



COVID-19 Vaccine Effectiveness: A Review of the First 6 Months of COVID-19 Vaccine Availability (1 January–30 June 2021)

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Abstract: Observational studies are needed to demonstrate real-world vaccine effectiveness (VE) against severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) outcomes. Our objective was to conduct a review of published SARS-CoV-2 VE articles, supplemented by preprints, during the first 6 months of COVID-19 vaccine availability. This review compares the effectiveness of completing the primary COVID-19 vaccination series against multiple SARS-CoV-2 disease presentations and disease severity outcomes in three population groups (general population, frontline workers, and older adults). Four hundred and seventy-one published articles and 47 preprints were identified. After title and abstract screening and full article review, 50 studies (28 published articles, 22 preprints) were included. VE results were reported for five COVID-19 vaccines and four combinations of COVID-19 vaccines. VE results for BNT162b2 were reported in 70.6% of all studies. Seventeen studies reported variant specific VE estimates; Alpha was the most common. This comprehensive review demonstrates that COVID-19 vaccination is an important tool for preventing COVID-19 morbidity and mortality among fully vaccinated persons aged 16 years and older and serves as an important baseline from which to follow future trends in COVID-19 evolution and effectiveness of new and updated vaccines.

Keywords: COVID-19 vaccines; vaccine effectiveness; observational studies; review literature; SARS-CoV-2; BNT162b2 vaccine; mRNA-1273 vaccine; ChAdOx1 nCoV-19; Ad26.COV2.S

1. Introduction

The coronavirus disease 2019 (COVID-19) pandemic has caused significant morbidity, mortality, and economic loss globally. As a result, scientists around the world have been working tirelessly to develop, produce, and test COVID-19 vaccines that limit the spread of SARS-CoV-2 and prevent the adverse health effects of SARS-CoV-2 infection. Clinical trials have shown COVID-19 vaccines to be safe and immunogenic, with an efficacy against symptomatic infection in randomized controlled trials (RCTs) ranging from 95.0% and 94.1% for the messenger RNA (mRNA) vaccines BNT162b2 (Pfizer-BioNTech) [1] and mRNA-1273 (Moderna) [2], respectively, to 50.7% for the inactivated whole-virion vaccine CoronaVac (Sinovac) [3]. Other vaccines included in this review had intermediate efficacies of 77.8%, 67.1%, and 66.9%, for Covaxin[®] (Bharat Biotech) [4], ChAdOx1 (AstraZeneca) [5], and Ad26.COV2.S (Janssen/Johnson & Johnson) [6], respectively. The first vaccine authorized and used in the United States (US) was BNT162b2; first doses were administered on 14 December 2020, and the first individuals completed the two-dose primary vaccination series



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Copyright: © 2022 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). in January 2021. Since vaccine trials, including the above-mentioned RCTs, are conducted in controlled settings with healthy individuals or those with stable medical conditions [1–9], observational studies are needed to demonstrate real-world vaccine effectiveness (VE) against all severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) outcomes, including asymptomatic infections and severe outcomes including hospitalizations and deaths, in field settings across the globe. It is also important to determine VE in subsets of the population who may be at higher risk of being infected with SARS-CoV-2 (e.g., frontline and healthcare workers) or having more severe outcomes (e.g., older adults and persons with underlying illnesses). Finally, it is important to monitor VE over time to assess changes in effectiveness, which may occur following waning immunity or the dissemination of SARS-CoV-2 variants that are associated with increased transmissibility or more severe illness.

We conducted a review of published (i.e., peer-reviewed) SARS-CoV-2 VE articles, supplemented by preprints posted on preprint servers and reports published on websites of public health agencies during the first 6 months of COVID-19 vaccine availability. While other VE reviews have been published [10–14], our review is unique in that we (1) provided VE results for the first 6 months of global vaccine use and for only fully vaccinated participants, (2) examined VE for three population groups separately, and (3) plotted VE results to allow for direct comparison across disease presentation and disease severity categories by vaccine and by days after full vaccination.

The objective of our review is to compare the effectiveness of completing the primary COVID-19 vaccine series (i.e., "fully vaccinated," as defined during the period of this review) against multiple SARS-CoV-2 outcomes (i.e., infection, asymptomatic infection, symptomatic infection, hospitalization, severe disease, intensive care unit [ICU] admission, death) by vaccine product, study population, number of days after full vaccination, and variant. VE information assists physicians and public health officials with identifying which vaccines are most effective for which population subgroup and with monitoring trends to inform the need for subsequent vaccine doses.

2. Materials and Methods

A literature search was conducted in PubMed to identify articles published between 1 January and 30 June 2021, written in English, and describing observational studies that assessed VE against SARS-CoV-2 outcomes in real-world settings. This 6-month period was chosen to focus our review on VE among fully vaccinated persons aged 16 years and older without having to factor in the influence waning immunity or subsequent vaccine doses. In addition, this early time period provides an important baseline from which to follow future trends in COVID-19 evolution and effectiveness of new or updated vaccines.

The literature search terms are described in the Supplementary Methods. Separately, Pfizer investigators searched the medRxiv and bioRxiv COVID-19 SARS-CoV-2 preprint server and the SSRN preprint server daily for preprints of articles related to COVID-19 VE with the term "BNT162b2" or "effectiveness" in the title to identify preprints describing COVID-19 VE studies. Following a cursory review for appropriateness, preprint servers post scientific articles that have not yet been peer reviewed; such servers have been a vital mechanism for timely dissemination of scientific results during the rapidly evolving SARS-CoV-2 pandemic. Pfizer also monitored media reports and websites of national public health agencies daily to identify both published articles and preprints. These included reports from government agencies (e.g., Public Health England) that included COVID-19 VE information; for the purposes of this review, such reports are also considered as preprints. Published articles that were identified by Pfizer's daily monitoring of media reports and websites of national public health agencies but were not identified through the PubMed search are referred to as "Published articles identified by Pfizer." Published articles and preprints identified by the PubMed search and by Pfizer were included in the title and abstract screening and full article review process described below and summarized in Figure 1. Although we performed a comprehensive search of available literature as a



part of our methods, we did not conduct a quality assessment of published articles and preprints. Thus, our review should not be considered a systematic literature review.

Figure 1. PRISMA Flow Chart. VE = vaccine effectiveness. [†] Economic or cost-effectiveness (n = 4); vaccine side effects (n = 4); case report or series (n = 3); surveillance study (n = 2); nutrition (n = 1); risk-benefit analysis (n = 1); symptoms (n = 1). [‡] Image or audio clip with no article (n = 2); news article (n = 2); author reply (n = 1). [§] Five identified by through sources other than PubMed. * One study did not distinguish between one- and two-dose VE.

Published articles and preprints eligible for inclusion were observational studies that reported the effectiveness of any COVID-19 vaccine for fully vaccinated persons. The primary series for Ad26.COV2.S is one dose; all other vaccines are two doses. Two investigators (L.M.B. and S.M.H. or S.M.E.-D.) independently screened the titles and abstracts of all published articles, where available, to identify studies for a full article review. Published articles with no abstract or those with titles and abstracts that did not provide sufficient context to exclude them at the abstract review stage were included in the full article review. All preprints were included in the full article review. In the case of a disagreement, a third investigator (S.M.H. or S.M.E.-D.) reviewed the title and abstract to make a final determination about including or excluding the article.

One investigator (S.M.H. or S.M.E.-D.) reviewed full published articles and preprints for inclusion. Published articles and preprints were included if they presented VE or a measure from which VE could be directly calculated (i.e., incidence rate ratio [IRR], hazard ratio [HR], odds ratio [OR]). For published articles or preprints that provided an IRR, HR, or OR, VE was calculated using the formula (1-IRR/HR/OR) \times 100. S.M.H., or S.M.E.-D. abstracted relevant data from articles and preprints selected for inclusion. Final abstracted data were reviewed by L.M.B. and S.M.H.

Among the 82 published articles assessed for eligibility, 20 were excluded because they did not present VE or a measure from which VE could be directly calculated, 14 were excluded because they were a review or commentary, 13 were excluded because they only presented VE for a single vaccine dose for vaccines with two-dose regimens, 2 were excluded because they contained data that were updated in a more recently published article (source data duplicate), and 5 were excluded for another reason detailed in Figure 1. Among the 47 preprints assessed for eligibility, 15 were excluded because they only presented VE for a single vaccine dose for vaccines with two-dose regimens, 9 were excluded because they did not present VE or a measure from which VE could be directly calculated, and 1 was excluded because it was removed from the preprint server prior to submission of this manuscript.

Abstracted information included country, study design, study period, study population, number of participants, participant age in years (mean, median, or category), number of participants vaccinated and unvaccinated, vaccine, number of days after being fully vaccinated, identified or circulating variant, and VE and 95% confidence intervals (CIs) by SARS-CoV-2 outcome. VE and 95% CIs were rounded to the nearest whole number. For published articles or preprints that provided VE for >1 vaccine and reported both combined and individual VE estimates, we reported only the individual results unless the combined VE estimates included additional stratification (e.g., by variant, disease presentation, disease severity) not provided for the individual VE estimates. A variant was considered "identified" if the study authors performed laboratory testing to identify the variant detected from each infected person, or a sample of infected persons, that contributed to the VE estimate. A variant was considered "circulating" if the study presented background information or other evidence of the dominant strain(s) circulating in the population during the study period but did not perform laboratory testing to identify variant(s) detected from infected persons. This detailed information is presented in Table 1 for each study. To compare VE results between populations with distinct disease or exposure risks, study populations were classified into three broad categories: general population aged ≥ 16 years, adult frontline workers, and older adults aged ≥ 65 years. One study [15] included in the older adults' category persons aged >60 years. The link between the detailed study populations presented in Table 1 and the broad categories used in Table 2 and Figures 2–4 is provided in Supplementary Table S1. To compare VE results by time after full vaccination, days after full vaccine dose were grouped into two categories: \geq 7 days and \geq 14 days (Figures 2–4). For completeness, estimations of VE at <7 days are provided for two-dose regimens in Table 1.



symptomatic infection among the general population aged \geq 16 years. (b) Forest plot of VE estimates and 95% CIs against hospitalization, severe disease or ICU admission, and death among the general population aged ≥ 16 years.

Figure 2. (a) Forest plot of VE estimates and 95% CIs against infection, asymptomatic infection, and

General Population - Disease Presentation

	30 June 2	.021.									
Study	Study	Study	Study	Participant	Participant	Participants	Vaccine	Days after	Variant Identified		VE
(Country)	Design	Period	Population (Age in Years)	Number	Age in Years	Vaccinated (Unvaccinated)	Received	Full Vaccine Dose	(Bold) or Circulating (Italics)	Outcome	Adjusted VE, % (95% CI)
					Published	articles					
						515 (32,293)	BNT162b2	>14	B.1.1.7	Infection	90 (86–92)
		1				75 (727)	BNT162b2	>14	B.1.1.7	Severe disease	100 (82–100)
	Test-negative case–control	2021–31 Mar 2021	population (not specified)	75,318	32.5 (median)	877 (38,273)	BNT162b2	>14	B.1.351	Infection	75 (71–79)
Abu-Raddad et al. [16]						14 (586)	BNT162b2	>14	B.1.351	Severe disease	100 (74–100)
(Qatar) –						112 (3278)	BNT162b2	>14	B.1.1.7, B.1.351	Severe disease	97 (92–100)
	Retrospective cohort	1 Feb rospective 2021–31 cohort Mar 2021	Ceneral		Vaccinated				B.1.1.7	Infection	87 * (82–91)
			population (not specified)	213,758	54 (median); unvacci- nated37	51,324 (162,434)	BNT162b2	>14	B.1.351	Infection	72 * (66–77)
					(median)				B.1.1.7, B.1.351	Infection	69 * (63–74)
Angel et al. [17] (Israel)	Retrospective	20 Dec	HCWs (18+)	6274	44.3 (mean)	5517	BNT162b2	>7	B.1.1.7	Asymptomatic	86 (69–93)
	cohort	Feb 2021	,	02/1	,	(757)	211110-02		21111	Symptomatic	97 (94–99)
										Infection	93 (91–94)
Barda et al. [18] (Israel) [includes data from: Dagan et al. [19], (Israel)]	Retrospective	20 Dec 2020–14	HS members	310,696	Not provided	155,348	BNT162b2	7–28	B.1.1.7	Symptomatic	96 (94–97)
	cohort	cohort 2020–14 Feb 2021	(16+)			(155,348)				Hospitalizatior	92 (85–97)
										Severe disease	95 (89–99)

Table 1. Characteristics of published articles (*n* = 28) and preprints (*n* = 22) that assessed VE of COVID-19 vaccines against SARS-CoV-2 outcomes, 1 January–30 June 2021.

Table 1. Cont.

Charden	Study	Cha dea	Study	Danticipant	Dantisinant	Participants	Vacino	Days after	Variant Identified		VE	
(Country)	Design	Period	Population (Age in Years)	Number	Age in Years	Vaccinated (Unvaccinated)	Received	Full Vaccine Dose	(Bold) or Circulating (Italics)	Outcome	Adjusted VE, % (95% CI)	
					Published	articles						
Bertollini et al. [20] (Qatar) ++	Cross- sectional	18 Feb 2021–26 Apr 2021	Airline passengers (not provided)	20,184	33 (median)	10,092 (10,092)	BNT162b2, mRNA-1273	>14	B.1.1.7, B.1.351, B.1.617, "wild- type"strains	Infection	78 (72–83)	
Bianchi et al. [21] (Italy)	Prospective cohort	24 Jan 2021–31 Mar 2021	HCWs (18+)	2034	44.4 (mean)	1607 (427)	BNT162b2	>7	NA	Infection	96 82–99	
										Infection	66 * (41–81)	
Cavanaugh et al. [22]	Retrospective cohort 20 Dec 2021–17 Mar 2021 1 Mar 2021–28 Mar 2021	1 Mar 2021–17 Mar 2021 Outbreak investigation	1 Mar 2021–17	SNF residents	79	Not provided	71	BNT162b2	>14	R.1	Symptomatic	87 * (66–95)
			(not provided)		1	(8)				Hospitalizatio	n 94 * (74–99)	
(US)										Death	94 * (45–99)	
		1 Mar	SNF HCWs	108	Not provided	54	BNT162b2	>14	R.1	Infection	76 * (33–91)	
		2021–28 Mar 2021	(not provided)		-	(54)				Symptomatic	87 * (46–97)	
Chodick et al. [23] (Israel)		20 Dec	HS members	2.051.051	47.7 (mean)	Ref period: 1,178,597	BNT162b2	>7	NA	Infection	90 (79–95)	
		cohort 20 Dec 2020-3 Mar 2021	(16+)	,,.	()	(protection period 872,454)	211110202			Symptomatic	94 (88–97)	
Fabiani et al. [24] (Italy) R	Retrospective 2 cohort 20 Ma	27 Dec	HCWs (not	6276	47.1 (mean)	5186	BNT162b2	>7	NA	Infection	95 (62–99)	
		cohort	2020–24 Mar 2021	provided)		. ,	(1090)				Symptomatic	94 (51–99)

	Table 1.	Cont.										
Study	Study	Study	Study	Participant	Participant	Participants	Vaccine	Days after	Variant Identified		VE	
(Country)	Design	Period	(Age in Years)	Number	Age in Years	Vaccinated (Unvaccinated)	Received	Full Vaccine Dose	(Bold) or Circulating (Italics)	Outcome	Adjusted VE, % (95% CI)	
					Published	articles						
										Infection	98 (96–99)	
		17 Jan	General			30,817 (174,023)	BNT162b2	>14	B.1.1.7	Symptomatic	99 (96–100)	
Flacco et al. [25] (Italy)	cohort	2021–21 May 2021	population (18+)	206,860	53.2 (mean)	(111)(10)				Death	98 (87–100)	
						2020				Infection	100	
						(174,023)	mRNA-1273	>14	B.1.1.7	Symptomatic	100	
										Death	100	
											Infection	95 (95–96)
										Asymptomatic	92 (91–92)	
Haas et al. [26] (Israel)	Retrospective	24 Jan	General	6,538,911	Not provided	4,714,932	BNT162b2	>7	B.1.1.7	Symptomatic	97.0 (96.7–97.2)	
	cohort	rospective 24 Jan cohort 2021–3 Apr 2021	population (16+)			(1,823,979)				Hospitalizatio	n 97 (97–98)	
										ICU	98 (97–98)	
										Death	97 (96–97)	
Hall et al. [27] (UK)	Prospective cohort	7 Dec 2020–5 Feb 2021	HCWs (18+)	23,324	46.1 (median)	Cohort+: 8203/cohort-: 15,121	BNT162b2	7	B.1.1.7	Infection	85 (74–96)	
		10 5	Veterans with							Infection	80	
Khan et al. [28] (US)	Retrospective	pective 18 Dec	IBD/	13,629	Not provided	6253 (7376)	BNT162b2, mRNA-1273	>7	NA	Death	87	
	cohort	Apr 2021	immunosuppres (18+)	sed		()				Severe disease	70	

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Study

(Country)

Variant VE Days after Participants Identified Participant Number Participant Vaccine Vaccinated Full Vaccine (Bold) or Adjusted VE, % (95% CI) Age in Years Received (Unvaccinated) Outcome Dose Circulating (Italics) Published articles

Knobel et al. [29] (Spain) Prospective cohort 1Dec specified HCWs (not specified) 2462 38.9 (mean) 2148^{++} $mRNA1272$ >7 NA Asymptomatic 91* Lopez Bernal et al. [30] (UK) rest-negative case-control 8 Dec specified a_{25c10} $B0$ a_{216} $BNT162b2$ >14 $B.1.17$ $B.1.17$ a_{37} a_{37} a_{37} a_{37} a_{37} a_{39} <td< th=""><th></th><th></th><th></th><th></th><th></th><th>1 401101104 4</th><th></th><th></th><th></th><th></th><th></th><th></th></td<>						1 401101104 4						
$ \begin{tabular}{ c c c c c c } & & & & & & & & & & & & & & & & & & &$	Knobel et al. [29] (Spain)	Prospective cohort	1 Dec 2020–20 Apr 2021	HCWs (not specified)	2462	38.9 (mean)	2148 ** (314)	BNT162b2, mRNA-1273	>7	NA	Asymptomatic	91 *
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$					25.610	80 -	675 (24,706)	BNT162b2	>14		Symptomatic	85 (79–89)
Lopez Bernal et al. [3] Test-negative case-control SDR 2020-18 Jan 2021 Older adults (70+) $127,650$ $70+$ $\frac{74}{(126,697)}$ $BN162b2$ $7-13$ $B.1.7$ <td></td> <td></td> <td></td> <td></td> <td>25,010</td> <td></td> <td>229 (24,706)</td> <td>BNT162b2</td> <td>7–13</td> <td>— D.1.1.7</td> <td>Symptomatic</td> <td>79 (68–86)</td>					25,010		229 (24,706)	BNT162b2	7–13	— D.1.1.7	Symptomatic	79 (68–86)
$\begin{array}{ c c c c c c c c c c c c c c c c c c c$	Lopez Bernal et al. [30]	Test-negative	8 Dec	Older adults	127 656	70+	714 (126,697)	BNT162b2	>14		Symptomatic	83 (77–88)
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	(UK)	case-control	2020–18 Jan 2021	(70+)	127,030	70+ -	245 (126,697)	BNT162b2	7–13	— D.1.1.7	Symptomatic	74 (61–82)
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$					109 371	70+ -	411 (10,822)	BNT162b2	>14		Symptomatic	90 (84–94)
Martínez-Baz et al. [31] (Spain) Prospective cohort 1 Jan 2021-30 Apr 2021 HS members (close contacts) (18+) 20,092 Not provided 512 (19,580) BNT162b2, mRNA-1273, ChAdOX1 14 B.1.1.7, B.1.351 P1, B.1.351 Infection 66 (57-74) Martínez-Baz et al. [31] (Spain) Prospective cohort 1 Jan 2021-30 (18+) HS members (close contacts) 20,092 Not provided 1 B.1.1.7, B.1.351 Prospective (B.1.1.7, B.1.351 98 (87-100) 491 (19,580) BNT162b2 14 B.1.1.7, B.1.177, P1, B.1.351 Prospective (73-88) 98 (73-88) 491 (19,580) BNT162b2 14 B.1.1.7, B.1.177, P1, B.1.351 Symptomatic (73-88) 82 (73-88) 491 (19,580) BNT162b2 14 B.1.1.7, B.1.177, P1, B.1.351 Symptomatic (73-88) 82 (73-88) 491 (19,580) BNT162b2 14 B.1.1.7, B.1.177, P1, B.1.351 94 (60-99)					107,071	70+ -	138 (10,822)	BNT162b2	7–13	D.1.1./	Symptoniane	81 (66–90)
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $											Infection	66 (57–74)
Martínez-Baz et al. [31] (Spain) Prospective cohort 1 Jan 2021-30 Apr 2021 HS members (close contacts) (18+) 20,092 Not provided Hospitalization 98 (87-100) 491 (19,580) BNT162b2 14 B.1.1.7, B.1.177, P.1, B.1.351 Infection 95 (62-99) 491 (19,580) BNT162b2 14 B.1.1.7, B.1.177, P.1, B.1.351 Symptomatic 82 (73-88) Hospitalization 94 (60-99)							512 (19,580)	BNT162b2, mRNA-1273,	14	B.1.1.7, B.1.177, P.1, B.1.351	Symptomatic	82 (74–88)
$\begin{array}{c} 491 \\ (19,580) \end{array} \text{ BNT162b2} 14 \\ \end{array} \begin{array}{c} \text{B.1.1.7, B.1.177, B.1.177, B.1.177, B.1.177, B.1.351} \end{array} \\ \begin{array}{c} \text{Infection} & \frac{95}{(62-99)} \end{array} \\ \hline \text{Mospitalization} & \frac{94}{(60-99)} \end{array} \end{array}$	Martínez-Baz et al. [31] (Spain)	Prospective	1 Jan 2021–30	HS members	20,092	Not provided		CHAdOXI			Hospitalization	98 (87–100)
491 (19,580) BNT162b2 14 B.1.1.7, B.1.177, P.1, B.1.351 Symptomatic 82 (73-88) Hospitalization 94 (60-99)	(Spain)	cohort	Apr 2021	(18+)		<u>-</u>					Infection	95 (62–99)
Hospitalization $\frac{94}{(60-99)}$							491 (19,580)	BNT162b2	14	B.1.1.7, B.1.177, P.1, B.1.351	Symptomatic	82 (73–88)
											Hospitalization	94 (60–99)

Table 1. Cont.

Study

Period

Study

Design

Study Population

(Age in Years)

	Table 1.	Cont.									
Study	Study	Study	Study	Participant	Participant	Participants	Vaccine	Days after	Variant Identified	· · · · · · · · · · · · · · · · · · ·	VE
(Country)	Design	Period	Population (Age in Years)	Number	Age in Years	Vaccinated (Unvaccinated)	Received	Full Vaccine Dose	(Bold) or Circulating (Italics)	Outcome	Adjusted VE, % (95% CI)
					Published	articles			· · · ·		
										Infection	71 (56–82)
Mazagatos et al. [32]	Case-coverage	27 Dec	LTCF residents	338,145	Not provided	300,133	BNT162b2,	BNT16b2: >7;	NA	Asymptomatic	70 (48–83)
(Spain)		2020–4 Apr 2021	(65+)			(38,012)	mRNA-1273	mRNA-1273: >14		Hospitalization	n 88 (75–95)
										Death	97 (92–99)
										Infection	88 (84–91)
						33,963	BNT162b2	>14	NA	Hospitalization	n 88 (73–96)
						(32,910)				ICU	100 (19–100)
Pawlowski et al. [33] (US)	Retrospective	1 Dec 2020–20	HS members	181,746	53.6 (mean)					Infection	86 (82–89)
	conort	Apr 2021	(18+)			35,990 (35.011)	BNT162b2	>7	NA	Hospitalization	89 (76–96)
						(00)011)				ICU	100 (51–100)
										Infection	92 (82–97)
					62.6 (mean)	10,610 (10,318)	mRNA-1273	>14	NA	Hospitalization	91 1 (77–97)
						())				ICU	100 (18–100)

	Table 1.	Cont.									
Study	Study	Study	Study	Particinant	Participant	Participants	Vaccine	Days after	Variant Identified	١	/E
(Country)	Design	Period	Population (Age in Years)	Number	Age in Years	Vaccinated (Unvaccinated)	Received	Full Vaccine Dose	(Bold) or Circulating (Italics)	Outcome	Adjusted VE, % (95% CI)
					Published	articles					
										Infection	93 (86–97)
						11,612	mRNA-1273	>7	NA	Hospitalization	86 (72–94)
						(11,552)				ICU	100 (43.3–100)
Pilishvili et al. [34] (US)	Test-negative case–control	1 Jan 2021–30 Mar 2021	HCWs (19+)	845	37 (median)	203 (642)	BNT162b2, mRNA-1273	>7	NA	Symptomatic	94 (87–97)
										Infection	80 (74–84)
						57,646 (192,224)	BNT162b2	>1	B.1.1.7	Asymptomatic	58 (43–69)
Pritchard et al. [35] (UK)	Prospective	1 Dec	General	290,888	55 (median)					Symptomatic	95 (91–98)
	cohort	2020–8 May 2021	population (16+)							Infection	79 (65–88)
						41,018 (192,224)	ChAdOx1	>1	B.1.1.7	Asymptomatic	61 (27–79)
										Symptomatic	92 (78–97)
Sansone et al. [36] (Italy)	Surveillance study	25 Jan 2021–13 Apr 2021	HCWs (not provided)	8851	Not provided	6904 (1942)	BNT162b2	>7	B.1.1.7, B.1.525	Infection	61 * (9–83)

53,575

(119,419)

4360

(42,062)

53,679

(117,263)

Not provided

504,658

General

population

(16+)

1 Apr 2021–6 Jun

2021

Test-negative cohort

Sheikh et al. [37] (UK)

BNT162b2

BNT162b2

BNT162b2

>14

>14

>14

B.1.1.7

B.1.1.7

B.1.617

Infection

Symptomatic

Infection

11 of 32

92

(90–93)

92

(88–94)

79

(75–82)

Table 1. Cont.

1 Jan

2021-26

Mar 2021

Test-negative

case-control

Tenforde et al. [41] (US)

Hospital

patients (65+)

306

73 (median)

Variant VE Study Participants Days after Identified Study Study Study Participant Participant Vaccine Population Vaccinated **Full Vaccine** (Bold) or Adjusted VE, % (Country) Design Period Number Age in Years Received Outcome (Age in Years) (Unvaccinated) Dose Circulating (95% CI) (Italics) **Published** articles 83 4401 BNT162b2 >14 B.1.617 Symptomatic (40,504)(78 - 87)32,588 73 ChAdOx1 >14 B.1.1.7 Infection (119,419)(66 - 78)1999 81 ChAdOx1 >14 B.1.1.7 Symptomatic (42,062)(72 - 87)32,719 60 ChAdOx1 >14 B.1.617 Infection (117,263) (53-66) 2,089 61 ChAdOx1 B.1.617 >14 Symptomatic (40,504)(51 - 70)41,741 97 BNT162b2 >14 NA Infection 1 Jan (23,931) (95–98) Retrospective HCWs (not Swift et al. [38] (US) 69,093 41 (median) 2021-31 cohort provided) 99 3421 Mar 2021 mRNA-1273 >14 NA Infection (23, 931)(90 - 100)Screenings: BNT162b2, 80 707 >0 NA Asymptomatic 39,156 mRNA-1273 (56-91) 17 Dec HS members Tande et al. [39] (US) ++ Retrospective (45,327) (46,034 54.2 (mean) cohort 2020-8 Feb (18+)screen-Screening: 2021 80 ings) 665 esti-BNT162b2 >0 NA Asymptomatic (56 - 91)mated(45,327) 96 * Infection (91-98) 17 Dec 2276 Prospective HCWs (not Tang et al. [40] (US) ++ Not provided 4441 BNT162b2 >7 NA 90 * (2165) cohort 2020-20 provided) Asymptomatic (78-96) Mar 2021 100 * Symptomatic

19

(287)

BNT162b2,

mRNA-1273

14

NA

94

(49-99)

Hospitalization

Study

(Country)

Thompson et al. [42] (US)

[includes data from:

Thompson et al. [43]

(US)]

Table 1. Cont. Variant VE Study Participants Days after Identified Participant Participant Vaccine Study Study Population Vaccinated Full Vaccine (Bold) or Adjusted VE, % Number Age in Years Received Design Period Outcome (Unvaccinated) (Age in Years) Dose Circulating (95% CI) (Italics) Published articles 1800 (67% of B.1.1.7, B.1.427, 93 HCWs, first 2686) BNT162b2 >14 Infection (78–98) B.1.429, P.2 responders, (796) 14 Dec Prospective 2020-10 essential 3482 Not provided 886 (33% of cohort Apr 2021 B.1.1.7, B.1.427, workers 82 2686) mRNA-1273 >14 Infection (18 - 85)B.1.429, P.2 (20-96) (796) 65 *

										Infection	(61–68)
Victor et al [44] (India) ++	Prospective	21 Feb	HCWs (not	8689	Not provided	7080	ChAdOx1	14	NA	Hospitalization	77 * (68–84)
victor et al. [11] (fitala)	cohort	2021–19 May 2021	provided)	0007	notprovided	(1609)	Covaxin			ICU	94 * (73–99)
										Severe disease	92 * (74–97)
Zacay et al. [45] (Israel)	Retrospective cohort	1 Jan 2021–11 Feb 2021	HS members (16+)	4841	Vaccinated 52 (mean); unvaccinated 36 (mean)	2941 (1900)	BNT162b2	>7	NA	Infection	89 * (82–94)
					Preprint	5					
										Infection	87 (77–93)
										Asymptomatic	68 (28–86)
Androika at al [46] (US)	Test-negative	Test-negative 24 Feb	General				BNT162b2, mRNA-1273	>15	B.1.1.7, B.1.427, B.1.429	Symptomatic	91 (79–96)
	case-control	2021–29 Apr 2021	population	873	Not provided	106				Hospitalization	100
9		Apr 2021	(10+)			(707)				Severe disease	91 (63, 98)
							BNT162b2	>15	B.1.1.7, B.1.427, B.1.429	Infection	87 (69–95)

	Table 1.	Cont.									
Study	Study	Study	Study	Participant	Participant	Participants	Vaccine	Days after	Variant Identified	\\	/E
(Country)	Design	Period	Population (Age in Years)	Number	Age in Years	Vaccinated (Unvaccinated)	Received	Full Vaccine Dose	(Bold) or Circulating (Italics)	Outcome	Adjusted VE, % (95% CI)
					Prepri	ints					
							mRNA-1273	>15	B.1.1.7, B.1.427, B.1.429	Infection	86 (68–94)
										Infection	96 (95–96)
			Older adults			2,918,008 **	BNT162b2	>14	NA	Hospitalization	97 (97–97)
			(60+)			(1,753,307)				Severe disease	98 (98–98)
	Retrospective			-						Infection	94 (93–95)
			General			2,918,008 ** (1,753,307)	BNT162b2	>14	NA	Hospitalization	93 (92–93)
Aran et al. [15] (Israel)		ve 20 Dec 2020-9 Feb	(<60)	4,671,315 **	Not provided	(-,,,, ,				Severe disease	94 (93–94)
		2021		-						Infection	73 (69–75)
			Older adults (60+)			2,918,008 ** (1,753,307)	BNT162b2	7–13	NA	Hospitalization	80 (78–82)
			(001)	_		(-,,,, ,				Severe disease	83 (81–85)
										Infection	81 (79–83)
			General population			2,918,008 ** (1,753,307)	BNT162b2	7–13	NA	Hospitalization	82 (80–84)
			(<00)			(,,,,,,,,,)				Severe disease	81 (79–83)

14 of 32

Table 1. Cont.

Variant VE Study Participants Days after Identified Study Study Study Participant Participant Vaccine Population Vaccinated **Full Vaccine** (Bold) or Adjusted VE, % Number (Country) Design Period Age in Years Received (Unvaccinated) Outcome (Age in Years) Dose Circulating (95% CI) (Italics) Preprints General 26,587 ** population 86 805.741 ** BNT162b2 >7 NA Infection (18-64)(779,154) (72 - 94)Vaccinated 47 (2/15-2/28)27 Dec (median); Björk et al. [47] (Sweden) Prospective 2020-28 General unvaccinated cohort Feb 2021 40 (median) 26,587 ** 93 population 805,741 ** BNT162b2 >7 NA Infection (18-64)(779,154) (59–100) (2/1-2/14)91 Infection (89-92) 95 27 Dec Hospitalization LTCF residents 28,456 ** 26,987 ** BNT162b2 NA >0 Cabezas et al. [48] Prospective 86 (mean) 2020-5 (93-96) (Spain)[@] (1469)cohort Mar 2021 97 Death (96–98) 21,870 ** 80 LTCF staff 26.170 ** BNT162b2 44 (mean) >0 NA Infection (4300)(76 - 83)55,790 ** 87 **HCWs** 61,791 ** 43 (mean) BNT162b2 >0 NA Infection (84–89) (6001)91 Symptomatic (89-93) BNT162b2, B.1.1.7, B.1.351, >7 98 Severe mRNA-1273 P.1 disease (88–100) 14 Dec General 90 Chung et al. [49] 4894 Symptomatic Test-negative 2020-19 population 307,655 Not provided (85–94) (Canada) @ (302,761) case-control BNT162b2, >7 B.1.1.7 Apr 2021 (16+) Severe 94 mRNA-1273 (59-99) disease 88 Symptomatic (61-96) BNT162b2, >7 B.1.351, P.1 Severe mRNA-1273

100

disease

	Table 1.	Cont.									
Study	Study	Study	Study	Participant	Participant	Participants	Vaccine	Days after	Variant Identified		VE
(Country)	Design	Period	Population (Age in Years)	Number	Age in Years	Vaccinated (Unvaccinated)	Received	Full Vaccine Dose	(Bold) or Circulating (Italics)	Outcome	Adjusted VE, % (95% CI)
					Prepri	ints					
								. 7		Symptomatic	93 (87–96)
							mRNA-1273	>1	Earlier Variant	Severe disease	90 (61–98)
								. 7		Symptomatic	91 (88–93)
							BIN I 16202	>/	B.1.1.7, B.1.351, P.1	Severe disease	96 (82–99)
							mRNA-1273 >7		>7 B.1.1.7, B.1.351,		94 (86–97)
							mkinA-1273	>/	В.1.1.7, В.1.351, P.1	Severe disease	96 (74–100)
Corchado-Garcia et al. [50] (US) [@]	Comparative 27 Fo 2021- effectiveness Jul 20	Comparative 27 Feb 2021–22 effectiveness Jul 2021	HS members	97 787	Vaccinated	(86,495)	A date COVa S	>15	R117 R16179	Infection	74 (65–82)
			(18+)	,101	unvaccinated 51.7 (mean)	8834 (88,052)	- Au20.COV2.5 -	>8	– D.1.1.7, D.1.017.2	Infection	73 (64–80)
de Faria et al. [51] (Brazil)	Prospective cohort	23 Feb 2021–28 Mar 2021	Vaccinated HCWs and general population (not provided)	HCWs: 21,652 General: 11,069,605	Not provided	HCWs: 21,652 (NA) General: 437,438 (10,632,167)	CoronaVac	14	B.1.1.7, P.1, other VOC	Symptomatic	51 * (33–63)
			LTCF residents,							Infection	82 (79–84)
Emborg et al. [52] (Denmark)	Retrospective cohort	Retrospective cohort 27 Dec 2020–11 Apr 2021	older adults, HCWs, severe	790,762	Not provided	400,623	BNT162b2	>7	NA	Hospitalizatio	n 93 (89–96)
			Apr 2021	rısk individuals			(0,0,10,7)				Death

16 of 32

	14010 1.	Com.									
Study (Country)	Study Design	Study Period	Study Population (Age in Years)	Participant Number	Participant Age in Years	Participants Vaccinated (Unvaccinated)	Vaccine Received	Days after Full Vaccine Dose	Variant Identified (Bold) or Circulating (Italics)	Outcome A	E djusted VE, % (95% CI)
					Prepri	nts					
					Vaccinated 84					Infection	53 (29–69)
			LTCF residents	43,418	(median); unvaccinated	40,061 (3357)	BNT162b2	>7	NA	Hospitalization	75 (46–89)
					not provided					Death	89 (81–93)
					Vaccinated: 83					Infection	86 (78–91)
			Older adults requiring help (65+) Older adults (85+)	56,436	(median); unvaccinated	45,942 (10,494)	BNT162b2	>7	NA	Hospitalization	87 (70–95)
					not provided					Death	97 (88–99)
				132,172	Vaccinated: 86 (median); unvaccinated not provided	112,824 (19,348)	BNT162b2	>7	NA	Infection	77 (50–89)
			HCWs	381,345	Vaccinated: 49 (median); unvaccinated not provided	75,497 (305,848)	BNT162b2	>7	NA	Infection	80 (77–83)
			Severe risk individuals	177,391	Vaccinated: 68 (median);	126,299 (51,092)	BNT162b2	>7	NA	Infection	71 (58–80)
					unvaccinated not provided					Hospitalization	81 (49–93)
		20.5								Infection	93 (93–93)
Goldberg et al. [53] (Israel)	Prospective cohort	20 Dec 2020–20 Mar 2021	General population (16+)	6,351,903 **	Not provided	5,682,928 ** (668,975)	BNT162b2	>7	B.1.1.7	Hospitalization	94 (94–95)
										Death	94 (93–95)

Table 1. Cont.

Lopez Bernal et al. [58]

(UK)

8 Dec

2020-6

Apr 2021

Prospective

cohort

Older adults

(70+)

38,235

Not provided

Table 1. Cont. Variant VE Participants Study Days after Identified Study Study Study Participant Participant Vaccine Population Vaccinated **Full Vaccine** (Bold) or Adjusted VE, % (Country) Design Period Number Age in Years Received (Unvaccinated) Outcome (Age in Years) Dose Circulating (95% CI) (Italics) Preprints 94 Severe disease (94–95) 21 Dec HCWs (not Guijarro et al. [54] Prospective 2,116 92 2020-24 2590 Not provided BNT162b2 >0 NA Infection (Spain) @ cohort provided) (474) (83–96) Apr 2021 38 Infection 50 (-46 to 74)CoronaVac P.1 >14 (493) 37 Hitchings et al. [55] Test-negative 19 Jan Symptomatic (-53 to 74)HCWs (18+) 590 Not provided (Brazil)[@] case-control 2021-13 50 Apr 2021 Infection (-2 to 76)47 P.1 CoronaVac 0-13 (493) 54 Symptomatic (-0.4 to 80)27 93 8 Dec Hospitalized BNT162b2 NA >14 Hospitalization Ismail et al. [56] (UK) (89-95) Case-coverage Not provided (2010)2047 COVID 2020-18 Apr 2021 patients (80+) 10 88 BNT162b2 7-13 Hospitalization NA (2010)(76 - 94)15,798 94 BNT162b2 B.1.1.7 >14 Symptomatic (103, 684)(92–95) 88 15,871 BNT162b2 Lopez Bernal et al. [57] Test-negative 26 Oct General >14 B.1.617.2 Symptomatic (100, 414)(85–90) 132,203 (UK) @ Not provided case-control 2020-16 population May 2021 8338 74.5 (16+)ChAdOx1 >14 B.1.1.7 Symptomatic (103,684) (68.4 - 79.4)8462 67

ChAdOx1

BNT162b2

(100, 414)

191

(38,044)

>14

>7

B.1.617.2

NA

Symptomatic

Death

(61-72)

69

(31-86)

Table 1. Cont.

Ctudy	Study	Study	Study	Participant	Participant	Participants	Vaccino	Days after	Variant Identified		VE
(Country)	Design	Period	Population (Age in Years)	Number	Age in Years	Vaccinated (Unvaccinated)	Received	Full Vaccine Dose	(Bold) or Circulating (Italics)	Outcome	Adjusted VE, % (95% CI)
					Prepri	ints					
Lumley et al. [59] (UK) [@]	Prospective cohort	23 Apr 2020–28	HCWs (not provided)	3542	39 (median)	1456 (2086)	BNT162b2, ChAdOx1	>14	B.1.1.7	Infection	90 (62–98)
		Feb 2021								Symptomatic	100
Moustsen-Helms et al.	Retrospective	27 Dec 2020–18	LTCF residents (not provided)	35,435	84 (median)	33,567 (1868)	BNT162b2	>7	NA	Infection	64 (14–84)
	conort	Feb 2021	HCWs (not provided)	320,013	47 (median)	80,839 (239,174)	BNT162b2	>7	NA	Infection	90 (82–95)
Public Health England [61] (UK)	Case-coverage	8 Dec 2020–12 Feb 2021	Older adults (>80)	8971	Not provided	62 (8909)	BNT162b2	>7	NA	Symptomatic	88 * (84–90)
Regev-Yochay et al. [62] (Israel) ®	Retrospective cohort									Infection	88 (83–92)
		Retrospective 19 Dec cohort 2020–14 Mar 2021	19 Dec 2020–14	HCWs (18+)	8877	Not provided	7324	BNT162b2	>11	NA	Asymptomatic
(lottel)			Mar 2021				(1000)				Symptomatic
Shah et al. [63] (UK) [@]	Retrospective cohort	8 Dec 2020–3 Mar 2021	HCWs (18–65)	144,525	44 (mean)	36,227 (30,268)	BNT162b2, ChAdOx1 (1%)	>14	NA	Infection	92 (83–96)
Shrestha et al. [64] (US)	Retrospective cohort	16 Dec 2020–15 May 2021	HCWs	46,866	Vaccinated 44 (mean); unvaccinated 40 (mean)	28,223 (18,643)	BNT162b2, mRNA-1273	>14	NA	Infection	97 (94–99)
Stowe et al. [65] (UK)							BNT162b2	>0	B.1.1.7	Hospitalizatio	n 95 (78–99)
	Test-negative	12 Apr 2021–4 Jun	Symptomatic	1/ 010 **	Not provided	Not provided	211110202	>0	B.1.617.2	Hospitalizatio	n 96 (86–99)
	case–control 2021–4 Jun 2021	provided)	17,017	1100 provided	ĩ	ChAdOx1	>0	B.1.1.7	Hospitalizatio	n 86 (53–96)	
									>0	B.1.617.2	Hospitalizatio

	Table 1.	Cont.									
Study	Study	Study	Study	Participant	Participant	Participants	Vaccine	Days after	Variant Identified		VE
(Country)	Design	Period	Population (Age in Years)	Number	Age in Years	Vaccinated (Unvaccinated)	Received	Full Vaccine Dose	(Bold) or Circulating (Italics)	Outcome	Adjusted VE, % (95% CI)
					Prepri	ints					
										Infection	94 (92–95)
Young-Xu et al. [66] (US) @	Test-negative case–control	Test-negative 14 Dec case–control 2020–7 Mar 2021	Veterans (VHA	70,661	Not provided	5031	BNT162b2,	>7	NA	Symptomatic	91 (87–93)
			patients) (18+)		÷	(65,630)	mRNA-1273			Hospitalization	n 89 (81–93)
										Death	99 (87–100)

CI = confidence interval; HCW = health care worker; HS = health system; IBD = inflammatory bowel disease; ICU = intensive care unit; LTCF = long-term care facility; NA = not applicable; SNF = skilled nursing facility; UK = United Kingdom; US = United States; VHA = Veterans Health Administration; VOC = variant of concern. ⁺⁺ Article not identified by PubMed search criteria, identified by Pfizer; ^{**} >1 dose; * Crude VE; [@] Preprint published prior to submission of this manuscript.

Characteristic	Published, n (%)	Preprint, n (%)	Total, N (%)
Total	28 (100)	22 (100)	50 (100)
Country	· · · · ·	· · · ·	· · · ·
Brazil	0	2 (9.1)	2 (4.0)
Canada	0	1 (4.5)	1 (2.0)
Denmark	0	2 (9.1)	2 (4.0)
India	1 (3.6)	0	1 (2.0)
Israel	5 (17.9)	3 (13.6)	8 (16.0)
Italy	4 (14.3)	0	4 (8.0)
Spain	3 (10.7)	2 (9.1)	5 (10.0)
Sweden	0	1 (4.5)	1 (2.0)
Qatar	2 (7.1)	0	2 (4.0)
United Kingdom	4 (14.2)	7 (31.8)	11 (22.0)
United States	9 (32.1)	4 (18.2)	13 (26.0)
Study population ^a			
General population (aged \geq 16 years)	12 (42.9)	9 (40.9)	21 (42.0)
Older adults (aged ≥ 65 years) ^b	4 (14.2)	7 (31.8)	11 (22.0)
Adult frontline workers	12 (42.9)	10 (45.5)	22 (44.0)
Other ^c	1 (3.6)	1 (4.5)	2 (4.0)
Study design ^a			
Test-negative case-control	4 (14.3)	6 (27.3)	10 (20.0)
Prospective cohort	8 (28.6)	7 (31.8)	15 (30.0)
Retrospective cohort	12 (42.9)	6 (27.3)	18 (36)
Case-coverage	1 (3.6)	2 (9.1)	3 (6.0)
Other ^d	4 (14.2)	1 (4.5)	8 (16.0)
Vaccine ^a			
Ad26.COV2.S	0	1 (4.5)	1 (2.0)
BNT162b2	21 (75.0)	15 (68.2)	36 (72.0)
mRNA-1273	4 (14.3)	2 (9.1)	6 (12.0)
ChAdOx1	2 (7.1)	2 (9.1)	4 (8.0)
CoronaVac	0	2 (9.1)	2 (4.0)
BNT162b2 and mRNA-1273	7 (25.0)	4 (18.2)	11 (22.0)
BNT162b2 and ChAdOx1	0	2 (9.1)	2 (4.0)
ChAdOx1 and Covaxin	1 (3.6)	0	1 (2.0)
BNT162b2, mRNA-1273, and ChAdOx1	1 (3.6)	0	1 (2.0)
Days after full vaccine dose ^a			
\geq 7 days ^e	16 (57.1)	12 (54.5)	28 (56.0)
≥ 14 days ^t	12 (42.9)	10 (45.5)	22 (44.0)
Other ^g	2 (7.1)	4 (18.2)	6 (12.0)
Identified variants ^a			
B.1.1.7 (Alpha)	6 (21.4)	4 (18.2)	10 (20.0)
B.1.351 (Beta)	1 (3.6)	0	1 (2.0)
R.1	1 (3.6)	0	1 (2.0)
B.1.617	1 (3.6)	0	1 (2.0)
B.1.617.2 (Delta)	0	2 (9.1)	2 (4.0)
Multiple variants ^h	4 (14.3)	3 (13.6)	7 (14.0)
Circulating variants ^a			
B.1.1.7 (Alpha)	4 (14.3)	1 (4.5)	5 (10.0)
P.1 (Gamma)	0	1 (4.5)	1 (2.0)
B.1.1.7, B.1.351 (Alpha, Beta)	1 (3.6)	0	1 (2.0)
B.1.1.7, B.1.351, P.1 (Alpha, Beta, Gamma)	0	1 (4.5)	1 (2.0)
B.1.1.7, B.1.617.2 (Alpha, Delta)	0	1 (4.5)	1 (2.0)
Variant not specified	14 (50)	13 (59.1) ⁱ	27 (54.0)
Disease presentation ^a			
Asymptomatic	7 (28.0)	2 (9.1)	9 (18.0)

Table 2. Characteristics of abstracted articles presenting VE of COVID-19 vaccines against SARS-CoV-2 infection and other relevant outcomes, 1 January-30 June 2021.

Table 2. Cont.

Characteristic	Published, n (%)	Preprint, n (%)	Total, N (%)
Symptomatic	13 (46.4)	9 (40.9)	22 (44.0)
Infection	22 (78.6)	15 (68.2)	37 (74.0)
Disease severity ^a			
Hospitalization	8 (28.6)	8 (36.4)	16 (32.0)
ICU admission or severe disease	6 (21.4)	4 (18.2)	10 (20.0)
Death	5 (17.9)	6 (27.3)	11 (22.0)

^a Individual studies sometimes included more than one of the listed categories; categories will not sum to total N. ^b Includes one study that reported VE among persons aged >60 years. ^c Other study populations in published articles include airline passengers (*n* = 1), hospital patients (*n* = 1), and veterans with IBD/immunosuppression (*n* = 1). Other study populations in preprint articles include LTCF residents, older adults, HCWs, and severe risk individuals (*n* = 1); severe risk individuals (*n* = 1); hospitalized COVID patients (*n* = 1); and symptomatic cases (*n* = 1). ^d Other study designs among published articles include cross-sectional (*n* = 1), outbreak investigation (*n* = 1), surveillance study (*n* = 1), and test-negative cohort (*n* = 1). Other preprint study design was comparative effectiveness (*n* = 1). ^e Includes studies that calculated VE at 7–13 days, 7–28 days, >8 days, >11 days, and ≥7 days (BNT162b2) or >14 days (mRNA-1273). ^f Includes studies that calculated VE at >15 days and ≥15 days. ^g Includes studies that calculated VE at >15 days and ≥15 days. ^g Includes studies that calculated VE at >10 days, -11 days, and ≥10 days, -11 days, and ≥10 days, -11 days, and ≥10 days. ^h Study provided VE estimate for multiple variants together (did not stratify VE by variant). Includes published studies that provided a VE for B.1.1.7, B.1.351, B.1.617, and "wildtype strains" (*n* = 1); B.1.7, B.1.47, P.1, and B.1.351 (*n* = 1); B.1.1.7, B.1.427, and B.1.429 (*n* = 1); B.1.429, and P.2 (*n* =1). Includes preprint studies that provided a VE for B.1.1.7, B.1.427, and B.1.429 (*n* = 1); B.1.427, and B.1.429 (*n* = 1); and B.1.429 (*n* = 1); and B.1.1.7, P.1, and other VOC (*n* = 1). ⁱ Includes one article that provides VE for "earlier variants."





Figure 3. Forest plot of VE estimates and 95% CIs against infection, asymptomatic infection, and symptomatic infection among adult frontline workers.

Vaccine effectiveness estimates and 95% CIs were abstracted for both SARS-CoV-2 outcomes (infection, asymptomatic infection, or symptomatic infection) and disease severity outcomes (hospitalization, severe disease, ICU admission, or death). Adjusted VE results are presented unless otherwise specified. Studies are categorized and presented separately based on their source: "published articles" or "preprints." For preprints that were published before manuscript submission, results were updated to reflect the published version of the article.



Figure 4. (a) Forest plot of VE estimates and 95% CIs against infection, asymptomatic infection, and symptomatic infection among older adults aged \geq 65 years. (b) Forest plot of VE estimates and 95% CIs against hospitalization, severe disease or ICU admission, and death among older adults aged \geq 65 years.

(b)

Vaccine Effectiveness %

Preprint articles , @Preprint published prior to manuscript submissio

3. Results

Tenforde et al., US, ≥ 14 BNT162b2 and mRNA-1273

BNT162b2

Aran et al., Israel, ≥ 7

Ismail et al., UK, ≥ 7

Aran et al., Israel, ≥ 14

Ismail et al., UK, ≥ 14

Lopez-Bernal et al., UK, ≥ 7

Emborg et al., Denmark, LTCF residents, ≥ 7

Mazagatos et al., Spain, LTCF residents, ≥ 7

Emborg et al., Denmark, older adults (requiring help), ≥ 7

Four hundred and seventy-one published articles and 47 preprints were identified. After title and abstract screening and full article review, 50 studies were included in this review, of which 28 were published articles and 22 were preprints (Figure 1). Of the 22 preprints, 12 were published prior to submission of this review. There was a change in the VE estimates between the preprint and published article for three articles [48,50,57] due to increases in participant numbers.

Characteristics of abstracted published articles and preprints included in the review are described in Table 2. Most studies were conducted in the US (26.0%), United Kingdom (UK) (22.0%), or Israel (16.0%). Adult frontline workers (44.0%) and the general population aged \geq 16 years (42.0%) were the most common study populations, followed by older adults aged \geq 65 years (22.0%). Overall, VE of five COVID-19 vaccines and four combinations of vaccines were reported, with BNT162b2 reported in 72.0% of all studies. Most studies estimated VE \geq 7 days (56.0%) or \geq 14 days (44.0%) after full vaccination. Seventeen studies (34.0%) reported VE estimates for specific identified variants (10 for single variants, 6 for multiple variants combined, and 1 for both single and multiple variants). Alpha (B.1.1.7) was the most common identified variant reported (58.8%), followed by Delta (B.1.617.2) (11.8%); SARS-CoV-2 variants B.1.351, B.1.617, and R.1 were each reported by one study. Nine studies (18.0%) reported circulating variant specific VE estimates (5 for single variants, 4 for multiple variants combined). Alpha (B.1.1.7) was also the most common circulating variant reported (55.6%); one study reported VE when the P.1 variant was circulating. In all studies, the most common SARS-CoV-2 outcomes reported were infection (74.0%), symptomatic infection (44.0%), and asymptomatic infection (18.0%). The most common disease severity outcomes reported were hospitalization (32.0%), death (22.0%), and ICU admission or severe disease (20.0%).

Variant

+

B.1.617

B.1.1.7 (Alpha)

Multiple

B.1.351 (Beta)

B.1.617.2 (Delta)

Variant not identifie

Characteristics of all 50 published articles and preprints that assessed VE are provided in Table 1. Results are presented in alphabetic order by study author [15–66] under the headings Published Articles and Preprints. Vaccine effectiveness estimates for identified and circulating variants are also provided. In Figures 2–4, VE estimates are presented separately by population group for disease presentation and disease severity, and are stratified by vaccine (BNT162b2, mRNA-1273, BNT162b2 and mRNA-1273, ChadOx1, Ad26.COV2.S, CoronaVac) and days after final dose (\geq 7 and \geq 14). Where available, variant specific VE results are shown for variants of concern (VOCs) [67]: Alpha, Beta (B.1.351), Delta (B.1.617.2), and other recorded variants, including B.1.617, R.1, and multiple variants.

3.1. General Population Aged \geq 16 Years

Vaccine effectiveness results for the general population aged ≥ 16 years by disease presentation are presented in Figure 2a.

3.1.1. Overall Results by Vaccine

For BNT162b2, VE against infection ranged from 75% (95% CI: 70.5%–78.9%) [16] to 98% (95% CI: 96–99%) [25]. Aran et al. [15] reported a VE of 81% (95% CI: 79–83%) and 94% (95% CI: 93–95%) for \geq 7 days and \geq 14 days since full vaccination, respectively. VE against symptomatic infection ranged from 82% (95% CI: 73–88%) [31] to 99% (95% CI: 96–100%) [25], and VE against asymptomatic infection was 92% (95% CI: 91–92%) [26]. For mRNA-1273, VE against infection ranged from 86% (95% CI: 68–94%) [46] to 100% (95% CI not specified) [25], and VE against symptomatic infection was 94% (95% CI: 86–97%) [49] and 100% (95% CI not specified) [25]. For studies that presented combined results for both mRNA vaccines, VE against infection ranged from 78% (95% CI: 72–83%) [20] to 94% (95% CI: 92–95%) [66] and VE against symptomatic infection ranged from 88% (95% CI: 61–96%) to 93% (95% CI: 87–96%) [49]. Andrejko et al. [46] reported a VE against asymptomatic infection of 68% (95% CI: 28–86%). Corchado-Garcia et al. [50] reported that Ad26.COV2.S VE against infection was 73% (95% CI: 64–80%) >7 days after vaccination and 74.2% (95% CI: 65–82%) \geq 14 days after vaccination.

3.1.2. Identified Variant-Specific Results

For the Alpha variant, VE against infection ranged from 73% (95% CI: 66–78%) for the ChAdOx1 vaccine [37] to 100% (95% CI not specified) for the mRNA-1273 vaccine [25]. VE against symptomatic infection ranged from 75% (95% CI: 68–79%) for the ChAdOx1 vaccine [57] to 100% (95% CI not specified) for the mRNA-1273 vaccine [25]. Haas et al. [26] reported that BNT162b2 VE against asymptomatic infection with the Alpha variant was 92% (95% CI: 91–92%). For the Beta variant, VE against infection for BNT162b was 75% (90% CI: 71–79%) [16]. For the Delta variant, VE against symptomatic infection ranged from 67% (95% CI: 61–72%) for the ChAdOx1 vaccine to 88% (95% CI: 85–90%) for the BNT162b2 vaccine [57]. Sheikh et al. [37] reported that for the B.1.617 variant, the ChAdOx1 VE was 60% (95% CI: 53–66%) against infection and 61% (95% CI: 51–70%) against symptomatic infection; BNT162b2 VE was 79% (95% CI: 75–83%) against infection and 83% (95% CI: 78–87%) against symptomatic infection.

Figure 2b presents the VE results for the general population aged \geq 16 years by disease severity.

3.1.3. Overall Results by Vaccine

For BNT162b2, VE against hospitalization ranged from 82% (95% CI: 80–84%) [15] to 97% (95% CI: 97–98%) [26]. VE against severe disease or ICU admission ranged from 81% (95% CI: 79–83%) [15] to 100% [16,33]; the majority of results for VE against severe disease or ICU admission were 94% or greater [15,16,18,26,33,49,53]. Results by Aran et al. [15] showed noteworthy differences in VE at >7 days (hospitalization: 82% [95% CI: 80–84%]; severe disease or ICU admission: 81% [95% CI: 79–83%]) compared with >14 days (hospitalization: 93% [95% CI: 92–93%]; severe disease or ICU admission: 94% [95% CI: 93–94%])

since full vaccination. In the three studies that reported VE against death, VE ranged from 94% (95% CI: 93–95%) [53] to 98% (95% CI: 87–100%) [25]. For mRNA-1273, VE estimates against hospitalization, severe disease or ICU admission, and death were all 86% or greater [25,33,49]. For combined mRNA vaccine, VE against hospitalization ranged from 89% (95% CI: 81–93%) [66] to 100% (95% CI not specified) [46] and VE against severe disease or ICU admission ranged from 90% (95% CI: 61–98%) to 100% (95% CI not specified) [49]. Young-Xu et al. [66] reported a VE against death of 99% (95% CI: 87–100%).

3.1.4. Identified Variant-Specific Results

For the Alpha variant, the VE against hospitalization was 97% (95% CI: 97–98%) for the BNT162b2 vaccine [26], VE against severe disease or ICU admission ranged from 94% (95% CI: 59–99%) for the combined mRNA vaccines [49] to 100% (95% CI: 82–100%) for BNT162b2 vaccine [16], and VE against death ranged from 96.7% (95% CI: 96–97.3%) for the BNT162b2 vaccine [26] to 100% (95% CI not specified) for the mRNA-1273 vaccine [25]. For the Beta variant, VE against severe disease or ICU admission was 100% (95% CI: 74–100%) for BNT162b2 [16].

3.2. Frontline Workers

Vaccine effectiveness results for frontline workers by disease presentation are shown in Figure 3. The only VE estimates for disease severity were reported for the combined ChAdOx1 and Covaxin vaccines [44] (see Table 1).

3.2.1. Overall Results by Vaccine

For BNT162b2, VE against infection ranged from 80% (95% CI: 77–83%) [52] to 97% (95% CI: 95–98%) [38]. VE against symptomatic infection was \geq 90% in all three studies that reported it [17,24,62]. Angel et al. [17] reported that VE against asymptomatic infection was 86% (95% CI: 69–93%). For mRNA-1273, VE against infection was reported in two studies and ranged from 82% (95% CI: 20–96%) [42] to 99% (95% CI: 90–100%) [38]. For combined mRNA vaccine, VE against infection was 97% (95% CI: 94–99%) [64] and VE against symptomatic infection was 94% (95% CI: 87–97%) [34]. For CoronaVac, VE against infection was 38% (95% CI: –46% to 74%) and VE against symptomatic infection was 37% (95% CI: –53% to 74%) [55].

3.2.2. Identified Variant-Specific Results

For the R.1. variant, the crude BNT162b2 VE against infection and symptomatic infection was 76% (95% CI: 33–91%) and 87% (95% CI: 46–97%), respectively [22].

3.3. Older Adults Aged \geq 65 Years

Disease presentation VE estimates for older adults are illustrated in Figure 4a.

3.3.1. Overall Results by Vaccine

For BNT162b2, VE against infection ranged from 53% (95% CI: 29–69%) [52] to 96% (95% CI: 95–96%) [15]; Aran et al. [15] reported that BNT162b2 VE against infection was 73% (95% CI: 69–75%) and 96% (95% CI: 95–96%) >7 days and >14 days since full vaccination, respectively. For combined mRNA vaccines, VE against infection was 71% (95% CI: 56–82%) and VE against asymptomatic infection was 70% (95% CI: 48–83%) [32].

3.3.2. Identified Variant-Specific Results

For the Alpha variant, BNT162b2 VE against symptomatic infection was 81% (95% CI: 66–90%) and 90% (95% CI: 84–94%) \geq 7 days and \geq 14 days after full vaccination, respectively [30]. For the R.1 variant, BNT162b2 crude VE was 66% (95% CI: 41–81%) against infection and 86.5% (95% CI: 66–95%) against symptomatic infection [22].

Shown in Figure 4b and Table 1 are the VE severity estimates for older adults.

3.3.3. Overall Results by Vaccine

For BNT162b2, VE against hospitalization ranged from 75% (95% CI: 46–89%) [52] to 97% (95% CI: 97–97%) [15]. Aran et al. [15] reported that VE against hospitalization was 80% (95% CI: 78–82%) and 97% (95% CI: 97–97%) >7 and >14 days since full vaccination, respectively. VE against severe disease or ICU admission was 83% (95% CI: 81–85%) and 98% (95% CI: 98–98%) >7 and >14 days since full vaccination, respectively [15]. VE against death ranged from 69% (95% CI: 31–86%) [58] to 97% (97% CI: 88–99%) [52]. For combined mRNA vaccines, VE against hospitalization was reported in two studies and ranged from 88% (95% CI: 75–95%) [32] to 94% (95% CI: 49–99%) [41]. VE against death was 97% (95% CI: 92–99%) [32].

3.3.4. Identified Variant-Specific Results

For the R.1 variant, the BNT162b2 crude VE against hospitalization and death was 94% (95% CI: 74–99%) and 94% (95% CI: 45–99%), respectively [22] (Table 1).

4. Discussion

This review included 50 real-world studies encompassing both published peer-reviewed articles and preprints conducted among participants aged 16 years and older during the first 6 months of COVID-19 vaccine use worldwide. Including preprints in the review enabled the capture of timelier COVID-19 research in this rapidly evolving field. Of the 23 preprints initially identified, 12 were published prior to submission of this article, and in only a few instances were minor updates to the VE results required. While other VE reviews have been published [10-14], our review was unique in that we (1) provided VE results for the first 6 months of global vaccine use and for only fully vaccinated participants, (2) examined VE for three population groups separately, and (3) plotted VE results to allow for direct comparison across disease presentation and disease severity categories by vaccine and by days after full vaccination. For a global population that was immunologically naïve to SARS-CoV-2, our focus on VE among fully vaccinated persons aged 16 years and older is valuable because it provides a baseline to compare the effectiveness of full vaccination in various populations around the world without having to factor in the influence of waning immunity [68,69], novel variants [70], or subsequent vaccine doses. Future reviews of VE over longer time frames and within the context of VOC and subsequent vaccine doses will help address these important topics and help guide public health recommendations.

The real-world studies in our review indicate that among fully vaccinated persons, the mRNA vaccines BNT162b2 and mRNA-1273 were highly effective, particularly in preventing severe outcomes of SARS-CoV-2 infection. For example, among the general population aged \geq 16 years BNT162b2 VE estimates were \geq 82%, \geq 81%, and \geq 94% against hospitalization, severe disease or ICU admission, and death, respectively, and mRNA-1273 VE estimates were \geq 86% against all disease severity categories. Among older adults, BNT162b2 VE was \geq 75% and \geq 69% against hospitalization and death, respectively, and combined mRNA vaccines VE was \geq 88% and \geq 97% against hospitalization and death, respectively. Although most VE estimates were similar for the two time periods \geq 7 and \geq 14 days after full vaccination, a large study by Aran et al. [15] noted significantly higher VE estimates for the BNT162b2 vaccine in the general population aged \geq 16 years and in adults aged \geq 65 years for infection, hospitalization, and severe disease for vaccination >14 days compared with 7–13 days after full vaccination. A possible reason why these time period differences were observed only by Aran et al. [15] is their use of distinct time periods (i.e., 7–13 days and \geq 14 days rather than \geq 7 days and \geq 14 days).

ChAdOx1 VE values against SARS-CoV-2 infection (\geq 60%) were generally lower than those for the mRNA vaccines; however, ChAdOx1 provided similarly strong protection against severe disease compared with the mRNA vaccines, including among frontline workers. In contrast, CoronaVac effectiveness against infection (38%) and symptomatic infection (37%) were substantially lower than mRNA vaccines and ChAdOx1. This lower VE is not unexpected given the relatively lower efficacy reported in CoronaVac RCTs in Turkey and Brazil [3,7]. Our review included only one study that reported VE for Ad26.COV2.S. This is likely because the US Food and Drug Administration and World Health Organization did not authorize Ad26.COV2.S for emergency use until 27 February 2021 [71], and 11 March 2021 [72], respectively.

Although we collected variant-specific information, our review predominantly included studies from Israel, the UK, and the US during the time period when the Alpha variant was the only VOC that broadly disseminated in these countries. Alpha was first identified in the UK in September 2020 and was the predominant variant globally between January and May 2021 [57,65]. Alpha became the dominant variant in Israel in December 2020 and in the US in April 2021. Although Beta, Gamma, and Delta variants were detected in South Africa, Brazil, and India during our study period, their prevalence in Israel, the UK, and the US during our study period was low [57,65].

This review was subject to several limitations. We did not perform a rigorous evaluation of study quality; as a result, some errors in study design or analysis may not have been identified. We did not attempt to perform meta-analyses due to the heterogeneity in study design, study analysis methods, study populations, circulating variants, time of VE assessment, and other variables that limit VE comparison among studies [73]. For example, there was variation in the timetable that the vaccine was available in each subgroup (i.e., frontline workers were offered the vaccine ahead of the general population) and the type of vaccine available in each country (e.g., ChAdOx1 vaccine is not authorized for use in the US). Information about the effectiveness of vaccination among previously infected persons was not abstracted, and we did not evaluate whether studies included previously infected persons in their vaccinated or unvaccinated groups. Some studies estimated VE by pooled analysis of two or more vaccines, and the proportion of the study population receiving each vaccine was often unevenly distributed; in these cases, pooled estimates might underestimate or overestimate the VE for one or more vaccines. Although we categorized study populations based on exposure or disease severity risk, heterogeneity with respect to exposure and disease severity risk within our population groups exists. We were unable to assess the impact of waning immunity on VE because our study focuses on the first 6 months of vaccine use; thus, few study participants had been fully vaccinated for >4 months. Finally, 10 studies included in this review have not yet been published and thus have not been certified by peer review.

Despite these limitations, this review of the effectiveness of COVID-19 vaccines in the first 6 months of vaccine use demonstrates that COVID-19 vaccination is an important tool for preventing COVID-19 morbidity and mortality. We found that mRNA vaccines are highly effective at preventing severe outcomes of SARS-CoV-2 infection, including among vulnerable populations such as older adults. As we limited our review to studies that reported VE among fully vaccinated persons aged 16 years and older, it serves as an important baseline from which to follow future trends in COVID-19 evolution and effectiveness of new or updated vaccines. To better understand the broader vaccine landscape, future reviews should include observational studies from a wider range of countries of new or updated vaccines as they become more widely available, and of adolescents and children. They should also include studies that evaluate the impact of VOC, comorbidities, waning immunity, and subsequent vaccine doses on VE.

5. Conclusions

This comprehensive review of 50 real-world studies conducted during the first 6 months of COVID-19 vaccine use worldwide demonstrates that COVID-19 vaccination, particularly with the mRNA vaccines, is an important tool for preventing COVID-19 morbidity and mortality among fully vaccinated persons aged 16 years and older, including among vulnerable populations. This review also serves as an important baseline from which to follow future trends in COVID-19 evolution and effectiveness of new and updated vaccines.

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