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Outcomes and Treatment Options for Duodenal Adenocarcinoma: A Systematic Review and Meta-Analysis

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ABSTRACT

Background. Duodenal adenocarcinoma (DA) is a rare tumor for which survival data per treatment modality and disease stage are unclear. This systematic review and metaanalysis aims to summarize the current literature on patient outcome after surgical, (neo)adjuvant, and palliative treatment in patients with DA.

Methods. A systematic search was performed according to the preferred reporting items for systematic reviews and meta-analyses guidelines, to 25 April 2017. Primary outcome was overall survival (OS), specified for treatment strategy or disease stage. Random-effects models were used for the calculation of pooled odds ratios per treatment modality. Included papers were also screened for prognostic factors.

Results. A total of 26 observational studies, comprising 6438 patients with DA, were included. Of these, resection with curative intent was performed in 71% (range 53–100%) of patients, and 29% received palliative treatment (range 0–61%). The pooled 5-year OS rate was 46% after curative resection, compared with 1% in palliative-

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G. Kazemier, MD, PhD e-mail: g.kazemier@vumc.nl treated patients (OR 0.04, 95% confidence interval [CI] 0.02–0.09, p < 0.0001). Both segmental resection and pancreaticoduodenectomy allowed adequate assessment of lymph node involvement and resulted in similar OS. Lymph node involvement correlated with worse OS (pooled 5-year survival rate 21% for nodal metastases vs. 65% for node-negative disease; OR 0.17, 95% CI 0.11–0.27, p < 0.0001). In the current literature, no survival benefit for adjuvant therapy after curative resection was found.

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Conclusion. Resection with curative intent, either pancreaticoduodenectomy or segmental resection, and lack of nodal metastases, favors survival for DA. Further studies exploring multimodality (neo)adjuvant therapy are warranted to investigate their benefit.

Duodenal adenocarcinoma (DA) is a rare tumor representing approximately 0.5% of all gastrointestinal tumors, although it accounts for more than 50% of small bowel adenocarcinomas (SBAs), and in many studies outcomes for all SBAs are grouped together.^{1–3} Due to the location of the duodenum in the gastrointestinal tract, molecular similarities, and possibly similar phenotypic carcinogenesis, DA is often compared with colorectal cancer (CRC).^{4,5} However, tumor location is a known prognostic factor both in CRC and SBAs.^{6,7} Therefore, there is a clear need to report outcomes per treatment modality of DA as a unique entity.

Resection of the primary tumor is the only curative treatment option for DA.^{8,9} Pancreaticoduodenectomy is often performed to ensure radical resection and adequate



lymphadenectomy. For distal DA, segmental duodenal resection is reported to be a justified alternative, but this is often disputed because of the presumed inadequate lymph node clearance compared with pancreaticoduodenectomy.^{10–14} In a palliative setting, a gastrojejunal bypass procedure is commonly performed, although the influence on overall survival (OS) is unclear.¹³

Chemo(radiation) therapy has been proposed to further enhance long-term survival after curative resection and as palliative therapy for advanced tumor stages. Given the similarities between SBA and CRC, interchangeable radio- and chemotherapeutic strategies are frequently offered to patients with DA.^{5,15,16} In CRC, palliative chemotherapy for metastatic disease and adjuvant chemotherapy for resected node-positive CRC have become standard of care.^{17–19} Therefore, the effect of similar regimens has been investigated for adjuvant and palliative treatment in DA, including fluorouracil-based chemotherapy, often combined with a platinum analog or radiation therapy.^{20–22} In addition, neoadjuvant therapy is implemented for gastrointestinal cancers, such as esophageal carcinoma, but not yet investigated for DA.²³ Considering the shift towards more (neo)adjuvant treatment strategies, this might also be beneficial for DA.

Practical guidelines for the treatment of DA are lacking and controversy exists about the most effective chemo(radiation) therapy regimens, in both the (neo)adjuvant and palliative settings.^{8,24} The aim of this review of the

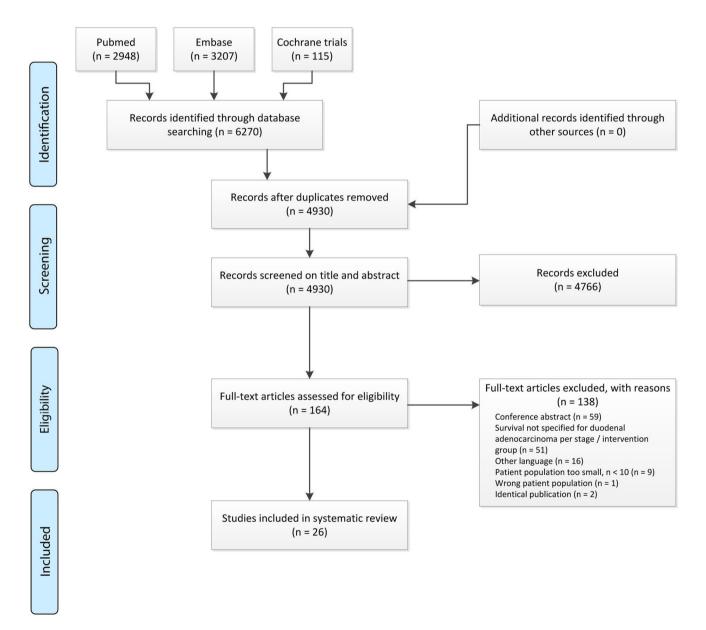


FIG. 1 Article selection process

| Author (year | Ν | Study | Inal | Country | Age | INTOINS | dn-worro r | | min me to odfi | TUTIOT TOCATION | auon | | | AJUC |
|--------------------------|------|-----------|---------|-------------|-------------------|----------------------|---------------------|-----------------------------------|-------------------------------|-----------------|-------------|-----------|-------------|---------|
| of publication) | | period | setting | | (SD) ⁴ | [n(0)] | (months, median) | for which survival is reported | outcome studied (in years) | D1 + D1/2 | 2 D2 + D2/3 | D3 + D3/4 | D4 + Treitz | edition |
| Bakaeen ³¹ | 101 | 1976–1996 | RCS | NSA | 63 (14) | 51 (50) | 39.6 ^b | CR, PT | OS-3,5 | 3 | 50 | 6 | 9 | 5 |
| Bhatti ⁴⁷ | 12 | 1999–2012 | RCS | Pakistan | 55 (10) | 8 (67) | I | CR | M, OS-5 | I | I | I | I | 7 |
| Buchbjerg ² | 71 | 1997-2012 | RCS | Denmark | 67 (13) | 43 (61) | I | CR, PT | M, OS-1,3,5 | 7 | 36 | 19 | 10 | 5 |
| Cecchini ³² | 169 | 1982-2010 | RCS | USA | 62 (13) | 93 (55) | 26.5 | CR, PT | M, OS-3,5 | 10 | 72 | 10 | 11 | 7 |
| Cloyd ⁴³ | 1611 | 1988-2010 | RCS | USA | I | 745 (46) | 41.9 | CR | M, OS-5 | I | I | I | I | 9 |
| Ecker ⁵⁰ | 3122 | 1998-2012 | CCS | USA | 66 (14) | 1683 (54) | 79.2 | Adj. CRTx, adj. CTx | M, OS-5 | I | I | I | I | I |
| Han ¹⁰ | 32 | 1990–2006 | RCS | China | 56 (7) | 19 (59) | 106 | CR, PT | OS-1,3,5 | 2 | 8 | 17 | 5 | 9 |
| Hung ³³ | 23 | 1994-2005 | RCS | Taiwan | 68 (12) | 15 (65) | 15.1 | CR, PT | M, OS-1,3,5 | 6 | 14 | I | I | I |
| Hurtuk ⁴⁴ | 52 | 1984-2005 | RCS | USA | 65 (12) | 36 (69) | 24 | CR, PT | M, OS-3,5 | I | I | I | I | I |
| Jiang ³⁴ | 201 | 1999–2015 | RCS | China | 55 (10) | 78 (61) ^b | 20 | CR, PT | M, OS-1,3,5 | 5 | 113 | 6 | 4 | 7 |
| Kaklamanos ¹² | 63 | 1978-1988 | RCS | USA | 61 (18) | 33 (52) | I | CR, PT | M, OS-5 | 7 | 41 | I | 4 | 5 |
| Kawahira ³⁵ | 21 | 1977-2007 | RCS | Japan | 61 (-) | 11 (52) | I | CR, PT | M, OS-1,3,5 | I | I | I | I | 7 |
| Kelsey ²² | 32 | 1975-2005 | RCS | USA | 57 (11) | 23 (72) | 32 | CR, CR + adj. CRTx | OS-5 | 0 | 14 | 11 | 7 | I |
| Kim ⁴⁵ | 24 | 1991-2002 | RCS | South Korea | 58 (11) | 14 (58) | 32 | CR, CR + adj. CRTx | OS-5 | I | I | I | I | 9 |
| Kim ³⁶ | 50 | 1995-2010 | RCS | South Korea | 61 (11) | 35 (70) | I | CR, PT | M, OS-3,5 | 6 | 24 | 2 | 1 | 7 |
| Lee ³⁷ | 53 | 1995-2007 | RCS | South Korea | 60(10) | 33 (62) | 41.7 | CR, PT | OS-3,5 | 9 | 30 | 13 | 4 | I |
| Lee ³⁸ | 76 | 1999–2009 | RCS | South Korea | 56 (11) | 55 (72) | I | CR, PT | M, OS-1,3,5 | I | 41 | 7 | I | 7 |
| Liang ⁴¹ | 36 | 1993-2010 | RCS | Taiwan | 64 (13) | 24 (67) | 41 | CR | M, OS-3,5 | 8 | 25 | 2 | 1 | 7 |
| Malleo ⁴⁰ | 37 | 2000-2009 | RCS | Italy | 57 (11) | 21 (57) | 25 | CR, PT | M, OS-5 | I | 25 | 12 | I | 7 |
| Onkendi ¹¹ | 124 | 1994–2009 | RCS | USA | 65 (14) | 75 (59) | I | CR, PT | M, OS-2,5,10 | 8 | 73 | 24 | 15 | 7 |
| Poultsides ⁴² | 122 | 1984–2006 | RCS | USA | 67 (14) | 66 (54) | 33 | CR, CR + adj. CRTx | OS-5,10 | I | I | I | I | 7 |
| Sarela ⁴⁶ | 137 | 1983–2001 | PCS | USA | 63 (11) | 75 (55) | 36 | CR | OS-5,10 | I | I | I | I | 5 |
| Solaini ²⁴ | 178 | 2000-2013 | PCS | UK | 61 (4) | 101 (57) | 39 | CR, PT | M, OS-1,3,5 | 25 | 94 | 29 | 12 | 7 |
| Struck ⁴⁸ | 30 | 1989–2006 | RCS | USA | 61 (10) | 22 (73) | 15.2 | CR | M, OS-1,5 | I | I | I | Ι | 9 |
| Swartz ⁴⁹ | 14 | 1994–2003 | RCS | USA | 53 (9) | 10 (71) | 42 | CR, CR + adj. CTx | M, OS-5 | I | I | I | I | 9 |
| Tocchi ³⁹ | 47 | 1980–2000 | RCS | Italy | 58 (8) | 26 (45) | 24 | CR, PT | M, OS-5 | Ι | I | 37 | 10 | Ι |
| All studies | 6438 | | | | 63° | 3395 (53) | | | | 66 | 660 | 201 | 90 | |

3 5. bypa ра in the second se ĥ ha Na treatment), - Indicates not reported

^aAge: mean in years (range in years) ^bOnly reported for resection with curative intent

^cWeighted mean

TABLE 1 General characteristics of the included studies

literature and meta-analysis is to describe the outcomes of DA after curative and palliative treatment strategies, including optimal type of resection and the value of (neo)adjuvant therapy, and to determine the role of prognostic factors.

METHODS

This systematic review was conducted and reported in accordance with the preferred reporting items for systematic reviews and meta-analysis (PRISMA) guidelines.²⁵ A systematic literature search was performed in the PubMed, EMBASE, and Wiley/Cochrane Library electronic databases from inception to 25 April 2017. The following terms were used (including synonyms and closely related words) as index terms or free-text words: 'adenocarcinoma', 'duodenum', 'ampulla of Vater', and 'therapeutics'. All studies reporting on survival for histologically confirmed DA or intestinal-type ampullary adenocarcinoma (IAA) were eligible for inclusion. The reported survival rates had to be specified either per intervention group or per disease stage.²⁶ Statistical analyses included pooling of the studies to compare the number of events after 5 years by the odds ratios (ORs) for death with their associated 95% confidence interval (CI). The Mantel-Haenszel method was used to calculate the weighted pooled OR and their associated 95% CIs for dichotomous data under the random effects model.^{27,28} Statistical heterogeneity was estimated using the Cochrane's Q and I^2 statistics,²⁹ and the Newcastle– Ottawa quality assessment was implemented to assess the quality and risk of bias of the included studies.³⁰ The full search strategies for all databases, eligibility criteria, inclusion and exclusion criteria, data collection process and analysis, and assessment of methodological quality can be found in the electronic supplementary material. Two investigators (LM and AA) independently reviewed the literature and conducted study selection. Data extraction and analysis were conducted by three investigators (LM, AA and JB). Discordant judgment was resolved by discussion and consensus.

RESULTS

General Characteristics of the Included Studies

After reviewing articles for title, abstract and full text, 26 studies met the inclusion criteria and were included for critical appraisal (Fig. 1). No studies describing patients with IAA met the inclusion criteria, thus only those describing patients with DA were eligible for further analyses.

As shown in Table 1, 23 retrospective cohort studies, 2 prospective cohort studies, and 1 case–control study comprising 6438 patients were included. The weighted mean age was 63 years and 53% of patients were male. DA was classified according to American Joint Committee on Cancer (AJCC) 5th, 6th, or 7th edition; six studies did not report the edition of AJCC classification. Given the minor differences between the AJCC staging editions, subdivision within disease stage was not further taken into account in our analyses.

Survival After Resection with Curative Intent and Palliative Treatment

A median of 71% (range 53–100%) of patients underwent resection with curative intent and 29% (range 0–61%) received palliative treatment ("Appendix" section). Overall, the pooled 5-year OS of DA was 36%, with a median OS of 31 months. In the 14 studies comparing curative and palliative treatment, the pooled 5-year survival rate was significantly longer when treatment with curative intent was feasible (46 vs. 1%, respectively; OR 0.04, 95% CI 0.02–0.09; $I^2 = 16\%$, p < 0.0001) (Fig. 2a).^{2,10–12,24,31–39} Eight studies reported no influence of age and sex on OS in the total study population.^{24,36–42} Pooling of studies to estimate survival per disease stage could not be performed due to the lack of specification of survival per disease stage. Only three studies specified survival rates.^{36–38,41}

Curative Resection

A total of eight studies, comparing survival of patients treated with either pancreaticoduodenectomy or segmental resection, were eligible for comparison.^{10–12,22,32,34,39,43} All these studies reported no significant differences in survival comparing segmental resection with pancreatico-duodenectomy. Two studies reported a greater number of lymph nodes sampled and more positive lymph nodes removed in patients undergoing pancreaticoduodenectomy, compared with segmental resection.^{11,43} However, this was not confirmed by another study and did not affect OS.¹²

Six patients with either hepatic or (retro)peritoneal metastases underwent resection of metastases concomitantly with resection of the primary duodenal tumor.^{2,22,32} Cecchini et al. reported a 3-year survival of 68% and a 5-year survival of 57% after metastases resection. No comparisons between metastasectomy and systemic therapy were made.³²

The Influence of Nodal Metastases on Survival Rates

The most commonly reported prognostic factor was the involvement of lymph nodes after resection. Eleven studies

| а | 5 | -year s | survival | | | | |
|-----------------------------------|------------------------|---------|------------|----------|--------------|---------------------|-------------------------------------|
| a | Curati | ve | Palliat | ive | | Odds Ratio | Odds Ratio |
| Study | Events | Total | Events | Total | Weight | M-H, Random, 95% Cl | M-H, Random, 95% Cl |
| Bakaeen (2000) | 31 | 68 | 33 | 33 | 6.2% | 0.01 [0.00, 0.21] | · |
| Buchbjerg (2015) | 20 | 28 | 43 | 43 | 6.0% | 0.03 [0.00, 0.50] | |
| Cechinni (2012) | 60 | 103 | 66 | 66 | 6.3% | 0.01 [0.00, 0.17] | ← → ↓ ↓ |
| Han (2008) | 15 | 22 | 10 | 10 | 5.7% | 0.10 [0.01, 1.91] | |
| Hung (2007) | 9 | 11 | 12 | 12 | 5.2% | 0.15 [0.01, 3.55] | |
| Jiang (2016) | 72 | 128 | 59 | 59 | 6.3% | 0.01 [0.00, 0.18] | ← |
| Kaklamanos (2000) | 23 | 37 | 26 | 26 | 6.1% | 0.03 [0.00, 0.54] | |
| Kawahira (2011) | 4 | 12 | 9 | 9 | 5.4% | 0.03 [0.00, 0.60] | |
| Kim (2014) | 19 | 36 | 14 | 14 | 6.0% | 0.04 [0.00, 0.69] | |
| Lee (2008) | 16 | 28 | 25 | 25 | 6.0% | 0.03 [0.00, 0.47] | |
| Lee (2014) | 16 | 41 | 29 | 29 | 6.1% | 0.01 [0.00, 0.19] | ← |
| Onkendi (2012) | 56 | 99 | 21 | 25 | 22.4% | 0.25 [0.08, 0.78] | |
| Solaini (2015) | 74 | 150 | 28 | 28 | 6.3% | 0.02 [0.00, 0.28] | |
| Tocchi (2003) | 12 | 25 | 22 | 22 | 6.0% | 0.02 [0.00, 0.38] | |
| Total (95% CI) | | 788 | | 401 | 100.0% | 0.04 [0.02, 0.09] | • |
| Total events | 427 | | 397 | | | | |
| Heterogeneity: Tau ² = | 0.33; Chi ² | = 15.5 | 4, df = 13 | 6 (P = 0 | .27); l² = 1 | 6% | 0.001 0.1 1 10 1000 |
| Test for overall effect: | Z = 8.21 (| P < 0.0 | 0001) | | | | Favours Curative Favours Palliative |
| | | | | | | | |

| le l | 5 | -year s | urvival | | | | |
|-----------------------------------|--------------------------|----------|-------------|----------|---------|---------------------|--|
| b | No involv | ement | Involve | ment | - | Odds Ratio | Odds Ratio |
| Study | Events | Total | Events | Total | Weight | M-H, Random, 95% Cl | I M-H, Random, 95% CI |
| Bakaeen (2000) | 15 | 46 | 17 | 22 | 10.8% | 0.14 [0.04, 0.46] | _ |
| Cechinni (2012) | 16 | 43 | 37 | 49 | 15.1% | 0.19 [0.08, 0.47] | |
| Hurtuk (2007) | 8 | 16 | 10 | 15 | 7.9% | 0.50 [0.12, 2.14] | |
| Jiang (2016) | 26 | 73 | 42 | 49 | 14.5% | 0.09 [0.04, 0.23] | |
| Kaklamanos (2000) | 8 | 19 | 25 | 29 | 8.4% | 0.12 [0.03, 0.47] | |
| Kim (2012) | 4 | 12 | 10 | 12 | 4.9% | 0.10 [0.01, 0.69] | |
| Kim (2014) | 8 | 21 | 11 | 15 | 7.9% | 0.22 [0.05, 0.95] | |
| Lee (2008) | 1 | 10 | 18 | 18 | 1.9% | 0.00 [0.00, 0.12] | ← |
| Liang (2012) | 13 | 22 | 14 | 14 | 2.3% | 0.05 [0.00, 0.93] | |
| Poultsides (2012) | 13 | 41 | 40 | 72 | 17.0% | 0.37 [0.17, 0.83] | |
| Sarela (2004) | 7 | 41 | 8 | 15 | 9.3% | 0.18 [0.05, 0.66] | _ |
| Total (95% CI) | | 344 | | 310 | 100.0% | 0.17 [0.11, 0.27] | • |
| Total events | 119 | | 232 | | | | |
| Heterogeneity: Tau ² = | 0.16; Chi ² = | 13.78, d | f = 10 (P = | = 0.18); | ² = 27% | | 0.001 0.1 1 10 1000 |
| Test for overall effect: | Z = 7.51 (P • | < 0.0000 | 1) | | | | Favours No involvement Favours Involvement |

| с | | 5-year s | urvival | | | | |
|-----------------------------------|---------------------------------|--------------|--|--------|--------|--------------------|---|
| 0 | No adjuvant t | herapy | Adjuvant th | nerapy | | Odds Ratio | Odds Ratio |
| Study | Events | Total | Events | Total | Weight | M-H, Random, 95% C | M-H, Random, 95% Cl |
| Kelsey (2007) | 7 | 14 | 2 | 11 | 9.4% | 4.50 [0.70, 28.79] | |
| Kim (2012) | 8 | 15 | 6 | 9 | 10.6% | 0.57 [0.10, 3.18] | |
| Kim (2014) | 13 | 18 | 7 | 18 | 14.3% | 4.09 [1.01, 16.58] | |
| Liang (2012) | 19 | 28 | 7 | 8 | 6.9% | 0.30 [0.03, 2.83] | |
| Poultsides (2012) | 41 | 78 | 18 | 34 | 26.4% | 0.98 [0.44, 2.21] | + |
| Solaini (2015) | 54 | 110 | 37 | 68 | 32.3% | 0.81 [0.44, 1.48] | |
| Total (95% CI) | | 263 | | 148 | 100.0% | 1.14 [0.60, 2.15] | • |
| Total events | 142 | | 77 | | | | |
| Heterogeneity: Tau ² = | = 0.23; Chi ² = 8.38 | 8, df = 5 (I | ^o = 0.14); l ² = | 40% | | | |
| Test for overall effect | : Z = 0.39 (P = 0.7 | (0) | | | | | 0.01 0.1 1 10 100 Favours No adjuvant Tx Favours Adjuvant Tx |

FIG. 2 Forest plots of the meta-analyses of studies examining the effect of **a** resection with curative intent to palliative treatment; **b** nodal involvement; and **c** adjuvant therapy on overall survival. The odds ratios associated with the 5-year survival and pooled overall

odds ratio for each of the studies are shown. The M-H random-effects model was used for meta-analysis. Values in brackets are 95% CIs. M-H Mantel-Haenszel, CI confidence interval, df degrees of freedom, Tx treatment

compared the 5-year survival rate for patients with lymph node involvement (N +) with those without lymph node involvement (N0). The pooled 5-year survival rate was 65% for N0, compared with 21% for N + , resulting in significantly shorter survival when involvement of lymph nodes was present (OR 0.17, 95% CI 0.11–0.27, p < 0.0001) (Fig. 2b).^{12,31,32,34,36,37,41,42,44–46} Lymph node involvement remained an independent prognostic factor in most studies after correction for other clinicopathological factors, including tumor size, differentiation grade, and disease stage.^{12,24,31,34,37,41,42,45,46}

Adjuvant and Neoadjuvant Therapy

Six studies investigated the 5-year OS for any type of adjuvant therapy compared with no adjuvant therapy.^{22,24,36,41,42,45} There was no difference in the pooled 5-year OS for any type of adjuvant therapy and control groups (48 vs. 46%, respectively; OR 1.14, 95% CI 0.60–2.15, $I^2 = 40\%$) (Fig. 2c). No specific analysis stratified per treatment modality could be made due to heterogeneous groups in the included studies and insufficient information regarding the selection of patients for adjuvant therapy. Only two studies corrected for nodal metastases and found no survival benefit for adjuvant therapy after correction for lymph node involvement.^{42,45}

Many studies described various agents for adjuvant treatment, either without reporting the effect on survival^{10,38,40,47} or without reporting any significant effect.^{11,31,32,34,38,43,48,49} Most studies did not specifically report the influence of adjuvant therapy for patients diagnosed with nodal involvement.^{11,12,22,24,31,32,34,36–38,40,41,43,48,50}

Ten studies, compromising 203 patients, specified the adjuvant chemotherapeutic regimens.^{10,22,31,32,36,38,42,45,48,49} Of these, a total of 199 patients (98%) were treated with fluorouracil-based chemotherapeutic regimens (e.g. 5-fluorouracil or capecitabine), either as monotherapy or combined with a second agent, such as a platinum-based compound.^{36,38} Adjuvant radiation therapy in combination with chemotherapy was administered to 74% of all patients treated with adjuvant therapy.^{22,31,32,36,42,45,48,49} Only one study compared the use of adjuvant chemoradiotherapy with adjuvant chemotherapy in 1028 patients, and no significant survival advantage was observed between both groups after matched analysis.⁵⁰

In this review, five studies comprising a total of 117 patients mentioned the use of preoperative chemotherapy and/or radiotherapy.^{2,22,32,38,50} These studies either did not investigate benefit or reported no improvement in OS. Interestingly, one study reported a pathologic complete response in 18% of patients after preoperative chemoradiation.²²

Palliative Treatment

Palliative treatment was described in 17 studies comprising 495 patients,^{2,10–12,24,31–40,44,46} Of those patients, 309 were treated with bypass surgery (i.e. gastrojejunostomy in 32%, and combined with biliary bypass in 8%). The influence of palliative bypass surgery on OS was not reported. Data of non-surgical palliative treatment were scarce. Four studies described a total of 46 patients receiving palliative chemotherapy, but regimens were not further specified.^{2,12,32,33} Palliative radiotherapy or chemoradiation therapy was described in three patients.^{22,33} The influence of systemic treatment on survival was not reported.

Quality of the Included Studies

An evaluation of the quality of the included studies is presented in Fig. 3. Nine studies scored a low risk of bias, while 16 studies scored an intermediate risk of bias, and one scored a high risk of bias. As evidenced by the relevant findings in this meta-analysis, the quality of the included studies was mainly compromised by clinical incomparability of both factors that could influence survival, such as age, sex, and tumor stage, as well as limited therapy specifications. In addition, adjusted estimates of OS were insufficiently reported to be included for our meta-analysis.

DISCUSSION

This systematic review of the literature and meta-analysis shows a clear survival benefit for patients with DA after curative surgical resection, compared with palliativetreated patients. Both segmental duodenal resection and pancreaticoduodenectomy allow for adequate removal of lymph nodes and result in similar OS when negative resection margins can be achieved. Involvement of lymph nodes is a negative prognostic factor for survival and results in a significantly lower 5-year OS rate than nodenegative disease. The included studies show no associated survival benefit for the use of any type of adjuvant therapy for DA, although this remains debatable due to the inequality of regimes used and insufficient patient stratification. No consensus regarding palliative treatment was found. Rarity of the tumor precluded a reliable assessment of the outcome of DA for each disease stage as the number of patients included per study was often low and staging was poorly specified.

To adequately attribute the relevance of lymph node metastases to prognosis, the number of involved lymph nodes and the pattern of regional lymph node spread is important.^{14,26,46} Lymphatic spread pattern is different among locations of DA, with lymphatic drainage of the distal

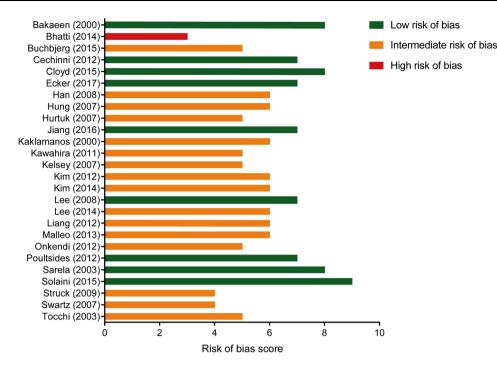


FIG. 3 Quality assessment of the included studies based on the Newcastle–Ottawa quality assessment scale for case–control studies. The maximum score is 9

portions of the duodenum ending mostly in the small bowel mesentery. These lymphatic basins are not removed with lymphadenectomy performed during pancreaticoduodenectomy, suggesting no additive effect of extended lymph node resection on survival for distal tumors.³¹ Although some still advocate pancreaticoduodenectomy for all DA tumors, ¹⁴ our results emphasize that segmental resection is the resection of choice for distal DA whenever radical resection margins can be accomplished.^{10–12,22,32,34,39,43}

Both DA and IAA display an intestinal histopathological phenotype and reported survival rates appear to be comparable.⁵¹ Notably, periampullary tumors with an intestinal histopathological phenotype with no lymph node metastasis showed an exceptionally good prognosis compared with pancreaticobiliary tumors.⁵² This provides rationale for similar treatment strategies for DA and IAA since therapies may be more adequately addressed based on histopathological classifications.⁵³ Remarkably, no studies reporting treatment of IAA met the predefined inclusion criteria due to low numbers and thus these could not be incorporated for critical appraisal.⁵⁴ Besides a histopathological approach, a targeted approach might be even more suitable to optimize treatment allocation for patients with DA and IAA. Recent comprehensive studies gained insight into the biology of periampullary cancers. Using genomic analysis, including driver gene mutations, they underlined the resemblance of IAA to intestinal tumors, such as CRC, while distinct alterations were also found.^{55,56} Periampullary tumors and DA showed overlapping alterations in pathway genes, irrespective of tumor origin.⁵⁶ These new insights support the clinical treatment of these tumor types as a unique entity and endeavor personalized treatment guided by genomic analysis.

Since local treatment of colorectal liver metastases prolongs survival, it has been suggested that patients with solitary or oligocentric hepatic metastases from DA and IAA should be considered for resection of metastases.^{32,57} In contrast to resection of liver metastases of pancreaticobiliary lesions, intestinal-type tumors show an impressively better survival.⁵⁷ Although too little experience exists to compare survival after resection of metastases to local or systemic treatment, first results regarding hepatic or (retro)peritoneal metastatic resection in DA show an encouraging improvement in OS.³²

In this study, adjuvant chemo(radiation) therapy did not result in a proven survival benefit,^{22,24,31,34,36,41,48,50} even after correction for nodal metastases.^{42,45} In the latter two studies, adjuvant therapy resulted in similar survival rates compared with no adjuvant therapy, despite a higher prevalence of lymph node involvement in the adjuvant therapy group.⁴⁵ Although further subgroup assessment is needed, these results could indicate a selection bias of

patients for adjuvant therapy and might suggest a benefit for administration of adjuvant therapy in patients with worse prognosis. Adequate data on disease stage are essential to make definite conclusions as to whether adjuvant chemotherapy can be beneficial and to tailor treatment strategies accordingly. In comparison, adjuvant chemotherapy prolongs survival in node-positive disease (stage III), but not in node-negative disease (stage II) CRC.⁵⁸ Since this stratification has not yet been thoroughly investigated for DA, the true value of adjuvant therapy based on the current literature remains unknown. The ongoing open-label randomized controlled BALLAD trial (NCT02502370) aims to answer this challenging clinical question by evaluating the potential benefit of two different adjuvant chemotherapy schedules for patients with resected stage I, II, and III SBA.

Growing evidence indicates favorable outcomes for combined modality therapies in CRC.^{59,60} Subgroup analyses in CRC emphasize the importance of location and molecular subtypes to further stratify patients for optimal therapy outcomes.^{61,62} These experiences gained in the CRC field in the past 20 years may also be explored in DA.^{17,56} However, in DA, monotherapy with fluorouracilbased regimens is still commonly offered in the clinic, with no clear evidence of benefit.^{10,22,31,32,36,38,42,45,48,49} In the last years, some studies have shown that multimodality treatment can be beneficial for SBAs. Prolonged survival for patients with SBA and IAA has been demonstrated for treatment with capecitabine and oxaliplatin, and fluorouracil, leucovorin and oxaliplatin combination chemotherapy.^{15,21,63} In addition, cytoreductive surgery with hyperthermic intraperitoneal chemotherapy was reported to enhance survival in SBA with peritoneal metastases.64

The major limitation of this review is the retrospective design of the included studies, with a lack of patient stratification for confounding factors and a lack of specification of treatment modalities in most studies, resulting in clinical heterogeneity of the included studies and risk of bias. Most studies described small patient groups with single-center experience, and provided limited information, in particular on adjusted survival per disease stage and administration of chemo- and/or radiation therapy. Due to variety in the treatment regimens and poor specification of confounding and prognostic factors, bias of these factors could not be investigated in this study.

CONCLUSIONS

Evidence to guide optimal therapeutic outcome is limited due to the rarity of DA. Resection remains the only curative therapeutic option for DA, with equal outcomes after segmental resection and pancreaticoduodenectomy. Based on the included studies, the current use of monotherapy regimens shows no survival benefit, while the optimal approaches for (neo)adjuvant therapy with combined modality therapies are not yet well established. This systematic review and meta-analysis underlines the necessity of improving stratification for high-risk disease, including tumor biology-related factors, subgroup analysis, and targeted approaches, and warrants further research for survival benefit of (neo)adjuvant chemotherapy and metastasectomy in DA.

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APPENDIX

See Table 2.

| | No. of patients | Median survival (months) | 1 5-year survival (%) | No of patients (%) | Age (SD) ^a | Males (%) | Stage 0 | Stage I | Stage II | Stage III | Stage IV | Unknown | Median survival (months) | al 5-year survival (%) |
|---|--------------------|-----------------------------|---------------------------|-----------------------|--------------------------|--------------|------------|------------|-------------|--------------|-------------|--------------------------|-----------------------------|---------------------------|
| Bakaeen ³¹ | 101 | I | 37 | 68 (67) | T | I | 2 | 17 | 25 | 22 | 2 | 0 | 1 | 54 |
| Bhatti ⁴⁷ | 12 | 18 | 21 | 12 (100) | 55 (10) | 8 (67) | | 4 | ю | S | | 0 | 18 | 21 |
| Buchbjerg ² | 71 | 8 | 11 | 28 (39) | I | I | | 7 | 11 | 13 | 7 | 0 | 23 | 27 |
| Cecchini ³² | 169 | I | I | 103 (61) | I | I | | | | | | 103 | 44 | 42 |
| Han ¹⁰ | 32 | I | 21 | 22 (69) | I | I | | | | | | 22 | I | 32 |
| Hung ³³ | 23 | 6 | 8 | 11 (48) | 64 (13) | 7 (64) | | 4 | 3 | ю | 1 | 0 | 38 | 17 |
| Hurtuk ⁴⁴ | 52 | I | I | 35 (67) | I | I | | | | | | 35 | 34 | I |
| Jiang ³⁴ | 187^{b} | I | I | 128 (68) | I | I | | | | | | 128 | 57 | 44 |
| Kaklamanos ¹² | 63 | 18 | 23 | 37 (59) | I | I | | 8 | 11 | 18 | | 0 | 31 | 37 |
| Kawahira ³⁵ | 21 | I | 39 | 12 (57) | I | I | | | | | 1 | 11 | 59 | 66 |
| Kelsey ²² | 32 | I | 48 | 32 (100) | 57 (11) | 23 (72) | | б | 18 | 6 | 1 | 1 | I | 48 |
| Kim ⁴⁵ | 24 | I | 39 | 24 (100) | 58 (11) | 14 (58) | | 2 | 10 | 12 | | 0 | I | 39 |
| Kim ³⁶ | 50 | 24 | 32 | 36 (72) | I | I | | 7 | 16 | 13 | | 0 | I | 47 |
| Lee ³⁷ | 53 | I | 29 | 28 (53) | I | I | | б | 7 | 18 | | 0 | I | 44 |
| Lee ³⁸ | 70 | I | I | 41 (59) | I | I | | 2 | 21 | 18 | | 0 | 25 | 61 |
| Liang ⁴¹ | 36 | 21 | I | 36 (100) | 64 (13) | 24 (67) | | 4 | 9 | 26 | | 0 | 21 | I |
| Malleo ⁴⁰ | 37 | 70 | 59 | 25 (68) | 57 (11) | 14 (56) | | 5 | 9 | 11 | б | 0 | 70 | 71 |
| Onkendi ¹¹ | 124 | I | 37 | (08) 66 | I | I | | | | | | 66 | 38 | 43 |
| Poultsides ⁴² | 122 | I | 48 | 122 (100) | 67 (14) | 66 (54) | | 10 | 28 | 71 | 7 | 9 | I | 48 |
| Sarela ⁴⁶ | 137 | I | I | 72 (53) | 62 (13) | 38 (53) | | | | | | 72 | I | 71 |
| Solaini ²⁴ | 178 | 44 | 43 | 150 (84) | I | I | | | | | | 150 | 84 | 51 |
| Struck ⁴⁸ | 30 | I | I | 30 (100) | 61 (10) | 22 (73) | 5 | б | 6 | 16 | | 0 | 28 | 53 |
| Swartz ⁴⁹ | 14 | 41 | 44 | 14 (100) | 53 (9) | 10 (71) | | | | 14 | | 0 | 41 | 44 |
| Tocchi ³⁹ | 47 | I | I | 25 (53) | I | I | | | | | | 25 | 38 | 51 |
| All studies | 1685 | 31 ^d | 36^{d} | 1190 (71) | | | 4 | 74 | 174 | 269 | 17 | 652 | 49 ^d | 48 ^d |
| Author (year) | | Palliative treatment | | | | | | | | | | | | |
| | No of J | No of patients (%) | Age (SD) ^a Mal | es (%) | Stage II | Stage III | | Stage IV | Uni | Unknown | Med | Median survival (months) | (months) | 5-year survival (%) |
| Bakaeen ³¹ Bhatti ⁴⁷ | 33 (33) | - | 1 | | | | | | 33 | | I | | | 0 |
| Buchbjerg ² | 43 (61) | | I | | | | | | 43 | | 5 | | | 0 |
| Cecchini ³² | 66 (39) | | I | | | | | | 99 | | 6 | | | 0 |
| Han ¹⁰ | 10 (31) | | | | | | | | | | | | | |

| TABLE 2 continued | nued | | | | | | | | |
|--------------------------|----------------------|-----------------------|-----------|----------|-----------|----------|---------|--------------------------|---------------------|
| Author (year) | Palliative treatment | | | | | | | | |
| | No of patients (%) | Age (SD) ^a | Males (%) | Stage II | Stage III | Stage IV | Unknown | Median survival (months) | 5-year survival (%) |
| Hung ³³ | 12 [°] (52) | 73 (8) | 8 (67) | 1 | - | 10 | 0 | 2 | 0 |
| Hurtuk ⁴⁴ | 17 (33) | I | I | | | | 17 | I | 0 |
| Jiang ³⁴ | 59 (32) | I | I | | | | 59 | 7 | 0 |
| Kaklamanos ¹² | 26 (41) | I | I | | 10 | 5 | 11 | 12 | 0 |
| Kawahira ³⁵ | 9 (43) | I | I | | | 6 | 0 | 6 | 0 |
| Kelsey ²² | | | | | | | | | |
| Kim ⁴⁵ | | | | | | | | | |
| ${ m Kim}^{36}$ | 14 (28) | I | I | | 5 | 8 | 1 | I | 0 |
| Lee ³⁷ | 25 (47) | I | I | | | | 25 | 8 | 0 |
| Lee ³⁸ | 29 (41) | I | I | | | | 29 | 7 | 0 |
| Liang ⁴¹ | | | | | | | | | |
| Malleo ⁴⁰ | 12 (32) | I | 7 (58) | 1 | 5 | 9 | 0 | I | I |
| Onkendi ¹¹ | 25 (20) | I | I | | | | 25 | 9 | 15 |
| Poultsides ⁴² | | | | | | | | | |
| Sarela ⁴⁶ | 65 (47) | I | 37 (57) | | | | 65 | I | I |
| Solaini ²⁴ | 28 (16) | I | I | | | | 28 | 8 | 0 |
| Struck ⁴⁸ | | | | | | | | | |
| Swartz ⁴⁹ | | | | | | | | | |
| Tocchi ³⁹ | 22 (47) | I | I | | | | 22 | 6 | 0 |
| All studies | 495 (29) | | | 2 | 21 | 38 | 434 | 8 ^d | ld |
| a A | | | | | | | | | |

^aAge: mean in years (range in years)

^bLong-term analysis

^cPalliative treatment and supportive therapy

^dWeighted mean of median survival and 5-year survival of all studies

- Indicates not reported

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