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Investigation on energy bandgap states of amorphous SiZnSnO thin films

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The variation in energy bandgaps of amorphous oxide semiconducting SiZnSnO (a-SZTO) has been investigated by controlling the oxygen partial pressure (O_p). The systematic change in O_p during deposition has been used to control the electrical characteristics and energy bandgap of a-SZTO. As O_p increased, the electrical properties degraded, while the energy bandgap increased systematically. This is mainly due to the change in the oxygen vacancy inside the a-SZTO thin film by controlling O_p . Changes in oxygen vacancies have been observed by using X-ray photoelectron spectroscopy (XPS) and investigated by analyzing the variation in density of states (DOS) inside the energy bandgaps. In addition, energy bandgap parameters, such as valence band level, Fermi level, and energy bandgap, were extracted by using ultraviolet photoelectron spectroscopy, Kelvin probe force microscopy, and high-resolution electron energy loss spectroscopy. As a result, it was confirmed that the difference between the conduction band minimum and the Fermi level in the energy bandgap increased systematically as O_p increases. This shows good agreement with the measured results of XPS and DOS analyses.

In recent decades, transparent amorphous oxide semiconductors (TAOSs) have been studied in various fields, such as optoelectronics, active-matrix displays, and integrated circuits^{1–3}. The most important advantage of TAOS is that it can provide high field-effect mobility even though it is amorphous, and it can be applied to transparent electronic devices because it has high transmittance in the visible light region⁴. This is because the energy bandgap of the oxide semiconductor is known to be greater than 3 eV, and the outermost orbital of the metal cation is composed of spherical S-orbitals; therefore, the movement of electrons overlap smoothly². In addition, TAOS can be applied to a wide range of industrial fabrication methods. For example, sol-gel⁵, pulse layer deposition⁶, magnetron sputtering⁷, chemical vapor deposition⁸, and atomic layer deposition⁷ are known to be very attractive materials with no significant limitations on their deposition methods. These TAOS can be designed in various configurations from binary material (ex. ZnO) to quaternary materials (e.g., IGZO), and their characteristics vary depending on the composition ratio of materials^{8–10}. The most widely known TAOS material is amorphous indium–gallium–zinc–oxide (a-IGZO). a-IGZO has excellent characteristics because of the composition of In³⁺ and Ga⁴⁺⁸. However, In and Ga are very rare elements on earth¹¹. Studies are being conducted to find their non-toxic and abundant alternatives, and amorphous zinc–tin–oxide (a-ZTO) based materials are receiving considerable attention^{12,13}. Recently, our group reported a-SZTO with improved stability by containing Si on the a-ZTO system^{14,15}. Our study showed that Si atoms on the a-ZTO system inhibit oxygen vacancies. This is because they have a strong bonding strength with oxygen (799.6 kJ/mol)¹⁵. Many groups are conducting research on carrier enhancer elements and oxygen vacancy suppressor elements^{3,5,9}. In general, TAOS materials generate electrons through oxygen vacancies¹⁶. The more oxygen vacancies, the better the electrical properties. However, it is very important to optimize oxygen vacancies because they can also act as traps. For a simple solution process, such as a sol-gel, oxygen vacancies should be controlled according to the composition ratio; however, in a vacuum process, such as a magnetron sputtering process, oxygen vacancies can be controlled by applying oxygen as the active

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gas^{17,18}. Research on various deposition condition is being carried out, and the changes in electrical properties as well as energy bandgap have been analyzed in detail¹⁹. Among them, the oxygen partial pressure (O_p) has a very important effect on the oxide semiconductor material. In the case of crystalline oxide semiconductors, As increase O_p the crystallinity improved but the energy bandgap decreases²⁰. but in the case of TAOS, the energy bandgap increases according to O_p ¹⁸. Therefore, it is very important to analyze the correlation between O_p and energy bandgap. Zhao *et al.* analyzed $\text{Be}_x\text{Mg}_y\text{Zn}_{1-x-y}\text{O}$ as an oxide material having crystallinity, using the modified simplified coherent potential approximation method. The energy bandgap change according to the composition ratio of the material could be derived using the equation²¹. Recently, our group tried to derive the energy bandgap directly using methods such as high-resolution electron energy loss spectroscopy (HR-EELS), Kelvin probe force microscopy (KPFM), and ultraviolet photoelectron spectroscopy (UPS) to extract the energy bandgap^{14,19}.

In this study, we have investigated the change in energy bandgap states systematically by using different O_p during the deposition to introduce different density of states (DOSs) inside the energy bandgap. In addition, the electrical and optical properties of a-SZTO thin films as TAOS materials have been characterized depending on the change in O_p during the deposition using the magnetron sputtering technique. It was observed that the energy bandgap systematically changed, and the performance also changed depending on the change in O_p . In addition, we extracted the energy levels of the energy bandgap, Fermi level, and valence band maximum directly through HR-EELS, KPFM, and UPS. As a result, it was found that the change in O_p during the deposition directly affected the trap density within the TAOS thin film, and it was observed that the energy bandgap parameter can also be controlled by varying O_p .

Methods

Device fabrication. The a-SZTO thin films with varying O_p were deposited on heavily doped p^+ -type silicon substrate (resistivity 0.001–0.002 Ω/cm), which has 100-nm thick SiO_2 deposited through the thermal oxidation process, using radio frequency (RF) sputtering at room temperature. The fabricated TFTs have typical bottom gate and top source/drain electrode structures. Prior to deposition, the substrates were cleaned in acetone, methanol, and DI-water in an ultrasonication bath. The a-SZTO ceramic target was prepared by high purity (99.99%) powder mixtures of SiO_2 , SnO_2 , and ZnO . The Si was incorporated into the ZTO ($\text{Zn}:\text{Sn} = 65:35$) system at 1 wt.%. The sputtering conditions were: sputtering power of 60 W; deposition pressure of 3 mtorr; Ar partial pressure of 40 sccm; and O_p was varied among 0, 3, and 5 sccm. After the deposition, the a-SZTO thin films were annealed at 500 °C in ambient air for 2 h in a furnace. Then, the channels were patterned by conventional photolithography and wet-etching processes. The lift-off process was used to form the source/drain electrodes on the patterned channels. The source/drain electrodes were deposited with 10 nm and 40 nm of Ti and Al, respectively, using an E-beam and a thermal evaporator. The thicknesses of all fabricated a-SZTO thin films was fixed at 27 nm. The gate electrode and gate insulator of TFTs used heavily doped p^+ -Si and SiO_2 , respectively.

Characterization. The crystallinity of a-SZTO thin films was analyzed through XRD (XRD, JP/SmartLab, Rigaku Co.). The transmittance of the thin films was measured using a UV-VIS-NIR spectrometer. The electrical properties were measured using a semiconductor parameter analyzer (HP-4145B, Hewlett-Packard Co.) and vacuum probe station (MS-TECH Co.). The O1s peaks of the thin films were measured through XPS, and fitting was performed using the general Gaussian–Lorentzian method. To calculate the energy bandgap parameters, each value was obtained using the following methods: E_g from HR-EELS (concentric hemispherical analyzer (CHA)-type, 23.5 eV energy resolution); valence band level from ((monochromatic He II); and Fermi level from KPFM.

Results and Discussion

Figure 1(a) shows the X-ray diffraction patterns (XRD) of a-SZTO thin film depending on O_p . The XRD pattern clearly shows that no additional peaks are observed except for the broad peak located at approximately 23°. A broad peak was the peak output from the quartz glass substrate (corning 1737), used for the XRD measurement²². From the XRD results, it was confirmed that the a-SZTO thin film was amorphous, which did not exhibit crystallinity. In addition, the measurement results of transmittance with a variation in O_p is shown in Fig. 1(b). The transmittance of the visible region at 550 nm increased from 94.53% to 96.25% with an increase in O_p , and the overall transmittance was above 90%. This is because of the wide energy bandgap of 3 eV or greater, which is a characteristic of the previously reported oxide semiconductor^{3,9}. Fig. 1(c) shows the transfer curve of a-SZTO TFT depending on the change in O_p . As O_p increased, the threshold voltage (V_{th}) in the transfer curve systematically shifted to the positive direction from 5.21 to 13.10 V. V_{th} was obtained by applying the square-root to the drain-source current (I_{ds}) value output from the transfer curve. V_{th} and other electrical characteristics are listed in Table 1. The field-effect mobility (μ_{fe}) was obtained using the following equation¹⁹:

$$\mu_{fe} = \frac{Lg_m}{WV_{ds}C_i}, \quad (1)$$

where g_m is the transconductance, C_i is the oxide capacitance of the gate insulator, and W and L are the channel width and length, respectively. Electrical performance, such as μ_{fe} , on-current (I_{on}), subthreshold slope (SS), and on/off current ratio ($I_{on/off}$) tends to decrease steadily as O_p increases. This is because when O_p increases during the deposition, the oxygen vacancy (V_o) inside the thin film is suppressed²³. Therefore, carrier concentration decreases with the suppression of V_o , and electrical properties degrade. However, the suppression of these V_o can provide better stability^{2,3}. In general, in oxide semiconductors, V_o provides electrons inside the thin film¹⁶. However, the optimization of the mobility enhancement and the stability is an important issue because V_o can also act as defected states^{24,25}. Therefore, from Fig. 1(d) and Table 1, it can be clearly seen that, the oxygen atoms

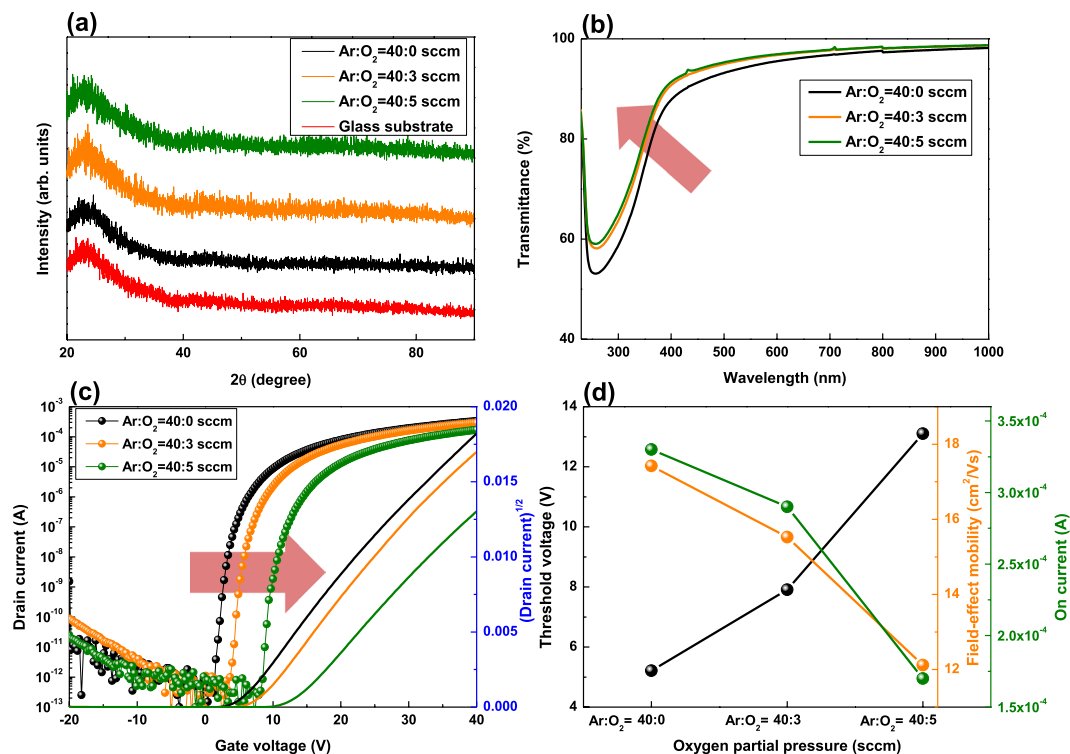


Figure 1. (a) X-ray diffraction pattern (XRD), (b) optical transmission spectra, (c) transfer characteristic and (d) electrical performances such as V_{th} , μ_{FE} , and I_{on} of a-SZTO TFTs, as function of oxygen partial pressure (O_p).

Ar:O ₂ flow ratio (sccm)	V_{th} (V)	I_{on} (A)	$I_{on/off}$	μ_{FE} (cm ² V ⁻¹ s ⁻¹)	SS (Vdecade ⁻¹)
40:0	5.21	3.3×10^{-4}	3.3×10^9	17.42	0.30
40:3	7.91	2.9×10^{-4}	2.9×10^9	15.53	0.29
40:5	13.10	1.7×10^{-4}	1.7×10^9	12.12	0.27

Table 1. Electrical performances of a-SZTO TFTs depending on O_p .

suppress the formation of V_o , and the electrical properties degrade as O_p increases. In addition, we obtained the amount of trap state (N_T) by using the SS value. The SS value and N_T have the following relationship²⁶:

$$N_T = \left(\frac{SS \log(e)}{kT/q} - 1 \right) \frac{C_i}{q}, \quad (2)$$

where SS is the subthreshold slope, k is Boltzmann's constant, T is the temperature, and C_i (3.45×10^{-8} F/cm²) is the unit gate capacitance. As O_p increased, N_T decreased from 8.68×10^{11} cm⁻² to 7.60×10^{11} cm⁻². It was confirmed that the oxygen atom clearly decreased the N_T inside the a-SZTO thin film.

Furthermore, we used X-ray photoelectron spectroscopy (XPS) to analyze the effect of the increase in O_p on the a-SZTO film. Figure 2(a–c) shows the XPS O1s peak of a-SZTO thin films with increasing O_p . The binding energy of O 1s peak was calibrated by taking C 1s as the reference at 284.25 eV. The O1s peak could be de-convoluted into three Gaussian–Lorentzian peaks: low binding energy (O_I), middle binding energy (O_{II}), and high binding energy (O_{III})²⁷. The O_I peak can be attributed to metal–oxide bonding (M–O) and was observed at 530 ± 0.3 eV. The O_{II} peak is related to oxygen-deficient vacancies known as V_o and was observed at 531.2 ± 0.3 eV. The O_{III} peak is attributed to the surface oxygen owing to the hydroxide and was observed at 532.2 ± 0.3 eV^{27,28}. From the XPS O1s results, it was observed that, the O_{II} peak ($O_{II}/(O_I + O_{II} + O_{III})$), which is closely related to V_o , decreased from 14.39% to 9.73% as O_p increased systematically as shown in Fig. 2(d). These results are the same as the decrease in trap density observed from the electrical properties. It is clear that the increase in O_p during the deposition suppresses V_o inside the a-SZTO thin film, thus degrading the electrical properties. Although the electrical properties degrade, the reduction of V_o can provide better stability. To confirm the stability enhancement, we measured the temperature stress (TS) and observed the relationship between the trap density in a-SZTO thin films and the change in O_p .

Figure 3(a–c) shows the TS results of a-SZTO TFT as O_p increases. The temperature dependency of TS was measured from room temperature to 353 K in intervals of 20 K. As O_p increased, the amount of shift of V_{th} (ΔV_{th})

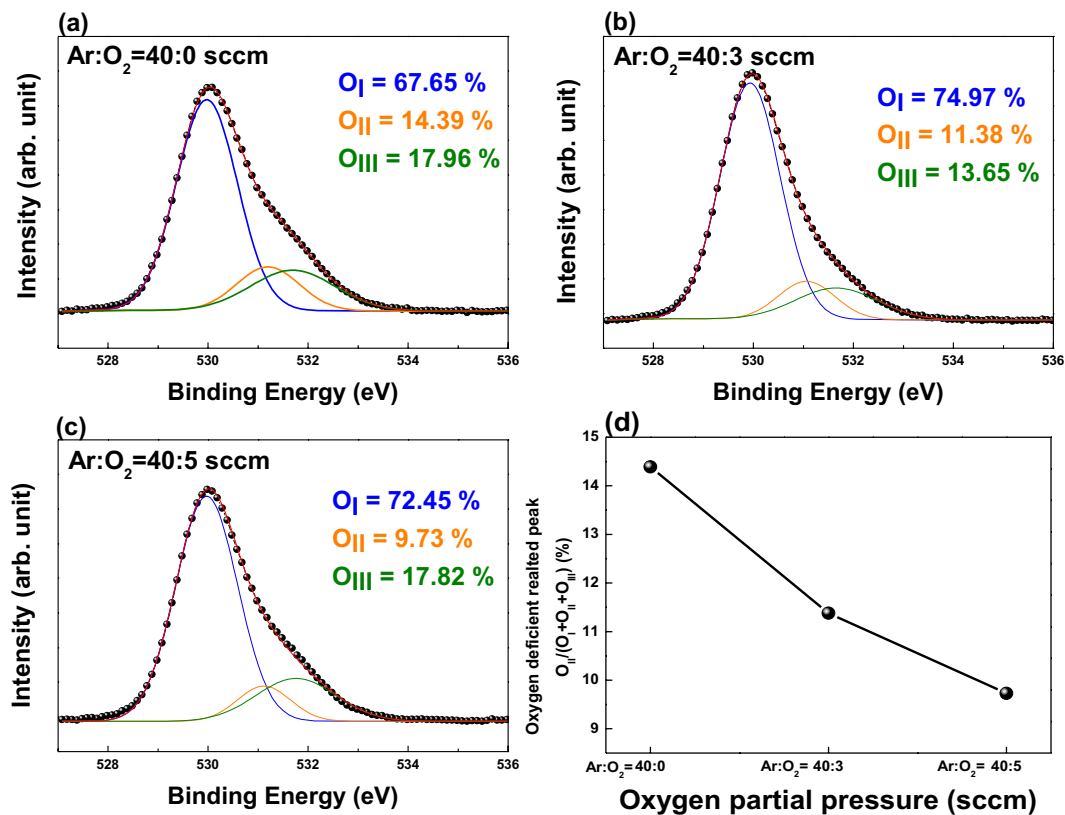


Figure 2. X-ray photoelectron spectroscopy (XPS) spectra of O 1s peak of a-SZTO thin films depending on O_p : (a) Ar:O₂ = 40:0 sccm, (b) Ar:O₂ = 40:3 sccm, and (c) Ar:O₂ = 40:5 sccm. (d) The oxygen-deficient related peak (O_{II}) in a-SZTO thin films with varying O_p . Note that as the O_p increases, the O_{II} decreases.

decreased from 2.16 to 0.55 V. Regardless of the increase in O_p , it was confirmed that V_{th} moves toward the negative direction as temperature increases in the TS. It is expected that the thermal energy releases electrons in the trapped state inside the thin film with an increase in temperature²⁹. We only applied the temperature parameter to analyze the effect on trap states inside the energy bandgap and did not add any additional stress, such as bias and illumination. In general, the operating mechanism of the oxide semiconductor occurs when electrons in the valence band are excited to the conduction band by an increase in energy³⁰. However, electrons fill the trap states inside the energy bandgap³¹. This implies that, there are more electrons trapped inside the bandgap if the thin-film has more trap states. In addition, as the temperature increases, the trapped electrons receive a greater amount of energy. This allows the electrons in deep states to be excited into the conduction band as they absorb sufficient thermal energy. Therefore, a device with large trap states inside the energy bandgap will exhibit high ΔV_{th} value, which is not desirable. Here, we can see that the a-SZTO thin film deposited in pure Ar ambient shows the highest ΔV_{th} (2.16 V) value. It was also observed that ΔV_{th} decreases systematically as O_p increases. It is clear that the oxygen atom during the depositions fills the trap state associated with the V_o inside the a-SZTO thin film. The activation energy (E_a) in the area below the subthreshold region was calculated using the TS results. The gate voltage (V_{gs}) is plotted against E_a graphs from the TS results with a variation in O_p in Fig. 3(d–f), including the obtained E_a falling rate through the slope. The thermally activated drain current in the subthreshold region is obtained using the equation²⁹

$$I_D = I_{D0} \cdot \exp\left(-\frac{E_a}{kT}\right) \quad (3)$$

where I_{D0} is the pre-factor, k is Boltzmann's constant, T is the absolute temperature, and E_a is the activation energy. The calculated E_a falling rate increased systematically from 0.077 eV/V to 0.082 eV/V as O_p increased. An increase in the falling rate implies a decrease in the channel and/or interface trap states^{29,30}. In other words, in the graph of E_a , the state can be changed more quickly if the amount of trap states is small for electrons to transit from the valence band to the conduction band. Therefore, as O_p increases, the amount of traps in the energy bandgap decreases, and the E_a falling rate increases accordingly. Furthermore, to analyze the DOSs inside the a-SZTO thin film depending on O_p , we used E_a to calculate DOSs based on the following equation¹⁹:

$$g(E_a) = -\frac{\varepsilon_i}{qd_i t} \frac{d(E_a)}{d(V_{gs})} \quad (4)$$

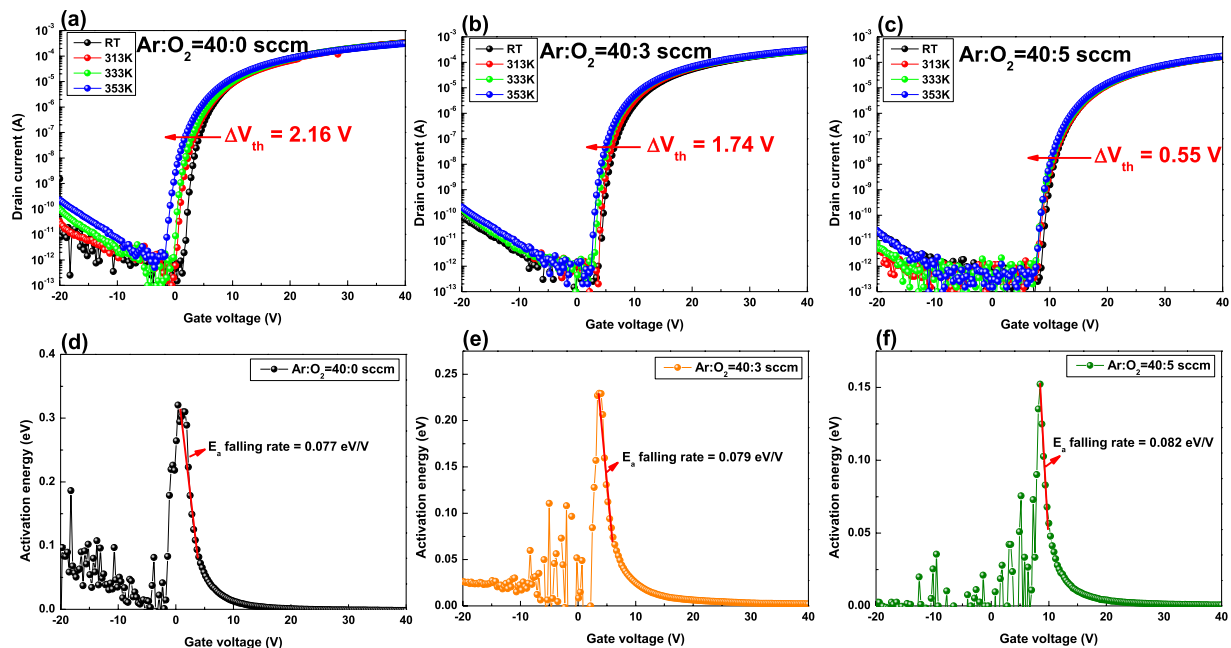


Figure 3. Variations in the transfer characteristics and activation energy (E_a) of a-SZTO TFTs with varying O_p under the temperature stress from room temperature to 353 K: (a,d) Ar: O_2 = 40:0 sccm, (b,e) Ar: O_2 = 40:3 sccm, and (c,f) Ar: O_2 = 40:5 sccm.

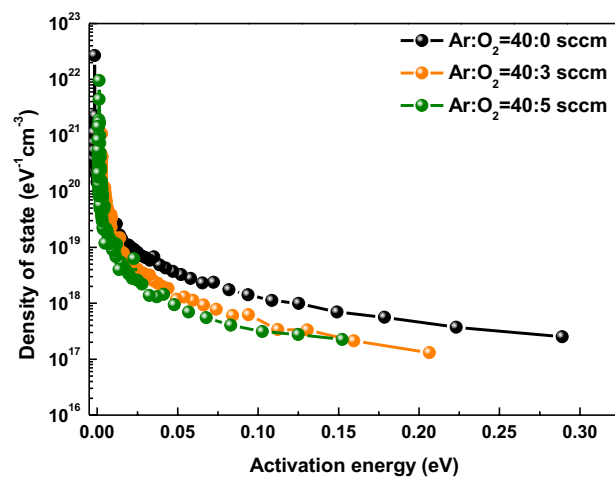


Figure 4. Calculated density of states (DOSs) versus activation energy (E_a) for a-SZTO TFTs as function of O_p .

where ε_i and d_i are the permittivity and thickness of the gate insulator, respectively, t is the thickness of the a-SZTO thin film ($t = 27 \text{ nm}$), and q is the electron charge ($1.602 \times 10^{-19} \text{ C}$).

Figure 4 shows the result of DOSs versus E_a calculated from E_a depending on O_p . As O_p increases, the DOS tends to decrease systematically. This results from the oxygen atoms reducing the trap states that are closely related to the decrease of V_o inside the a-SZTO thin film during the deposition mentioned above. From the DOS results, we note that increasing O_p decreases the trap states in the shallow levels within the energy bandgap. As described above, the XPS O1s peak, electrical characteristics, and DOSs result from decreasing V_o as O_p increases. This implies that the energy bandgap can be adjusted indirectly according to O_p , and thus, the carrier concentration or the Fermi level can be controlled²³. Therefore, to derive the energy bandgap directly, each energy level has been measured using ultra-violet photoelectron spectroscopy (UPS), Kelvin probe force microscopy (KPFM), and high-resolution electron energy loss spectroscopy (HR-EELS).

Figure 5(a–c) shows the UPS results, He (II) spectra of valence band edge ($E_{V,edge}$), and secondary electron cut-off energy ($E_{S,cutoff}$) of the a-SZTO thin films with varying O_p . When a thin film is exposed to ultraviolet rays in a UPS measurement, it is known that a thin film surface and an impurity cause a chemical reaction to generate a surface dipole, which may cause a change in work function^{32,33}. However, in order to produce a high-quality a-SZTO thin film according to O_p , RF magnetron sputter was used in a high vacuum condition ($< 10^{-6} \text{ Torr}$) to

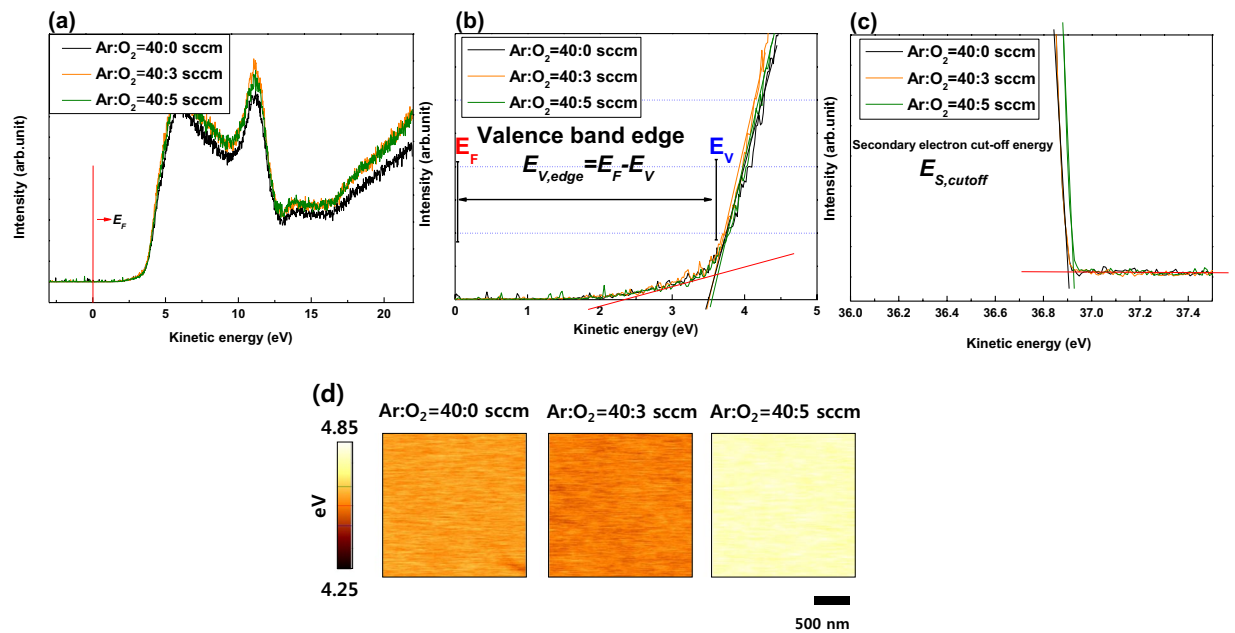


Figure 5. (a) Ultraviolet photoelectron spectroscopy (UPS) spectrum of the a-SZTO thin films depending on O_p ; (b) Expanded views of the low binding energy region for valence band edge (E_F - E_V), and (c) secondary electron cut-off energy with varying O_p . (d) Kelvin probe force microscopy (KPFM) images of the a-SZTO as function of O_p .

minimize the surface impurities. In addition, considering the change of thin film by UV-induction, UPS was measured using separate specimens fabricated at the same time during sample fabrication. The points $E_{V,edge}$ and $E_{S,cutoff}$ depending on O_p were determined by the fitting method from the baseline. Based on the above results, the valence band level (E_V) of the a-SZTO thin films can be directly calculated by the following equation³³:

$$\text{Valence band level } (E_V, \text{ eV}) = h\nu - [E_{S,cutoff} - E_{V,edge}] \quad (5)$$

where $h\nu$ is the energy of the monochromatic He (II) line emission at 40.813 eV, $E_{S,cutoff}$ is secondary electron cut-off energy, $E_{V,edge}$ is valence band edge. In addition, $E_{V,edge}$ can be defined as the separation between the E_V and E_F as shown in Fig. 5(b). The calculated E_V shows little change from -7.490 eV to -7.510 eV with increasing O_p . This indicates that the oxygen atoms during deposition do not directly affect the E_V region of the a-SZTO material. E_F according to the change of O_p measured by KPFM is shown in Fig. 5(d). The E_F contact potential difference was calibrated with respect to the reference of Pt/Ir (Tip Φ of 4.91 eV)^{34,35}. Interestingly, it was observed that E_F has a large change of 0.1 eV or more in the a-SZTO thin films with increasing O_p (40:5 device). This large change means that oxygen atoms are more likely to suppress V_o as O_p increases, which means that the decrease in V_o in oxide semiconductors implies a decrease in the carrier concentration. In general, E_F is closely related to the carrier concentration. As the carrier concentration increases, E_F moves in the conduction band direction. Conversely, when the carrier concentration decreases, it moves in the valence band direction^{36,37}. Therefore, E_F shifts toward the E_V direction due to the reduced carrier concentration.

Figure 6(a–d) shows the energy bandgap (E_g) of the a-SZTO thin film with increasing O_p measured by using HR-EELS. The HR-EELS spectra were obtained by the transmitting electron beams with an energy of 1.5 keV. E_g was extracted by linear fitting the slope with respect to the baseline in the graph output from HR-EELS. As O_p increased, E_g showed an increasing tendency increase from 3.743 eV to 3.902 eV systematically. This is also consistent with the transmittance results shown in Fig. 1(b) above. We defined E_g using the energy bandgap parameters extracted from UPS, KPFM, and HR-EELS, as shown in Fig. 7. In addition, Table 2 summarizes the E_g values for each region. It was clearly observed that E_g increases systematically as O_p increases. Interestingly, it is particularly noteworthy that the area between the conduction band minimum (E_C) and E_F varies greatly. It is observed that the increase in the overall E_g is mostly due to the expansion of the E_C - E_F interval, which means that as O_p increases, the trap density in the E_C - E_F interval, that is, the shallow level trap states, reduces significantly. The results of these measurements have been verified through DOS analysis, as shown above in Fig. 4. It is evident that the increase of O_p increases the E_C - E_F region by filling the trap state at the shallow level. Additionally, it is observed to be the widest when O_p is 40:5. This large change is due to two main reasons: i) E_F shifts toward the E_V direction as the carrier concentration decreases, and ii) the reduction of the trap at the shallow^{19,34}. Based on these results, it has been observed that the energy bandgap parameters of the oxide semiconductors can be controlled by the change in O_p during depositions resulting in the change of the E_C - E_F interval significantly.

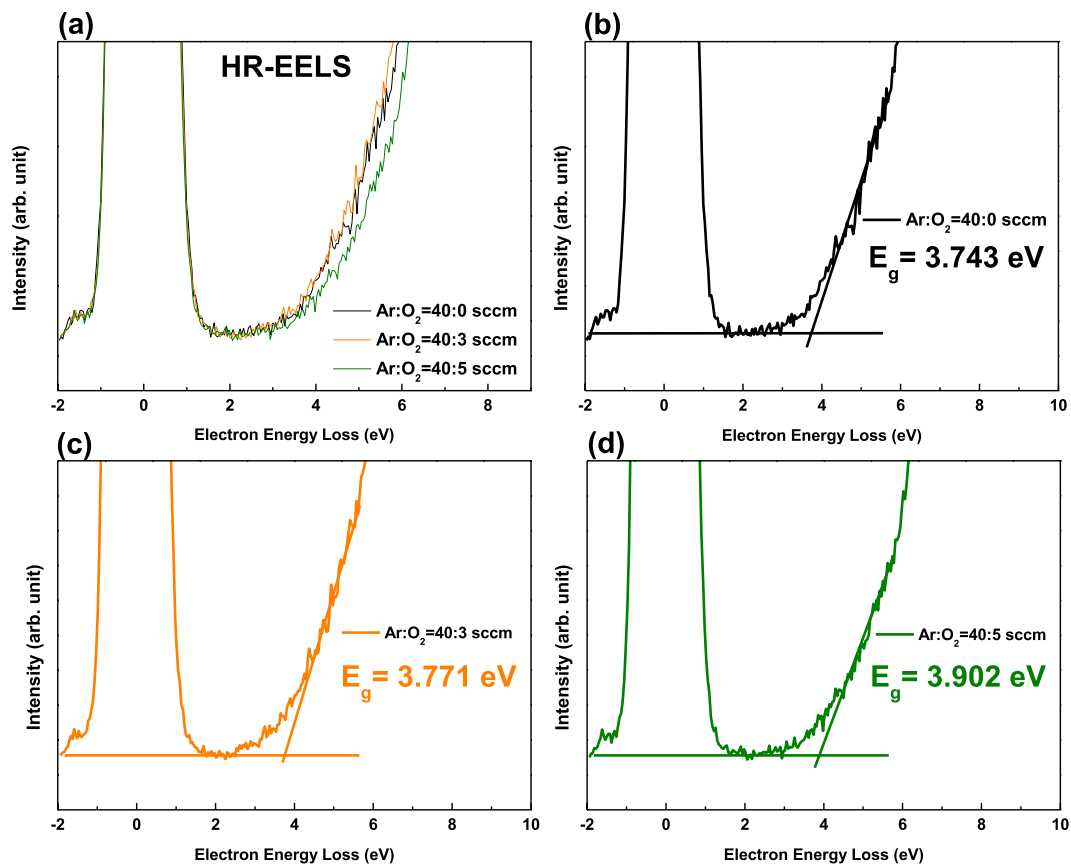


Figure 6. (a) High-resolution electron energy loss spectroscopy (HR-EELS) spectrum of the a-SZTO thin films depending on O_p ; (b) Ar:O₂ = 40:0 sccm, (c) Ar:O₂ = 40:3 sccm, and (d) Ar:O₂ = 40:5 sccm. Note that as the O_p increases, the energy band-gap also increases.

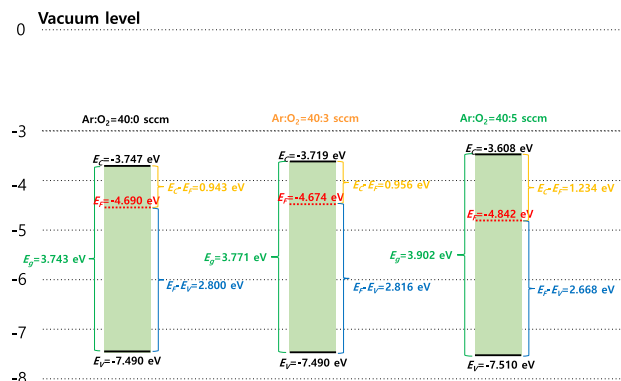


Figure 7. Calculated energy band-gap diagrams using energy position of conduction band, valence band, and Fermi level for a-SZTO thin films depending on O_p . Note that the vacuum level is set to 0 eV.

Ar:O ₂ flow ratio (sccm)	E_g (eV)	E_F (eV)	E_C (eV)	E_V (eV)	$E_C - E_F$ (eV)
40:0	3.743	-4.690	-3.747	-7.490	0.943
40:3	3.771	-4.674	-3.719	-7.490	0.956
40:5	3.902	-4.842	-3.608	-7.510	1.234

Table 2. Calculated energy band-gap parameters of a-SZTO TFTs depending on O_p .

Conclusion

In summary, we investigated the electrical properties and energy bandgap of the a-SZTO thin film by varying O_p during the deposition. Theoretical and experimental analyses were conducted to analyze the influence of O_p on the electrical characteristics of a-SZTO TFTs. An assumption was made that an increase in O_p can increase the energy bandgap, based on the transmittance measurements and the DOS results calculated via temperature stress. The increase in O_p during deposition was found to suppress the formation of oxygen vacancies within the a-SZTO thin film, which was mainly found to reduce the trap density of shallow levels. To observe the changes in the exact energy bandgap, HR-EELS, KPFM, and UPS were used to observe E_g , E_F , and E_V , respectively. The increase in O_p observed a clear extension of the E_C - E_F interval (0.943–1.234 eV) over the energy bandgap, which was found to be in good agreement with the DOS results. It was clearly observed that the change in O_p during deposition makes it possible to control the oxygen vacancy, which freely changes the energy bandgap of the oxide semiconductor. Therefore, the change of the a-SZTO thin film depending on O_p greatly affects the electrical characteristics and thermal stability of the TFT.

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Author contributions

S.Y.L., S.P. S.-W.K., H. C. and S.K. designed and executed the study and analysed the data; B.H.L., K.-S.C., D.-Y.L., J.Y.L. and A.S. performed the experiments and analysed data using HR-EELS, UPS, XPS, and KPFM; B.H.L. analysed the data and wrote the main manuscript. All authors reviewed the manuscript.

Competing interests

The authors (B.H. Lee, K.-S. Cho, D.-Y. Lee, A. Sohn, J.Y. Lee, H. Choo, S. Park, S.-W. Kim, S. Kim and S.Y. Lee) declare that they have no competing interests as defined by Nature Research, or other interests that might be perceived to influence the results and/or discussion reported in this paper.

Additional information

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