

ORIGINAL RESEARCH

Effect of Nocturnal Oxygen on Blood Pressure Response to Altitude Exposure in COPD – Data from a Randomized Placebo-Controlled Cross-Over Trial

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Materials and Methods: In a randomized placebo-controlled cross-over trial, 32 patients with moderate-to-severe COPD living <800 m were subsequently allocated to NOT and placebo during acute exposure to altitude. Measurements were done at low altitude at 490 m and during two stays at 2048 m on NOT (3 L/min) and placebo (3 L/min, ambient air) via nasal cannula. Allocation and intervention sequences were randomized. Outcomes of interest were BP, BRS (from beat-to-beat BP measurement), BP variability (BPV), and heart rate.

Results: About 23/32 patients finished the trial per protocol (mean (SD) age 66 (5) y, FEV₁ 62 (14) % predicted) and 9/32 experienced altitude-related illnesses (8 vs 1, p < 0.05 placebo vs NOT). NOT significantly mitigated the altitude-induced increase in systolic BP compared to placebo (Δ median -5.8 [95% CI -22.2 to -1.4] mmHg, p = 0.05) but not diastolic BP (-3.5 [95% CI -12.6 to 3.0] mmHg; p = 0.21) or BPV. BRS at altitude was significantly higher in NOT than in placebo (1.7 [95% CI 0.3 to 3.4] ms/mmHg, p = 0.02).

Conclusion: NOT may protect from hypoxia-induced autonomic dysregulation upon altitude exposure in COPD and thus protect from a relevant increase in BP and decrease in BRS. NOT may provide cardiovascular benefits in COPD during conditions of increased hypoxemia and may be considered in COPD travelling to altitude.

Keywords: COPD, altitude, oxygen, hypoxia, blood pressure, blood pressure variability, baroreflex sensitivity

Introduction

Chronic obstructive pulmonary disease (COPD) is common – about 10% of the adult population is affected. COPD is characterized by irreversible destruction of the small airways leading to airflow limitation, hyperinflation and impairment in gas exchange. COPD is one of the leading causes of death worldwide and is frequently associated with cardiovascular comorbidities, such as hypertension, coronary heart disease and right ventricular dysfunction. Air traveling and altitude

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stays are usual practices in modern society. These activities are exposing patients with COPD to hypoxia and result in marked hypoxemia. Other conditions of marked hypoxemia in patients with COPD are acute exacerbations of COPD and lower respiratory tract infections or decompensated heart failure. Hypoxemia triggers autonomic cardiovascular dysregulation and has been shown to result in increased sympathetic activity by chemoreflex activation.³ Baroreflex sensitivity (BRS) is a key player in autonomic regulation of the cardiovascular system and also relevant for rapid adjustment of cardiac output.⁴ Baroreceptors are localized in the aortic arch and carotid sinus and are activated by stretching of arterial walls as blood pressure (BP) increases.⁵ Autonomic dysregulation and altered BRS are present in a wide range of cardiovascular disease and have been postulated to be a risk factor for sudden cardiac death. 6-8 Sympathetic overactivity plays a role in COPD and its degree seems to be predictive of hospitalizations and mortality. The consequential autonomic dysregulation and impaired baroreflex lead to increased cardiovascular morbidity and mortality in patients with COPD. 10-12 It was hypothesized that pulmonary hypertension (WHO group 3) is an independent predictor of attenuated BRS in COPD and it was shown that the attenuation of BRS was more pronounced in subjects with COPD and a lower arterial partial pressure of oxygen (pO₂).¹³

We have previously shown that exposure to high altitude and associated hypobaric hypoxia results in a blunted BRS in COPD.¹⁴ Compared to sea level, patients with COPD showed more pronounced hypoxemia, decreased exercise capacity, impaired quality of life and increased BP at altitude. 15-18 Upon exposure to hypoxia at altitude, stimulation of the peripheral chemoreceptors and other mechanisms lead to sympathetic activation and a blunted baroreflex. 19,20 We have previously shown that BP significantly increases when patients with moderate or severe COPD are exposed to hypobaric hypoxia at altitude.¹⁴ This BP increase was accompanied by an increase in blood pressure variability (BPV) and a decrease in BRS as indicator of a disturbed autonomic cardiovascular regulation. 14 The respiratory and cardiovascular changes experienced at altitude must be taken into consideration in patients with COPD. Several rehabilitation clinics are located at moderate altitude and patients with COPD stay at altitude for recreational activities, such as hiking or sports and might therefore be more physically active and exercise there. Moreover, commercial air flights may also be problematic for patients with COPD due to exposure to hypoxia.

Supplemental oxygen therapy has been shown to reduce dyspnea, improve exercise performance and reduce mortality in severe resting hypoxemia, whereas the evidence for isolated nocturnal hypoxemia is less clear. 21-26

We hypothesized that nocturnal oxygen therapy (NOT) will attenuate the pronounced increase in BP and impairment of BRS previously observed in patients with COPD exposed to moderate altitude. Therefore, the aim of this randomized controlled interventional trial was to evaluate whether NOT may prevent these adverse effects on BP and BRS in COPD during exposure to altitude.

Materials and Methods

Study Design, Intervention and Randomization

In a randomized, single-blind, placebo-controlled crossover trial, patients were studied in random order at low altitude (490 m, Zurich, Switzerland) breathing room air, once during a two-day stay at moderate altitude (2048 m, St. Moritz, Switzerland) using nocturnal oxygen therapy (NOT), and once during another two-day stay at moderate altitude using nocturnal placebo (room air). There was a minimal interval of two-weeks at <800 m in-between each study visit. Both allocation and intervention sequences were randomized. At altitude, participants received NOT (3 L/min) or placebo (3 L/min room air) through a nasal cannula connected to a concentrator (EverFlow, Philips Respironics, Murrysville, PA, USA). Blinding of participants was achieved by placing the concentrator in a separate room. Due to safety considerations and nocturnal monitoring of all participants, blinding of the study investigators was not feasible. For details on randomization, we refer to a previously published study protocol and published findings related to sleep and sleepdisordered breathing.26 Results related to BP and autonomic regulation have not been published previously.

All procedures were performed in accordance with the ethical standards of the institutional and national research committee and with the 1964 Helsinki declaration. The study protocol has been approved by the Ethics Committee of the Canton of Zurich (EK-2013-0088) and registered at ClinicalTrials.gov (registration number NCT02150590). Written informed consent was obtained from each participant.

Participants

Men and women, age 18–75 years with COPD, GOLD (Global Initiative for Chronic Obstructive Lung Disease) grades 2 to 3 (FEV₁/FVC <0.7 and FEV₁ 30–80% predicted), living at low altitude (<800 m) were eligible. Patients with hypoxemia at low altitude (SpO₂ <92% at 490 m), using oxygen supplementation or positive pressure ventilation therapy, with unstable COPD, heavy smokers (>20 cigarettes/day), with severe or uncontrolled cardiovascular disease, previous intolerance of altitude exposure, or an altitude stay (>1500 m) within the last four weeks were excluded.

Outcomes and Assessments

The main outcomes of interest were differences in the change in the morning BP, BRS, heart rate, and BPV expressed as coefficients of variation of systolic and diastolic BP between NOT and placebo at high altitude (2048 m) compared to low altitude (490 m). All the measurements were carried out in the morning under standardized environmental circumstances (supine position after rest for >10 minutes in a quiet room with stable temperature and lightning) at both altitudes. Morning systolic and diastolic BP and heart rate were measured by the volume-clamp method using a Finometer® (Finapres Medical System, Amsterdam, the Netherlands) and with the conventional Riva-Rocca method. Beat-tobeat BP and heart rate were measured continuously for at least 6 minutes by the Finometer® device. BRS was calculated using the Beatscope® Software (Version 1.1.a., Finapres Medical System, Amsterdam, the Netherlands) using the cross-correlation sequence method and expressed in msec/mmHg.²⁷

In addition, polysomnography, arterial blood gas analysis, lung function testing and 6-minute walk testing (6-MWT) were performed as previously reported.²⁶

The sample size was calculated to detect a difference in mean (SD) SpO2 of 2% (4%) between NOT and placebo with an α error probability of 0.05 and a power of 0.80.²⁶

Statistical Analysis

Statistical analyses were performed with IBM SPSS (SPSS Inc., Chicago, USA) and Graph Pad Prism 5.0 (GraphPad Software, San Diego, CA, USA). The normality of the data was tested using Shapiro–Wilk test and Kolmogorov–Smirnov test. Normally distributed data were expressed as mean with standard deviation (SD)

and non-normally distributed data were expressed as median with interquartile range (IQR, 25–75 percentile). Since the outcomes of interest were secondary outcomes in the protocol and physiological measures, a per-protocol-analysis was performed to assess the effect of altitude exposure and NOT on BP, BRS and HR. Changes in the variables between the sojourns were assessed by ANOVA for repeated measurements with Fisher post hoc analysis or by Friedman test (non-parametric alternative to the one-way ANOVA with repeated measures) with Conover's post hoc pairwise comparisons based on normality testing. A p value <0.05 was considered significant.

Results

Study Flow and Patient Characteristics

The trial ran from June 1 2014 to October 31 2014. Out of 32 patients randomized, 23 finished the trial per protocol and received the allocated intervention during both altitude sojourns (Figure 1). Eight patients under placebo and 1 patient under NOT (P < 0.05 between treatments) did not receive the allocated intervention due to predefined safety precautions due to severe nocturnal hypoxemia requiring oxygen therapy (n = 4, $SpO_2 < 75\%$ for >30 min), COPD exacerbation (n = 2), newly onset cardiovascular disease (arterial hypertension or coronary heart disease; n = 2), or panic attack (n = 1). The per protocol analysis included 23 patients. The 9 patients who were not included in the per protocol analysis did not differ in their baseline characteristics from the per protocol population (see Supplementary Table 1). Data on BRS were only available from 12 patients due to artifacts in the beat-to-beat-BPmeasurement in at least one of the three measurements. The characteristics of the participants are presented in Table 1. Previously reported data from polysomnographies are reported in Supplementary Table 2.

Effect of Altitude Exposure on BP, BRS, Heart Rate and BPV

Altitude exposure resulted in an increase in systolic and diastolic blood pressure and heart rate as well as a reduction in BRS, while BPV was not affected (Table 2, Figure 2).

Treatment Effect of NOT on BP, BRS, Heart Rate and BPV

NOT abolished the increase in systolic BP upon altitude exposure. NOT resulted in a significant reduction in

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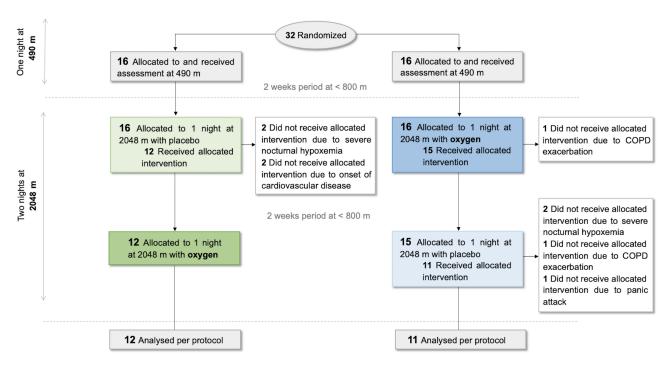


Figure 1 Simplified patient flow chart. See²⁶ for four arm allocation of the intention-to-treat-analysis in the main trial. Severe hypoxemia was defined as an arterial oxygenation measured by finger oximetry <75% for >30 min.

systolic morning BP at altitude compared to placebo (Table 2, Figure 2). However, there was no significant difference in the morning diastolic BP between placebo and NOT at altitude (Table 2, Figure 1). BRS at altitude was significantly higher when using NOT compared to placebo. NOT prevented the altitude-induced reduction in BRS (Table 2, Figure 1). Heart rate was significantly lower at altitude when using NOT compared to placebo (Table 2, Figure 1). NOT had no effect on variability of systolic or diastolic BP (BPV) compared to placebo (Table 2).

Discussion

This randomized placebo-controlled trial has shown that acute altitude exposure increases systolic and diastolic blood pressure and heart rate in patients with moderate and severe COPD. NOT reduced the increase in systolic BP and heart rate and improved BRS at altitude. These findings imply that NOT reduces hypoxia-induced sympathetic activation and autonomic dysregulation. This may be important both in terms of exercise capacity and cardiovascular complications for COPD patients during altitude stays or air travel. These findings are applicable to moderate-to-severe COPD not being hypoxic at low altitude. Application of NOT is practicable and does not interfere with daytime activities.

Previous studies provided insight into mechanisms involved in hypoxia-induced autonomic dysregulation in COPD. There is an inhibitory relationship between baroand chemoreflexes, thus the increased activity of the peripheral chemoreceptors induced by hypoxia contributes to reduced BRS. 19 The inhibition of chemoreceptors attenuates the decrease in BRS under hypoxic conditions.²⁸ Hypobaric hypoxia at altitude has been shown to reset the set point of baroreflex towards higher BP and to lower the reflex gain resulting in autonomic dysregulation of BP.^{29,30} It was demonstrated in healthy participants at 5260 m that acute hypoxia causes a decrease in BRS, which was exacerbated by longer exposure to hypoxia after 16 days.³¹ Acute hypoxia-induced hyperventilation also impairs the baroreflex gain by causing hypocapnia and this decrease in BRS is shown to be reversible after inspiration of carbon dioxide (CO₂).^{31,32} The authors suggested that sustained activation of CO2 chemoreceptors could be one of the factors causing blunted BRS associated with acute hypoxia at high altitude and increased sensitivity of chemoreceptors may worsen BRS during chronic hypoxia.³¹ Interestingly, a recent study revealed that baroreceptors located in pulmonary arteries may also regulate sympathetic outflow contributing to the resetting of baroreflex at high altitude. Patients with COPD may have pulmonary hypertension and its aggravation by

Table I Patient Characteristics in the per Protocol Population

Variable	N = 23
Men, No (%)	11 (48)
Women, No (%)	12 (52)
Age, years	66.0 (5.3)
Body Mass Index, kg/m ²	25.1 (3.7)
FEV _I , liters	1.7 (0.5)
FEV _{I,} % predicted	62 (14)
FVC, liters	3.2 (0.7)
FVC, % predicted	90 (12)
FEV _{1/} FVC, absolute value	0.5 (0.1)
TL _{CO} (Hb), mmol/min/kPa	5.7 (2.0)
TL _{CO} (Hb), % predicted	70 (20)
GOLD grade 2, No (%)	18 (78)
Comorbidities	
Cardiovascular disease (hypertension or coronary heart	14 (60)
disease), No. (%)	
Diabetes, No (%)	3 (13)
Depression, No (%)	3 (13)
Medication	
Inhaled glucocorticoids, No (%)	9 (39)
Inhaled β -adrenergics, No (%)	16 (70)
Inhaled anticholinergics, No (%)	16 (70)
Diuretics, No (%)	4 (17)
Antihypertensive medication, No (%)	11 (48)
-Beta-blocker, No (%)	I (4)
-ACE-I or ARB, No (%)	9 (39)
-Calcium channel blocker, No (%)	6 (26)
-Diuretics and other antihypertensive medications, No (%)	5 (22)
Antidiabetics, No (%)	3 (13)
Antidepressants, No (%)	5 (22)

Notes: Continuous variables are presented as mean (SD) and categorical variables are presented as numbers (%).

Abbreviations: ACEI, angiotensin-converting enzyme inhibitors; ARB, angiotensin receptor blockers; FEV₁, forced expiratory volume in the first second of expiration; FVC, forced vital capacity; TLco, transfer factor of the lung for carbon monoxide; GOLD, Global Obstructive Lung Disease classification.

hypobaric hypoxia may affect autonomic cardiovascular regulation via pulmonary arterial baroreceptors.³³ Hypoxia-induced activation of the renin-angiotensin-system (RAS) may be involved in impaired baroreflex in COPD.³⁴ Angiotensin II also modulates neurotransmitters in vagal efferents and acts on firing of the peripheral baroreceptors,^{35,36} thus may possibly alter baroreflex in COPD.

Sustained sympathetic activation due to hypoxia plays an important role in impaired autonomic regulation in COPD. Increased sympathetic activity has been considered to contribute to arterial thickening and increased arterial stiffness, which is an independent risk factor for cardiovascular disease and is associated with emphysema severity in patients with COPD. The stiffness in elastic arteries causes the deformation of the baroreceptors leading to decreased BRS and its

impaired efficacy to buffer BP fluctuations.³⁹ It is worth noting that sleep-related breathing disorders frequently associated with COPD (for example, obstructive sleep apnoea) are partly responsible for the worsening of autonomic control by nocturnal intermittent hypoxia and sympathetic activation.⁴⁰

Favorable cardiovascular effects of long-term oxygen therapy in COPD have been shown in different studies as hypoxia is known to impair autonomic cardiovascular regulation. Literature has suggested that supplemental oxygen enhances BRS significantly in patients with COPD mainly by decreasing sympathetic activity, pulmonary vasoconstriction and right ventricular afterload. 11 Previous studies have shown that nocturnal hypoxemia is frequently present during sleep in patients with COPD with normal daytime SpO₂. 41 However, clinical trials did not provide evidence on the long-time beneficial effects of NOT on survival and disease progression in patients with COPD and nocturnal hypoxemia. 42-44 However, these studies might have been underpowered. A recent randomized controlled trial demonstrated that NOT did not have an effect on survival in patients with COPD who did not fill the prescription criteria for long-term oxygen therapy.²²

There is some evidence on the beneficial effects of NOT on baroreflex sensitivity in COPD. Bartels et al studied the changes in BRS and cardiac indices in COPD in response to supplemental O2 or compressed air in a random order.11 They found that BRS significantly increased after O₂ supplementation compared to the control group (3.3±2.2 msec/mmHg vs 2.8±1.8 msec/mm Hg, p < 0.05). According to these findings, blunted BRS at high altitude (>5000 m) was immediately ameliorated after inspiring oxygen.45 Mean BRS at low altitude was lower in our study population with COPD than in the general population (4.8 vs.6.8 ms/mmHg) and significantly fell to pathological values <3 ms/mmHg at altitude, while it remained unchanged when using NOT at altitude. The main trial of this study was previously published by Tan et al.26 In addition, Tan et al recently demonstrated favorable effects of the NOT in COPD during high altitude sojourn. The impaired well-being and night-time symptoms of patients with COPD at altitude were mitigated by NOT compared to the placebo therapy.²⁶ Our analysis also suggests that NOT may have positive cardiovascular effects in moderate-to-severe COPD exposed to hypobaric hypoxia by improving BRS and decreasing BP. The beneficial effect of NOT might not only be due to correction of sustained hypoxemia but also due to avoiding pronounced intermittent hypoxemia as a consequence of

Table 2 Effect of Altitude and Treatment Effect of NOT

	490 m	2048 m Placebo	2048 m NOT	490–2048 m Placebo	ebo	490–2048 m NOT	F	Treatment Effect, A2048 m NOT – A2048 placebo	t, 1048	Overall p (ANOVA)
			<u> </u>	∆ (95%C)	Д	∆ (95%C)	д	∆ (95%C)	р	
Systolic BP (n=23)	121.0 (32.8)	137.7 (21.4)	122.7 (25.4)	8.5 (-0.7 to 19.0)	0.03	2.5 (-8.5 to 7.8)	0.88	-5.8 (-22.3 to -1.4)	0.05	0.05
Diastolic BP (n=23)	62.2 (13.0)	69.0 (12.9)	64.0 (8.8)	5.5 (0.3 to 12.3)	<0.01	3.2 (0.03 to 7.3)	0.09	-3.5 (-12.6 to 23.0)	0.21	0.01
BRS (msec/mmHg)	4.8 (2.8)	2.5 (2.0)	4.9 (3.5)	-0.9 (-3.2 to 0.0)	0.07	0.4 (-1.2 to 1.9)	0.54	1.7 (0.3 to 3.4)	0.02	0.03
(n=12)										
Heart rate (n=23)	68.6 (10.4)	73.7 (11.6)	69.3 (14.1)	6.5 (2.8 to 8.6)	<0.01	0.4 (-1.2 to 3.7)	0.64	-5.7 (-7.9 to -2.9)	<0.01	<0.01
CV systolic BP (%)	5.5 (2.0)	5.7 (1.4)	5.5 (2.0)	-0.05 (-0.8 to 0.9)	0.75	0.1 (-0.8 to 1.1)	0.88	-0.4 (-1.4 to 1.3)	0.88	0.95
(n=23)										
CV diastolic BP (%)	5.2 (2.0)	5.8 (1.5)	5.0 (2.3)	0.8 (-0.8 to 1.5)	0.64	-0.3 (-1.3 to 2.2)	0.64	-0.5 (-1.6 to 0.5)	0.35	0.64
(n=23)										

Notes: Data are presented as median (IQR). P-values are presented as results of Friedman test. **Abbreviations**: BP, blood pressure; BRS, baroreflex sensitivity; CV, coefficient of variation; NOT, nocturnal oxygen supplementation.

altitude-induced periodic breathing/central sleep apnea. Several patients had some degree of obstructive respiratory events at low altitude and thus also intermittent hypoxemia (mean±SD oxygen desaturation index (ODI) at low altitude 9±12/h).²⁶ However, the observed elevated ODI of 40±29/h at altitude on sham (room air) was mainly due to central sleep apnea at altitude.

A limitation of this study is its small sample size – particularly for the assessment of BRS - that does not allow to reliably assess predictors of response to hypobaric hypoxia or NOT. However, such field studies are elaborative and patient's safety is of utmost importance (oxygen had to be given in several patients at altitude according to predefined safety criteria thus reducing the number of patients finishing the trial per protocol). However, for a trial focusing on cardiovascular physiology measures like BRS and BP, only a small sample size is required. One of the strengths of our study is the design as randomized-placebo-controlled, crossover study which allows to avoid many sources of bias. However, the fact that 9/32 patients could not be included in the per protocol analysis since they did not receive the allocated intervention at all three assessments due to altitude-related problems and thus had missing data on placebo at altitude may introduce a bias (survivor effect).

The effects of NOT on cardiovascular indices demonstrated in this randomized placebo-controlled interventional trial in moderate-to-severe COPD correspond to moderate altitude levels. These effects, which are relevant for patients (airplane traveling, work at altitude, resorts etc.), demonstrate the prevention of an altitude-induced clinically relevant increase in BP and a reduction in BRS. The extent of systolic blood pressure increase upon altitude exposure (\Delta median +8.5 mmHg) and the fall in BRS down to pathological values (from 4.8 down to 2.5 msec/mmHg, mean BRS in healthy people in this age group 8±3 msec/mmHg⁴⁶) are of clinical relevance. A clinically relevant blood pressure increase at altitude was found, although almost half of patients were on at least one antihypertensive drug, mainly angiotensinconverting enzyme inhibitors or angiotensin receptor blockers. However, only one patient was on a betablocker, potentially influencing heart rate and BRS. Further studies are warranted to explore the cardiovascular effects of longer altitude sojourns and the effect of NOT in patients with COPD over a longer period of exposure to hypobaric hypoxia.

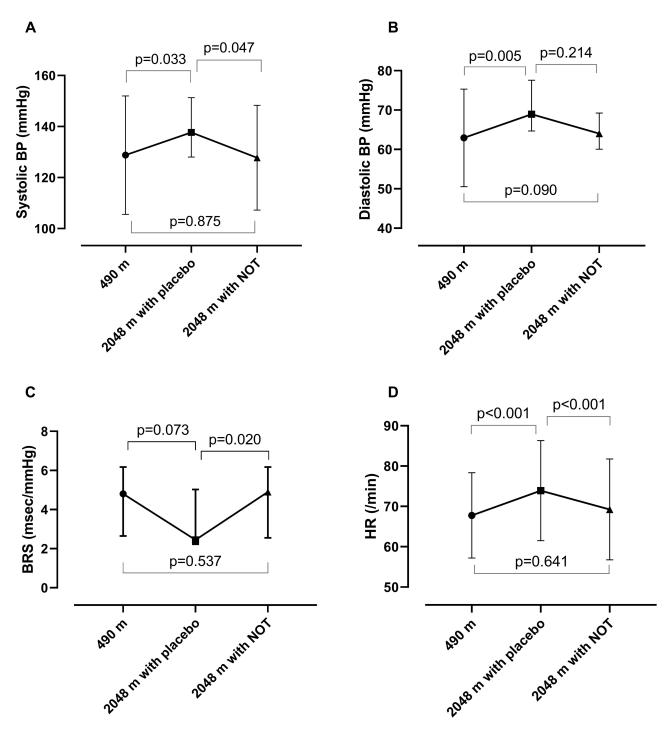


Figure 2 Systolic (A) and diastolic (B) blood pressure (BP), baroreflex sensitivity (BRS; (C) and heart rate (HR; (D) compared between the three assessments (median and IQR) – room air at low altitude (490 m), placebo (room air) at altitude (2048 m) and nocturnal oxygen therapy (NOT) at altitude (2048 m).

Conclusion

Acute exposure of patients with COPD to altitude is associated with a relevant increase in BP and a reduction in BRS that is ameliorated by the use of NOT. Therefore, and

because NOT reduced altitude-related adverse health effects that required an intervention or descent, this study supports further research on cardiovascular and therapeutic effects of NOT in patients with COPD during prolonged altitude stays.

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Abbreviations

AHI, apnea-hypopnea-index; BP, blood pressure; BPV, blood pressure variability; BRS, baroreflex sensitivity; COPD, chronic obstructive pulmonary disease; NOT, nocturnal oxygen therapy; ODI, oxygen desaturation index.

Data Sharing Statement

Anonymized data can be requested by qualified researchers providing an approved proposal by contacting the corresponding author. Data will only be made available in case of approval of all authors.

Ethical Statement

The study was approved by the Ethics Committee of the Canton of Zurich (EK-2013–0088) and the main trial registered at ClinicalTrials.gov (registration number NCT02150590). All procedures were performed in accordance with the ethical standards of the institutional and national research committee and with the 1964 Helsinki declaration. Written informed consent was obtained from each participant.

Acknowledgments

The abstract of this paper was presented at the SSP/SSTS-EFP Joint Annual Conference 2021 (Swiss Society of Pulmonology), 17–18 June, as a poster presentation. The poster's abstract was published in "Poster Abstracts" in Respiration 2021;100:644–750. Michael Furian and Esther I Schwarz are co-last authors of this study.

Author Contributions

All authors made a significant contribution to the work reported, whether that is in the conception, study design, execution, acquisition of data, analysis and interpretation, or in all these areas; took part in drafting, revising or critically reviewing the article; gave final approval of the version to be published; have agreed on the journal to which the article has been submitted; and agree to be accountable for all aspects of the work.

Funding

This work was supported by a Swiss National Science Foundation grant (32003B_143875) and a research grant of the LUNGE Zurich.

Disclosure

Prof. Dr. Silvia Ulrich reports grants from Swiss National Science Foundation, Swiss Lung League, Zurich Lung; grants, personal fees from Janssen/Actelion SA, Orpha Swiss; and personal fees from MSD SA, Switzerland, outside the submitted work. The authors declare that they do not have any financial or other conflict of interest to declare in relation with this work.

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