

32 **Main Text:** Many microbes have a remarkable capacity for metabolic chemistry, growing on a
33 diversity of environmentally-supplied nutrients (e.g. carbon, nitrogen and sulfur sources) in both
34 oxic and anoxic settings. *E. coli* strains, for example, can grow on relatively reduced (e.g. fatty
35 acids) and oxidized carbon (C) sources (e.g. organic acids) through several forms of fermentation
36 and respiration (Fig. 1A). Some microbes can even switch their fundamental metabolic mode (*I*,
37 2), eating organic molecules in some conditions (i.e. performing heterotrophy) while fixing CO₂
38 into organics to build biomass in others (i.e. performing autotrophy). Not all microbes are so
39 flexible, yet this widespread metabolic flexibility is noteworthy because it is essentially absent
40 from animals.

41
42 The language of redox chemistry — intermolecular electron (e⁻) transfers — is often used to
43 describe the consequences of metabolic flexibility. Redox logic is useful because all organisms
44 extract energy from their environments by coupling thermodynamically-favorable e⁻ transfers to
45 the synthesis of energy carriers like adenosine triphosphate (ATP). Using redox chemistry to
46 “coarse-grain” metabolism is advantageous because it can describe any metabolism, enforces
47 conservation of atoms and e⁻, and provides an opportunity to incorporate thermodynamic limits
48 via redox potentials (3, 4). Yet redox is not a sufficient description of microbial physiology. For
49 example, microbes have intrinsic maximum growth rates that arise in nutrient surplus (λ_{\max} , Fig.
50 1B), but conservation of atoms and e⁻ only requires that fluxes of production and consumption
51 balance — the fluxes, and, therefore, the growth rate, can be arbitrarily large.

52
53 In recent years, several groups have developed a theory of resource allocation to explain how
54 microbes rearrange their internal machinery to achieve different growth rates (5–8). These models
55 treat microbes as self-replicating catalysts whose growth rate, λ , is determined by the manner in
56 which they apportion nutrients (e.g. carbon) and catalytic activity (e.g. protein synthesis by
57 ribosomes) to produce the enzymes that perform core cellular tasks like catabolism and
58 biosynthesis.

59
60 Considering cells as resource-allocating self-replicators can explain the existence of an intrinsic
61 maximum growth rate, λ_{\max} , which arises when resources are optimally divided between
62 catabolism and biosynthesis so that influxes of precursors are matched by the anabolic processes

63 consuming them (5–8). Furthermore, because biological macromolecules play different cellular
64 roles — metabolic reactions are catalyzed by proteins, but ribosomes are 60-70% RNA by mass
65 (9) — resource allocation can also explain observed changes in biomass composition that
66 accompany increases in λ (5–8), including increases in the RNA:protein ratio (10–12).

67

68 In addition to its central role in ribosomes, RNA is also fairly oxidized (e^- poor), with a formal
69 carbon redox state of $Z_C \approx +0.9$ charge units per carbon (Fig. 1C). In contrast, values for proteins
70 are about 1 e^-/C more reduced (e^- rich), ranging from -0.1 to -0.3 (4, 13). As such, increasing
71 RNA:protein ratios entail the oxidation of biomass during faster growth (Fig. 1C). To understand
72 how concerted changes in biomass redox should affect growth, we developed an integrated redox
73 chemical model of microbial resource allocation to study intrinsic limits on growth and metabolic
74 rates (Fig. 1D). As microbial communities are pivotal contributors to global recycling of carbon,
75 nitrogen, phosphorus and sulfur (14), a theory that describes the intrinsic limits of microbial
76 activities would offer predictive value (15). Here we describe such a theory, draw lessons and
77 predictions from it, and offer empirical support for those predictions from the genomes and
78 proteomes of diverse microbes.

79

80 **Results**

81 **A redox chemical model of microbial self-replication**

82 To merge redox and resource-economic descriptions, we track the redox state of carbon (Z_C) in
83 growth substrates (e.g., an organic C source like glucose) and products (e.g., CO_2 , biomass). Z_C
84 counts the average number of valence e^- associated with C atoms in a molecule (4). Electrons are
85 counted by their charge, with negative Z_C denoting excess e^- compared to neutrally-charged C
86 atoms (4 valence e^-) and positive Z_C denoting paucity.

87

88 Consider heterotrophic respiration — the net transfer of e^- from organic molecules to a terminal
89 acceptor like O_2 as depicted in Fig. 1D. This metabolism is described by three coupled redox
90 reactions: (i) oxidation, (ii) reduction, and (iii) anabolism. Oxidation extracts e^- from the reduced
91 C source (C_{red}) while reduction drives respiratory ATP production by harnessing the flow of e^- to
92 O_2 to generate a proton-motive force driving an ATP synthase (16). Not all C atoms can be

93 oxidized to make ATP, however, or there will be no growth or maintenance of biomass. Rather,
94 some organic C is used in anabolism to make new biomass, with a mass-specific flux of

95

$$96 \quad \frac{1}{M} \frac{\partial M}{\partial t} = \lambda = m_C \cdot J_{ana}.$$

97

98 Here M is the carbon mass of cells, λ is the growth rate (C mass doublings per hour), J_{ana} the
99 anabolic flux (mol C/g C/hr), and m_C is the molar mass of C. In cells, e^- are carried between these
100 processes by soluble molecules like nicotinamides (e.g. NADH), flavins, and quinones which we
101 represent as a generic redox couple ECH/EC⁺ for “electron carrier,” ECH being the reduced (e^-
102 carrying) form. Reduction of the terminal e^- acceptor therefore exchanges S_2 ECH for S_4 ATP
103 where S_i are stoichiometric coefficients labeled in Fig. 1D. Because C sources typically differ from
104 biomass in Z_C , anabolism consumes $S_6 \neq 0$ ECH per C atom.

105

106 Conservation of mass requires conservation of electrons, which occurs when

107

$$108 \quad \frac{d[ECH]}{dt} = S_1 J_{ox} - S_2 J_{red} - S_6 J_{ana} - \lambda [ECH] = 0,$$

109

110 where $\lambda[ECH]$ accounts for dilution of the e^- carrier due to growth. $S_1 = \frac{1}{2} (Z_{C,ox} - Z_{C,red})$ and $S_6 =$
111 $\frac{1}{2} (Z_{C,B} - Z_{C,red})$ are calculated from Z_C values for biomass ($Z_{C,B}$), the C source (C_{red} with $Z_{C,red}$) and
112 the oxidized product (e.g. $Z_{C,ox} = +4$ for CO₂). So a model tracking Z_C conserves e^- in addition to
113 C atoms and biosynthetic activity.

114

115 In addition to balancing redox reactions, cells must also extract sufficient ATP for maintenance
116 (b) and biosynthesis ($S_5 J_{ana}$):

117

$$118 \quad \frac{d[ATP]}{dt} = S_2 J_{ox} + S_4 J_{red} - S_5 J_{ana} - \lambda [ATP] - b = 0.$$

119

120 One fundamental aspect of growth missing from this representation is autocatalysis: biomass is
121 both the product and the catalyst of growth (5–8). As such, each of the mass-specific fluxes J_{ox} ,

122 J_{red} , and J_{ana} , (generically J_{α}) depend on the amount of cellular catalyst (C mass $\phi_{\alpha}M$) and its mass-
123 specific activity (γ_{α} , mol/g C/hr)

124

$$125 \quad J_{\alpha} = \gamma_{\alpha} \phi_{\alpha} \cdot f_{\alpha}(c).$$

126

127 Cells manipulate ϕ_{α} by regulating the synthesis of enzymes and macromolecules. Here $f_{\alpha}(c)$ is
128 relative catalytic activity as a function of reactant concentrations (via the vector c), accounting for
129 substrate saturation, product inhibition, and concentration-dependent regulation, as described in
130 the supplement.

131

132 Recognizing the autocatalytic constraint on growth, we subdivide biomass into its catalytic (ϕ_{ox} ,
133 ϕ_{red} , and ϕ_{ana}) and non-catalytic (ϕ_o) components so that a proportionality between, for example,
134 J_{red} and ϕ_{red} can be enforced. An allocation constraint then requires that all of biomass is accounted
135 for:

136

$$137 \quad \phi_{ox} + \phi_{red} + \phi_{ana} + \phi_o = 1.$$

138

139 As a result of this constraint, and in contrast with purely redox descriptions of metabolism, our
140 unified model displays a maximum growth rate λ_{max} because allocation of more biomass to one
141 process (e.g. increasing ϕ_{red}) displaces another (e.g. ϕ_{ana} , Fig. 1E).

142

143 **Chemical limits on growth and metabolic rates**

144 Since cells must apportion finite catalytic capacity (i.e. enzyme mass) between C source oxidation,
145 acceptor reduction, and biosynthesis, a maximum growth rate λ_{max} arises when increasing the
146 anabolic allocation ϕ_{ana} no longer increases the biosynthetic flux $m_C J_{ana} = \lambda$. This occurs because
147 increasing ϕ_{ana} further displaces oxidation or reduction, lowering production of ATP or ECH
148 beneath the level needed to support J_{ana} and, therefore, λ .

149

150 Given a set of model parameters and limits on ϕ_o , one can calculate λ_{max} and J_{red}^* , a flux beyond
151 which additional respiratory CO₂ production no longer increases λ (Fig. 2A and Fig. S6). These
152 values depend on biochemical kinetics (γ_{α}), stoichiometries (e.g., S_{α} ATP produced per O₂

153 reduced) and the redox states of nutrients and biomass ($Z_{C,red}$ and $Z_{C,B}$, Figs. 2B-D). As such,
154 parameterization of our model permits principled calculation of microbial carbon use efficiencies
155 — values that represent the fraction of carbon taken up from the environment that is incorporated
156 into biomass, which are vital for understanding and predicting microbial carbon cycling in soils
157 and sediments (17–20).

158

159 **Understanding the role of non-catalytic compounds in heterotrophic growth**

160 While fluxes J_α are potentially nonlinear functions of substrate and product concentrations, the
161 above model can be simplified by assuming reactions are irreversible and substrate-saturated, i.e.
162 $f_\alpha(\mathbf{c}) = 1$ (21, 22). We study this simplified model to gain intuition about the chemical requirements
163 of growth.

164

165 Presuming ATP and e^- carrier (ECH/EC⁺) concentrations are constrained to typical physiological
166 values (≈ 1 -10 mM), our model involves three equations and four free variables ϕ_{ox} , ϕ_{red} , ϕ_{ana} , and
167 ϕ_o (Fig. 1D). When the growth rate is maximized, i.e. when $\lambda = \lambda_{max}$, the non-catalytic biomass
168 fraction, ϕ_o , must reach the minimum allowed value $\phi_{o,min}$ (Fig. 2A). Otherwise cells could grow
169 faster by reducing ϕ_o and redistributing that mass to catalytic tasks. It follows that $\phi_o > \phi_{o,min}$
170 when $\lambda < \lambda_{max}$, i.e. that cells make more non-catalytic biomass when forced to grow at submaximal
171 rates due to nutrient limitation, for example. In the linearized model, therefore, accepting variable
172 $\phi_o \geq \phi_{o,min}$ permits a continuum of sub-maximal growth rates $\lambda < \lambda_{max}$, with ϕ_o increasing as λ
173 decreases (6). This observation reveals how non-catalytic C storage molecules like glycogen (23)
174 can serve as a means of balancing fluxes of carbon, energy, and reductant to enable growth at a
175 rate set by exogenous limitations. Consistent with this view, microbes typically accumulate C
176 storage molecules in nutrient limited conditions, with the amount of glycogen, for example,
177 inversely related to λ (23–28).

178

179 **A need for regulatory mechanisms balancing flows of energy, reductant and carbon**

180 Because microbes can typically grow on a variety of carbon sources, we considered heterotrophic
181 growth as a function of C source redox, $Z_{C,red}$, which affects oxidative e^- yield (stoichiometric
182 coefficient S_I) and anabolic demand for e^- (S_6). We found that growth was only feasible when $Z_{C,red}$
183 fell in a defined range; outside this range $\lambda_{max} = 0$ (Fig. 1F). These limits on growth result from the

184 assumption that anabolism consumes ATP and ECH in a defined ratio (S_5/S_6 per C, Fig. 1D). If the
185 C source is too reduced (e.g. $Z_{C,red} \approx -2$, as for fatty acids (4)) its oxidation yields more ECH (S_7
186 increases) but anabolism requires less ($-S_6$ decreases). These conflicting trends make it impossible
187 for the modeled cell to grow on very reduced substrates as there is no sink for excess reductant
188 other than producing unneeded ATP. Likewise, problems arise if the C source is too oxidized, and
189 these challenges persist in a non-linear model where fluxes J_α are saturating functions of
190 concentrations (Figs. S7 and S8).

191
192 In photosynthetic organisms, imbalances between the supply of and demand for ATP and ECH are
193 addressed by various kinds of light-powered cyclic e^- flow (29, 30). In contrast to linear e^- flow,
194 which produces reductant and ATP in a characteristic ratio, cyclic flow produces only ATP and
195 can therefore be used to adjust the ATP:ECH flux ratio to match anabolic needs (e.g. the Calvin-
196 Benson cycle consumes 3 ATP and 4 e^- per CO_2 fixed). Based on the $Z_{C,red}$ limits of our simplified
197 model, it is apparent that heterotrophy also requires some mechanism of ATP:ECH flux ratio
198 balancing. Such a mechanism could include regulation of ATP production stoichiometry (i.e.
199 altering S_3 or S_4), a shift in biomass composition affecting ATP or ECH demand (altering S_5 or S_6),
200 regulated ATP hydrolysis (breakdown to ADP), or some additional sink for ECH (e.g. CO_2 fixation
201 as in (31)). Regardless of the underlying mechanisms, regulated ATP homeostasis appears to be a
202 substantial cost for bacteria (32).

203
204 After adding a regulated ATP hydrolysis reaction (flux J_H), growth became feasible across the full
205 range of $Z_{C,red}$ values (green dashed lines in Fig. 2B), and such homeostasis was not required for
206 growth within the above limits. Yet, outside those limits, ATP homeostasis imposes a substantial
207 cost: λ_{max} decreases roughly quadratically as $Z_{C,red}$ diverges from the preferred range (Figs. 2B and
208 S9). This result highlights that metabolic flexibility has a cost: heterotrophs can arrange their
209 metabolic stoichiometry to optimize growth on a preferred range of C sources and e^- acceptors,
210 but switching to a substantially different metabolism (e.g. with a very different $Z_{C,red}$) requires a
211 regulatory mechanism that ensures balanced flows of ATP, e^- , and carbon. Moreover, if the goal
212 is to maintain relatively fast growth rates without regulatory overhead, it is preferable to make
213 biomass that is similar to nutrients in redox state ($Z_{C,B} \approx Z_{C,red}$, Fig. 2C).

214

215 Thus far, our model results revealed two valuable lessons regarding physiology: (i) carbon storage
216 molecules enable a continuum of sub-maximal growth rates (Fig. 2A), and (ii) flux-balancing
217 regulatory mechanisms enable heterotrophic growth on carbon sources spanning a wide range of
218 redox states (Fig. 2B). This latter point might provide context for observations that light-harvesting
219 proteins called rhodopsins are abundantly expressed by heterotrophic microbes across Earth's
220 oceans (33), as these proteins provide a light-dependent source of ATP synthesis — an analog of
221 cyclic e^- flow for heterotrophy.

222

223 **Metabolic flexibility and fast growth are conflicting goals**

224 We saw that regulatory mechanisms are needed to permit heterotrophic growth when there is a
225 large difference between the redox state of biomass carbon ($Z_{C,B}$) and that of environmentally-
226 supplied reduced carbon sources ($Z_{C,red}$). These mechanisms can take various forms, but inevitably
227 take up “space” in biomass and consume resources (e.g. ATP) to achieve flux balance. Such
228 homeostasis is required only when $Z_{C,red}$ falls outside a preferred range. As shown in Fig. 2B-D,
229 this range depends on the stoichiometric coefficients in the model, e.g. the ATP yield of reduction
230 (S_4) and the reductant (ECH) requirements of anabolism (S_6).

231

232 Altering any of these stoichiometries – e.g. by switching oxidative (34) or reductive pathways (35,
233 36) – shifts the range of carbon sources for which respiratory growth is feasible without expending
234 biomass or energy on flux-balancing homeostatic mechanisms (marked on Fig. 2B). This range
235 can be widened by, for example, decreasing the reductive ATP yield (S_4), but this comes at the
236 expense of decreasing λ_{max} (Fig 2D). As above, addition of a regulated ATP homeostasis reaction
237 permitted growth across the entire range (Figs. 2B-D and S10). This emphasizes an equivalence
238 between regulated pathway switching, which can alter stoichiometries (7, 34–36), and other forms
239 of flux-balancing, e.g. regulated ATP hydrolysis or cyclic e^- flow.

240

241 **Autotrophy is more constrained than heterotrophy**

242 Our redox-based model naturally captures the full range of microbial metabolism, including
243 heterotrophic respiration (Fig. 1D), photosynthesis (Fig. 3A), chemolithoautotrophy and
244 fermentation (Fig. S2). Indeed, equations for photosynthesis (or chemoautotrophy) are nearly

245 identical to those for heterotrophy, containing one additional mass balance constraint because
246 autotrophs produce reduced carbon (C_{red}) intracellularly and use it to make biomass.

247

$$248 \quad \frac{d[C_{red}]}{dt} = J_{red} - J_{ana} - \lambda [C_{red}]$$

249

250 Due to this additional constraint, models of autotrophy are defined by at least four equations. Four
251 variables (ϕ_{ox} , ϕ_{red} , ϕ_{ana} , and ϕ_O) do not permit a continuum of growth rates $\lambda \leq \lambda_{max}$; it was
252 natural to include cyclic e^- flow as a fifth process in models of photosynthesis ($J_{CEF} \sim \phi_{CEF}$).

253

254 These additional constraints on autotrophy can only decrease λ_{max} . The effect on λ_{max} depends on
255 the C_{red} concentration via the dilution term $\lambda[C_{red}]$ (Fig. S11), but this additional constraint reduces
256 autotrophic λ_{max} values even when $[C_{red}]$ is negligible because $J_{red} \approx J_{ana}$ is still required in this
257 limit (see Fig. S13 for a geometric explanation). To estimate the magnitude of this effect on λ_{max}
258 we randomly sampled parameters to construct comparable models of photosynthesis and
259 respiration, observing a roughly threefold reduction in λ_{max} (Fig. 3B), with the exact difference
260 depending on the sampling procedure. Our results therefore indicate that constraints absent from
261 heterotrophy could explain why the fastest-growing autotrophs have longer generation times than
262 the fastest heterotrophs (Fig. 3C), even when grown in nutrient surfeit (37–39).

263

264 **Energy economy impacts the redox state of proteins**

265 Above we saw that large differences between biomass and nutrient redox state ($|Z_{C,B} - Z_{C,red}|$)
266 challenge heterotrophic growth (Fig 2B-D), raising the prospect that microbes may adapt the
267 composition of their biomass over physiological and evolutionary timescales to reduce the
268 magnitude of the biochemical task. Fig. 2C gave us a sense of the expected direction of adaptation:
269 in nutrient surplus, microbial growth is improved by making biomass with $Z_{C,B}$ similar to $Z_{C,red}$.

270

271 Figure 4A illustrates how the maximum growth rate, λ_{max} , varies as a function of $Z_{C,B}$ and $Z_{C,red}$.
272 The largest values fall just above the line of equality ($Z_{C,B} = Z_{C,red}$), indicating that the λ -
273 maximizing $Z_{C,B}$ value is somewhat more oxidized than the C source. This is due to the need to
274 use a fraction of the e^- from C substrates to produce ATP. The strength of this effect depends

275 intracellular fluxes J_α and, therefore, on all model parameters. The optimal $Z_{C,B}$ can be expressed
276 as

277

$$278 \quad Z_{C,B}^* = K_Z \cdot Z_{C,red} + Z^\circ \quad ,$$

279

280 where the slope, K_Z , and intercept, Z° , are functions of all model parameters and typical K_Z values
281 are positive. We interpret the right-hand side of this equation as an effective environmental redox
282 potential, one that includes C source redox state, the redox potential of the e^- acceptor (via the
283 reductive ATP yield, S_3), and the magnitudes of intracellular fluxes. We also noted that the penalty
284 of deviating from $Z_{C,B}^*$ by ΔZ is approximately quadratic in ΔZ (Figs. 4A and S9). So adapting $Z_{C,B}$
285 to the local environment is desirable — it reduces excess expenditures of energy and catalytic
286 capacity, maximizing λ in rich environments.

287

288 If microbial lineages have characteristic habitats — environments with typical $Z_{C,B}^*$ values — and
289 experience surplus with sufficient frequency, we expect evolutionary selection to push $Z_{C,B}$
290 towards $Z_{C,B}^*$. Yet microbes frequently experience growth-limiting nutrient and/or energy
291 deprivation in the wild — whether in soils (40, 41), sediments (42, 43) or elsewhere — so it is
292 worth clarifying why we nonetheless assume selection for faster growth in rich conditions.
293 Evolutionary selection and drift proceed over generations, not over absolute time (44). If microbes
294 episodically experience surplus (e.g. “feast or famine” dynamics (45)) fast growth can account for
295 a sizable fraction of generations even if it represents a minuscule fraction of the time window
296 considered (46). As such, selection for increased maximum growth rate is fully consistent with the
297 widespread limitation of microbial growth.

298

299 We therefore hypothesized that microbes’ biomass would evolve to match their environments (47),
300 with biomass becoming more reduced when $Z_{C,B} - Z^\circ > K_Z \cdot Z_{C,red}$ (Fig. 4B) or more oxidized when
301 $Z_{C,B} - Z^\circ < K_Z \cdot Z_{C,red}$. Proteins, for example, can typically accept a variety of amino acid
302 substitutions while still folding to achieve similar structures and biochemical activities (48, 49).
303 Indeed, across the tree of life, aminoacyl tRNA synthetases span nearly as wide a range of Z_C
304 values as all globular proteins in the *E. coli* genome (Fig. S13). Amino acid substitutions that move

305 $Z_{C,B}$ towards optimality should therefore be both permitted and favored, for example substituting
306 arginine ($Z_C = +1/3$) with lysine ($Z_C = -2/3$) in reducing conditions. As microbial genomes encode
307 thousands of proteins, a generic selection pressure on $Z_{C,B}$ should affect all proteins, promoting
308 substitutions moving in the same direction in Z_C units (whether oxidizing or reducing) and
309 resulting in positive correlations between Z_C values of proteins in the same genome (Fig. 4B).

310

311 Importantly, while our analysis presumes balanced growth — i.e. time-invariant intracellular
312 concentrations — we do not require chemical equilibrium. Rather, our model describes
313 intracellular processes (oxidation, reduction, and anabolism) that must all carry net forward flux
314 for growth to occur. Directional fluxes are a hallmark of non-equilibrium systems. In contrast with
315 equilibrium descriptions (47), the magnitudes of these intracellular fluxes alter the optimal biomass
316 redox state ($Z_{C,B}^*$) in our model.

317

318 **Positive correlations in protein C redox state across the tree of life**

319 To test our hypothesis, we examined a set of $\approx 60,000$ genomes from across the bacterial tree
320 curated by the Genome Taxonomy Database (GTDB, (50)). GTDB also identifies sequences for
321 120 nearly-universal single copy genes in the *bac120* gene set. Using single copy genes enabled
322 our comparison of protein Z_C values without the ambiguity arising from paralogs, e.g. genes with
323 shared ancestry that have evolved different functions. We found that Pearson correlations (ρ)
324 between *bac120* Z_C values were strongly biased in the positive direction, with an interquartile
325 range (IQR) of +0.41-0.59 and a 99% confidence interval (CI) on the mean of +0.491-0.499 (Fig.
326 3C-F).

327

328 Given their ubiquity, it is not surprising that *bac120* genes perform essential functions like
329 transcription (6 genes), translation (70), genome replication (18), and protein secretion (6). So, in
330 addition to recording the influence of environmental redox conditions on coding sequences,
331 positive correlations might also reflect the prominent and mostly anabolic roles *bac120* genes play
332 in all bacteria. Indeed, expression of many anabolic proteins — especially those involved in protein
333 synthesis — correlates positively with λ (51–54). Because increasing ribosome content results in
334 the relative oxidation of biomass (Fig. 1C), one might expect such anabolic enzymes to accrue

335 reducing amino acid substitutions that compensate for oxidation, thereby preserving $Z_{C,B} \approx K_Z \cdot$
336 $Z_{C,red} - Z^\circ$ as λ changes.

337

338 While both explanations are compatible with our model, we attempted to control for environmental
339 effects, which should affect the entire genome, by calculating partial correlation coefficients
340 controlling for the mean Z_C of protein coding sequences in each genome. Partial correlations of
341 *bac120* Z_C values were attenuated, but remained significantly biased towards positive values (IQR
342 = +0.07-0.21, 99% CI = +0.135-0.141, Fig. S16), indicating that both physiology and environment
343 affect the redox state of protein carbon.

344

345 **Redox driven protein sequence evolution**

346 Proteins are typically considered to evolve towards improved individual function so long as the
347 organism benefits from the improvement (48, 49, 55). Based on the correlations documented in
348 Fig. 4F, we propose an additional mode of protein evolution wherein mutations neutral or even
349 weakly deleterious to individual proteins' biochemical or structural function might be selected due
350 to an organismal benefit — they cause $Z_{C,B}$ to better align with the redox chemistry of the host's
351 typical environment (47). The affected proteins can be involved in any cellular structure or
352 biochemical process, but large and highly-expressed proteins will affect $Z_{C,B}$ more.

353

354 Substantial changes to amino acid Z_C often require more than one nucleotide substitution, however.
355 To understand why this is, recall that the genetic code conserves hydrophobicity — single
356 nucleotide substitutions tend to produce small changes in amino acid hydrophobicity metrics (56,
357 57). Because hydrophobicity correlates with Z_C , substitutions altering amino acid Z_C also typically
358 require multiple nucleotide changes (Fig. S17). Put differently, the rate of mutations affecting
359 protein Z_C is likely lower than the average nucleotide substitution rate, and so larger selection
360 coefficients are needed to drive these sequence changes to fixation (44).

361

362 **Faster growth induces coordinated shifts in the redox state of proteomes**

363 One principle arising from resource allocation logic is that, in conditions of surplus, λ is often
364 limited by protein translation (5, 6, 58). Even in nutrient limited conditions, when faster growth
365 requires greater supply of a nutrient like nitrogen, higher λ typically coincides with greater

366 ribosome activities and larger RNA:protein ratios (59, 60). Since ribosomes are approximately
367 two-thirds RNA by mass (6, 9–12, 61) and RNA is about $1e^-/C$ more oxidized than protein (4, 13),
368 the need for translation to keep pace with growth entails a relative oxidation of biomass at faster
369 growth rates (Fig. 1C).

370

371 We estimated the scale of these biomass redox ($Z_{C,B}$) changes by noting that slower-growing *E.*
372 *coli* cultures are $\approx 10\%$ RNA and $\approx 60\%$ protein by mass ($\lambda \approx 0.4 \text{ hr}^{-1}$), while fast growing cultures
373 ($\lambda \approx 2 \text{ hr}^{-1}$) are $\approx 20\%$ RNA and $\approx 40\%$ protein (12). Assuming $Z_C \approx +0.9$ for RNA and ≈ -0.15 for
374 protein (13), $Z_{C,B}$ must increase by ≈ 0.03 for each unit increase in λ (hr^{-1} units, Fig. 5A, see
375 Methods for detailed calculation). This value may seem small, yet, as noted above, deviations from
376 optimal $Z_{C,B}$ lead to quadratic decreases in λ_{\max} (Fig. 2B). As the molecular composition of
377 translation cycle catalysts (ribosomes, tRNAs) leads to biomass oxidation we predicted that the
378 remainder of biomass — proteins, lipids, storage polymers — should shift by a similar degree, but
379 in the direction of reduction, to compensate.

380

381 In principle, $Z_{C,B}$ can be calculated from the elemental composition of biomass (4, 62). While
382 C:N:P ratios (called “Redfield ratios”) are commonly measured (63), accurate C:H:O:S ratios are
383 also required to calculate $Z_{C,B}$ and these are challenging to measure. We noted, however, that
384 quantitative proteomic surveys (51–53) report on the abundance and elemental composition of
385 translated proteins and, therefore, the average redox state protein C, $Z_{C,P}$. Consistent with our
386 prediction, a comprehensive *E. coli* dataset (51) showed a systematic trend where the proteome
387 **was** ≈ 0.005 units more reduced at the fastest measured growth rates (Fig. 5B). This work
388 manipulated λ in chemostats and also by varying the C source in batch culture (51). These
389 manipulations produced similar λ - $Z_{C,P}$ plots, suggesting that λ , rather than C source chemistry, is
390 the primary determinant of $Z_{C,P}$. Assuming that all biomass constituents participate equally in
391 compensating for RNA-induced oxidation, we estimate that the relative reduction of *E. coli*
392 **protein C** ($\approx 0.01 Z_C$ units per λ unit) compensates for $\approx 60\%$ of the total effect.

393

394 To see if this observation is general, we examined additional *E. coli*, yeast (53) and Cyanobacterial
395 proteomic datasets (52). Though these microbes perform highly distinct metabolisms and are
396 separated by billions of years of evolutionary divergence (64), all datasets displayed λ -dependent

397 $Z_{C,P}$ changes of the same direction and magnitude (Figs. 4C-D and S17). This effect appeared to
398 be diffuse and widely shared across the proteome because explaining $Z_{C,P}$ variance required a
399 linear model tracking multiple groups of proteins with at least 5 distinct biological functions (Fig.
400 S19). Furthermore, $Z_{C,P}$ values calculated from expressed proteins differed significantly from those
401 estimated from coding sequences (Fig. S20), indicating that (i) regulation of gene expression
402 substantially affects the redox state of protein C and (ii) genomes and environmental metagenomes
403 alone provide an incomplete picture of proteome redox states (13, 47, 62).

404
405 These observations add to a multi-decadal literature documenting λ -dependent changes in
406 microbial form and composition. In *E. coli*, for example, fast growing cells are larger (10), have
407 greater mass (65), lower surface area to volume ratios (66), contain more ribosomes and,
408 consequently, more RNA, less protein and less lipid as a fraction of total biomass (6, 10–12).
409 Similar trends are documented for other microbes, especially yeast (59). These large-scale
410 rearrangements can be rationalized via resource allocation logic (5, 6, 8, 10) and all lead to biomass
411 becoming more oxidized (e^- poor) during fast growth.

412
413 Beyond the observed changes in the redox state of protein C, we know of only one other example
414 of biomass constituents becoming more reduced (e^- rich) during fast growth: the relative reduction
415 of lipids with increased temperature or λ (67–69). Over the past two decades, several redox-based
416 lipid biomarkers (e.g. TEX₈₆) have been empirically established as paleothermometers and are
417 now widely used to infer ancient sea surface temperatures and climate over the past 100 million
418 years of Earth history. The mechanisms controlling lipid reduction remain debated, but recent work
419 indicates that lipid biomarker chemistry is primarily controlled by growth rate (68). Our integrated
420 model explains this relationship as optimizing microbial growth by compensating for the relative
421 oxidation of biomass due to production of ribosomes during faster growth. In Fig. S21 we estimate
422 the Z_C of total *E. coli* lipids (67), finding λ -dependent changes of similar magnitude to the
423 proteome, suggesting a common mechanism.

424

425 Discussion

426 We developed a quantitative physiological model of microbial metabolic flexibility (Fig. 1) —
427 microbes' ability to extract energy and grow through a diversity of coupled redox processes —

428 and explored the implications of our models' results. This approach bridges the chemical
429 environment — the nutrients and energy sources are available — with intracellular physiology,
430 encoding both the chemical basis of bioenergetics and the simple fact that biomass is both a product
431 and catalyst of cellular metabolism.

432

433 Prior efforts to integrate redox and resource allocation logic relied on genome-scale metabolic
434 models (21, 22). While these networks encode bioenergetic principles in a redox framework, it is
435 challenging to extract principles from models describing thousands of enzymes, metabolites, and
436 reactions. Below we review the lessons learned from our compact model, and, where appropriate,
437 compare with those gleaned from other approaches.

438

439 Sustained growth at any rate requires the simultaneous balancing of the flows of energy (ATP),
440 reductant (e^- carried on ECH), and matter (e.g. C atoms). Cells balance these fluxes through
441 regulatory mechanisms that modulate intracellular activities, for example by inhibiting or
442 activating ribosomes (8). Through our model, we found that microbes can regulate several aspects
443 of their physiology to balance fluxes and grow in a diversity of settings. For example, in nutrient-
444 limited conditions (e.g. lacking nitrogen or sulfur) microbes can balance their metabolism by
445 accumulating C atoms on storage compounds like glycogen ($\phi_0 \sim 1/\lambda$ in Fig. 2A). Consistent with
446 this prediction, microbes typically accumulate C storage molecules during nutrient-limited growth
447 — e.g. glycogen and polyhydroxybutyrate in bacteria (23–26), lipid bodies in green algae (27, 28)
448 — with the amount proportional to the inverse growth rate $1/\lambda$.

449

450 As the language of redox chemistry is generic, our model can represent any metabolism (Fig. S2).
451 This enabled our comparison of heterotrophy and autotrophy to probe why the fastest known
452 heterotrophs grow so much faster than similarly-distinguished autotrophs (Fig. 3). We showed that
453 this discrepancy arises because autotrophs produce reduced carbon (C_{red}) intracellularly.
454 Intracellular flux balance (i.e. mass conservation) forces autotrophs to align production and
455 utilization of C_{red} , which substantially reduces λ_{max} (Fig. S13). This constraint does not apply to
456 heterotrophs because they can deplete environmentally-supplied C_{red} . Indeed, photoheterotrophic
457 growth of green algae — a mixed metabolism where cells photosynthesize and consume
458 extracellular organics at the same time — is notably faster than growth by photosynthesis alone

459 (39, 70). It appears, therefore, that the requirement to balance intracellularly-produced C_{red} limits
460 growth rates both in theory and in nature.

461
462 Metabolically-versatile microbes face a particular challenge: they must grow while consuming
463 nutrients that are distinct from their biomass in redox state, i.e. with $Z_{C,red} \neq Z_{C,B}$. Through our
464 model, we discovered that cellular metabolism can be arranged to match a particular environment
465 via modulation of stoichiometries and, thereby, $Z_{C,B}$ (Figs. 2B-D and 4A). Exact matching
466 maximizes the growth rate, but large mismatches make growth infeasible because it becomes
467 impossible to achieve simultaneous balancing of C, e^- , and ATP flows. This led us to posit analogs
468 of cyclic e^- flow for heterotrophy — regulated processes that bring supply of ATP and e^- supply
469 fluxes in balance with anabolic demand. Introduction of such a process (regulated ATP hydrolysis)
470 enabled growth across a broad range of $Z_{C,red}$ and $Z_{C,B}$ values.

471
472 Microbes can achieve flux balance in a variety of ways. *E. coli*, for example, can modulate ATP
473 yields by performing alternative glycolytic pathways (34), altering the composition of its
474 respiratory chain (35, 36), or by secreting acetate instead of making CO_2 (7). Genome-scale
475 metabolic networks encode this flexibility by representing multiple pathways of C source
476 oxidation and acceptor reduction with different products and ATP yields (71, 72). Moreover,
477 genome-scale models often allow excess maintenance ATP consumption (b in our model),
478 implicitly enabling balancing by ATP hydrolysis. Notably, these degrees of freedom appear
479 redundant: in a recent *E. coli* model (71) pathway switching is sufficient to achieve maximal
480 growth rates across a wide variety of C sources and e^- acceptors (Fig. S3).

481
482 Regulated mechanisms of flux balancing can enable growth on a wide range of C sources, however
483 these mechanisms come at a cost, consuming energy and requiring that cells dedicate resources to
484 the synthesis of enzymes and regulators. Reducing this expenditure by generating biomass that
485 matches the environment produced faster maximum growth rates (Fig. 4A), which led us to posit
486 the existence of a generic selection pressure for microbes to match the redox state of biomass ($Z_{C,B}$)
487 to the chemical character of their habitats (47). Consistent with our hypothesis, we found strong
488 and widespread positive correlations between the Z_C values of conserved proteins (Fig. 3C-G),
489 revealing that proteins are subject to shared evolutionary pressures along a compositional

490 dimension not directly related to their individual functions. Amino acid substitutions that alter C
491 redox are not small and it takes substantial evolutionary work (i.e. nucleotide substitutions) to
492 affect such changes (Fig. S17). Yet we nonetheless observed diffuse accumulation of such changes
493 throughout microbial proteomes. Additional support for this unexpected mode of protein evolution
494 is given by our finding that, across diverse metabolic modes, microbes express comparatively
495 reduced proteins during fast growth (Fig. 5), apparently using the proteome as an e^- acceptor to
496 compensate for the relative oxidation of biomass due to the RNA content of ribosomes.

497
498 All of our results here derive from having integrated two potent, but disparate, views of cellular
499 physiology — merging redox with resource allocation logic. This integration couples intracellular
500 processes — regulatory, structural, and catalytic — to the extracellular redox environment,
501 whether in a lake, an animal gut, a tumor matrix, or a soil pore. How should the character of the
502 chemical environment affect metabolism and physiology? Our integrated, quantitative approach
503 opens the door to asking and answering such questions across domains of life.

504

505

506

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712 Conceptualization: AIF

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715 Visualization: AIF, AG

716 Funding acquisition: AIF, AG, WWF, DKN, RP

717 Supervision: WWF, DKN, RP

718 Writing – original draft: AIF

719 Writing – review & editing: AIF, AG, WWF, DKN, RP

720

721 **Competing interests:** The authors declare no competing interests.

722

723 **Data and materials availability:** all code and data are available either as supplementary tables

724 or at <https://github.com/flamholz/redox-proteome>.

725 **Supplementary Materials**

726 Materials and Methods

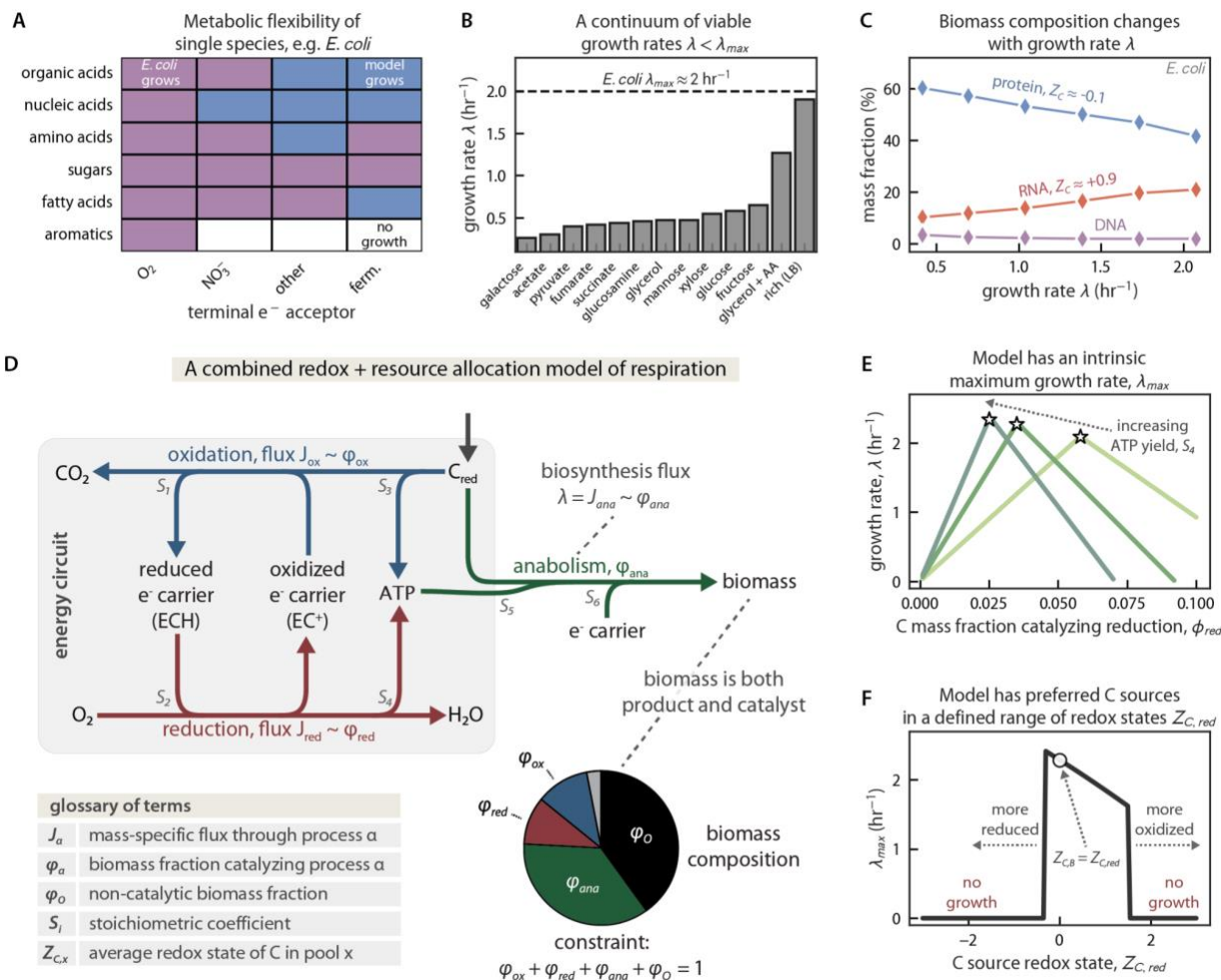
727 Supplementary Text

728 Figs. S1 to S19

729 Tables S1 to S2

730 Data S1 to S6

731



732

733 **Figure 1: Integrating redox chemistry with principles of cellular resource allocation captures**

734 **key features of microbial physiology.** (A) Many microbes can grow on a diversity of C sources

735 (Y axis) and e^- acceptors (X axis), with “other” denoting trimethylamine N-oxide or dimethyl

736 sulfoxide and “ferm.” fermentation. Purple squares indicate observed growth of *E. coli* strains,

737 blue predictions from a metabolic model, and white no growth in the lab or in silico (see *Methods*).

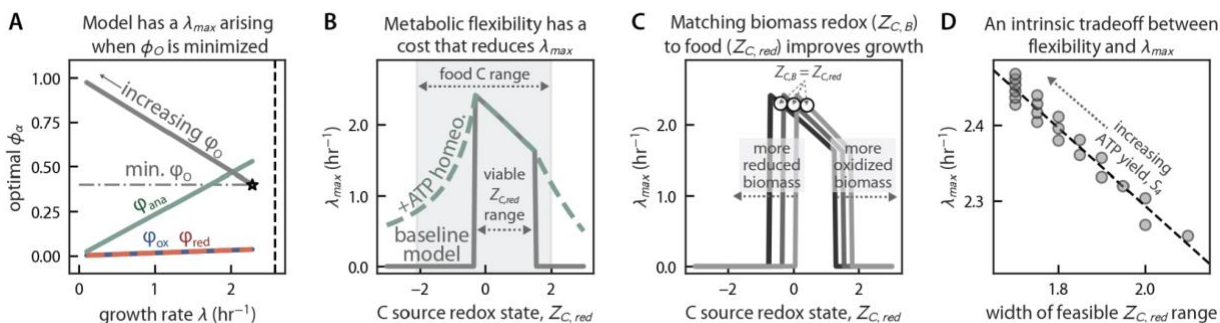
738 (B) Microbes have an intrinsic maximum growth rate, λ_{max} , that arises in nutrient surplus, but can

739 rearrange their internal physiology to grow at nearly any growth rate $\lambda < \lambda_{\max}$. Illustrated here with
740 *E. coli* grown on various C sources (51), but also observed with variable nutrient concentrations.
741 (C) As ribosomes are $\approx 2/3$ RNA, faster-growing cells typically have more RNA (C redox state Z_C
742 $\approx + 0.9$) and less protein ($Z_C \approx -0.1$). *E. coli* data from (12). (D) An integrated model of
743 heterotrophic respiration comprises 3 redox reactions. Oxidation (blue) withdraws e^- from the
744 reduced C source (C_{red}), generating reduced e^- carrier (ECH). Reduction (red) oxidizes ECH to
745 EC^+ while reducing a favorable e^- acceptor (here O_2), coupling this favorable e^- transfer to ATP
746 synthesis. Anabolism (green) uses ATP and, potentially, ECH to convert C_{red} into biomass. The
747 mass-specific flux J_α through process α is proportional to the C mass fraction of catalyst, φ_α , and
748 λ is determined by the anabolic flux J_{ana} . Reactions are written per C atom with stoichiometries
749 (S_i) as labeled. To sustain growth, cells must allocate resources to synthesis of oxidative (φ_{ox}),
750 reductive (φ_{red}), and anabolic (φ_{ana}) enzymes so that flux (J_{ox} , J_{red} , and J_{ana}) balance to conserve C
751 atoms and e^- . The remaining non-catalytic mass, encompassing structural components like lipids
752 and cell walls, is termed φ_o . (E) This model has an intrinsic maximum growth rate, λ_{\max} , arising
753 because φ_α trade-off with each other (pie chart in panel D). When φ_{red} is small, for example,
754 increasing its value increases λ by providing ATP used in anabolism. When φ_{red} is large, increasing
755 its value decreases λ by displacing φ_{ana} . Stars mark λ_{\max} on each curve. (F) Our model includes
756 the C redox states of C_{red} ($Z_{C,red}$) and biomass ($Z_{C,B}$), with negative values connoting reduced
757 molecules (more e^-/C) and positive values oxidized (fewer e^-/C). When $Z_{C,red}$ and $Z_{C,B}$ were very
758 different, growth was infeasible (“no growth” where $\lambda_{\max} = 0$). The largest λ values arise when
759 $Z_{C,red}$ is somewhat more reduced than $Z_{C,B}$, consistent with the dual roles of carbon as a source of
760 e^- and C atoms.

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765 **Figure 2. Predictions about heterotrophic physiology from an integrated redox and resource**

766 **allocation model.** (A) Like microbial populations, our integrated model has an intrinsic maximum

767 growth rate, λ_{max} (star), arising when ϕ_O achieves its minimum allowed value (horizontal gray

768 line). As such, ϕ_O increases as λ decreases. The vertical dashed line gives a simple estimate of λ_{max}

769 $\approx \gamma_{ana}(1-\phi_{o,min})$ made by assuming all catalytic mass is anabolic. (B) Varying $Z_{C,red}$, the redox state

770 of the C source, affects both oxidative yields of reduced e^- carriers (S_I) and anabolic consumption

771 of reduced carriers (S_6 , see Fig. 1D). When the C source is too reduced or oxidized, growth

772 becomes infeasible (“baseline model” in gray). This failure is due to an inability to simultaneously

773 balance flows of e^- , ATP, and C. Enabling balancing of ATP and e^- carriers by hydrolysis of excess

774 ATP permitted growth at any $Z_{C,red}$ (dashed green). The gray range gives the approximate span of

775 $Z_{C,red}$ for organic C sources from (4). (C) Each gray curve represents a model with a distinct

776 biomass redox state, $Z_{C,B}$. Altering $Z_{C,B}$ shifts the range of C sources that can be consumed, but

777 does not alter the width of the viable $Z_{C,red}$ range marked on panel B. As in Fig. 1F, consuming C

778 sources that are slightly more reduced than biomass maximizes growth. (D) Varying the reductive

779 ATP yield, S_4 , reveals a tradeoff between metabolic flexibility and maximum growth rate. High

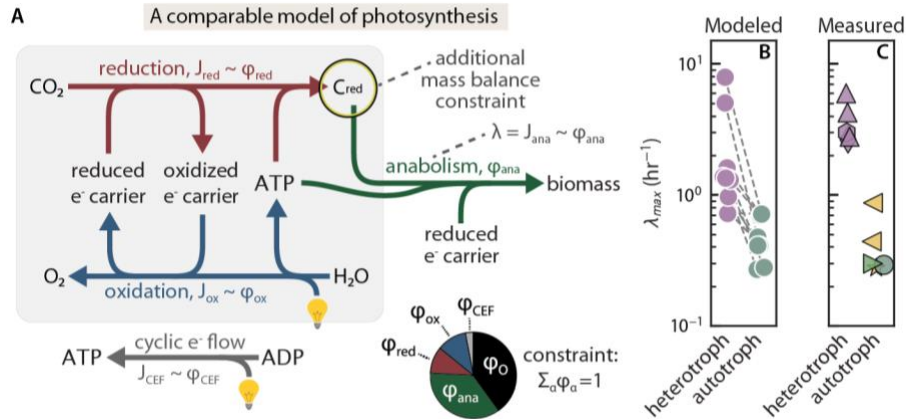
780 ATP yields (top left) produced the fastest maximum growth rates, but over the narrowest range of

781 $Z_{C,red}$ values. Lower S_4 values (bottom right) reduced λ_{max} but permitted growth on a wider range

782 of C sources (see Fig. S11).

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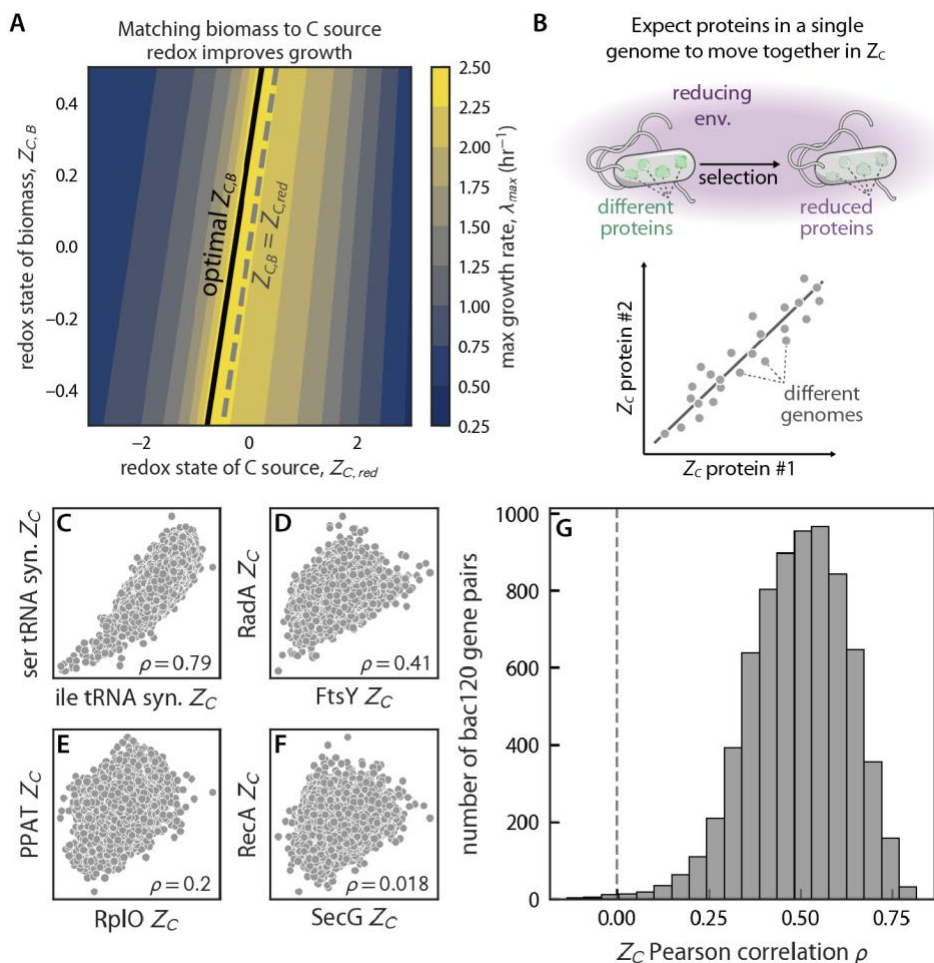
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785
786 **Figure 3: Constraints imposed only on autotrophs reduce their maximum growth rates.** (A)

787 A model of photosynthesis comprises nearly identical equations to respiration, but with two key
788 differences. Due to the use of light energy, phototrophs can balance ATP and redox carriers with
789 new ATP synthesis, i.e. via cyclic e⁻ flow ($J_{CEF} \sim \phi_{CEF}$). Second, because autotrophs produce and
790 consume organic C intracellularly, fluxes of C_{red} production, consumption and dilution must be
791 balanced. Heterotrophs, in contrast, acquire C_{red} from outside the cell and can deplete it. (B)
792 Comparing models of photosynthesis and respiration with identical parameters, we found that
793 respiratory λ_{max} values exceeded photosynthetic ones by approximately threefold (compare green
794 and purple dots). (C) This result is qualitatively consistent with measurements: the fastest-growing
795 photosynthetic microbes known have maximum growth rates that are 5-10 times slower than
796 heterotrophic counterparts. Purple markers denote heterotrophs, green photoautotrophs, and
797 yellow chemoautotrophs. Heterotrophic organisms: ▲ *V. natrigens* (γ -proteobacteria) ● *E. coli*
798 (γ -proteobacteria), ◆ *C. perfingens* (clostridia). Autotrophs: ● *C. ohadii* (green algae) ► *S.*
799 *elongatus* PCC 11801 (cyanobacterium), ◄ *T. crunogena* (γ -proteobacterial chemoautotroph).

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802 **Figure 4: Z_C values of conserved proteins are positively correlated, highlighting a redox-**

803 **driven mode of protein evolution.** (A) Our model indicated that heterotrophic growth can be

804 improved by matching the redox state of biomass ($Z_{C,B}$) to the carbon source ($Z_{C,red}$). The dashed

805 grey line marks $Z_{C,B} = Z_{C,red}$ and the growth rate is maximized (black line) when the C source is

806 somewhat more reduced than biomass. (B) If microbes are found in particular redox environments

807 and/or have preferred metabolisms, we expect their biomass and, therefore, their proteins to take

808 on the redox character of their environments. For example, organisms eating reduced C sources

809 should benefit from making more reduced proteins. Proteins in the same genome should therefore

810 exhibit positively correlated Z_C values. (C-F) Z_C correlations for pairs of conserved single-copy

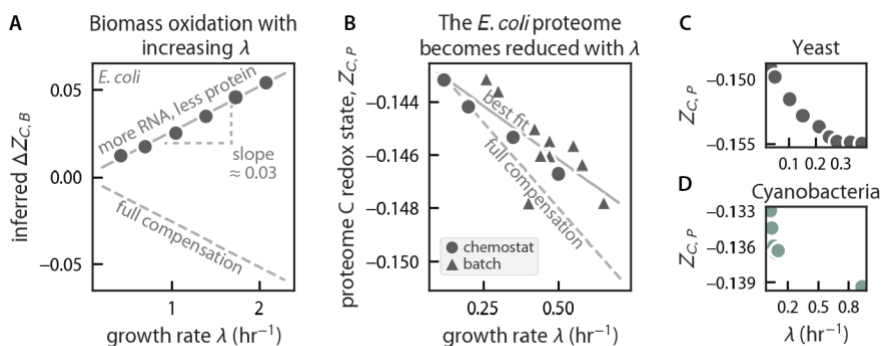
811 genes across $\approx 60,000$ genomes spanning the bacterial tree of life. Sequences are drawn from the

812 bac120 gene set (50). (G) Raw Pearson correlations were almost uniformly positive, with an

813 interquartile range IQR = +0.41-0.59. Controlling for the mean Z_C of coding sequences in each

814 genome eliminated much of this correlation (partial correlation IQR = +0.07-0.21, Fig. S15),

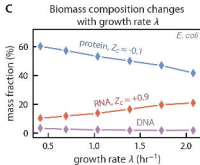
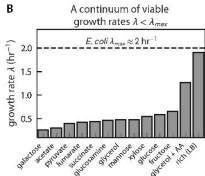
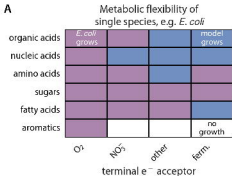
815 indicating that most of the observed effect is genome-wide and not specific to bac120 genes. Gene
 816 names: ser tRNA syn (serine tRNA synthetase), ile tRNA syn (isoleucine tRNA synthetase), FtsY
 817 (signal recognition particle-docking protein), RadA (DNA repair protein), RplO (ribosomal
 818 protein uL15), PPAT (pantetheine-phosphate adenylyltransferase), SecG (preprotein translocase
 819 subunit), RecA (DNA repair protein).
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 821



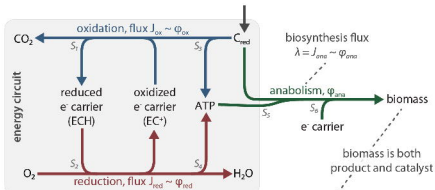
822
 823 **Figure 5: Microbial proteomes compensate for the oxidation of biomass by becoming**
 824 **reduced during fast growth.** (A) Reflecting the composition of the ribosome, faster-growing cells
 825 contain more RNA and less protein. Given typical Z_C values for biomass constituents (13), we
 826 estimated the changes in biomass redox state, $\Delta Z_{C,B}$, due to coordinated shifts in biomass
 827 composition with λ . This calculation implies a substantial oxidation of biomass of $\approx 0.03 Z_C$ units
 828 per unit λ increase (hr^{-1} units), comparable to the standard deviation of all globular proteins in the
 829 *E. coli* genome ($\sigma = 0.04 Z_C$ units, Fig. S14A). Given the importance of $Z_{C,B}$ to attaining maximal
 830 growth rates in our model, we expected cells would compensate for this oxidation by making more
 831 reduced molecules during faster growth. (B) Quantitative proteomes from *E. coli* (51) demonstrate
 832 that the proteins produced during fast growth are more reduced. The observed reduction of the *E.*
 833 *coli* proteome is of the same order as, but falls short of complete compensation for (dashed line),
 834 background oxidation calculated in panel A. (E-F) Similar results are evident in the proteomes of
 835 model yeast (53) and cyanobacteria (52), suggesting this is a generic effect independent of
 836 metabolic mode or taxonomy.

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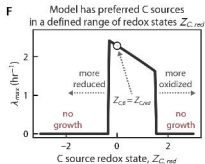
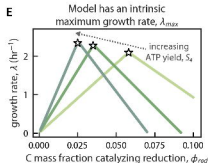
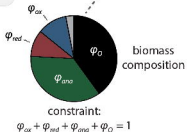


D A combined redox + resource allocation model of respiration

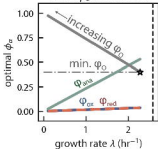


glossary of terms

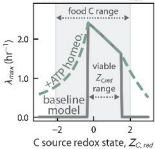
J_a	mass-specific flux through process a
ϕ_a	biomass fraction catalyzing process a
ϕ_o	non-catalytic biomass fraction
S_i	stoichiometric coefficient
$Z_{C,x}$	average redox state of C in pool x



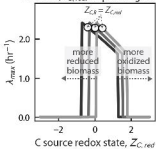
A Model has a λ_{max} arising when ϕ_O is minimized



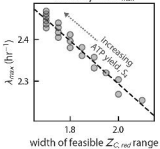
B Metabolic flexibility has a cost that reduces λ_{max}



C Matching biomass redox ($Z_{C, b}$) to food ($Z_{C, red}$) improves growth

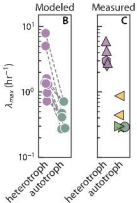
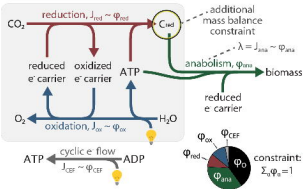


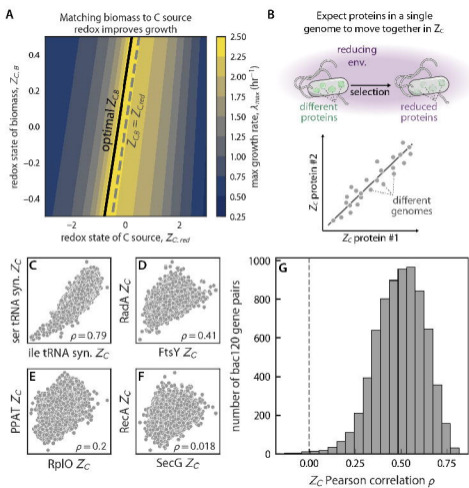
D An intrinsic tradeoff between flexibility and λ_{max}



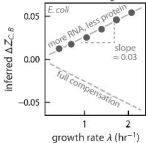
A

A comparable model of photosynthesis

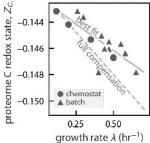




A Biomass oxidation with increasing λ



B The *E. coli* proteome becomes reduced with λ



C Yeast



D Cyanobacteria

