.68-1.27) | 0.0055 | 0.40 | (0.21-0.77) |
|  | SE positive | 149 (21.9) | 10 (17.9) | 56 (17.8) | 0.1520 | 1.30 | (0.92-1.82) | 1.0000 | 1.01 | (0.48-2.11) |
| 167 other than *15:02 | SE negative | 123 (51.7) | 30 (55.6) | 290 (59.8) | 0.0455 | 0.72 | (0.53-0.98) | 0.5617 | 0.84 | (0.48-1.48) |
|  | SE positive | 170 (25.0) | 22 (39.3) | 93 (29.5) | 0.1420 | 0.79 | (0.59-1.07) | 0.1594 | 1.54 | (0.86-2.78) |
| S1 other than *15:02 | SE negative | 67 (28.2) | 17 (31.5) | 180 (37.1) | 0.0194 | 0.66 | (0.47-0.93) | 0.4590 | 0.78 | (0.43-1.42) |
|  | SE positive | 103 (15.1) | 13 (23.2) | 50 (15.9) | 0.7771 | 0.94 | (0.65-1.36) | 0.1799 | 1.60 | (0.80-3.19) |


 D70, and S1 were as defined in the Materials and Methods section.
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Table 7. HLA-DRB1 genotype frequency in ACPA(+) and ACPA(-) RA patients and controls.

|  | ACPA(+) RA ( $\mathrm{n}=919$ ) | Control ( $\mathrm{n}=800$ ) | $P$ | OR | 95\%Cl |
| :---: | :---: | :---: | :---: | :---: | :---: |
| *04:05/* $13: 02$ | 28 (5.9) | 21 (11.4) | 0.0202 | 0.49 | (0.27-0.88) |
| *09:01/* $13: 02$ | 8 (3.2) | 21 (9.9) | 0.0035 | 0.30 | (0.13-0.69) |
| *04:01/* $13: 02$ | 3 (4.6) | 2 (11.8) | 0.2755 | 0.36 | (0.06-2.37) |
| *01:01/* $13: 02$ | 4 (2.7) | 3 (3.6) | 0.7061 | 0.75 | (0.16-3.44) |
| *14:54/* $13: 02$ | 0 (0.0) | 6 (13.3) | 0.0263 | 0.07 | (0.00-1.28) |
|  | ACPA $(-)$ RA $(\mathrm{n}=110)$ | Control ( $\mathrm{n}=800$ ) | $P$ | OR | 95\%CI |
| *04:05/* $15: 02$ | 2 (5.3) | 23 (12.4) | 0.2665 | 0.39 | (0.09-1.74) |
| *09:01/* 15:02 | 1 (3.3) | 28 (13.1) | 0.2228 | 0.23 | (0.03-1.74) |
| *04:01/* 15:02 | 1 (25.0) | 1 (5.9) | 0.3524 | 5.33 | (0.26-110.80) |
| *01:01/* 15:02 | 0 (0.0) | 5 (6.0) | 1.0000 | 0.68 | (0.04-13.19) |
| *14:54/* $15: 02$ | 1 (7.7) | 7 (15.6) | 0.6686 | 0.45 | (0.05-4.06) |

ACPA: anti-citrullinated peptide antibody, ACPA(+): ACPA-positive, ACPA(-): ACPA-negative, RA: rheumatoid arthritis, SE: Shared epitope, OR: odds ratio, CI: confidence interval, Allele carrier frequencies are shown in parenthesis (\%). Association was tested by Fisher's exact test using $2 \times 2$ contingency tables. Upper row: " $B / * 13: 02$ " vs. " $B /$ other than *13:02". Lower row: " $B /{ }^{*} 15: 02$ " vs. " $B /$ other than *15:02".
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It was reported that SE alleles are strongly associated with ACPA(+) RA, but weakly with ACPA(-) RA [1], and this was confirmed in the present study. We documented protective effects of $D R B 1 * 13: 02$ against $\mathrm{ACPA}(+) \mathrm{RA}$ and $D R B 1 * 15: 02$ against ACPA(-) RA in Japanese. Although the sample size of ACPA(-) RA is not large enough, the protective effect of *15:02 against ACPA(-) RA was also reported in another study [31], supporting the results. These findings could be explained by differences in the pathogenesis of $\mathrm{ACPA}(+)$ and $\mathrm{ACPA}(-)$ RA. Although the genotype of $\operatorname{DRB1*03/*13}$ was reported to be associated with ACPA(-) RA in a European population [11], such an association was not found in the current study.

Amino acid residues $10 \mathrm{Y}, 11 \mathrm{~S}, 12 \mathrm{~T}$, and 70 D of the HLA-DR $\beta$ chain were negatively associated with RA (Figure 1A). Amino acid residues 11 and 70 form the HLA-DR peptide-binding groove [32]. These data suggest the involvement of peptide antigens bound to specific HLA molecules in controlling the development of RA. Associations of amino acid residues $10,11,12,13,33,37$, 47, 67, 70, 96 and 98 of the HLA-DR $\beta$ chain were reported in European ACPA(+) RA [33], showing slightly different association pattern from the results of this study (Figure 1B). However, associated amino acid residues $10,11,12,13,33,57,70,96$ and

98 of HLA-DR $\beta$ chain in Korean ACPA(+) RA [33] were more similar to the results (Figure 1B), reflecting the difference of DRB1 allele frequencies between European and Asian populations.

The negative association with the $\operatorname{DRB1*13:02~allele~needs~to~be~}$ confirmed in future independent studies. Because the distribution of $H L A$ alleles in other ethnic populations is different from the Japanese, the protective role of some $D R B 1$ alleles in RA in other populations should be determined.

Thus, the present study identified a negative association of DRB1*13:02 with Japanese RA; our findings support the protective role of $D R B 1 * 13: 02$ alleles in the pathogenesis of ACPA(+) RA.

## Supporting Information

Table S1 HLA-DRB1 homozygous frequency in the RA patients and controls.
(PDF)
Table S2 HLA-DRB1 allele frequency in the RA patients and controls.
(PDF)


Figure 1. Associations of amino acid residues in the DR $\beta$ chain with RA (A), ACPA-positive [ACPA(+)] RA (B), and ACPA-negative [ACPA(-)] RA (C). Corrected $P(P C)$ values were calculated by multiplying the $P$ value by the number of amino acid residues tested. Associations were established by Fisher's exact test using $2 \times 2$ contingency tables. Positive associations are indicated by filled circles and negative associations by open circles.
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Table S3 Age at onset of HLA-DRB1 allele carrier or non-carrier in the RA patients.
(PDF)

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## Author Contributions

Conceived and designed the experiments: HF NT S. Tohma. Performed the experiments: SO HF A. Kawasaki. Contributed reagents/materials/ analysis tools: HF K. Shimada SS AH A. Komiya NF SI TN K. Saisho MK S. Tsunoda HS KM AS SN NT S. Tohma. Contributed to the writing of the manuscript: SO HF NT S. Tohma.
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[^0]:    
     S1 were as defined in the Materials and Methods section. DRB1 alleles encoding the DERAA were the same as DRB1*13 (i.e. *13:01 and *13:02).
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