

1 **The loss of both pUL16 and pUL21 in HSV-1 infected cells abolishes**
2 **cytoplasmic envelopment.**

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16

17 **Abstract**

18 Previously, we had developed synthetic genomics methods to assemble an infectious
19 clone of herpes simplex virus type-1 (HSV-1). To do this, the genome was assembled
20 from 11 separate cloned fragments in yeast using transformation associated
21 recombination. The eleven fragments or “parts” spanned the 152 kb genome and
22 recombination was achieved because of the overlapping homologous sequences
23 between each fragment. To demonstrate the robustness of this genome assembly
24 method for reverse genetics, we engineered different mutations that were located in
25 distant loci on the genome and built a collection of HSV-1 genomes that contained single
26 and different combination of mutations in 5 conserved HSV-1 genes. The five genes: UL7,
27 UL11, UL16, UL21 and UL51 encode virion structural proteins and have varied functions
28 in the infected cell. Each is dispensable for virus replication in cell culture, however,
29 combinatorial analysis of deletions in the five genes revealed “synthetic-lethality” of some
30 of the genetic mutations. Thus, it was discovered that any virus that carried a UL21
31 mutation in addition to the other gene was unable to replicate in Vero cells. Replication
32 was restored in a complementing cell line that provided pUL21 in trans. One particular
33 combination (UL16-UL21) was of interest because the proteins encoded by these genes
34 are known to physically interact and are constituents of the tegument structure.
35 Furthermore, their roles in HSV-1 infected cells are unclear. Both are dispensable for
36 HSV-1 replication, however, in HSV-2 their mutation results in nuclear retention of
37 assembled capsids. We thus characterized these viruses that carry the single and double
38 mutant. What we discovered is that in cells where both pUL16 and pUL21 are absent,
39 cytoplasmic capsids were evident but did not mature into enveloped particles. The capsid

40 particles isolated from these cells showed significantly lower levels of incorporation of
41 both VP16 and pUL37 when compared to the wild-type capsids. These data now show
42 that of the tegument proteins, like the essential pUL36, pUL37 and VP16; the complex of
43 pUL16 and pUL21 should be considered as important mediators of cytoplasmic
44 maturation of the particle.

45

46 **Keywords:** Herpes simplex virus, tegument proteins, pUL16, pUL21, cytoplasmic
47 envelopment.

48

49 INTRODUCTION

50

51 Herpesvirus genomes have the coding capacity in excess of 100 genes. Many of these
52 gene products have functions that are clearly defined as to their roles in virus replication.
53 However, there are several gene products, many of them structural components of the
54 virion, whose functions and activities in infected cells remain a mystery and have yet to
55 be completely elucidated.

56

57 The herpes simplex virus type-1 (HSV-1) virion is comprised of four structural
58 components: an icosahedral capsid, which encloses the viral DNA genome; an electron
59 dense asymmetrically distributed material, which immediately surrounds the capsid and
60 is termed the tegument; and an outer membrane or envelope, which encloses the
61 tegument and capsid and in which are embedded the viral glycoproteins [1-4]. Capsid
62 assembly and DNA packaging into icosahedral capsids are nuclear events. Subsequent
63 nuclear exit and cytoplasmic envelopment, involve the participation of a large and diverse
64 set of ~50 proteins.

65

66 The tegument is one of the most complex and diverse structures of the virion both in terms
67 of protein composition and the functions encoded by the constituents of this structure.
68 The tegument is comprised of a dense protein network that maintains this structure even
69 when devoid of the virus envelope or capsid [5]. The virus specified polypeptides that
70 comprise this structure include those that function to activate transcription, shut off host
71 protein synthesis, uncoat the virus genome, phosphorylate virus proteins and others

72 whose functions are still poorly defined, reviewed in [4, 6-11]. The tegument displays a
73 duality of functions in virus replication due to the role the proteins resident in this structure
74 play both at early and at late times in infection. The tegument proteins have been
75 classified as belonging to either the inner or outer layer of the tegument based on their
76 close association with either the capsid (inner) or envelop (outer) [2, 12-16]. What has
77 become increasingly evident is the importance of the tegument proteins in the maturation
78 process of the enveloped virus. To date, three tegument proteins resident in the mature
79 virion have been shown to have a deleterious and complete lethal effect on the maturation
80 process. These are VP16 [17, 18], pUL36 (VP1/2) [19-22] and the product of the UL37
81 gene [20, 23, 24].

82

83 The studies presented here build on our recent experiments using the synthetic genomics
84 assembly line to construct HSV-1 genomes carrying single, double, triple, quadruple and
85 quintuplet mutations in different combinations (for the multiple mutations) of five genes
86 encoding the tegument proteins pUL7, pUL51, pUL11, pUL16 and pUL21 [25]. This
87 astonishing feat, to generate in parallel these mutant viruses, could only be done using
88 this modular assembly method. Several studies have identified protein interactions
89 between pUL7-pUL51 [26, 27] and between pUL11-pUL16-pUL21 [27-36] but other than
90 single mutations, many have not been probed using multiple/combinatorial mutagenesis
91 except for the UL7-UL51 gene pair [26, 37]. These proteins are conserved in all three of
92 the herpesvirus families, yet are not essential, at least for HSV-1, in cell culture [26, 38-
93 45]. They are most likely important for pathogenesis and spread of the virus *in vivo* as
94 shown by analysis of some of the mutants in mouse model systems [46].

95 Our scientific premise is based on several lines of evidence that have demonstrated these
96 proteins specify redundant functions because they are not required for virus replication in
97 cell culture. We believe that we can uncover the nature of these “redundancies” using
98 the novel synthetic genomics assembly line to discover “synthetic-lethals”. To this end,
99 we generated multiple HSV-1 genomes carrying different combinations of deletions in
100 these five genes. The outcome of this investigation revealed that any mutant virus that
101 carried a combination which included a deletion in the UL21 gene always displayed a
102 lethal phenotype [25]. We further investigated the combination of UL16 and UL21
103 mutations because these proteins have a documented history of physical interactions in
104 the infected cell [28, 30, 31]. The viruses carrying single mutations in these genes
105 replicated in non-permissive cells albeit poorly. The double mutant virus displayed
106 significant impairment in virus replication. When this virus was examined further, it was
107 evident that the virus assembled DNA filled capsids and these particles were able to exit
108 the nucleus but failed to acquire the envelop in the cytoplasmic compartment. Further
109 examination of the DNA-filled C capsids revealed a significant reduction in the capsid
110 association of VP16 (outer tegument) and pUL37 (inner tegument) proteins. This
111 indicates that the pUL16-pUL21 complex is required for incorporation of these essential
112 tegument proteins, revealing the complex nature of how HSV-1 capsids mature into
113 infectious particles.

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118 **METHODS**

119 **Cells and Viruses**

120 Vero cells and transformed Vero cell lines (G5-9) were all grown in minimal essential
121 medium (alpha medium – Gibco Invitrogen) supplemented with 10% fetal bovine serum
122 (FBS – Gibco Invitrogen) and passaged as described previously [47]. G5-9 is a subclone
123 of the original G5 cell line isolated by Stan Person in 1993 [48]. This cell line carries a
124 genomic fragment that includes UL16 and UL21 and thus complements mutants that carry
125 deletions in these two genes. All stocks of HSV-1 viruses were amplified as also described
126 by Desai et al. [47].

127

128 **Antibodies**

129 Antibodies to VP16 (LP1) were generated by Professor Tony Minson (University of
130 Cambridge). This is a well characterized monoclonal antibody to this protein and has a
131 significant citation record. Rabbit antibodies to pUL16 and pUL21 were made by John
132 Wills (University of Pennsylvania, Hershey) and these have strong validation in the
133 literature. Rabbit antibody to VP23 was generated by our lab using whole protein purified
134 from capsid preparations and has demonstrated specificity [48]. Monoclonal antibody to
135 gD (clone DL6) was generated by Dr. Cohen (University of Pennsylvania) and kindly
136 provided to us by David Johnson (Oregon Health Sciences Center). This is a well-
137 established antibody to gD. Antibody to pUL37 (rabbit polyclonal) was generated by
138 Frank Jenkins (University of Pittsburgh). Mouse monoclonal antibody MCA406 which
139 recognizes both VP21 and VP22a was purchased from Serotec Inc. GFP rabbit antibody
140 (ab183734) was purchased from Abcam.

141 **Cre excision**

142 For the Cre excision, we used 2.5 μg of the DNA in a 50 μl volume reaction and used Cre
143 enzyme (2 units/ μl) (NEB). This was incubated at 37°C for 30 minutes and then the
144 enzyme heat-inactivated at 70°C for 10 minutes. The whole 50 μl reaction was transfected
145 into Vero or G5-9 cells using X-tremeGENE transfection reagent (Sigma-Aldrich) using
146 the protocol previously [25]. The transfection was harvested 3 days post and sonicated
147 to generate an infected cell lysate. This was serially diluted and used to infect cells in 96
148 well trays. Single plaques isolated were amplified and checked by Phire Hot Start II
149 polymerase (Invitrogen) PCR assays to check for excision as described previously [25,
150 49]. Positives were amplified further to generate high titer working stocks.

151

152 **Growth curves**

153 Vero cells (5×10^5) in 12 well trays were either infected at a multiplicity of infection (MOI)
154 of 0.01 or 10 plaque forming units (PFU)/ml. The cells were harvested at 24, 48 and 72
155 hours post-infection for the low MOI infections or at 24 hours post-infection for the high
156 MOI infections. Cells were freeze/thawed three times and virus progeny titered on G5-9
157 monolayers.

158

159 **Western blot analysis of infected cell lysates**

160 Vero cells (5×10^5) were infected at an MOI of 10 PFU/cell and harvested 24 hours post
161 infection. Cell pellets were lysed in 2X Laemmli buffer and 10% of this sample was
162 resolved using Nu-Page 4-12% Bis-Tris gels (Invitrogen) and transferred to nitrocellulose
163 membranes using the iBlot2 system (Invitrogen) as described by Luitweiler *et al.* [50].

164 Rabbit antibodies to HSV antigens were used at a dilution of 1:500. Blots were processed
165 using the enhanced chemiluminescence (ECL) kit (GE Healthcare) or Clarity
166 chemiluminescence kit (Bio-Rad) according the manufacturer's protocol and imaged
167 using the iBright 1500 Imager (Invitrogen).

168

169 **Fluorescence light microscopy imaging**

170 For confocal imaging, RPE-1 cells (5×10^5) were seeded in a 4-well borosilicate glass
171 bottom chamber slide (Lab-Tek). Cells were infected with each virus at a MOI of 10
172 PFU/cell and overlaid with FluroBrite DMEM (Thermo Fisher) supplemented with 1%
173 FBS. 12 hours after infection, cells were imaged on a Zeiss LSM 510 confocal microscope
174 using 63X objective.

175

176 **Transmission electron microscopy (TEM)**

177 Vero cells (5×10^5 cells) in 12 well tissue culture trays were infected at an MOI of 10
178 PFU/cell and processed for transmission electron microscopy (TEM) experiments [23].
179 Infected cells were processed 16 h post-infection. Samples were fixed in 2.5%
180 glutaraldehyde, 3mM $MgCl_2$, in 0.1 M sodium cacodylate buffer, pH 7.2 for overnight at
181 4°C. After buffer rinse, samples were postfixed in 1% osmium tetroxide in 0.1 M sodium
182 cacodylate buffer (1 h) on ice in the dark. Following a DH_2O rinse and en bloc staining in
183 0.75% uranyl acetate for three hours, samples were dehydrated in a graded series of
184 ethanol and embedded in Eponate resin overnight at 60°C. Thin sections, 60 to 90 nm,
185 were cut with a diamond knife on a Leica UltracutE ultramicrotome and picked up with
186 2x1 mm formvar coated copper slot grids. Grids were stained with 2% uranyl acetate

187 (aq.) and 0.4% lead citrate before imaging on a Hitachi 7600 TEM at 80 kV equipped with
188 an AMT XR80 CCD.

189

190 **Capsid purification and analysis of composition**

191 Vero cells (20×10^6) in 100 mm tissue culture dishes were infected at an MOI of 5 and
192 harvested after 24 h. Capsids from infected cells were released by treating infected cell
193 pellets with 2x CLB [48] followed by 30 sec sonication. Next, capsids were separated
194 using rate-velocity sedimentation on a 20-50% sucrose gradient. Capsid bands were
195 visualized using light-scattering, and the C-capsid band was harvested by side-puncture.

196 The capsid fractions were TCA precipitated and the pellets resuspended in 2X Laemmli
197 sample buffer. Proteins were separated by SDS-PAGE on a NuPage 4-12% Bis-Tris
198 gradient gels and stained using SYPRO Ruby stain according to the manufacturer's
199 protocol (Thermo Fisher). Proteins from the same C-capsids preparations were again
200 separated by SDS-PAGE and transferred to nitrocellulose membranes using the iBlot2
201 transfer machine. Membranes were processed for immunoblotting as described above.

202 The primary antibodies used were rabbit R2421 α VP23 [48], mouse monoclonal LP1 for
203 VP16 [51], rabbit 780 α UL37C [52], rabbit 74 α pUL16 [32], and rabbit 121 α pUL21 [31].

204

205 Quantitation of protein bands was performed using the iBright 1500 (Invitrogen). Bands
206 were manually drawn and the values for Local Background Corrected Volume were
207 calculated by the iBright software. For each set of C-Capsids, these values from the
208 pUL37C and the VP16 bands were normalized to the Local Background Corrected

209 Volume value of VP23 from the same sample. The normalized values for each virus
210 protein were then compared and analyzed using GraphPad Prism 9 software.
211

212 **RESULTS**

213 **Cre excision of the BAC-YCp sequence in the mutant viruses**

214 Previously, we had observed that the HSV-1 strain KOS yeast assembled genome had
215 problems with replication in Vero cells. This was judged to be due to the presence of the
216 BAC-YCp sequence in the virus genome. Removal of the sequence resulted in wild-type
217 kinetics of virus replication [25]. Because we have the vector sequence bracketed by *loxP*
218 sites we performed Cre-excision on all of our assembled genomes in order to remove the
219 BAC-YCp element. We used an *in vitro* Cre excision method which gave us an efficiency
220 of approximately 70% and more recently almost 90% [49]. Single plaques were isolated
221 following transfection of cells and screened using PCR assays for excision of the vector
222 sequence. These plaques were used to amplify the virus to obtain a secondary stock and
223 subsequently high titer working stocks. All these viruses encode a VP16-Venus fusion
224 protein which enables one to visually follow virus replication (Fig. 1a). This fusion does
225 not affect the ability of the virus to replicate [25]. We typically passage all the mutant
226 viruses in G5-9 cells because of the complementing activity provided *in trans*. G5 cells
227 were transformed with the EcoR1 G fragment (HSV-1 KOS nucleotides 29281:45511)
228 and pSV2neo [48]. This fragment encodes genes UL16 to UL21. This cell line can
229 complement mutants in UL16, UL17, UL18, UL19, UL20 and UL21. G5-9 is a subclone
230 of G5 that displays better complementing activity. When the mutant viruses were plaqued
231 on Vero cells, the $\Delta 16$ and $\Delta 21$ mutant viruses gave rise to small plaques on Vero
232 monolayers. Plaques were not observed on Vero cells when the double mutant virus was
233 plated, only single fluorescent foci were observed (Fig. 1b).

234

235 **Protein expression**

236 We used rabbit antiserum against pUL16 and pUL21 to confirm the expression of these
237 proteins or their absence in cells infected with the different mutant viruses. Proteins
238 pUL16 (predicted mass 40 kD) and pUL21 (predicted mass 58 kD) were observed in cells
239 infected with the KOS^{YA} wild-type virus (Fig. 2). They were not observed in the cell lysates
240 of the corresponding mutant and both proteins were absent in the double $\Delta 16/21$ mutant
241 virus infected cells. We also examined the expression of other viral proteins in the same
242 lysates. Thus, the levels of gD, VP22a, pUL37 and VP16 looked similar in both the wild-
243 type and single mutant lysates. However, there was a detectable decrease in the levels
244 of protein accumulation in the double mutant.

245

246 **Growth curves**

247 In order to quantitate the growth defect in the different mutants, we performed growth
248 assays both at high multiplicity of infection (MOI) and at low MOI. Both the $\Delta 16$ and $\Delta 21$
249 mutant viruses could replicate on Vero cells but the yields of virus were lower than wild-
250 type virus infected cells. At low MOI, there was a 2 log reduction in virus yield (Fig. 3a)
251 and at high MOI there was a log reduction in virus titer (Fig. 4). For the double mutant
252 there was negligible virus growth. The low levels of virus detected correspond to the
253 amounts of input virus. This was also visually observed with the VP16 Venus fluorescence
254 in low MOI infections. The $\Delta 16/21$ mutant was completely unable to spread to neighboring
255 cells (Fig. 3b).

256

257

258 **Confocal imaging of infected cells**

259 Because the mutant viruses have the VP16-Venus tag in their genomes, we could
260 visualize the fluorescence distribution using confocal light microscopy. For this, RPE-1
261 cells were used and infected at high MOI. Cells were imaged at 12h post-infection. VP16-
262 Venus has a nuclear punctate distribution early in the infection but as time progresses,
263 fluorescence is visualized at the nuclear and cytoplasmic membrane including the plasma
264 membrane. In the cells infected with $\Delta 16$ mutant virus, the distribution of fluorescence
265 was similar to wild-type. In the cells infected with $\Delta 21$ and $\Delta 16/21$ mutant viruses, the
266 distribution of VP16 was perturbed and was less localized to the nuclear and cytoplasmic
267 membranes. This was more evident for the double mutant virus (Fig. 5).

268

269 **Ultrastructural analysis of infected cells**

270 Vero cells were infected with all the mutant viruses and examined by electron-microscopy
271 to visualize in greater detail what was happening within the cell (Fig. 6). For the wild-type
272 infected cells, microscopy showed capsids in the nucleus, enveloped virus in the
273 cytoplasm and at the cell surface, which is typical of productive virus production (Fig. 6a).
274 In the cells infected with $\Delta 16$ (Fig. 6b) and $\Delta 21$ (Fig. 6c) mutant viruses, capsids were
275 evident in the nucleus as well as in the cytoplasm. There were fewer enveloped viruses
276 observed which reflects the lower production of virus in these cells. For the double mutant
277 infected cells, we observed capsids in in the nucleus as well as in the cytoplasm (Fig. 6d).
278 However, there were very few or no enveloped viruses detected in these cells.

279

280

281 **Capsid assembly**

282 We next examined capsid assembly and composition using purified capsids (Fig. 7).
283 Whole cell lysates were sedimented through sucrose gradients and all three capsid types
284 (A, B and C) were observed (Fig. 7a). There were lower levels of capsids in the gradients
285 using lysates for $\Delta 16/21$ infected cells as judged by light scatter. We extracted the C
286 capsids and analyzed these using total protein stain (Fig. 7b). One could readily identify
287 the major capsid proteins, however, the levels of these and thus C capsids was generally
288 also lower in the double mutant lysate gradients. We have analyzed a number of capsid
289 gradients following replicate infections. The experiment shown in (Fig. 7b) shows lower
290 levels of capsids in $\Delta 16$ virus cell lysates, which was not commonly seen. We next
291 examined these C capsids for their composition using available antibodies to the different
292 tegument and capsid proteins (Fig. 7c). We chose to normalize our capsids using
293 antibody to VP23 which is present in capsids in a fixed amount (600 copies). When
294 antibodies to VP16 and pUL37 were used, we observed a significant decrease in the
295 amounts detected relative to VP23 normalization. This was examined using the
296 quantitation software in the iBright 1500 and analyzed using GaphPad Prism9 software
297 (Fig. 7d). There was a significant reduction in the capsid association of both VP16 and
298 pUL37 in the mutant capsids. This same observation was observed in multiple replicate
299 analyses of C-capsids isolated from infected cells using the same methods.

300

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304 **DISCUSSION**

305

306 Initial envelopment of the HSV-1 virion takes place at the inner nuclear membrane (INM).

307 The interacting proteins, pUL31 and pUL34, the latter a membrane protein, are required

308 for this initial envelopment; reviewed in [10, 11, 53-59] as well in some situations the US3

309 kinase. After the capsid is enveloped at the INM, it fuses with the outer nuclear membrane

310 (ONM) depositing a naked (non-enveloped) particle into the cytoplasm [60]. These

311 capsids are transported to the trans-Golgi compartment (TGN) or other cytoplasmic

312 organelle (late endosomes) for final envelopment [10, 61-63]. This cytoplasmic site must

313 accumulate all the different tegument proteins that are incorporated into the mature virion

314 [4] and also the lipid membrane that envelopes this particle has to contain the full

315 repertoire of viral glycoproteins, reviewed in [6, 10, 12, 56, 64-67]. One of the most

316 intriguing aspects of this morphogenesis pathway is the role of the tegument proteins in

317 this dual envelopment process, the cellular localization and movement of tegument

318 proteins prior to their incorporation into the maturing virus and the viral factors/signals

319 that traffic particles to the maturation compartment. What is still unclear is the composition

320 of the tegument as the virus is translocated from the nucleus to the cell surface. The

321 multitude of tegument proteins have different locations within the cell; some are

322 exclusively cytoplasmic and others exclusively nuclear and yet others that are detected

323 in both compartments. Thus, as the virus particle progresses on its way to the surface, all

324 of these tegument components must be incorporated into the final mature virion. The

325 mechanism by which it does this is still poorly understood. The manner by which protein-

326 protein interactions determine the fate of virus particle formation is still unclear [6]. In fact

327 the tegument proteins appear to be required for the transition of the capsids from the site
328 of assembly to the cytoplasmic site for final envelopment. Regardless most observations
329 demonstrate the tegument primarily matures in the cytoplasm and sequential interactions
330 between capsid-tegument, tegument-tegument and tegument-envelope drive the
331 assembly of this structure [29, 68]. One of the key questions is what complex synthesizes
332 the tegument assembly. Data has been interpreted that suggests [69-71] it is the largest
333 tegument protein, pUL36 that initiates this. Data has also demonstrated the role of VP16
334 in complex with VP22, pUL41 and pUL47 as the “organizers” of the tegument [29, 70, 72-
335 74]. Data has also implicated the complex comprised of pUL11, pUL16 and pUL21 playing
336 a pivotal role in linking capsids with the envelope [28, 30-32, 35, 36, 75].

337

338 Clearly three tegument proteins, pUL36, pUL37 and VP16 are absolutely required for
339 secondary envelopment. Additional gene products of the virus are also required, however,
340 redundancy of activities in tissue culture may hide their distinct roles in this process.
341 Proteins, pUL16 and pUL21 have been identified in different activities in the infected cell.
342 The UL21 gene product has RNA binding activity, it is involved in syncytial processes,
343 affects US3 kinase activity, inhibits innate immunity signaling, acts as a viral phosphatase
344 and alters host metabolic pathways [76-82]. Protein UL16 similarly displays a variety of
345 activities in the cell including interactions with host mitochondria [83, 84] as well as a role
346 in syncytial formation [85]. Both pUL16 and pUL21 exhibit dynamic interactions with both
347 tegument and glycoproteins of the virus [6, 28, 29, 32-35, 43, 85-88]. Deletion of each
348 gene individually has been done in a number of HSV strains with differing results [40, 41,
349 43, 89-93]. In most cases, deletion of the gene in HSV-1 does not significantly affect virus

350 replication, albeit it can impact the levels of virus production. In HSV-2, the single deletion
351 of UL16 or UL21, affects nuclear egress and retention of the viral genome in the
352 assembled capsid [91, 94, 95]. Recent studies also demonstrate that a mutant with
353 deletions in both UL16 and UL21 fails to dock to the nuclear pore [96]. These different
354 activities illustrate the complexity of the functions of these two proteins in HSV infected
355 cells. In our study in KOS HSV-1 strain, we do not see nuclear egress defects as judged
356 by ultrastructural analyses but this could be due to strain differences between this family
357 of viruses [93].

358

359 In our study, it is evident that pUL21 as well as pUL16 mediate important interactions that
360 affect capsid association of tegument proteins. This includes the inner-tegument protein
361 pUL37 and a major constituent of the tegument, VP16. The reduction of tegument protein
362 incorporation affects robust virus replication (as in the case of the single deletions) or
363 completely abolishes virus envelopment (as in the case of the double deletions). Both
364 these proteins have extensive interactions with tegument and envelop proteins. pUL16
365 has been shown to interact with VP16 as well as gE, pUL11 and VP22 [28, 32-35, 43]
366 and is required for the virion incorporation of gD [87]. Thus, the pUL16-pUL21 complex
367 could be required for bridging interactions between the inner and outer teguments and
368 subsequently with the envelop during secondary envelopment.

369

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371 PG, BS, NK, SV and PD wrote the manuscript and generated the figures.

372

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376

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380 LP1 monoclonal for our use. Finally, David Johnson (Oregon Health Sciences Center)
381 and Frank Jenkins (University of Pittsburgh) for anti-gD and anti-pUL37 antibodies,
382 respectively.

383

384 **Conflicts of Interest**

385 The authors declare that there are no conflicts of interest

386

387 **FIGURE LEGENDS**

388

389 **Fig. 1.** Illustration of the genotypes and phenotypes of the mutant viruses. (a) The
390 genomes of the four viruses, WT (wild-type), $\Delta 16$, $\Delta 21$ and $\Delta 16/21$ (Δ :deletion) are shown.
391 The deletions in the genes for UL16 and UL21 encompassed all the coding sequences.
392 The Venus open reading frame (ORF) was fused to the C-terminus of VP16. Numbers of
393 amino acid for each ORF are shown. (b) Fluorescence image of the plaquing efficiency
394 of $\Delta 16/21$ on Vero and G5-9 cell lines (objective 10X).

395

396 **Fig. 2.** Expression of HSV-1 polypeptides in infected cells. Vero cells were
397 synchronously infected with the indicated viruses or mock infected (MI). Cell lysates were
398 collected 24 h post-infection and analyzed by SDS-PAGE and western blotting with
399 antibodies for HSV-1 proteins. Both pUL16, or pUL21 are only observed in infected cell
400 lysates for viruses expressing the corresponding wild-type gene. Additionally, lysates
401 were probed with antibodies for gD, VP22a, pUL37, and VP16 which are all expressed
402 with early and late gene kinetics and are unaltered in mutant virus lysates. Anti-VP16
403 antibodies detect the VP16-Venus fusion protein (92 kD).

404

405 **Fig. 3.** Viruses encoding deletions in UL16 and UL21 are attenuated for replication in
406 Vero cells. (a) Vero cells were infected with each virus at a multiplicity of infection (MOI)
407 of 0.01 plaque forming units (PFU)/cell and the infected cells harvested every 24 h over
408 a 72 h period. Virus yield (PFU/mL) was enumerated by titration on G5-9 monolayers.
409 Data from replicates was plotted for the multi-step growth curves. (b) Representative

410 images of Vero cells infected at an MOI of 0.01 PFU/cell from the same cultures as above
411 were obtained by fluorescence microscopy to visualize VP16-Venus expression at the
412 different times post-infection.

413

414 **Fig. 4.** Single-step growth curves of the mutant viruses. Vero cells were infected at an
415 MOI of 10 PFU/cell and the virus progeny harvested 24 h post-infection. Virus titers were
416 enumerated by plaquing on G5-9 cells. Data presented are representative of two
417 biological replicates.

418

419 **Fig. 5.** Confocal microscopy reveals disrupted perinuclear VP16-Venus localization in
420 infected cells lacking pUL21. RPE-1 cells were plated in chamber slides and
421 synchronously infected with each virus for 12 hours prior to live cell imaging by confocal
422 fluorescence microscopy to visualize VP16-Venus (objective 63X). Perinuclear VP16-
423 Venus was observed in WT virus and $\Delta 16$ infected cells, but this distribution became
424 irregular in either the $\Delta 21$ or $\Delta 16/21$ infected cells.

425

426 **Fig. 6.** Transmission electron microscopy shows enveloped capsids in single $\Delta 16$ or $\Delta 21$
427 null virus infected cells, but not in $\Delta 16/21$ infected cells. Vero cells were synchronously
428 infected with WT (a), $\Delta 16$ (b), $\Delta 21$ (c), or $\Delta 16/21$ (d) viruses (scale bar 1 μm) then fixed
429 16 h post-infection and processed for TEM imaging. Enveloped virus particles were
430 observed in the cytoplasm and egressing from WT infected cells (white arrows) and
431 observed at a lower frequency in $\Delta 16$ or $\Delta 21$ infected cells. Enveloped virus particles
432 were not observed in $\Delta 16/21$ cells and an accumulation of unenveloped capsids (white

433 arrowheads) was observed in the cytoplasm of these cells. The nucleus (n) and cytoplasm
434 (c) are marked.

435

436 **Fig. 7.** Isolation and analysis of mutant capsid particles. Vero cells were infected with
437 each virus (MOI=5) and infected cell pellets were collected 24 h post-infection. Capsids
438 from infected cells were released by treating infected cell pellets with 2X CLB and
439 sonication followed by separation of capsids on a 20-50% sucrose gradients and
440 ultracentrifugation. (a) Each capsid form — A, B, and C — are observed as light
441 scattering bands and denoted on the gradient images, each compared to the $\Delta 16/21$
442 capsid bands. (b) C-capsids were harvested by side puncture from each gradient and
443 proteins were separated by SDS-PAGE and observed by Sypro Ruby staining. Visible
444 capsid protein identities are indicated. (c) Proteins from the same C-capsids were again
445 separated by SDS-PAGE and probed for pUL16, or pUL21 by western blotting as well as
446 the capsid triplex protein VP23. Additionally, capsid proteins were also probed for inner-
447 tegument protein pUL37 or outer-tegument protein VP16 (Venus-fusion). (d) Quantitation
448 of levels of VP16 and pUL37 detected in the C-capsid fractions relative to the triplex
449 protein, VP23. Western blots were analyzed using the iBright 1500, yielding values of the
450 Local Background Corrected Volume for each protein band. The VP16 and pUL37
451 volumes were normalized to the VP23 volumes, and then normalized to the WT capsids.
452 Statistical analyses was performed with GraphPad Prism 9 using Student's *t*-test. ns: not
453 significant, $P < 0.05$ (*, **, ***).

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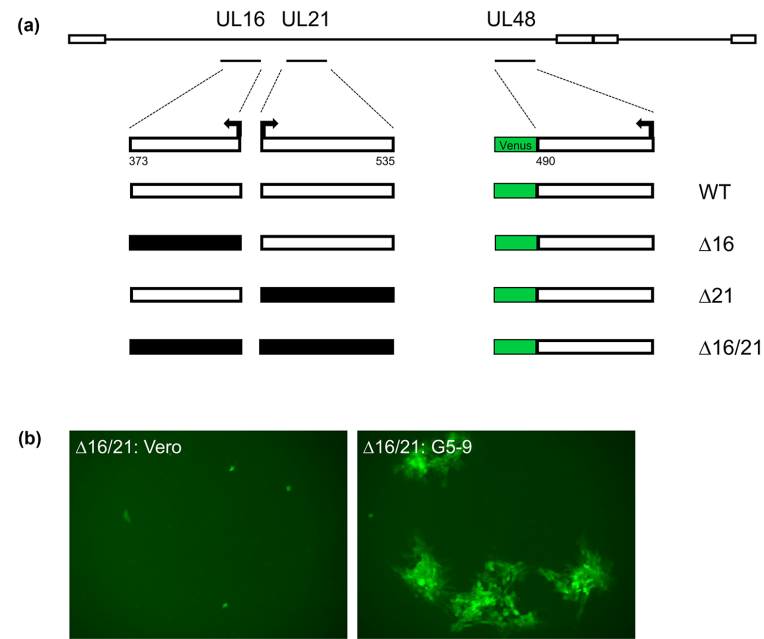


Fig. 1. Illustration of the genotypes and phenotypes of the mutant viruses. (a) The genomes of the four viruses, WT (wild-type), $\Delta 16$, $\Delta 21$ and $\Delta 16/21$ (Δ :deletion) are shown. The deletions in the genes for UL16 and UL21 encompassed all the coding sequences. The Venus open reading frame (ORF) was fused to the C-terminus of VP16. Numbers of amino acid for each ORF are shown. (b) Fluorescence image of the plaquing efficiency of $\Delta 16/21$ on Vero and G5-9 cell lines (objective 10X).

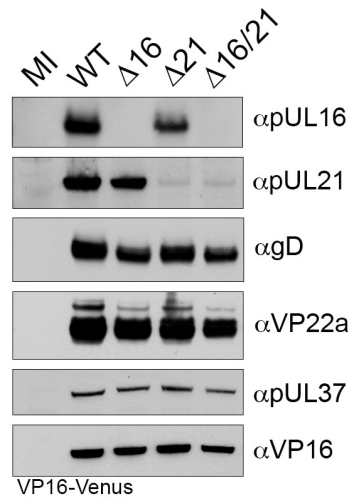


Fig. 2. Expression of HSV-1 polypeptides in infected cells. Vero cells were synchronously infected with the indicated viruses or mock infected (MI). Cell lysates were collected 24 h post-infection and analyzed by SDS-PAGE and western blotting with antibodies for HSV-1 proteins. Both pUL16, or pUL21 are only observed in infected cell lysates for viruses expressing the corresponding wild-type gene. Additionally, lysates were probed with antibodies for gD, VP22a, pUL37, and VP16 which are all expressed with early and late gene kinetics and are unaltered in mutant virus lysates. Anti-VP16 antibodies detect the VP16-Venus fusion protein (92 kD).

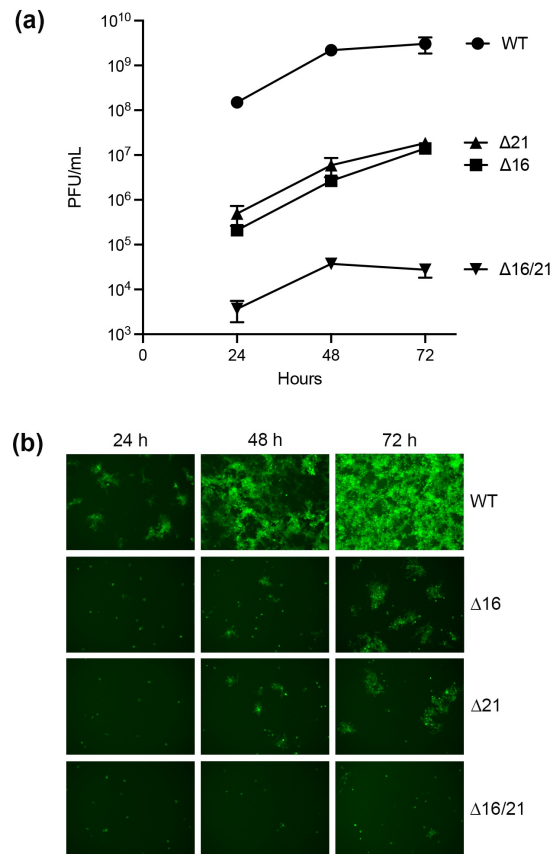


Fig. 3. Viruses encoding deletions in UL16 and UL21 are attenuated for replication in Vero cells. (a) Vero cells were infected with each virus at a multiplicity of infection (MOI) of 0.01 plaque forming units (PFU)/cell and the infected cells harvested every 24 h over a 72 h period. Virus yield (PFU/mL) was enumerated by titration on G5-9 monolayers. Data from replicates was plotted for the multi-step growth curves. (b) Representative images of Vero cells infected at an MOI of 0.01 PFU/cell from the same cultures as above were obtained by fluorescence microscopy to visualize VP16-Venus expression at the different times post-infection.

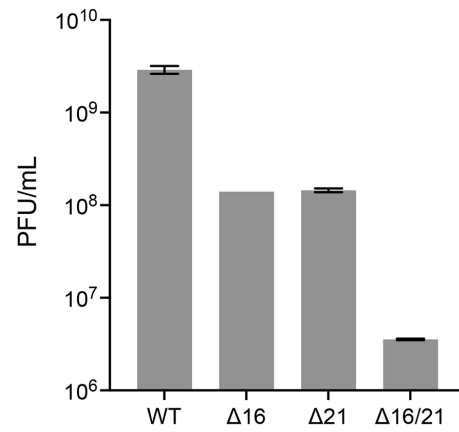


Fig. 4. Single-step growth curves of the mutant viruses. Vero cells were infected at an MOI of 10 PFU/cell and the virus progeny harvested 24 h post-infection. Virus titers were enumerated by plaquing on G5-9 cells. Data presented are representative of two biological replicates.

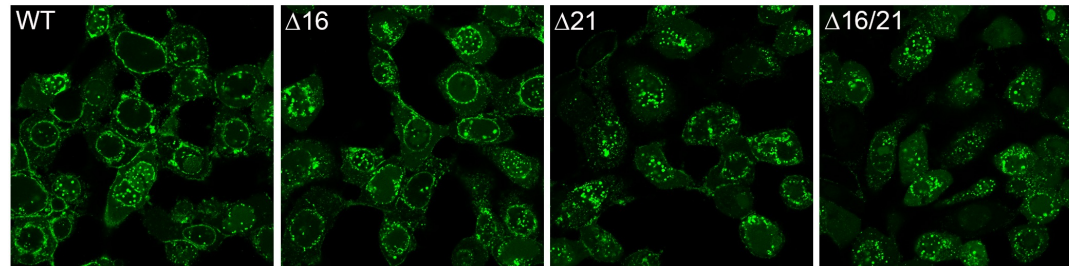


Fig. 5. Confocal microscopy reveals disrupted perinuclear VP16-Venus localization in infected cells lacking pUL21. RPE-1 cells were plated in chamber slides and synchronously infected with each virus for 12 hours prior to live cell imaging by confocal fluorescence microscopy to visualize VP16-Venus (objective 63X). Perinuclear VP16-Venus was observed in WT virus and $\Delta 16$ infected cells, but this distribution became irregular in either the $\Delta 21$ or $\Delta 16/21$ infected cells.

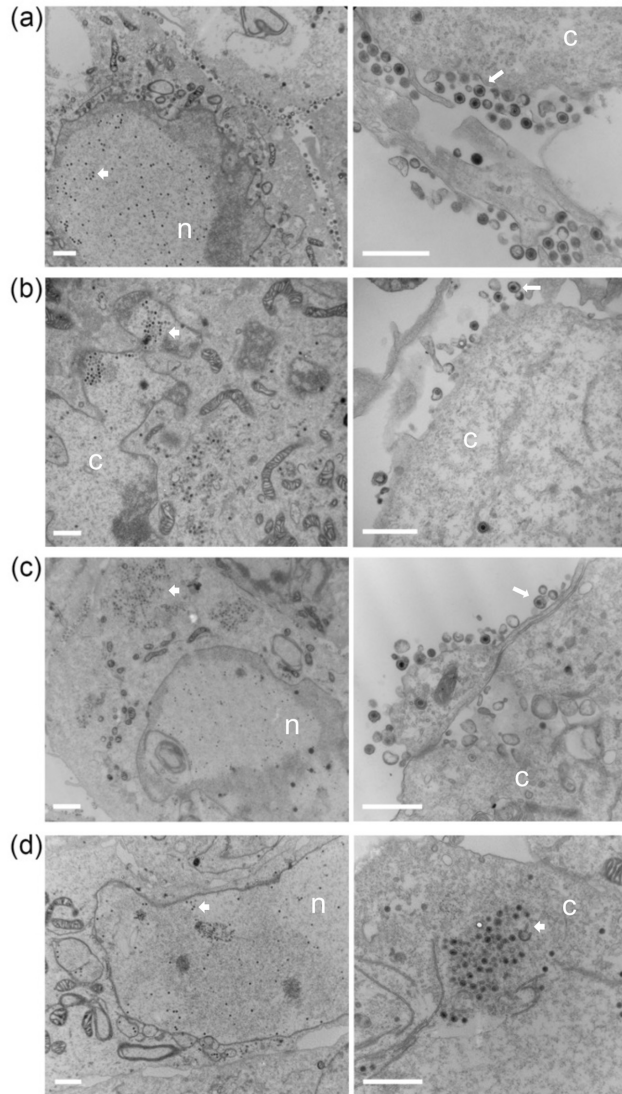


Fig. 6. Transmission electron microscopy shows enveloped capsids in single $\Delta 16$ or $\Delta 21$ null virus infected cells, but not in $\Delta 16/21$ infected cells. Vero cells were synchronously infected with WT (a), $\Delta 16$ (b), $\Delta 21$ (c), or $\Delta 16/21$ (d) viruses (scale bar 1 μm) then fixed 16 h post-infection and processed for TEM imaging. Enveloped virus particles were observed in the cytoplasm and egressing from WT infected cells (white arrows) and observed at a lower frequency in $\Delta 16$ or $\Delta 21$ infected cells. Enveloped virus particles were not observed in $\Delta 16/21$ cells and an accumulation of unenveloped capsids (white arrowheads) was observed in the cytoplasm of these cells. The nucleus (n) and cytoplasm (c) are marked.

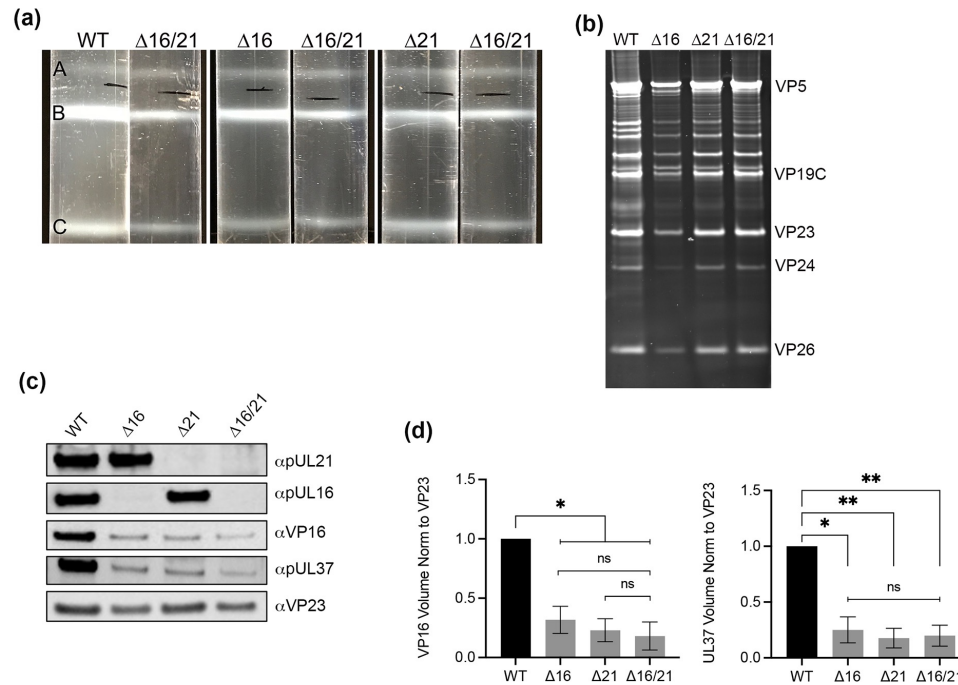


Fig. 7. Isolation and analysis of mutant capsid particles. Vero cells were infected with each virus (MOI=5) and infected cell pellets were collected 24 h post-infection. Capsids from infected cells were released by treating infected cell pellets with 2X CLB and sonication followed by separation of capsids on a 20-50% sucrose gradients and ultracentrifugation. (a) Each capsid form — A, B, and C — are observed as light scattering bands and denoted on the gradient images, each compared to the $\Delta 16/21$ capsid bands. (b) C-capsids were harvested by side puncture from each gradient and proteins were separated by SDS-PAGE and observed by Sypro Ruby staining. Visible capsid protein identities are indicated. (c) Proteins from the same C-capsids were again separated by SDS-PAGE and probed for pUL16, or pUL21 by western blotting as well as the capsid triplex protein VP23. Additionally, capsid proteins were also probed for inner-tegument protein pUL37 or outer-tegument protein VP16 (Venus-fusion). (d) Quantitation of levels of VP16 and pUL37 detected in the C-capsid fractions relative to the triplex protein, VP23. Western blots were analyzed using the iBright 1500, yielding values of the Local Background Corrected Volume for each protein band. The VP16 and pUL37 volumes were normalized to the VP23 volumes, and then normalized to the WT capsids. Statistical analyses was performed with GraphPad Prism 9 using Student's *t*-test. ns: not significant, $P < 0.05$ (*, **, ***).